

# Article Food Swamps and Poor Dietary Diversity: Longwave Development Implications in Southern African Cities

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**Abstract:** While the literature on food deserts focuses on limited availability of food in urban settings, 'food swamps' may better characterize the extensive prevalence and accessibility of cheap, highly processed foods. For urban populations, access to nutritionally inadequate poor-quality food has dire developmental consequences. The long-wave impacts of malnutrition at gestational and early childhood stages are negative and can be non-reversible. Moreover, those who survive into adulthood may face a lifetime of sub-optimal physical and mental development that undermines the second and third UN Sustainable Development Goals—to end hunger and to ensure healthy lives. This paper assesses the long-term health vulnerability of children with limited access to adequate and nutritious food in rapidly urbanizing cities. The analysis focuses on the African Urban Food Security Network (AFSUN) data drawn from 6453 household surveys in 11 cities and nine countries in Southern Africa. The results indicate that children in these households are consuming a limited diversity of food, have limited access to resources and have greater odds of experiencing both short-term and long-term health impacts stemming from nutritionally inadequate diets, with potentially significant costs to human capital.

Keywords: food deserts; food security; malnutrition; children; urbanization; Southern Africa

## 1. Introduction

The United Nations' 2030 Agenda poses two direct development challenges that pivot on Sustainable Development Goal (SDG) 11: Sustainable Cities. These challenges are captured under SDG 2: Zero Hunger, and SDG 3: Good Health and Well-Being. Cities of the Global South have become a "ground zero" for these compounding challenges. This investigation assesses the poverty, food security and food consumption characteristics of poor urban households in Southern Africa containing children aged 5 years old and younger. The findings identify household vulnerabilities to longwave nutrition-related health hazards faced by the children growing up in these environments.

The urban transition unfolding across the Global South has the potential to create great prosperity and provide the means by which these SDGs can be achieved. Cities provide economies of scale that make global sustainability possible [1]. However, accessible, nutritious food is a key component without which hunger cannot be eliminated, nor can good health and well-being be achieved [2]. Yet the promise of ending hunger and achieving good health for all is a major challenge in the context of the rapid urbanization of the Global South [3,4]. Sprawling informal settlements are now a common feature of the urban form alongside the rise of megacities (cities with more than one million residents) in the developing world [5–13]. Typically associated with rural populations, hunger and malnutrition are now increasingly associated with urban populations [2,14–16]. As a result, "malnutrition is in turn

a major contributor to both mortality and morbidity and is consequently also a vexing development problem, the locus of which is increasingly urban" [16] (p. 119).

Notwithstanding the positive development potential of widespread urbanization in Sub-Saharan Africa, the continent remains beset by persistent hunger and malnutrition [14–17]. Research indicates that the long-wave demographic impacts of malnutrition at gestational and early childhood stages are negative and non-reversible [18–20]. Moreover, those who survive into adulthood many face a lifetime of sub-optimal physical and mental development that undermines the second and third UN Sustainable Development Goals—to end hunger and to ensure healthy lives [18,21–24].

Hunger and malnutrition are part of the epidemiological transition that is also underway in the Global South. The epidemiological transition describes a shift in the determinants of morbidity and mortality from predominantly communicable diseases (e.g., tuberculosis, influenza, hepatitis) towards predominantly non-communicable diseases (e.g., heart disease, cancer, diabetes) [25]. While the epidemiological transition has been a helpful conceptualization of changing disease prevalence, the theory has evolved as empirical evidence has come to light. As an example, Harper and Armelagos [26] note that new infectious diseases have begun to emerge and spread because of antimicrobial resistance and globalization. The theory has also expanded to include socio-economic factors that have been identified as drivers of the epidemiological transition [27]. Wilkinson [28] highlighted the role of socio-economic inequality in mortality trends linked to the epidemiological transition. In response, Santosa et al. [29] recommended further research into the socio-economic determinants of health to inform needed revisions in the evolving concept. Dye et al. [30] identified a specific interaction between the prevalence of tuberculosis infection rates, and diabetes in a study of India and South Korea. This study noted the role of urbanization (the urban transition) as well as nutrition as key drivers of the epidemiological transition in these countries. Uauy and Kain [31] highlighted the growing need to focus on obesity prevention, in addition to malnutrition, in nutrient programming. This point was reiterated by Broyle et al. [32] who identified a growing pandemic of childhood obesity, driven in part by socio-economic factors like household income.

As would be expected under these transitions, global human nutrition itself is in a state of flux and is described by a third shift: the nutrition transition. As outlined by Drewnowski and Popkin [33], the nutrition transition refers to the shift from the consumption of carbohydrates and fibers to sugars and saturated fats. This transition has been linked to the epidemiological transition through the health outcomes of this dietary shift. Shetty [34] notes the growing challenge of obesity and non-communicable diseases resulting from the nutrition transition. Popkin [35,36] noted that the speed of the nutrition transition appears to differ between the Global North and South. This observation has been conceptualized as the "dual burden" of nutrition where developing countries are faced with a high prevalence of diseases stemming from both under-weight and over-weight populations [37]. In other words, rather than proceeding through the nutrition transition, many developing countries are faced with the burden of both widespread hunger and obesity (or a dual burden). The urban poor are particularly at risk in these countries, where food systems have evolved to accommodate cheap processed food high in sugar and saturated fat [38]. Popkin [39] notes that the nutrition transition in the developing world may also be linked to the urban transition, with urban diets and activity levels becoming increasingly distinct from rural diets and activity levels [40].

Together, these transitions highlight a shift in the vulnerability profile of poorer communities that mirrors the transition from rural to urban livelihoods. These transitions indicate a growing public health threat to future urban residents in the Global South. Children growing up in an environment of limited access to nutritious food are at an increased risk of developing chronic diseases into adulthood [41]. The co-occurrence of stunting and obesity among poor urban neighborhoods is indicative of a food system where highly processed food are more easily accessed while nutritional food is often out of reach to poor families [42]. This situation is highlighted particularly in the context of Southern African cities [43–45].

The rapid growth of these cities has also strained the food systems supporting the urban populations in the Global South, leaving pockets of food deserts in many Southern African cities. In a study of Cape Town, Battersby, and Peyton [46] note that the geographic distribution of supermarkets across the city limits access for poor households. Those supermarkets that are in poor areas of the city often stock fewer healthy foods than are available among supermarkets in high-income areas. This practice may be interpreted as a form of retail redlining, where food retailers, often driven by profit margins, are unwilling to service certain vulnerable sectors of the population or provide inferior goods and services in those areas [47]. In response to this limited accessibility, poor households in the city often rely on informal food markets [48]. As a result, the urban food desert has been criticized as having too narrow a view of the urban food system in the Global South [49]. While traditionally defined by limited geographic availability of supermarkets [50], Crush and Battersby [51] note that the concept of food deserts in the African context often ignores the informal economy and the importance of food access rather than availability. Battersby [52] further highlights the importance of accounting for non-market food sources. This investigation posits that given that supermarkets are not the only indicator of the presence or absence of food availability, the idea of a food desert might be more usefully thought of as a food swamp-readily available, cheap, poor quality and nutritionally inadequate food [53,54]. The existence of this kind of food swamp (both in terms of food source availability and nutritional diversity) poses a significant threat to the long-term health of poor urban households in Southern Africa [43].

The health impacts of this food system on poor urban households are keenly felt among children. Popkin [35] notes that the regular intake of sugars and saturated fats during early childhood could have significant implications for the prevalence of non-communicable diseases later in life. Caesar et al. [55] further suggest that the food insecurity may be linked to communicable diseases (like HIV and TB) in Southern African cities through circuitous socio-economic poverty. Crush et al. [56] suggest that food insecurity and HIV may share a cyclical relationship via precarious and uncertain household income. Household members carrying these diseases often require greater nutritional diversity but are unable to afford it, further progressing the disease impacts.

It is within this broad developmental context that this paper assesses the odds of exposure to health risks for children, precipitated by limited access to adequate and nutritious food in rapidly urbanizing cities. This investigation has two research objectives: First, to determine the change in the odds of household food insecurity among poor urban households in Southern Africa based on whether those households contain children aged 5 years old and younger. This objective assesses the distribution of food insecurity to assess the positioning of these households in access-defined food deserts/swamps. Second, to describe the food security and poverty characteristics of poor urban households with children 5 years old and younger in Southern Africa. This objective identifies the vulnerability of these households according to their nutrition access and adaptive capacity. The analysis focuses on the African Urban Food Security Network (AFSUN) data drawn from household surveys in 11 cities and nine countries in Southern Africa. This investigation argues that nutrition-related health outcomes are less a consequence of food deserts as they are of highly constrained access to already available food in these cities by individuals and households, and that cheap, processed, and nutritionally poor foods dominate food affordability. This investigation provides novel insight into the experiences of urban food swamps among households with small children in Southern Africa by going beyond the spatial availability of food and directly assessing the food access patterns of these households to determine their nutrition-related vulnerabilities.

### 2. Materials and Methods

#### 2.1. Approach and Limitations

To achieve this study's research objectives, this investigation relied on household survey data and nonparametric statistics. Household surveys are a common method for understanding the food

consumption patterns of a large population where direct observation is often logistically unfeasible. That said, urban household surveys, particularly among poor households, are challenging given the rapidly changing nature of cities in the Global South. In response to this challenge, this study's household survey was completed in partnership with local institutions and experts in each sampled city to ensure that the survey design and sampling was guided by the most up-to-date research, census data and maps. The use of nonparametric statistics in this investigation allowed for direct comparison of groups of household respondents categorized by variables of interest (e.g., food security, food consumption, household demographics). While neither open to causal interpretation or precise predictive modeling, these methods provide accurate and interpretable descriptions of the health vulnerabilities of poor households housing young children.

## 2.2. Research Objectives

Determine the change in the odds of household food insecurity among poor urban households in Southern Africa based on whether those households contain children aged 5 years old and younger.

Describe the food security and poverty characteristics of poor urban households with children 5 years old and younger in Southern Africa.

## 2.3. Sample

The sample for this investigation was drawn from a survey of 6453 poor households sampled using systematic and random sampling across 11 cities in 9 countries in Southern Africa. From that original survey sample, this investigation selected only those households containing children 5 years old and younger. This revised sample contained 2499 poor households distributed across the sampled cities. Both samples are demonstrated in Table 1.

	Total Household Sample		Households with Young Child	
City	n	%	п	%
Blantyre	432	6.7	241	9.6
Cape Town	1060	16.4	388	15.5
Gaborone	400	6.2	58	2.3
Harare	462	7.2	232	9.3
Johannesburg	996	15.4	277	11.1
Lusaka	400	6.2	221	8.8
Manzini	500	7.7	192	7.7
Maputo	397	6.2	226	9
Maseru	802	12.4	275	11
Pietermaritzburg	556	8.6	254	10.2
Windhoek	448	6.9	135	5.4
Total	6453	100	2499	100

Table 1. The Household Sample Distributed Across the Sampled Cities.

### 2.4. Variable Descriptions

This investigation measured household food consumption using the food item list in the Household Dietary Diversity Score (HDDS). The HDDS measures whether these food items were consumed by any member of the household in the last 24 h [57]. The food items included in the HDDS are: bread and other grains, potatoes and other foods made from roots or tubers, vegetables, fruits, red meats, eggs, fish or shellfish, beans or nuts, dairy, oils or other fats, sugars, and other foods such as condiments, coffee, or tea.

These food items were also used to measure the kinds of food items that household went without due to high food prices in the last six months. As a result, this food items list is used in this investigation to identify the foods that are commonly consumed and those food items that are vulnerable to in-access due to rising food prices. This investigation also measured the frequency with which households went

without food due to rising food prices in the last six months. The investigation also measured the extent to which households had consistent or inconsistent access to water, medical care, and cooking fuel in the last year.

Finally, this study included the Household Food Insecurity Access Prevalence (HFIAP) that measured the frequency of household food access challenges in the month prior to the survey [58]. This scale is administered as a series of 9 sub-scale Likert questions measuring the frequency of social, physical, and economic experiences of limited food access by any member of the household. The score for this scale is derived using a weighted scoring algorithm that ranks household as: food secure, mildly food insecure, moderately food insecure, and severely food insecure. In addition, this investigation relied on the Months of Adequate Household Food Provisioning (MAHFP) scale to measure long-term household food access [59]. The scale measures the number of months in the last year during which a given household had access to adequate household food provisioning.

### 2.5. Analysis

In order to assess the change in the odds of household food security based on whether a household contains children aged 5 years and younger, this investigation will make use of odds ratios, Pearson's chi-square tests, and Fisher's exact tests. Odds ratios measure the change in odds of a household being categorized as food secure/insecure based on other characteristics (e.g., whether the household contains children aged 5 years old or younger). This investigation makes use of Pearson's chi-square tests and Fisher's exact tests to determine whether the distribution of households across any two variables is significantly non-random.

This investigation then provides descriptive statistics to indicate the experiences of food insecurity among poor urban households in the Southern African region that contain children aged 5 years old and younger. This analysis will highlight the potential impediments to attaining sufficient nutrition for these children and hypothesize the long-term ramifications of this experience for those children.

### 3. Results

### 3.1. Research Objective One

Across the board, households with children aged 5 years old and younger had greater representation among food insecure households when compared to households that did not contain children aged 5 years old and younger. That said, for each measure of food insecurity, most of the sampled poor urban households were categorized as food insecure on each measure (indicating the widespread prevalence of food insecurity among the sampled households) (Table 2). These findings demonstrate the widespread nature of food insecurity faced by the sampled poor households in cities across Southern Africa. These frequency distributions also indicate that the majority of the sampled households did not contain children aged 5 years old and younger.

Variable	Value	No Cl	nildren	Children	< 5 Years	То	tal
		n	%	п	%	п	%
Food Price Impact	No	825	67.8%	392	32.2%	1217	100%
	Yes	2845	58.7%	1998	41.3%	4843	100%
HFIAP *	Food Secure	707	70.6%	295	29.4%	1002	100%
	Food Insecure	3081	58.8%	2158	41.2%	5239	100%
MAHFP **	12 Months	1368	66.9%	677	33.1%	2045	100%
	<12 Months	2423	58.1%	1749	41.9%	4172	100%

Table 2. Descriptive statistics of household food security by households with children.

\* Household Food Insecurity Access Prevalence. \*\* Months of Adequate Household Food Provisioning.

The odds ratio calculations of household food insecurity and the age of children contained in households validate many of the observations made via descriptive statistics. First, all of the food insecurity variables indicated a statistically significant relationship with households categorized based on the age of the children in the household. Second, households with children aged 5 years old and younger had greater odds of experiencing food insecurity according to each of the food insecurity measures included in this investigation when compared to households without children aged 5 years and younger (Table 3). While no other demographics were controlled in these findings, the results indicate a broad positioning of the sampled households with children in vulnerable conditions. Despite these findings, the odds ratios do not indicate a large increase in the odds of food insecurity based on the child membership in the sampled households. As a result, these findings are better interpreted as descriptive rather than predictive.

95% C.I.						
Variable	O.R.	Lower	Upper	$X^2$	<i>p</i> -Value	N
Food Price Impact **	1.478	1.294	1.689	33.315	< 0.001	6060
HFIAP ** MAHFP **	1.679 1.459	1.450 1.306	1.944 1.629	48.678 44.837	<0.001 <0.001	6241 6217

Table 3. Odds ratio calculations of household food security by households with children.

\*\* *p* < 0.01 on both the Pearson-Chi-Square Test and Fisher's Exact Test (2-Sided).

## 3.2. Research Objective Two

The sampled households with children 5 years old and younger demonstrated limited dietary diversity. The household survey data indicated that these households consumed just over 5 food groups, on average, in the last 24 h. Among the foods consumed in the previous 24 h, the most commonly consumed food types were bread, condiments, sugar, vegetables and oils (Table 4). 70% of the surveyed households with young children did not consume dairy in the previous 24 h. Meats and beans were only consumed by a minority of these households. The surveyed households with young children also favored refined sugars over fruit consumption.

Table 4. Food Items Consumed by Households with Children 5 Years Old and Younger.	HDDS:
Household Dietary Diversity Score.	

HDDS Food Items Consumed in the Last 24 h	п	%
Bread, rice noodles or any other locally available grain	2408	96.9%
Other foods, such as condiments, coffee, tea	1745	70.5%
Sugar or honey	1741	70.1%
Vegetables	1711	69.0%
Foods made with oil, fat, or butter	1404	56.7%
Beef, pork, lamb, goat, rabbit, or other meat/organ meats	990	39.8%
Potatoes, yams, manioc, cassava or other foods made from roots or tubers	846	34.3%
Fruits	740	30.0%
Cheese, yoghurt, milk or other milk products	673	27.2%
Foods made from beans, peas, lentils, or nuts	571	23.1%
Fresh or dried fish or shellfish	495	20.0%
Eggs	465	18.8%

The sampled households also demonstrated a high degree of food insecurity. Only 12% of the sampled households were categorized as food secure on the HFIAP (Household Food Insecurity Access Prevalence), while almost 60% were categorized as severely food insecure. These statistics indicate that food access challenges were a common experience among the sampled households. These findings were confirmed by the frequency with which the households went without food due to food

prices in the last 6 months. Only 16% of the sampled households did not go without food due to rising food price in the last 6 months (Table 5). Finally, over 70% of the sampled households with young children experienced at least one month of insufficient food provisioning the previous year and 8% indicated that they did not have a single month of adequate food provisioning the previous year.

Table 5. Food Securit	y and Food Price Im	pacts Among	Households with	Children 5	Years Old and Under.

HFIAP	п	%
Food secure	295	12.0%
Mildly food insecure access	166	6.8%
Moderately food insecure access	550	22.4%
Severely food insecure access	1442	58.8%
Food Price Impact	п	%
Never	392	16.4%
About once a month	573	24.0%
About once a week	398	16.7%
More than once a week but less than everyday of the week	649	27.2%
Every day	378	15.8%
Months of Adequate Household Food Provisioning	п	%
Zero Months in the Last Year	197	8.1%
One Month in the Last Year	16	0.7%
Two Months in the Last Year	49	2.0%
Three Months in the Last Year	26	1.1%
Four Months in the Last Year	46	1.9%
Five Months in the Last Year	57	2.3%
Six Months in the Last Year	74	3.1%
Seven Months in the Last Year	95	3.9%
Eight Months in the Last Year	164	6.8%
Nine Months in the Last Year	281	11.6%
Ten Months in the Last Year	390	16.1%
Eleven Months in the Last Year	354	14.6%
Twelve Months in the Last Year	677	27.9%

Some key nutritional food items were not accessed by households in the last 6 months due to food prices. The sampled households with young children identified meats, dairy, eggs, fish, and fruits as largely inaccessible in the previous 6 months due to rising food prices (Table 6). This table may provide an explanation for some of the dietary trends observed among these sampled households so far in this investigation. Sugars and condiments were identified by these households as more affordable than meats or fruits. That said, it is likely that other factors like preference or availability may be at play. While vegetables were ranked by these households as the most affordable, this food item was not the most commonly consumed by these households in the previous 24 h.

Those sampled households with children 5 years old and younger also demonstrated challenged access to key infrastructure resources. As an example, approximately 40% of the sampled households indicated inconsistent access to water and medical care in the last year. Of importance to household food security, about 60% of the sampled households also went without consistent access to cooking fuel in the last year. Limited access to cooking fuel limits the potential food items that a household can consume (Table 7). The limited access to these infrastructure services indicate the marginal coping capacity of these households to manage the onset of diseases. As a result, these findings demonstrate the limited adaptive capacity of these households in the face of long-wave nutrition-related health impacts.

Unaffordable Food Types	п	%
Beef, pork, lamb, goat, rabbit, or other meat/organ meats	1310	66.9%
Cheese, yoghurt, milk or other milk products	1237	63.2%
Bread, rice noodles or any other locally available grain	1214	62.0%
Foods made with oil, fat, or butter	1142	58.6%
Eggs	1112	57.5%
Fresh or dried fish or shellfish	1078	55.5%
Fruits	1037	53.6%
Sugar or honey	1000	51.8%
Potatoes, yams, manioc, cassava or other foods made from roots or tubers	982	50.7%
Other foods, such as condiments, coffee, tea	963	50.4%
Foods made from beans, peas, lentils, or nuts	938	48.5%
Vegetables	764	39.3%

Table 6. Unaffordable food	types for households with a	children aged 5 years and younger.

Table 7. Poverty characteristics of households with children aged 5 years and younger.

<b>Resource Access</b>	Access Consistency	n	%
Clean Water	Consistent Access	1500	60.6%
	Inconsistent/No Access	977	39.4%
Medical Care	Consistent Access	1390	57.7%
	Inconsistent/No Access	1021	42.3%
Cooking Fuel	Consistent Access	975	40.2%
Ũ	Inconsistent/No Access	1449	59.8%

#### 4. Discussion

The findings from this investigation indicate that, among the sampled urban households in Southern Africa, households with children aged 5 years old and younger had increased odds of experiencing food insecurity when compared to households that did not contain children in this age bracket. When those households with children aged 5 years old and younger are assessed further, they demonstrated limited dietary diversity, widespread food insecurity, and vulnerability to food price increases with limited access to key urban infrastructure services. As a result, this investigation found that the children living in these households are susceptible to the long-term health implications of limited dietary diversity and inconsistent food access. In addition, the findings from this study identified the limited capacity of these households to manage the nutrition-related health outcomes of their current consumption.

These findings describe the vulnerability context of poor households with young children living in an access-based food swamp [60,61]. While further research will be needed to identify the long-wave health-outcomes of the nutritional patterns observed here, this investigation identified that the current dietary diversity of children growing up in poor urban households across Southern Africa suggests that they are positioned for sub-optimal physical and cognitive development (in addition to long-term nutrient-related diseases) [62–64]. Future longitudinal research should also investigate how the vulnerability context observed here might relate to the onset of communicable diseases as well.

These findings highlight the precarious position of many poor households with young children in Southern African cities and indicate a looming public health threat [60,61]. As Popkin et al. [38] noted, the widespread intake of sugars and saturated fats during infancy has the potential to instigate the onset of non-communicable diseases later in life and speed the epidemiological challenges predicted by both the nutrition transition and the epidemiological transition. Furthermore, the limited capacity of these households to maintain food security increases their vulnerability to communicable diseases like HIV, TB and the new disease-scape of antimicrobial resistant pathogens [26,56]. Given the urban transition underway in Africa over the coming decades [8,9], these vulnerabilities are likely to become exacerbated by poorly planned and implemented urbanization [10,15].

### 5. Conclusions

This study is not alone in suggesting that children are not all receiving sufficient food to develop fully, from conception through to adulthood [60,61]. The issue is not simply one of food availability [16,62], characterized in this paper as urban food swamps; nor is it only the distribution of that food, characterized more broadly in the literature as urban food deserts [51]. At the heart of the urban nutrition discussion is a more complex interplay of economic, social, political, infrastructural and environmental factors that together underpin the vulnerability of children (and adults) to hunger and malnutrition [21].

To end hunger (SDG 2) and to ensure health and well-being for all people (SDG 3), the international development agenda has to focus on food and nutrition security in urban areas, where the majority of people already live—or in the case of Africa—will live within the coming decade. Yet, with high levels of food and nutrition poverty in cities of the Global South (and Sub-Saharan Africa in particular), and with the long-term, negative impacts of poor-quality diets and resultant malnutrition on children, the very basis of much needed human capital to achieve sustainable development is undermined. On this specific point, Ogundaria and Awokuseb [63] argue that health is an even more important determinant than education in human capital development in Sub-Saharan Africa and is a crucial component of economic growth.

The urgency of childhood malnutrition cannot be overstated within the broader sustainable development debate [64] and further research that considers the prevalence of urban malnutrition in the context of adequate aggregate food supply is important within the broader food and nutrition security policy arena. Finally, as argued in this paper, while access to food affects nutrition outcomes (as in the case of food deserts), the ubiquitous presence of cheap, industrially manufactured food products (referred to as food swamps in this paper) has serious negative health implications for all people, but especially for children. Both research and policy must focus on food quality and not just availability and access to food.

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