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# Operational Performance and Degradation Influenced Life Cycle Environmental–Economic Metrics of mc-Si, a-Si and HIT Photovoltaic Arrays in Hot Semi-arid Climates

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**Abstract:** Life cycle metrics evolution specific to the climate zone of photovoltaic (PV) operation would give detailed insights on the environmental and economic performance. At present, vast literature is available on the PV life cycle metrics where only the output energies ignoring the degradation rate (DR) influence. In this study, the environ-economic analysis of three PV technologies, namely, multi-crystalline silicon (mc-Si), amorphous silicon (a-Si) and hetero-junction with an intrinsic thin layer (HIT) have been carried out in identical environmental conditions. The energy performance parameters and the DR rate of three PV technologies are evaluated based on the monitored real time data from the installation site in hot semi-arid climates. The assessment demonstrates that the HIT PV module technology exhibits more suitable results compared to mc-Si and a-Si PV systems in hot semi-arid climatic conditions of India. Moreover, energy metrics which includes energy payback time (EPBT), energy production factor (EPF) and life cycle conversion efficiency (LCCE) of the HIT technologies are found to be 1.0, 24.93 and 0.15 years, respectively. HIT PV system has higher potential to mitigate the CO<sub>2</sub> and carbon credit earned compared to mc-Si and a-Si PV system under hot semi-arid climate. However, the annualized uniform cost (UAC) for mc-Si (3.60 Rs/kWh) and a-Si (3.40 Rs/kWh) are more admissible in relation to the HIT (6.63 Rs/kWh) PV module type. We conclude that the approach of considering DR influenced life cycle metrics over the traditional approach can support to identify suitable locations for specific PV technology.

**Keywords:** photovoltaic systems; PV cells; amorphous silicon; HIT; crystalline solar cells; degradation rate; life cycle assessment; life cycle metrics; energy payback time; annualized uniform cost

## 1. Background

The world is moving towards carbon emission reduction from the environment. After the Paris agreement in 2015, it was decided to maintain an earth environment average temperature increase

below 2 °C above pre-industrial levels and undertake rapid global emission reductions [1]. Now, global warming is one of the biggest challenging problems for the earth's environment. Energy sector is the one that greatly affects the earth's environment and has a considerable share of global carbon emission. In recent years, energy sector had come up with green and sustainable pathways for energy generation. Among them, the use of renewable fuels for energy generation are given utmost priority. Out of all the renewables, photovoltaic (PV) is in the forefront and has gained global attention. PV is one of the promising technologies that can generate clean energy without releasing harmful gases into the environment. In the 21st Century, the demand for PV system installations is increasing worldwide. The total installed capacity of different PV systems has increased up to 415 GW till August 2018 [2]. Similarly, India has increased its installed capacity for grid-connected and off-grid-connected PV systems up to 24,582.23 MW and 843.11 MW, respectively, as of 30 March 2017 [3,4]. Although, the PV installations have seen a tremendous progress in India, but many worried about their practical performance. It is observed that, the PV plants operates with low performance ratio (PR) than the actual PR. Weather parameters are the primary reasons for lower PR. In addition, the weather parameters are responsible for the performance degradation in PV modules. Nowadays, degradation of different technologies' PV modules is one of the major issues to increase their lifetime. The degradation rate (DR) of power in a multi-crystalline silicon (mc-Si) PV module is found to be 1.45–3.41%/year after 3 years of outdoor exposure in Morocco. It generally happens due to a decrease in open circuit voltage (Voc) and fill factor (FF). Perhaps, it also decreases due to a hot spot; meanwhile, the shunt resistance increases [5]. The DR in amorphous silicon (a-Si) PV module is found to be 1%/years cause of annealing effect due to change in the seasonal spectra [6]. Overall, it is understood that electricity produced from the PV plants is not constant and on long run there will be decline in a produced electricity.

In this context, while evaluating the performance of PV plant, it is important to consider the effective electrical energy outputs. It is also important to consider the amount of energy that is spent in the life cycle process of the PV plant while evaluating its performance. PV module manufacturing required many components, which are highly energy intensive. The magnitude of energy consumed by all processes involved in the manufacturing of system components is known as embodied energy. It includes all the energy consumed by the components during micro and macro level processes [7]. The manufacturing of different PV components contributes to huge energy consumptions and emissions [8]. Hence, in this study, we aim to evaluate the environ-economic feasibilities of the three different PV technologies based on the degradation influenced life cycle metrics. Experimental results are presented based on the real time monitored data from the actual field condition.

The focus of the present study is the following:

- To perform the energy performance and possible degradation in energy for mc-Si, a-Si and HIT PV module technologies.
- To perform the life cycle assessment of the mc-Si, a-Si and HIT PV module technologies considering their energy performance with degradation rate under hot semi-arid climate of India.
- To identify the suitability of three different PV technologies operating under the same environmental conditions.

In this context, an experimental test facility of a-Si, HIT and mc-Si PV system has been set up under joint collaboration with the National Institute of Advanced Industrial Science and Technology (AIST), Japan, and the Ministry of New and Renewable Energy (MNRE), Government of India during 2012. The present research area has not been explored yet for different PV systems; therefore, the present study will not only provide the inputs for the decentralized power generation applications but also help in formulating a policy for the promotion of PV systems in the region. According to the mathematical and methodological guidelines presented in Sections 4 and 5, the work is organized.

## 2. Literature Review

In the literature, many reported the life cycle metrics of commercial photovoltaic systems. For example, Pacca et al. [9] investigated two types of technologies, namely a-Si and mc-Si; and the results show the energy payback time (EPBT) and carbon dioxide (CO<sub>2</sub>) emission of the a-Si and mc-Si are 1.6, 5.7 years and 34.3 and 72.4g of CO<sub>2</sub>/kWh, respectively. Ito et al. [10] reported the results of sc-Si and mc-Si PV technologies in real operating field conditions. The EPBT and CO<sub>2</sub> emission of sc-Si and mc-Si are found to be 1.5 years, 15.5 years and 9.4 g-C/kWh, 91 g-C/kWh, respectively [11]. The CO<sub>2</sub> emission from the PV module is one fourth in comparison to the fossil fuels [12]. Battisti and Corrado [13] suggested that the environmentally friendly products help to mitigate the CO<sub>2</sub> emission at the time of the PV module component manufacturing process. A 100 MW crystalline silicon (c-Si) PV system has been used in the Gobi Desert. Further, CO<sub>2</sub> emission and EPBT were found to be 12 g C/kWh and 1.7 years, respectively [14]. Akinyele et al. [15] compared the results of 1.5 kW PV systems among the six different climatic conditions. The global warming potential and EPBT for the system was found to be 1907 kg CO<sub>2</sub> and 0.83 years, respectively, based on the Nigerian country's northeast zone. Moreover, the life cycle conversion efficiency (LCCE) analysis of 2.32 kW PV systems for climatic conditions of India has been done after 20 years of field exposure. For the system, unit price of the electricity is 61.91 Rs/kWh, EPBT is 18.93 years and net CO<sub>2</sub> mitigation is 25.80 tCO<sub>2</sub>e [16].

The a-Si PV module requires less embodied energy compared to other PV technologies, but efficiency is low. Nevertheless, the EPBT of a-Si has a lower value of 2.5–3.2 years [17]. EPBT for mono crystalline silicon PV module using different degradation rate has been calculated by Rajput et al. [18] in hot semi-arid climatic conditions. They revealed that EPBT are 8.80 and 9.29 years for degradation rate of 0.3%/year and 0.9%/year, respectively. Similarly, performance of various PV technologies that include a-si, micromorph silicon, single crystalline silicon (sc-Si), mc-Si, copper indium gallium selenide (CIGS), and cadmium telluride (CdTe) has been compared and CdTe technology found to be best in terms of EPBT [19]. The EPBT of PV systems varies from 3.6–4.9 years and greenhouse gas emission ranges from 35–58 g CO<sub>2</sub>e/KWh. Initially, EPBT of PV module was reported 40 years by [20] in actual outdoor conditions. Further, the EPBT for rooftop and the ground-mounted PV system was found to be 2.5–3 years and 3–4 years, respectively. The CO<sub>2</sub> emission occurs in production of a rooftop PV system about 50–60 g/kWh and it may decrease up to 20–30 g/kWh [21]. Different PV systems have been compared and analyzed worldwide by Rodrigues et al. [22].

Further, two case studies based on 1 kW and 5 kW PV systems have been carried out and concluded that Germany and India are the most suitable countries to install a 1 kW PV system while Italy is the most suitable for a 5 kW PV system. Mason et al. [23] have explored the performance of mc-Si of 3.5 MW at Tuscon city. They found that the greenhouse gas emission throughout the life and EPBT are 29 kg CO<sub>2</sub> eq./m<sup>2</sup> and 0.21 years, respectively. An environ-economic analysis and energy matrix of PVT air collector have been studied by Agrawal and Tiwari [24]. They have concluded that EPBT for system is 1.8 and 7.8 years, in terms of thermal energy and exergy, respectively. Moreover, the annualized uniform cost on the basis of exergy and energy was found to be 15.7 Rs/kWh and 3.6 Rs/kWh, respectively. Bouaichi et al. [25] have taken 76 PV modules which are copper indium gallium selenide (CIS), micromorph, mono crystalline (m-Si), and poly crystalline (p-Si) for degradation analysis and found higher degradation rate compared to that given by manufacturers.

Few studies explored the life cycle analysis of various technologies related to renewable energy such as solar thermal, PV, and biomass [24,26–31]. Considering the PV studies. shown in [9–16,18–25], many have investigated the life cycle metrics such as EPBT, CO<sub>2</sub> emission, and cost details for various PV technologies where the influence of degradation, which is an essential performance indicator in energy assessment of PV, is not considered. In PV technologies, the degradation is possible which affects the overall energy generation process. Studies reveal that degradation in PV could vary based on the climate and PV technology, and the severity of occurred vulnerability (either internal or external) [17,18,25,32]. Rajput et al. (2019) explored the failure mechanism of PV modules and their impact on degradation [32]. On the other side, Kumar et al. (2019) investigated the degradation which

influenced revenues from the PV systems and it is suggested that the degradation will reduce the financial gains in the long run [33].

Even after realizing the effects of degradation over the PV performance, the consideration of this key parameter while evaluating life cycle metrics is given less priority. Hence, in this study, energy performance with degradation rate evaluation is given a priority before the life cycle metrics evaluation. An environ-economic assessment of the three different technologies has been carried out based on the degradation influenced life cycle metrics. The methodological guidelines based on international standards are used and these guidelines can be found in [31,34].

### 3. Description of the mc-Si, HIT and a-Si PV Systems

The three different technologies of PV systems: a-Si, HIT, and mc-Si have been installed during 2012 on mild steel fixed structures facing south with an inclination of  $28^\circ$  at the National Institute of Solar Energy (NISE), Gurgaon (Latitude  $28^\circ 37' N$ , Longitude  $77^\circ 04' E$ ) (see in Figure 1).



**Figure 1.** Experimental photovoltaic test facility at NISE, Gurgaon, India: (a). 1.2 kW Amorphous Si PV system; (b). 1.68 kW HIT PV system; (c). 1.6 kW Multi-crystalline silicon PV system.

The site is having monthly ambient air temperature varying from 11 to  $35^\circ C$  and monthly global irradiation on horizontal plane varying from 2.6 to  $6.1\text{ kW h/m}^2$  a day [35]. The total installed capacity of mc-Si PV system, a-Si and HIT PV system are 1.6 kWp, 1.2 kWp and 1.68 kWp, respectively. The rated capacity of a-Si PV module is 75 Wp each and all 16 a-Si PV modules connected in 4 parallel strings of 4 modules in series. The rated capacity of the HIT PV module is 210 Wp each, total 8 PV modules of HIT connected in 2 parallel strings having 4 modules in series. Similarly, the rated capacity of mc-Si PV module is 160 Wp and all 10 PV modules are connected in 2 parallel strings with 5 PV modules in series.

Figure 2 shows the schematic layout of the data recorded facility for three PV systems. The data logger stored the I-V characteristic curves with maximum power of each technology after 10-min interval. The meteorological data recording instruments are established at roof of the control room as shown in Figure 3. The accuracy of the measuring instruments used in data monitoring from the filed deployed PV systems is shown in Table 1. These instruments recorded the data for every 10-min interval by the Campbell Scientific Data logger CR-1000. The recorded data includes current in Amps, voltage in Volts, solar irradiance in  $\text{kWh/m}^2$ , ambient and module temperatures in  $^\circ C$ , wind speed in m/s, and wind direction.

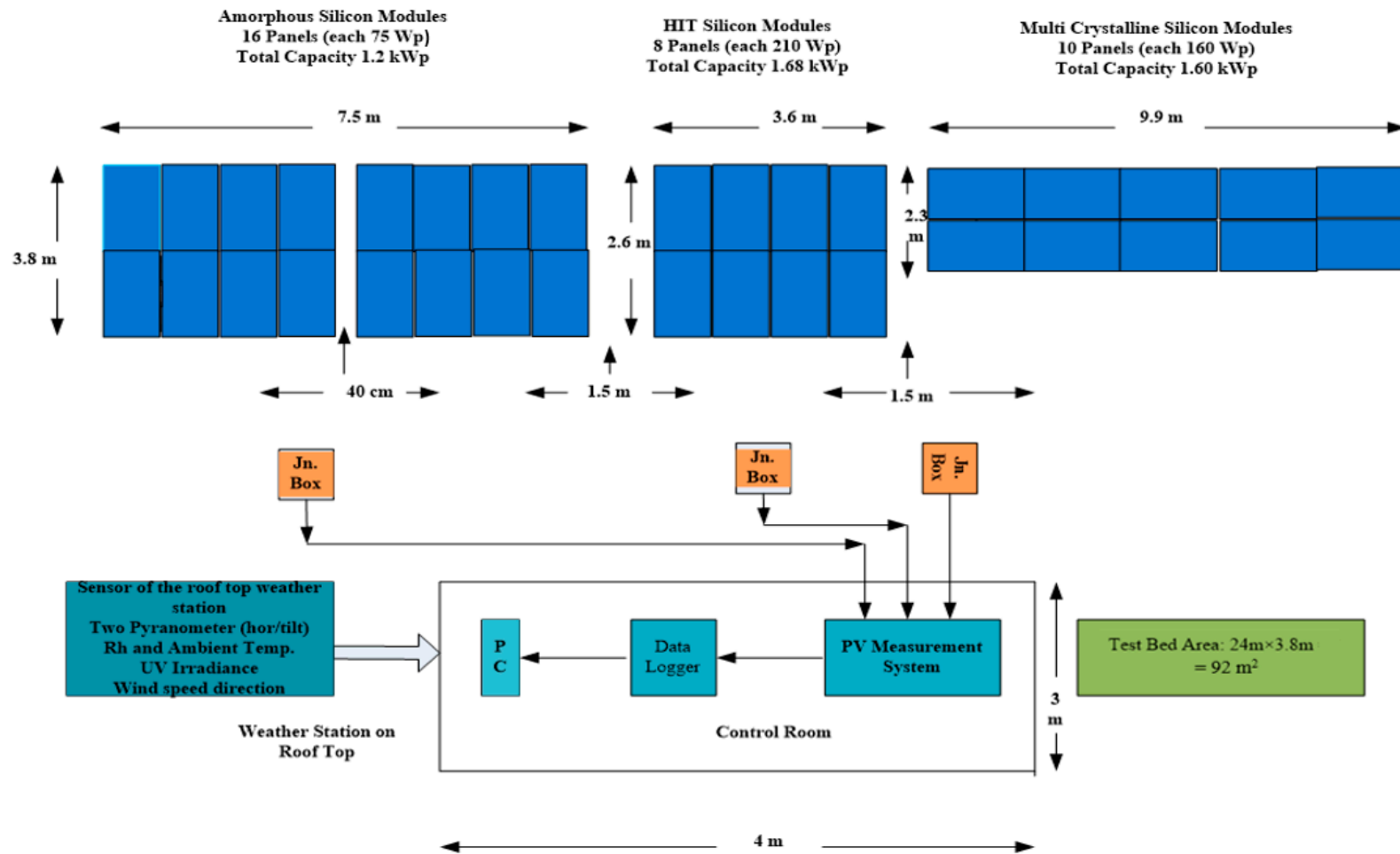


Figure 2. Schematic photovoltaic test facility at NISE, Gurgaon, India.

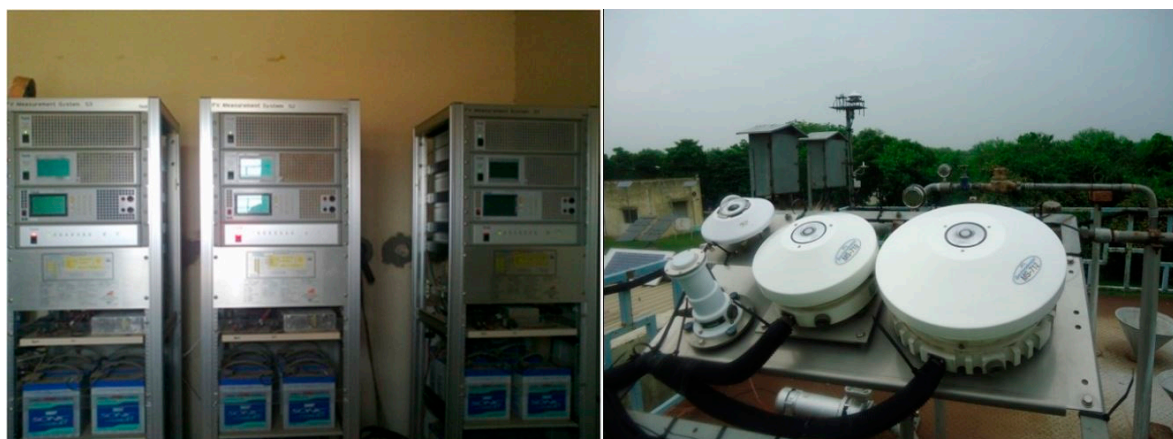


Figure 3. PV measurement system and meteorological data recording facilities.

Table 1. Accuracy of parameter measuring instruments/equipment.

Characterization	Instrument	Accuracy
I-V Characteristics	Campbell Scientific data logger CR-1000	Voltage < $\pm 1\%$ , Current < $\pm 1\%$ Irradiance $\pm 3\%$ Temperature $\pm 5\%$
MS-802-C	Pyranometer	Sensitivity— $7 \mu\text{V}/\text{W}/\text{m}^2$ Impedance— $650 \text{ Ohm}$ Linearity— $\pm 0.5\%$ from 0 to $2800 \text{ W}/\text{m}^2$

#### 4. Operational Performance and Life Cycle Metrics

This section presents the life cycle indicators used in the present study to perform the comparison of three PV module technologies as per environmental and economic aspects. The energy performance evaluation majorly includes the energy outputs, performance ratio (PR), and degradation rate (DR). The life cycle evaluation majorly includes calculation of LCCE, EPBT, and EPF. Further, the CO<sub>2</sub> emission and mitigation along with carbon credit are used to analyze environmental aspects. The end-of-year UAC is used to investigate on economic viability of the system. It is important to note the payback and environmental mitigation indicators depend on the operating conditions of the PV technologies.

##### 4.1. Performance Ratio (PR) and Degradation Rate (DR)

The yearly electricity generation from the PV system is influenced by many factors such as temperature, wind speed, fault condition, shading and others. Performance ratio (PR) is an indicator that is widely accepted and used for assessing the quality of PV system operation. PR generally takes into account every external and internal vulnerability that affects the PV performance. Mathematically, it is expressed as follows [36–38]:

$$\text{PR} = \frac{\left(\frac{E_{out,y}}{P_{rated}}\right)}{\left(\frac{G_{poa,y}}{G_o}\right)} \quad (1)$$

where, the  $\left(\frac{E_{out,y}}{P_{rated}}\right)$  represents the final yield that is amount of electricity produced from the PV plant ( $E_{out,y}$ ) to its rated peak capacity ( $P_{rated}$ ); the ratio,  $\left(\frac{G_{poa,y}}{G_o}\right)$  represents the reference yield that is the amount of daily irradiance available on the plane of array ( $G_{poa,y}$ ) to the reference irradiance ( $G_o$ ).

For evaluating the degradation rate of any PV technology, there exist few methods in literature. These methods generally consider the time series performance ratio data [36–38]. Methods such as

Linear Regression (LR), Classical Seasonal Decomposition (CSD), Auto Regressive Integrated Moving Average (ARIMA), and Locally Weighted Scatterplot Smoothing (LOESS) widely followed [39–41]. In this study, degradation rate of three PV technologies is evaluated based on the regression analysis. The DR of any PV technology considering the time series data of performance ratio is given as follows [42,43]:

$$DR = \frac{m \times 12}{c} \quad (2)$$

where,  $m$  and  $c$  represent the regression coefficients. To make it more specific,  $m$  is slope and  $c$  is  $y$ -intercept of the performance ratio to the time; and  $y = mx + c$ .

#### 4.2. Energy Payback Time

The EPBT indicates the time required to generate energy by the PV system annually to the energy used to produce the system itself [34]. The EPBT is given by:

$$EPBT = \frac{E_{input}}{E_{out,y}} \text{ [years]} \quad (3)$$

where,  $E_{out,y}$  is the yearly electricity generation from the PV system ( $\text{MJ}/\text{m}^2$ );  $E_{input}$  is the embodied energy (primary energy demand) ( $\text{MJ}/\text{m}^2$ ). Embodied energy includes energy required in manufacturing processes involved in making the product, construction process, use and end-of-life stage, defined as:

$$E_{input} = E_{mat} + E_{manuf} + E_{trans} + E_{inst} + E_{EOL} \text{ [MJ}/\text{m}^2] \quad (4)$$

where,  $E_{mat}$  is the primary energy demand for the producing materials;  $E_{manuf}$  is the energy required in manufacturing of PV system;  $E_{trans}$  is energy required in transporting the materials;  $E_{inst}$  is energy required in installation of PV system and  $E_{EOL}$  is energy required for its management at the end-of-life.

Therefore, any system will be useful if the energy generation during the whole life is higher than the energy applied in the manufacturing process [21].

#### 4.3. Energy Production Factor (EPF)

EPF, also noted as Energy Return on Investment (EROI), is the ratio between the electricity generation over the lifetime and the energy quantity invested for the chain of manufacturing processes, defined as [44]:

$$EPF = \frac{E_{out}}{E_{input}} = \frac{E_{out,y} * T}{E_{input}} \quad (5)$$

where,  $T$  = life of the PV system (year).  $EPF > 1$  means the electricity from the PV system is more than the energy required for the manufacturing processes of equipment. If EPF is more than 1 then only the system is feasible, otherwise it is not worth it from an energy point of view. The EPF is inversely proportional to the EPBT, but unlike by the EPBT, it takes into account the performance of the PV system over the lifetime.

#### 4.4. Life Cycle Conversion Efficiency (LCCE)

LCCE is the ratio of net energy generation of PV system with respect to the solar radiation over the lifetime of PV system [34] given by

$$LCCE = \frac{E_{out} - E_{input}}{E_{sol} * T} \quad (6)$$

where,  $E_{sol}$  = yearly solar irradiation [ $\text{MJ}/\text{m}^2$ ]. The value of LCCE should be less than but close to 1 for efficient power plant.

#### 4.5. CO<sub>2</sub> Emission, Mitigation and Carbon Credit

It is noted that PV system is found to be environment friendly in comparison to other sources of electrical energy. The environmental impact can be evaluated by the CO<sub>2</sub> emission over the lifetime [34], as follows:

$$CO_2 \text{ emission} = E_{input} * \frac{1}{1 - L_a} * \frac{1}{1 - L_{td}} * 0.98 \text{kg} [\text{kgCO}_2] \quad (7)$$

where,  $L_a$  and  $L_{td}$  are the losses during the transmission and distribution, respectively. Practically, 0.98 kgCO<sub>2</sub>/kWh is the average CO<sub>2</sub> emission for electricity generation from coal [16]. Therefore, the net CO<sub>2</sub> mitigation over the life of the system can be calculated as:

$$\text{Net CO}_2 \text{mitigation} = (E_{out} - E_{input}) * \frac{1}{1 - L_a} * \frac{1}{1 - L_{td}} * 0.98 \text{kg} [\text{kgCO}_2] \quad (8)$$

The carbon credit represents the permitted amount of carbon emissions to a country and can be exchanged by credits. The CO<sub>2</sub> emission is traded at € 21/tCO<sub>2e</sub> (European Climate Exchange), so the carbon credit earned by the PV system is obtained as:

$$\text{Carbon credit} = (E_{out} - E_{input}) * \frac{1}{1 - L_a} * \frac{1}{1 - L_{td}} * 0.98 * 10^{-3} \text{t} * \text{€} \frac{21}{\text{tCO}_2\text{e}} [\text{€}] \quad (9)$$

#### 4.6. Uniform End-of-Year Annual Cost

An economic evaluation has been performed using the net present value of the PV system. The UAC gives the information about the cost per unit electricity in kWh over the lifetime of a PV system. It can be calculated as [45]:

$$UAC = \frac{\text{uncost}}{E_{out}} [\text{€/kWh}] \quad (10)$$

where, the annual uniform cost (uncost) is given by:

$$\text{uncost} = NPV * F_{CR,L,n} [\text{€}] \quad (11)$$

The Net Present Value (NPV) is the present value of system that can be computed as:

$$NPV = P + O\&M * F_{CR,i,n} + R * F_{SF,i,n} - S * F_{SF,i,n} [\text{€}] \quad (12)$$

with  $P$  as the capital cost of the total costs of the investment to implement the system;  $O\&M$  is the annual cost for the operation and maintenance;  $R$  is the annual cost to replace components;  $S$  is the cost for the decommissioning system;  $i$  and  $n$  are the rate of interest and life of the PV system, respectively.

A capital recovery factor  $F_{CR,L,n}$  converts a present value into a stream of equal annual payments over a specified time at a specific discount rate (interest). The sinking fund factor  $F_{SF,L,n}$  provides the payment for each year at a given rate of interest to get a specified sum at some given future time period [46].

$$F_{CR,i,n} = \frac{i(i+1)^n}{(1+i)^n - 1} \quad F_{SR,i,n} = \frac{i}{(1+i)^n - 1} \quad (13)$$

where,  $F_{CR,i,n}$  is change present cost into UAC and  $F_{SR,i,n}$  is convert future cost into UAC [47].

## 5. Methodology

The life cycle metrics for three technologies have been calculated by using the actual energy yield in kWh of each installed technology. The irradiation data has been collected during the operation condition of PV plants in the years 2016–2017. To evaluate the embodied energy referred to three different PV technologies, the data per kWp module has been considered, as given in Tables 2–4. Furthermore, the annual primary energy demands for operation and maintenance have been considered



the same for all PV panels, so it is neglected in the comparison. Performance ratio and degradation rate of three PV technologies are evaluated using Equations (1) and (2).

**Table 2.** Embodied energy of different components used in mc-Si PV system [16,28,46].

Components	Items	Quantity	Total Weight	Embodied Energy Density (MJ/Kg)	Total Embodied Energy MJ/kWh	
PV module (Silicon purification, Processing Cell fabrication and Module assembly)	mc-Si	10.00	22.77 m <sup>2</sup>	20,720.70		
PV module supported stand	Mild steel	1.00	80.00	34.20	2736.00	760.00
	Nuts/bolts/screws	54.00	1.50	31.06	46.599	12.94
	Paints	2.00	1.00 L	90.40	90.40	25.11
Cable	Copper wire		4.00	110.19	440.76	122.43
Total embodied energy in kWh						21,641.18

**Table 3.** Embodied energy of different components used in a-Si PV system [16,28,46].

Components	Items	Quantity	Total Weight	Embodied Energy Density (MJ/Kg)	Total Embodied Energy MJ/kWh	
PV module (Silicon purification, Processing Cell fabrication and Module assembly)	a-Si	16.00	26.98 m <sup>2</sup>	378.00		
PV module supported stand	Mild steel	1.00	100.00	34.20	3420.00	950.00
	Nuts/bolts/screws	64.00	2.0	31.06	62.12	17.25
	Paints	2.00	1L	90.40	90.4	25.11
Cable	Copper wire		4.00	110.19	440.76	122.43
Total embodied energy in kWh						11,313.23

**Table 4.** Embodied energy of different components used in HIT PV system [16,28,46].

Components	Items	Quantity	Total Weight	Embodied Energy Density (MJ/Kg)	Total Embodied Energy MJ/kWh	
PV module (Silicon purification, Processing Cell fabrication and Module assembly)	HIT	8.00	9.36 m <sup>2</sup>	1862.24		
PV module supported stand	Mild steel	1.00	70.00	34.20	2394.00	665.00
	Nuts/bolts/screws	36.00	1.20	31.06	37.27	10.35
	Paints	2.00	1.00 L	90.40	90.40	25.11
Cable	Copper wire		3.00	110.19	330.57	91.82
Total embodied energy in kWh						2654.92

In order to investigate the life cycle parameters, the present analysis considers the end of life as 30 years and a linear degradation rate of the PV module of 0.5%/year. The EPBT, FPF, LCCE have been evaluated by Equations (3), (5) and (6). In order to determinate CO<sub>2</sub> emission, mitigation and carbon credit with the help of Equations (7)–(9), it has been assumed 1 € can be converted in rupees by multiplying the current euro rupee conversion factor 1 € = 78 Rs (March, 2019). The cost break-up of installed a-Si, HIT and mc-Si PV systems is given as Rs 54800, 148400 and 73200 without taking the cost of area in which PV systems are installed, which can be seen Table 5. For LCCE calculation, the annual repair cost and salvage value have been taken as 10% and 5% of the capital cost, respectively. The replacement cost was considered null. The Indian government used to promote the renewable energy installations, so low interest rate loans are available through banks which can be considered as 5% [16].

**Table 5.** Cost of different components for mc-Si, a-Si and HIT PV systems.

Cost Component (Rs)	mc-Si	a-Si	HIT
PV modules	67,200	48,000	142,800
Standing	3200	4000	2800
Cable	2800	2800	2800
Total cost	73,200	54,800	148,400

The life cycle cost evaluation is also carried out by the help of expected PV generation data. The expected PV energy output over the lifetime  $E_{out,ex}$  can be calculated as [44]:

$$E_{out,ex} = E_{sol} * \eta_{PV} * PR * T \text{ [MJ]} \quad (14)$$

where,  $E_{sol}$  is average solar irradiation over system's lifetime ( $MJ/m^2$ );  $\eta_{PV}$  is PV module energy harvesting efficiency [%]; PR is performance ratio [%]; T is expected life of the PV system (year).

Further, a sensitivity analysis has also been carried out through varying the degradation rate of the PV module between 0.5–1.5%/year, as well as the interest rate in the range of 5–15%.

The flow chart diagram given in Figure 4 summarizes the approach adopted to perform the comparison study for three PV module technologies.

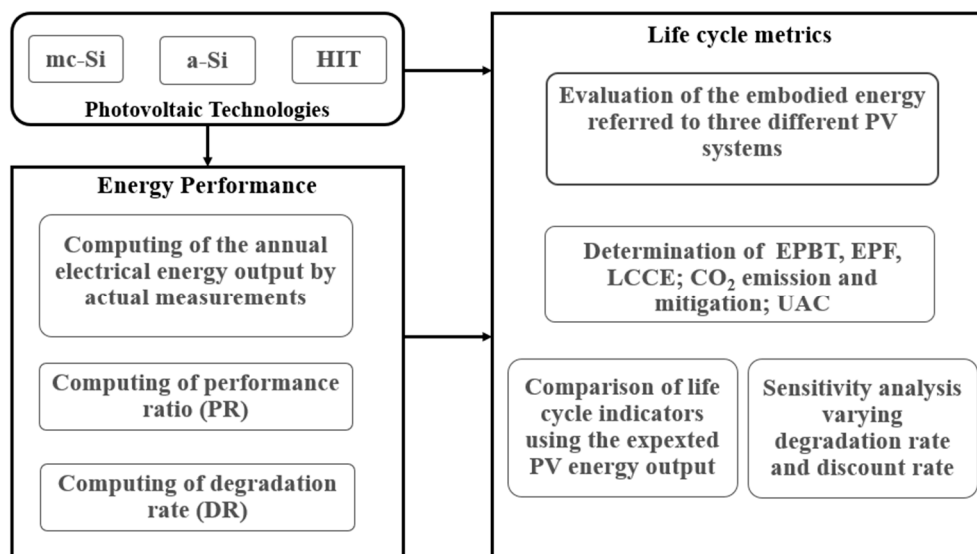


Figure 4. Methodology for life cycle environmental – economic analysis.

## 6. Results and Discussion

The experimental output from mc-Si (1.6 kWp), a-Si (1.2 kWp) and HIT (1.68 kWp) PV systems is analyzed during 2016–2017 from January to December. The yearly solar irradiance is found to be  $1734.13 \text{ kWh}/m^2$ . On-field, the annual electrical output of the mc-Si, a-Si and HIT PV systems is measured as 2408.41 kWh, 1904.81kWh and 2648.3kWh.

The monthly average energy output and irradiance are shown in Figure 5. Here, the final energy yield is considered to compare three PV systems. It can be seen that the energy output from three PV plants is different under the same solar irradiance. Such differences could be due to faults of various components of PV systems during the operating conditions.

The performance ratio is depicted in Figure 6. The a-Si PV system shows high performance from May to September. During the winter season, the best performance is provided by the HIT PV system. On annual basis, the PR is found of 86.95%, 91.05% and 91.42% for the mc-Si, a-Si and HIT PV systems, respectively. The low-light performance and temperature dependency are the major reasons for PR variation with respect to PV modules that are exposed to similar weather conditions. Among the three PV modules, the PR of the HIT PV is very high due to the positive temperature characteristics of heterojunction solar cells. The temperature co-efficient of the HIT PV module is  $-0.258\%/^{\circ}C$  which significantly have less effect on the overall performance degradation. In the case of mc-Si, the temperature co-efficient is around  $-0.5\%/^{\circ}C$ , which indicates the effect of temperature is very high on the performance degradation [48].

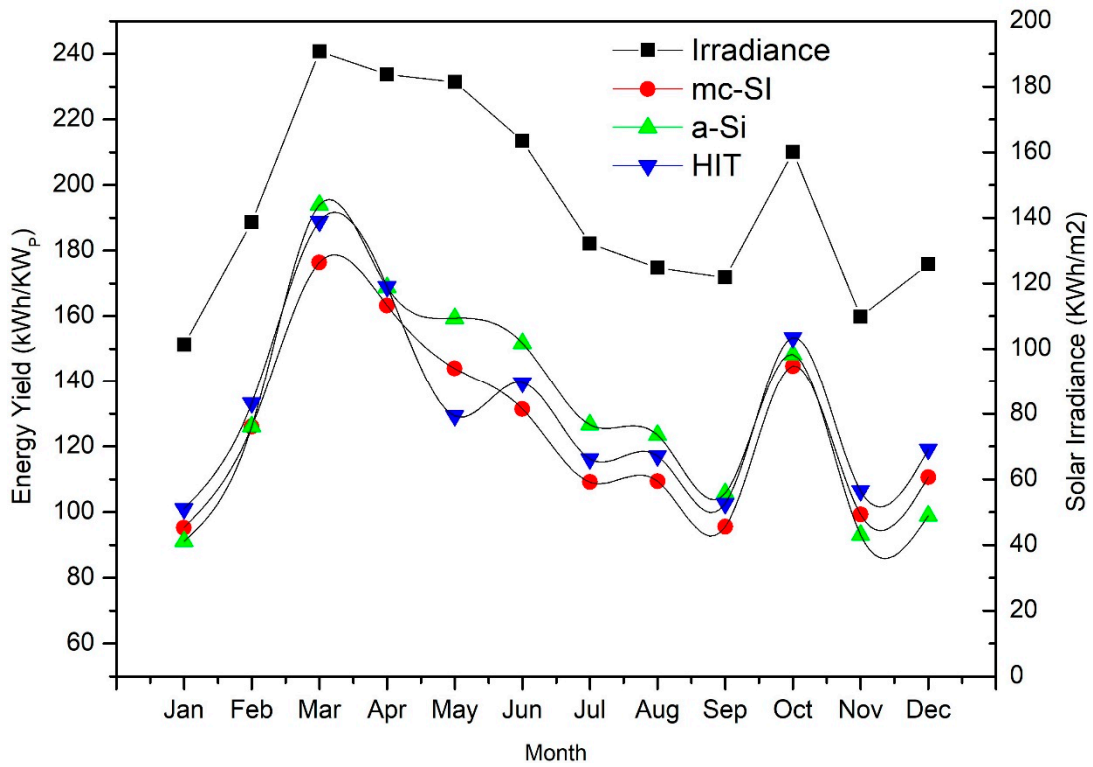


Figure 5. Energy final yield VS Solar Irradiance for mc-Si, a-Si and HIT PV systems.

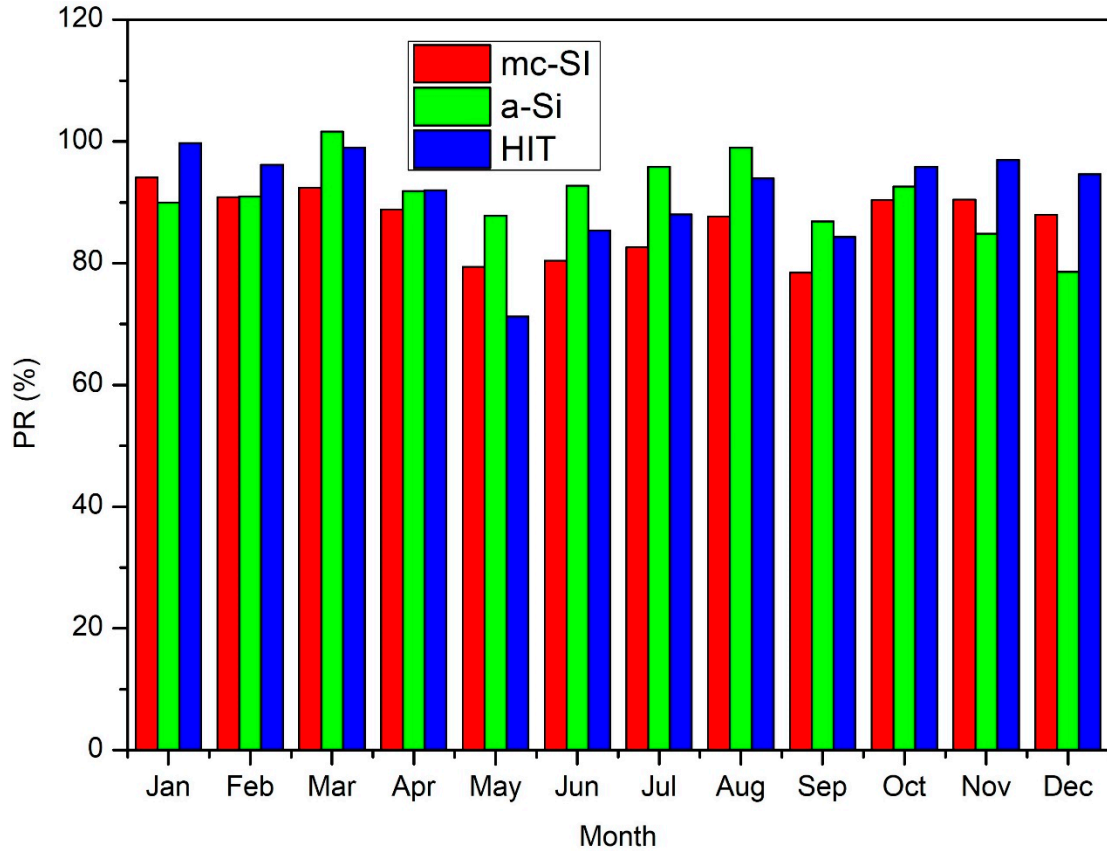


Figure 6. The performance ratio for mc-Si, a-Si and HIT PV systems.

The total embodied energy for the PV systems is found to be 21,641.18 kWh, 11,313.23 kWh and 2654.92 kWh, respectively (Tables 2–4). The embodied energy of the HIT PV system is definitely lower in comparison to a-Si and mc-Si PV systems.

Table 6 shows the main results of the life cycle parameters for mc-Si, a-Si and HIT technologies, by using the actual field performance data for each technology computed over the lifetime. The EPBT for mc-Si, a-Si and HIT technologies calculated as 8.98 years, 5.93 years and 1.0 years respectively. Equation (5) is used to obtain the EPF as 3.34 (mc-Si), 5.05 (a-Si) and 29.93 (HIT). The LCCE for all three PV systems is found to be 0.04, 0.03 and 0.16. It is evident that the short EPBT and high EPF and LCCE demonstrate that HIT PV system represents the best agreement between the returned and invested energy with respect to mc-Si and a-Si PV systems.

**Table 6.** Life cycle indicators for mc-Si, a-Si and HIT PV systems.

Life Cycle Indicators	Units	mc-Si	a-Si	HIT
Total surface modules	m <sup>2</sup>	22.77	26.98	9.36
Nominal power	kWp	1.60	1.20	1.68
Module efficiency	%	15.8	7.6	17.3
Actual annual energy output	kWh	2408.41	1904.81	2648.30
PR	%	86.8	91.5	90.9
Energy output over the lifetime	kWh	72252	57144	79449
Embodied energy	kWh	21,641.18	11,313.23	2654.92
EPBT	year	8.98	5.93	1.0
EPF	-	3.34	5.05	29.93
LCCE	-	0.04	0.03	0.16
CO <sub>2</sub> emission	Kg	44.1	23.1	5.4
net CO <sub>2</sub> mitigation	tCO <sub>2</sub>	103	93	157
Carbon credit	€	2168	1963	3290
	R₹	169,118.0	153,145.0	256,609.0

CO<sub>2</sub> emission over the lifetime of the PV system is evaluated by using Equation (7) and found to be 44.1, 23.1 and 5.4 for mc-Si, a-Si and HIT PV systems respectively. Further, the net CO<sub>2</sub> mitigation for mc-Si, a-Si and HIT PV systems calculated as 103, 93 and 157 tCO<sub>2</sub>, respectively. Carbon credit earned is found to be Rs 169,118, Rs 153,145 and Rs 256,609 for all three PV technologies. They were directly affected by the embodied energy of each system.

The life cycle cost analysis is carried out for PV systems for a lifetime of 25 years and 5% interest rate in order to estimate the cost per unit electricity, generated by PV systems in terms of Rs/kWh. The component cost of the installed mc-Si, a-Si and HIT is given in Table 5 and based on the cost data, the NPV, uncost and UAC which are obtained by using Equations (10)–(12). Results for the cost analysis for mc-Si, a-Si and HIT PV systems have been shown in Table 7. The cost per unit electricity in kWh over the lifetime is found to be 5.67 R₹/kWh, 5.37 R₹/kWh and 10.46 R₹/kWh for mc-Si, a-Si and HIT PV systems, respectively.

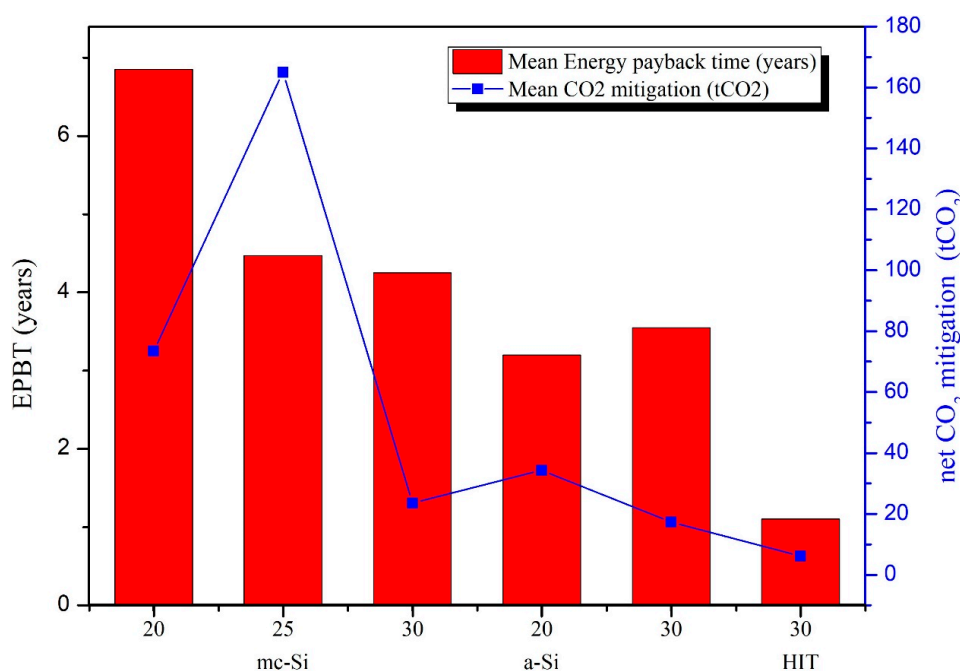
**Table 7.** Cost analysis for mc-Si, a-Si and HIT PV systems.

Cost Parameters	Units	mc-Si	a-Si	HIT
Capital cost	R₹	73,200	54,800	148,400
Maintenance cost	R₹	7320	5480	14,840
Replacement cost	R₹	-	-	-
Decommissioning cost	R₹	3660	2740	7420
NVP	R₹	102,480	76,720	207,760
Uncost	R₹	409,920	306,880	831,040
UAC	R₹/kWh	5.67	5.37	10.46

Previous works were collected as shown in Table 8. A comparative analysis of EPBT and CO<sub>2</sub> mitigation of different PV technologies installed in various locations demonstrates longer EPBT and higher CO<sub>2</sub> mitigation for the mc-Si than a-Si and HIT (see Figure 7). The technologies of the present study show EPBT and CO<sub>2</sub> mitigation according with results coming from the previous study.

**Table 8.** EPBT and CO<sub>2</sub> mitigation comparison of different PV module technologies.

Technology	Location	Total Capacity of Installed PV Module (kW)	Lifetime (Years)	Energy Payback Time (Years)	CO <sub>2</sub> Mitigation (tCO <sub>2</sub> )	Ref.
a-Si	US	33	20	3.2	34.3	[9]
a-Si	China	100 × 10 <sup>3</sup>	30	2.2	15.6	[10]
mc-Si	Japan	3	20	15.5	91	[11]
mc-Si	Singapore	10.6	25	4.47	165	[12]
mc-Si	UK	14.4	30	8	44	[26]
mc-Si	US	33	20	5.7	72.4	[9]
mc-Si	China	100 × 10 <sup>3</sup>	30	1.5	9.4	[10]
mc-Si	Italy	1	20	3.3	26.4	[13]
mc-Si	Greece	3	20	2.9	104	[14]
mc-Si	China	100 × 10 <sup>3</sup>	30	1.7	12	[15]
mc-Si	India	1.6	30	2.9	14.3	Present study
a-Si	India	1.2	30	4.2	16.2	
HIT	India	1.68	30	1.9	10.4	



**Figure 7.** EPBT and CO<sub>2</sub> emission from previous study.

The PV energy generation influences the whole life cycle cost assessment. Here, the actual energy yield in kWh is used to evaluate the life cycle indicators as summarized in Table 6, as well as the cost analysis results in Table 7. Then, the expected PV energy output is computed over the 30 year lifetime according to the Equation (12) and found to be 159,265 kWh, 95,723 kWh and 75,070 kWh, by considering a solar irradiation of 1700 kWh/m<sup>2</sup>/year with actual PR.

As depicted in Figure 8, the expected energy yield of the mc-Si is much higher than the actual performance. This leads to a significant impact on the life cycle metrics. The comparison of EPBT, EPF, LCCE, Net CO<sub>2</sub> emission and carbon credit earned by using the actual and expected PV generation for mc-Si, a-Si and HIT PV systems is shown in Figure 9.

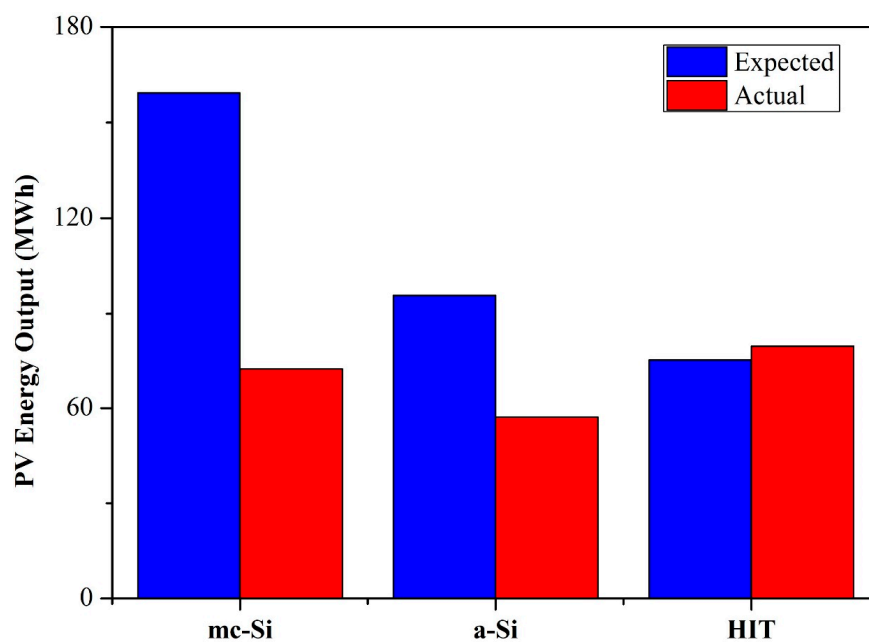


Figure 8. Actual VS Expected PV energy generation.

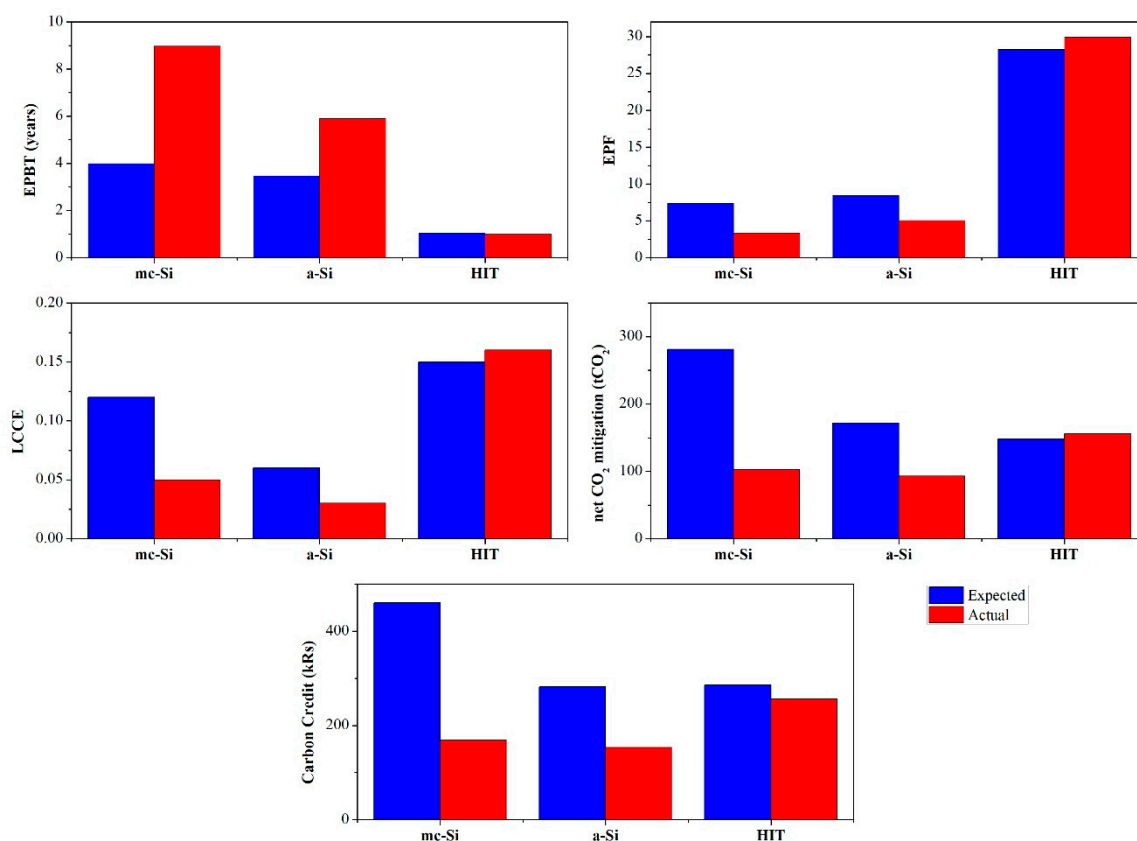
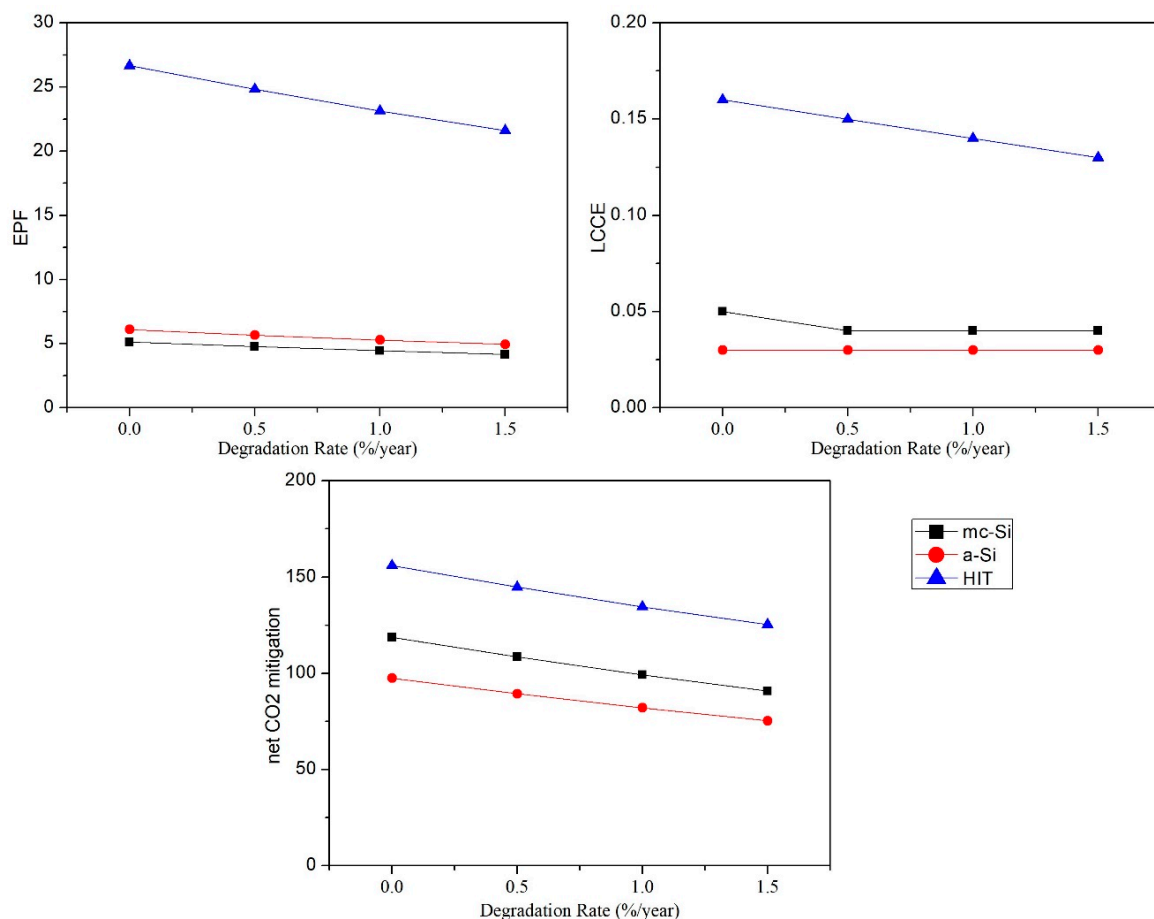


Figure 9. EPBT, EPF, LCCE, net CO<sub>2</sub> emission and Carbon credit in the case of Actual and Expected PV energy generation for mc-Si, a-Si and HIT PV system.

When the expected PV energy output is used in the evaluation, the EPBT decreases from 8.98–1.3 years for mc-Si, from 5.93–2.43 years for a-Si, and no change for HIT, considering that the actual and expected PV power output are quite similar. However, EPF, as well as LCCE, increased up to 55% and 40% for mc-Si and a-Si technologies, respectively.

In terms of net CO<sub>2</sub> emission and carbon credit, the mc-Si PV system is less environmentally friendly if the expected PV generation is taken into account in the assessment. It is found that the net CO<sub>2</sub> emission can be reduced from 129.64 tCO<sub>2</sub> to 74.05 tCO<sub>2</sub> when the actual data are used. However, the a-Si PV system can contribute to a reduction up to 80% of net CO<sub>2</sub> emission using the expected PV energy in the assessment. A sensitivity analysis about the effect of degradation on the life cycle indicators is carried out. A varying of the degradation rate between 0% and 1.5%/year until the end of life (30 years) is considered and the corresponding results are plotted in Figure 10.



**Figure 10.** Degradation effects on EPF, LCCE and net CO<sub>2</sub> emission for mc-Si, a-Si and HIT PV system.

When the energy losses over the long term increase up to 1.5%, EPF, LLCE and net CO<sub>2</sub> emission can be decreased up to 22%. Particularly, at 1.5% degradation rate over time, leads the equal drop in EPF of 19% for the three technologies. The LCCE and net CO<sub>2</sub> emission are reduced by 21%, 22% and 20% for mc-Si, a-Si and HIT technologies, respectively.

Therefore, the degradation should be minimized to get maximum benefits from the system's environmental point of view. It is noted that the degradation rate does not impact on EPBT because the latter considers the yearly energy output and not the electricity generation over the lifetime from the PV system.

The varying the interest rate on the UAC is also investigated. As shown in Figure 11 the increasing from 5% to 15% of interest causes the rise of the cost per unit electricity in kWh over the life time of three PV systems up to 83% resulting 36.57 R<sub>₵</sub>/kWh, 34.61 R<sub>₵</sub>/kWh and 67.42 R<sub>₵</sub>/kWh for mc-Si, a-Si and HIT PV systems respectively.

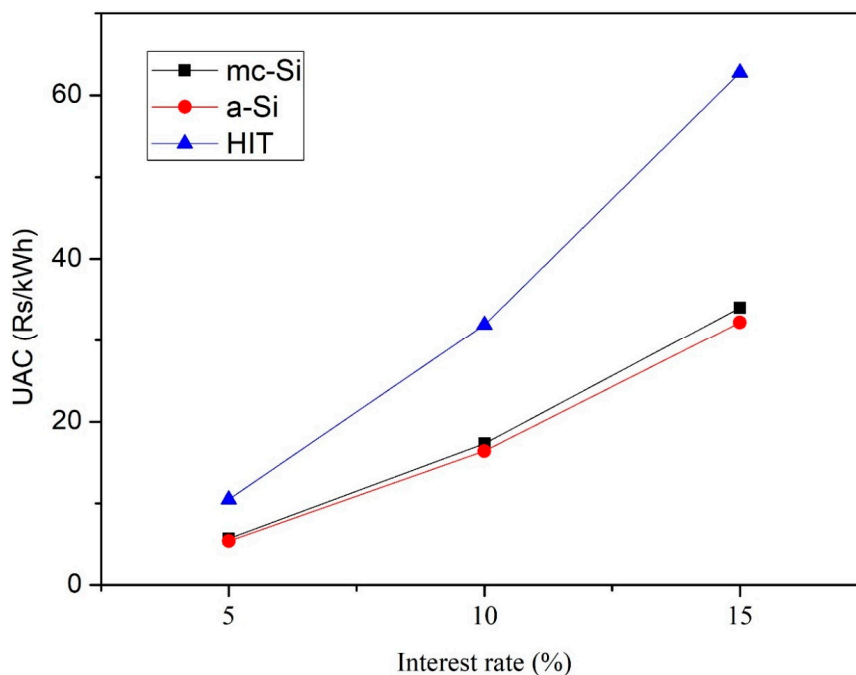


Figure 11. UAC sensitivity analysis with different interest rate.

## 7. Conclusions

The performance of the different PV technologies does not remain the same during its field exposure due to the fact of change in behavior of environmental parameters as well as the material used to construct the PV module. Consequently, the energy payback time, CO<sub>2</sub> mitigation and unit cost should change. The environ-economic study has been performed for mc-Si (1.6 kW), a-Si (1.2 kW) and HIT (1.68 kW) photovoltaic systems under the same hot semi-arid climatic conditions of India by actual performance of the PV systems. Based on the present study, the following conclusions have been drawn:

- The HIT PV module technology is a new emerging technology with short EPBT (1.0 years) in comparison to mc-Si (8.98 years) and a-Si (5.93 years) PV systems. Similarly, the HIT PV system performs better than mc-Si and a-Si technologies in term of EPF and LCCE.
- CO<sub>2</sub> emission is found to be 78.68 tCO<sub>2</sub>, 74.05 tCO<sub>2</sub> and 129.64 tCO<sub>2</sub> for mc-Si, a-Si and HIT, respectively, considering the life of PV systems as 25 years. Therefore, the HIT PV system can provide higher CO<sub>2</sub> mitigation and carbon credit earned than mc-Si and a-Si PV systems.
- The uniform end-of-year annual cost is higher for HIT technologies (6.63 RS/kWh) than mc-Si and a-Si.
- Life cycle cost analysis has been carried out by using the actual performance of installed PV technology. In fact, significant impacts on the life cycle metrics have been quantified using the expected PV energy data in the formulation of the life cycle metrics. This can lead to a corrected environ-economic assessment finalized to identify the more suitable PV module technology in a given climatic condition.
- A sensitivity analysis has also been carried out by varying the degradation rate and interest rate. When the degradation rate rises up to 1.5%/year over the lifetime, a decreasing of the EPF up to 19% can be found for mc-Si, a-Si and HIT technologies. Further, the LCCE and net CO<sub>2</sub> emission can decrease up to 21% on average for three technologies.

Finally, the HIT PV module technology shows to be a suitable technology in a semi-arid climate. Therefore, the present study will support to perform the investment return estimation for new installations in India. It will also provide support in formulating a policy for the promotion of



renewable energy-based PV systems in the Indian region, as well as be helpful in mitigating the emission of carbon during the manufacturing process of the PV module components.

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## Abbreviations

The following abbreviations are used in this manuscript:

a-Si	Amorphous silicon
CdTe	Cadmium telluride
CIGS	Copper indium gallium selenide
CO <sub>2</sub>	Carbon dioxide
c-Si	Crystalline silicon
DR	Degradation rate
E <sub>EOL</sub>	Primary energy demand for end-of-life management
E <sub>input</sub>	Embodied energy
E <sub>inst</sub>	Primary energy demand to install the system
E <sub>manuf</sub>	Primary energy demand to manufacture PV system
E <sub>mat</sub>	Primary energy demand to produce materials comprising PV system
E <sub>out</sub>	PV energy output over the lifetime
E <sub>out,y</sub>	Yearly PV energy output
EPBT	Energy payback time
EPF	Energy production factor
E <sub>sol</sub>	Annual solar irradiation
E <sub>trans</sub>	Primary energy demand to transport materials used during the life cycle
F <sub>CR,i,n</sub>	Capital recovery factor
F <sub>SR,i,n</sub>	Sinking fund factor
HIT	Hetero-junction intrinsic thin layer
L <sub>a</sub>	Domestic appliance losses
LCCE	Life cycle conversion efficiency
L <sub>t</sub>	Transmission losses
mc-Si	Multi-crystalline silicon
NPV	Net Present Value
O&M	Annual cost for the operation and maintenance
P	Capital cost
PR	Performance ratio
R	Annual cost to replace of components
S	Costs for the decommissioning system
sc-Si	Mono-crystalline silicon
T	lifetime of the PV system
UAC	Uniform end-of-year annual cost
uncost	Annual uniform cost
η <sub>PV</sub>	PV module energy efficiency

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