

## SUPPLEMENTARY MATERIAL

# Neuroprotective Metabolites from Vietnamese Marine Derived Fungi of *Aspergillus* and *Penicillium* Genera

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**Abstract:** Low molecular weight secondary metabolites of marine fungi *Aspergillus flocculosus*, *Aspergillus terreus* and *Penicillium* sp. from Van Phong and Nha Trang Bays (Vietnam) were studied and a number of polyketides, bis-indole quinones and terpenoids were isolated. The structures of the isolated compounds were determined by 1D and 2D NMR and HRESIMS techniques. Stereochemistry of some compounds was established based on ECD data. A chemical structure of asterriquinone F (**6**) was thoroughly described for the first time. Anthraquinone (**13**) was firstly obtained from natural source. Neuroprotective influences of the isolated compounds against 6-OHDA, paraquat and rotenone toxicity were investigated. 4-Hydroxycytalone (**1**), 4-hydroxy-6-dehydroxycytalone (**2**) and demethylcitreoviranol (**3**) have shown significant increasing of paraquat- and rotenone-treated Neuro-2a cell viability and anti-ROS activity.

**Keywords:** *Aspergillus terreus*; *Aspergillus flocculosus*; *Penicillium* sp.; marine-derived fungi; South China Sea; secondary metabolites; asterriquinones; polyketides; neuroprotective activity; reactive oxygen species

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Table S1.  $^{13}\text{C}$  NMR ( $\delta$  in ppm) data for asterriquinones A3 (**7**), B4 (**8**), C1 (**9**), C2 (**10**) and D (**11**)

Atom	<b>7</b> *	<b>8</b> *	<b>9</b> *	<b>10</b> *	<b>11</b> **
1	184.0, C	183.70, C	184.0, C	183.8, C	185.2, C
2	120.7, C	120.7, C	120.7, C	120.7, C	130.2, C
3	156.2, C	156.7, C	156.2, C	153.9, C	155.4, C
4	183.8, C	183.68, C	183.8, C	183.8, C	185.2, C
5	122.2, C	120.66, C	126.7, C	120.66, C	130.2, C
6	153.9, C	156.8, C	154.4, C	153.9, C	155.4, C
2'	142.1, C	142.1, C	142.1, C	127.4, CH	130.1, CH
3'	101.8, C	101.84, C	101.7, C	105.7, C	106.7, C
3a'	129.9, C	129.9, C	129.9, C	126.7, C	128.7, C
4'	122.1, CH	118.7, CH	118.7, CH	119.4, CH	123.0, CH
5'	120.3, CH	120.2, CH	120.3, CH	120.7, CH	121.2, CH
6'	118.7, CH	122.1, CH	122.1, CH	122.21, CH	123.2, CH
7'	110.6, CH	110.6, CH	110.6, CH	124.1, C	113.1, CH
7a'	134.5, C	134.5, C	134.5, C	135.0, C	137.8, C
8'	39.3, C	39.3, C	39.3, C	30.8, CH <sub>2</sub>	
9'	27.0, CH <sub>3</sub>	27.1, CH <sub>3</sub>	27.1, CH <sub>3</sub>	122.21, CH	
10'	26.8, CH <sub>3</sub>	27.0, CH <sub>3</sub>	26.8, CH <sub>3</sub>	133.6, C	
11'	145.5, CH	145.50, CH	145.4, CH	18.0, CH <sub>3</sub>	
12'	112.3, CH <sub>2</sub>	112.2, CH <sub>2</sub>	112.3, CH <sub>2</sub>	25.7, CH <sub>3</sub>	
2''	129.2, CH	141.9, C	127.8, CH	121.5, CH	130.1, CH
3''	103.4, C	101.78, C	105.5, C	105.9, C	106.7, C
3a''	128.7, C	129.7, C	126.7, C	126.6, C	128.7, C
4''	121.1, CH	118.4, CH	121.4, CH	127.8, CH	123.0, CH
5''	119.9, CH	120.3, CH	120.5, CH	120.6, CH	121.2, CH
6''	121.7, CH	122.06, CH	122.4, CH	122.5, CH	123.2, CH
7''	114.0, CH	110.7, CH	111.3, CH	111.3, CH	113.1, CH
7a''	135.3, C	134.51, C	135.6, C	135.7, C	137.8, C
8''	39.3, C	39.3, C			
9''	28.03, CH <sub>3</sub>	26.8, CH <sub>3</sub>			
10''	28.01, CH <sub>3</sub>	26.8, CH <sub>3</sub>			
11''	143.8, CH	145.52, CH			
12''	113.9, CH <sub>2</sub>	112.4, CH <sub>2</sub>			
3-OMe	60.1, CH <sub>3</sub>	60.1, CH <sub>3</sub>	60.0, CH <sub>3</sub>	60.65, CH <sub>3</sub>	61.5, CH <sub>3</sub>
6-OMe	60.6, CH <sub>3</sub>	61.2, CH <sub>3</sub>	60.7, CH <sub>3</sub>	60.68, CH <sub>3</sub>	61.5, CH <sub>3</sub>

\* - data were obtained in CDCl<sub>3</sub>, 125 MHz; \*\* - data were obtained in acetone-*d*<sub>6</sub>, 176 MHz

Table S2. <sup>1</sup>H NMR data ( $\delta$  in ppm, *J* in Hz) for asterriquinones A3(**7**), B4 (**8**), C1 (**9**), C2 (**10**) and D (**11**)

Atom	<b>7</b> *	<b>8</b> *	<b>9</b> *	<b>10</b> *	<b>11</b> **
1'(NH)	8.11, brs	8.13, brs	8.14, brs	8.53, brs	
2'				7.59, d (2.8)	7.69, s
4'	7.164, m	7.32, d (7.5)	7.29, d (7.9)	7.44, d (4.2)	7.54, d (8.0)
5'	7.09, t (7.45)	7.12, t (7.4)	7.09, t (7.5)	7.12, t (7.5)	7.09, t (7.5)
6'	7.29, d (7.9)	7.17, td (7.4, 3.8)	7.33, d (8.1)	7.05, d (7.2)	7.16, t (7.5)
7'	7.33, d (8.1)	7.33, d (7.6)	7.33, d (8.1)		7.49, d (8.1)
8'				3.62, brs 3.61, brs	
9'	1.51, s	1.50, brs	1.51, s	5.46, t (7.3)	
10'	1.50, s	1.50, brs	1.50, s		
11'	6.11, dd (17.4, 10.5)	6.11, t (10.7)	6.11, dd (10.5, 6.9)	1.85, s	
12'	5.15, d (10.5) 5.20, d (17.4)	5.18, d (10.5) 5.24, d (10.7)	5.15, d (10.5) 5.20, d (6.9)	1.81, s	
1''		NH, 8.11, brs	NH, 8.56, brs	NH, 8.51, brs	
2''	7.74, s		7.60, s	7.60, brs	7.69, s
4''		7.22, d (7.9)	7.58, d (8.0)	7.62, d (2.7)	7.54, d (8.0)
5''	7.155, m	7.09, t (7.5)	7.19, m	7.19, t (7.5)	7.09, t (7.5)
6''	7.56, dd (6.9, 1.9)	7.17, td (7.4, 3.8)	7.24, d (7.6)	7.25, d (7.7)	7.16, t (7.5)
7''	7.57, dd 7.4, 1.5)	7.33, d (7.6)	7.43, d (8.1)	7.43, d (4.2)	7.49, d (8.1)
9''	1.84, s	1.53, s			
10''	1.84, s	1.53, s			
11''	6.22, dd (17.4, 10.5)	6.14, t (10.7)			
12''	5.27, d (10.7) 5.24, d (17.4)	5.13, d (10.5) 5.21, t (16.7)			
3-OMe	3.80, s	3.70, brs	3.70, s	3.786, brs	3.82, s
6-OMe	3.69, s	3.70, brs	3.81, s	3.793, brs	3.82, s

\* - data were obtained in CDCl<sub>3</sub>, 500 MHz; \*\* - data were obtained in acetone-*d*<sub>6</sub>, 700 MHz

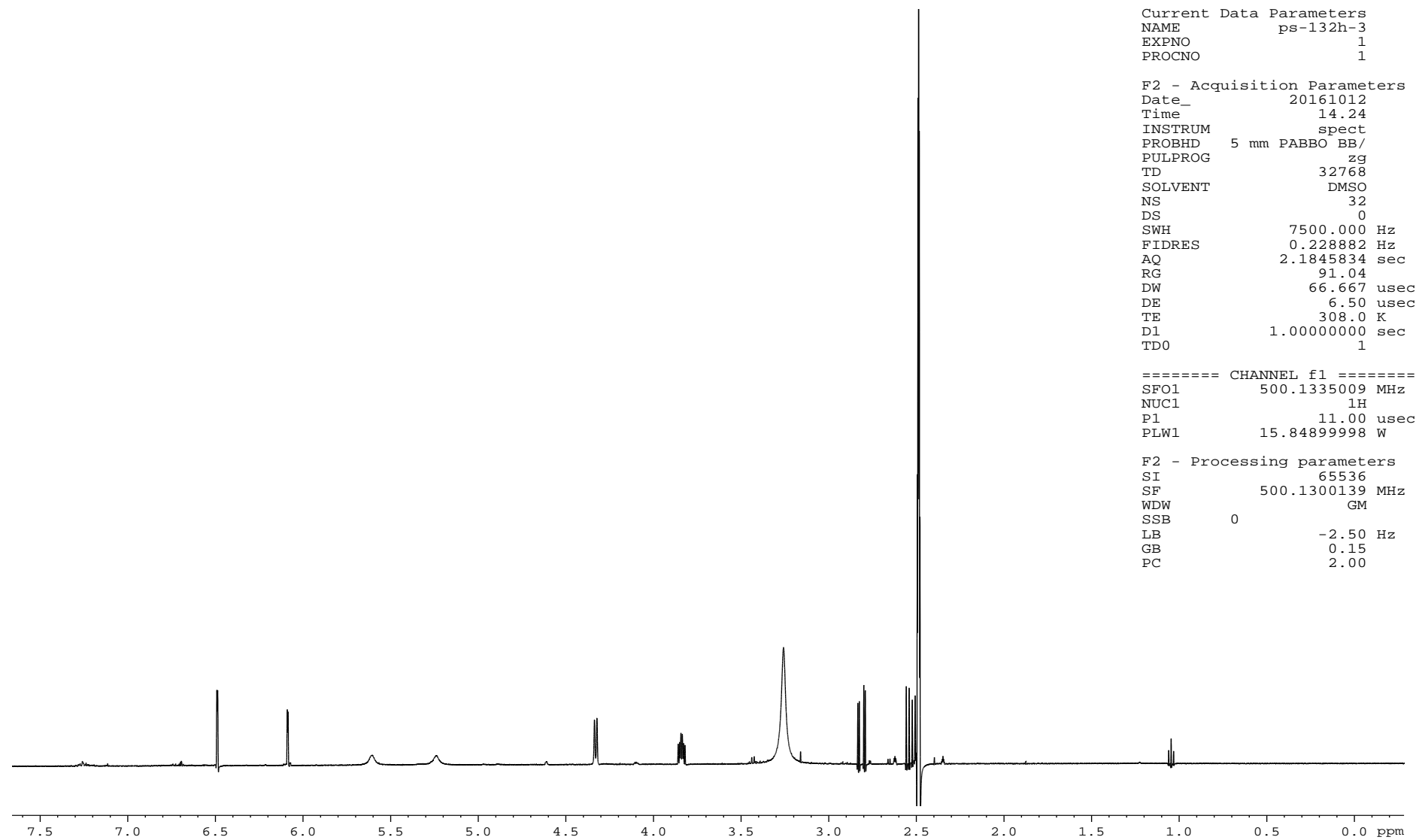
Table S3.  $^1\text{H}$  and  $^{13}\text{C}$  NMR data (700 MHz,  $\text{DMSO-}d_6$ ,  $\delta$  in ppm) for 1,2,5-trihydroxy-7-methyl-9,10-antraquinone (**13**)

Atom	$^{13}\text{C}$ , mult	$^1\text{H}$ , $J$ in Hz
1	164.4, C	
2	165.5, C	
3	107.9, CH	6.60, d (2.5)
4	108.9, CH	7.14, d (2.5)
4a	108.7, C	
5	161.3, C	
6	124.1, CH	7.18, brs
7	148.2, C	
8	120.4, CH	7.52, brs
8a	135.1, C	
9	189.7, C	
9a	132.8, C	
10	181.4, C	
10a	113.4, C	
7-Me	21.4, $\text{CH}_3$	2.42, s
1-OH		12.09, brs
2-OH		11.33, brs
5-OH		12.02, brs

Table S4.  $^1\text{H}$  and  $^{13}\text{C}$  NMR data (700 MHz,  $\text{CDCl}_3$ ,  $\delta$  in ppm) for 4-hydroxy-3-(3-methylbut-2-enyl)benzaldehyde (**14**)

Atom	$^{13}\text{C}$ , mult	$^1\text{H}$ , $J$ in Hz
1	130.1, C	
2	131.8, CH	7.67, s
3	127.6, C	
4	160.0, C	
5	116.2, CH	6.91, d (7.9)
6	130.5, CH	7.66, d (2.2)
1'	29.5, $\text{CH}_2$	3.42, s 3.41, s
2'	120.6, CH	5.33, t (7.4)
3'	136.1, C	
4'	25.8, $\text{CH}_3$	1.80, s
5'	179, $\text{CH}_3$	1.79, s
1''	191.1, CH	9.85, s
4-OH		5.83, brs

Figure S1. <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) spectrum of 4-hydroxycytalone (1)



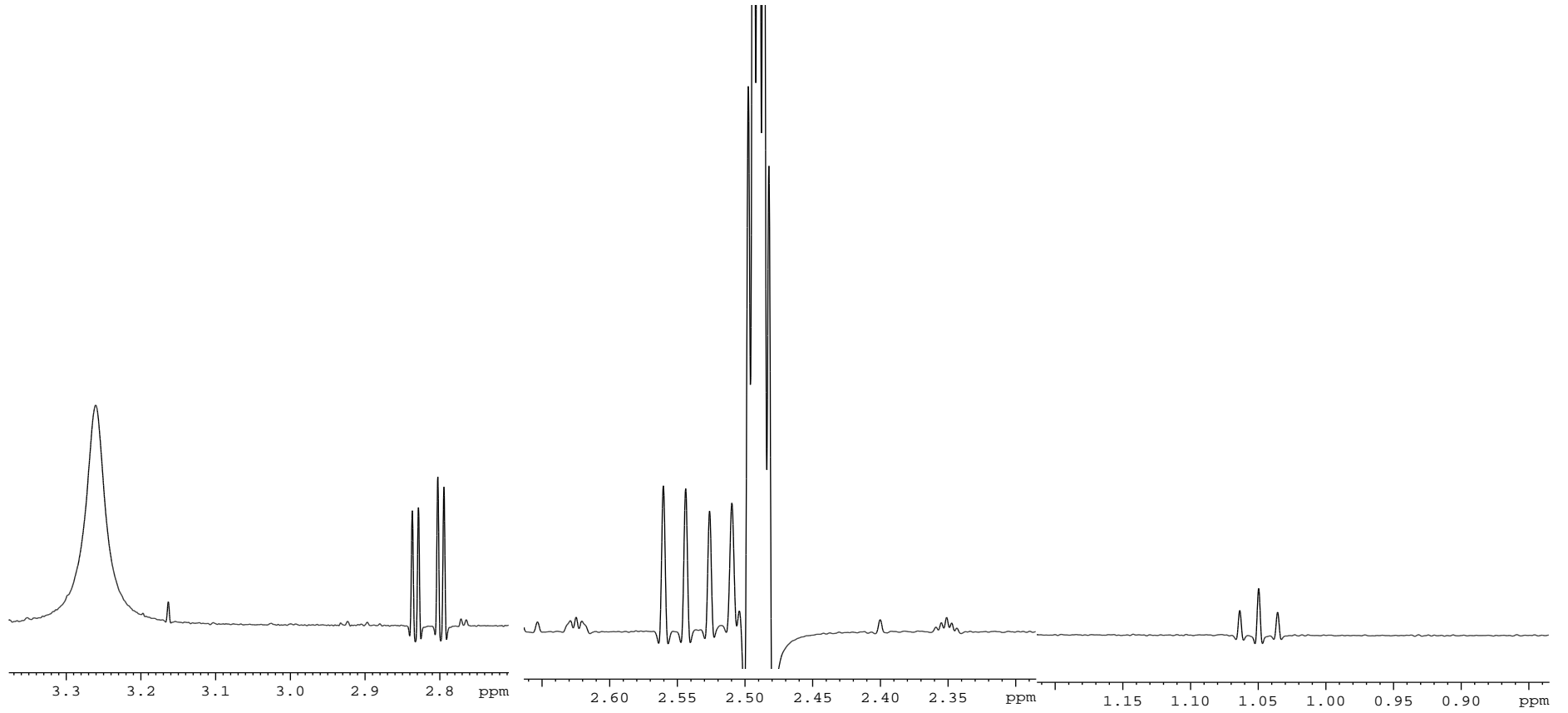
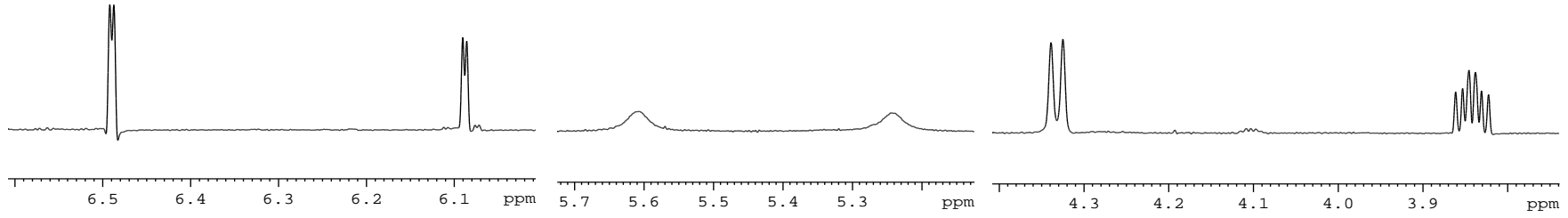




Figure S2.  $^{13}\text{C}$  NMR (125 MHz,  $\text{DMSO-}d_6$ ) spectrum of 4-hydroxycytalone (1)

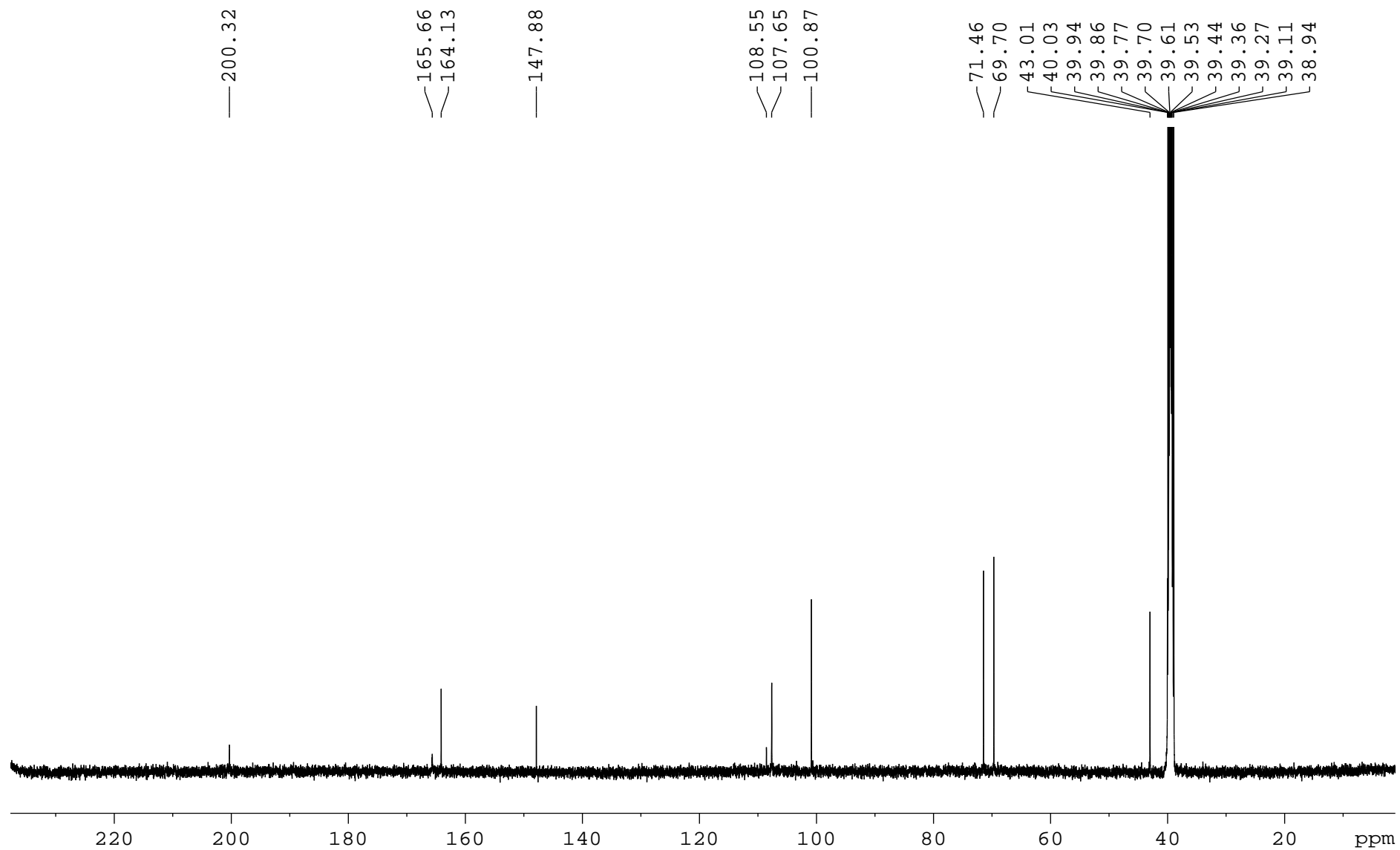


Figure S3. CD spectrum of 4-hydroxycytalone (1)

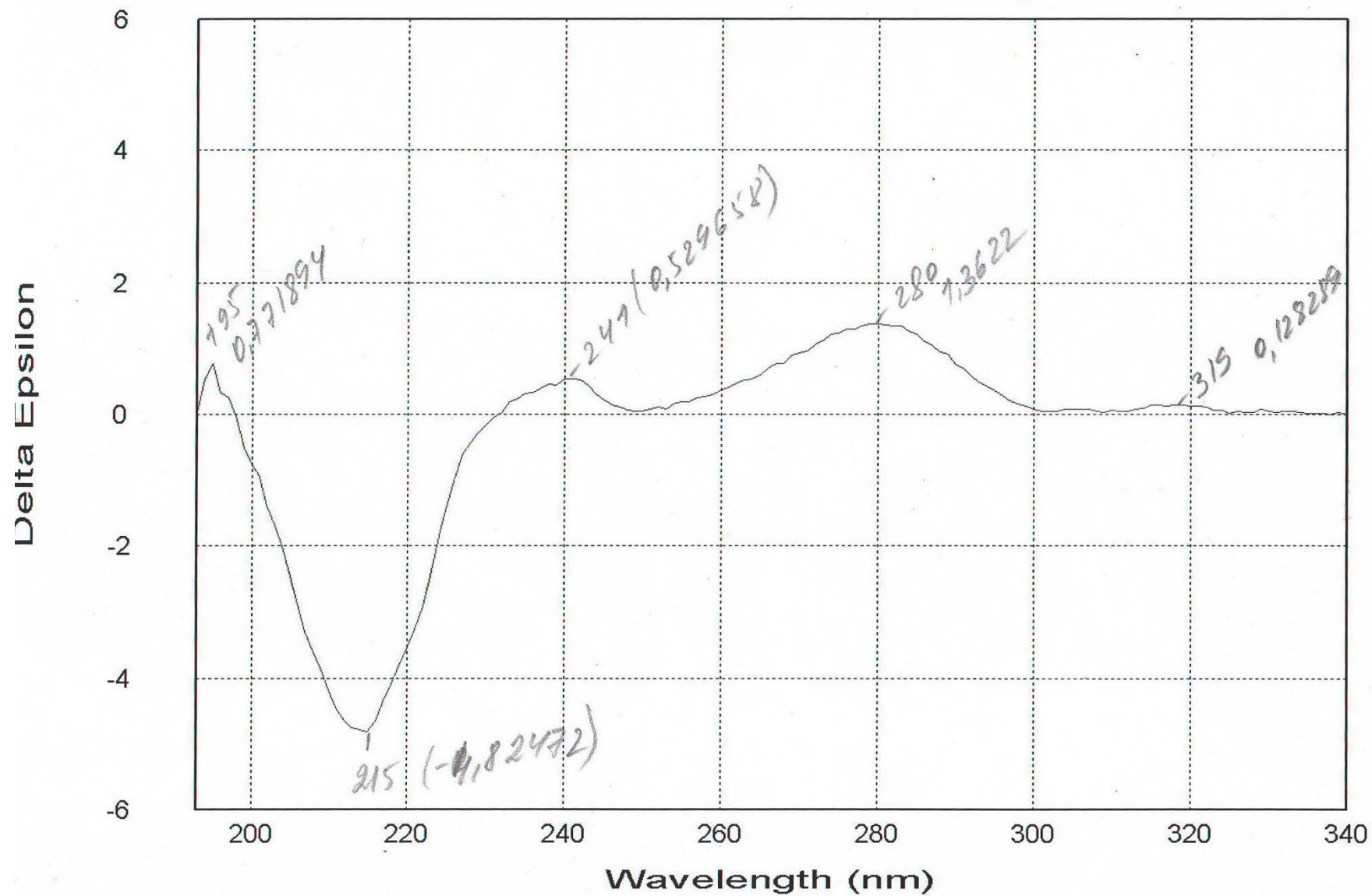
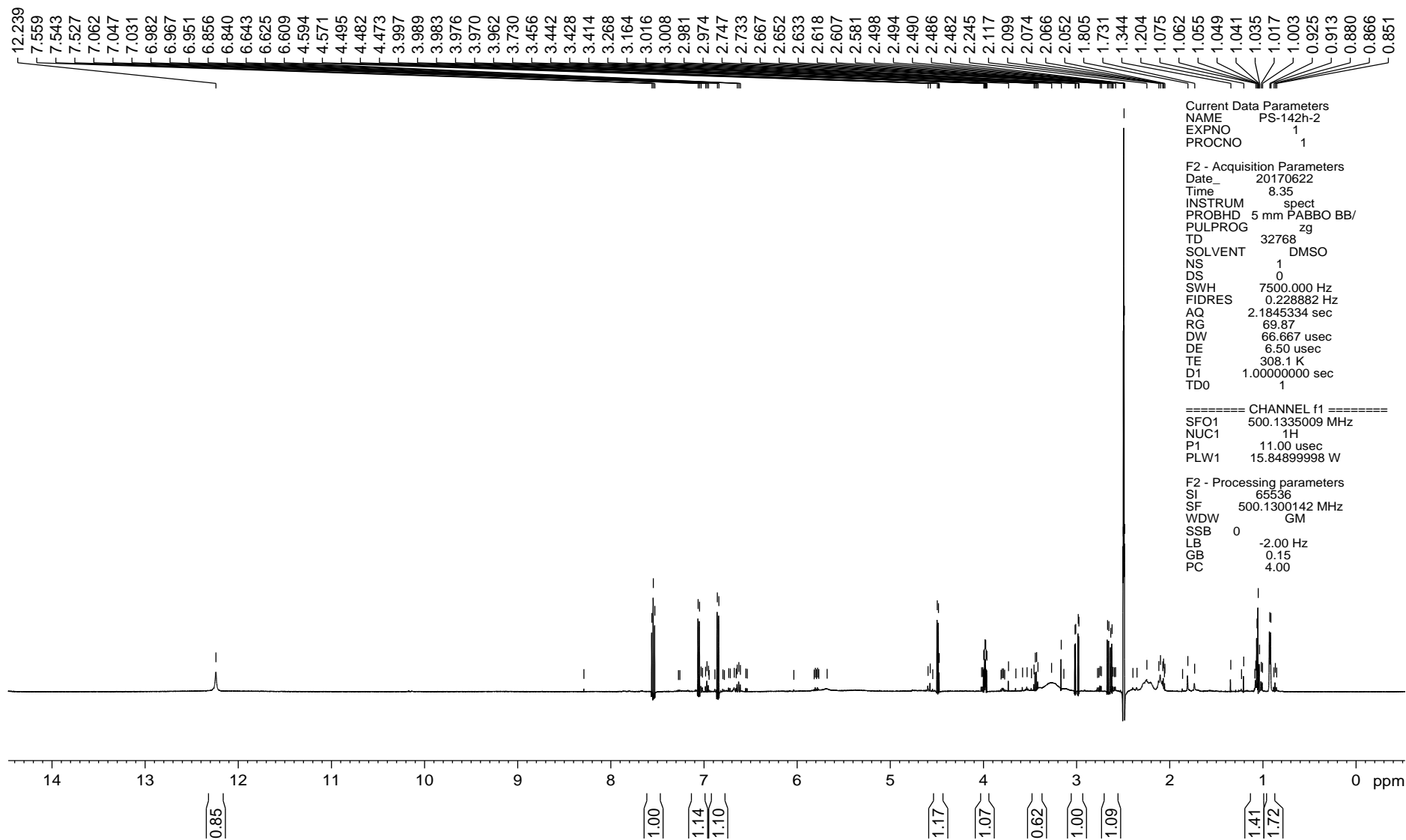


Figure S4. <sup>1</sup>H NMR (500 MHz, DMSO-d<sub>6</sub>) spectrum of 4-hydroxy-6-dehydroscytalone (2)



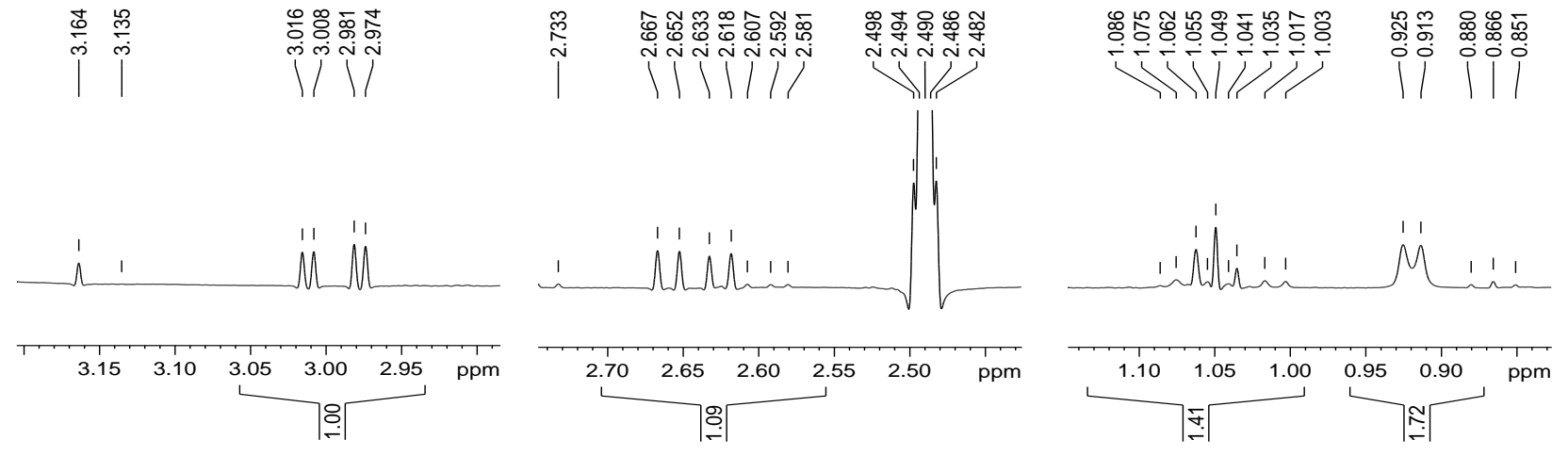
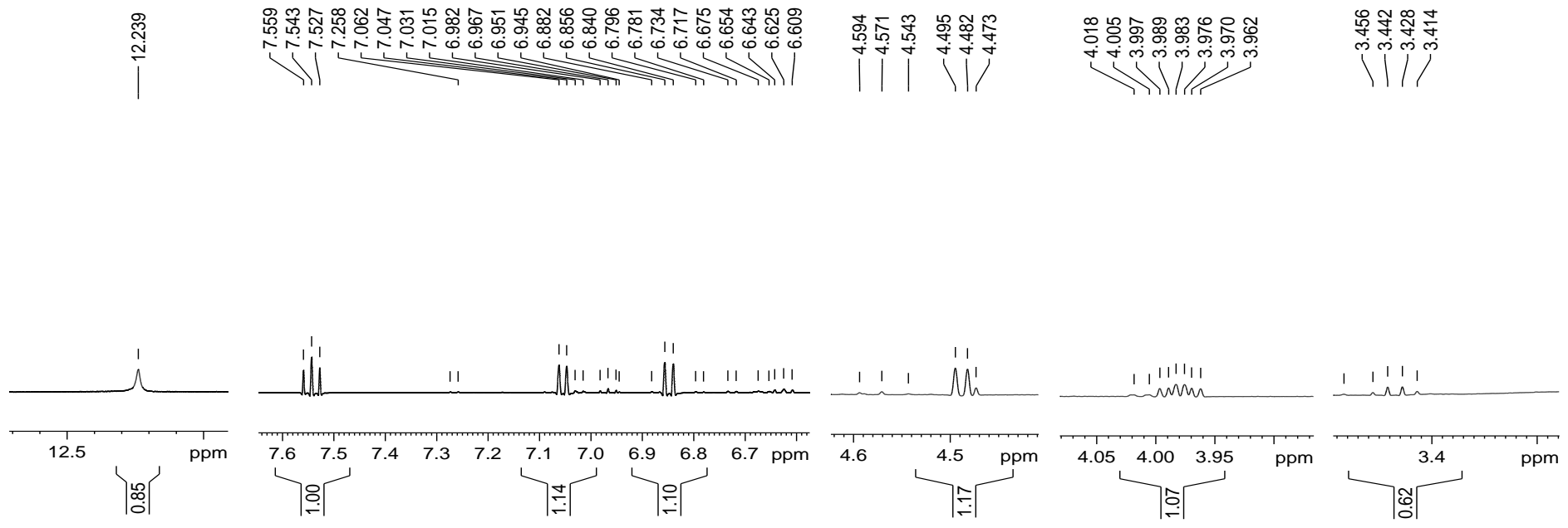


Figure S5.  $^{13}\text{C}$  NMR (500 MHz,  $\text{DMSO-}d_6$ ) spectrum of 4-hydroxy-6-dehydroxycytalone (2)

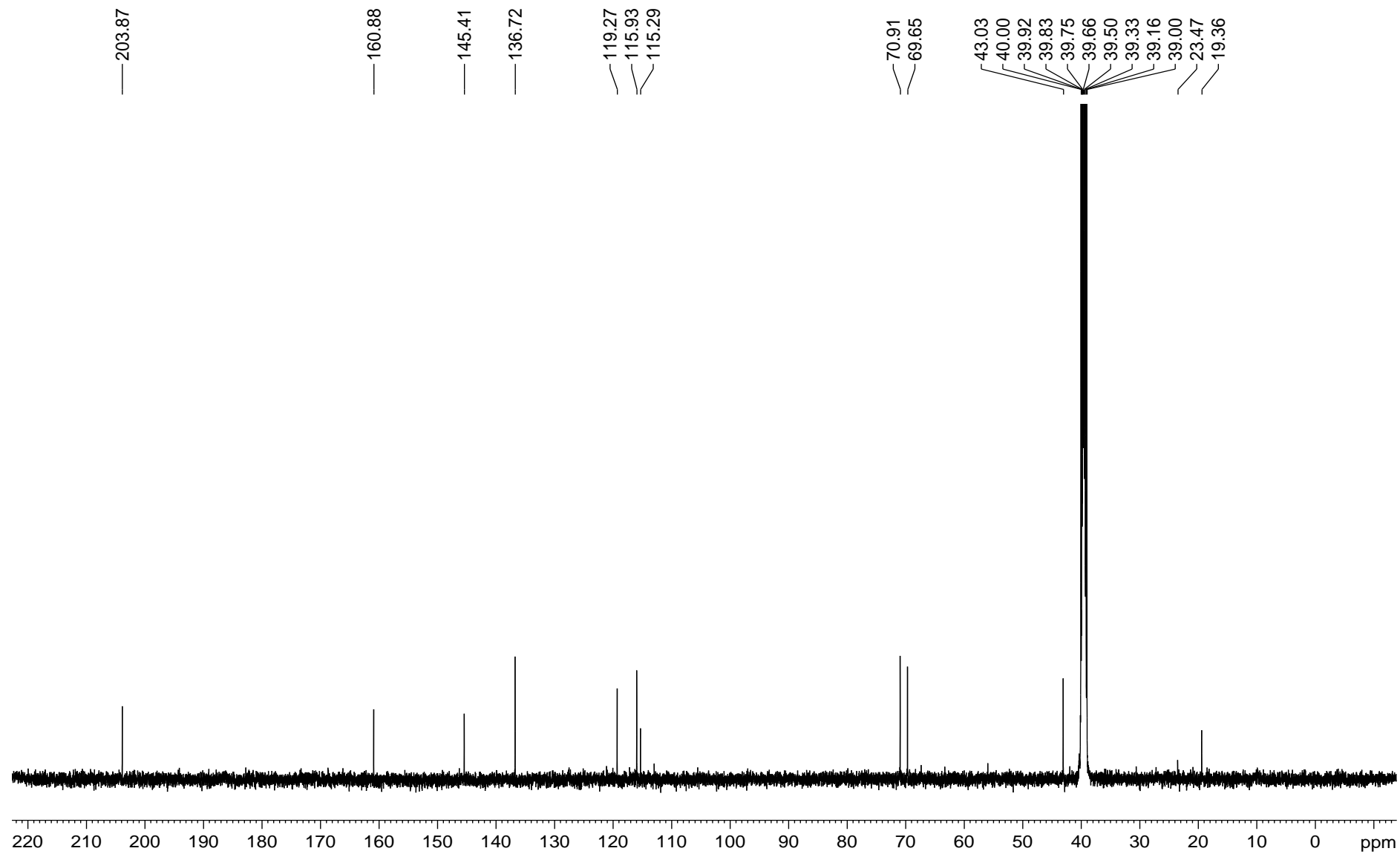
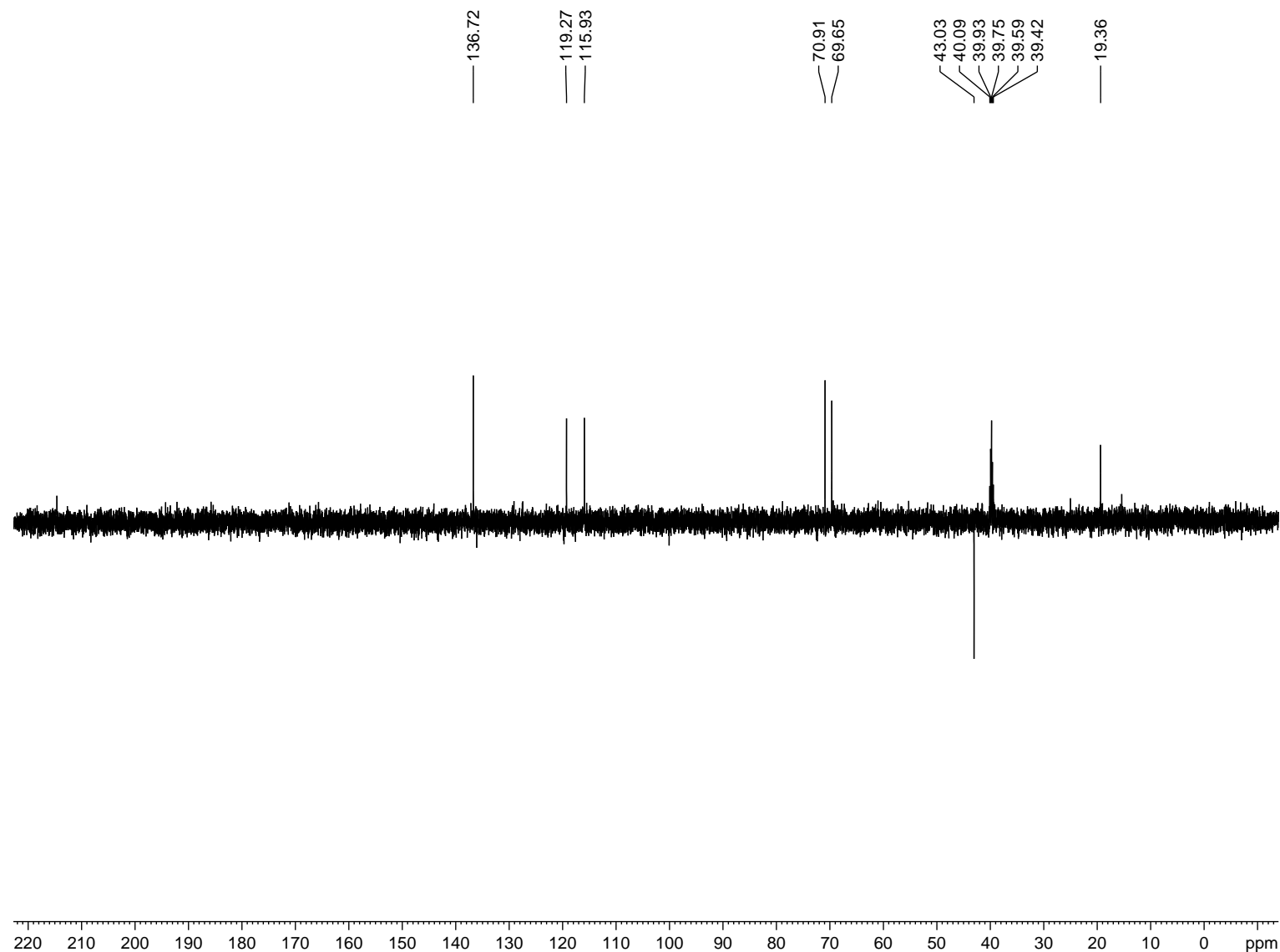


Figure S6. DEPT NMR (125 MHz, DMSO-*d*<sub>6</sub>) spectrum of 4-hydroxy-6-dehydroxycytalone (2)



```

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PROCNO        1

F2 - Acquisition Parameters
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Time          10.26
INSTRUM       spect
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PULPROG       dept135
TD            65536
SOLVENT       DMSO
NS            32
DS            32
SWH           29761.904 Hz
FIDRES        0.454131 Hz
AQ            1.1010048 sec
RG            196.84
DW            16.800 usec
DE            6.50 usec
TE            308.1 K
CNST2         145.0000000
D1            2.0000000 sec
D2            0.00344828 sec
D12           0.00002000 sec
TD0           4096

===== CHANNEL f1 =====
SFO1          125.7709936 MHz
NUC1           13C
P1             12.00 usec
P2             24.00 usec
PLW1          79.43299866 W

===== CHANNEL f2 =====
SFO2          500.1315004 MHz
NUC2           1H
CPDPRG[2]     waltz16
P3             11.00 usec
P4             22.00 usec
PCPD2         78.00 usec
PLW2          15.84899998 W
PLW12         0.31623000 W

F2 - Processing parameters
SI            32768
SF            125.7578626 MHz
WDW           EM
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LB            1.00 Hz
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PC            1.40
    
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Figure S7. CD spectrum of 4-hydroxy-6-dehydroxycytalone (2)

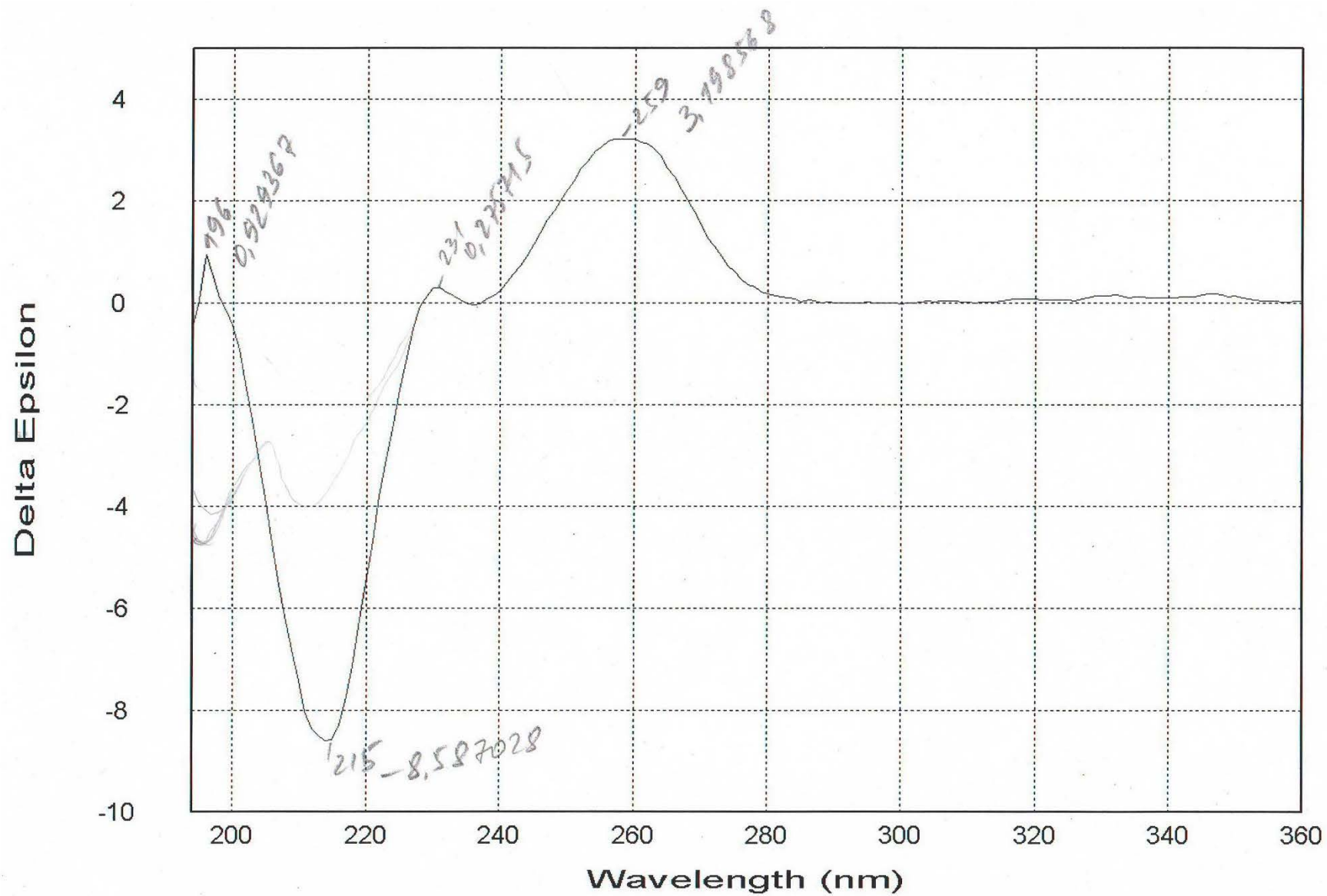
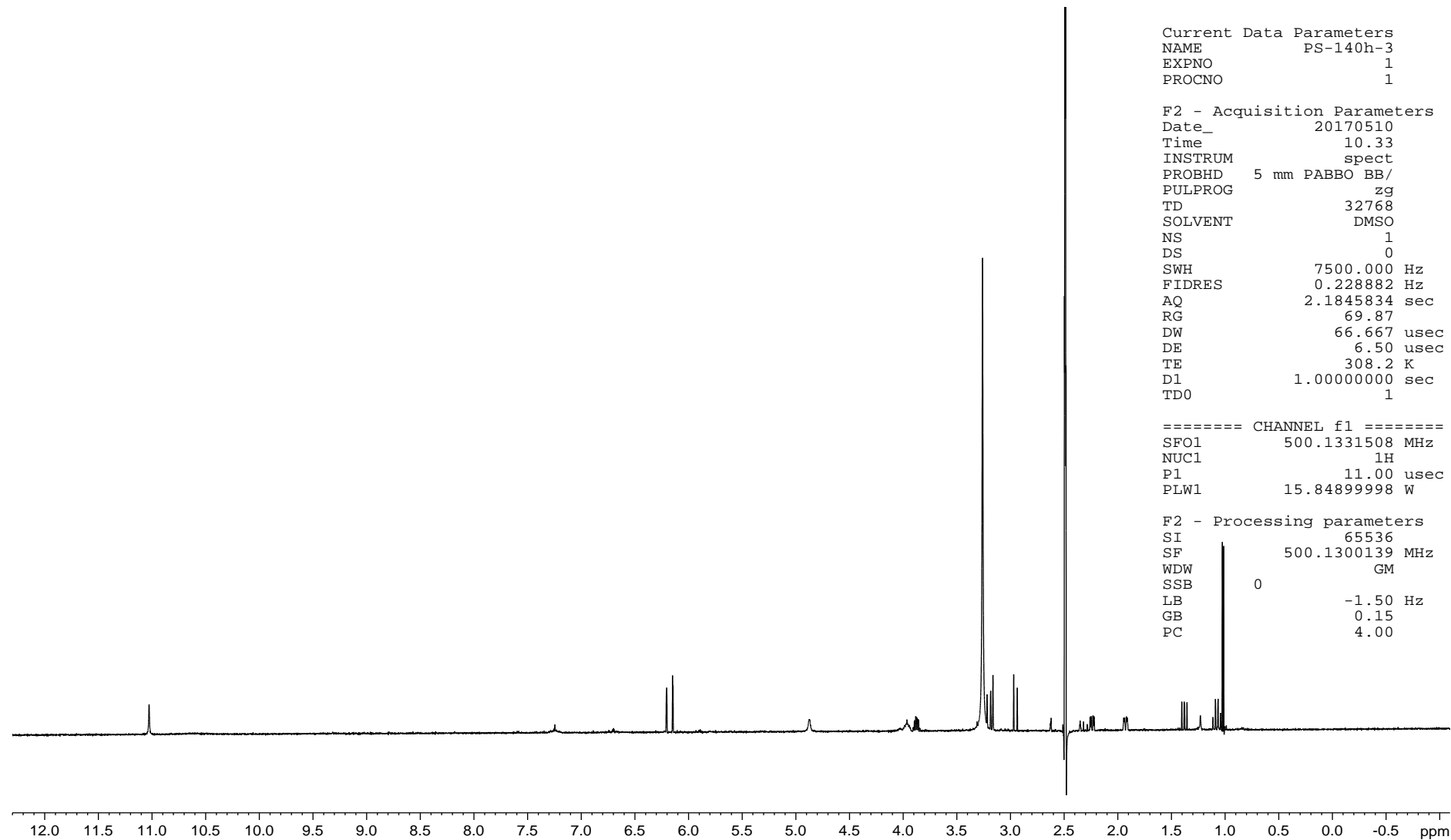
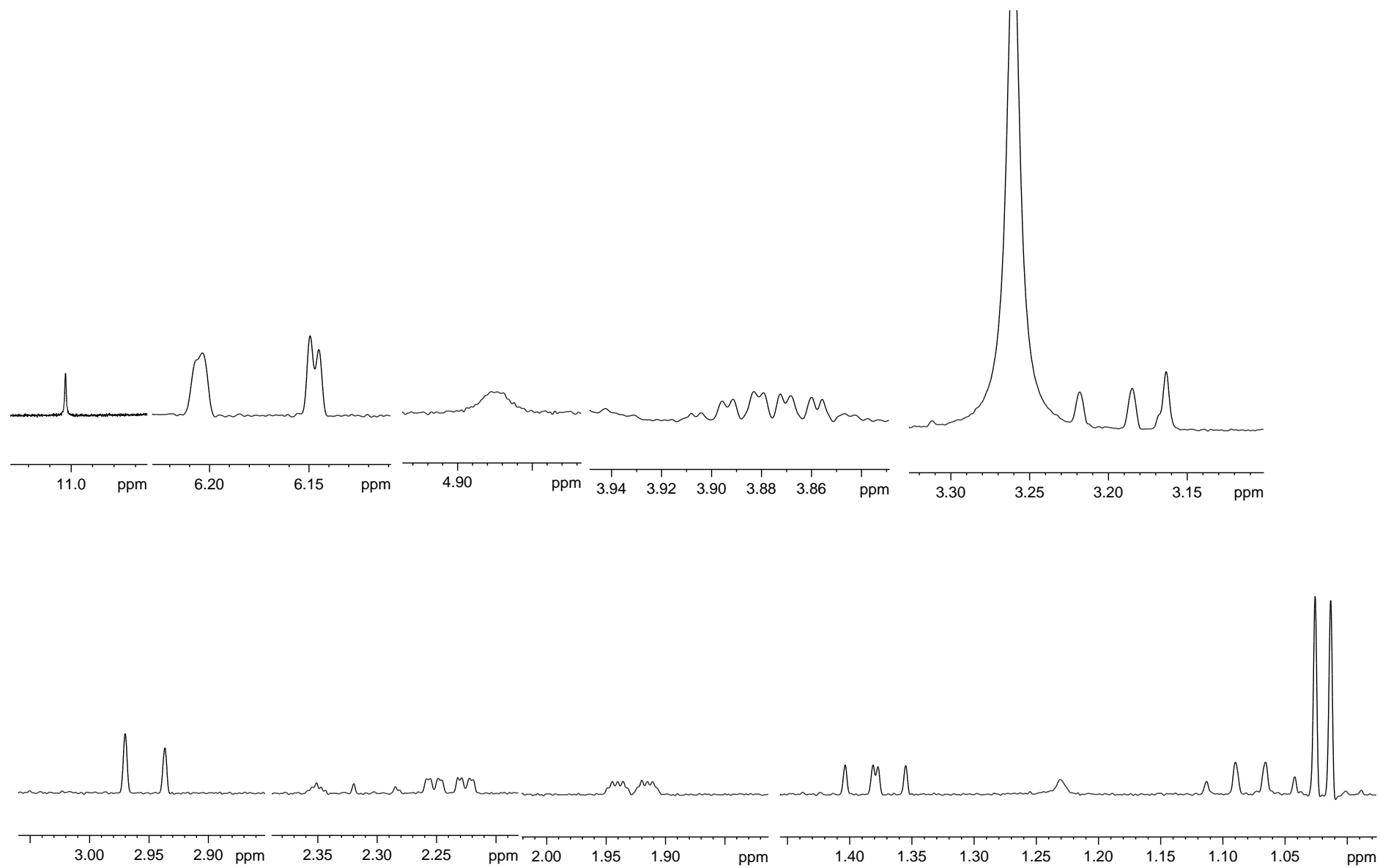


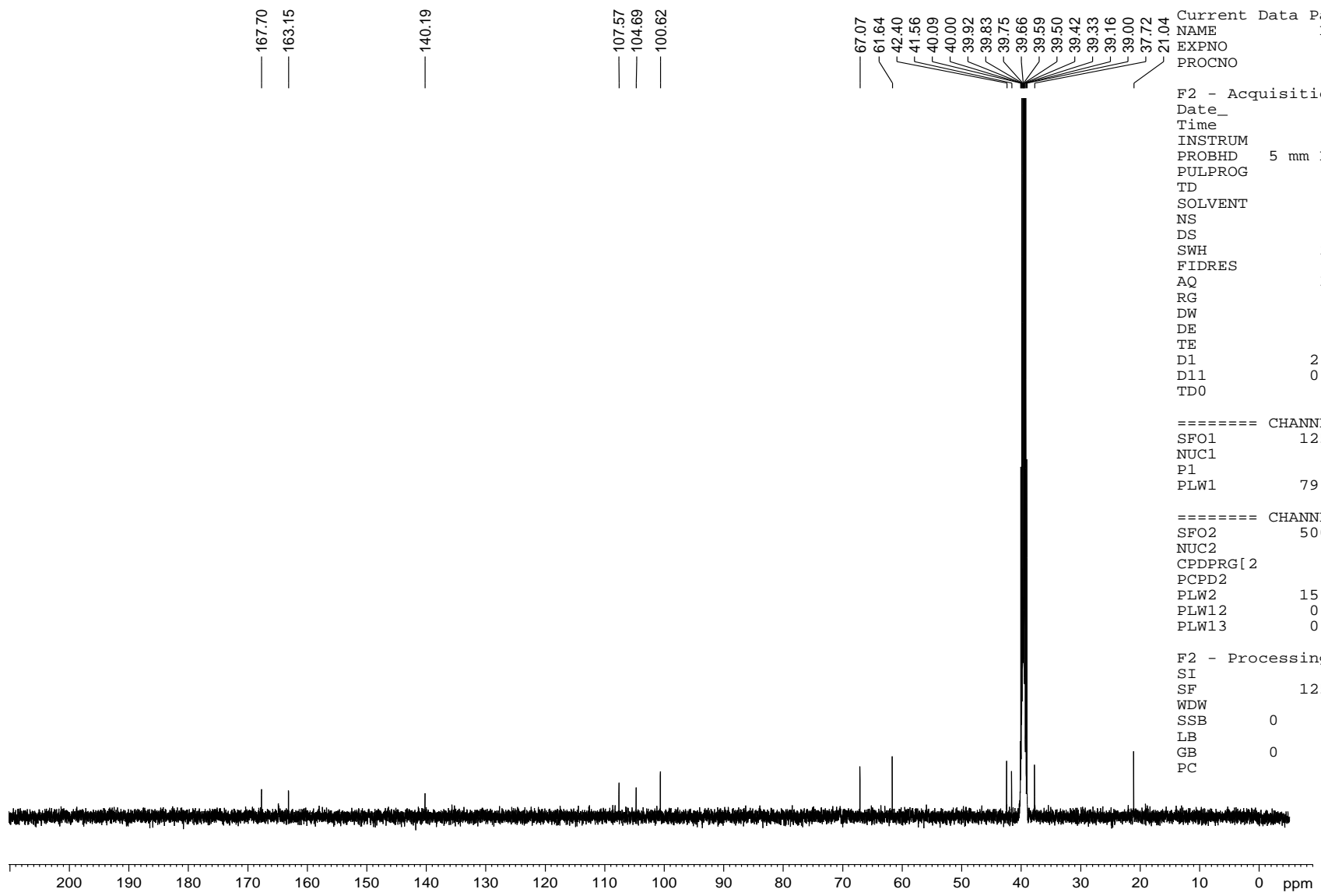
Figure S8. <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) spectrum of demethylcitroviranol (3)







**Figure S9.**  $^{13}\text{C}$  NMR (125 MHz,  $\text{DMSO-}d_6$ ) spectrum of demethylcitroviranol (3)



Current Data Parameters  
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 PROCNO 1

F2 - Acquisition Parameters  
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 FIDRES 0.420739 Hz  
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 TD0 4096

==== CHANNEL f1 =====  
 SFO1 125.7709936 MHz  
 NUC1 13C  
 P1 12.00 usec  
 PLW1 79.43299866 W

==== CHANNEL f2 =====  
 SFO2 500.1320005 MHz  
 NUC2 1H  
 CPDPRG[2] waltz16  
 PCPD2 78.00 usec  
 PLW2 15.84899998 W  
 PLW12 0.31623000 W  
 PLW13 0.15849000 W

F2 - Processing parameters  
 SI 65536  
 SF 125.7578635 MHz  
 WDW EM  
 SSB 0  
 LB 1.00 Hz  
 GB 0  
 PC 1.20

Figure S10. DEPT NMR (125 MHz, DMSO-*d*<sub>6</sub>) spectrum of demethylcitreoviranol (3)

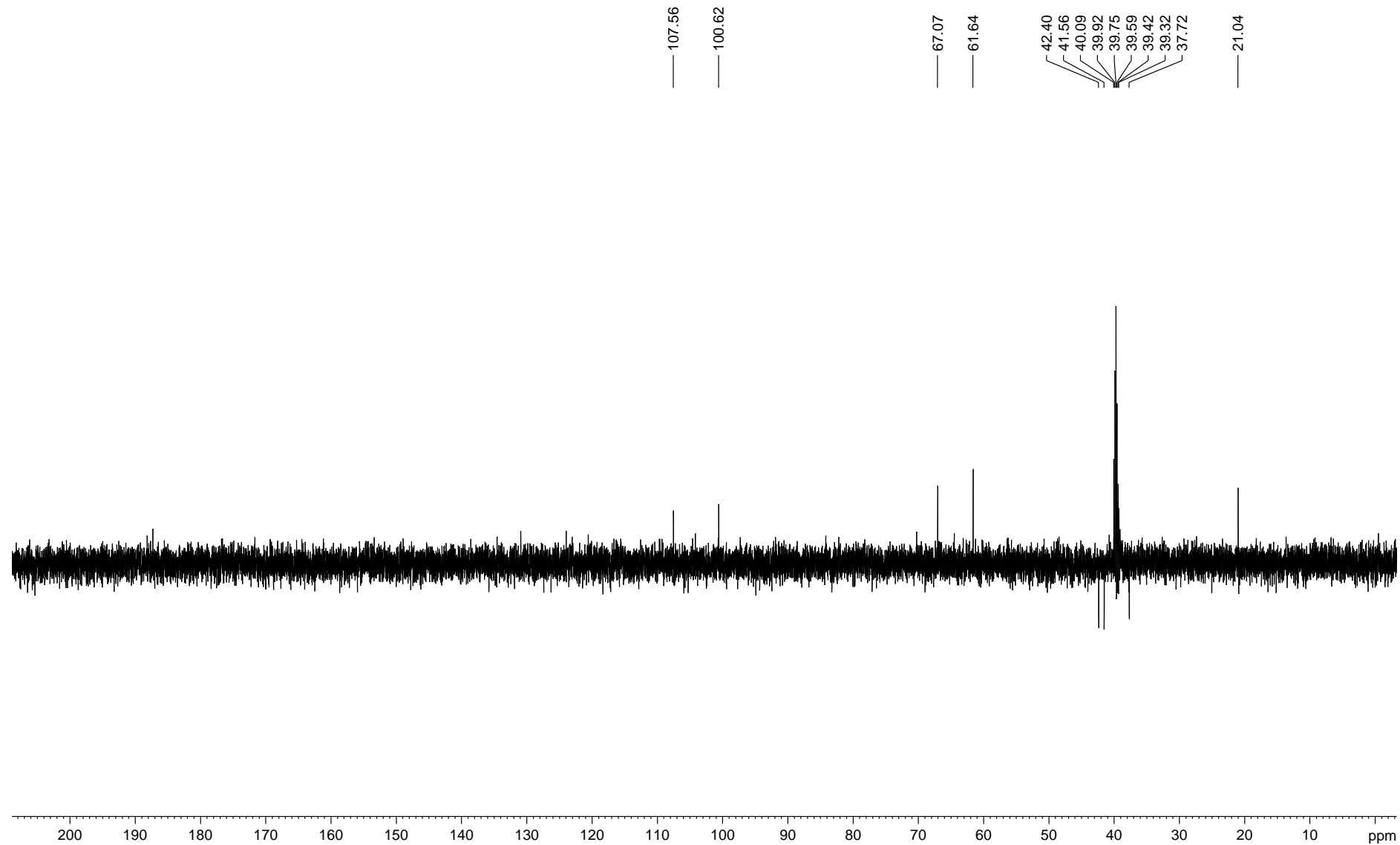


Figure S11. CD spectrum of demethylcitreoviranol (3)

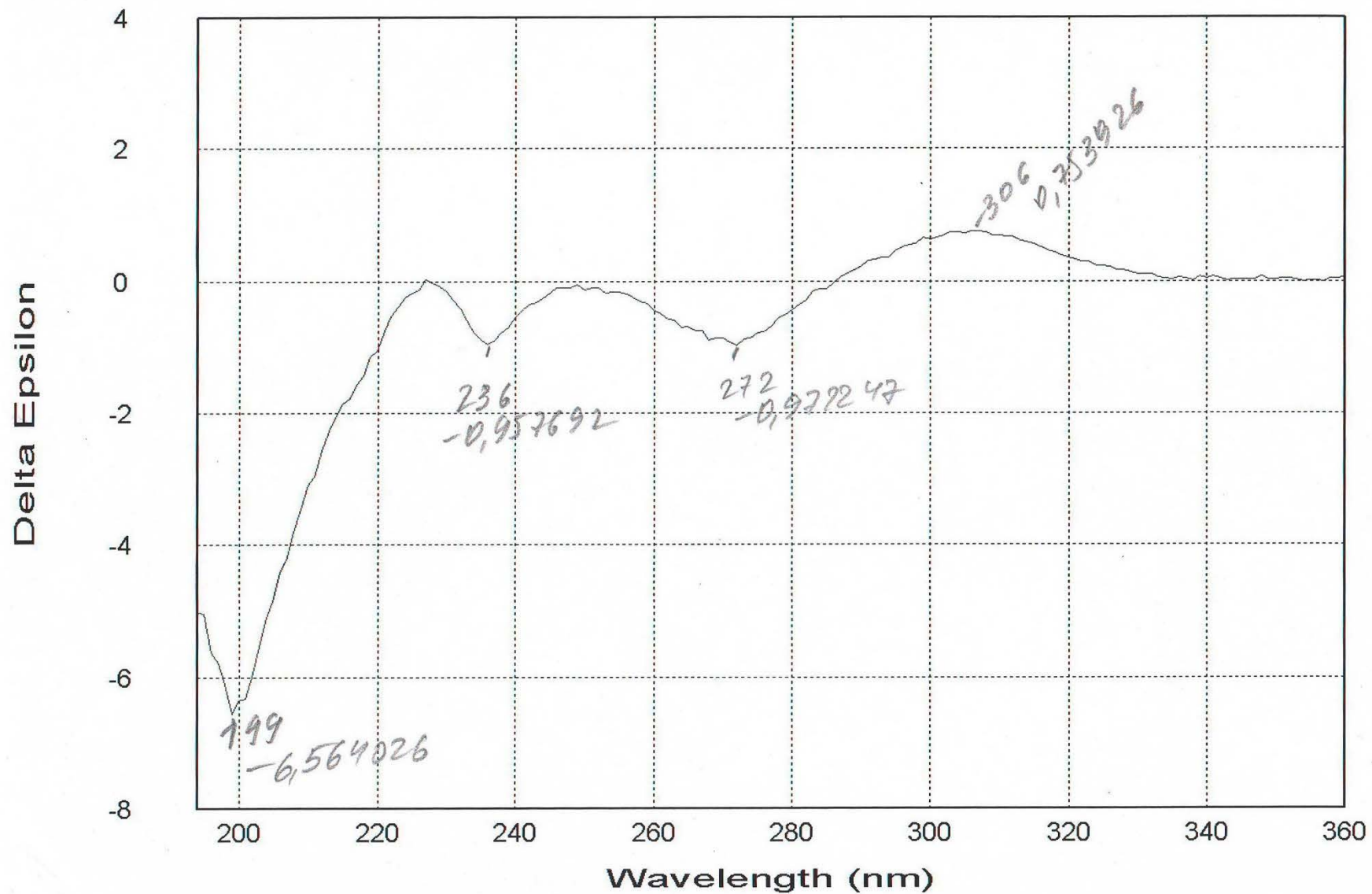
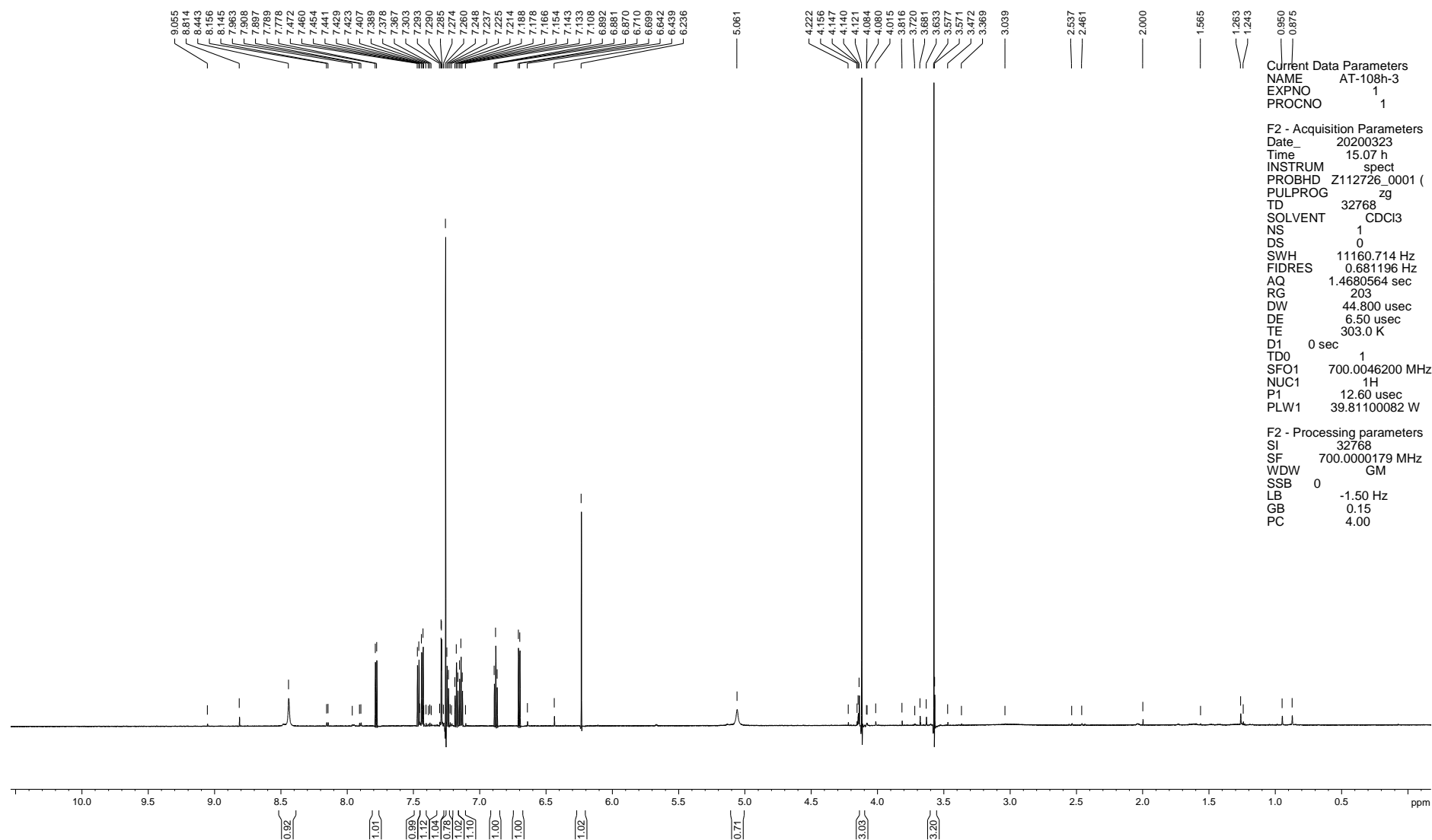
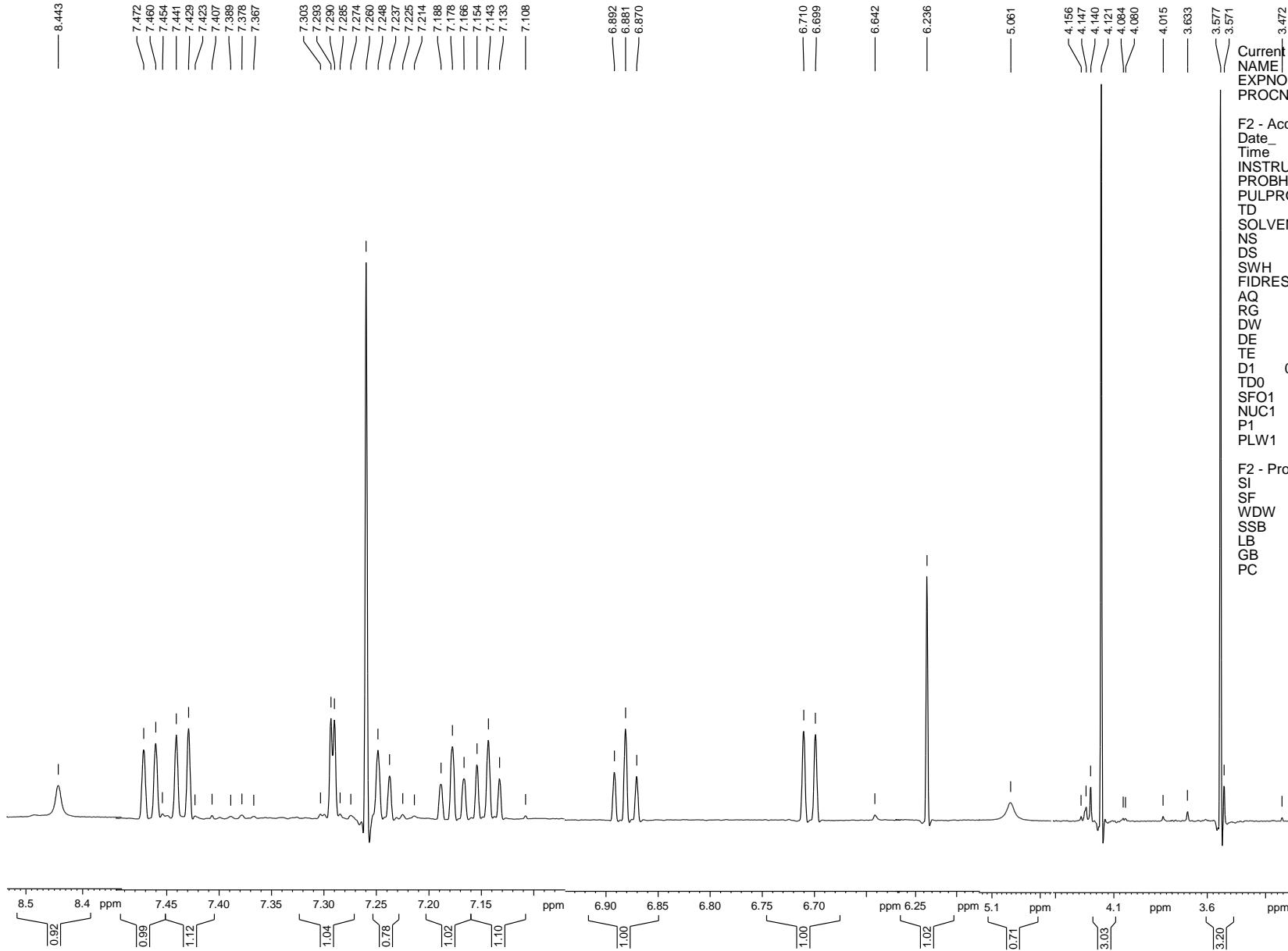


Figure S12. <sup>1</sup>H NMR (700 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone F (6)





Current Data Parameters  
 NAME AT-108h-3  
 EXPNO 1  
 PROCNO 1

F2 - Acquisition Parameters  
 Date\_ 20200323  
 Time 15.07 h  
 INSTRUM spect  
 PROBHD Z112726\_0001 (  
 PULPROG zg  
 TD 32768  
 SOLVENT CDCl3  
 NS 1  
 DS 0  
 SWH 11160.714 Hz  
 FIDRES 0.681196 Hz  
 AQ 1.4680564 sec  
 RG 203  
 DW 44.800 usec  
 DE 6.50 usec  
 TE 303.0 K  
 D1 0 sec  
 TD0 1  
 SFO1 700.0046200 MHz  
 NUC1 1H  
 P1 12.60 usec  
 PLW1 39.81100082 W

F2 - Processing parameters  
 SI 32768  
 SF 700.0000179 MHz  
 WDW GM  
 SSB 0  
 LB -1.50 Hz  
 GB 0.15  
 PC 4.00

Figure S13.  $^{13}\text{C}$  NMR (176 MHz,  $\text{CDCl}_3$ ) spectrum of asterriquinone F (6)

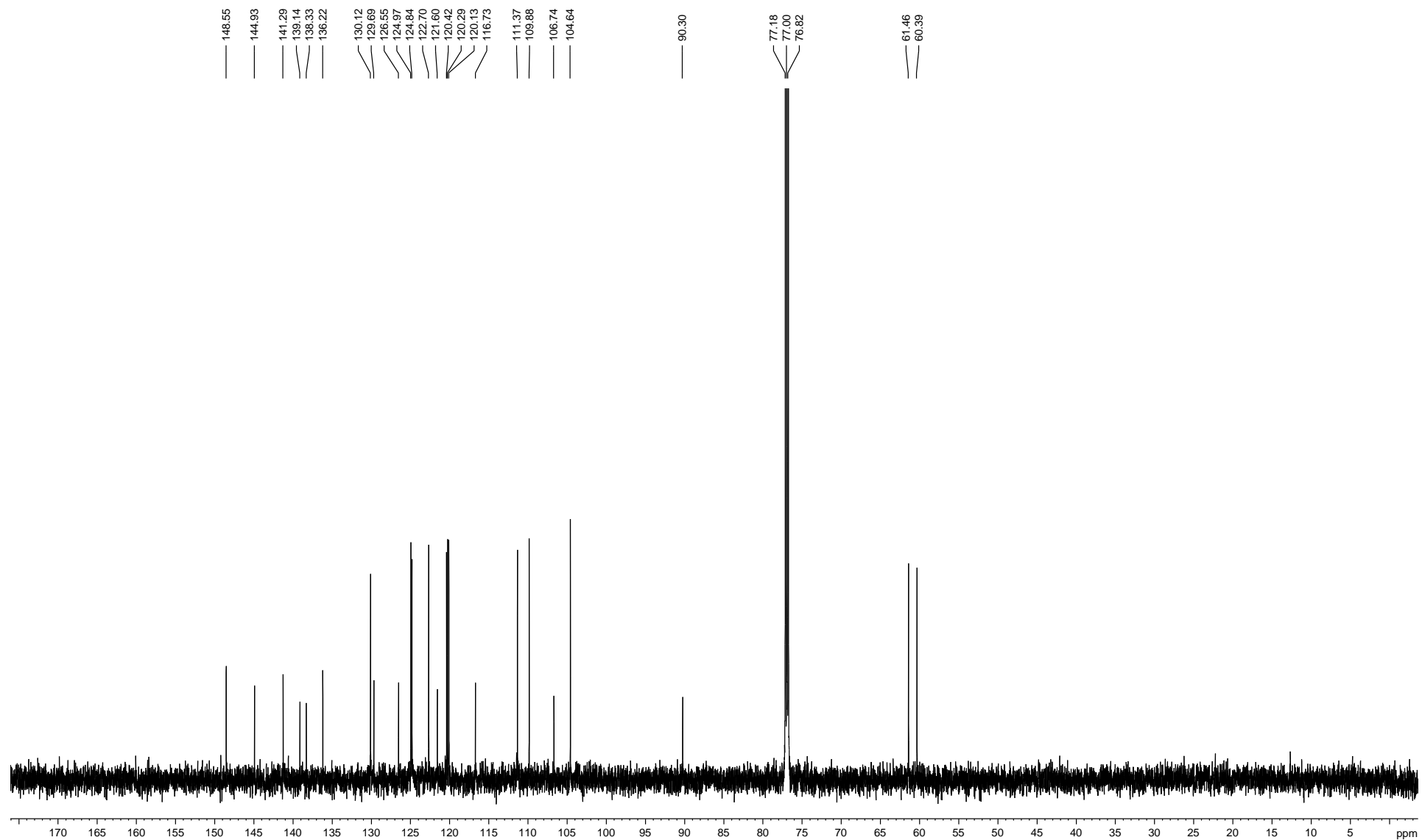


Figure S14. DEPT (176 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone F (6)

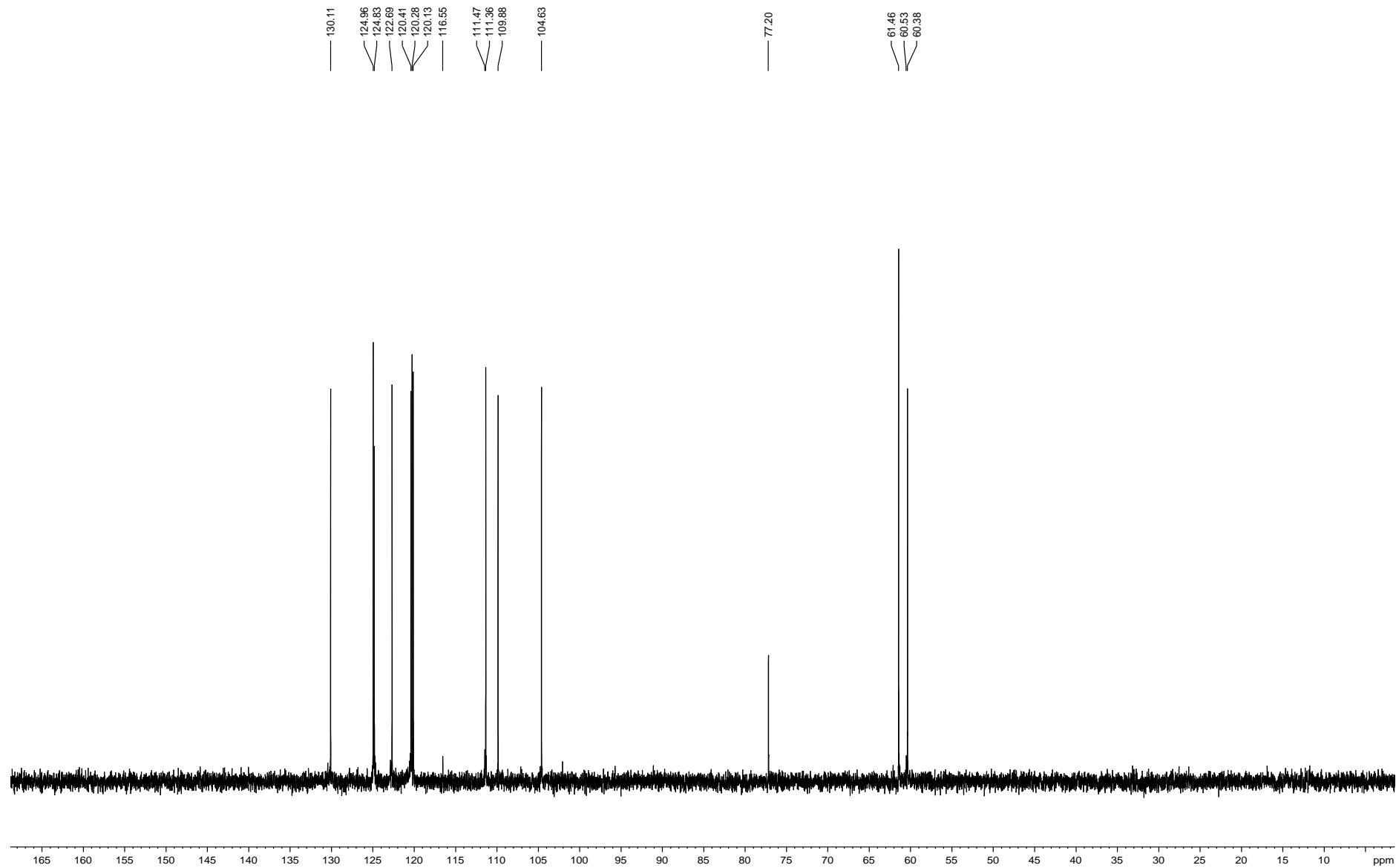




Figure S15. HSQC (176 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone F (6)

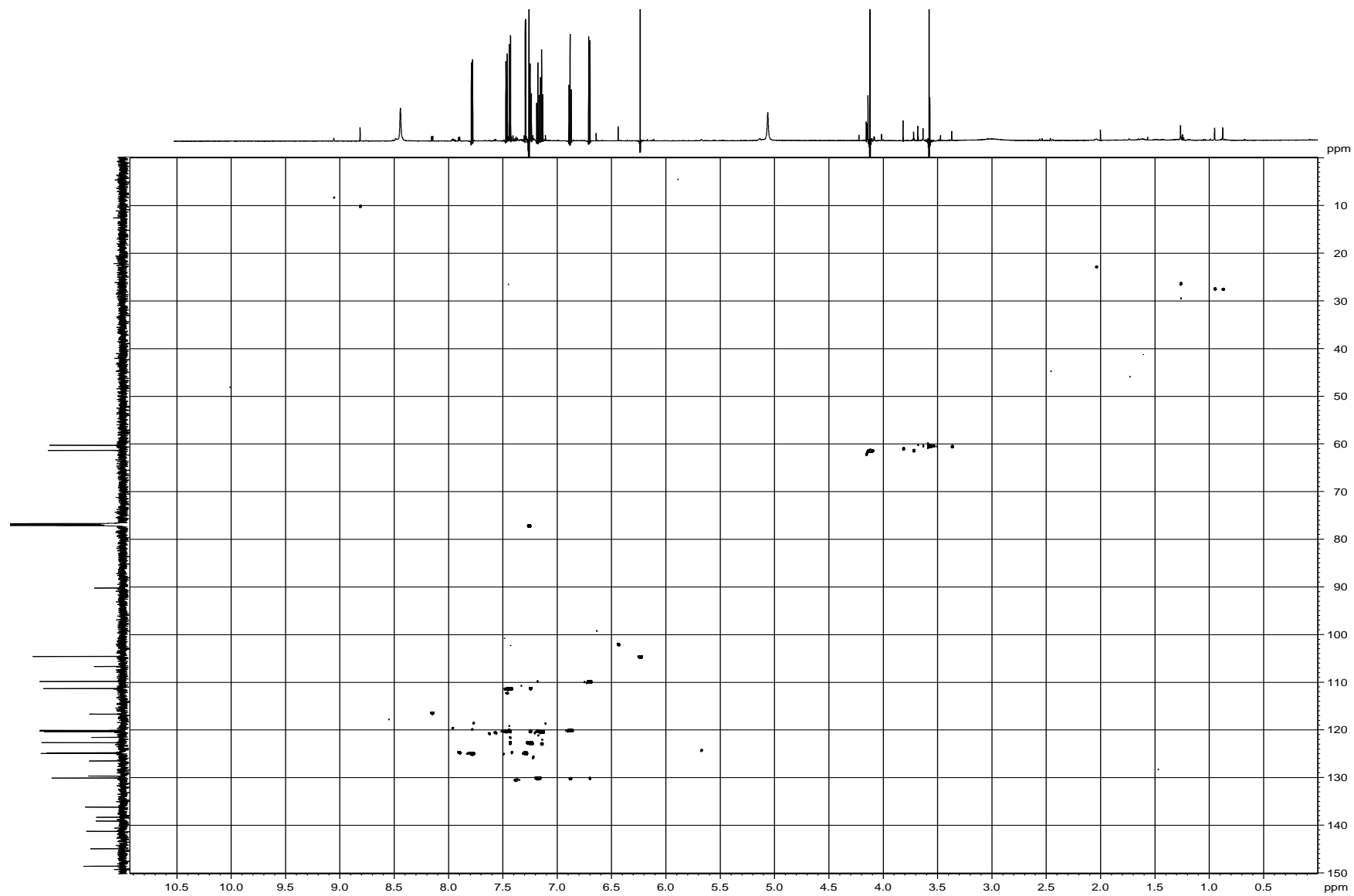


Figure S16. HMBC (176 MHz, CDCl<sub>3</sub>) spectrum of Asterriquinone F (6)

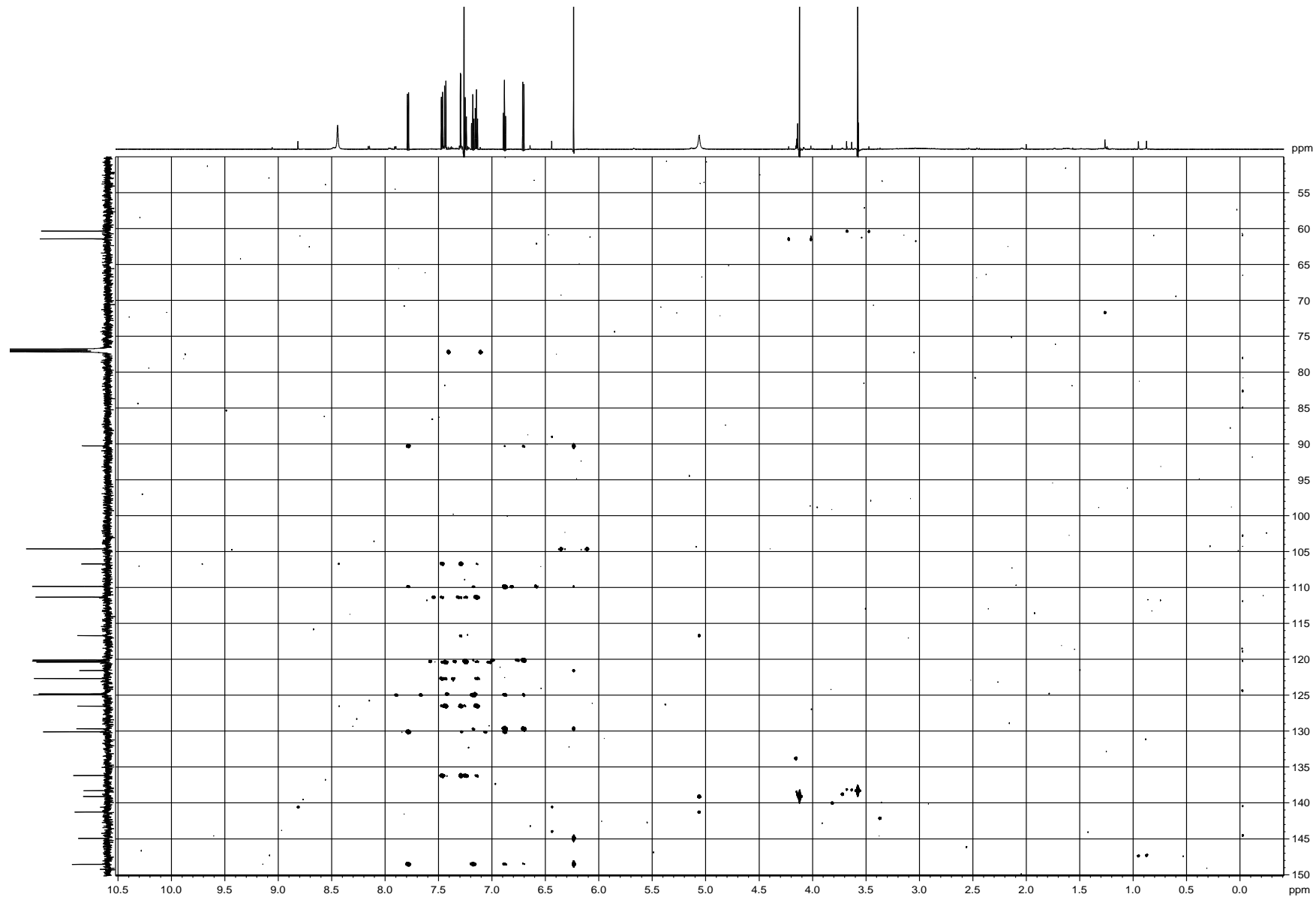


Figure S17. COSY (176 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone F (6)

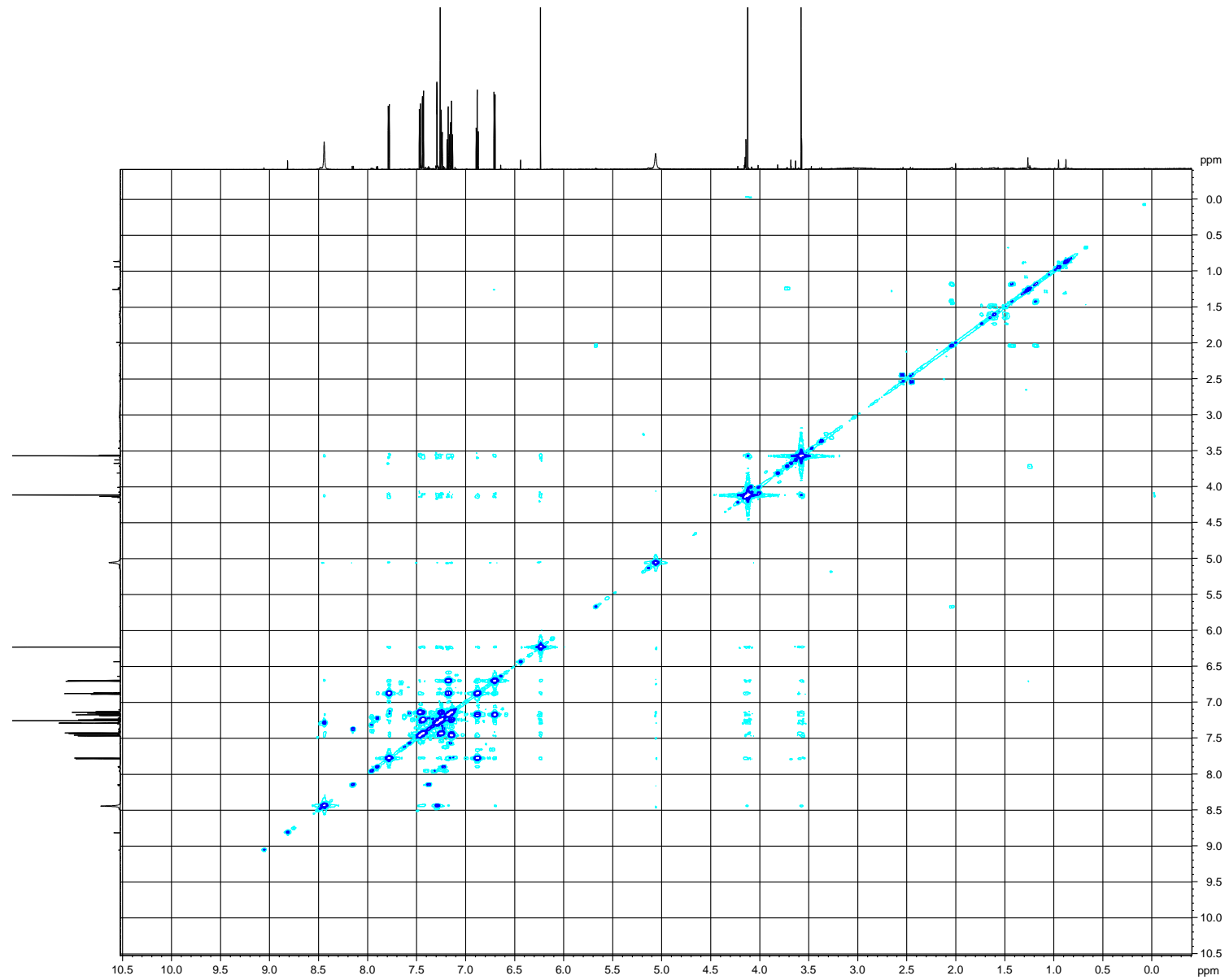


Figure S18. ROESY (176 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone F (6)

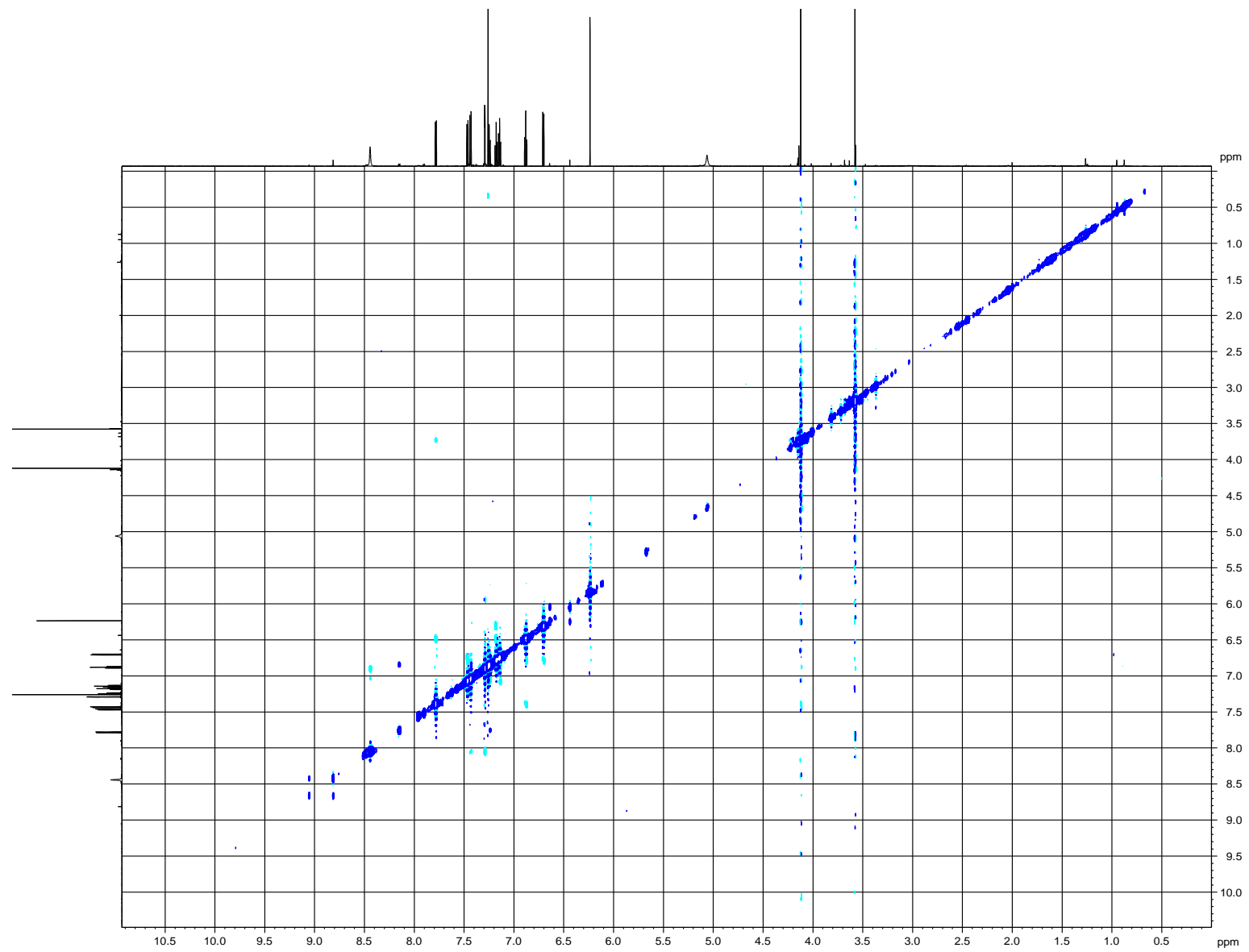


Figure S19. CD spectrum of asterriquinone F (6)

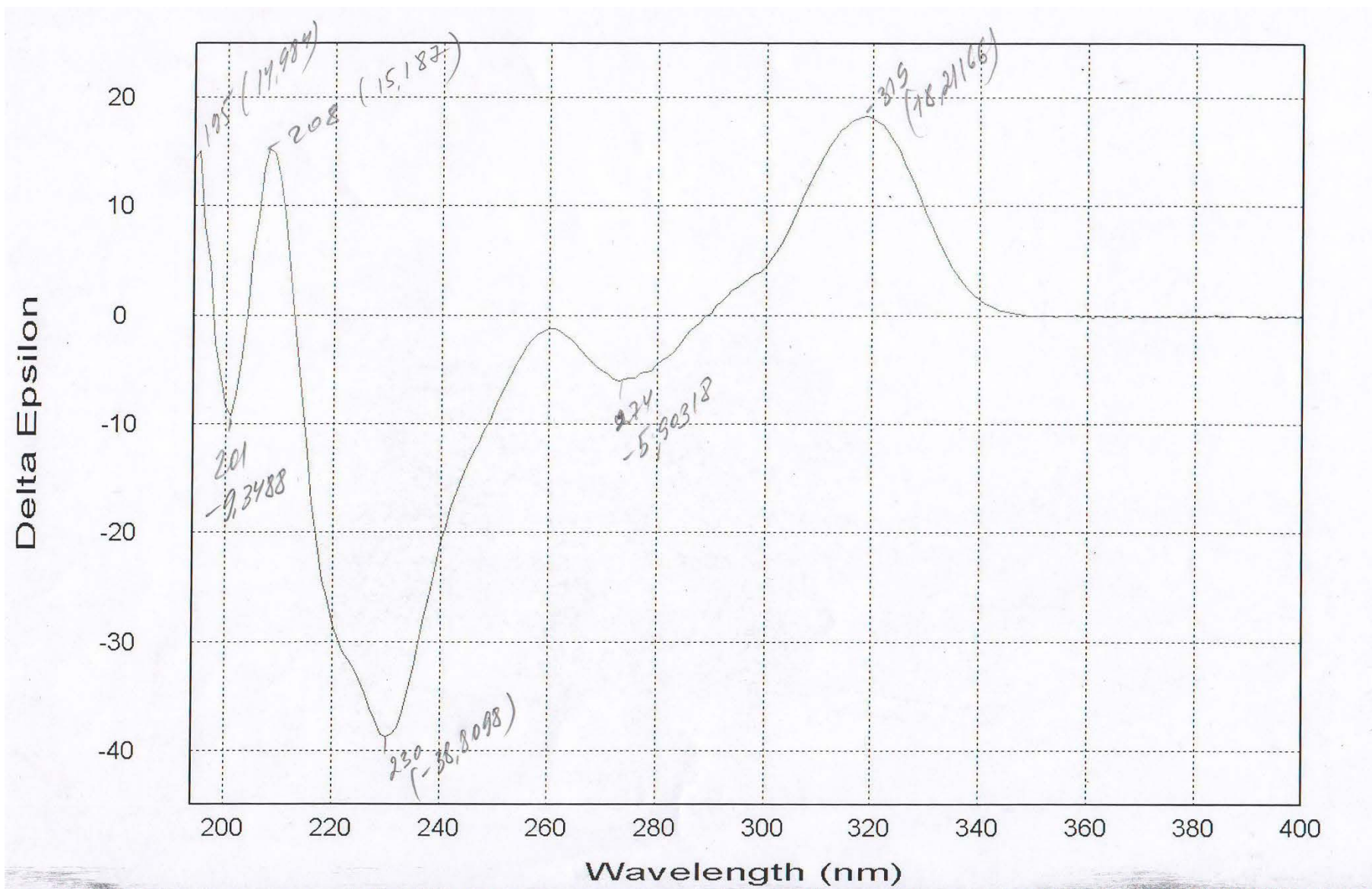


Figure S20. <sup>1</sup>H NMR (700 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone A3 (7)

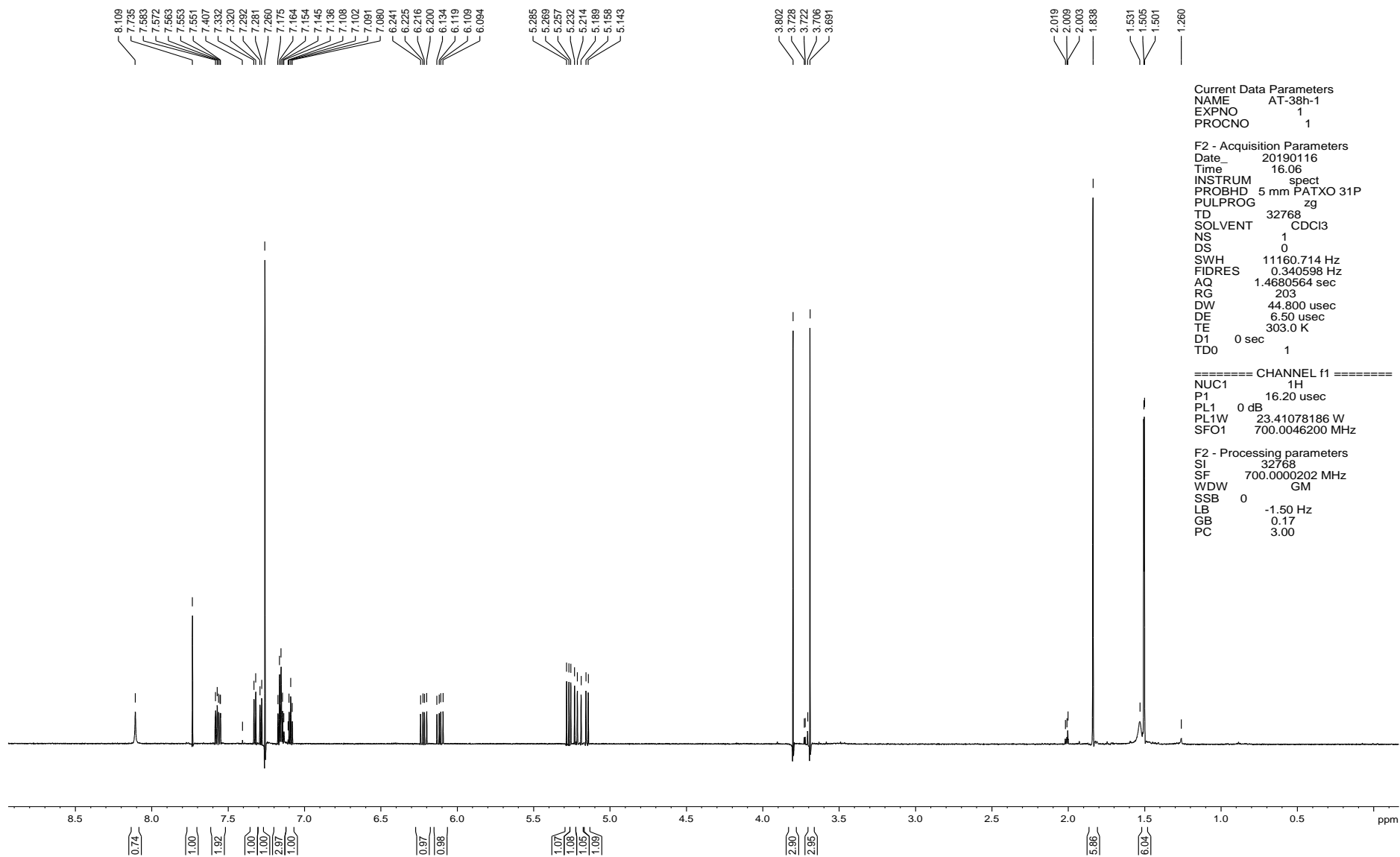
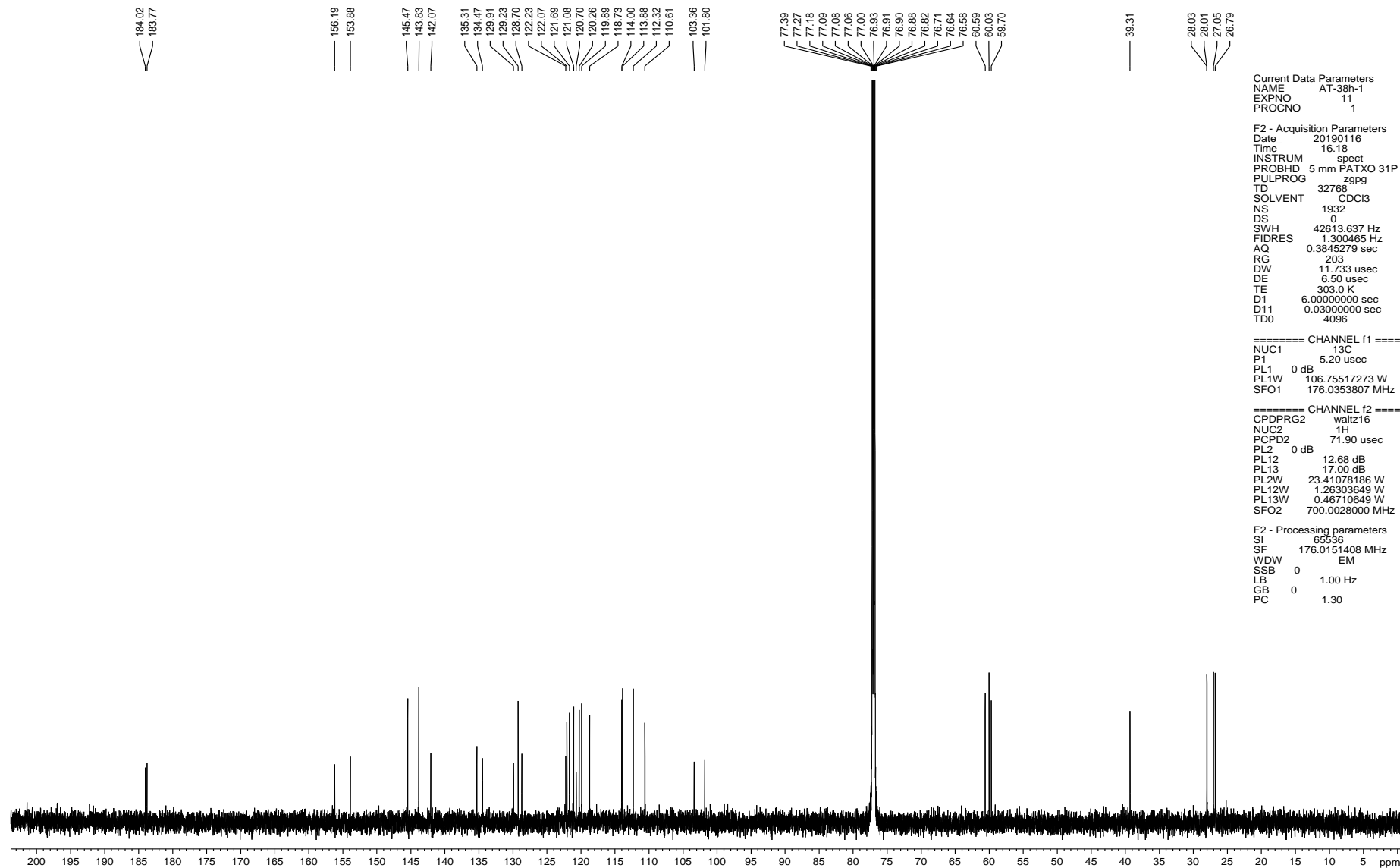


Figure S21. <sup>13</sup>C NMR (176 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone A3 (7)



```

Current Data Parameters
NAME      AT-38h-1
EXPNO    11
PROCNO   1

F2 - Acquisition Parameters
Date_    20190116
Time     16.18
INSTRUM  spect
PROBHD   5 mm PATXO 31P
PULPROG  zgpg
TD       32768
SOLVENT  CDCl3
NS       1932
DS       0
SWH      42613.637 Hz
FIDRES   1.300465 Hz
AQ       0.3845279 sec
RG       203
DW       11.733 usec
DE       6.50 usec
TE       303.0 K
D1       6.00000000 sec
D11      0.03000000 sec
TDO      4096

===== CHANNEL f1 =====
NUC1     13C
P1       5.20 usec
PL1      0 dB
PL1W     106.75517273 W
SFO1     176.0353807 MHz

===== CHANNEL f2 =====
CPDPRG2  waltz16
NUC2     1H
PCPD2    71.90 usec
PL2      0 dB
PL12     12.68 dB
PL13     17.00 dB
PL2W     23.41078186 W
PL12W    1.26303649 W
PL13W    0.46710649 W
SFO2     700.0028000 MHz

F2 - Processing parameters
SI       65536
SF       176.0151408 MHz
WDW      EM
SSB      0
LB       1.00 Hz
GB       0
PC       1.30
    
```

Figure S22. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone B4 (8)

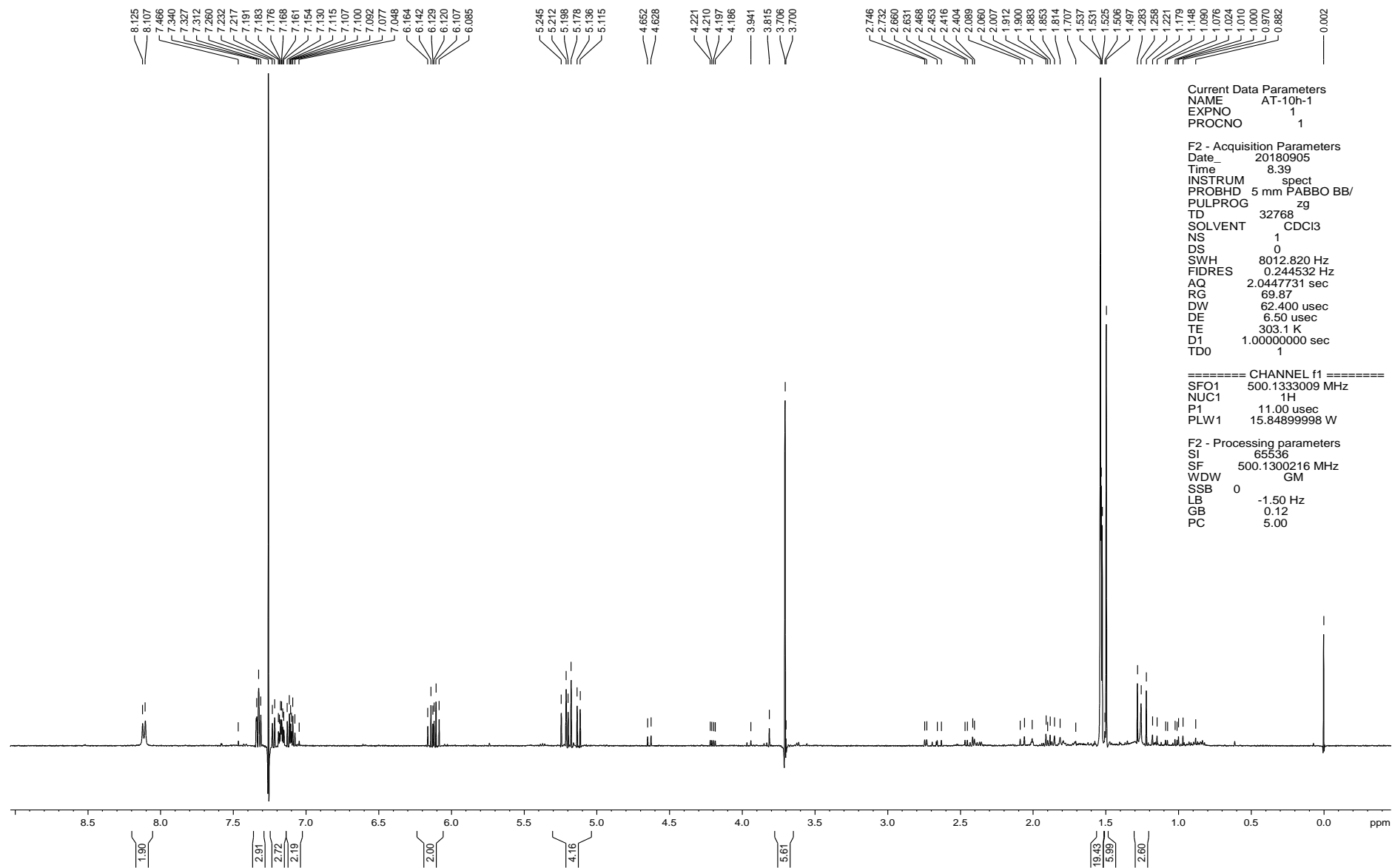




Figure S23.  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ) spectrum of asterolinone B4 (8)

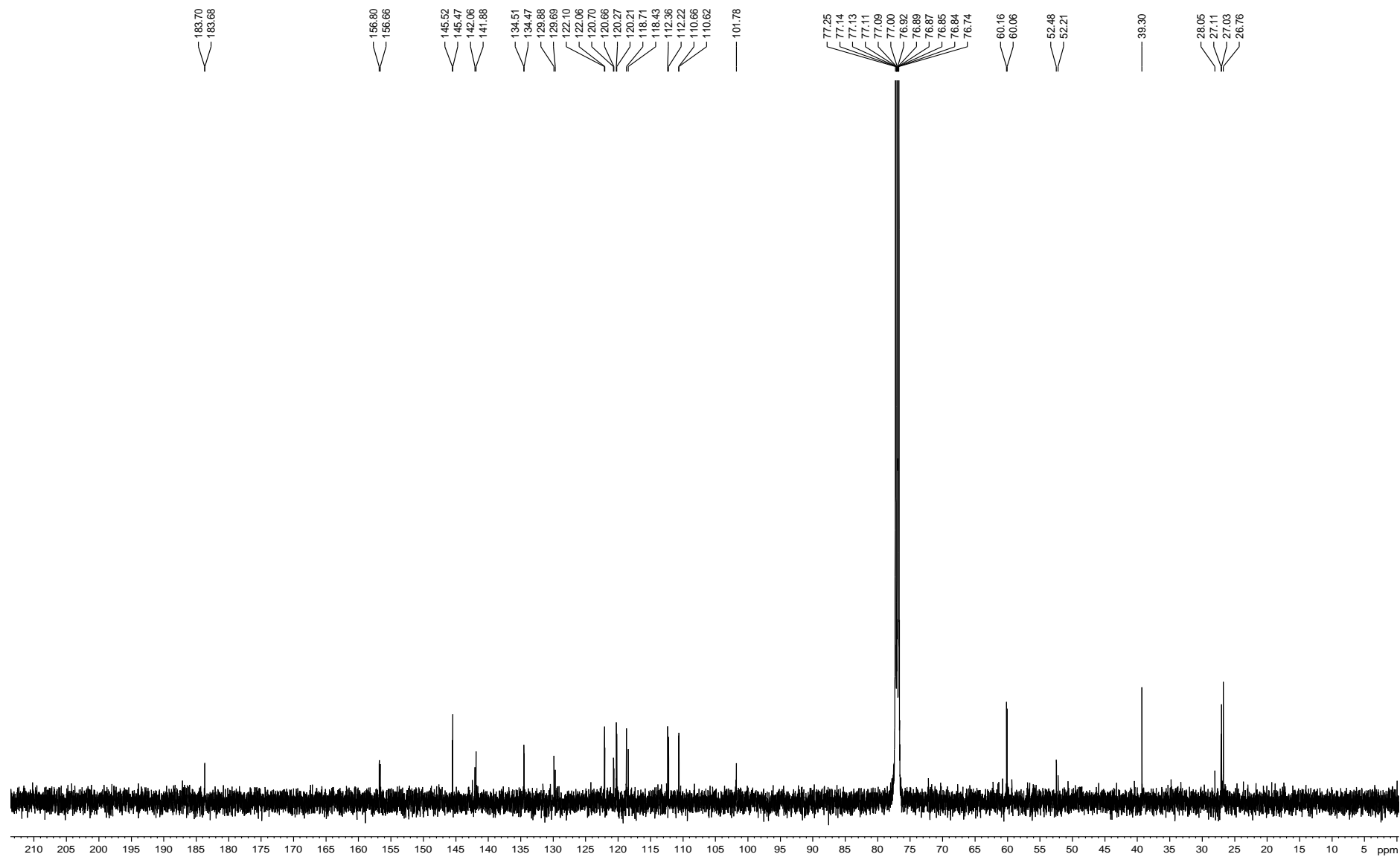


Figure S24. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone C1 (9)

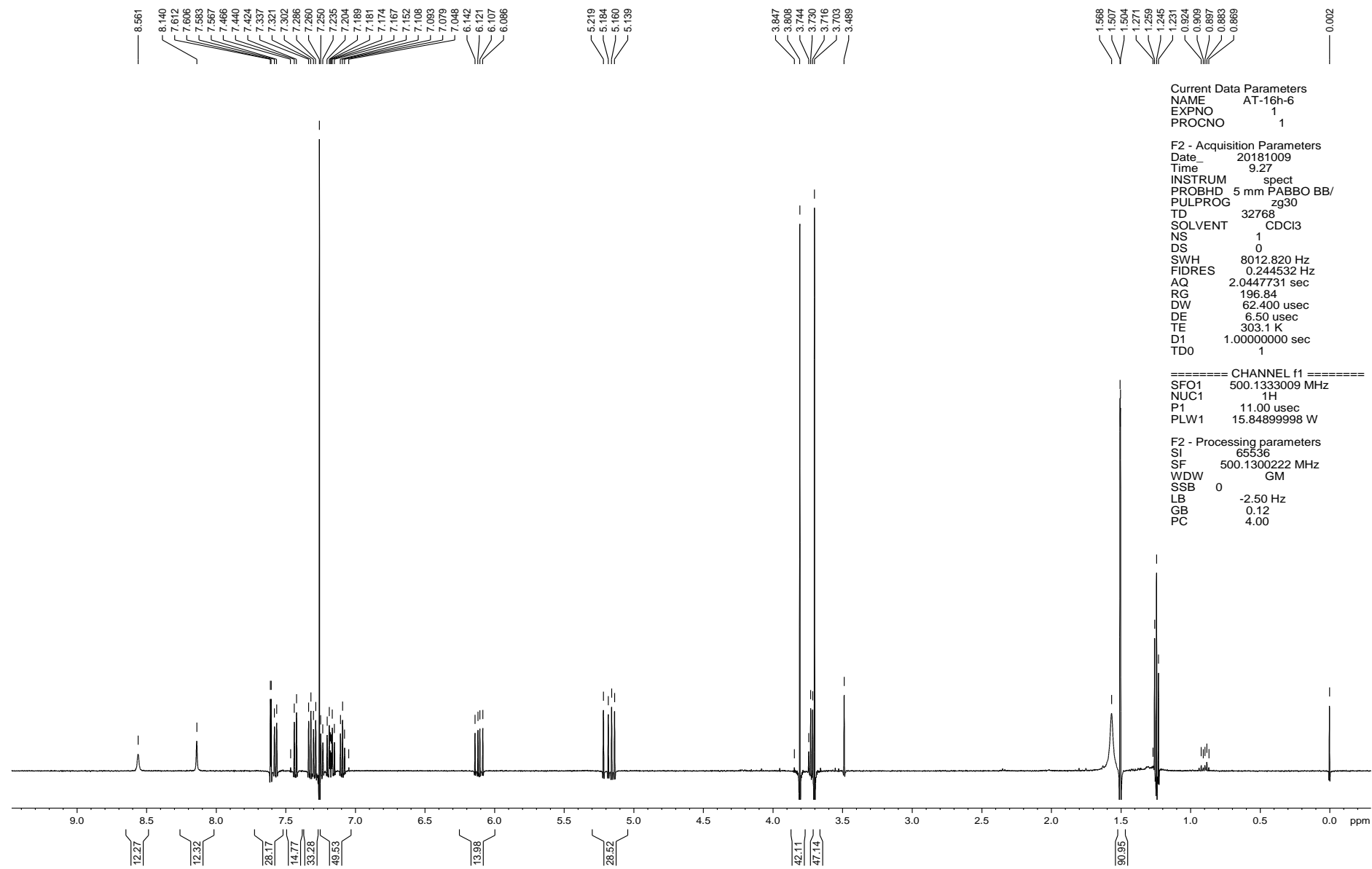


Figure S25.  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ) spectrum of asterriquinone C1 (9)

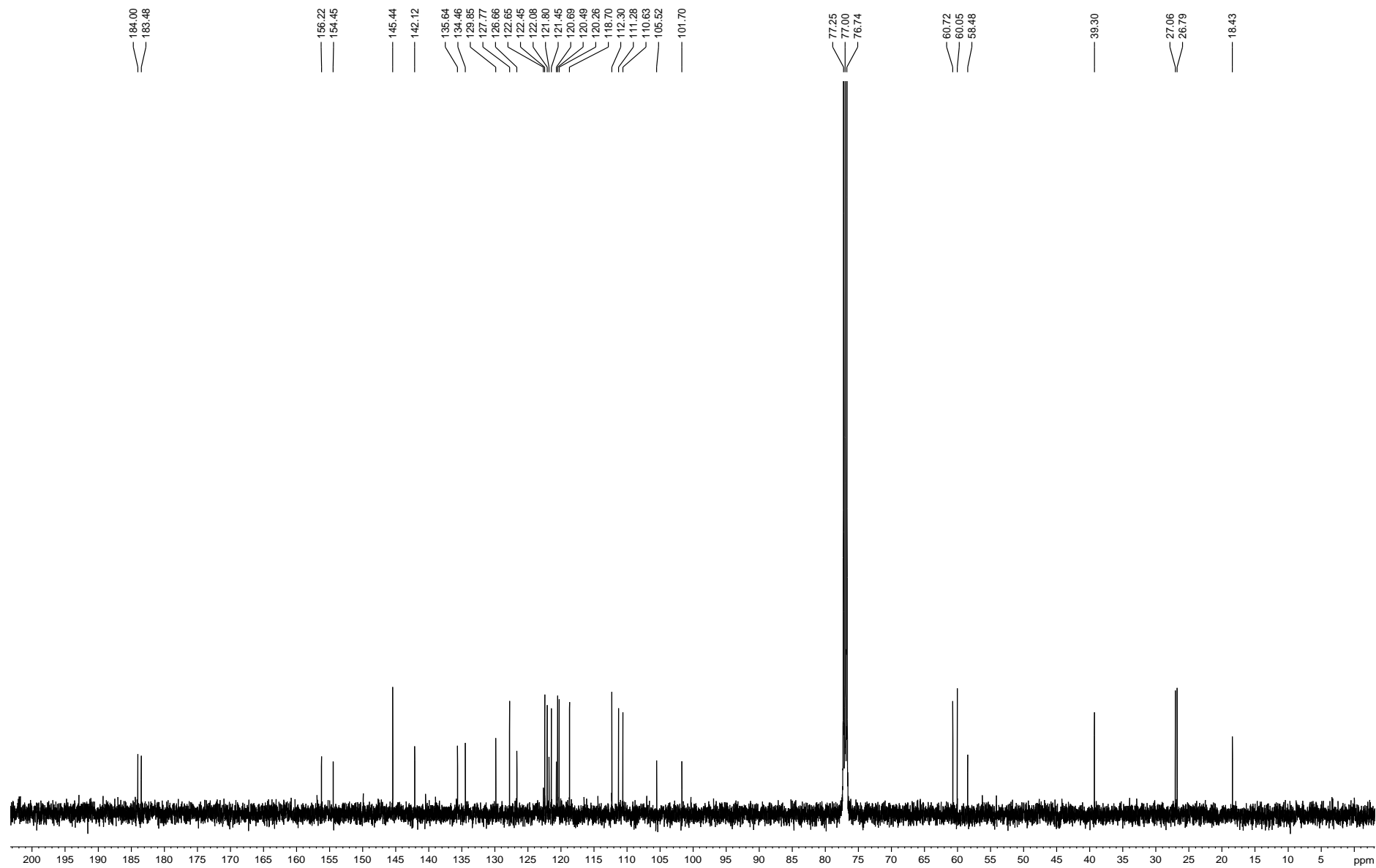


Figure S26. <sup>1</sup>H NMR (700 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone C2 (10)

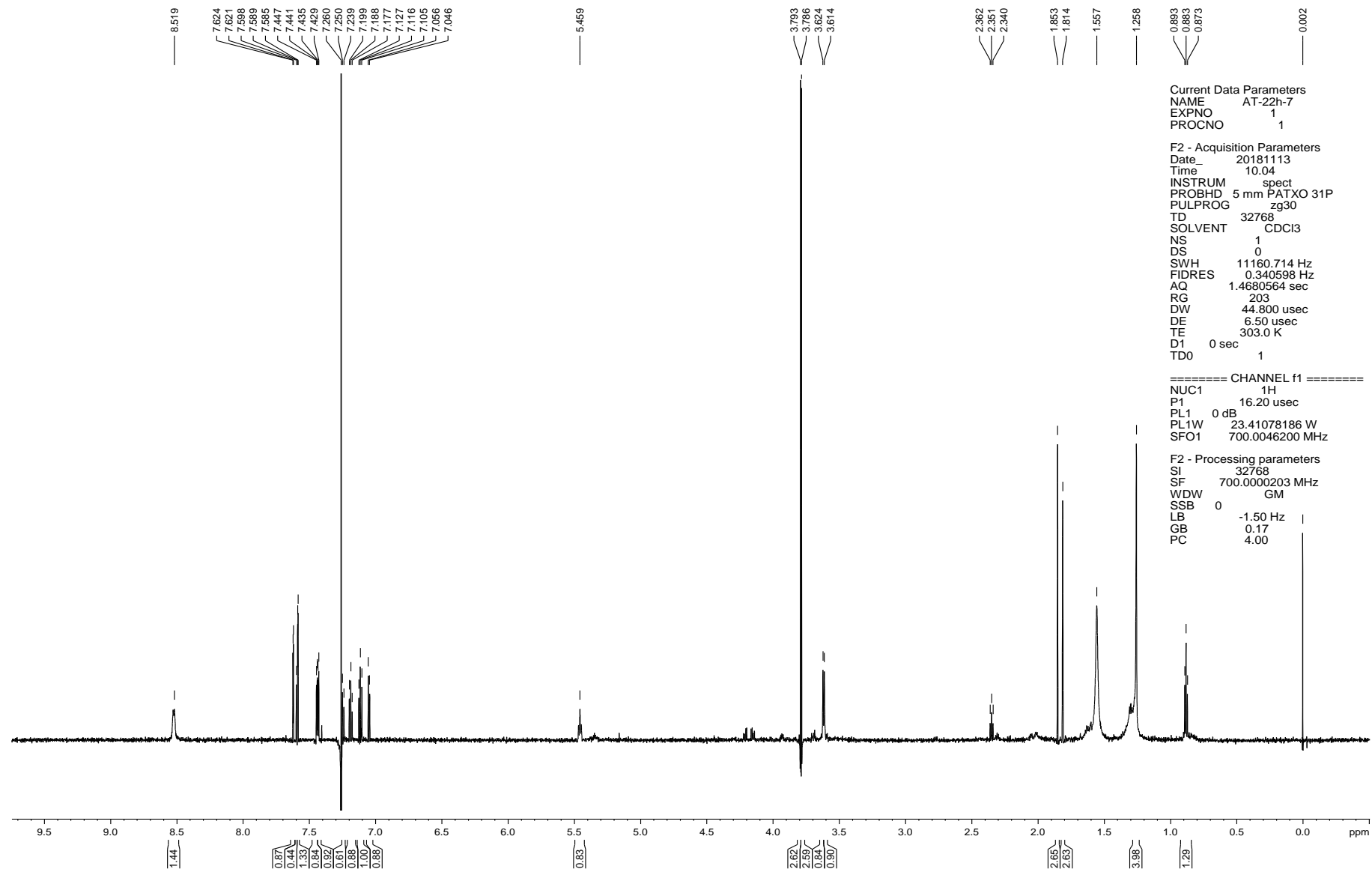
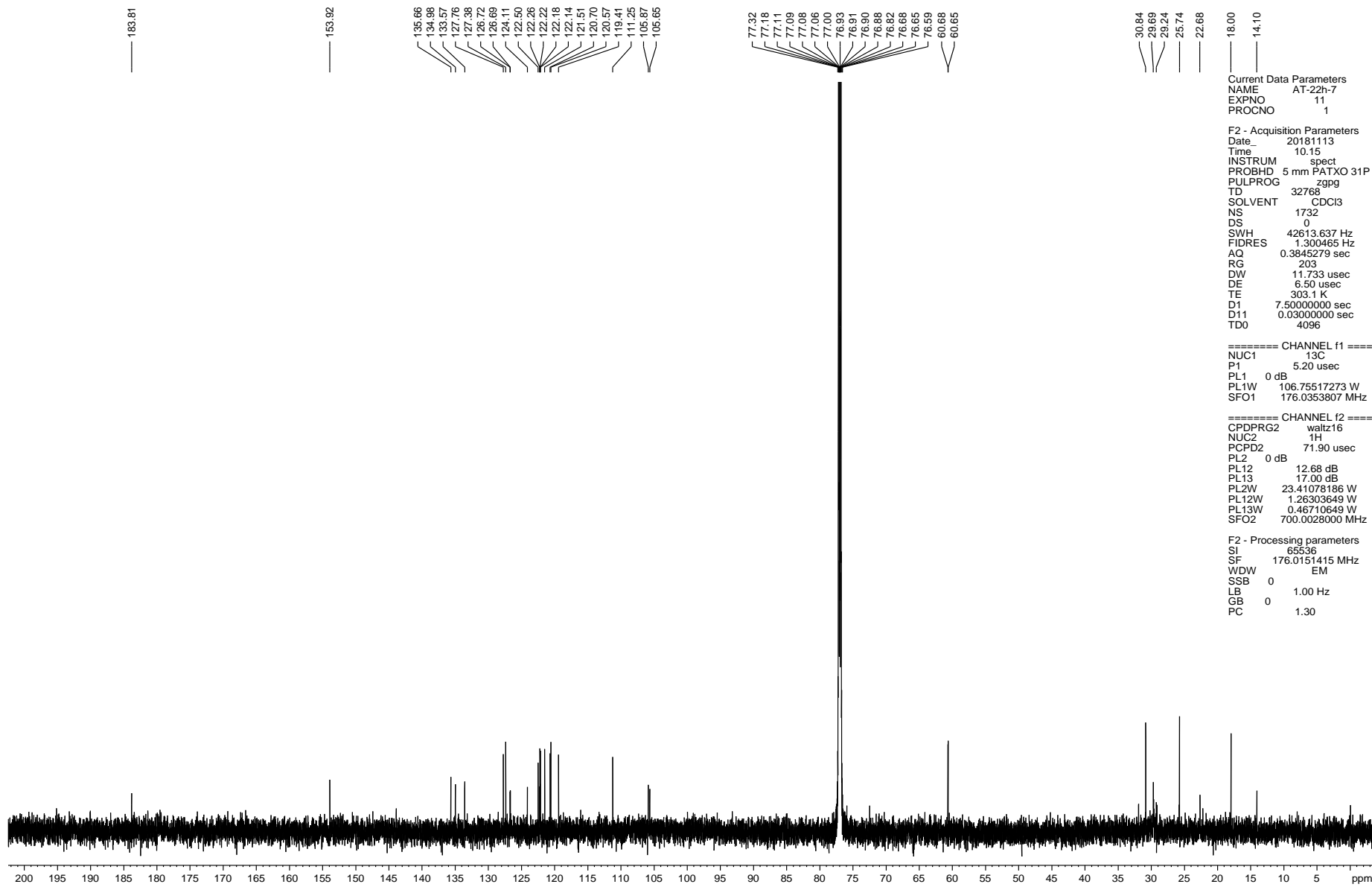


Figure S27. <sup>13</sup>C NMR (176 MHz, CDCl<sub>3</sub>) spectrum of asterriquinone C2 (10)



```

Current Data Parameters
NAME          AT-22h-7
EXPNO         11
PROCNO        1

F2 - Acquisition Parameters
Date_         20181113
Time          10.15
INSTRUM       spect
PROBHD        5 mm PATXO 31P
PULPROG       zgpg
TD            32768
SOLVENT       CDCl3
NS            1732
DS            0
SWH           42613.637 Hz
FIDRES        1.300465 Hz
AQ            0.3845279 sec
RG            203
DW            11.733 usec
DE            6.50 usec
TE            303.1 K
D1            7.5000000 sec
D11           0.0300000 sec
TD0           4096

===== CHANNEL f1 =====
NUC1          13C
P1            5.20 usec
PL1           0 dB
PL1W          106.75517273 W
SFO1          176.0353807 MHz

===== CHANNEL f2 =====
CPDPRG2       waltz16
NUC2          1H
PCPD2         71.90 usec
PL2           0 dB
PL12          12.68 dB
PL13          17.00 dB
PL2W          23.41078186 W
PL12W         1.26303649 W
PL13W         0.46710649 W
SFO2          700.0028000 MHz

F2 - Processing parameters
SI            65536
SF            176.0151415 MHz
WDW           EM
SSB           0
LB            1.00 Hz
GB            0
PC            1.30
    
```

Figure S28. <sup>1</sup>H NMR (700 MHz, Acetone-d<sub>6</sub>) spectrum of asterriquinone D (11)

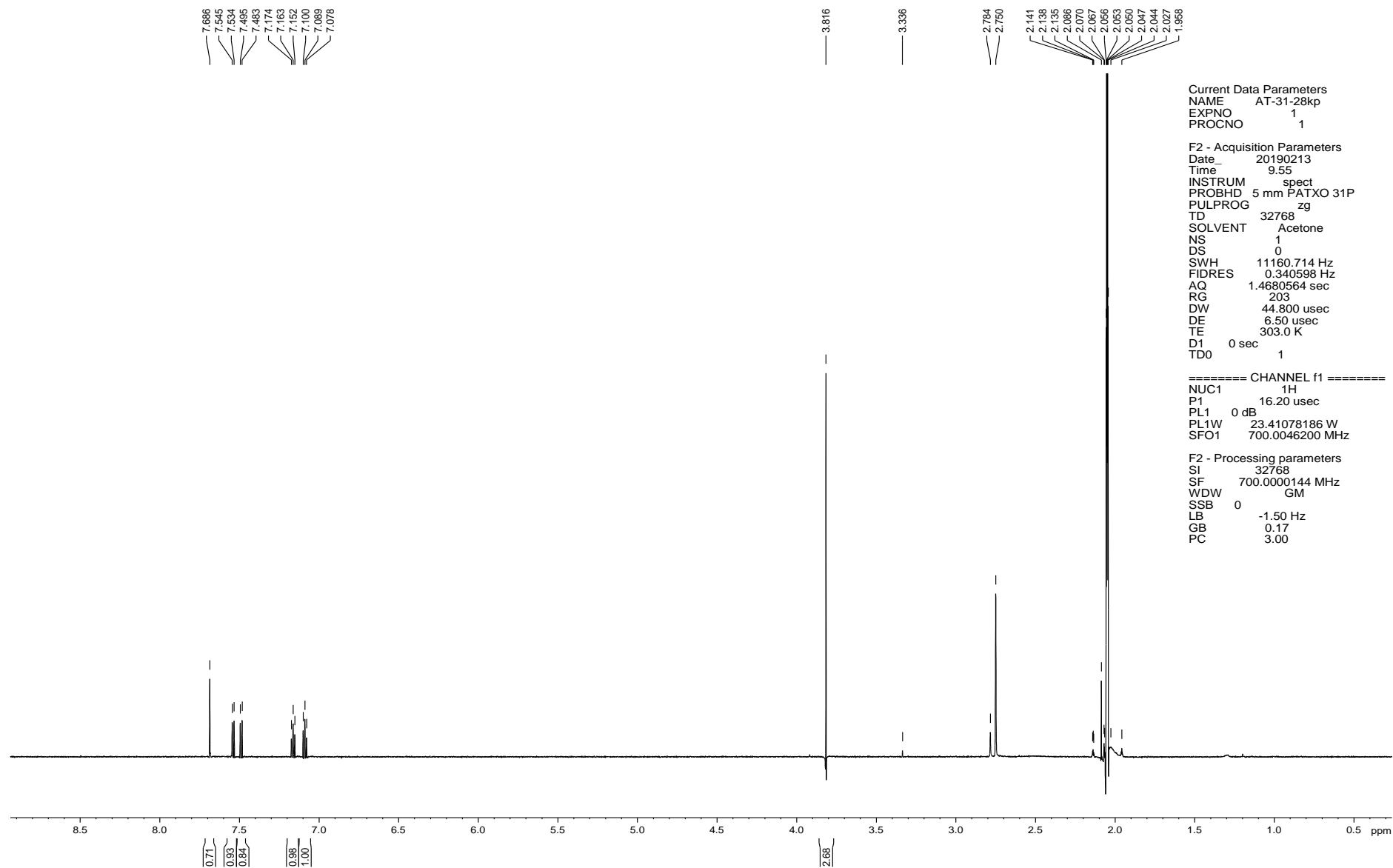


Figure S29. <sup>13</sup>C NMR (176 MHz, Acetone-*d*<sub>6</sub>) spectrum of asterriquinone D (11)

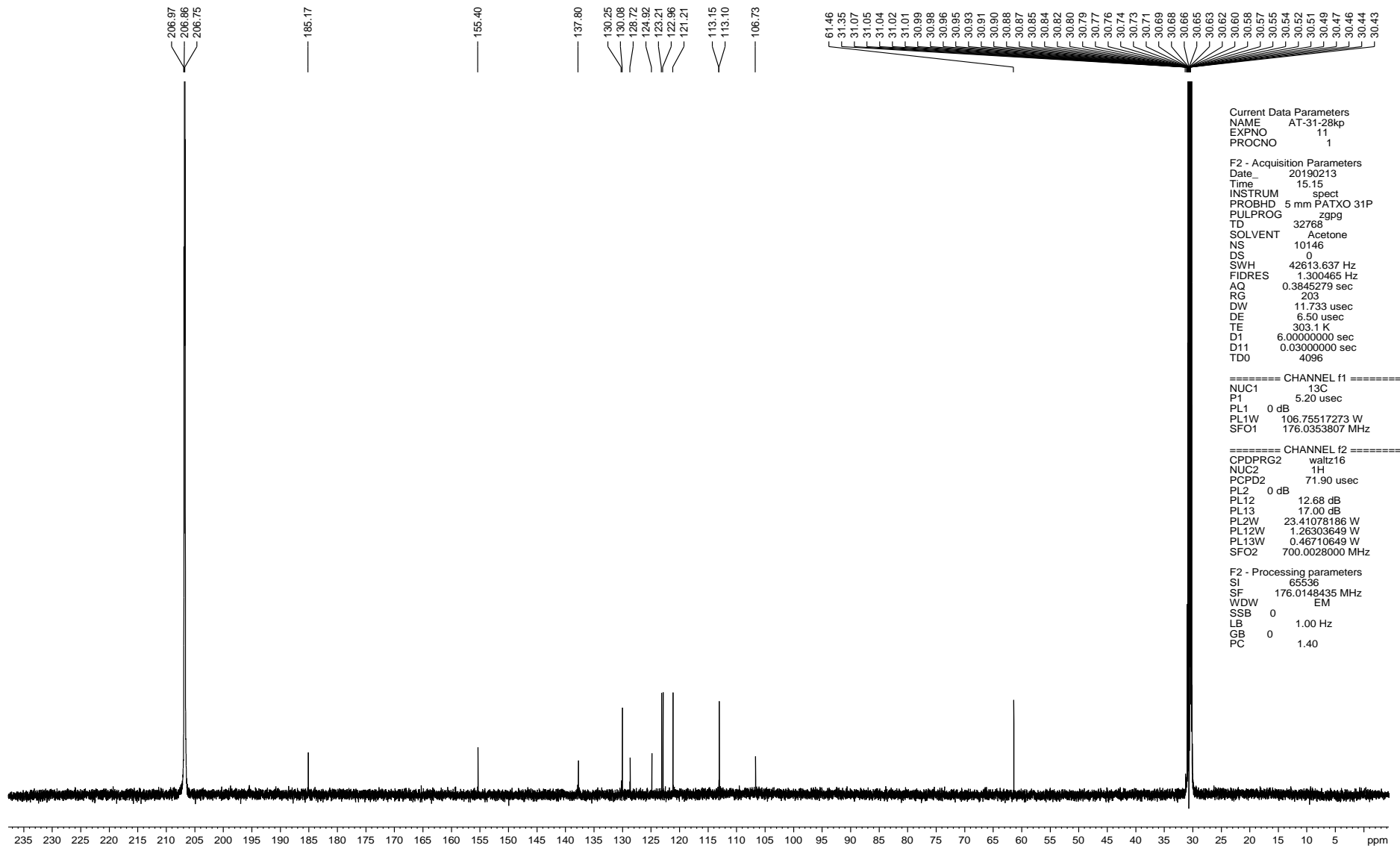


Figure S30. <sup>1</sup>H NMR (700 MHz, DMSO-d<sub>6</sub>) spectrum of 1,2,5-trihydroxy-7-methyl-9,10-anthraquinone (13)

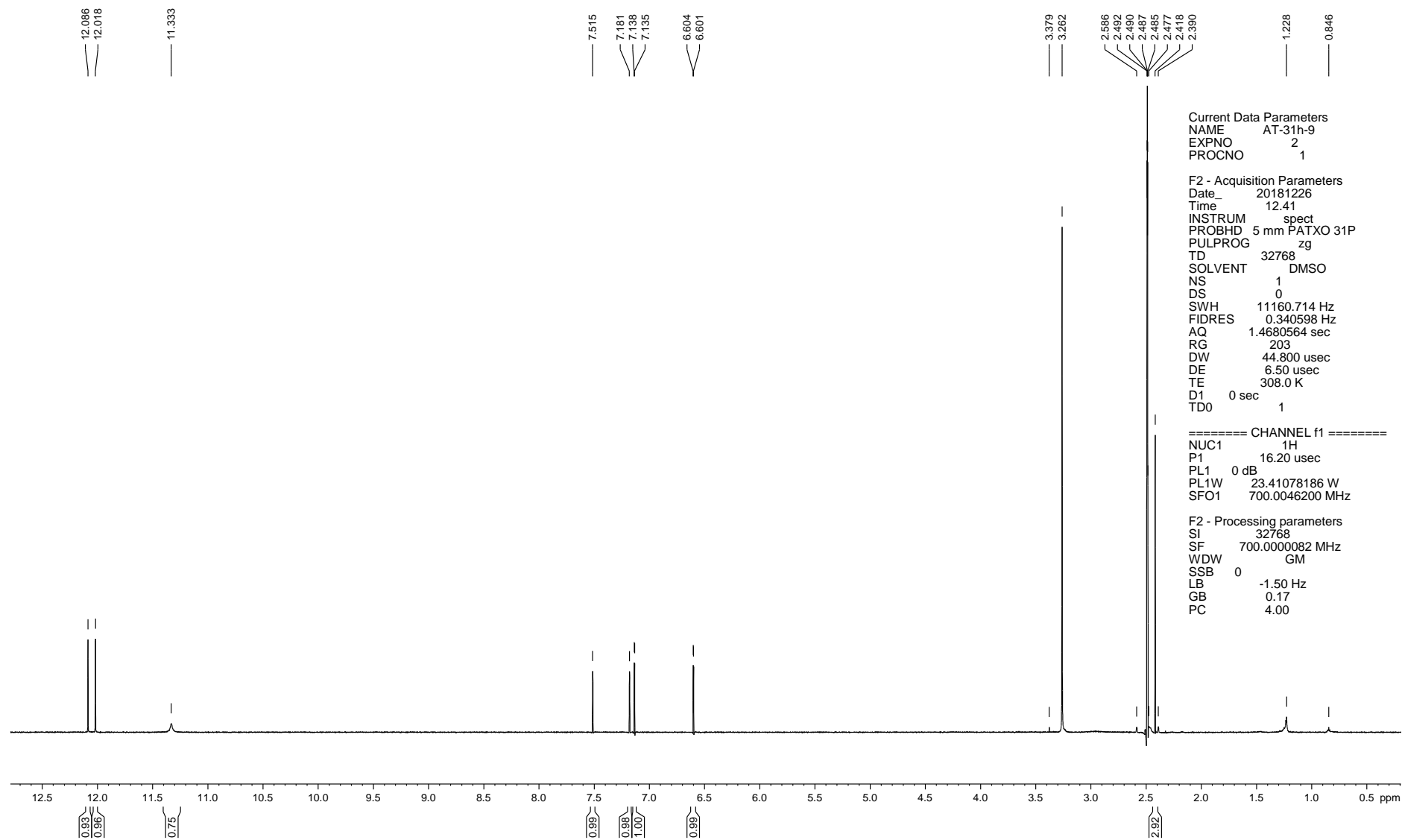




Figure S31.  $^{13}\text{C}$  NMR (176 MHz,  $\text{DMSO}-d_6$ ) spectrum of 1,2,5-trihydroxy-7-methyl-9,10-anthraquinone (13)

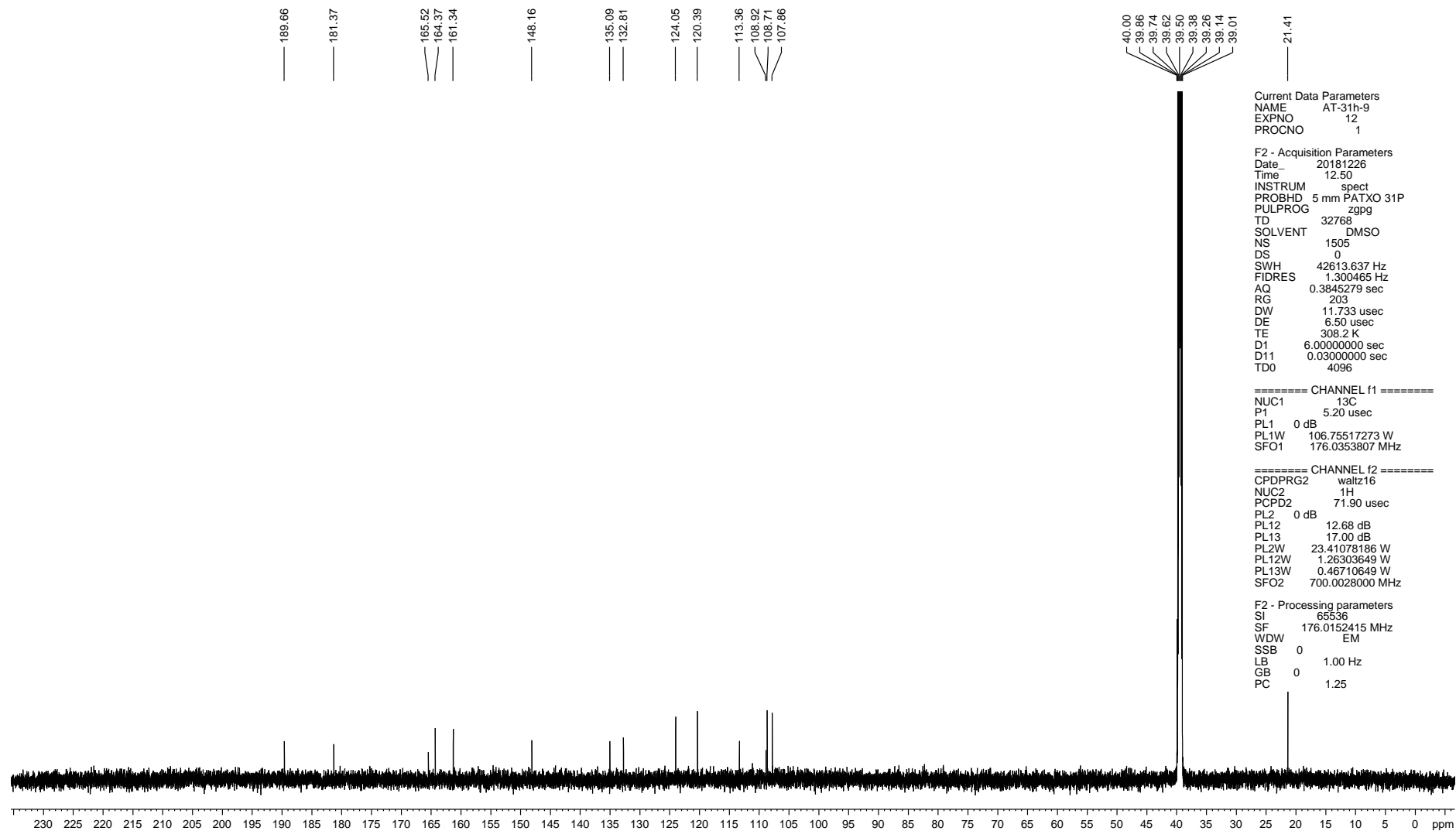


Figure S32. <sup>1</sup>H NMR (700 MHz, CDCl<sub>3</sub>) spectrum of 4-hydroxy-3-(3-methylbut-2-enyl)benzaldehyde (14)

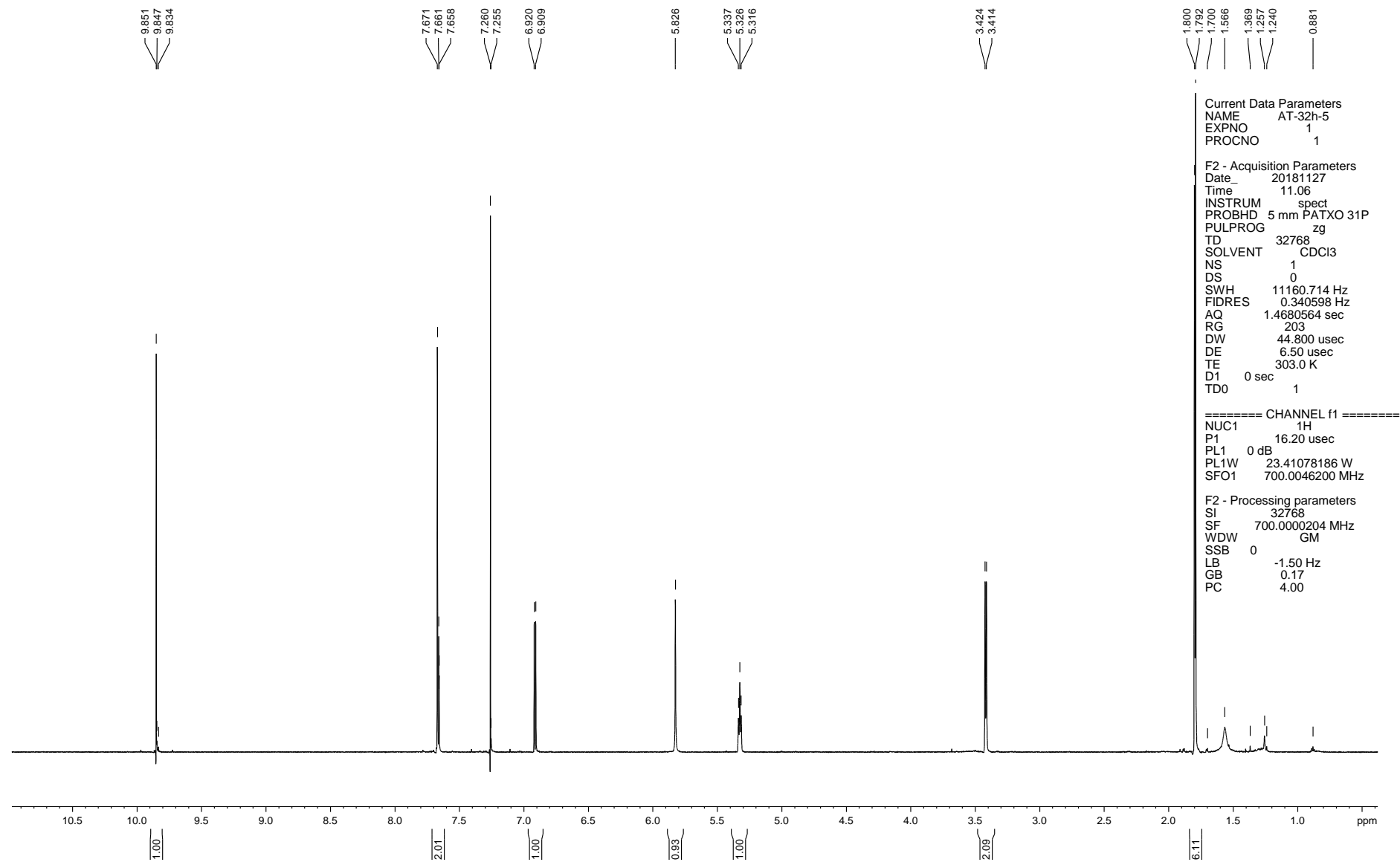


Figure S33. <sup>13</sup>C NMR (176 MHz, CDCl<sub>3</sub>) spectrum of 4-hydroxy-3-(3-methylbut-2-enyl)benzaldehyde (14)

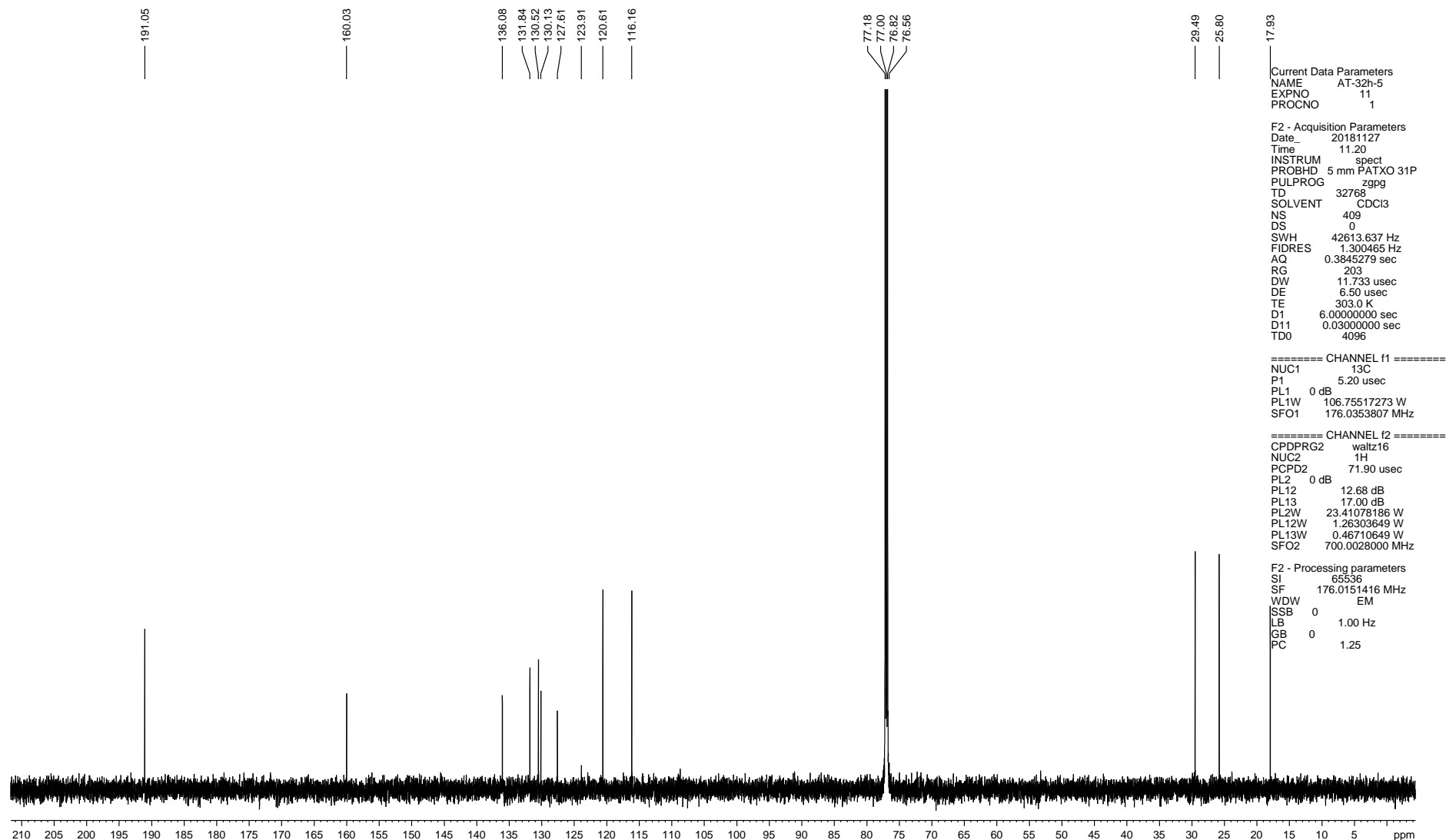


Figure S34. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) spectrum of questin (12)

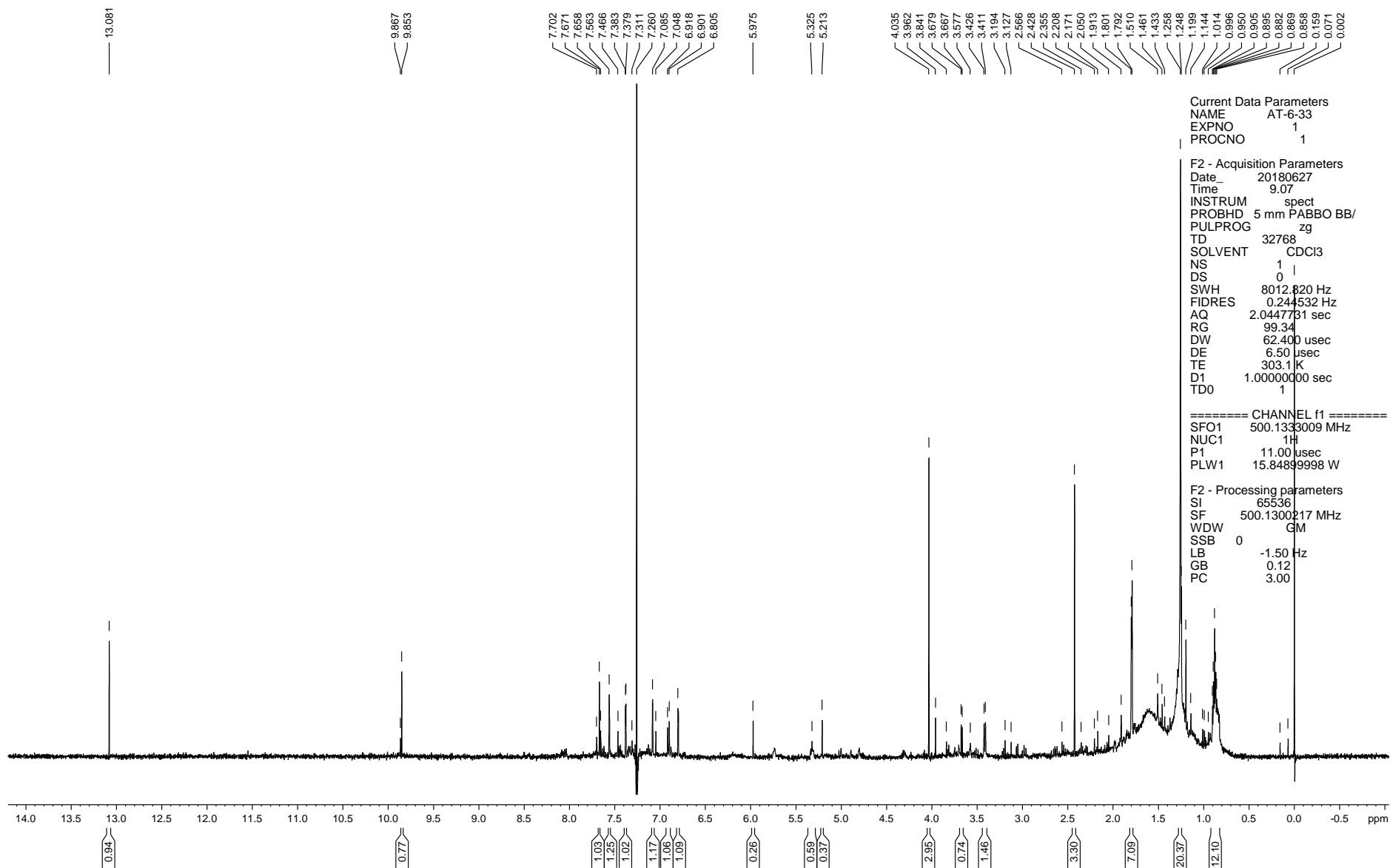


Figure S35.  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ) spectrum of questin (12)

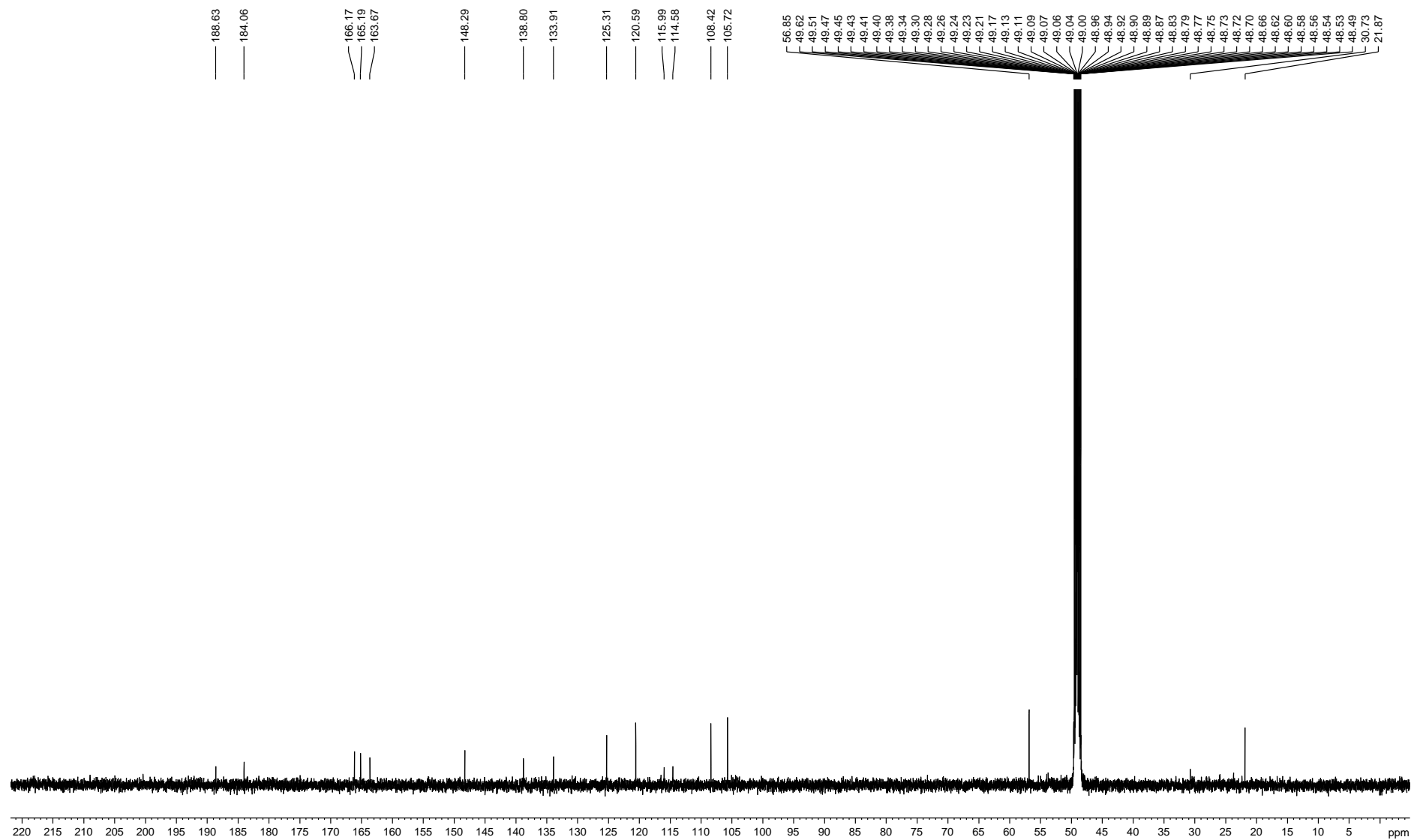


Figure S36. <sup>1</sup>H NMR (500 MHz, MeOD-d<sub>3</sub>) spectrum of quadrone (15)

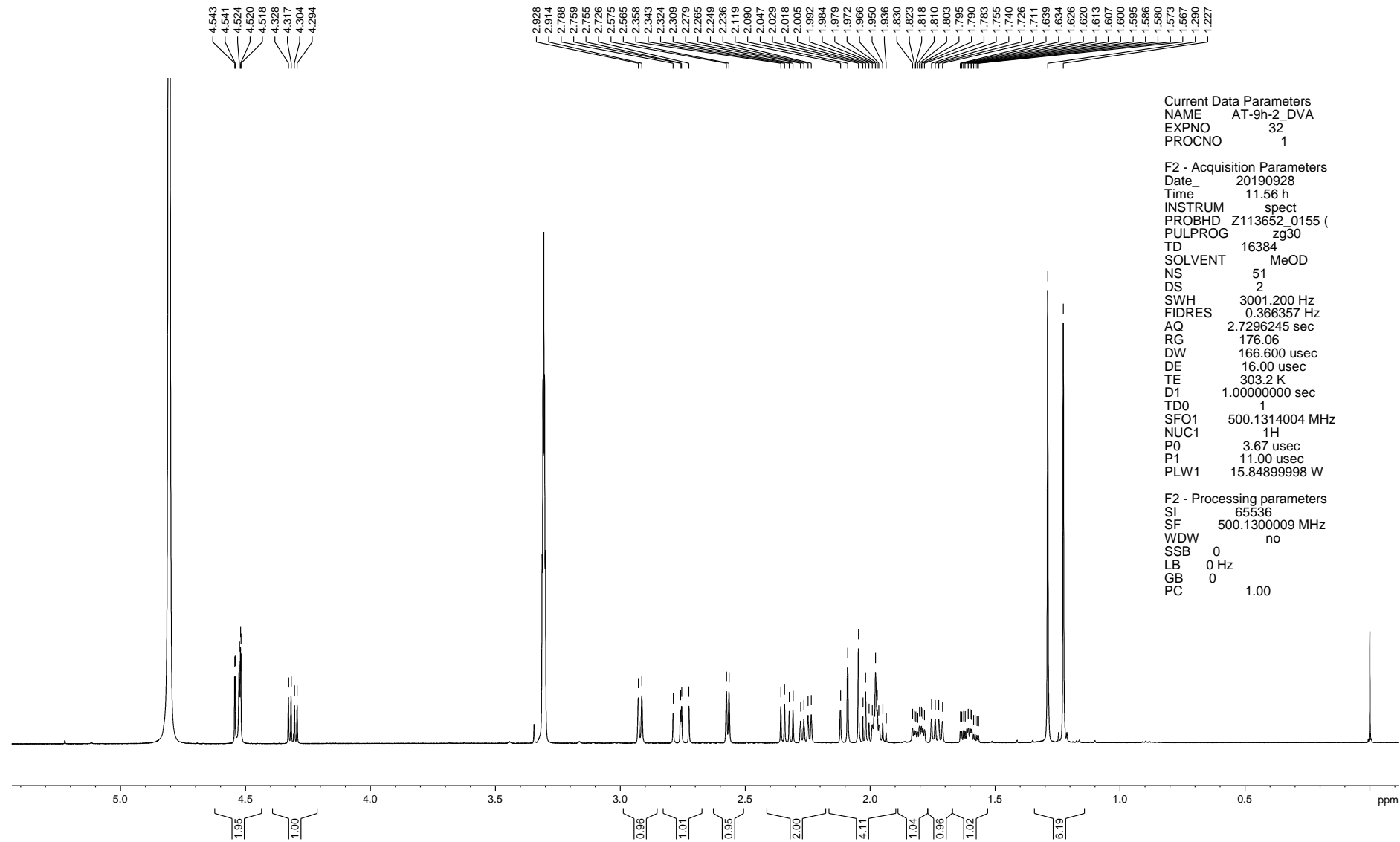


Figure S37.  $^{13}\text{C}$  NMR (125 MHz,  $\text{MeOD-}d_3$ ) spectrum of quadrone (15)

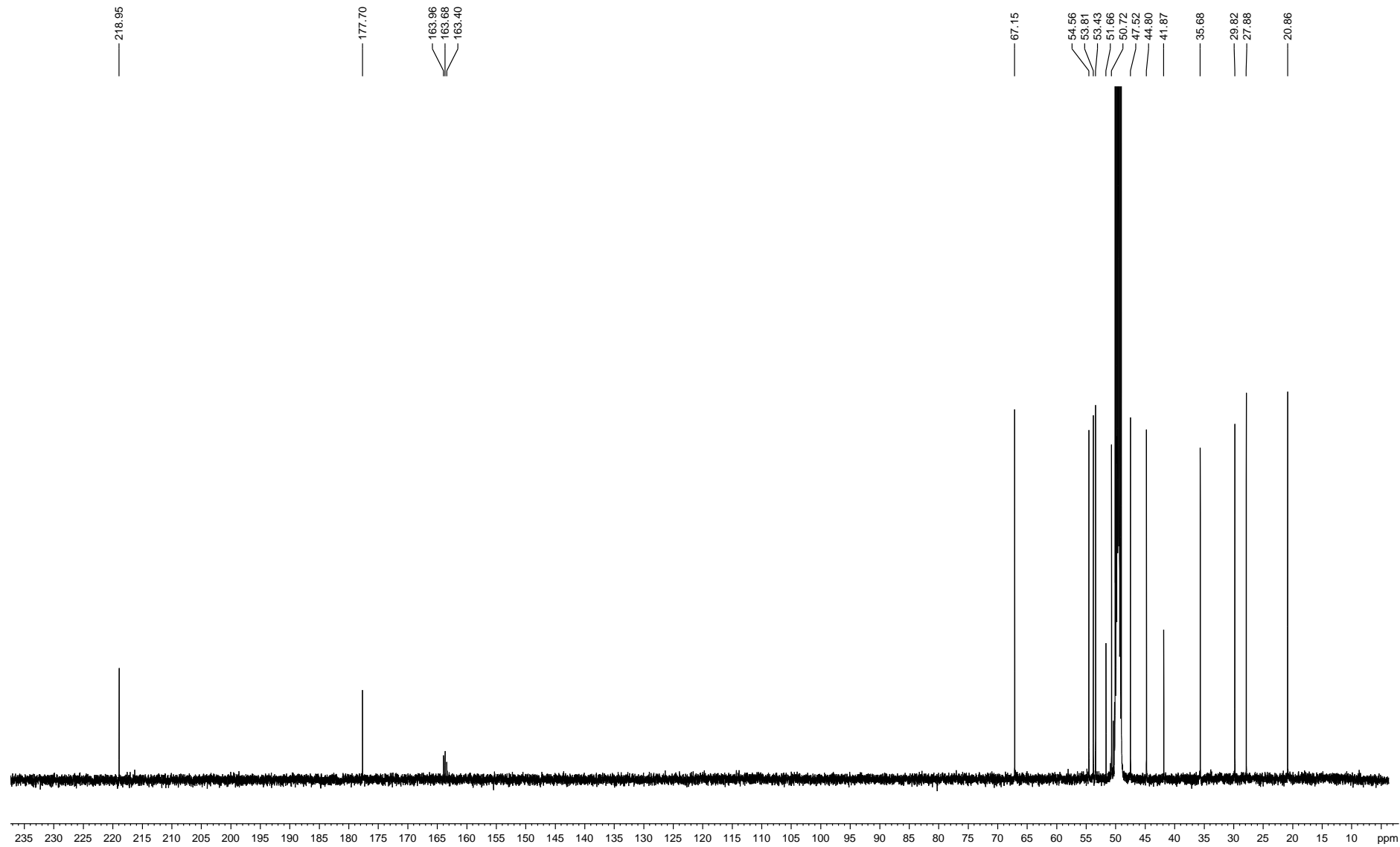


Figure S38. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) spectrum of 6β-hydroxyergosta-4,7,22-trien-3-on (16)

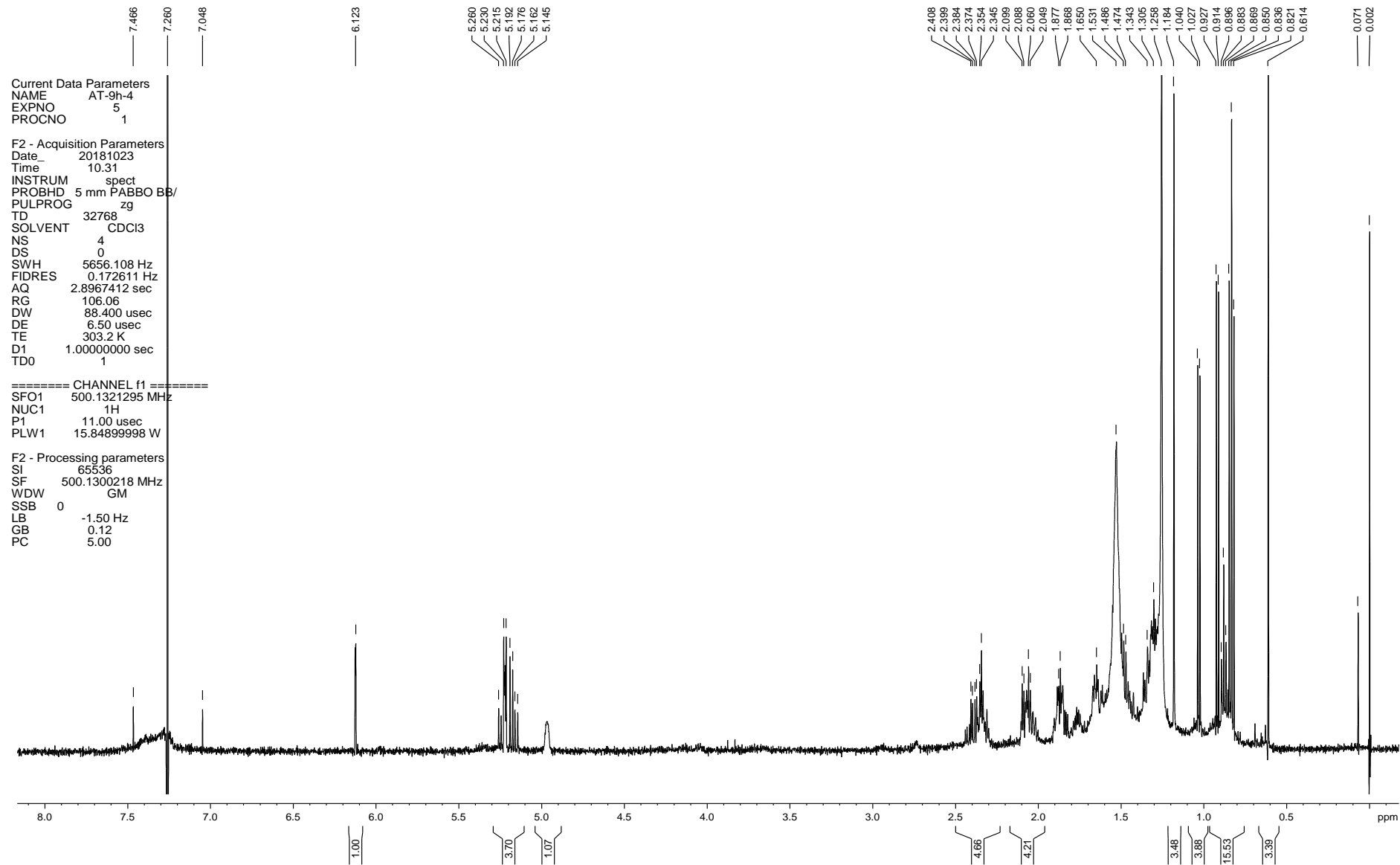




Figure S39.  $^{13}\text{C}$  NMR (125 MHz,  $\text{CDCl}_3$ ) spectrum of 6 $\beta$ -hydroxyergosta-4,7,22-trien-3-on (16)

