

Article

# Asymmetrical Three-Level Inverter SiC-Based Topology for High Performance Shunt Active Power Filter

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Received: 10 October 2019; Accepted: 24 December 2019; Published: 27 December 2019



**Abstract:** Power quality conditioner systems, such as shunt active power filters (SAPFs), are typically required to have low power losses, high-power density, and to produce no electromagnetic interference to other devices connected to the grid. At the present, power converters with such a features are built using multilevel topologies based on pure silicon semiconductors. However, recently new semiconductors that offer massive reduction of power losses such as silicon carbide (SiC) MOSFETs have been introduced into the power electronics field. In the near future, the applications that demand the highest performance will be powered by multilevel converters based on SiC. In this paper a highly efficient three-level (3L) topology based entirely on silicon carbide (SiC) semiconductors for a SAPF is presented and analyzed in great detail. Furthermore, the proposed topology is compared with other full SiC-based conventional topologies: two level (2L), three-level T-type (3L-TNPC), and three-level neutral-point-clamped (3L-NPC) in terms of efficiency. The proposed asymmetrical topology has an efficiency superior to conventional all SiC 2L and 3L power circuits when the pulse or switching frequency of the system is set higher than 60 kHz. Further, for high current ratings, the asymmetrical topology has the advantage that it can be built just by cascading two half-bridge SiC modules.

**Keywords:** active filter; active damping; converter circuit; efficiency; harmonics; multilevel converters; power quality; Silicon Carbide (SiC); voltage source converter; wide bandgap devices

## 1. Introduction

The work presented in this research article is an expanded and extended version of the conference paper [1].

Numerous and complex challenges are imposed on power systems at present times: a steady increasing energy demand in industrial and residential applications [2–4], the integration of renewable energies systems (RESs) and distributed generation (DG) [5–7] and the assurance of high power quality [8,9] and reliability [10] in face of the highly reconfigurable and extremely dynamic nature that power grids are acquiring due to rapid changes in loads and power sources. The way to tackle the mentioned challenges is a more intelligent power grid or smart grid. Such smart grids should allow the full use of renewables, be highly flexible, and have reliable and high power capable to cope with the increasing energy demands [2,5]. Advances in many technologies are needed for the realization of the smart grid, advances in the areas of power systems, power electronics, information and communications [11], and artificial intelligence [12,13]. Moreover, it is important to emphasize the pivotal role that power electronics technologies play in smart grids. First, static power converters based on power electronics are fundamental and indispensable elements to interface RESs power

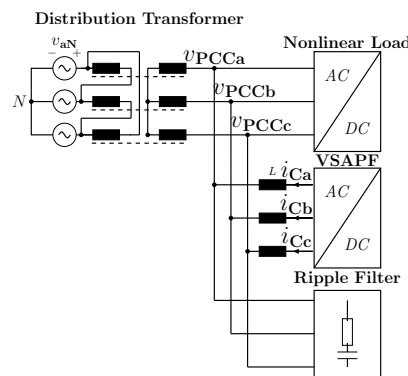
sources, such as solar photovoltaic arrays (PV), wind turbines, and batteries, with the power grid. Static converters transform the raw power produced by clean energy sources into electrical power in a form that is suitable to be stored (e.g., in batteries) or to be injected into the grid [2,5,14]. Second, power electronics technologies are the enablers for the efficient conversion and control of the electrical power in modern electrical loads such as computers, lighting devices, adjustable speed drives (ASDs), and uninterruptible power supplies (UPS), among other applications [15,16]. Modern fully controllable loads will be key in the future supply-demand interactive energy management systems of the future smart grid. As supply has to match the load demand and RESs produce inherently power in a fluctuating manner due to their dependency to weather conditions, “smart loads” that shut down at peak hours and turn on when there is a surplus of renewable power generation, will become necessary for an optimum supply of electricity to final users [17].

Static power converters are vital for smart grids; however, these power electronics-based devices produce some negative and adverse effects on the power grid. Power converters are based on switching mode operation, in consequence their voltage/current characteristic is nonlinear [8,15,18]. In other words, these devices draw currents with a high harmonic content even when they are connected to a power system that supplies pure sinusoidal voltages with a fundamental frequency of 50 Hz or 60 Hz. The currents drawn can be either highly discontinuous as in the case of loads fed by three phase diode rectifiers which produce low frequency harmonics at integer multiples of the fundamental frequency [19], or the currents drawn can have a harmonic content related with the switching frequency operation of the power converters as is the case of photovoltaic grid inverters [20]. Harmonic currents drawn by power converters create harmonic voltage distortion due to the harmonic voltage drop provoked by the currents in the equivalent impedance of the power grid. Harmonic voltage distortion degrades the efficiency of the power system and also leads to heating and malfunctioning of electrical devices connected [15,16,18]. The voltage distortion levels and frequency have to be maintained within certain limits in order to guarantee a high power quality and reliability in the smart grid.

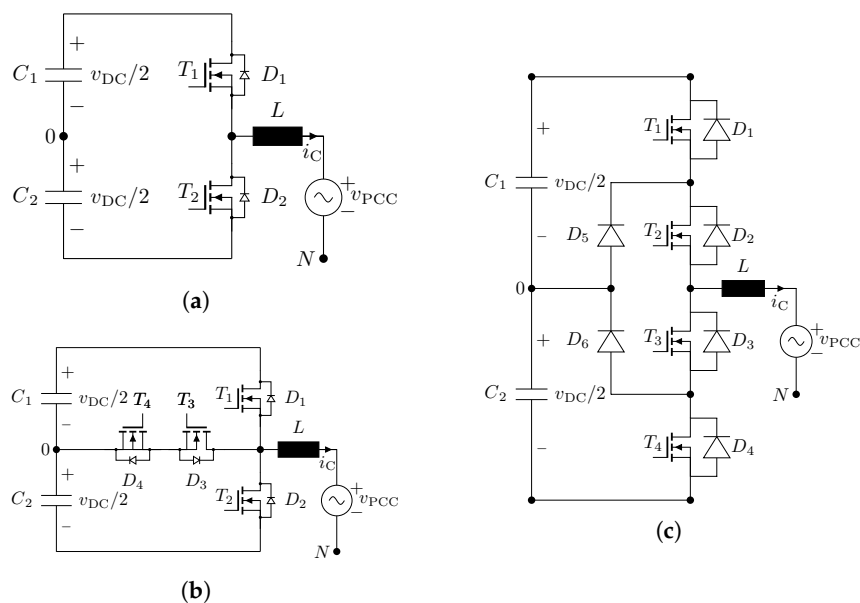
State-of-the-art solutions to combat power quality problems such as harmonic voltage distortion are shunt active power filters (SAPFs) of the voltage source converter (VSC) type [16]. An example of such solution is depicted in Figure 1. Power quality conditioner systems such as SAPFs are typically required to have low power losses, high power density, and to produce no electromagnetic interference to other devices connected to the grid. To fulfill such demanding requirements, modern SAPF solutions are formed by silicon semiconductors (IGBTs and diodes) in multilevel power circuit structures. Voltage source multilevel converters feature lower switching losses and smaller AC filters with lower losses than solutions based on two-level (2L) silicon-based VSC power circuits [21–23]. Recently, however, new technologies in the form of wide band gap (WBG) semiconductors have stepped in and particularly for high power and high voltage applications (above 600 V) the most suitable WBG semiconductors are SiC MOSFETs [24]. From the switching performance perspective, SiC MOSFETs outperform silicon IGBTs counterparts; this is because SiC exhibits a higher electron saturated velocity than silicon and that SiC MOSFETs are unipolar devices, and thus they have no stored charges that limit the switching speed. Therefore, it is possible to use SiC MOSFETs in power converters with a high switching frequency without suffering from significant switching losses [25]. The consequence is that full SiC MOSFET 2L converters operating at high switching frequency display an efficiency that is comparable or superior to multilevel pure silicon-based power converters [23,25]. Certainly, the combination of multilevel circuit structures and SiC MOSFETs will produce solutions with the highest efficiency and the highest power density. This trend will be seen in the close future for applications requiring the highest performance.

In a previous paper, we proposed a three-level (3L) non-conventional topology fully based on SiC MOSFETs with the aim of maximize the SAPF's efficiency [1]. The proposed topology has an efficiency superior to conventional all SiC 2L (see Figure 2a) and 3L topologies (see Figure 2b,c) when the pulse or switching frequency of the system is set higher than 60 kHz, with the efficiency at full load peaking at 70 kHz. Previous works [26–28] studied the non-conventional topology based on pure silicon

semiconductors (IGBTs and diodes) in three-phase PFC rectifiers and single SAPFs. The focus was on the design of current control loops, DC-Bus voltage balancing and fully digital control implementation. Furthermore, only Reference [26] analyzed the switching states of the topology but just for the single phase PFC IGBT Si case. This paper comes to extend our previous paper [1] by analyzing in detail the switching states of the proposed topology and current commutations during switching states transitions that are indeed very different to the ones seen in the topology with silicon IGBTs due to the third quadrant characteristic of SiC MOSFET devices. Moreover, this paper includes a profound discussion of the topology power losses, topology’s efficiency, and a detailed losses comparison with the 2L and conventional 3L full SiC-based solutions that has not been done in our previous work. The paper is organized as follows. In Section 2, the fundamental working principles of the SAPF are highlighted. In Section 3, the main characteristics of the proposed topology are presented and discussed in great detail. In Section 4, a discussion about the losses performed by the topologies based on simulation results is carried out. In Section 5, experimental results are shown in order to demonstrate the feasibility of the solution. Finally, in Section 6, the conclusions are performed.



**Figure 1.** Shunt active power filter based on voltage detection (VSAPF) connected to a three phase distribution network. A ripple filter (RC combination) is installed alongside of the SAPF in order to avoid switching noise penetration into the grid. PCC: Point of Common Coupling [1].



**Figure 2.** Schematics of conventional converter topologies. Just one leg of the three phase converter is shown in all cases. (a) Two-level (2L) topology. (b) Three-level neutral-point piloted (3L-TNPC) or 3L T-Type topology. (c) Three-level neutral-point-clamped (3L-NPC) [1].

## 2. Shunt Active Power Filter-Based on Voltage Detection (VSAPF)

### 2.1. VSAPF Fundamental Principles

A SAPF can be conceived as a controlled current source that injects compensating currents  $I_C$  into the power system (see Figure 1). There are three main control methodologies to generate the reference for the compensating currents: load current detection, supply current detection, and voltage detection [29]. For the methods load current detection and supply current detection the compensating currents  $I_C$  injected by the SAPF are proportional either to the harmonic load current  $I_{Lh}$  or to the harmonic supply current  $I_{Sh}$ . In both of these methods, the SAPF measures currents in order to generate the references for the compensating currents  $I_C$ . A voltage based SAPF (VSAPF) works differently, it measures the voltage at the point of common coupling (PCC), extracts the harmonic content and injects currents that are proportional and in phase to the measured harmonic voltages. It does not measure any current from the load or from the supply side. This is the reason why industrial applications of VSAPFs are often known sensorless controlled SAPFs. In this paper, we focus on the voltage detection control strategy that is explained in great detail by Akagi in [30]. If this control strategy is implemented, the VSAPF behaves as a resistor at harmonic frequencies and therefore can be used to damp out resonances that arise due to the interaction of inductors and capacitors in the power system. In presence of nonlinear loads, the voltage at the PCC consists of a fundamental component  $v_1$  and harmonic voltages at different frequencies. In a three-wire three phase system, the typical harmonics are the 5th, 7th, 11th, 13th, and many others that correspond to the harmonic voltages  $v_5$ ,  $v_7$ ,  $v_{11}$ ,  $v_{13}$  and others. The sum of all the harmonic voltages together can be represented by the term  $v_h$ . If we establish  $\omega = 2\pi f_1$  as the fundamental frequency, and take the voltage phase angle at the fundamental frequency at the PCC as reference  $\alpha_1 = 0$ , the voltage at the PCC can be mathematically described by the following equation [31],

$$v_{PCC}(t) = v_1(t) + v_h(t) \quad (1)$$

$$v_h(t) = v_2(t) + v_3(t) + \dots = \sum_{h=2}^{h=\infty} \sqrt{2}V_h \sin(h\omega t - \alpha_h) \quad (2)$$

where the phase shift between the voltage at harmonic frequency  $h$  with respect to the phase angle taken as reference is  $\alpha_h$ . A VSAPF injects compensating currents at harmonic frequencies  $i_{Ch}$  proportional and in phase to harmonic voltages at the PCC. Moreover, a current at the fundamental frequency is also drawn by the VSAPF that is used to regulate the DC bus voltage  $i_{C1}$ . Altogether, it follows that the compensating currents injected by the VSAPF can be expressed as

$$i_C(t) = i_{C1}(t) + i_{Ch}(t) \quad (3)$$

with:

$$i_{Ch}(t) = i_{C2}(t) + i_{C3}(t) + \dots = \sum_{h=2}^{h=\infty} \sqrt{2}I_h \sin(h\omega t - \beta_h) \quad (4)$$

where the phase shift between the voltage at harmonic frequency  $h$  with respect to the phase reference  $\alpha_1$  is  $\beta_h$ . The VSAPF control law, defined by Akagi in [30], states that to emulate a resistance at harmonic frequencies, the harmonic compensating currents  $i_{Ch}$  need to be in phase to the existent harmonic voltages at the PCC, it follows that

$$\alpha_h \approx \beta_h \quad (5)$$

for each harmonic frequency  $h > 1$ . It is possible to define the phase difference  $\theta_h$  between the harmonic component of voltage and current waveform of order  $h$ . If harmonic voltages and currents are in phase then the phase difference will tend to be zero. It can be expressed as

$$\theta_h = \alpha_h - \beta_h \approx 0 \quad (6)$$

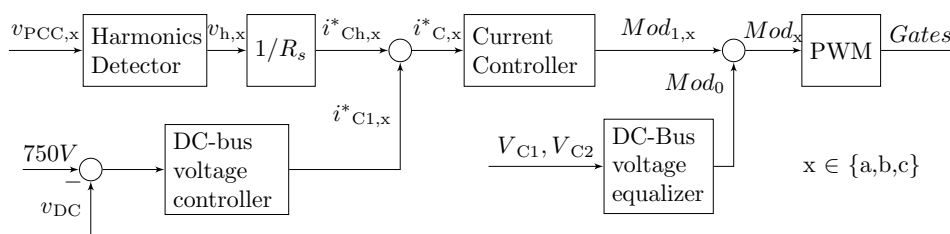
Furthermore, the control law also establish that the harmonic compensating currents need to be proportional to the harmonic voltages, therefore it follows that

$$I_h = \frac{V_h}{R_s} \quad (7)$$

where the proportionality factor between harmonic voltages and currents is  $\frac{1}{R_s}$ . Thus, the VSAPF forms a closed loop between  $V_h$  and  $I_h$ , and it behaves fundamentally as a shunt virtual harmonic resistor of  $R_s(\Omega)$  connected in parallel to the grid. The damping provided by the virtual resistor avoids the amplification of harmonic voltages and currents due to resonance conditions. In other words, harmonic resonances are suppressed by the VSAPF. Moreover, if the virtual resistor impedance is set smaller than the equivalent impedance of the power network, the harmonic currents generated by nonlinear loads will be confined to flow through the VSAPF and will not penetrate into the power system, leading to a voltage Total Harmonic Distortion (THD) reduction at the PCC. The fundamental component of the compensating currents  $i_{C1}$  determines the amount of active power at the fundamental frequency that will be exchanged with the power grid.

## 2.2. VSAPF Control System

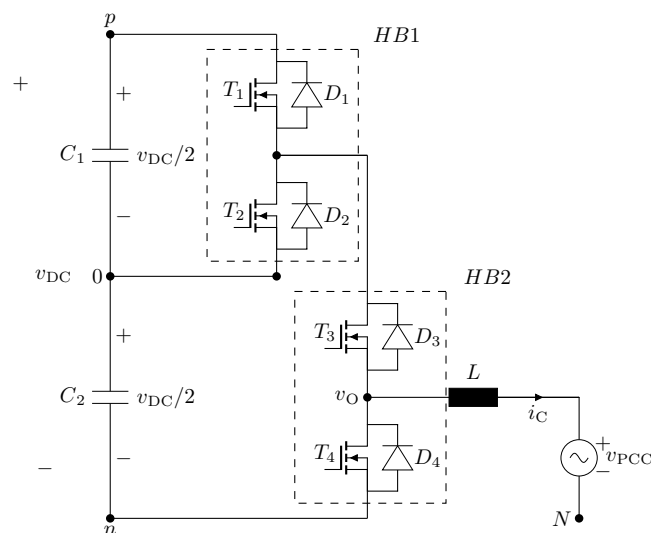
The VSAPF control system is depicted in Figure 3. It has four main parts: two outer loops, one inner current control loop, and one DC-side voltage equalizer. The first outer loop is the one in charge to generate the reference for the harmonic content of the compensating currents. First, the first outer loop senses the grid voltages  $v_{PCC}$  and then extracts the harmonic content  $v_h$  of each phase through signal processing over the  $v_{PCC}$  signals. The mentioned process removes the fundamental component  $v_1$  from  $v_{PCC}$ . Afterwards,  $v_h$  is scaled by the reciprocal of the target virtual resistance  $R_s$  to generate the reference  $i_{Ch}^*$ . As the VSAPF is meant to operate with a self-supporting DC-Bus, a second outer loop is designed to maintain the voltage of the DC-Bus to a constant level. Depending on whether the DC-bus voltage is below or above its reference, the second outer loop sets the reference for the fundamental current  $i_{C1}$  to absorb/release fundamental active power from/to the power system [29]. The third component of the system is the inner current control loop. The former determines the appropriate modulation reference to be fed into the pulse width modulator (PWM) such that the VSC synthesizes the compensating currents matching the reference  $i_C^* = i_{C1}^* + i_{Ch}^*$ . In this work, the current controller design is based largely on [32]. Finally, as all the 3L converters in this study are realized with a DC-side voltage divider (two capacitors in series in the DC-bus), the capacitors on the DC-bus are subject to suffer of the partial DC-side voltage drift phenomenon where the voltage of both of the capacitors becomes unbalanced [33,34]. Therefore, a DC-side voltage equalizer becomes necessary to maintain the voltage of both of the DC-Bus capacitors symmetrical.



**Figure 3.** Simplified block diagram of the control system of the Shunt Active Power Filter based on voltage detection (VSAPF). Moreover PWM stands for pulse width modulator.

### 3. Asymmetrical Three Level Converter Topology (3L-ASYM)

Figure 4 shows one leg of the three phase 3L nonconventional topology proposed as power circuit for the VSAPF. The non-conventional topology is named in this paper as asymmetrical three-level converter 3L-ASYM for reasons that will become clear at Section 3.2. In the figure, it can be seen that two capacitors in series hold the DC-Bus voltage and that the neutral point is located in the middle point of both capacitors. Furthermore the switches  $T1-T4$  are selected to be SiC MOSFETs and the diodes  $D1-D4$  are selected to be SiC Schottky barrier diodes (SBD). The proposed nonconventional topology has the main advantage that its design is based on two power electronics building blocks, in this case a pair of two level commutation cells in a cascade connection. In other words, the proposed topology can be built just with two half bridge SiC MOSFETs modules connected in a cascaded connection, connecting the AC terminal of one module to the positive terminal of the second module.



**Figure 4.** Configuration of the proposed 3L asymmetrical topology (just one leg of the three phase converter is shown). One leg is formed by employing four controlled switches using a cascade connection of two single-phase half-bridges (HB) [1].

#### 3.1. Switching States

The neutral point  $0$  is taken as the reference node for the incoming analysis. In consequence, the potential of the positive rail  $p$  becomes  $v_{DC}/2$  and the potential of the negative rail  $n$  becomes  $-v_{DC}/2$ . The voltage  $v_o$  is defined as the output voltage. If  $T1$  and  $T3$  are switched ON, the output voltage takes the  $v_{DC}/2$  potential. At the mentioned switching state, the voltage stress across  $T2$  is half of the DC-Bus voltage ( $v_{DC}/2$ ) and the stress across  $T4$  is the full DC-Bus voltage ( $v_{DC}$ ). If  $T2$  and  $T3$  are ON, the output voltage takes the  $0$  potential. The voltage stress across  $T1$  is half of the DC-Bus voltage  $v_{DC}/2$  and the stress across  $T4$  is also  $v_{DC}/2$ . If  $T2$  and  $T4$  are ON and  $T3$  is OFF, the output voltage assumes  $-v_{DC}/2$  potential. The voltage stress across  $T1$  is half of the DC-Bus voltage  $v_{DC}/2$  and the stress across  $T3$  is also  $v_{DC}/2$ . All the switching states of the VSAPF proposed topology can be seen in Table 1 and a summary of the voltage stress on the different switches can be seen in Table 2. The immediate consequence of Table 2 is that switches  $T1$ ,  $T2$ ,  $T3$ ,  $D1$ ,  $D2$ , and  $D3$  have to be selected just to block half of the DC-Bus voltage. In contrast,  $T4$  and  $D4$  have to be rated to sustain the complete DC-Bus voltage. Moreover, when the switch  $T1$  is ON, it is absolutely mandatory that the switch  $T2$  is OFF; otherwise, shoot-through occurs in the capacitor  $C_1$ . Likewise, when the switch  $T2$  is ON, it becomes necessary to command the switch  $T1$  to OFF state. Therefore when  $T1$  and  $T2$  are commanded to change their states, a blanking time should be introduced as in a typical half-bridge. In essence, the previously stated applies as well also for the switches  $T3$  and  $T4$  to avoid shoot-through of the capacitor  $C_2$ . The non-conventional topology can be driven by the level-shifted PWM modulation



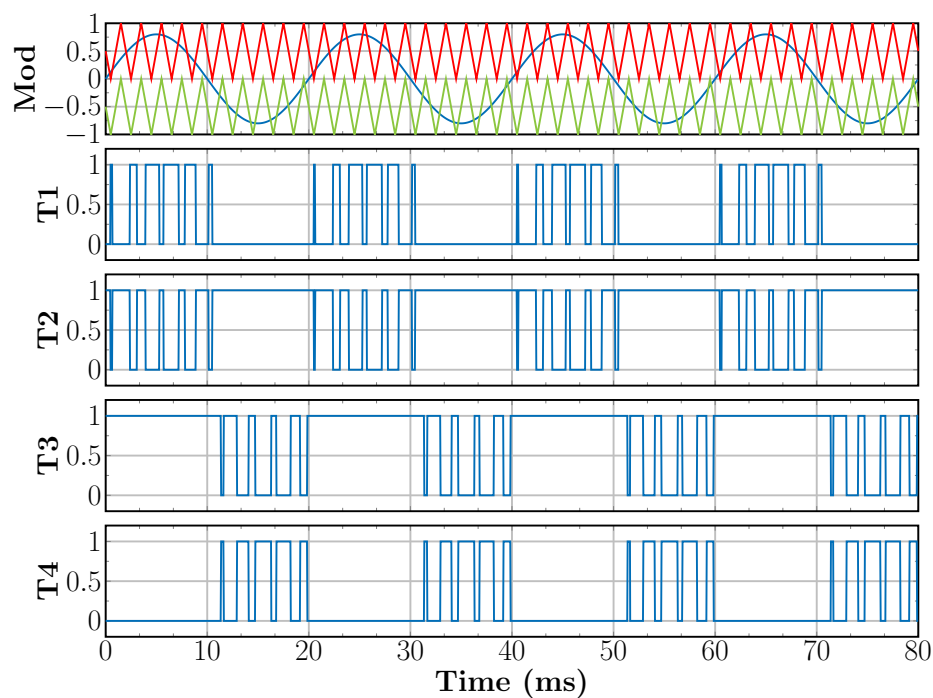
the same as the 3L-TNPC and 3L-NPC. In this variant, the modulating signal ( $Mod$ ) is compared with two high frequency triangular waveforms ( $V_{tri1}$  and  $V_{tri2}$ ). Figure 5 shows the behavior of the PWM generation when the modulating signal is pure sinusoidal. The switches  $T1$  and  $T2$  change their states during the positive semicycle of the modulating signal. Also, during the positive part of the modulating signal, the switch  $T3$  is continuously on. During the negative semicycle of the modulating signal, the switches  $T3$  and  $T4$  change their states and the switch  $T2$  is continuously on.

**Table 1.** Switching states for the 3L-ASYM topology.

| Switching State | $T_1$ | $T_2$ | $T_3$ | $T_4$ | Output Voltage |
|-----------------|-------|-------|-------|-------|----------------|
| $p$             | 1     | 0     | 1     | 0     | $v_{DC}/2$     |
| $0$             | 0     | 1     | 1     | 0     | 0              |
| $n$             | 0     | 1     | 0     | 1     | $-v_{DC}/2$    |

**Table 2.** Voltage stress across the switches for the 3L-ASYM topology at different switching states.

| Switching State | Stress across $T1/D1$ | Stress across $T2/D2$ | Stress across $T3/D3$ | Stress across $T4/D4$ |
|-----------------|-----------------------|-----------------------|-----------------------|-----------------------|
| $p$             | -                     | $v_{DC}/2$            | -                     | $v_{DC}$              |
| $0$             | $v_{DC}/2$            | -                     | -                     | $v_{DC}/2$            |
| $n$             | $v_{DC}/2$            | -                     | $v_{DC}/2$            | -                     |

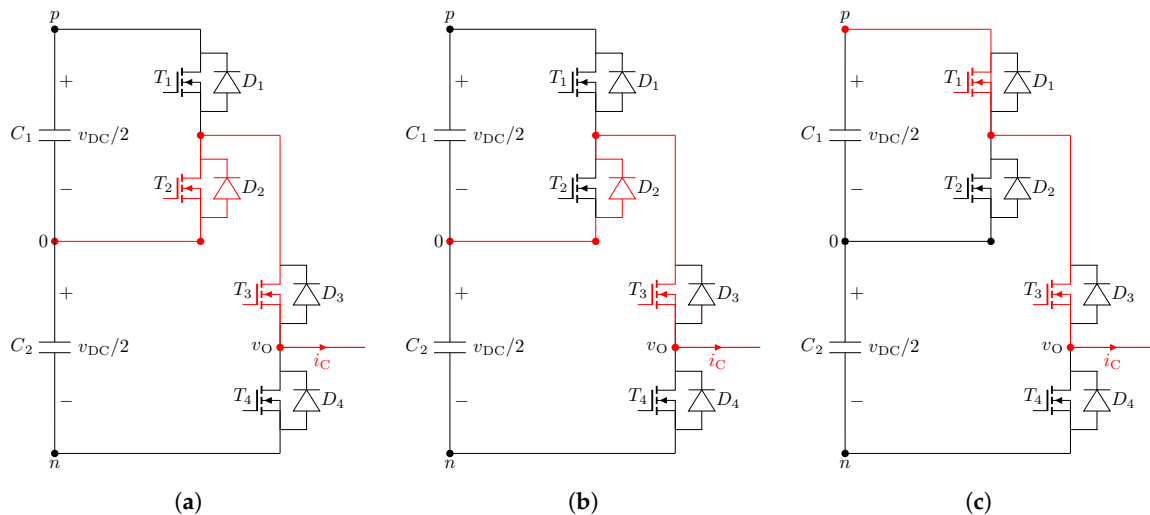


**Figure 5.** PWM generation waveforms. From top to bottom: reference voltage: modulation signal (blue), Triangular Carrier Signal 1  $V_{tri1}$  (red), and Triangular Carrier Signal 2  $V_{tri2}$  (green). Gating signal for switch  $T1$ . Gating signal for switch  $T2$ . Gating signal for switch  $T3$ . Gating signal for switch  $T4$  (Based on [1]).

### 3.2. 3L ASYM—Voltage Level Generation and Output Current

For the given topology, it is important to ensure that any desired output voltage ( $v_{DC}/2$ ,  $0$ ,  $-v_{DC}/2$ ) can be generated for positive and negative currents. In other words, irrespective of the direction of the current, it should be possible to select any of the three levels at the output port. For example, for  $I_C > 0$ , to connect the AC output port ( $v_o$ ) of the converter to  $v_{DC}/2$ , the semiconductors  $T1$  and  $T3$  conduct the current (see Figure 6). To achieve  $0$  in the AC output port for  $I_C > 0$ , the semiconductors  $T2$ ,  $D2$ , and  $T3$  conduct the current. In contrast to connect the ac output port to  $-v_{DC}/2$  for  $I_C > 0$  the

semiconductors  $T4$  and  $D4$  carry the current. The proposed non-conventional topology is named in this paper as asymmetrical three-level converter topology (3L-ASYM). The reason behind this name is the asymmetry on the number of semiconductors carrying the current at different output voltage levels. The details of the current passing to each of the semiconductors in the different converter states will be discussed in the following subsections.



**Figure 6.** Current commutation, transition from switching state  $0$  to  $p$  for  $I_C > 0$ . Current flow depicted in red. (a) Switching state  $0$ . (b) Blanking time (between  $T1$  and  $T2$ ) interval. (c) Switching state  $p$ .

### 3.2.1. 3L ASYM Commutation $p$ - $0$ for Positive Current

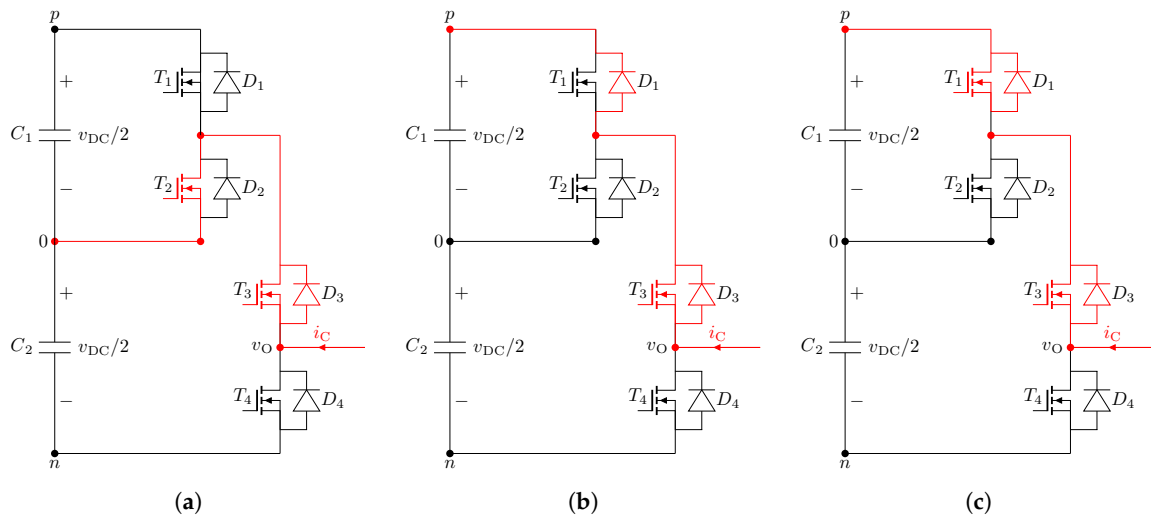
Figure 6 shows the transition between  $0$ - $p$  for a positive current ( $I_C > 0$ ). During the switching state  $0$ , the positive current flows out of the neutral point  $0$  through the diode  $D2$ , the transistor  $T2$  and the transistor  $T3$ . In this switching state, the channel of  $T2$  is open and allows a reverse current (current flow from source to drain) to pass through the SiC MOSFET switch  $T2$ . This conduction mode is called third quadrant operation of the SiC MOSFET [35] (operation similar to a synchronous rectifier [36]). Moreover, the current  $I_C$  will be distributed between the SiC MOSFET  $T2$  and the SBD diode  $D2$ . The current distribution will depend on the ON resistance ( $R_{DS}$ ) of the MOSFET, the forward voltage drop of the SiC SBD diode ( $V_F$ ) and the diode dynamic resistance ( $R_F$ ) [37]. Indeed the described switching operation is very different from what is seen in the 3L silicon-based topology. The voltage blocked by  $T1$ ,  $D1$ ,  $T4$ , and  $D4$  at this state is  $v_{DC}/2$ . During the blanking time interval between  $T1$  and  $T2$ , the current passes entirely through the diode  $D2$  and the transistor  $T3$ . Then, the converter is brought to the switching state  $p$  by turning the switch  $T1$  ON. The current commutates from  $D2$  to  $T1$ . At this stage,  $T2$  and  $D2$  block half of the DC-bus voltage, and the semiconductors  $T4$  and  $D4$  block the full DC-Bus voltage. SiC SBDs exhibit almost zero reverse current [38], which means that the increase in switching losses due to the current commutation from  $Dx$  to  $Tx$  can be neglected at all times.

### 3.2.2. 3L ASYM Commutation $p$ - $0$ for Negative Current

Figure 7 shows the transition  $0$ - $p$  for a negative compensating current ( $I_C < 0$ ). At the switching state  $0$ , the current flows through  $T2$  and through  $T3$  and  $D3$ . The former two share the compensating current as  $T3$  operates in third quadrant. The devices  $T1$ ,  $D1$ ,  $T4$ , and  $D4$  block half of the DC-Bus voltage. During the blanking time between  $T1$  and  $T2$ , the diode  $D1$  conducts the current alongside with  $T3$  and  $D3$ .  $T2$  and  $D2$  hold the half of the DC-Bus voltage. In contrast,  $T4$  and  $D4$  sustain the complete DC-Bus voltage. Finally, once the blanking time has elapsed and the transistor  $T1$  is fully ON, the current passes through  $T1$ ,  $D1$ ,  $T3$ , and  $D3$ , with  $T1$  and  $T3$  in third quadrant operation. The devices  $T2$ ,  $D2$ ,  $T4$ , and  $D4$  block the same voltage as previously stated. At this stage, the transistor  $T1$  is



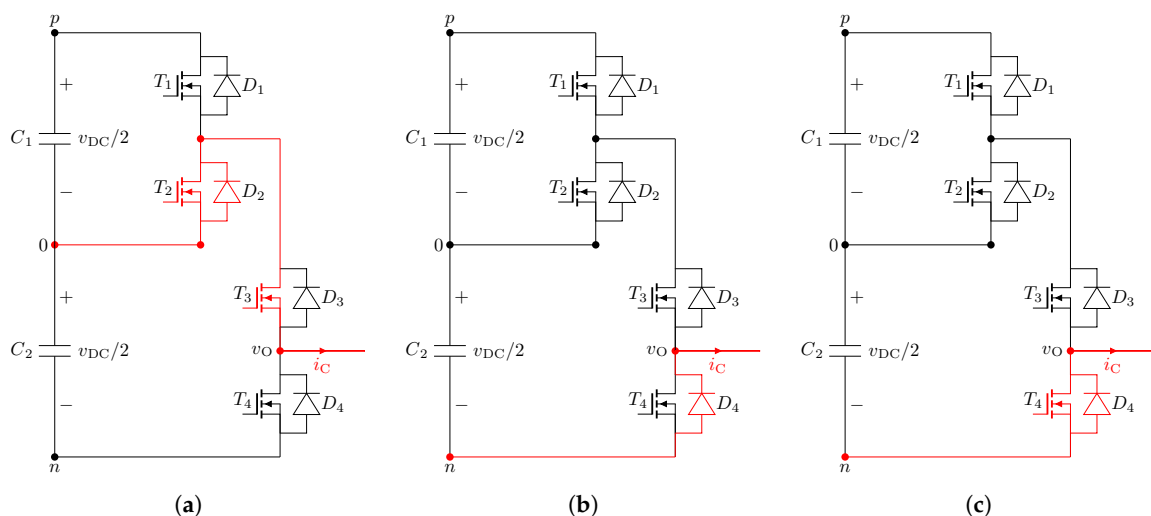
turn on at almost Zero Voltage Switching (ZVS) [39,40]. This comes inherently because diode  $D1$  is conducting the current since the beginning of the blanking time interval, thus the effective blocking voltage at the time of the switching ON transition of  $T1$  is just the voltage drop produced by  $I_C$  over  $D1$  which is remarkable smaller than half of the DC-Bus voltage. It is possible to say that  $T1$  is turned on partially at soft-switching and this comes inherently due to the circuit nature. There is no need of a special control loop or further control considerations to achieve partial soft-switching.



**Figure 7.** Current commutation, transition from switching state  $0$  to  $p$  for  $I_C < 0$ . Current flow depicted in red. (a) Switching state  $0$ . (b) Blanking time (between  $T1$  and  $T2$ ) interval. (c) Switching state  $p$ .

### 3.2.3. 3L ASYM Commutation $n-0$ for Positive Current

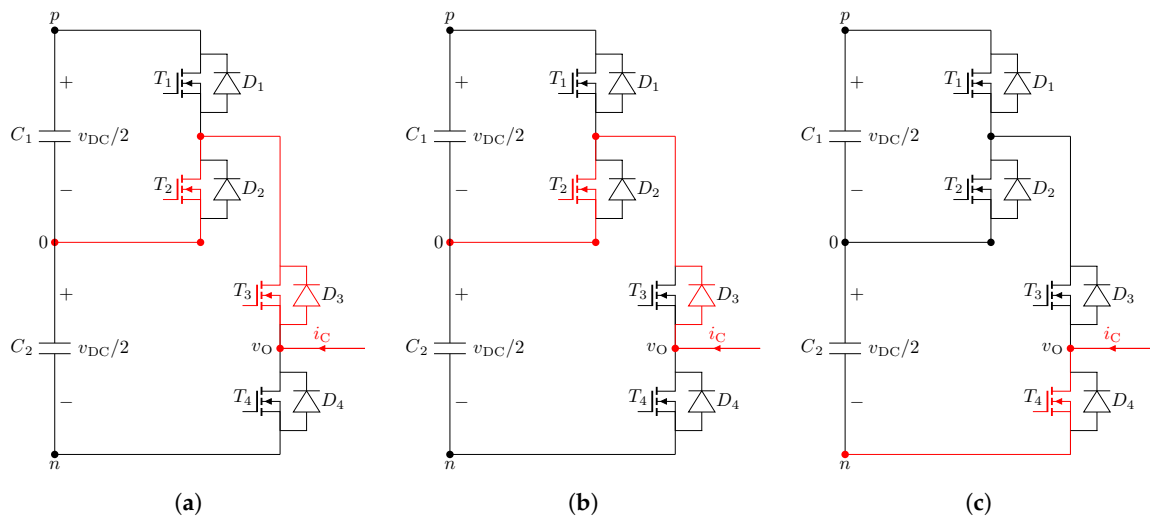
Figure 8 presents the transition  $0-n$  for a positive compensating current ( $I_C > 0$ ). The semiconductor behavior for state  $0$  is the same as the one described in Subsection 3.2.1. During the blanking time interval between  $T3$  and  $T4$ , the current commutates from  $T2/D2$  to the diode  $D4$ . As  $T2$  is in conduction,  $T1$ ,  $D1$ , and  $T4$  block just half of the DC-Bus voltage. Finally, the commutation concludes by turning  $T4$  ON almost at ZVS. As this point,  $T4$  operates in third quadrant and  $I_C$  is shared between  $T4$  and  $D4$ .  $T1$ ,  $D1$ , and  $T4$  block the same voltage as in the blanking time interval.



**Figure 8.** Current commutation, transition from switching state  $0$  to  $n$  for  $I_C > 0$ . Current flow depicted in red. (a) Switching state  $0$ . (b) Blanking time (between  $T3$  and  $T4$ ) interval. (c) Switching state  $n$ .

### 3.2.4. 3L ASYM Commutation $n-0$ for Negative Current

Figure 9 depicts the behavior of the  $n-0$  transition for  $I_C < 0$ . The switching state  $0$  transition is identical to the  $0$  state described in Section 3.2.2. All along the blanking time interval between  $T3$  and  $T4$   $I_C$  flows across  $T2$  and  $D3$ .  $T1$ ,  $D1$ ,  $T4$ , and  $D4$  block just half of the DC-Bus voltage. In the final stage of the transition, the switch  $T4$  is switched ON and the current commutates from  $D3$  to  $T4$ . As  $T2$  is in conduction state,  $T1$ ,  $D1$ ,  $T3$ ,  $D3$ , and  $D4$  block just half of the DC-Bus voltage.



**Figure 9.** Current commutation, transition from switching state  $0$  to  $n$  for  $I_C < 0$ . Current flow depicted in red. (a) Switching state  $0$ . (b) Blanking time (between  $T3$  and  $T4$ ) interval. (c) Switching state  $n$ .

## 4. Comparative Evaluation

SiC semiconductors offer the possibility to reduce drastically power losses due to lower switching losses, almost no reverse recovery, lower ON resistance ( $R_{DS}$ ) and lower gate charge in comparison with their pure silicon counterparts [41]. However, to benefit from the SiC devices features at the system level, the power losses of the overall system (semiconductors, inductors, ripple filter, etc.) need to be considered. At the system level, there are many degrees of freedom for the design such as circuit topology, modulation scheme, current ripple on the inductors, switching frequency, etc. Likewise, many degrees of freedom are encountered also for the components selection (core material for the inductors, solid, or litz wire for the inductor windings, semiconductors, etc.). For evaluation and comparison purposes, a three-phase VSAPF with a power rating of 23.1 kVA was designed and simulated in our previous paper [1]. The simulation software used for the power circuit, control system, thermal, and power converter losses estimation is Simulink-PLECS. GeckoMagnetics is used to calculate the power losses on the coupling inductors. The input given to GeckoMagnetics is the coupling inductor current spectrum obtained from the Simulink-PLECS simulation in order to have the same operating point in both simulation tools. The VSAPF was implemented using the topologies 2L, 3L-TNPC, 3L-NPC, and 3L-ASYM considering a DC-bus voltage  $v_{DC}$  of 750 V. The semiconductors considered are the discrete SiC MOSFETs and SiC Schottky diodes that can be seen at Table 3. Furthermore the PLECS models of all these semiconductors were gathered from the official website of the manufacturer Wolfspeed [42]. On the other hand, the criteria to design the coupling inductors is to maintain a maximum current ripple constant in all designs. If the maximum ripple is defined as  $\Delta I_{pp}$ , then the minimum inductance necessary to achieve  $\Delta I_{pp}$  in a 2L converter can be calculated as follows [43],

$$L_{2L} = \frac{v_{DC}}{8 \cdot F_s \cdot \Delta I_{pp}} \quad (8)$$

where  $F_s$  is the switching frequency of the converter. The last equation implies that the inductance on the coupling inductor decreases as the switching frequency is increased. Moreover, if a 3L converter is designed in such a way that the same maximum current ripple  $\Delta I_{pp}$  than in a 2L converter is to be obtained, the following applies [44],

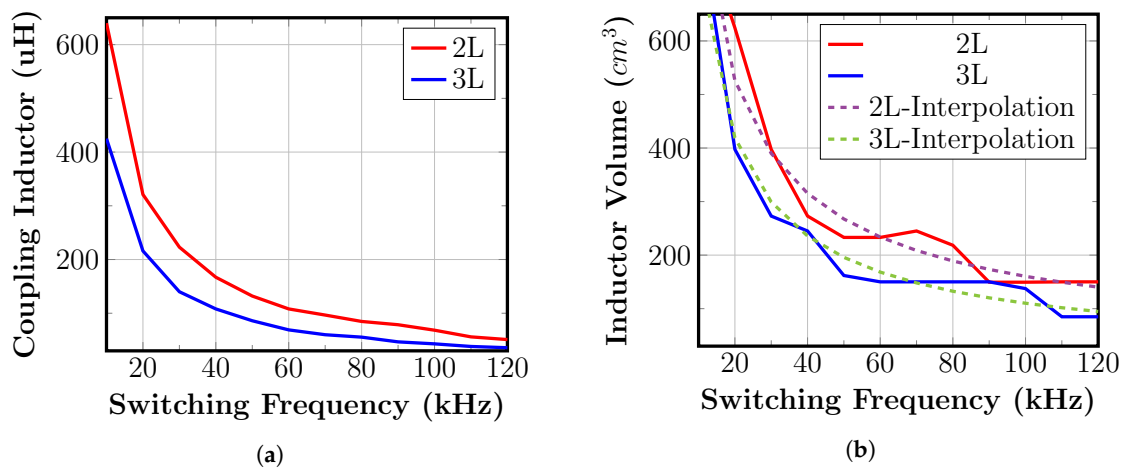
$$L_{3L} = \frac{2 \cdot L_{2L}}{3} \quad (9)$$

The maximum current ripple was fix at 20% of the rated current  $\Delta I_{pp} = I_n \cdot (20\%) \cdot 2 = 13.4 \text{ A}$ , and the switching frequency of the power converter was used as system design parameter to maximize the overall efficiency of the VSAPF. The topologies were compared at different switching frequencies between 10 and 120 kHz. Therefore, the coupling inductors were designed according to the Equations (8) and (9) in such a way that the maximum ripple was maintained at 13.4 A for all the 2L and 3L converters designs. The results can be seen in Figure 10a. The volume of the inductors designed through this process were calculated with the GeckoMagnetics software and the results can be seen at Figure 10b.

**Table 3.** Parameters of the power semiconductors used for the comparative evaluation.

| Topology | Component | Semiconductor Part Number | $V_{DSMax}$ V | $I_D$ <sup>i</sup> A | $R_{sOn}$ <sup>ii</sup> | $E_{Tot}$ <sup>iii</sup> |
|----------|-----------|---------------------------|---------------|----------------------|-------------------------|--------------------------|
| 2L       | T1        | C2M0025120D               | 1200          | 37                   | 43                      | 2.4                      |
|          | T2        | C2M0025120D               | 1200          | 37                   | 43                      | 2.4                      |
|          | D1        | C4D30120D                 | 1200          | 43                   | 110                     | $\approx 0$              |
|          | D2        | C4D30120D                 | 1200          | 43                   | 110                     | $\approx 0$              |
| 3L-TNPC  | T1        | C2M0025120D               | 1200          | 37                   | 43                      | 2.4                      |
|          | T2        | C2M0025120D               | 1200          | 37                   | 43                      | 2.4                      |
|          | T3        | C3M0030090K               | 900           | 30                   | 37                      | 0.66                     |
|          | T4        | C3M0030090K               | 900           | 30                   | 37                      | 0.66                     |
|          | D1        | C4D30120D                 | 1200          | 43                   | 110                     | $\approx 0$              |
|          | D2        | C4D30120D                 | 1200          | 43                   | 110                     | $\approx 0$              |
|          | D3        | C3D20065D                 | 900           | 26                   | 89.8                    | $\approx 0$              |
|          | D4        | C3D20065D                 | 900           | 26                   | 89.8                    | $\approx 0$              |
| 3L-ASYM  | T1        | C3M0030090K               | 900           | 30                   | 37                      | 0.66                     |
|          | T2        | C3M0030090K               | 900           | 30                   | 37                      | 0.66                     |
|          | T3        | C3M0030090K               | 900           | 30                   | 37                      | 0.66                     |
|          | T4        | C2M0025120D               | 1200          | 37                   | 43                      | 2.4                      |
|          | D1        | C3D20065D                 | 900           | 26                   | 89.8                    | $\approx 0$              |
|          | D2        | C3D20065D                 | 900           | 26                   | 89.8                    | $\approx 0$              |
|          | D3        | C3D20065D                 | 900           | 26                   | 89.8                    | $\approx 0$              |
|          | D4        | C4D30120D                 | 1200          | 43                   | 110                     | $\approx 0$              |
| 3L-NPC   | T1        | C3M0030090K               | 900           | 30                   | 37                      | 0.66                     |
|          | T2        | C3M0030090K               | 900           | 30                   | 37                      | 0.66                     |
|          | T3        | C3M0030090K               | 900           | 30                   | 37                      | 0.66                     |
|          | T4        | C3M0030090K               | 900           | 30                   | 37                      | 0.66                     |
|          | D1        | C3D20065D                 | 900           | 26                   | 89.8                    | $\approx 0$              |
|          | D2        | C3D20065D                 | 900           | 26                   | 89.8                    | $\approx 0$              |
|          | D3        | C3D20065D                 | 900           | 26                   | 89.8                    | $\approx 0$              |
|          | D4        | C3D20065D                 | 900           | 26                   | 89.8                    | $\approx 0$              |

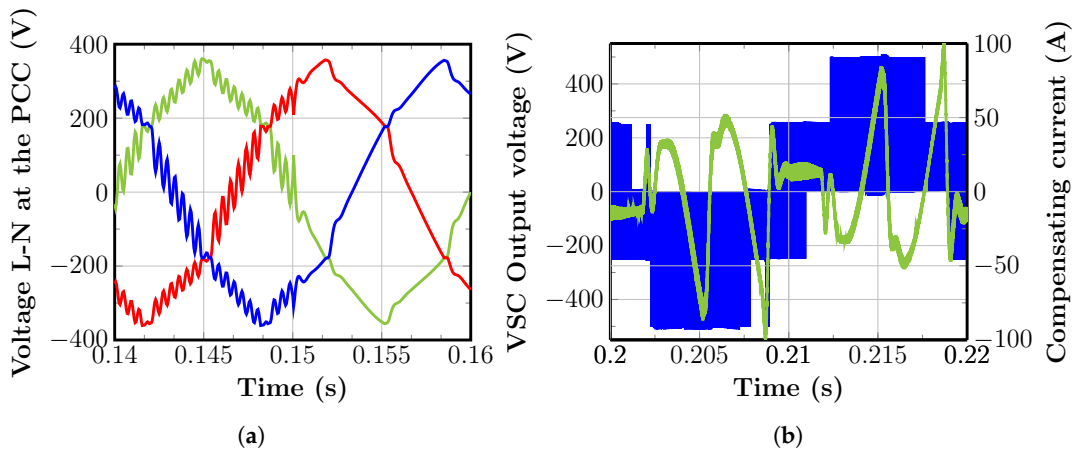
<sup>i</sup> At  $T_{Case} = 135 \text{ }^\circ\text{C}$ ; <sup>ii</sup> At  $T_J = 175 \text{ }^\circ\text{C}$ ,  $V_{DS} = 800 \text{ V}$ ; <sup>iii</sup> At  $T_J = 175 \text{ }^\circ\text{C}$ ,  $V_{DS} = 800 \text{ V}$ .



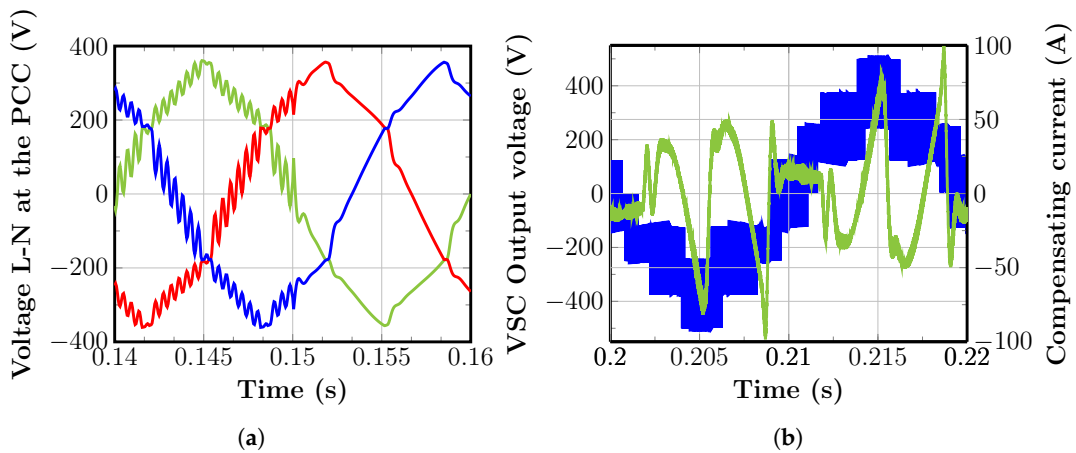
**Figure 10.** (a) Coupling inductor value function of the switching frequency for the 2L and the 3L topologies (b) Coupling inductor volume function of the switching frequency for the 2L and the 3L topologies [1].

#### 4.1. Simulation Results

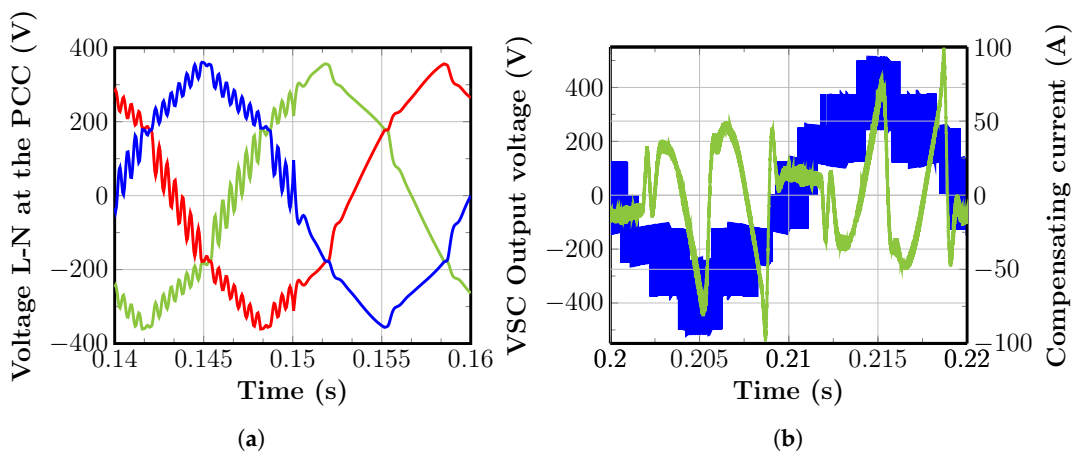
An industrial distribution system similar to the one depicted in Figure 1 was simulated with the parameters stated in Table 4. On the scenario simulated, there is a resonance condition produced by the capacitors of a capacitor bank for reactive power compensation connected to the power system and the inductive impedance of the distribution transformer. Such resonance is excited by the harmonic currents drawn by the diode rectifier that acts as nonlinear load. The control system for the VSAPFs follows the structure described in Section 2.2. Simulation results for the VSAPF with the 2L, 3L-TNPC, and 3L-ASYM topologies operating at a switching frequency of 60 kHz and injecting their rated current can be seen from Figures 11–14, respectively. In all cases, the VSAPF is activated at  $t = 0.15$  s with the command to emulate a harmonic resistor of  $R_s = 400$  m $\Omega$ . Before the VSAPFs activation there is a high distortion (THD = 10.5%), due to the resonance condition that amplifies the harmonic currents and voltages at the PCC. From Figures 11–14, it can be seen that during the operation of the VSAPF the voltage distortion at the PCC is reduced (THD = 6.87%) and the resonance condition is eliminated. The standard IEC 61000-2-4 [45] defines the maximum voltage distortion limits for different power systems. The standard defines a maximum distortion of 5% for laboratory facilities and places where calibrations are carried out, these facilities are classified as compatibility level 1 or class 1. The standard defines a maximum distortion of 8% for industrial power grids that fall under the classification of compatibility level 2 or class 2. Therefore, the simulation shows that due to the action of the VSAPFs, the distribution system simulated complies with the standard IEC 61000-2-4 (class 2) and thus it is considered that a THD of 6.87% is good value for an industrial distribution system. When the switching frequency of the VSAPF is changed and the coupling inductor is changed accordingly (see Figure 10a), the same filtering performance as in Figures 11–14 is achieved. Figures 11b, 12b, 13b and 14b show in green the compensating currents injected by the VSAPFs and the output voltage with respect to the neutral synthesized by the converters in blue. As in all cases the power converters are isolated with respect to the neutral of the power system, the 2L converter produces 5-level phase voltages and the 3L converters produce 9-level phase voltages as can be seen in Figures 11b, 12b, 13b and 14b.



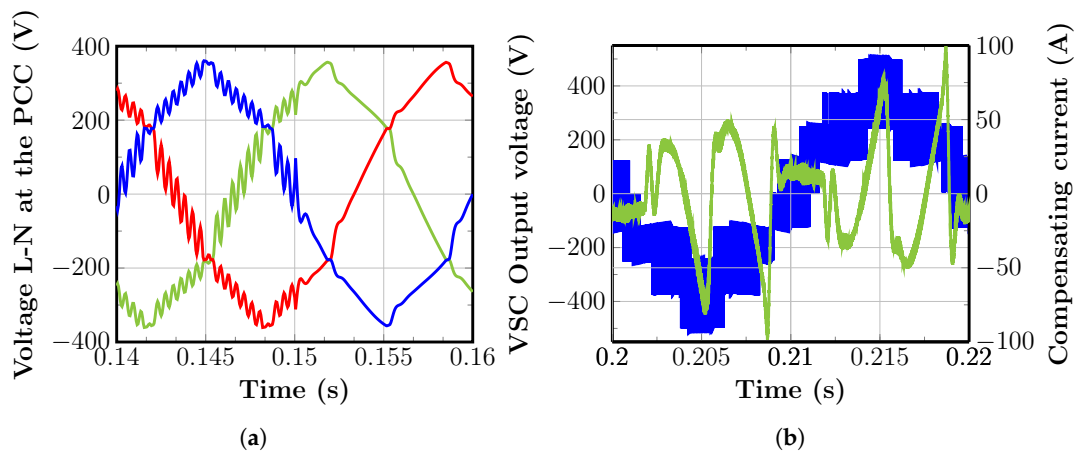
**Figure 11.** (a)  $V_{PCC}$  behavior in presence of the 2L-based VSAPF. (b) 2L-based VSAPF compensating current  $I_C$  for the phase A (green) and converter output voltage  $v_{o-N}$  for the same phase. VSAPF is activated at  $t = 0.15$  s. The  $THD_V$  without VSAPF is 10.5% and with the VSAPF is reduced to 6.87%.



**Figure 12.** (a)  $V_{PCC}$  behavior in presence of the 3L-TNPC-based VSAPF. (b) 3L-TNPC-based VSAPF compensating current  $I_C$  for the phase A (green) and converter output voltage  $v_{o-N}$  for the same phase.



**Figure 13.** (a)  $V_{PCC}$  behavior in presence of the 3L-ASYM-based VSAPF. (b) 3L-ASYM-based VSAPF compensating current  $I_C$  for the phase A (green) and converter output voltage  $v_{o-N}$  for the same phase.



**Figure 14.** (a)  $V_{PCC}$  behavior in presence of the 3L-NPC-based VSAPF. (b) 3L-NPC-based VSAPF compensating current  $I_C$  for the phase A (green) and converter output voltage  $v_{o-N}$  for the same phase.

**Table 4.** Simulation parameters.

| Description                        | Value   |
|------------------------------------|---|
| Power System                       | 400 V (L-L), 50 Hz                                      |
| Power Transformer                  | 1 MVA, X/R ratio = 20, Imp. 5%                          |
| Nonlinear Load                     | 265 kW (Diode Rectifier with DC capacitor)              |
| Capacitor Bank in the Power System | 7.5 kVAr  |
| Ripple filter                      | $C_F = 350 \mu\text{F}$ , $R_F = \frac{1}{\pi C_F F_S}$ |
| DC-bus voltage/DC-Bus Cap.         | $V_{DC} = 750 \text{ V}/C_1 = C_2 = 10 \text{ mF}$      |

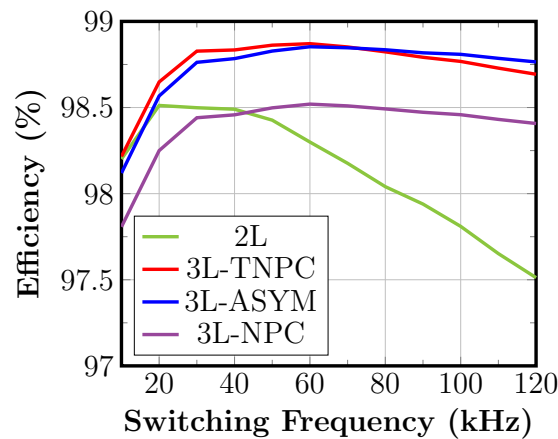
#### 4.2. Efficiency Comparison

Furthermore, for the same scenario mentioned before, the VSAPFs (at all the different topologies) are simulated with switching frequencies of 10–120 kHz. The efficiency is calculated considering the conduction and switching power losses altogether in the VSC ( $P_{VSC} = P_{Sw} + P_{Cond}$ ), losses in the coupling inductors ( $P_L$ ), and in the ripple filter ( $P_{Rf}$ ). The mentioned losses are the most dominant when we consider the complete converter system. Efficiency is calculated as

$$\eta = \left(1 - \frac{P_{Losses}}{3 \cdot 230 \text{ V} \cdot I_C \cdot \cos(\phi)}\right) \cdot 100\% = \left(1 - \frac{P_{VSC} + P_L + P_{Rf}}{3 \cdot 230 \text{ V} \cdot I_C \cdot \cos(\phi)}\right) \cdot 100\% \quad (10)$$

where  $\cos(\phi) = 1$ , due to the fact that the VSAPF behaves as a pure resistive impedance at harmonic frequencies and that  $I_C$  is formed mostly by harmonic components. Efficiency is measured for all the VSAPF solutions at each switching frequency and the result of such measurements can be seen in Figure 15. From the figure, it is possible to infer that the 3L-TNPC and 3L-ASYM power circuits perform the best in terms of efficiency. The proposed topology starts to outperform all the other conventional topologies when the switching frequency is set higher than 70 kHz.





**Figure 15.** VSAPF efficiency function of the switching frequency. VSAPF injecting rated compensating current  $I_C = 33.5$  A (rated power rating 23.1 kVA) (Based on the work in [1]).

#### 4.3. Efficiency Performance Discussion

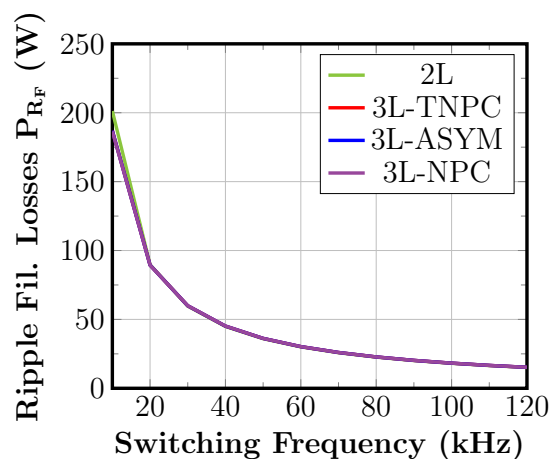
In the following discussion, the losses are calculated with the VSAPF injecting its rated current. To start the efficiency discussion, we need to differentiate two kind of power losses. The ones that are switching frequency dependent and the ones that are switching frequency independent. The losses independent of the switching frequency are the conduction losses (transistors and diodes). The switching frequency dependent losses are the switching losses in the transistors, the inductor losses (when the inductor value is changed to maintain the ripple constant), and the ripple filter losses.

##### 4.3.1. Ripple Filter Losses

The ripple filter is designed in such a way that it is a high-pass filter tuned at half of the switching frequency. The filter capacitors are held constant at  $C_F = 350$   $\mu$ F for VSAPF stability assurance and the damping resistor is changed according to

$$R_F = \frac{1}{2\pi C_F \frac{F_S}{2}} \quad (11)$$

where  $R_F$  is reciprocal to the switching frequency. Therefore, the ripple filter losses in the form  $P_{R_F} = R_F \cdot I_F^2$  decrease in the same proportion for all topologies as the switching frequency is increased as can be seen in Figure 16 where the curves ( $P_{R_F}$ ) practically overlap.



**Figure 16.** Ripple filter losses as function of the switching frequency. The ripple filter is tuned at half of the switching frequency at each case maintaining the capacitor value fixed at 350  $\mu$ F.

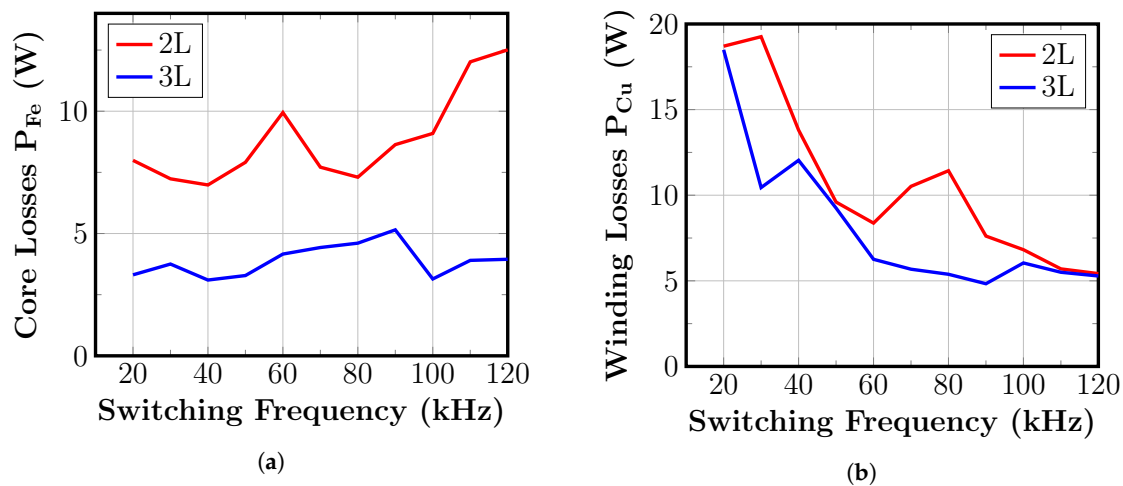
### 4.3.2. Coupling Inductor Losses

Notice that the coupling inductors do not perceive a difference between the 3L-TNPC, 3L-ASYM or 3L-NPC power circuit that is connected to them. At the end, the coupling inductors just see a three level generated waveform. Thus, for the inductor losses discussion, we will speak in general about the all three level topologies. Moreover, as is explained at Section 4, the inductor value decreases as the switching frequency increases in order to maintain the same current ripple. On the other hand, according to Figure 10a, the value of the coupling inductor for a 3L power converter will be always smaller than in the 2L converter. A smaller inductor means a smaller inductor volume as can be seen in Figure 10b.

According to the Steinmetz equation for core losses, the core losses ( $P_{Fe}$ ) are proportional to the inductor volume  $V_c$  as follows,

$$P_{Fe} = V_c \cdot K_c \cdot f^\alpha B_{max}^\beta \quad (12)$$

where  $B_{max}$  is the peak value of the flux density with sinusoidal excitation at frequency  $f$ ,  $K_c$ ,  $\alpha$  and  $\beta$  are constants that depend on the core material [46]. Figure 10b implies that the coupling inductors for 3L converters will exhibit always lower core losses than the inductors for the 2L-based converter. This is due to the fact that the volume of the inductors for a 3L converter is going to be always smaller than the volume of the inductors for a 2L converter. Such a fact is confirmed by Figure 17a, which shows an overview of the core losses vs. switching frequency obtained from the simulation on GeckoMagnetics for a single inductor. It is also possible to see in Figure 17a the extreme nonlinear core loss dependency on the switching frequency due to the complex physical core loss mechanics as hysteresis losses and eddy-current losses that are taken in account by the simulation software. On the other hand, winding losses ( $P_{Cu}$ ) are proportional to the number of turns  $N$ . In general, the number of turns decrease as the target inductance value decreases. Thus,  $P_{Cu}$  losses under the constraints of our design decreases as switching frequency increases. This fact can be confirmed by inspection of Figure 17b. The figure shows the winding losses for a single inductor calculated by GeckoMagnetics at different switching frequencies for the 2L and 3L topologies.



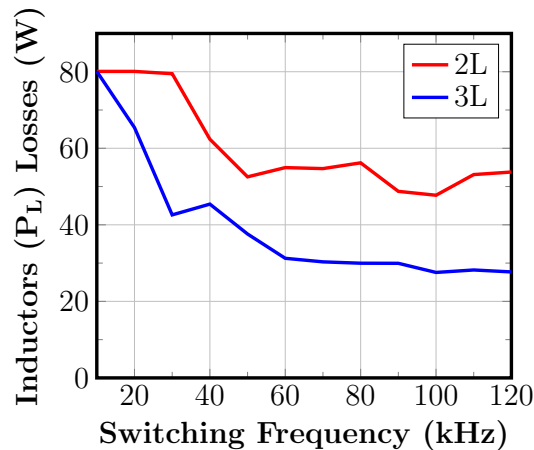
**Figure 17.** (a) Core losses of an individual coupling inductor as function of the switching frequency (b) Winding losses of an individual coupling inductor as function of the switching frequency.

Altogether considering core and winding losses, the total inductor losses calculated as

$$P_L = P_{Fe} + P_{Cu} \quad (13)$$

can be seen in Figure 18. It is possible to see that 3L topologies offer significant advantages in comparison with 2L converters from the coupling inductors losses perspective. Also note that moving

from 20 kHz to 60 kHz, there is an important reduction on inductors losses. However, beyond 60 kHz the inductors losses decrease just slightly with an increase on switching frequency. There is not too much to gain either in inductor volume or in inductor losses by switching faster than 60 kHz–70 kHz as can be seen in Figures 10b and 18.



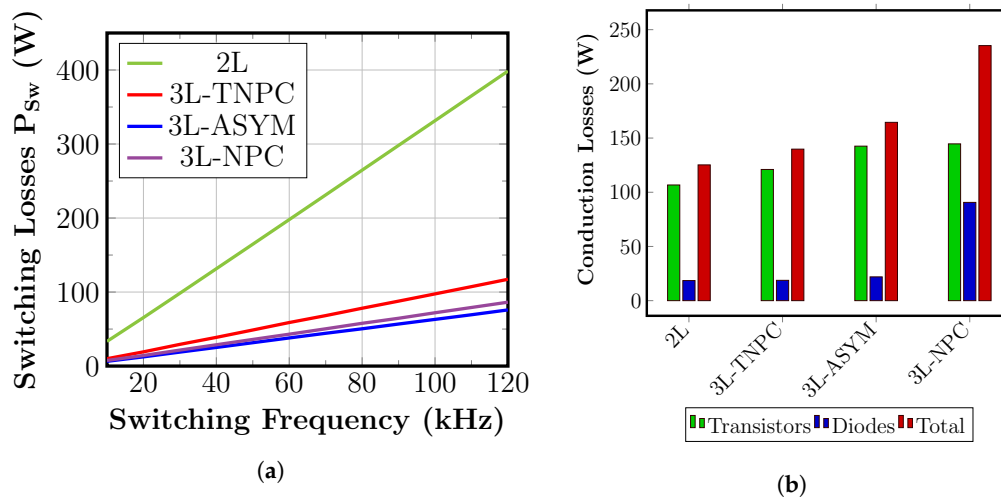
**Figure 18.** Total inductor losses as function of the switching frequency. The design constrain was to maintain the current  $\Delta I_{pp}$  constant to 13.4 A.

#### 4.3.3. VSC Switching Losses

Figure 19a shows the total switching losses for the different topologies. It can be seen that the 2L topology shows the higher losses due to the fact that the switches commute always at the full DC-Bus voltage (750 V). The 3L topologies show better switching losses performance given that they commute only at half of the DC-Bus voltage (375 V). The 3L-NPC and 3L-ASYM outperform the 3L-TNPC power circuit. The reason is that switches rated for 1200 V exhibit higher switching energy loss than switches rated at 900 V (see Table 3). As the 3L-TNPC has more switches rated at 1200 V than the 3L-ASYM and 3L-NPC, the 3L-TNPC will produce the most switching losses among all the three level topologies. The switching losses performance of the 3L-ASYM and 3L-NPC is similar and practically overlap in the figure. The performance of the 3L-TNPC from the switching losses perspective degrades as the switching frequency increases and become less and less attractive at high switching frequencies.

#### 4.3.4. VSC Conduction Losses

Figure 19b summarizes the conduction losses for the VSAPFs when they inject 33.5 A into the grid. All the topologies benefit from the third quadrant operation of SiC MOSFETs, where the current is shared between the MOSFET and SBD for currents flowing from source to drain from the MOSFETs perspective. The third quadrant operation effectively decreases the conduction losses as the effective total resistance is decreased as consequence of the parallel connection of the  $R_{DS}$  resistance of the SiC MOSFET and  $R_F$  resistance of the SBD. Moreover, it can be seen that the 2L-based power converter exhibits the lowest losses simple because just one semiconductor carries the current at each output voltage level. The 3L-TNPC topology is the second one with the lowest conduction losses. This is because just one semiconductor is used to connect the positive rail  $p$  or negative rail  $n$  to the output node and just when the neutral point  $0$  is connected to the output node two semiconductors are used. The third topology in the conduction losses classification is the 3L-ASYM. The topology uses two semiconductors to carry the current at the  $p$  and  $0$  output voltage levels. The 3L-ASYM uses just one semiconductor to carry the current at the  $n$  output voltage level. Finally, the 3L-NPC exhibits the worst performance from the conduction losses perspective due to the fact that at each output voltage level  $p$ ,  $0$ , or  $n$  two semiconductors carry the current. The situation for the 3L-NPC is worsen given that to connect the output level to the middle point  $0$ , the clamping diodes  $D5$  and  $D6$  do not benefit from third quadrant operation and also the forward voltage of these SBDs (not present in SiC MOSFETs) impacts the overall conduction losses.



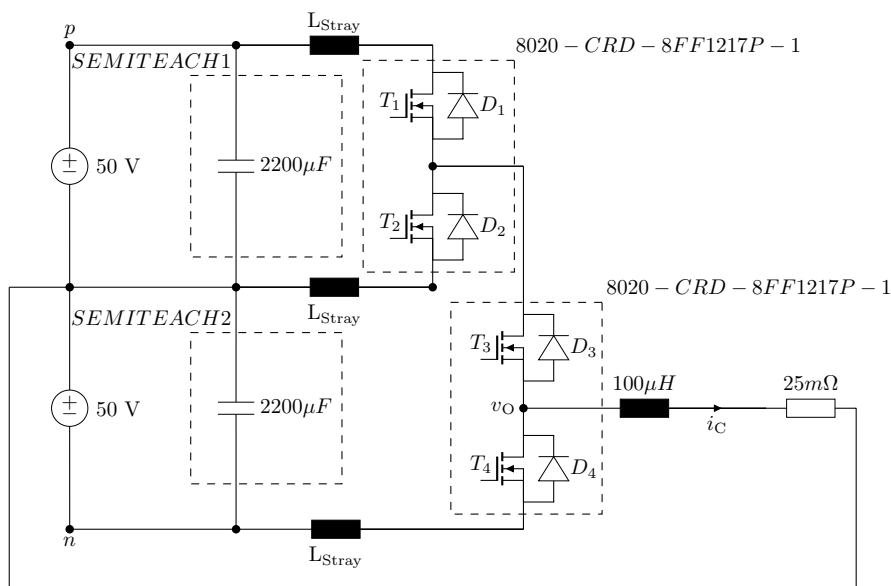
**Figure 19.** (a) Switching losses vs the switching frequency for the 2L and the 3L topologies. (b) Conduction losses for the 2L and 3L at 100% compensating current.

#### 4.3.5. Total Losses

Altogether, considering all the losses, it is possible to conclude that 3L power topologies outperform 2L power converters, due to the lower switching losses and the lower losses in the coupling inductors. Among the 3L topologies, the 3L-NPC is the worst in terms of losses due to the big conduction losses. The proposed 3L-ASYM benefits from reduced switching losses in comparison with the 3L-TNPC, and from low conduction losses in comparison with the 3L-NPC. Thus, the 3L-ASYM stands exactly on the middle between these two topologies. At high switching frequencies (in our case beyond 60 kHz) the topology shows lower losses and thus higher efficiency in comparison with the other 3L counterparts. This is because the 3L-TNPC starts to suffer for high switching losses at high switching frequencies and the 3L-NPC suffers all the time of high conduction losses.

### 5. Experimental Results

The original design and simulation was conducted for a industrial VSAPF that could be used to compensate the harmonic voltage distortion produced by a 265 kW nonlinear in a power system with a 1 MVA distribution transformer. However, it is difficult to replicate this infrastructure in laboratory conditions (a nonlinear load of 265 kW, cables with high cross section, a 1 MVA transformer, etc.), and therefore the experimental set-up is scaled down in one order of magnitude from 23 kVA to 2 kVA. SiC MOSFETs, SiC Schottky diodes and inductors are chosen based on the components available in the laboratory. The idea of the experimental setup is to demonstrate the feasibility of the solution in an scaled down prototype with reduced currents and reduced voltages. A single leg of the 3L-ASYM converter was build using two Wolfspeed evaluation boards 8020-CRD-8FF1217P-1 (see Figure 20). Each board consists on two SiC MOSFETs C2M0080120D and two SBD diodes C4D20120D all of them in a TO-247 package. Furthermore, each 8020-CRD-8FF1217P-1 was configured as a half-bridge and later both evaluation boards were cascaded. Each SiC MOSFETs' half bridge is driven by a 2.5 A Avago gate driver (ACPL-W346) that is interfaced through optocouplers with the control system. A coupling inductor of 100  $\mu$ H is connected to the output port of the single leg in one extreme and the other extreme is connected to the middle point of the DC-Bus as can be seen in Figure 20. The coupling inductor of 100  $\mu$ H is build with two UU93/60 cores of amorphous material (AMCC800A), the windings are build with square copper cable of (10 mm  $\times$  7.11 mm) resulting in a total winding section of 71 mm<sup>2</sup> with a total resistance at twenty degrees celsius of 1.6 m $\Omega$ . A picture of the experimental setup can be seen in Figure 21.



**Figure 20.** Schematic of the power circuit used during the experiment. One leg of the 3L-ASYM is built by cascading two 8020-CRD-8FF1217P-1 boards.



**Figure 21.** Experimental implementation of the three level asymmetrical topology 3L-ASYM. (a) KIT8020-CRD-8FF1217P-1 boards connected to form one leg of the 3L-ASYM converter. (b) Test bench.

The DC-Bus voltage during the experiment is 100 V and the switching operating frequency is 40 kHz, chosen due to the availability of the 100  $\mu$ H coupling inductor (see Figure 10a). Two electrolytic capacitors connected in series, each with a total capacitance of 2200  $\mu$ F, are connected in parallel to voltage sources of 50 V. The capacitors used are inside of two SEMITEACH-IGBT Stacks and connected to the Wolfspeed evaluation boards through cables. In this experiment, the converter is operated in standalone operation, in other words without grid interaction. The control algorithm and the Level-shifted PWM modulation are implemented in a dSPACE system MicrolabBox DS1202. The compensating current  $i_C$  and the output voltage  $v_O$  with respect to the negative rail of the converter measured during the experiment can be seen in Figure 22 and a zoomed version in Figure 23. The compensating current (signal in yellow color in Figure 23) follows a reference that is far from a pure sinusoidal shape and that contains all the components stated in Table 5. The values in Table 5 were obtained from a fourier analysis of the compensating current found in Figure 13b, where the amplitude of the components was scaled down by a factor of 2.

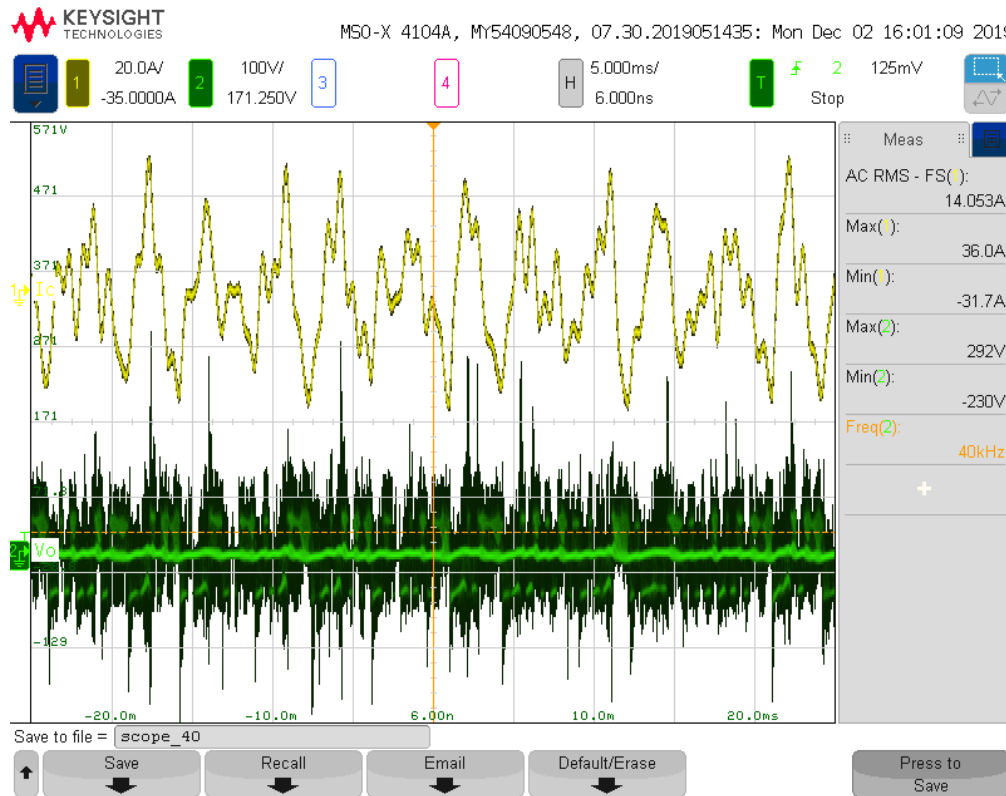


Figure 22. Compensating current  $I_C$  (yellow) and output voltage  $v_O$  (green). Current measurement done through Rogoswski Current Waveform Transducer CWTUM/6/B. Voltage measurement done through differential probe Pico TA042.

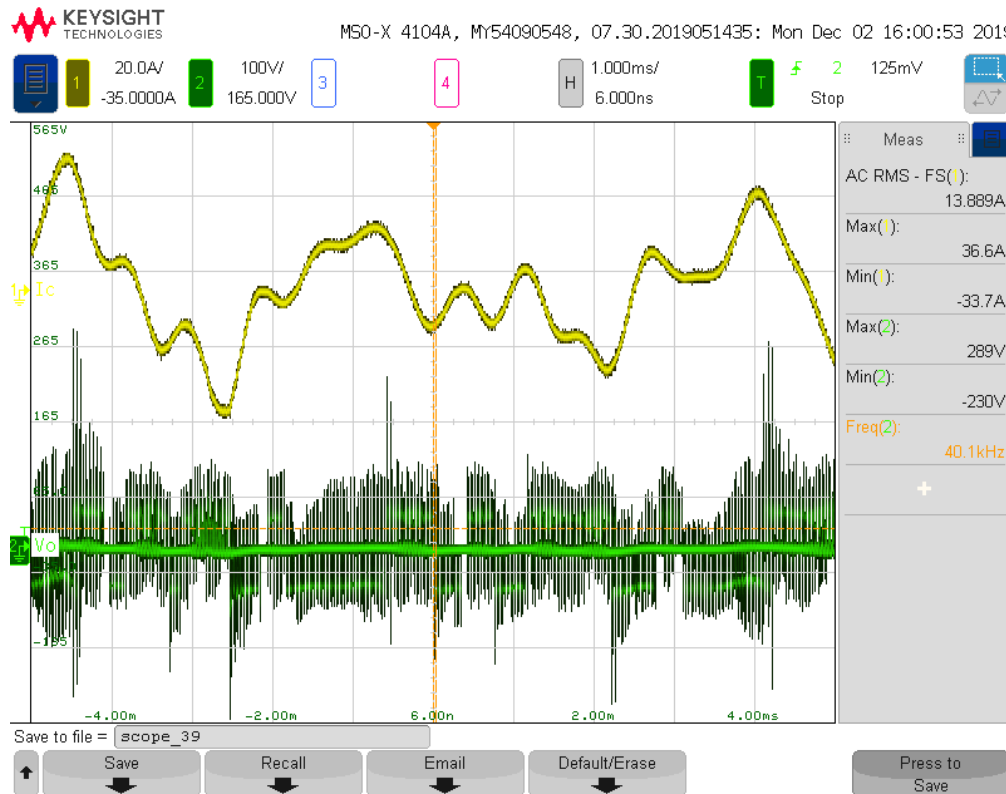


Figure 23. Compensating current  $I_C$  (yellow) and output voltage  $v_O$  (green). Zoomed version of Figure 22.



**Table 5.** Compensating current reference—harmonic components.

| Harmonic Number | Frequency (Hz) | Amplitude (A) | Phase (°) |
|-----------------|----------------|---------------|-----------|
| 1               | 50             | 0.24          | −10.3     |
| 5               | 250            | 15.45         | 207.8     |
| 7               | 350            | 10.584        | −14.9     |
| 11              | 550            | 4.587         | −28.6     |
| 13              | 650            | 4.418         | 108       |
| 17              | 850            | 3.931         | 158.2     |
| 19              | 950            | 3.015         | 223       |
| 23              | 1150           | 3.477         | −72.1     |
| 25              | 1250           | 2.413         | −25       |
| 29              | 1450           | 2.726         | 48.1      |
| 31              | 1550           | 1.792         | 82        |

The value of the DC-bus voltage  $v_{DC}$  is determined by the PCC line voltage of the grid where the VSAPF is going to be connected. If  $v_{LL}$  is the line voltage at the PCC of the power system, the following equation defines the relation between the voltage at the DC bus for a SAPF and the line voltage [16],

$$v_{LL} = \frac{\sqrt{3} \cdot v_{DC}}{1.5 \cdot \sqrt{2}} \quad (14)$$

For a DC-bus voltage of  $v_{DC} = 100$  V, the VSAPF can be connected to a power grid with a line voltage of

$$v_{LL} = \frac{\sqrt{3} \cdot 100 \text{ V}}{1.5 \cdot \sqrt{2}} = 81.65 \text{ V} \quad (15)$$

The RMS value of the compensating current is around 14 A and it peaks at 34 A as can be seen in Figure 22. It follows that the power rating of the experimental set-up is

$$S_{VSAPF} = \sqrt{3} \cdot v_{LL} \cdot i_C = \sqrt{3} \cdot 81.65 \text{ V} \cdot 14 \text{ A} = 1.98 \text{ kVA} \quad (16)$$

Note that in Figure 22 the three levels in  $v_O$  that correspond to +50 V, 0 V, −50 V. In Figure 23, it can be seen the 40 kHz measurement on the output voltage that corresponds to the 40 kHz switching frequency that was set on the dSPACE control system. By inspecting Figure 23, it is possible to notice some voltages peaks during the MOSFETs switching ON and OFF transitions. Such peaks reach sometimes the value of 292 V. This is due to the parasitics of our laboratory set-up. The connection between the DC Bus capacitors of the SEMITEACH-IGBT Stacks and the evaluation boards are made using 2.5 mm<sup>2</sup> cable, fact that introduce considerable stray inductances into the power loop. Such stray inductances ( $L_{Stray}$ ) produce voltage overshoots or voltages spikes ( $\Delta V$ ) due to the di/dt (rising and falling currents) over the SiC transistors during the MOSFETs commutations, according to

$$\Delta V = L_{Stray} \cdot \frac{di}{dt} \quad (17)$$

As SiC MOSFETs switch much faster than Si IGBTs, the di/dt (that sometimes reaches 6 kA/μs for SiC MOSFETs) are also increased and the stray inductances on the power loop play a more important role in SiC MOSFET power circuits than in Si IGBT power circuits; granted is the fact that if we redesign the experimental set-up, including the DC Bus capacitors in the same PCB as the SiC MOSFETs, stray inductances will be minimized and voltages spikes will be avoided.

## 6. Conclusions

In this paper a three-phase asymmetrical 3L power circuit topology based on SiC semiconductors for a shunt active power filter (SAPF) was analyzed in detail. The switching states, voltage stress across the semiconductors at different switching states and the current commutation during switching

states transitions were explained in detail. To exploit the features of SiC MOSFETs, such as low switching losses, almost no reverse recovery and low gate charge, we have used the pulse or switching frequency of the converter as system design degree of freedom to maximize the overall efficiency of the SAPF. A comparative evaluation between the asymmetrical topology and conventional 2L- and 3L-full SiC-based topologies was also carried out. The results show that the proposed asymmetrical 3L topology outperforms 2L and 3L conventional topologies (3L-TNPC and 3L-NPC) in terms of efficiency for switching operating frequencies above 60 kHz, having its maximum at 70 kHz. As the bandwidth of the SAPF is related with its switching frequency, it is reassuring that the switching frequency for minimum losses is encountered that high because a highly dynamic SAPF solution is also realized. The higher efficiency exhibited by the proposed 3L topology at high switching frequencies can be explained by the use of low volume coupling inductors, as for most of the time the switches commute at just half of the DC-bus voltage and that most of the switches are just rated at half of the DC-bus voltage fact that brings reduce losses due to lower ON resistance ( $R_{DS}$ ) and lower total energy ( $E_{Tot}$ ) of these switches compared to switches rated at the full DC-Bus voltage (see Table 3). Furthermore, one important distinct feature of the proposed topology is that it can be form by just two 2L commutation cells. This feature is important due to the fact that currently for high current ratings there is no commercial availability of 3L modules [47,48] in the market. Thus, the proposed topology is an interesting option to build a high performance high current 3L converter with presently available half bridge power modules.

**Author Contributions:** Conceptualization, investigation, software, R.G.I., writing—original draft preparation, R.G.I.; writing—review and editing, R.G.I. and P.T.; Supervision, P.T. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research work is supported by the European Funds for the development of Nordrhein-Westfalen (EFRE-NRW).

**Acknowledgments:** The authors would like to thank Donna Kepcia from Magnetics Inc. for her valuable suggestions in the design of the magnetics components for the comparative evaluation. Moreover, the authors express their gratitude to Marvin Cruse for his suggestions during the experimental measurements.

**Conflicts of Interest:** The authors declare no conflicts of interest.

## Abbreviations

The following abbreviations are used in this manuscript:

|         |   |
|---------|---|
| SiC     | Silicon Carbide                                       |
| RES     | Renewable energies system                             |
| DG      | Distributed generation                                |
| PV      | Solar photovoltaic array                              |
| ASD     | Adjustable speed drives                               |
| UPS     | Uninterruptible power supplies                        |
| SAPF    | Shunt active power filter                             |
| VSC     | Voltage source converters                             |
| EMI     | Electromagnetic interference                          |
| WBG     | Wide band gap   |
| 2L      | Two-level converter                                   |
| 3L-TNPC | Three-level neutral-point-piloted or T-Type converter |
| 3L-ASYM | Three-level asymmetrical converter                    |
| 3L-NPC  | Three-level neutral-point-clamped                     |
| PCC     | Point of Common Coupling                              |
| THD     | Total Harmonic Distortion                             |
| VSAPF   | Shunt Active Power Filter based on Voltage Detection  |
| SBD     | Schottky Barrier Diodes                               |
| ZVS     | Zero Voltage Switching                                |
| HB      | Half-bridge   |

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