



# **High Impedance Fault Models for Overhead Distribution Networks: A Review and Comparison with MV Lab Experiments**

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Abstract: Detecting and locating high impedance faults (HIF) in overhead distribution networks (ODN) remains one of the biggest challenges for manufacturers and researchers due to the complexity of this phenomenon, where the electrical current magnitude is similar to that of the loads. To simulate HIF, the selection of the HIF model is important, because it has to correctly reproduce the characteristics of this phenomenon, so that it does not negatively influence the simulations results. Therefore, HIF models play a fundamental role in proposing solutions and validating the effectiveness of the proposed methods to detect and localize HIF in ODN. This paper presents a systematic review of HIF models. It is intended to facilitate the selection of the HIF model to be considered. The models are validated based on experimental data from medium voltage (MV) laboratories, specifically, recorded waveforms from two HIF tests conducted in an MV lab were analyzed and compared with three established HIF models. The efficacy of these models was assessed against MV lab test data to ensure a precise representation of both transient and steady-state conditions for fault conductance and current waveforms. The findings show that the two nonlinear resistor models better approximate the waveforms obtained in the experimental tests performed in this study.

**Keywords:** high impedance faults (HIFs); HIF models; overhead distribution networks (ODN); lab experiment

## 1. Introduction

1.1. General Considerations

A high impedance fault (HIF) is defined as a disturbance caused by a conductor that touches a high-resistance nonmetallic surface connected to the ground, producing an arcing fault current lower than the residual relay pickup setting. According to [1], HIFs involving downed conductors in contact with a surface, correspond to 10% of all faults occurring in overhead distribution networks (ODN) [1]. The percentage of HIFs in ODN is even higher since not all HIF events are reported [2]. In addition, HIFs can occur without rupture or downfall of conductors on a surface, therefore, the HIF current is so low that it cannot be detected by conventional overcurrent protection functions [3]. This protection issue has been a challenge for distribution utility engineers for a long time [4]. To further complicate matters, HIF current waveforms have diverse characteristics, such as asymmetry, nonlinearity, build-up, shoulder, intermittence, and randomness [5].



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**Copyright:** © 2024 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). High impedance faults in ODN may cause serious and harmful effects, such as (1) risk of electric shock; (2) risk of fire in material assets and forests; (3) electricity supply interruptions; and (4) long service restoration times. These effects continuously motivate the development of new ideas and innovative solutions for HIF detection and location, since they are challenging issues remaining unsolved or partially solved by the power distribution industry. In that sense, more reliable algorithms for HIF studies should be developed based on the above-mentioned HIF current waveform characteristics, which can be represented by mathematical models that can reproduce them.

Test data from many early staged HIF studies have provided valuable information toward understanding and characterizing HIFs [6,7]. To test the effectiveness and robustness of these algorithms, time-domain computational simulations must be performed considering the asymmetric, nonlinear, intermittent, and random nature of the HIF phenomenon. It is worth highlighting that HIFs are a random and dynamic phenomenon since they produce arcing and flashing at the point of contact, and the conductor may move around due to electromagnetic force [8].

The use of accurate HIF models for purposes of designing and testing HIF detection and location algorithms is essential. HIF computational simulations can provide, at the very least, initial data for preliminary research. On the other hand, field tests on an actual medium voltage (MV) distribution network are not a recommended practice due to the inherent danger involving this type of arcing fault in an uncontrolled environment.

Modeling of HIFs in ODN can be classified into three main categories: (1) model based on active and passive circuit elements; (2) model based on passive circuit elements; and (3) arcing model. Modeling based on active and passive circuit elements was proposed by [9] and analyzed in [10]. The model is based on antiparallel diodes and its parameters are considered to remain constant during the analyzed period. However, this model cannot reproduce transient-state conditions of the HIF current waveform. A model based on passive circuit elements was introduced by [11]. Some proposed modifications by [12] were included in the model, which allows to simulate HIF on different types of ground surfaces. Yet, this model depends on the V × I curve at the HIF point for each type of ground surface. The arcing model was initially introduced by [13,14] in the form of a first-order differential equation. This model is a simple physical representation that describes the behavior of an electric arcing. In the last years, arcing models have been investigated in [15,16]. However, representing HIFs by arc models that can reproduce varied characteristics of the phenomenon is not always possible, which motivates the research in HIF modeling.

#### 1.2. Motivation and Contribution

In the literature there are works related to the review of HIF detection and localization techniques [5,17–19], but the main goal is not to analyze HIF models. And the works that include HIF models [10,20], do not perform a validation of the analyzed models, on the other hand, some authors only validate the selected model separately [12,16,21,22], without comparing the waveform reproduction, using the different HIF models that exist in the literature. In that sense, the main contributions of this work are:

- A systematic review of existing works on HIF models for ODN with a critical analysis on reproducing HIF characteristics. The paper shows the evolution, popularity, limitations, advantages, and disadvantages of HIF models. As a result, researchers and specialists can save time in the selection of the appropriate HIF model for their studies.
- The primary contribution of this work is the comparison of three well-known HIF models for ODN with actual experiments conducted in a high-voltage laboratory at the Federal University of Para. This analysis highlights both the strengths and limitations of these models across various applications.

The motivation and contribution of this study arise from the limitations that the authors may experience at the beginning of the research on issues developed in HIF, as occurred in previous studies presented by the authors in [21,23–28]. Based on the above,

this study contributes directly to the proposal and validation of the most appropriate detection or localization method in HIF.

#### 2. High Impedance Fault Characteristics

The HIF characteristics contained in the current waveform, as defined follow:

- Asymmetry: Peak values of current are different in the positive and negative half cycle. The asymmetric nature of HIF current is influenced by the porosity and moisture of the surface contact. The presence of silica in the contact surfaces causes asymmetry, according to [9]. The heated silica forms a type of cathode spot that absorbs electrons, causing voltage drops when the cable is subjected to a positive voltage.
- Nonlinearity: Voltage x current characteristic curve is highly nonlinear. This characteristic is caused by the electric arc associated with the nonlinearity of high-impedance objects [9,29,30].
- Build-up: HIF current magnitude gradually increases up to its maximum value. This
  is due to: (a) the physical accommodation of the cable in the soil, since the cable can
  move or settle into the soil [12–31]; and (b) the arc penetrates the soil surface and
  causes soil ionization, increasing the effective area of the equivalent electrode [30].
- Shoulder: HIF current magnitude maintains constant right after the Build-up end.
- Intermittence: HIF electric arc is extinct during a time due to the loss of moisture in the surface and physical accommodation of the cable.
- Randomness: Peak values of current randomly oscillate at each half cycle within a relatively small range due to the random behavior of the electric arc.

Describe these characteristics related to [7], published in 1982. The works in [12] and in general, works addressing HIFs in ODN describe the first five characteristics of HIF mentioned above, since they are consolidated in the literature [32,33]. The randomness characteristic of a HIF, mentioned in [15,34–38], is manifested by a random oscillation in the HIF current waveform. In the technical literature, the characteristic of randomness and some others can be observed in actual HIF current waveforms presented in [11,12,15,34,37–43], in which the randomness issue is not addressed [11], among others, consider that the HIF current waveform can be divided into two distinct conditions: transient and steady-state conditions. Some HIF models only consider the steady-state condition, in which the HIF current waveform of a cycle is repeated over time. The transient state condition lasts only the first few cycles, during the build-up. The steady-state condition starts after the build-up end. The nonlinearity and asymmetry characteristics are contained in the steady-state current waveforms. The presence of randomness in the HIF current waveform leads the steady-state condition to a quasi-steady-state condition, as shown in Figure 1. It is observed that, after the current peaks build up, a random oscillation appears. HIF current peak values are within a standard deviation. This characteristic of randomness is contained practically in any HIF current waveform.



Figure 1. Typical HIF current waveform.

References [5,34,38,44] mention other characteristics contained in the HIF current waveform. However, this paper does not focus on other characteristics, since they are dependent on the main characteristics (e.g., the harmonic content depending on the nonlinearity characteristic). According to [30], HIFs are considered single-phase faults because an incident involving two or more phases is typically a high current fault event or can be treated as two isolated single-phase HIF events. Also, it should be borne in mind that the HIF current is exactly in phase with the voltage at the fault point and the resulting harmonic currents tend to reach their peaks at the same time as the HIF current fundamental component, according to [30,45,46].

## 3. Categories of HIF Models for ODN

#### 3.1. Models Based on Active and Passive Circuit Elements

This section shows the evolution of the models of active and passive circuit elements used in the studies of the last 30 years for each HIF model in the ODN, classified in terms of their elements, representation and year of publication. The base model (model "a") and the rest of the models in this category can be constructed using Figure 2 and Table 1. Figure 2 shows the different active and passive elements that can be connected in series within elements 1, 2, 3 and 4. For example, in model "a", element 1 consists of a DC voltage source ( $V_P$ ) and a diode ( $D_P$ ) connected in series. Element 2 consists of a DC voltage source ( $V_N$ ) and a diode ( $D_N$ ) connected in series, and element 3 consists of a resistor (R) and an inductor (X) connected in series.



**Figure 2.** Representation of HIF models based on active and passive circuit elements according to Table 1.

The electrical circuit elements that compose a HIF model consist of a constant impedance (resistance R and reactance X) in series with two antiparallel diodes ( $D_P$  and  $D_N$ ). Each diode is in series with a DC voltage source ( $V_P$  or  $V_N$ ). This antiparallel-diode configuration allows the current during positive half cycles to circulate only through one branch and the current during negative half cycles flows through another branch. The fault current magnitude is controlled by the impedance value, but the source voltage values also influence the current magnitude control, as observed in Figure 3, which presents simulation results to understand the behavior of the model proposed in [9].

| Model  | Year | Ref.   | Element 1      |                        |            |       | Element 2   |                   |   |          | Element 3 |                      | Element 4           | Control<br>System |  |
|--|------|--------|----------------|------------------------|------------|-------|---|-------------------|---|----------|-----------|----------------------|---------------------|-------------------|--|
| a  | 1990 | [9]    | D <sub>P</sub> | VP                     | -          | -     | D <sub>N</sub>  | $ V_N$            | -   | -        | X         | k<br>R               | -                   | -                 | -  |
| b  | 1993 | [47]   | D <sub>P</sub> |                        | VP         | -     | D <sub>N</sub>  |                   | $ V_N$  | -        | Ţ         | -                    | -                   | -                 | -  |
| с  | 1998 | [48]   | D <sub>P</sub> |                        | -          | -     | D <sub>N</sub>  |                   | -   | -        | Ţ         |                      | R                   | Ţ                 | TACS   |
| d  | 2004 | [49]   | D <sub>P</sub> | VP                     | -          | -     | D <sub>N</sub>  | $ V_{N}$          | -   | -        |           | R                    | -                   | -                 | -  |
| e  | 2005 | [50]   | D <sub>P</sub> | VP                     | $\bigcirc$ | -     | D <sub>N</sub>  | $ V_{N}$          | $\bigcirc$  | -        | ₹R        | R                    | -                   | -                 | MODELS   |
| f  | 2006 | [51]   | D <sub>P</sub> | a<br>X                 | ≷R         | VP    | D <sub>N</sub>  | a<br>X            | <br> | $ V_{N}$ | 1 -       | -                    | -                   | -                 | -  |
| g  | 2010 | [52]   | D <sub>P</sub> | R                      | VP         | -     | D <sub>N</sub>  | R                 | $ V_N$  | -        |           | -                    | -                   | -                 | -  |
| h  | 2011 | [53]   | D <sub>P</sub> | R                      |            | -     | D <sub>N</sub>  | R                 |   | -        | R         | -                    | -                   | -                 | -  |
| i  | 2015 | [54]   | D <sub>P</sub> | ₿R                     | Vp         | -     | D <sub>N</sub>  | ≷R                | $ V_N$  | -        |           | R                    | -                   | -                 | -  |
| j  | 2016 | [55]   | D <sub>P</sub> | VP                     | -          | -     | D <sub>N</sub>  |                   | -   | -        | SX X      | R                    | -                   | -                 | -  |
| k  | 2017 | [31]   | D <sub>P</sub> | Vp                     | -          | -     | D <sub>N</sub>  | $ V_N$            | -   | -        | Ţ         | R                    | -                   |                   | MODELS<br>TACS                                       |
| 1.00<br>0.75<br>0.50<br>(nd) 0.25<br>.ui pp 0.00<br>-0.25<br>-0.50<br>-0.75<br>-1.00 |      | .005 0 |                | 0015 0.<br>Cime in (s) | 020 0      | Volta | ige<br>rent V <sub>N1</sub><br>ent: V <sub>N2</sub><br>ent: V <sub>N3</sub> | Magnitude in (pu) | 1.00<br>0.75<br>0.50<br>0.25<br>0.00<br>-0.25<br>-0.50<br>-0.75<br>-1.00<br>0.000         | 0.005    | 0.010     | 0 0.01<br>Tim<br>(b) | 5 0.02<br>he in (s) |                   | Voltage<br>Current: R1<br>Current: R2<br>Current: R3 |

Table 1. Timeline of HID models based on active and passive circuit elements.

**Figure 3.** High impedance fault current waveforms: (**a**) when source voltage varies; (**b**) when resistance varies.

In Figure 3a, the variation of the current for different values of voltage  $V_N$  are presented, where:  $V_{N1} < V_{N2} < V_{N3}$ , maintaining both constant impedance and constant voltage  $V_P$ . It can be concluded that the variable parameter ( $V_N$ ) simultaneously modifies the current magnitude and the current conduction instants during negative semi-cycles (the

three currents are superimposed during positive semi-cycles). By analogy, this behavior will be repeated during the positive semi-cycle, but varying V<sub>P</sub>. Therefore, the variations of V<sub>P</sub> and V<sub>N</sub> only affect their respective semi-cycles. Figure 3b shows the current variation for different resistance values, where  $R_1 < R_2 < R_3$ , keeping constant the voltage values of the DC source ( $V_P$  and  $V_N$ ). It is clearly observed that the variable parameter influences on current during two half-cycles and controls the current magnitude. It is important to note that the phase angle difference between the voltage at the fault point and the fault current is negligible, as indicated in the previous section. This fact must be considered to assign a minimum value of inductive reactance to models that consider this element.

As described in Section 2, the HIF current changes over time. However, in the model based on active and passive circuit elements, when it considers constant parameters from the beginning to the end of the simulation, produces a current waveform in which all cycles are equal over time, not allowing full reproduction of this characteristic. Regarding the non-linearity characteristic of HIF, the model does not reproduce this characteristic in the complete cycle, the linearity is maintained within the HIF current conduction intervals. Therefore, this model does not fully reproduce this feature, a fact that can be seen in Figure 4, where the current waveform of a typical HIF is shown, as well as the waveform reproduced from simulations (with the model "a" of Table 1) for a given voltage.



Figure 4. High impedance fault current and voltage waveforms.

In 1993, the model proposed in [9], derived from works [47,56], is modified as shown in the model "b", of Table 1. The reactance is neglected, and a resistance is considered in each branch ( $R_P$  and  $R_N$ ), allowing independent control of the current magnitude at each half cycle, but without solving other model limitations.

Reference [57] clearly shows how to reproduce different fault current magnitudes, asymmetries, arc extinctions and arc restarts by modifying  $V_P$ ,  $V_N$ ,  $R_P$  and  $R_N$ . A model proposed later in [51,58] does not neglect the reactance, unlike [9], but it considers a resistance for each branch, as observed in the model "f" of Table 1, represented in Figure 2. The work in [59] proposes two non-linear resistances  $R_P$  and  $R_N$ , as observed in the model "b" of Table 1 in Figure 2, but it does not provide knowledge of this characteristic. With this proposal, the non-linear characteristic can be reproduced in the conducting and non-conducting intervals as presented in Figure 4. Since HIF is a random and nonlinear phenomenon, authors in [60–64] propose that the parameters  $V_P$ ,  $V_N$ ,  $R_P$  and  $R_N$  vary randomly between certain limits at each time interval (ms), following a uniform and/or Gaussian distribution.

The use of random values for elements of this model has been extended to several studies, such as [65–68]. By proposing the time-varying parameters, the fault current waveform is no longer the same as that of the other cycles. However, the *build-up* and *shoulder* characteristics are not guaranteed due to random behavior. Modified models of [9],

configured in parallel, are proposed in [52,69] (see model "g" of Table 1 represented in Figure 2). The energization of each of the models in parallel is done by employing switches. According to the authors, this model can reproduce the characteristics of randomness and *build-up* but adding a greater number of parameters and a switching control system.

In [48,53], DC sources are replaced by sawtooth waveform generators (see models "c" and "h" of Table 1 represented in Figure 2), to control the phase difference between the voltage at the fault point and the fault current. But as described in Section 2, it is not necessary to control this difference, because it is negligible. In addition, a variable resistance is added to the model. In the model in Figure 2, switch 1, normally open, isolates the downstream load from the fault point, and switch 2, normally closed, connects the HIF model to the electrical system. Switch 3 controlled by TACS controls the re-ignition and extinction of the electric arc, to reproduce the intermittency, which is one of the main characteristics of the HIF.

Afterwards, in [54], it is proposed to randomly modify the value of the resistance, in each cycle, and the model is according to the model "i" of Table 1. The references described ([48,53,54]) are not intended to reproduce buildup and other features. In [49,70], the reactance of the model proposed in [9] is neglected and the fixed resistance becomes a non-linear resistance, as can be observed in the model "d" of Table 1, represented in Figure 2. In [49], the voltage values of the DC sources vary randomly at each half-cycle. In [70], the resistance value varies randomly under a uniform distribution. However, in [49] there is the problem of dependence between the beginning of the current conduction and the magnitude of the current waveform, as can be observed in Figure 3a. The HIF models proposed in [49,70] reproduce the current waveform nonlinearity and the current magnitude random behavior.

In [50], a model modification is proposed based on a combination of the model proposed in [9] with the arc model based on a differential equation, according to the model "e" of Table 1. This model is based on works [9,48,71]. Some new features have been added to the model, such as the impedance from [9] which has been replaced by a linear resistor and a time-varying non-linear resistor, representing the earth resistance and the dynamic arc, respectively. AC sources have also been added to the model guaranteeing the variable point of arc ignition and cooling. This model is not simple, since it is practically the union of two models classified into different categories. Therefore, the model complexity is increased due to the greater number of elements composing the model.

In [55], the constant resistance of the model from [9] is replaced by a time-varying resistance to correctly simulate the build-up and shoulder. The model remained simple, as can be observed in the model "j" of Table 1 in Figure 2. The time-varying resistance is modeled by a polynomial function and a constant for transient and quasi-steady states, respectively.

The modeling proposed in [55] can be associated with [11]. In reference [39], regarding the circuit configuration, a resistance is added to each branch that represents the arc resistance. In reference [72], the model proposed in [9] is adapted to be used in the frequency domain, demonstrating the importance of this modeling in the literature. In short, this HIF model can reproduce the characteristics of asymmetry, nonlinearity, build-up, and shoulder considering transient and quasi-steady states.

The model "k" in Table 1, represented by Figure 2 shows the last model based on the combining of electric circuit elements, which was proposed in [31]. It is basically the model proposed in [9] with some features of other models. The main difference is that the model proposed in [31] has a complex control system of resistance and a sectionalizing switch that controls the current circulation. The resistance consists of three portions that add up to the total resistance value. The first portion is established to reproduce the build-up. The second portion is responsible for simulating the asymmetry between half cycles of the same cycle. Finally, the last part reproduces the arc resistance variation, similarly to [11].

It is important to highlight that the evolution of this category of HIF models has not been independent of other categories. Some features present in models of other categories have helped in the evolution of models in this category and vice-versa. A clear example is the way described in [11] to model the build-up and shoulder.

An important observation is that, when this category of HIF models is used, the fault current waveform does not faithfully reproduce waveforms of an actual HIF, especially in the vicinity of zero crossings. In Figure 4, it can be observed the difference between the simulated current ( $I_{sim}$ ) using the model from [9] and the typical current ( $I_{typ}$ ) for a type of surface (e.g., gravel). It is worth noting that the nonlinear resistance can reproduce nonlinearity in the current conduction interval, but not in the non-conduction interval. Last, when DC sources are considered in the model, the reproduced current has limitations in the zero-crossing.

#### 3.2. Models Based on Passive Circuit Elements

Figure 5 and Table 2 illustrates a representation of the different HIF models based on passive elements such as resistance and/or reactance. It can be observed that the evolution of this category of models is not based on a single model, unlike the evolution of models presented in Section 3.1. First, in 1981, authors in [73] proposed a HIF model based on two series nonlinear resistance as a function of the current as shown in the model "a" of Table 2 and represented in Figure 5.



**Figure 5.** Representation of high impedance fault models based on passive circuit elements according to Table 2.

| Model | Year | Ref. | Elem | ent 1 | Control System |  |
|-------|------|------|------|-------|----------------|--|
| а     | 1981 | [73] | R    | R     | -              |  |
| b     | 1996 | [74] | X    | R     | -              |  |
| С     | 1998 | [46] |      | R     | MODELS         |  |
| d     | 2000 | [75] | ₿ X  | R     | -              |  |
| e     | 2001 | [11] | R    | R     | TACS           |  |

Table 2. High impedance fault models based on passive circuit elements.

One resistance represents the arc resistance, and the other represents the earth resistance. Subsequently, in [74], HIF is modeled by a resistance and an inductance, both non-linear as a function of the current, subject to a second-order polynomial, as can be observed in Figure 5 and the model "b" of Table 2. Similarly, in [75], HIF is simulated in two ways: (a) constant resistance and reactance; and (b) non-linear resistance as a function of current and constant reactance, as can be observed in Figure 5 and model "d" of Table 2. These models have a common ability to reproduce the characteristic of nonlinearity.

In 1985, the work in [76] used the simplest model consisting of a constant resistance connected at the fault point. This model reproduces only the low HIF magnitude. In recent years, some authors used this model, such as [77], published in 2015. Afterward, the authors in [78] proposed a model based on a combination of 16 nonlinear impedances with different degrees of nonlinearity and with an arc start for different voltage values. HIF simulations can be performed by applying any combination of 16 impedances, that is, one impedance is used for each half-cycle of the voltage waveform. However, this model reproduces with limitations in the shoulder and build-up characteristics through a combination sequence that increases the model complexity. In reference [45], the random nature of the arc has stochastically been simulated using the MODELS language in ATP software (Model "c" of Table 2 and Figure 5). This model consists of a normally open switch connected in series with a variable fault resistance to produce a variety of fault current waveforms each half cycle. After the fault starts, periodic switching operations are determined by the voltage values of the corresponding arc, chosen randomly for each half cycle. This model has the ability to reproduce the intermittency characteristic, but the reproduction of the build-up and shoulder characteristics is not guaranteed due to the stochastic simulation.

The work in [11], published in 2001, presents the most important HIF model this category of models based on passive elements, illustrated in Figure 5 and Table 2, model "e", since it is capable of reproducing several characteristics of HIF. This model consists of two nonlinear resistances in series, one resistance is responsible for simulating the asymmetry and nonlinearity characteristics, and the other resistance is controlled by TACS to simulate the build-up and shoulder characteristics. The two resistances are determined from curves of voltage at the fault point versus fault current.

In reference [12], published in 2013, the model from [11] is combined with the configuration of switches proposed by [48] (see model "c" of Table 1 in Figure 2), and switches  $S_1$ and  $S_2$  are used for disconnecting downstream load from the fault point and connecting the HIF model at the fault point, respectively. In reference [12], experimental tests were carried out to establish HIFs of six surface types. The test results were used to model each HIF associated with a surface type. Subsequently, ref. [79] has proposed a control in the switch  $S_3$  to simulate the intermittency characteristic. Switch operations are the same adopted in [48], as seen in model "c" of Table 1 in Figure 2. The evolution of this model allows us to reproduce five basic HIF characteristics described in Section 2. Reference [80] proposes the use of two series connected resistors, one dielectric resistance and the other constant bad contact resistance between the conductor and dielectric. The dielectric resistance behavior is based on the solid dielectric electrical breakdown theory. The author shows that the proposed nonlinear resistance model is more consistent in reproducing current waveform at zero-crossing points than the traditional arc model based on differential equations (Mayr model). However, the work does not show the reproduction of the build-up and shoulder characteristics.

#### 3.3. Arc Model

This subsection presents the third category of HIF modeling, which is expressed in the form of a first-order differential equation and represented by the Figure 6 and the Table 3. This HIF model is well known as the arc equation and basically consists of: (1) a single resistance subject to the arc model, as illustrated in Figure 6 and model "a" of Table 3; and (2) two resistances, one that represents the arc resistance (modeled by a differential equation) and the other that can be a constant resistance, shown in Figure 6 and model "b" of Table 3, or a variable over time, shown in Figure 6 and model "c" of Table 3, which represents the resistance of a surface. According to [22], the thermal model has been used since the first descriptions of the arc electrical conductivity shown by Cassie [13] and Mayr [14], in the form of a first-order differential equation. This model has subsequently been improved and modified according to needs. For example, ref. [81] details the implementation of the arc model described in [71] using EMTP. Known as the arc digital model and derived from



the description of Hochrainer's arc, the model from [71] is derived from the works of Mayr and Cassie.

**Figure 6.** Representation of high-impedance fault models based on the physical process involved in the electric arc, according to Table 3.

| Model | Year | Ref. |        | Element 1 |   | Control System |
|-------|------|------|--------|-----------|---|----------------|
| a     | 2006 | [82] | T<br>T | R         | - | MODELS         |
| b     | 2007 | [21] | T<br>T | ≷R        | R | MODELS         |
| с     | 2008 | [83] | R      | R         | - | MODELS         |

Table 3. High impedance fault models based on the physical process involved in the electric arc.

In [82], HIF is modeled by a single resistance based on the first-order differential equation in (1), as originally proposed in [71]. To simulate the random behavior of the phenomenon, the arc voltage per unit length parameter of the differential equation assumes random values within pre-defined limits ( $G_0$  is a function of the arc voltage per unit length parameter). In reference [22], HIF is also modeled using the detailed arc description from [71], and to implement the model, the implementation method from [81] is used. Reference [22] models a HIF caused by the cable contact with the tree. This model is represented by two resistances in series, one constant that represents the tree resistance and the other variable that represents the arc resistance.

$$\frac{dg_{(t)}}{dt} = \frac{G_0 - g_{(t)}}{\tau}$$
(1)

where  $G_0$  is stationary arc conductance,  $\tau$  is arc time constant, and g(t) is time-varying arc conductance [22,71].

In works [83,84], two variable resistances in series are used, one that represents the surface resistance varying between two limits and the other that represents the arc resistance modeled by Equation (1). To reproduce the randomness characteristic, parameter  $\tau$  takes random values with uniform distribution within predefined limit values.

References [15,85,86] model the HIF based on the Equation (1), whose parameters are obtained from the voltage waveform at the fault point and fault current waveform using the least squares method and the sum of squared deviations from theoretical and experimental values. In these works, the reproduction of nonlinearity and build-up characteristics can be observed. Among works addressing HIF modeling based on the arc model, ref. [15] is recommended because the model is described in a detailed way, showing the calculation of differential equation parameters. Reading [22] is also recommended. Finally, it is worth mentioning that, according to [80], the model based on the arc equation is not consistent in reproducing the HIF waveform at zero-crossing points (see Figure 3 in [80]) and therefore it has a similar limitation to models of the first category.

#### 4. High Impedance Fault Models for ODN

The interaction between any HIF model with an ODN can be illustrated in Figure 7. The configurations of switches  $S_1$ ,  $S_2$ , and  $S_3$  are based on [48]. The switches  $S_1$ ,  $S_2$ , and  $S_3$  allow to simulate different HIF classes. Under normal system conditions,  $S_1$  and  $S_2$  are normally closed and  $S_3$  is normally open. In the case of a load-side HIF,  $S_1$  is normally open and  $S_2$  and  $S_3$  are normally closed, while if the fault is from the source side,  $S_1$  and  $S_3$  are closed, and  $S_2$  is open, in both scenarios there is a similarity between the waveform, both from the source and from the load, as analyzed in [87]. It is also possible to simulate the cable downfall time, which is represented by the time  $S_3$  takes to close. For faults involving the unbroken conductor in contact with a tree branch, the three switches are normally closed. It is worth highlighting that  $S_3$  has two functions, one that is to simulate the intermittence characteristic.



Figure 7. Interaction between the HIF model and distribution network model.

Figure 8a,b show the circuits that represent categories of HIF models based on a combination of electric circuit elements and passive elements, respectively. In the category of models based on a combination of electric circuit elements, the parameter values can be modified to reproduce other models in the same category.



**Figure 8.** High impedance fault models: (**a**) based on active and passive circuit elements; (**b**) based on passive circuit elements.

For example, making  $R_P = R_N$ , the circuit model of Figure 8a reproduces the same behavior as the circuit model "d" of Table 1 represented in Figure 2. In this model category, the inductive reactance  $X_L$  and other elements proposed to control the phase difference between the voltage at the fault point and fault current are neglected. Equation (2) relates the voltage at the fault point and the fault current using the sign function expressed in Equation (3) to represent the diodes behavior, similar to [88].

$$v_{(t)} = (R_P \cdot i_{(t)} + V_P) \cdot sgn(i_{(t)}) + (-R_N \cdot i_{(t)} + V_N) \cdot sgn(i_{(t)})$$

$$\tag{2}$$

where  $sgn(i_{(t)})$  is the current sign function, as follows.

$$sgn(i_{(t)}) = \begin{cases} 1 & if \ i_{(t)} < 0, \\ 0 & if \ i_{(t)} = 0, \\ -1 & if \ i_{(t)} > 0. \end{cases}$$
(3)

Note that HIF models based on a combination of electrical circuit elements are easy to implement, especially when model parameters are constant. However, such models can become complex when the dynamic behavior of model parameters is considered due to the need to employ a control system. To use HIF models of this category, the values of resistances and DC sources must be known. To simulate the random behavior as suggested by many authors, it is necessary to randomly modify the interest parameter values during the simulation. In the case of nonlinear behavior of the resistances, the modification of parameter values over time can be done following a certain function (e.g., a polynomial function). It is worth noting that  $R_P$  and  $R_N$  can be composed of several resistances, as proposed in [31]. Figure 8b shows the electrical circuit that represents categories of HIF models based on passive elements and order first differential equations. It is possible to obtain different HIF models that compose these two categories, defining the constant or dynamic behavior of the resistances.

The relationship between the voltage at the fault point and fault current is given by:

$$v_{(t)} = (R_{1(t)} + R_{2(t)}) \cdot i_{(t)} \tag{4}$$

Equation (4) is apparently very simple; however, the model complexity is proportional to the dynamic behavior of the resistances, similar to the first category of HIF models.

The HIF models based on passive elements, which reproduce the greatest number of HIF characteristics, represent the dynamic behaviors of the two resistances. According to [11], dynamic behaviors of  $R_1(t)$  and  $R_2(t)$  are expressed by Equations (5) and (6), respectively. It is worth mentioning it is possible to reproduce the randomness characteristic adding to the model a third resistance that varies randomly.

$$R_1(t) = \frac{v(t)}{i_n + \frac{i_{n+1} - i_n}{v_{n+1} - v_n} \cdot (v(t) - v_n)}$$
(5)

$$R_2(t) = a_0 + a_1 \cdot t^1 + a_2 \cdot t^2 + \ldots + a_{n-1} \cdot t^{n-1} + a_n \cdot t^n, \tag{6}$$

For the HIF model based on the order first differential equation,  $R_1(t)$  is determined by the arc equation in (1) and  $R_2(t)$  is constant or time-varying. However, ref. [15] shows that is possible to model a HIF making  $R_2(t) = 0$ .

The solution from Equation (1) is expressed by Equation (7), in which the calculation of parameters  $G_0$  and  $\tau$  are described in detail by [15].  $R_1(t)$  is expressed by Equation (8).

$$g(t) = G_0 \cdot \left(1 - e^{-t/\tau}\right) \tag{7}$$

$$R_1(t) = \frac{1}{g(t)} \tag{8}$$

From the mathematic point of view, the three categories of HIF models do not present a clear difference in terms of model implementation complexity. To use HIF models based on a combination of electric circuit elements, four parameters ( $V_P$ ,  $V_N$ ,  $R_P$ , and  $R_N$ ) of Equation (2) need to be determined. Simulation of a HIF becomes simple if these four parameters are constant. However, it is necessary to determine the four parameters if the resistances are time-varying, increasing the model implementation complexity. To use HIF models based on passive elements, it is necessary to determine the behaviors of the two resistances, which usually are time-varying. This HIF model becomes the simplest of all HIF models if the two resistances are constant. Lastly, to use HIF models based on the order first differential equation two parameters need to be determined. These two parameters usually are constant during simulation, which implies in limitations to reproduce all HIF characteristics. On the other hand, when these parameters are time-varying is necessary to determine a time-varying function.

#### 5. Comparison of HIF Models for ODN with MV Lab Test Measured Data

## 5.1. Materials and Methods

Besides the systematic literature review, this study presents an experimental evaluation to verify the three predominant HIF models with real data obtained from oscillographs in laboratory facilities. Figure 9 shows the methodology used in this study. The computational evaluation was carried out using the ATP/EMTP software (https://atp-emtp.org/, accessed on 25 January 2020) for the simulation of all the HIF models. The following types of HIF models for ODN are compared in this section with lab test results:

- Model 1—model based on active and passive circuit elements;
- Model 2—model based on passive elements;



Model 3—arcing model.

Figure 9. Flowchart of the methodology proposed for the model validation.

For the experimental assessment, the HIF experiments have been carried out at the MV distribution system laboratory or MV lab, Federal University of Para, Brazil. The MV lab was designed and developed for HIF testing purposes. Figure 10 shows the facilities and equipment where the experiments were carried out, and Figure 11 the three-line diagram of the MV laboratory. The MV lab comprises a 225 kVA, 0.22 V/13.8 kV transformer of the WEG manufacturer (Wetherill Park, Australia), SEL-751 feeder protection relay of the SEL manufacturer (Pullman, WA, USA), an alternating current (AC) contactor of the WEG manufacturer, breaker circuits, fuses, potential transformers (PTs), current transformers (CTs), conductors, 75 kVA, 13.8 kV/0.22 kV service transformer of the WEG manufacturer and load.

The 225 kVA transformer is delta connected on the low-voltage (LV) side (0.22 kV) and grounded wye connected on the medium voltage (MV) side (13.8 kV) to emulate a typical distribution substation. The MV system is a three-phase three-wire circuit, and the neutral conductor is grounded on the transformer. In this paper, oscillography records captured by the SEL-751 relay are used to compare the three HIF models. The oscillography records were obtained from two HIF experimental tests, one using a Bamboo tree branch and the other using an Açai tree branch. The SEL-751 relay's residual overcurrent element was used for tripping purposes with a sensitive setting.



Figure 10. Medium voltage laboratory for the HI testing.



Figure 11. Three-line diagram of the MV lab for HIF testing.

#### 5.2. Results and Discussion

From the measurements in the laboratory presented in Figure 10, data were obtained from the relay for further processing and analysis. Figures 12 and 13 show HIF current waveforms obtained through experimental laboratory tests using a bamboo tree branch and an açai tree branch (common trees in the Amazon region, site of the study), respectively, both subjected to a  $13.8/\sqrt{3}$  kV phase voltage. The two waveforms were selected because they present the typical characteristics of a HIF, such as nonlinearity, asymmetry, shoulder and build up, especially because they have a marked envelope, one with an increasing envelope, where the magnitude of the instantaneous current exceeds 10 A, and the other with an oscillating envelope, where the maximum current does not exceed 3 A. To evaluate the limitations or capabilities of the studied models, the two HIF current waveforms are reproduced, using the three selected models. The models are implemented in the ATPDraw software version 7.0, and the results of the simulations are presented graphically, where the differences in the reproduced currents in relation to the measured current can be visually appreciated.



**Figure 12.** Measured current waveform obtained from a HIF experimental test with a Bamboo tree branch.



**Figure 13.** Measured current waveform obtained from a HIF experimental test with an Açai tree branch.

Figure 14 shows the comparison of the conductance reproduced by each type of HIF model with the measured conductance data (red color curve) from an experimental HIF test with a bamboo tree branch. The conductance is determined by the relationship between simulated or measured current and voltage waveforms. When Model 1 is used, the average conductance calculated for positive and negative cycles are 0.00023084 S and 0.00023094 S, respectively.



**Figure 14.** Comparison of the conductance reproduced by each type of HIF model with the measured conductance data from a HIF experimental test with a bamboo tree branch.

In this case, they are practically the same, indicating that the asymmetry is negligible. It is noteworthy that the parameters of Model 1 are constant and therefore the conductance is represented by a straight (cyan color line). The black color curve is the conductance calculated using Model 2, represented by a fifth-order polynomial expressed by Equation (6), where its coefficients were determined by fitting the curve. The blue color curve is the conductance calculated using Model 3, whose parameters  $G_0 = 0.000232432$  S and  $\tau = 0.016045$  s were estimated by the least-squares method. Note that the conductance calculated using the model 2 has better matching with the measured conductance (red color curve), both in the transient and steady-state conditions. The steady-state condition is reached when t = 0.35 s.

Figures 15 and 16 show comparisons of the current waveforms calculated by HIF models with the measured current waveforms resulting from a HIF experimentally generated by a bamboo tree branch, for transient and steady-state conditions, respectively. The steady-state condition is reached when t = 0.3 s. It can be observed that the Model 2 more accurately replicates the measured transient waveform when compared with Models 1 and 3. As for the steady-state waveform, all HIF models have similar and sufficiently accurate results.



**Figure 15.** Comparison of the simulated current waveforms (transient state) with the measured current waveform resulting from an experimental test with a bamboo tree branch.



**Figure 16.** Comparison of the simulated current waveforms (steady-state condition) with the measured current waveform resulting from an experimental test with a bamboo tree branch.

Figure 17 shows the comparison of the conductance reproduced by each type of HIF model with the measured conductance data (red color curve) from an experimental HIF testing with açai tree branch. The average conductance calculated using Model 1 for positive and negative cycles are 0.00059475 S and 0.00059823 S, respectively. The black color curve is the conductance calculated using Model 2 represented by a sixth-order polynomial. The blue color curve is the conductance calculated using Model 3, whose parameters  $G_0 = 0.001699$  S and  $\tau = 2.345621$  S were estimated by the least-squares method. It can be observed that model 2 accurately reproduces the measured conductance in the transient and steady-state conditions.



**Figure 17.** Comparison of the conductance reproduced by each type of HIF model with the measured conductance data from an HIF experimental test with the Açai tree branch.

Figures 18 and 19 show comparisons of the current waveforms calculated by HIF models with the measured current waveforms resulting from a HIF experimentally generated by an Açai tree branch, for transient and steady-state conditions, respectively. The steady-state condition is reached when t = 0.35 s. It can be observed that the Model 2 accurately replicates the measured current waveform when compared with Models 1 and 3, for both transient and steady-state conditions.



**Figure 18.** Comparison of the simulated current waveforms (transient state) with the measured current waveform resulting from an experimental test with an Açai tree branch.





## 6. Conclusions

Currently, models based on active and passive circuit elements are the most widely used category in investigations related to the detection and location of HIF. This paper systematically reviewed three broad categories of HIF models for overhead distribution network studies performed by EMT-type programs and compared three well-known HIF models, one of each category, with MV laboratory experimental data. The comparison presented in this work showed that the model based on two non-linear resistances is more accurately matched with measured waveforms obtained from two experimental HIF tests using tree branches when compared with other models. The presented review, models, studies, and comparison will be useful to researchers and practicing distribution protection engineers who are actively using EMT simulation tools for modeling and analysis of overhead distribution networks. The lab experimental results reported in this paper encourage further research to develop new high-impedance fault models using a large database repository of lab experimental tests or actual field events.

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