

## Article

# Isolation, Structural Characterization and Antidiabetic Activity of New Diketopiperazine Alkaloids from Mangrove Endophytic Fungus *Aspergillus* sp. 16-5c

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**Abstract:** Six new DIKETOPIPERAZINE alkaloids aspergiamides A–F (1–6), together with ten known alkaloids (7–16), were isolated from the mangrove endophytic fungus *Aspergillus* sp. 16-5c. The structures of the new compounds were elucidated based on 1D/2D NMR spectroscopic and HR-ESIMS data analyses. The absolute configurations of aspergiamides A–F were established based on the experimental and calculated ECD data. All the compounds were evaluated for the antidiabetic activity against  $\alpha$ -glucosidase and PTP1B enzyme. The bioassay results disclosed compounds 1 and 9 exhibited significant  $\alpha$ -glucosidase inhibitory with  $IC_{50}$  values of 18.2 and 7.6  $\mu$ M, respectively; compounds 3, 10, 11, and 15 exhibited moderate  $\alpha$ -glucosidase inhibition with  $IC_{50}$  values ranging from 40.7 to 83.9  $\mu$ M; while no compounds showed obvious PTP1B enzyme inhibition activity.

**Keywords:** diketopiperazine alkaloids; mangrove fungi; antidiabetic activity;  $\alpha$ -glucosidase inhibition; PTP1B inhibition



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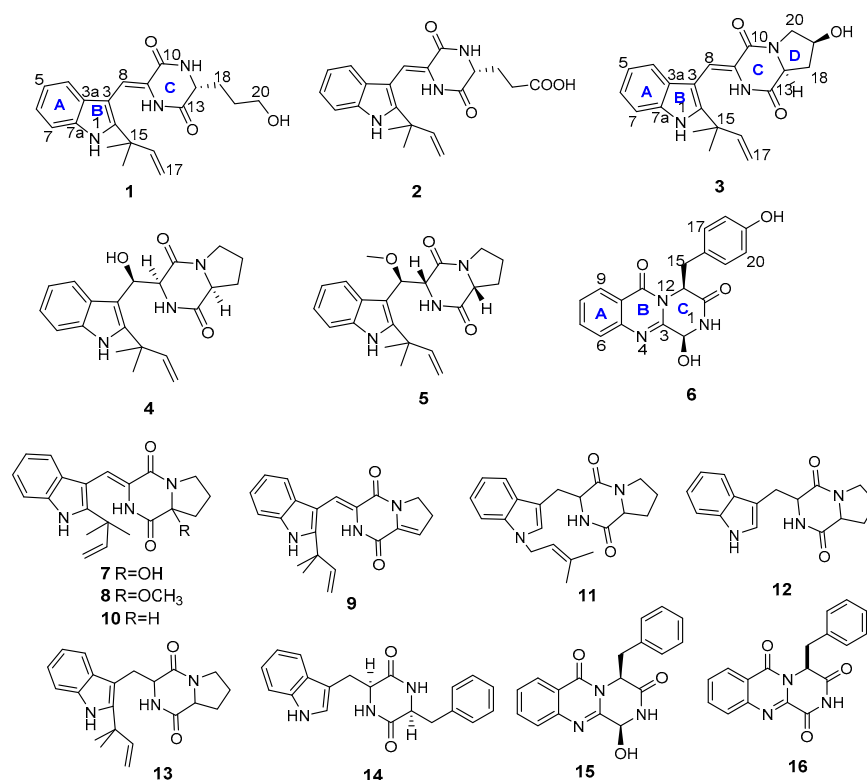
## 1. Introduction

Type 2 diabetes mellitus (T2DM) is a metabolic disorder disease and is characterized by an imbalance of blood glucose levels due to defects in insulin secretion. Increased blood glucose levels in T2DM may induce hypertension, atherosclerosis, and other metabolic disorder diseases [1]. It is estimated that 425 million people have diabetes, and about 90% account for type 2 diabetes. According to the World Health Organization (WHO), diabetes will reach the seventh cause of death by 2030 [2]. Although many antidiabetic drugs with different mechanisms can be available from the market, the inevitable side effects such as weight gain, liver damage, and allergic reactions hinder their application [3]. PTP1B is an intracellular non-transmembrane enzyme, which plays a major negative regulator role in insulin function by dephosphorylation of tyrosine-phosphorylated proteins [4]. Studies have shown that overexpression of protein tyrosine phosphatase 1B (PTP1B) can lead to the dephosphorylation of insulin receptors and insulin receptor substrates, resulting in insulin resistance and ultimately inactivating the entire insulin signaling pathway. Therefore, PTP1B inhibitors have attracted particular attention as potential therapeutic agents against diabetes [5]. In addition,  $\alpha$ -glucosidase, a traditional antidiabetic target responsible for the hydrolysis of polysaccharides to monosaccharides, is still considered an important direction to discover new chemical entities for the treatment of non-insulin-dependent T2DM [6]. Therefore, the development of PTP1B and  $\alpha$ -glucosidase inhibitors could be a promising strategy in discovering novel antidiabetic drug candidates.

Diketopiperazine (DKP) alkaloids are important secondary metabolites of microbes. Among these alkaloids, the diketopiperazine moiety is biosynthesized from different amino acids and formed as the smallest cyclic dipeptide skeleton. Diketopiperazine alkaloids have many important biological activities, such as antiviral, anti-tumor, antibacterial,

and so on [7,8]. Indole diketopiperazine alkaloids belong to the subclass of DKPs are the condensation products of a complete tryptophan with a second amino acid-like L-tryptophan, L-proline, L-phenylalanine, or L-leucine [9]. Indole diketopiperazine alkaloids have attracted widespread attention not only because of their unique structure but also because of the wide range of biological activities, such as antiviral [10], anticancer [11], antioxidant [12], and insecticidal activities [13]. Marine fungi are important sources of diketopiperazine alkaloids. According to statistics, between 2000 and 2019, as many as 155 indole diketopiperazine alkaloids were identified from marine fungi alone [14].

As part of our aims for exploring biological active natural products [15], the secondary metabolites from fungus *Aspergillus* sp. 16-5c were investigated. Chemical investigation yielded six new diketopiperazine alkaloids, including five indole diketopiperazine alkaloids, aspergiamides A–E (1–5) and one 4-quinazolinone like diketopiperazine alkaloids aspergiamide F (6), together with ten known alkaloids (7–16) from the culture extracts of the fungus 16-5c (Figure 1). These compounds were evaluated the antidiabetic potential by screening the enzyme inhibition of  $\alpha$ -glucosidase and PTP1B. Herein, we describe the isolation and structure elucidation of the new compounds, as well as their bioactivities.



**Figure 1.** Chemical structures of compounds 1–16.

## 2. Results and Discussion

### Structural Elucidation

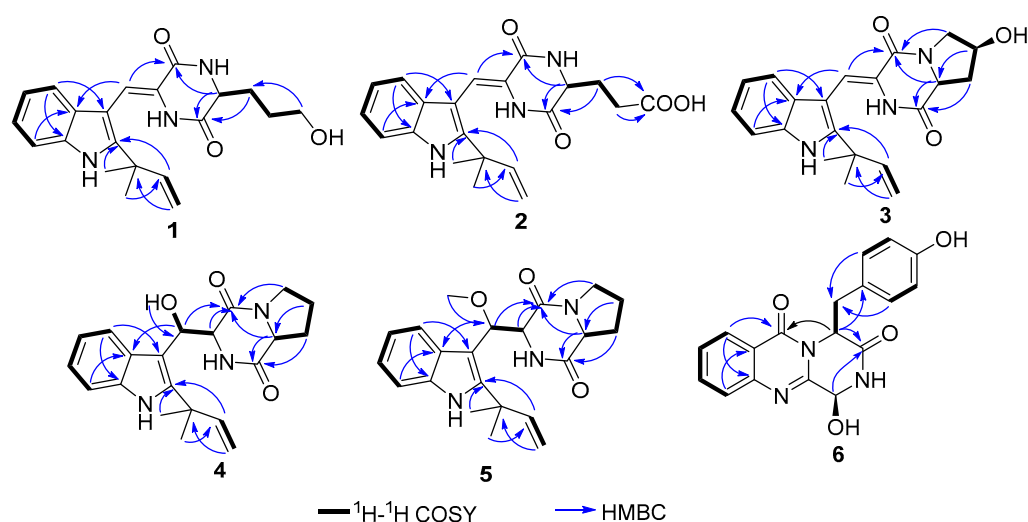
Compound 1 was obtained as a pale yellow powder. The molecular formula was established as  $C_{21}H_{25}O_3N_3$  according to the HRESIMS at  $m/z$  366.1827  $[M - H]^-$  (Calcd 366.1823 for  $C_{21}H_{24}O_3N_3$ ), indicating 11 degrees of unsaturation. The  $^{13}C$  NMR and HMQC spectra (Table 1, Figures S3 and S4) displayed 21 carbon signals, consisting of two methyls, four methylenes (one olefinic carbon), seven methines (including four aromatic methines), and eight quaternary carbons (including two amide carbons, three olefinic carbons). The  $^1H$  NMR (Table 1) spectrum of 1 revealed a set of adjacent aromatic protons at  $\delta_H$  7.08 (m, 1H), 7.13 (m, 1H), 7.24 (d,  $J = 7.9$  Hz, 1H), 7.43 (d,  $J = 8.0$  Hz, 1H), indicating the occurrence of two *ortho*-substituted benzene ring. Four olefinic protons resonances at  $\delta_H$  5.11 (m, 2H), 6.11 (dd,  $J = 17.3, 10.6$  Hz, 1H), 7.22 (s, 1H), two methyls at  $\delta_H$  1.54

(s, 3H) and 1.55 (s, 3H), three aliphatic methylenes at  $\delta_{\text{H}}$  2.03–1.67 (m, 4H) and 3.63 (t,  $J = 6.3$  Hz, 2H), one aliphatic methine at  $\delta_{\text{H}}$  4.21 (t,  $J = 6.3$  Hz, 1H) were also recorded in this spectrum. The  $^1\text{H}$ - $^1\text{H}$  COSY spectrum of **1** showed cross-peaks that connect the aromatic protons from H-4 ( $\delta_{\text{H}}$  7.24) through H-7 ( $\delta_{\text{H}}$  7.43), while HMBC correlations from H-4 to C-7a ( $\delta_{\text{C}}$  136.8)/C-3 ( $\delta_{\text{C}}$  104.3), from H-7 to C-3a ( $\delta_{\text{C}}$  127.1) suggesting an indole ring (Figure 2). The HMBC correlations from H-12 ( $\delta_{\text{H}}$  4.21) to C-10 ( $\delta_{\text{C}}$  162.5)/C-13 ( $\delta_{\text{C}}$  168.1) and correlations from H-17 ( $\delta_{\text{H}}$  5.11) to C-15 ( $\delta_{\text{C}}$  40.5), from H3-15a/15b ( $\delta_{\text{H}}$  1.55/1.54) to C-16 ( $\delta_{\text{C}}$  146.0) combined with the  $^1\text{H}$ - $^1\text{H}$  COSY correlation between H-16 ( $\delta_{\text{H}}$  6.11) and H-17, suggesting a diketopiperazine fragment and a prenyl unit, respectively. The indole ring was further connected with the diketopiperazine and prenyl group to form a 2,3-disubstituted indole diketopiperazine substructure consistent with HMBC correlations from H3-15a/15b to C-2 ( $\delta_{\text{C}}$  146.2), H-8 ( $\delta_{\text{H}}$  7.22) to C-10/C-3/C-3a. All the above NMR data suggested the structure of **1** was similar to the known compound neoechinulin A [16], with the main difference at the presence of an  $-\text{CH}_2\text{-CH}_2\text{-CH}_2\text{OH}$  moiety in **1**. The  $^1\text{H}$ - $^1\text{H}$  COSY correlation of H-18 ( $\delta_{\text{H}}$  1.95–2.03)/H-19 ( $\delta_{\text{H}}$  1.67–1.74)/H-20 ( $\delta_{\text{H}}$  3.63) suggested the existence of  $-\text{CH}_2\text{-CH}_2\text{-CH}_2-$  fragment. The chemical shift of C-20 ( $\delta_{\text{C}/\text{H}}$  62.5/3.63), combined with HMBC correlation from H-20 to C-18, indicated a hydroxyl was located at C-20 to form a  $-\text{CH}_2\text{-CH}_2\text{-CH}_2\text{OH}$  moiety. Moreover, key HMBC correlations from H-19 to C-12 suggested the moiety was connected with C-12, as shown in Figure 1. The *Z*-geometry of the  $\Delta 8$  double bond was identified by the lack of NOE effect between H-8 and NH-14 [17]. The absolute configuration of compound **1** was determined by the quantum chemical calculation study for electronic circular dichroism (ECD). Consequently, the calculated ECD for 12*R*-**1** is consistent with the experimental ECD of **1** (Figure 3), which indicated the absolute configuration of **1** as 12*R*. Thus, the structure of compound **1** was identified and named aspergiamide A.

Compound **2** was isolated as a white powder and assigned a molecular formula of  $\text{C}_{21}\text{H}_{23}\text{O}_4\text{N}_3$  (12 degrees of unsaturation) based on the HRESIMS and 1D NMR (Figures S8–S14). The  $^{13}\text{C}$  NMR (Table 1) displayed 21 carbon signals, consisting of two methyls, three methylenes (one olefinic carbon), seven methines, and nine quaternary carbons (including one carboxyl carbonyl carbon, two amide carbonyl carbons). The  $^1\text{H}$  NMR spectrum (Table 1) of **2** revealed a set of adjacent aromatic protons at  $\delta_{\text{H}}$  7.08 (m, 1H), 7.13 (m, 1H), 7.26 (d,  $J = 7.8$  Hz, 1H), 7.42 (d,  $J = 8.0$  Hz, 1H), indicating the occurrence of two ortho-substituted benzene ring. Four olefinic protons resonances at  $\delta_{\text{H}}$  5.11 (m, 2H), 6.11 (dd,  $J = 17.3, 10.6$  Hz, 1H), 7.22 (s, 1H), two methyls at  $\delta_{\text{H}}$  1.54 (s, 3H) and 1.55 (s, 3H), two aliphatic methylenes at  $\delta_{\text{H}}$  2.21 (m, 2H) and 2.48 (m, 2H), one aliphatic methine at  $\delta_{\text{H}}$  4.25 (t,  $J = 5.6$  Hz, 1H) were also recorded. Cumulative analyses of 1D and 2D NMR spectroscopic data revealed that **2** possessed a similar planar structure as that of **1**. A major difference was the replacement of a hydroxymethylene group in **1** ( $\delta_{\text{C}}$  62.5) by a carboxyl group in **2** ( $\delta_{\text{C}}$  176.3), and the chemical shift of H-19 downfield from 1.67 in **1** to 2.84 in **2** due to the deshielding effect of the carboxyl group, indicating that compound **2** is the oxidation product of **1**. Then, the planar structure of **2** was further verified by analyzing 2D NMR, as shown in Figure 2. The  $^1\text{H}$ - $^1\text{H}$  COSY correlation of H-18 ( $\delta_{\text{H}}$  2.21)/H-19 ( $\delta_{\text{H}}$  2.48) suggested the existence of  $-\text{CH}_2\text{-CH}_2-$  fragment. The chemical shift of C-20 ( $\delta_{\text{C}}$  176.3), combined with HMBC correlation from H-18 to C-20 and from H-19 to C-20, indicated a carboxyl group was located at C-20 to form a  $-\text{CH}_2\text{-CH}_2\text{-COOH}$  moiety. Moreover, key HMBC correlations from H-19 to C-12 ( $\delta_{\text{C}}$  56.1) suggested the moiety was connected with C-12, as shown in Figure 1.  $\Delta 8$  double bond was identified as *Z* configuration by the lack of NOE effect between H-8 and NH-14 [17]. Furthermore, the absolute configuration of C-12 was determined to be *R* based on the same negative sign of its specific rotation ( $[\alpha]_{\text{D}}^{25} - 154.8^\circ$ ,  $c$  0.1, MeOH), as that of compound **1** ( $[\alpha]_{\text{D}}^{25} - 171.4^\circ$ ,  $c$  0.1, MeOH). Therefore, the structure of compound **2** was identified and named aspergiamide B.

**Table 1.**  $^1\text{H}$  (600 MHz) and  $^{13}\text{C}$  NMR (150 MHz) data for compounds 1–3.

Position	1 <sup>a</sup>		2 <sup>a</sup>		3 <sup>a</sup>	
	$\delta_{\text{C}}$	$\delta_{\text{H}}$ (J/Hz)	$\delta_{\text{C}}$	$\delta_{\text{H}}$ (J/Hz)	$\delta_{\text{C}}$	$\delta_{\text{H}}$ (J/Hz)
2	146.2, C		146.2, C		146.2, C	
3	104.3, C		104.3, C		104.6, C	
3a	127.1, C		127.3, C		126.0, C	
4	119.9, CH	7.24, d (7.9)	120.0, CH	7.26, d (7.8)	112.5, CH	7.41, d (8.0)
5	121.2, CH	7.08, m	121.2, CH	7.08, m	122.4, CH	7.12, m
6	122.5, CH	7.13, m	122.7, CH	7.13, m	121.1, CH	7.07, m
7	112.6, CH	7.43, d (8.0)	112.6, CH	7.42, d (8.0)	120.2, CH	7.31, d (7.9)
7a	136.8, C		136.9, C		136.7, C	
8	114.5, CH	7.22, s	114.7, CH	7.22, s	114.6, CH	7.23, s
9	124.6, C		124.5, C		127.3, C	
10	162.5, C		162.5, C		160.9, C	
12	56.8, CH	4.21, t (5.4)	56.1, CH	4.25, t (5.6)	58.7, CH	4.72, dd (11.7, 5.9)
13	168.1, C		167.5, C		168.2, C	
15	40.5, C		40.5, C		40.5, C	
15a	28.1, CH <sub>3</sub>	1.55, s	28.1, CH <sub>3</sub>	1.55, s	28.0, CH <sub>3</sub>	1.56, s
15b	28.3, CH <sub>3</sub>	1.54, s	28.2, CH <sub>3</sub>	1.54, s	28.3, CH <sub>3</sub>	1.54, s
16	146.0, CH	6.11, dd (17.3, 10.6)	146.0, CH	6.11, dd (17.3, 10.6)	146.2, CH	6.11, dd (17.2, 10.8)
17	112.7, CH <sub>2</sub>	5.11, m	112.7, CH <sub>2</sub>	5.11, m	112.5, CH <sub>2</sub>	5.11, m
18	32.6, CH <sub>2</sub>	1.95, m	31.0, CH <sub>2</sub>	2.21, m	39.0, CH <sub>2</sub>	2.11, m
		2.03, m				2.34, dd (13.0, 5.9)
19	28.4, CH <sub>2</sub>	1.67, m	30.2, CH <sub>2</sub>	2.48, m	68.6, CH	4.51, m
		1.74, m				
20	62.5, CH <sub>2</sub>	3.63, t (6.3)	176.3, C		55.6, CH <sub>2</sub>	3.53, d (13.2)
						3.92, dd (13.2, 4.9)

<sup>a</sup> Measured in Methanol- $d_4$ .**Figure 2.** Key HMBC and  $^1\text{H}$ - $^1\text{H}$  COSY correlations of 1–6.

Compound 3 was isolated as a pale yellow powder. It gave a molecular formula of  $\text{C}_{21}\text{H}_{23}\text{O}_3\text{N}_3$ , as established by the HRESIM and NMR data, showing 12 degrees of unsaturation. The  $^{13}\text{C}$  NMR and HSQC spectra displayed 21 carbon signals, consisting of two methyls, three methylenes (one olefinic carbon), seven methines, and nine quaternary carbons (including one carboxyl carbonyl carbon, two amide carbonyl carbons). Analysis of the  $^1\text{H}$  NMR spectrum of 3 revealed a set of adjacent aromatic protons at  $\delta_{\text{H}}$  7.07 (m),

7.12 (m), 7.31 (d,  $J = 7.9$ ), 7.41, (d,  $J = 8.0$ ), indicating the occurrence of two ortho-substituted benzene ring. Four olefinic protons at  $\delta_H$  5.11 (m), 6.11 (dd,  $J = 17.2, 10.8$ ), 7.23 (s), two methylenes at  $\delta_H$  2.11 (m, 1H), 2.34 (dd,  $J = 13.0, 5.9$ ), 3.53 (d,  $J = 13.2$ ), 3.92 (dd,  $J = 13.2, 4.9$ ), two aliphatic methines at  $\delta_H$  4.51 (m) and 4.72 (dd,  $J = 11.7, 5.9$ ). All the above data were indicative of a prenyl containing 2, 3-disubstituted indole diketopiperazine skeleton. The  $^1\text{H}$ - $^1\text{H}$  COSY correlation of H-18 ( $\delta_H$  2.11, 2.34)/H-19 ( $\delta_H$  4.51)/H-20 ( $\delta_H$  3.53, 3.92) suggested an aliphatic fragment of  $-\text{CH}_2-\text{CH}-\text{CH}_2-$ , combined with key HMBC correlations from H-19 to C-12 ( $\delta_C$  58.7), from H-18 to C-13 ( $\delta_C$  168.2), from H-20 to C-10 ( $\delta_C$  160.9) suggested the aliphatic fragment was connected with diketopiperazine ring at C-12 and N-11 to form a five-member ring. The chemical shift of C-19 ( $\delta_{C/H}$  68.6, 4.51; CH), together with the HRESIMS result, indicated a hydroxyl was connected with C-19. The geometry of the  $\Delta 8$  double bond was Z configuration according to the chemical shift of H-8 ( $\delta_H$  7.23) together with the lack of NOE effect between H-8 and NH-14 [17]. The NOESY correlation observed between H-12 and H-19 indicated that H-12 and H-19 were on the same face of the molecule (Figure 4), implying the existence of two possible relative configurations. Therefore, compound **3** had only one pair of enantiomers (12*S*, 19*S*-**3** or 12*R*, 19*R*-**3**). The absolute configuration of **3** was determined by ECD calculation (Figure 3). The calculated data of 12*S*, 19*S*-**3** showed good agreement with the experimental ECD of **3**. Thus, the structure of **3** was assigned and named aspergiamide C.

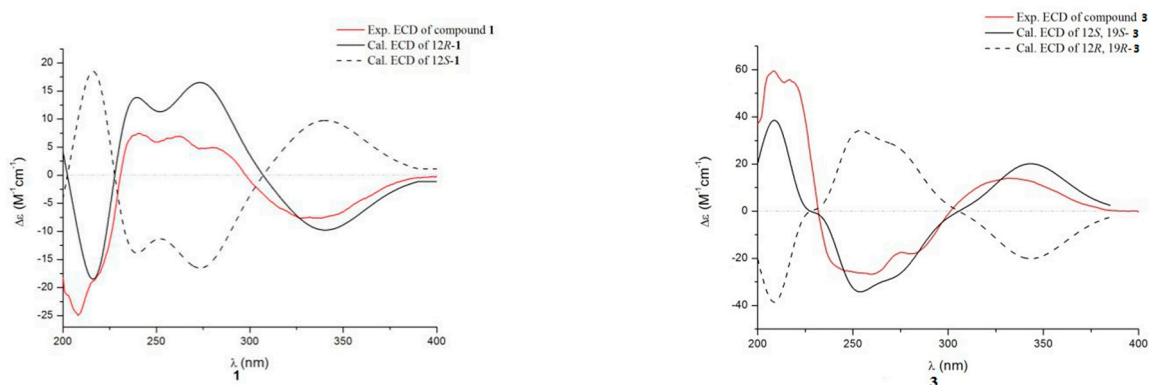


Figure 3. Comparison between the experimental and calculated ECD spectra of **1** and **3**.

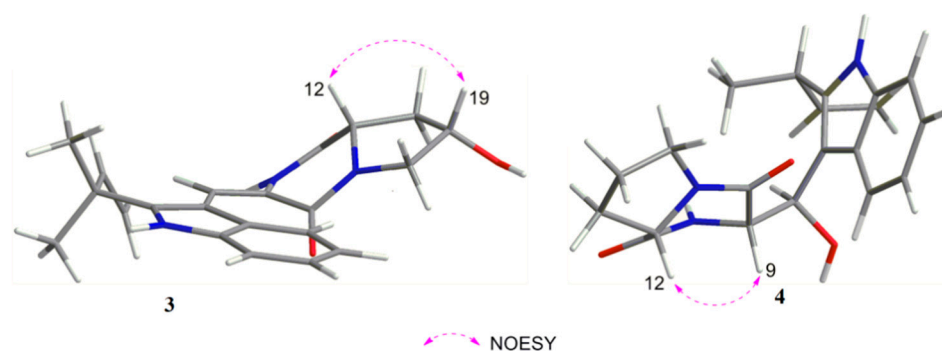


Figure 4. Key NOESY correlations of **3** and **4**.

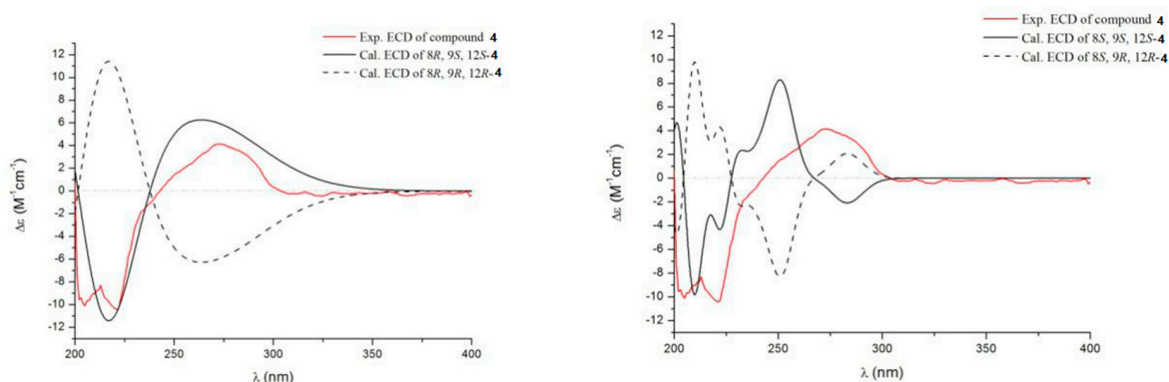
Compound **4** was obtained as a pale yellow powder with a molecular formula  $\text{C}_{21}\text{H}_{25}\text{O}_3\text{N}_3$  based on HRESIMS (Figure S22), indicating 11 degrees of unsaturation. The  $^{13}\text{C}$  NMR and HMQC spectra (Table 2) showed signals for two amide carbons, four aromatic carbons, two olefinic carbons, three methylenes, three oxygen- or nitrogen-bonded methines, two methyls, and five quaternary carbons, combined with a set of adjacent aromatic protons at  $\delta_H$  7.07 (m), 7.12 (m), 7.31 (d,  $J = 7.9$ ), 7.41, (d,  $J = 8.0$ ) in  $^1\text{H}$  NMR spectrum, suggesting high similarity to the known compound brevianamide W (**9**) [18]. However, the degree of unsaturation for **9** was 12, one more than that of **4**. Moreover, two olefinic

carbons [ $\delta_C$  112.5 (CH), 126.2 (C)] in **9** were replaced by two oxygen- or nitrogen-bonded methines [ $\delta_C$  68.9 (CH), 62.7 (CH)] in **4** according to the  $^{13}\text{C}$  NMR and HMQC spectra. The  $^1\text{H}$ - $^1\text{H}$  COSY correlations between OH-8 ( $\delta_H$  5.33)/H-8 ( $\delta_H$  5.30)/H-9 ( $\delta_H$  4.0) indicated a -CH-CH-OH unit. The key HMBC (Table 2) correlations from OH-8 to C-8 ( $\delta_C$  68.9)/C-9 ( $\delta_C$  62.7)/C-3 ( $\delta_C$  111.1), from H-8 to C-10 ( $\delta_C$  164.4) /C-3a ( $\delta_C$  127.3), combined with the chemical shift of C-8 [ $\delta_{C/H}$  68.9/5.30 (dd,  $J = 6.2, 3.5$ )], suggesting that  $\Delta 8$  double bond of **9** was reduced, and a proton at C-8 was replaced by a hydroxyl group. Thus, the planar structure of **4** was shown in Figure 1. The correlation between H-9 and H-12 in the NOESY spectrum (Figure 3) suggested that they were located on the same side. Therefore, the ECD spectra of the four possible absolute configurations (8*R*, 9*S*, 12*S*-**4** or 8*R*, 9*R*, 12*R*-**4**; 8*S*, 9*S*, 12*S*-**4** or 8*S*, 9*R*, 12*R*-**4**) were calculated (Figure 5). The experimental ECD curve was consistent with the calculated configurations of 8*R*, 9*S*, 12*S*-**4**. Thus, the structure of compound **4** was identified and named aspergiamide D.

**Table 2.**  $^1\text{H}$  (600 MHz) and  $^{13}\text{C}$  NMR (150 MHz) data for compounds **4**–**6**.

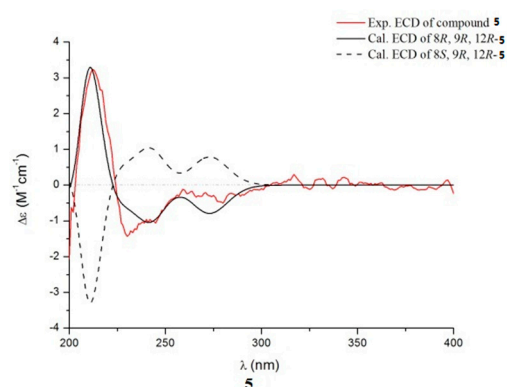
Position	<b>4</b> <sup>a</sup>		<b>5</b> <sup>b</sup>		<b>6</b> <sup>b</sup>	
	$\delta_C$	$\delta_H$ (J/Hz)	$\delta_C$	$\delta_H$ (J/Hz)	$\delta_C$	$\delta_H$ (J/Hz)
1		10.5, s				
2	140.7, C		143.6, C		77.2, CH	5.71, s
3	111.1, C		106.5, C		151.4, C	
3a	127.3, C		128.7, C			
4	110.3, CH	7.30, d (8.0)	112.4, CH	7.40, d (8.1)		
5	118.0, CH	6.86, m	121.0, CH	7.03, m	148.4, C	
6	120.2, CH	6.97, m	122.3, CH	7.09, m	128.2, CH	7.73, d (8.1)
7	121.6, CH	7.70, d (8.0)	121.2, CH	7.74, d (8.0)	136.0, CH	7.84, ddd
7a	135.0, C		136.6, C			(8.0, 7.0, 1.4)
8	68.9, CH	5.30, dd (3.5, 6.2)	77.8, CH	5.60, d (2.4)	128.7, CH	7.56, ddd (8.0, 7.0, 0.8)
9	62.7, CH	4.0, dd (4.7, 6.2)	63.4, CH	4.39, s	127.6, CH	8.14, dd (1.1, 8.0)
10	164.4, C		165.8, C		121.6, C	
11					161.8, C	
12	58.4, CH	3.86, dd (6.9, 9.6)	60.1, CH	4.16, m		
13	169.5, C		170.6, C		58.9, CH	5.37, dd (7.1, 8.4)
14		8.16, d (4.5)			171.8, C	
15	38.7, C		40.1, C		40.4, CH <sub>2</sub>	3.37, dd (7.1, 13.5)
15a	28.1, CH <sub>3</sub>	1.46, s	28.2, CH <sub>3</sub>	1.60, s		
15b	28.2, CH <sub>3</sub>	1.44, s	28.5, CH <sub>3</sub>	1.57, s		
16	146.3, CH	6.16, dd (17.5, 10.5)	146.9, CH	6.16, dd (17.5, 10.5)	128.4, C	
17	110.7, CH <sub>2</sub>	5.09, m	112.6, CH <sub>2</sub>	5.18, ddd (17.5, 10.5, 0.8)	131.7, CH	7.06, d (8.4)
18	28.8, CH <sub>2</sub>	2.09, m	30.0, CH <sub>2</sub>	1.95, m	116.1, CH	6.64, d (8.4)
19	21.9, CH <sub>2</sub>	1.73, m	22.9, CH <sub>2</sub>	2.34, dd (7.9, 3.4)	157.6, C	
20	45.3, CH <sub>2</sub>	3.26, m	46.1, CH <sub>2</sub>	1.95, m	116.1, CH	6.64, d (8.4)
21		3.40, m		2.06, m	131.7, CH	7.06, d (8.4)
8-OH		5.33, d (3.5)		3.47, m		
8-OCH <sub>3</sub>			56.9, CH <sub>3</sub>	3.76, m		
				3.17, s		

<sup>a</sup> Measured in DMSO-*d*<sub>6</sub>. <sup>b</sup> Measured in Methanol-*d*<sub>4</sub>.



**Figure 5.** Comparison between the experimental and calculated ECD spectra of **4**.

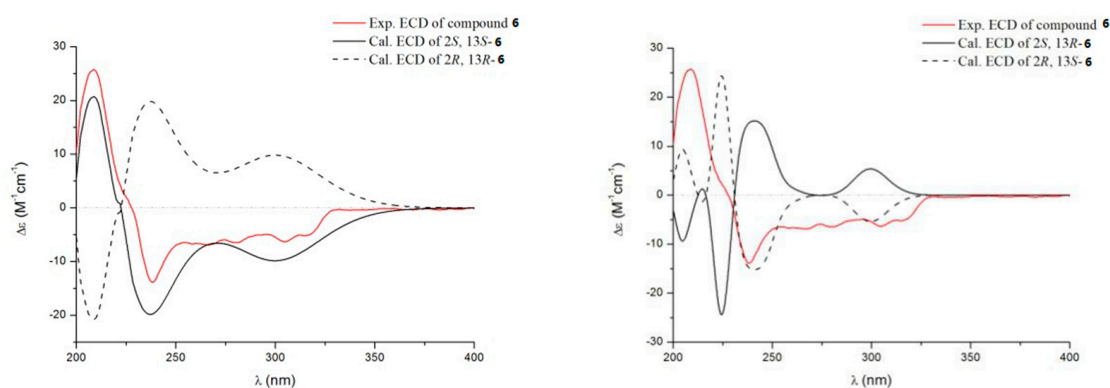
Compound **5** was isolated as a light yellow powder. The molecular formula was established as  $C_{22}H_{27}O_3N_3$  according to the HRESIMS, showing 11 degrees of unsaturation. The  $^{13}C$  NMR and HMQC spectra (Table 2) showed signals for seven quaternary carbons, four methylenes, eight methines, and two methyls, together with a set of adjacent aromatic protons at  $\delta_H$  7.03 (m), 7.09 (m), 7.40 (d,  $J = 8.1$ ), 7.74 (d,  $J = 8.0$ ) in  $^1H$  NMR spectrum, indicating high similarity to **4**, except one more methoxyl signal at  $\delta_H$  3.17 (s, 3H) and  $\delta_C$  56.9 was observed in the 1D NMR data spectra of **5**. HMBC correlations from  $OCH_3$ -8 ( $\delta_H$  3.17) to C-8 ( $\delta_C$  77.8), from H-8 to C-3a ( $\delta_C$  128.7)/C-10 ( $\delta_C$  165.8) indicated the methoxyl was located at C-8. The absolute configuration of **5** was tentatively assigned as 9R and 12R by the negative sign of its specific rotation ( $[\alpha]_D^{25} - 22.1^\circ$ , c 0.1, MeOH) which was comparable to that of rubrumline F ( $[\alpha]_D^{25} - 22.7^\circ$ ) [19]. Based on the above configurations of C-9 and C-12, compound **5** gave only one pair of enantiomers (8R, 9R, 12R-5 or 8S, 9R, 12R-5). Consequently, the calculated ECD (Figure 6) for 8R, 9R, 12R-5 showed good agreement with the experimental ECD of **5**. Thus, the structure of **5** was identified and named aspergiamide E.



**Figure 6.** Comparison between the experimental and calculated ECD spectra of **5**.

Compound **6** was obtained as a white powder. It gave a molecular formula of  $C_{18}H_{15}O_4N_3$ , as established by HRESIMS and NMR data, indicating 13 degrees of unsaturation. The  $^{13}C$  NMR spectrum of **6** showed one methylene signal, ten methines (including eight aromatic methines and one oxygenated methine), and seven quaternary carbons. Analysis of the  $^1H$  NMR spectrum of **6** (Table 2) revealed a set of adjacent aromatic protons at 7.56, (dd,  $J = 4.0, 11.1$ ), 7.73 (d,  $J = 8.1$ ), 7.84 (m), and 8.14 (dd,  $J = 1.1, 8.0$ ), and a set of opposite aromatic protons at  $\delta_H$  6.64 (d,  $J = 8.4, 2H$ ), 7.04 (d,  $J = 8.4, 2H$ ), indicating the occurrence of one ortho-substituted benzene ring and one para-substituted benzene ring. The  $^1H$ - $^1H$  COSY spectrum of **6** showed cross-peaks that connect the aromatic protons from H-6 ( $\delta_H$  7.73) through H-9 ( $\delta_H$  8.14), while HMBC correlations from H-6 to C-10 ( $\delta_C$  121.6), from H-9 to C-5 ( $\delta_C$  128.2)/C-11 ( $\delta_C$  161.8) suggested a quinazoline ring. The

quinazoline ring was further fused with a pyrazine to form a tricyclic pyrazinoquinazolinone [20], which was consistent with HMBC correlations from H-13 ( $\delta_{\text{H}}$  5.37) to C-11/C-3 ( $\delta_{\text{C}}$  151.4)/C-14 ( $\delta_{\text{C}}$  171.8), from H-2 ( $\delta_{\text{H}}$  5.71) to C-3/C-14. Moreover, the linkage between the para-substituted benzene ring and pyrazinoquinazolinone moiety by C-15 was deduced based on HMBC correlations from H-13 to C-6, from H-17/H-19 to C-15 (Figure 2). The planar structure of **6** showed a close similarity to that of brevianamide M (**15**) [21], with the main difference being one more hydroxyl in **6**, which was deduced based on the lack of an aromatic proton, and the downfield shift of C-19 ( $\delta_{\text{C}}$  157.6) in 1D NMR spectra. Compound **6** has two chiral centers (C-2/C-13); therefore, the ECD spectra of four possible absolute configurations (2*S*,13*S*-**6** or 2*R*,13*R*-**6**; 2*S*,13*R*-**6** or 2*R*,13*S*-**6**) were calculated as shown in Figure 7. The experimental ECD data was consistent with the calculated configurations of 2*S*,13*S*-**6**. Thus, the structure of compound **6** was identified and named aspergiamide F.



**Figure 7.** Comparison between the experimental and calculated ECD spectra of **6**.

In addition to the isolation of the above new compounds, ten known analogues including brevianamide Q (**7**) [22], brevianamide R (**8**) [22], brevianamide K (**9**) [21], brevianamide W (**10**) [18], *N*-Prenyl-cyclo-L-tryptophyl-L-proline (**11**) [23], brevianamide F (**12**) [24], epi-deoxybrevianamide E (**13**) [25], cyclo-(tryptophyl-phenylalanyl) (**14**) [26], brevianamide M (**15**) [21] and brevianamide N (**16**) [21] were isolated and identified from this fungus. Their structures were determined by comparing their NMR and MS data with the reported in literatures.

Investigation on the biological activities of prenylated DKP alkaloids disclosed antioxidant activity in the literature [27,28]. It is reported that excessive accumulation of free radical and synchronous reduced the antioxidant defense mechanisms and can induce complications of diabetes mellitus [15]. In order to find potent antidiabetic natural products, all the compounds (**1**–**16**) were screened for the enzyme inhibitory activities against  $\alpha$ -glucosidase and PTP1B (Table 3). Compared with the positive control acarbose (408  $\mu\text{M}$ ), all of the tested compounds, except compounds **5**, **12**, and **13**, showed good to moderate inhibitory activity to  $\alpha$ -glucosidase. Especially, compounds **1** and **9** exhibited significant  $\alpha$ -glucosidase inhibitory with  $\text{IC}_{50}$  values of 18.2 and 7.6  $\mu\text{M}$ , respectively. Compounds **3**, **10**, **11**, and **15** showed moderate  $\alpha$ -glucosidase inhibition with  $\text{IC}_{50}$  values ranging from 40.7 to 83.9  $\mu\text{M}$ . However, these compounds have no obvious PTP1B inhibition at a concentration of 100  $\mu\text{g}/\text{mL}$  (Table 3).



**Table 3.** Inhibitory potency of DPKs to  $\alpha$ -glucosidase and PTP1B.

Compd.	$\alpha$ -glucosidase (IC <sub>50</sub> / $\mu$ M)	PTP1B (Inhibition Ratio/%) <sup>a</sup>	Compd.	$\alpha$ -glucosidase (IC <sub>50</sub> / $\mu$ M)	PTP1B (Inhibition Ratio/%) <sup>a</sup>
1	18.2	29	10	40.7	<10
2	130.7	<10	11	37.5	<10
3	83.9	<10	12	1086.6	<10
4	144.2	<10	13	480.5	<10
5	1093.5	<10	14	353.2	<10
6	267.3	<10	15	67.8	<10
7	198.2	<10	16	362.6	<10
8	364.3	<10	Acarbose <sup>b</sup>	408.0	-
9	7.6	<10	Oleanolic acid <sup>c</sup>	-	99.1

<sup>a</sup> The inhibition ratio screening concentration was 100  $\mu$ g/mL for the PTP1B test; <sup>b</sup> Acarbose was used as a positive control for the  $\alpha$ -glucosidase inhibitory test; <sup>c</sup> Oleanolic acid was used as a positive control for PTP1B inhibitory test.

### 3. Materials and Methods

#### 3.1. General Experimental Procedures

HRESIMS data were recorded with a Finnigan LTQ-Orbitrap Elite (Thermo Fisher, Waltham, MA, USA). NMR spectra were reported by Bruker AVANCE NEO 600 MHz spectrometer (Bruker BioSpin, Fällanden, Switzerland). CD spectrum was obtained on a Chirascan spectropolarimeter (Applied Photophysics, Leatherhead, UK). Optical rotation was determined on an MCP 500 (Anton Paar, Austria). UV spectra were obtained on a PERSEE TU-1990 spectrophotometer. Sephadex LH-20 (25–100  $\mu$ m; GE Healthcare, Sweden) and silica gel (200–300 mesh; Qingdao Shenghai Chemical Co. Ltd., Qingdao, China) were used for CC. Thin-layer chromatography (TLC) was detected on the Silica gel GF254 plate (Qingdao Marine Chemical Ltd., Qingdao, China).

#### 3.2. Fungal Material

The fungus (16-5c) used in this research was isolated from the leaves of *S. apetala*, a mangrove plant that was collected from Hainan Island, China. The fungus was identified as *Aspergillus* sp. by the ITS region (deposited in GenBank, accession no JX993829) [29].

#### 3.3. Fermentation, Extraction, and Isolation

The fungus *Aspergillus* sp. 16-5c was fermented on solid autoclaved rice medium using eighty 1 L Erlenmeyer flasks; each contained 50 g rice and 50 mL 0.3% saline water, culturing in room temperature under static condition for 28 days. The mycelia and solid rice medium were extracted with methanol three times. The organic solvents were evaporated under reduced pressure; we obtained 110 g of organic extract. The extract was isolated by column chromatography over silica gel eluting with a gradient of petroleum ether/ethyl acetate from 1/0 to 0/1 to afford four fractions (Fractions 1–5). Fraction 3 (253 mg) was applied to silica gel CC and purified by Sephadex LH-20 CC to obtain compounds 7–10, 13, and 16. Fraction 4 (203 mg) was applied to column chromatography over silica gel, eluting with CH<sub>2</sub>Cl<sub>2</sub>/MeOH (100:1), and then further purified by Sephadex LH-20 CC eluted with MeOH to give compounds 1–6, 11–12, and 14–15.

Aspergiamide A: pale yellow powder;  $[\alpha]_D^{25} - 171.4^\circ$  (c 0.1, MeOH); (c 0.1, MeOH)<sup>''</sup>; UV (MeOH)  $\lambda_{max}$  (log  $\epsilon$ ) 222 (3.30), 284 (0.90), 337 (1.05) nm; ECD  $\lambda_{ext}$  ( $\Delta\epsilon$ ) (MeOH), 220 (−18.75), 240 (+13.50), 275 (+16.51), 340 (−10.18) nm; HRESIMS at  $m/z$  366.1827 [M − H]<sup>−</sup> (calcd for C<sub>21</sub>H<sub>24</sub>N<sub>3</sub>O<sub>3</sub>, 366.1823). <sup>1</sup>H and <sup>13</sup>C NMR see Table 1.

Aspergiamide B: white powder;  $[\alpha]_D^{25} - 154.8^\circ$  (c 0.1, MeOH); (c 0.1, MeOH)<sup>''</sup>; UV (MeOH)  $\lambda_{max}$  (log  $\epsilon$ ) 224 (2.16), 284 (0.59), 338 (0.76) nm; HRESIMS at  $m/z$  382.1766 [M + H]<sup>+</sup> (calcd for C<sub>21</sub>H<sub>24</sub>N<sub>3</sub>O<sub>4</sub>, 382.1761). <sup>1</sup>H and <sup>13</sup>C NMR see Table 1.

Aspergiamide C: pale yellow powder;  $[\alpha]_D^{25} + 64.5^\circ$  (c 0.1, MeOH); (c 0.1, MeOH)<sup>''</sup>; UV (MeOH)  $\lambda_{max}$  (log  $\epsilon$ ) 225 (2.36), 284 (0.671), 338 (0.90) nm; ECD  $\lambda_{ext}$  ( $\Delta\epsilon$ ) (MeOH), 210 (+38.95), 254 (35.40), 345 (+19.85) nm.; HRESIMS at  $m/z$  364.1670 [M − H]<sup>−</sup> (calcd for C<sub>21</sub>H<sub>22</sub>O<sub>3</sub>N<sub>3</sub>, 364.1666). <sup>1</sup>H and <sup>13</sup>C NMR see Table 1.

Aspergiamide D: pale yellow powder;  $[\alpha]_D^{25} + 78.5^\circ$  (c 0.1, MeOH); (c 0.1, MeOH)''; UV (MeOH)  $\lambda_{\max}$  (log  $\epsilon$ ) 213 (1.63) nm; ECD  $\lambda_{\text{ext}}$  ( $\Delta\epsilon$ ) (MeOH), 217.5 (−11.51), 263 (+6.15) nm; HRESIMS at  $m/z$  366.1828  $[M - H]^-$  (calcd for  $C_{21}H_{24}O_3N_3$ , 366.1823).  $^1H$  and  $^{13}C$  NMR see Table 2.

Aspergiamide E: light yellow powder;  $[\alpha]_D^{25} - 22.1^\circ$  (c 0.1, MeOH); (c 0.1, MeOH)''; UV (MeOH)  $\lambda_{\max}$  (log  $\epsilon$ ) 213 (2.13) nm; ECD  $\lambda_{\text{ext}}$  ( $\Delta\epsilon$ ) (MeOH), 210 (−3.45), 245 (−1.12), 260 (−0.36), 271 (−0.78) nm; HRESIMS at  $m/z$  404.1946  $[M + Na]^+$  (calcd for  $C_{22}H_{27}O_3N_3Na$ , 404.1944).  $^1H$  and  $^{13}C$  NMR see Table 2.

Aspergiamide F: white powder;  $[\alpha]_D^{25} - 136.8^\circ$  (c 0.1, MeOH); (c 0.1, MeOH)''; UV (MeOH)  $\lambda_{\max}$  (log  $\epsilon$ ) 213 (2.13), 261 (0.67) nm; ECD  $\lambda_{\text{ext}}$  ( $\Delta\epsilon$ ) (MeOH), 210 (+20.12), 237.3 (−20.05), 260 (−6.58), 305 (−9.85) nm; HRESIMS at  $m/z$  336.0993  $[M - H]^-$  (calcd for  $C_{18}H_{15}O_4N_3$ , 336.0989).  $^1H$  and  $^{13}C$  NMR see Table 2.

### 3.4. Antidiabetic Bioassays

The  $\alpha$ -glucosidase inhibition assay was performed in a 96-well microplate reader method as described in a previous report [24]. The  $\alpha$ -glucosidase assay was performed at 37 °C with a final volume of 200  $\mu$ L. For each well, 10  $\mu$ L samples (dissolved in DMSO), followed by the addition of 50  $\mu$ L phosphate buffer (pH 6.86), 20  $\mu$ L 0.2 U/mL  $\alpha$ -glucosidase solutions and incubated for 5 min. 20  $\mu$ L substrate p-nitrophenyl- $\alpha$ -D-glucopyranoside (p-NPG) was added to each well to start the reaction. The reaction was incubated at 37 °C for 15 min and stopped by the addition of 50  $\mu$ L 0.4 M  $Na_2CO_3$ . The  $\alpha$ -Glucosidase activity was determined by measuring the release of p-nitrophenol from p-NPG at 405 nm.

PTP1B inhibitory activity was determined by measuring the enzymatic hydrolysis rate of p-nitrophenyl phosphate (p-NPP) as reported in the study [30]. This assay was performed at 37 °C with a final volume of 100  $\mu$ L, using a Tris-HCl buffer (25 mM Tris-HCl, 1 mM EDTA, 1 mM DTT, pH 7.5). Samples were dissolved in 10  $\mu$ L DMSO followed by the addition of 30  $\mu$ L buffer, 40  $\mu$ L 0.075 U/ $\mu$ L PTP1B stock solution, and then added 20  $\mu$ L 22.26  $\mu$ g/ $\mu$ L p-NPP solution to start the reaction. After 30 min, the reaction ended by adding 50  $\mu$ L 2.5 M NaOH solution. The absorbance was measured at 405 nm.

The purity of the tested compounds by HPLC analysis for bioassay was 96% for **1**, 96% for **2**, 97% for **3**, 98% for **4**, 96% for **5**, 98% for **6**, 98% for **7**, 98% for **8**, 98% for **9**, 99% for **10**, 96% for **11**, 96% for **12**, 97% for **13**, 98% for **14**, 97% for **15**, and 95% for **16**.

### 3.5. ECD Calculation

Conformational searches were carried out by means of the Spartan14 software using the Molecular Merck force field (MMFF). All density functional theory (DFT) and time-dependent (TD)-DFT calculations were performed with Gaussian 09 program. Conformers within a 10 kcal/mol energy window were generated and optimized by DFT calculations at the B3LYP/6-31+G (d, p) level. Conformers with a Boltzmann distribution of over 5% were chosen for ECD calculations by the TD-DFT method at the B3LYP/6-31+G (d, p) level [31]. The polarizable continuum model for MeOH was used. The calculated ECD curves were generated using the SpecDis 3.0 (University of Würzburg, Würzburg, Germany) and Origin Pro 8.0 (Origin Lab, Ltd., Northampton, MA, USA) from dipole-length rotational strengths by applying Gaussian band shapes with  $\sigma = 0.30$  eV.

## 4. Conclusions

In conclusion, chemical investigation of the mangrove endophytic fungus *Aspergillus* sp. 16-5c led to isolation and identification of six new alkaloids, aspergiamides A–F (**1–6**), with ten known analogs (**7–16**). The potential of DKPs alkaloids as antidiabetic agents was evaluated by  $\alpha$ -glucosidase inhibition and PTP1B inhibition assay. The results of the  $\alpha$ -glucosidase inhibition assay showed compounds **1** and **9** exhibited significant  $\alpha$ -glucosidase inhibitory with  $IC_{50}$  values of 18.2 and 7.6  $\mu$ M, respectively. Meanwhile, all the tested DKPs compounds showed no obvious PTP1B inhibition at 100  $\mu$ g/mL concentration.

These outcomes expanded the chemical and biological diversity of DKPs alkaloids and may provide new molecules for antidiabetic drug discovery.

**Supplementary Materials:** The HRESIMS and NMR spectrum (Figures S1–S61) are available online at <https://www.mdpi.com/article/10.3390/md19070402/s1>.

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