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Load Curtailment Optimization Using the PSO Algorithm for Enhancing the Reliability of Distribution Networks

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Abstract: Power systems are susceptible to disturbances due to their nature. These disturbances can cause overloads or even contingencies of greater impact. In case of an extreme situation, load curtailment is considered the last resort for reducing the contingency impact, its activation being necessary to avoid the collapse of the system. However, load shedding systems seldom work optimally and cause either excessive or insufficient reduction of the load. To resolve this issue, the present paper proposes a methodology to enhance the load curtailment management in medium voltage distribution systems using Particle Swarm Optimization (PSO). This optimization seeks to minimize the amount of load to be cut off. Restrictions on the optimization problem consist of the security operation margins of both loading and voltage of the system elements. Heuristic optimization algorithms were chosen, since they are considered an online basis (allowing a short processing time) to solve the formulated load curtailment optimization problem. Best performances regarding optimal value and processing time were obtained using a PSO algorithm, qualifying the technique as the most appropriate for this study. To assess the methodology, the CIGRE MV distribution network benchmark was used, assuming dynamic load profiles during an entire week. Results show that it is possible to determine the optimal unattended power of the system. This way, improvements in the minimization of the expected energy not supplied (ENS) as well as the System Average Interruption Frequency Index (SAIDI) at specific hours of the day were made.

Keywords: contingency assessment; load curtailment; load forecasting; particle swarm optimization (PSO)

1. Introduction

The energy dependence of modern societies requires power grids to fulfill high levels of reliability, availability, quality, security, among others. However, electric systems are susceptible to failures due to their size and complexity. Major failures commonly occur at generation and transmission level. Nevertheless, the distribution level, which is the final link between the power generation system and the final user, is considered part of the grid that most frequently endures outages [1]. In order to avoid outages and the collapse of the whole distribution system, the load curtailment is contemplated as an option [2]. There are three main situations in which load shedding is activated: when frequency falls under unsafe conditions, in case of voltage instability [3] and when the loading of assets such as transformers or lines are outside security margins.

Whenever load curtailment is employed, it is aimed at minimizing the impact on the users, since energy shortage can cause adverse effects on electronic equipments, industrial level productions,



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telecommunications, among others. In addition, quality index such as System Average Interruption Duration Index (*SAIDI*) and System Average Interruption Frequency Index (*SAIFI*) are impacted by service interruption. In this way, an optimization of load curtailment is convenient in order to maximize the amount of power supplied to users during a contingency. Therefore, a reduction in the time without service that the final user experiences is expected, avoiding excessive or insufficient load cut off. This optimization can thus reduce the impact for the user as well as for the distribution operator of the system.

Regulations and standards of different countries establish penalties for network operators who do not comply with conditions of service quality as well as incentives to create mechanisms that improve it. Energy distribution companies can be monetarily penalized if they do not provide the minimum quality standards, such as long-term blackout requirements, or do not comply with the parameters of reliability established by xthe standards. For example, in Australia, it is stipulated that the distribution operator shall make payments to customers who experience interruptions. These payments range from 100 to 200 dollars for frequency interruptions of 9 to 15 times and payments from 100 to 605 dollars for interruptions of 12 h to 48 h [4]. In England, Wales, and Scotland, it is stipulated that, if the electricity supply fails, the distributor refund £54 to the consumer if it is a domestic consumer, or £108 if it is a business consumer [5]. In the United States, one of the most recent penalties was introduced by the North American Electric Reliability Corporation (NERC) to the company Avangrid Networks Inc., which supplies power to around 2.2 million customers. Avangrid was fined 450,000 dollars for failing to meet reliability standards set forth by NERC [6]. The European Union manages quality service based on incentives. For instance, the avoidance of an outage of only half an hour in customer minutes could be worth as much as 0.5 percent of the total value of the electricity sold in the year [7].

Recently, given the penetration of microgrids, control strategies to optimize the scheduling and curtailment of load as well as generation are addressed by taking advantage of microgrid control [8,9], demand response strategies [10], and economic issues [11,12]. However, characteristics such as market prices, smart metering, and distributed generation control are not available, impeding the implementation of these strategies in most of the conventional distribution systems.

In recent years, different research studies focusing on distribution networks have been published in order to reduce penalties, generate new incentives, and improve the quality of energy services, making them more reliable by ensuring their optimal operation. A review of optimal operation of smart distribution networks is presented in [13,14]. Nonetheless, most active network management schemes such as coordinated voltage control, reactive power compensation, generation curtailments, among others, are not available in the prevalent distribution networks. In fact, the common scheme to operate conventional distribution networks during contingencies is the centralized control of load curtailment. For this reason, the entire system should be prepared to follow a procedure to minimize the impact of the interruption in any possible scenario of contingency, given a load forecast demand. Additionally, these mentioned curtailment proposals, when they are solved with traditional solvers (GAMS/GUROBI/CPLEX/MINLP), demand a high processing time to get the optimal point [15–17]. This indicates that heuristic techniques are an alternative to solve this problem when an online basis is required.

In view of the above concerns, this article presents a practical methodology to optimize load curtailment during a contingency through load forecasting applied to conventional distribution networks. This seeks to reduce the contingency impact on both the SAIDI index and the expected energy not supplied by using a centralized control. This methodology formulates an optimization problem considering the security margins of the network and the expected contingency behavior during an entire day and assuming a load forecasting for each load. The result is the minimization of load curtailment per hour during the day for each load of the system. The optimization is performed trough the Particle Swarm Optimization algorithm (PSO). This procedure is suitable for real-time operation during contingencies as well as for long-term planning of conventional distribution networks,

providing an optimal load shedding strategy and identifying contingencies of high impact on the reliability indexes. The main contributions of this paper are listed below:

- A Fourier series is used to fit the load forecast hourly and during the week days;
- A simple formulation to optimize load curtailment during contingencies is proposed in order to enhance the reliability of conventional distribution systems;
- A practical implementation taking into account the conventional operator resources with limited information is suggested.

The paper consists of the following sections: Section 2 presents the background on load curtailment optimization methods; Section 3 addresses the proposed procedure based on the PSO method; Section 4 presents the assessment of the procedure with the CIGRE MV distribution network benchmark; Finally, Section 5 presents the conclusions.

2. Background

2.1. Load Curtailment Methods

Factors that force a power system to load shed are mostly related to frequency and voltage system issues. Because of this, load shedding is classified into two groups: under frequency load shedding (UFLS) and under voltage load shedding (UVLS) [18], the ULVS being the most common. To illustrate those concepts, an agent-based scheme is proposed for UFLS in [19].

Different meta-heuristic methods based on the aforementioned schemes have been developed to optimize load shedding. According to [20], meta-heuristic methods such as PSO, Evolutionary Programming (EP), Quantum Inspired Evolutionary Programming (QIEP), Genetic Algorithm (GA), and others, have been adapted to problems of UVLS scheme, including the optimum minimum amount of load curtailment, the optimum location to shed, and the timing of load shedding. Most of these methods have one or more of the following objective-focused functions to minimize: costs of load loss, amount of load to be shed, time of shedding, and location of the optimum point in the system where shedding should be performed [21]. For example [20], a minimum amount of load to be shed by locating the optimum point of shedding can be deduced through GA. Using PSO, an optimal overall solution of the system can be obtained by minimizing load shedding and maintaining the stability margins in the system. Finally, QIEP provides the lowest amount of load shedding in all the cases evaluated.

At the distribution level, new techniques are proposed for optimal load shedding. However, most of these proposals consider smart grids operating in islanded mode [22], with distributed generation, frequency control, and generation curtailment, among other technologies. For instance, in [23], energy storage is considered to improve system reliability. In [24], a hierarchical shedding strategy to recover frequency and voltage in microgrids is proposed. Consequently, this load shedding method increases both frequency and voltage stability of the system. To solve some of the objective functions previously described, meta-heuristic techniques are commonly used [25]. In [26], an optimal load shedding scheme using meta-heuristic techniques for frequency and voltage stability is proposed. In [27], islanded operation of distribution grids with distributed generation is studied: a procedure is proposed to optimize system reliability indices, such as SAIDI and SAIFI, during load shedding through GA. According to [20], PSO presents numerous advantages compared to other meta-heuristic algorithms, such as a simpler implementation, smaller computational burden, and faster convergence. Therefore, PSO is suitable for solving several load shedding problems.

However, most of the aforementioned load shedding techniques are focused on frequency and voltage recovery, or both, during disturbances in microgrids. It is worth noting that, for conventional distribution networks, load curtailment strategies for security operation that optimize the reliability of the system during long-term contingencies are limited. According to the taxonomy presented in [13], an active network management scheme that uses the load curtailment as control variable, in order to

minimize the impact of a contingency on the power reliability index, is not addressed. Consequently, this work focuses on optimizing load curtailment during contingencies, using a PSO algorithm based on a short-term load forecasting, in order to enhance the reliability of conventional distribution systems. This metha-heuristic method was selected based on the following concerns: the number of variables to optimize (power to be shed for each load), the complexity of solving AC power flows in distribution systems, and the number and type of restrictions of the problem to be solved, such as loading of transformers and lines, and voltage security margins.

2.2. Particle Swarm Optimization Algorithm

The Particle Swarm algorithm was initially developed by Kennedy, Eberhart, and Shi in their article "Particle Swarm Optimization" published in 1995 [28,29]. Its operation is based on the behavior of swarms of birds and fishes in which the movement of an individual results from combining the particular decisions of each individual in the swarm. To establish the algorithm, a series of steps is taken [30]:

- 1. Establish the number of individuals in the swarm. Each individual must have information on its position, value, speed, where it is traveling to, and a record of the best position where it has been;
- 2. Evaluate each individual or particle with the objective function;
- 3. Update the position and speed of each particle;
- 4. If the results do not comply with the optimization criteria established in the objective function and its restrictions, return to step 2 cyclically until convergenced is achieved.

At each iteration, as new and better positions are discovered, the movement of the swarm is actualized. The convergence of the method does not ensure the best results since this convergence can refer either to the best known global position or to the confluence of the swarm towards at a specific point in the space, whether optimal or not [31].

2.3. Reliability Index SAIDI

According to IEEE Std 1366-2003 [32], *SAID1* index indicates the total duration of interruption for the average customer during a predefined period of time. It is commonly measured in customer minutes or customer hours of interruption. Equation (1) is used to calculate this index, where *ti* is the duration of each interruption, *Ui* is the number of users affected by each interruption, *n* is the number of interruptions during a given period of time, and *N* is the total number of users of the system:

$$SAIDI = \frac{\sum_{i=1}^{n} ti \times Ui}{N}$$
(1)

3. Proposed Procedure for Load Shedding Optimization

This section addresses the procedure for load shedding optimization based on a short-term load forecasting. Figure 1 shows the methodology proposed. On the one hand, the procedure begins with net data input to model the system in order to run load flows. On the other hand, load values are estimated by fitting historical data through Fourier series [33]. Using network and load forecasting model, contingencies are simulated disconnecting assets and running load flows to estimate both overloads and voltages outside the security margins. Based on the contingency assessment, the load shedding optimization algorithm is executed for each hour of the day in order to maintain loading and voltages within the security limits during the system operation.



Figure 1. Proposed methodology for load curtailment optimization.

The security margins established are the overload of both transformers and lines, and the voltage limits in buses. When these limits are exceeded, the system is considered at risk and therefore load curtailment should be performed. However, an optimization of load shedding should be performed in order to minimize the power to be shed to the users hourly while the system operates inside security margins. The formulation of the optimization problem is as follows:

$$\min_{\mathbf{x}_{\mathbf{k}}} \sum_{k=i}^{N} \mathbf{D}_{\mathbf{k}} (1 - \mathbf{x}_{\mathbf{k}})$$
s.t. $\mathbf{g} (\mathbf{x}_{\mathbf{k}}) = \mathbf{0}$
 $\mathbf{Tra}_{\mathbf{k}} \leq 100\%$, (2)
 $\mathbf{Lin}_{i,\mathbf{k}} \leq 100\%$
 $U_{min} \leq \mathbf{U}_{\mathbf{k}} \leq U_{max}$
 $0 \leq \mathbf{x}_{\mathbf{k}} \leq 1$

where *i*, *k* are the buses of the system; $\mathbf{g}(\mathbf{x}_k) = \mathbf{0}$ are the balance equations (power flow equations); *N* is the number of buses with loads; \mathbf{x}_k is the load shedding factor at bus *k*, being 0 total disconnection and 1 no power cut off; \mathbf{D}_k is the load required at bus *k*; \mathbf{Tra}_k is the loading of transformer connected at bus *k*; $\mathbf{Lin}_{i,k}$ is the loading of line between *i*, *k* buses; and \mathbf{U}_k is the set of bus voltages. In this way, in order to solve this non-convex problem in an online basis (short processing times), it is not possible to use commercial solvers. On the contrary, heuristic techniques are well adapted in such case [15].

To optimize load curtailment, this paper proposes the use of PSO algorithm. PSO optimizes the load curtailment by allocating the amount of power to disconnect per load if required. PSO was selected as the method to optimize load shedding because of its simple implementation, fast convergence, and adaptability to the problem. To summarize, Figure 2 shows the proposed algorithm for load curtailment optimization considering the operating security margins, where t_k is the hour to assess and \mathbf{x}_k is the vector with the load factor to cut off.

1: procedure LSO(Net Name, Day, Asset $ID_{i}t_{k}$)	
2: load_forecast \leftarrow Fourier_Fit(t_k , Day)	⊳ [33]
3: net \leftarrow pandapower_load_net(Net_Name)	⊳ [34]
4: net.load \leftarrow load_forecast	
5: $\mathbf{D}_k \leftarrow \sum \mathbf{net.load}$	
6: net.asset.disconnect \leftarrow Asset_ID	
7: $\mathbf{x}_k \leftarrow \text{PSO}(\text{net}, \mathbf{D}_k)$	▷ Equation (2)
8: return \mathbf{x}_k	
9: end procedure	

Figure 2. Load shedding algorithm. (Line 2 [33]; Line 3 [34])

A simulation using the CIGRE medium voltage distribution network benchmark [35] was performed in order to evaluate the proposed methodology for load curtailment optimization. The simulation was executed by implementing the algorithm shown in Figure 2 into a Python script.

4.1. Simulation Description

Figure 3 shows the assumed distribution network [35]. This system includes two power transformers, 18 loads, and 15 lines. In order to perform the simulation, power profiles with time stamps of 1 h during one week were assumed for each load. The load profiles were fitted to Fourier series using historical records [33]. Pandapower tool [34] was used to run load flows with the Newton–Raphson algorithm. The load flow was executed for each hour during an entire week in order to identify violations of the security margins in three assumed scenarios: The first one consists in the operation in normal conditions; the second one, under contingency without optimization of load curtailment; and the third one, operation under contingency with an optimal load curtailment.



Figure 3. CIGRE medium voltage distribution network benchmark [35].

The PSO algorithm was utilized to optimize the load curtailment thanks to the inspyred library [36]. A population size of 40, a neighborhood size of 10, and 2000 max evaluations were used as fitting parameters. The assumed security margins were both the loading of transformers and lines below 100%, and bus voltages limits from 0.9 pu to 1.1 pu. The algorithm was run 168 (24×7) times. The entire simulation elapsed 2.5 h in a laptop with 8 GB RAM and a 2.3 GHz Dual-Core Intel Core i5 processor. In order to evaluate the algorithm convergence, 10 runs were performed for Thursdays, which are considered the most critical days. Similarly, the response of the PSO method was compared with GA and Evolution Strategy (ES) optimization techniques using the inspyred library. The population size and max evaluations were 40 and 2000, respectively. Figure 4 shows the results of the 10 trials and the comparison with GA and ES to solve Equation (2). It can be noticed that the PSO converges to the optimum in the 10 trials with a maximum difference of 0.33 MW. Furthermore,

the PSO algorithm here employed demonstrated performance advantages when compared to other meta-heuristic methods: better optimal points and better stability under multiple runs.



Figure 4. Performance of metaheuristic algorithms for load curtailment optimization.

4.2. Simulation Results

The simulation was performed assuming a contingency resulting from a failure of the transformer TR 0-1. The model evaluation is realized through a comparison of the system operating according to the three different scenarios previously defined. Figure 5 shows the simulation results of the load behavior under the first and third scenarios. Figure 5b,d shown the load curtailment during Thursday and Saturday performing with the optimization algorithm. On Sunday, load cut-off was not necessary.

To illustrate the performance of the transformers and lines for the three scenarios, Figure 6 shows their loadings on different days. Here, it can be noted that, during normal operation, Figure 6a,d,g, the loading of the transformer 0-12 is close to 70% with a power flow close to 20 MVA during the load peaks, opposite to transformer 0-1. The loading of Transformer 0-1 presents a constant value close to 30% with a power flow of 10 MVA approximately. The lines show a low loading, lower than 50%. However, during the contingency, Trafo 0-12 and lines 1-2, 2-3, 3-8, 12-13, 13-14, and 14-8 suffer an overload on Thursdays, as shown in Figure 6b. In that case, switch SW1 is assumed to be closed. These overloads will reach 240%. These violations of the loading security margins are a consequence of TR 0-1 failure, since, during the contingency, through these lines and the Trafo 0-12 flows the power to supply the loads R1 and CI1, approximately 7 MVA. Under such operating conditions, these loads are located at the end of the circuit. Additionally, Trafo 0-12 shows the maximum overloads from 9:00 a.m. to 11:00 a.m. and from 5:00 p.m. to 8:00 p.m. This coincides with Figure 5a, which shows that the maximum loads peak occurs during these periods. A similar behavior occurs on Saturdays. However, on those days, the overloading of the asset is lower in time and magnitude, as shown Figure 6e. By contrast, on Sundays, there is no overloading of the transformers and lines, as shown in Figure 6h. Finally, Figure 6c, f, i show the loading using the load curtailment optimization algorithm to ensure the compliance of security margins during the contingency operation. Here, it can be appreciated that, by using the load shedding strategy, the lines and transformers present a higher loading than in normal operation (scenario one), but are maintained within security margins, as can be noted by comparing with scenario two.



Load R10 Load R14 Load R5 Load R11 Load R3 Load R6 Load R12 Load R4 Load R8 Load CI7 Load CI9 Load R1 Load CI15 Load CI14 = oad CI10 30 25 20 Load - [MVA] 15 10 0 + 0 10 Time - [h] 20 5 15

(a) Load for Thursday under normal operation



(b) Load for Thursday under contingency using optimal load shedding



(c) Load for Saturday under normal operation

(d) Load for Saturday under contingency using optimal load shedding



 (\mathbf{f}) Load for Sunday under contingency using optimal load shedding

10 Time - [h] 15

20

Figure 5. Load considering both normal operation and load shedding using the proposed methodology, when Trafo 0-1 is out of service.





Figure 6. Loading of lines and transformers for different days and hours considering: the normal operation, a contingency when transformer Trafo 0-1 is out of service and the results of load shedding using the proposed methodology for the same contingency.

The second type of security margins is related to voltage limits. Figure 7 shows both the voltage magnitude and voltage deviation in nodes of the system for the three scenarios. As can be seen, there is a behavior similar to the one obtained in the violation of loading security margins. In scenario one, normal operation, the bus voltage deviation is lower than 0.02 pu, as expected. This is shown in Figure 7d,g. In scenario two, the most critical days for voltage margin violations are Thursdays. On these days, the voltage drops to 0.6 pu in almost all buses, as shown in Figure 7b. On Saturdays, the maximum voltage deviation is 0.18 pu. However, as shown in Figure 7h, on Sundays, voltage security margin violations do not occur when Trafo 0-1 is out of service. In scenario three, the use of the proposed algorithm for load curtailment allows maintaining the operating voltage inside the security margins, as shown in Figure 7c, f, i, where a maximum deviation of 0.08 pu is observed.



Figure 7. Bus voltages for different days and hours considering: the normal operation, a contingency when transformer Trafo 0-1 is out of service, and the results of load shedding using the proposed methodology for the same contingency.

4.3. Simulation Results Assessment

In order to assess the simulation results, both the expected energy not supplied (EENS) and the SAIDI are computed for contingencies, considering on the one hand the optimal load curtailment algorithm, and on the other hand the opening of the SW1. These changes in the switch status are assumed to be the conventional method used by system operators to avoid operating the network out of security margins. To compute the *SAIDI*, the power cut off was assumed to be equivalent to the affected users, and the total number of users was assumed equal to the total power supplied. The EENS was computed as the expected power to supply minus the power supplied during the contingency for both cases. Figure 8 shows the total power supplied hourly for the three assumed scenarios during the week. It is worth noting the impact of performing the algorithm for load curtailment. In all cases, the energy supplied using the proposed algorithm was greater than in the scenario where the conventional method is used (opening SW1).





Figure 8. Energy Supplied by hour for the three assumed scenarios: normal operation, conventional method (Open SW1), and proposed method (Load Curtailment).

To summarize, Table 1 shows the *SAIDI* and EENS for each day during the entire week. The average reduction in SAIDI and EENS due to the use of load curtailment optimization was $4.5 h \approx 62\%$ and $94.7 \text{ MW} h \approx 61\%$, respectively. The values of *SAIDI* obtained for both cases are considered high for a distribution network. These results are a consequence of the power furnished by TR 0-1, through which flows almost half the power supplied to the loads. Additionally, a duration of an entire day for the failure of this transformer was assumed.

	Conventional Method (Opening SW1)		Proposed Load Curtailment	
Weekdays	SAIDI -(h)	EENS-(MWh)	SAIDI -(h)	EENS-(MW h)
Monday	6.82	137.7	1.87	40.2
Tuesday	8.14	179.5	3.63	81.0
Wednesday	8.04	176.1	3.52	77.8
Thursday	8.39	199.8	4.24	101.6
Friday	7.63	170.9	3.22	73.0
Saturday	6.89	144.2	2.23	47.0
Sunday	4.43	75.6	0.01	0.1
Mean	7.2	154.8	2.7	60.1

Table 1. Assessment of the simulation results.

4.4. Scalability of the Proposed Method

In order to assess the scalability of the proposed method, the IEEE 33 bus test system was used to evaluate the algorithm, similarly to the previous analysis. Figure 9 shows the topology of the system and the assumed load profiles for Wednesdays. Those days were contemplated as the most critical.



Figure 9. IEEE 33 bus radial distribution system.

For evaluating the algorithm, a failure of the line 1-2 was assumed. This failure was considered as critical contingency given the topology of the system and the lack of reactive power [37]. The bus voltages for three scenarios: normal operation, under contingency assuming that the line 21-11 is operating, and using the proposed algorithm are shown in Figure 10. On one hand, in Figure 10b, voltage violations during the contingency without load shedding can be noted. On the other hand, Figure 10c shows that, using the load shedding algorithm, the system operates with the bus voltages inside the security margins with line 21-11 operating.



(a) Normal operation for Wednesday (b) Operation under contingency for (c) Operation under contingency using Wednesday optimal load shedding for Wednesday

Figure 10. Bus voltages for different days and hours considering: the normal operation, a contingency when line 1-2 is out of service, and the results of load shedding using the proposed methodology for the same contingency.

The energy supplied by hour for the three assumed scenarios is shown in Figure 11. In the conventional scenario, where line 21-11 is open, it is expected to supply only the loads 18,19,20,21. Therefore, the energy supplied is approx. 10% of the expected. With the load shedding algorithm, more than 50% of the expected energy is supplied. Finally, the elapsed time for the whole day simulation was approximately 30 min, equivalent to 1.25 min per hour simulated. For the CIGRE medium voltage distribution network benchmark, the average elapse time per hour simulated was 56 s. The increase in time is a consequence of a greater number of loads; therefore, there is a greater number of decision variables. It is worth noting that these simulations were run on a personal laptop. In a workstation, a reduction in computing time is expected.



Figure 11. Energy Supplied during Thursday for normal operation, conventional method (Open line 21-11) and proposed method (Load Curtailment) for IEEE 33 bus distribution system.

5. Conclusions

This article addresses an algorithm for optimizing load curtailment during contingencies of conventional distribution networks. The algorithm uses load forecasting and considers the operating security margins. As a result of the algorithm assessment, improvements in the load to cut off during contingencies were made and therefore a reduction enhanced in the *SAIDI* as well as in the expected energy not supplied was provided.

Results using the proposed algorithm to optimize load shedding showed that it is not always necessary to shed all the power of a load in order to keep the system operating safely. Hence, by obtaining a hourly load curtailment based on demand forecast, shedding constant power during the duration of a contingency can be avoided. With this strategy, a minimum power to cut off hourly is obtained, thereby contributing to the quality service by reducing the duration of the interruptions.

However, the proposed algorithm does not consider the operation of switches, reactive compensation or smart grid technologies. Additionally, as a consequence of the elapsed time to converge, this procedure is not suitable for frequency or voltage recovery using load shedding. These issues are open to future research.

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