

## Article

# Effect of Situation Kinematics on Drivers' Rear-End Collision Avoidance Behaviour—A Combined Effect of Visual Looming, Speed, and Distance Analysis

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**Abstract:** Considering the large proportion of rear-end collisions occurring in our daily life and the severity it may lead to, the objective of this study was to investigate the effect of situation kinematics on drivers' rear-end collision avoidance behaviour after brake onset. A wide range of lead vehicle deceleration scenarios were designed based on driving simulator experiments to collect drivers' deceleration behaviour data. Different from measures (e.g., speed, the lead vehicle's deceleration et al.) often adopted in previous studies, a visual looming-based measure at different time points was calculated combined with analysis of speed and distance to quantify situation kinematics in this study. The Spearman's nonparametric rank correlation test was firstly conducted to examine the correlation between visual looming-based metrics and related deceleration behaviour. The mixed model was performed on drivers' brake jerk and maximum deceleration rate, while the logistic model was then performed to predict the probability of the occurrence of rear-end collisions. Spearman's nonparametric test showed that both deceleration ramp-up and drivers' maximum deceleration rate increase significantly as the looming traces increase faster. Results of the logistic model indicated that the probability of occurrence of a potential collision might be higher if the situation at the brake onset is quite urgent and braking is moderate. It was demonstrated that both drivers' deceleration ramp-up and maximum deceleration rate could be highly kinematic-dependent, and visual looming, driving speed, and distance can be useful information for drivers to take relative deceleration actions.

**Keywords:** situation kinematics; visual looming; deceleration ramp-up; maximum deceleration rate; mixed model; rear-end collision



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## 1. Introduction

According to statistics of the World Health Organization, road traffic injuries are one of the eight lead causes of death globally. It was estimated that around 1.25 million people died from road traffic injuries and another 20–50 million people sustained non-fatal injuries as a result of road traffic collisions [1]. Among all the collision types, rear-end crashes are the most frequently occurring one, and it accounted for approximately 32% in the US [2]. A rear-end collision is commonly induced by a sudden deceleration of the lead vehicle during a car-following process, and it can be avoided using forward collision warning (FCW) systems [3].

However, an effective FCW system should be designed on the base of a good understanding of the collision avoidance behaviour. There is considerable literature supporting

the importance of a quick response to the lead vehicle's brake and major factors that may affect drivers' brake response time, including drivers' age, gender, profession, weather condition, and so on [4–11]. As for drivers' crash avoidance behaviour after brake onset, both driving simulator studies and naturalistic studies demonstrated that drivers often reached a maximum deceleration rate [12,13] and there was a stepwise ramp-up towards the maximum deceleration [6,12,13]. Generally, drivers' maximum deceleration rate increased with the increase in driving speeds and reduced headway distance. Factors adopted in the literature mentioned above (except [13]) can be categorized as the lead vehicle's driving status (driving speed and lead vehicle's deceleration rate) and scenario status (headway distance when driver in simulator brakes).

Generally, the time to collision (TTC) was an important indicator affecting drivers' deceleration behaviour. Indicators including both driver demographics (age group and gender) and driving context (day of week, time of day, travel speed, and traffic congestion) were shown to be statistically significant [14]. Situation urgency was described by TTC. Thus, a TTC threshold design has been the key factor when designing FCW [15,16]. In fact, Lee [17] reported that rather than information about speed, distance, or acceleration, measures from a visual looming perspective could provide a more straightforward way to quantify situation urgency. If the collision draws nearer, the lead vehicle looms on the driver's retina. As looming objects might indicate an impending collision or an approaching object, drivers in the following vehicles have to rely on visual information about how quick they are approaching the lead vehicle. That kind of information is called visual looming, and it has been supported by behavioural evidence [18,19]. Visual looming-based measures are used to quantify situation kinematics such as inverse tau  $\tau^{-1}$ , the rate between lead vehicle's optical expansion rate  $\dot{\theta}$  on the driver's retina and its optical size  $\theta$ , which is a visually available estimate of inverse TTC [17]. The important role of  $\tau^{-1}$  and similar quantities on deciding on drivers' responses to collisions have been supported by many studies [20–22]. Markkula et al. [13] tested the effect of situation kinematics measured by  $\tau^{-1}$  on drivers' deceleration control behaviour. Drivers' deceleration behaviour was highly dependent on situation urgency, and possible brake response mechanism underlying was proposed in the study.

Alternative measures to quantify visual looming can be  $\theta$  [23,24] and  $v/\tau$ , where  $v$  is the driving speed of the following vehicle [25–29]. All of the measures behave in the same way, increasing faster as the collision draws nearer [30]. The effect of visual looming information on drivers' deceleration behaviour can be unaffected by the choice of kinematical measures [13]. Although the effect of visual looming-based situation kinematics on collision avoidance behaviour after brake onset has been tested [13], a combined effect of visual looming, speed, and distance information on drivers' collision avoidance behaviour after brake onset has not been tested before. Thus, experiments including a wide range of lead vehicle deceleration scenarios were conducted using a driving simulator. By collecting drivers' speed, distance, and visual looming information at brake onset and maximum braking, the paper aims to explore the main cues that drivers use to control braking and the possible factors that contribute to rear-end collisions.

## 2. Methods

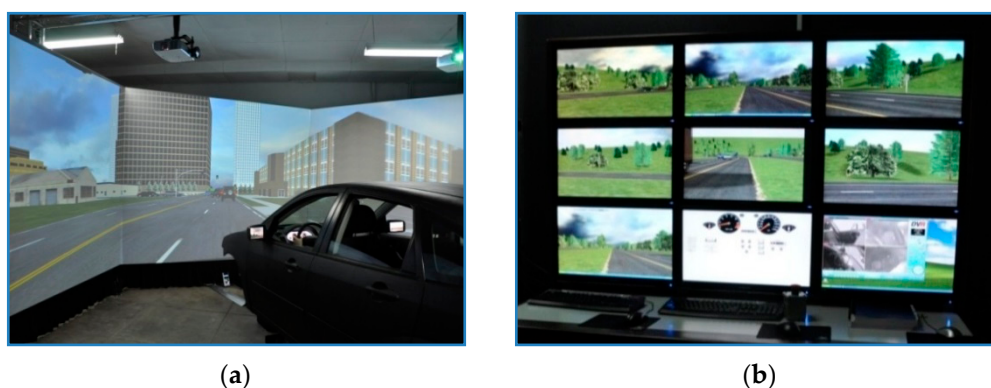
### 2.1. Subjects

As a continuing work of Xue et al. [31], the dataset used in this study is the same as the one in that paper. In this experiment, a total of 46 participants (24 males vs. 22 females) aged from 30–40 years ( $M = 34.33$ ,  $SD = 2.99$ ) were recruited. Each participant held a valid Chinese driver license and had at least one year's driving experience and 30,000 km driving mileage per year. After arrival, each participant was given at least 10 min of driving to familiarize them with the driving simulator operation. For the test driving, participants were asked to drive on a straight road, and they were instructed to accelerate or decelerate to a designated speed, so that they could adapt to the operation of the driving simulator. Before the formal experiment, participants were told just to follow the lead vehicle and

drive as they normally would and they were not told about the lead vehicle's sudden brake beforehand. When the formal experiment began, the participants would rest for at least 5 min between the tests. All participants signed an informed consent form and they received RMB 100 (about USD 15) for their attendance.

## 2.2. Equipment

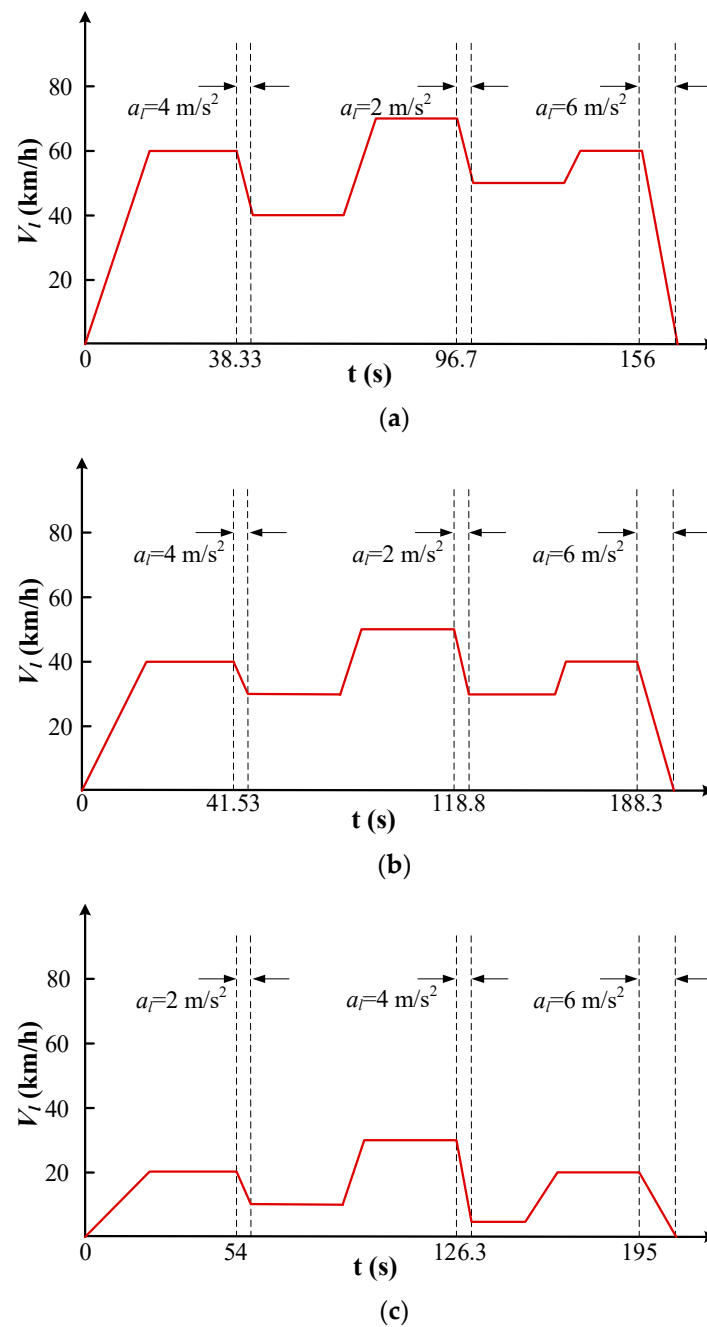
The equipment used in this experiment is Beijing Jiaotong University driving simulator, as shown in Figure 1. The simulator is composed of a cabin of Ford Focus and automatic gearbox, gas/brake pedal, and other components, which are in full accordance with the real vehicle. The simulator has a linear motion base, capable of operating with a single degree of freedom (the rotation of pitch). The driving scenarios are designed with Sim Vista (Real time Inc., Wasilla, AK, USA) and projected on five screens with a resolution of  $1400 \times 1050$  pixels to realize a driver's 300 degree field of front view and three rear-view mirrors (left, middle, and right), which are simulated by LCD screens.



**Figure 1.** Illustration of the driving simulator system. (a) BJTU driving simulator; (b) operation system.

## 2.3. Scenario Design

In this study, scenarios with a bidirectional straight segment with a speed limit of 60 km/h were created. Three kinds of initial driving speeds (60 km/h, 40 km/h, and 20 km/h) of the lead vehicle were designed in this experiment, corresponding to three levels of traffic congestion. In each level of traffic congestion scenarios, the participants were assigned to follow a vehicle stopped 1500 m ahead. When drivers in the simulator drove 50 m behind the lead vehicle, the lead vehicle began to accelerate to one of the three initial speeds. Three deceleration points were designed in each drive; the lead vehicle was programmed to decelerate at an assigned deceleration rate once it drove to the deceleration point. The distance between each deceleration point was around 1 min. When the driving speed of the lead vehicle reached the designed value, the lead vehicle would keep this speed until it drove to the next deceleration point (see Figure 2 for the profile of deceleration and speed). The order of the three drives was counterbalanced between participants. A series of vehicles coming from the opposite direction were arranged to simulate the realistic traffic situation, and a double yellow solid line in the middle of the road was designed according to Chinese traffic regulations to avoid the simulator's overtaking behaviour. Each participant had about 15 min of total driving time during, in which each drive lasted about 5 min.



**Figure 2.** Lead vehicle's speed and deceleration rate in three drives (dashed vertical lines show start and end points of the lead vehicle's deceleration). (a) Initial driving speed of 60 km/h; (b) Initial driving speed of 40 km/h; (c) Initial driving speed of 20 km/h.

#### 2.4. Visual Looming-Based Measures

The metric used in this study to quantify situation kinematics was  $\tau^{-1}$ , the optical variables  $\theta$  and  $\dot{\theta}$  can be calculated by the following formulas [17,25], and detailed definitions of each variable are shown in Table 1:

$$\theta = 2 \cdot \arctan\left(\frac{W}{2d}\right) \quad (1)$$

$$\dot{\theta} = -Wv_{rel} / \left(d^2 + \frac{W^2}{4}\right), \quad (2)$$

$$\tau^{-1} = \frac{\dot{\theta}}{\theta}, \quad (3)$$

**Table 1.** Definitions of the variables in Equations (1)–(3).

	Notations	Definitions
Vehicle state	$W$	the width of the lead vehicle
	$d$	the distance from driver's eyes to the tail of the lead vehicle
	$v_{rel}$	the relative speed of the two vehicles
Optical variables	$\tau_B^{-1}$	$\tau^{-1}$ collected when drivers in the simulator started braking
	$\tau_M^{-1}$	$\tau^{-1}$ collected when drivers in the simulator reached their maximum deceleration rate

### 2.5. Model and Data for Analysis

Spearman's nonparametric rank correlation test was first conducted to find the correlation between  $\tau^{-1}$  and collision avoidance behaviour. Robust linear regression was adopted as well, for illustration purposes, by using the Matlab function `robustfit` with default settings (2014b).

Mixed model, also known as random coefficient model, hierarchical linear model or mixed-effects model [32], was used in this study to investigate the effect of situation kinematics and other variables, e.g., headway distance when drivers in the simulator started braking ( $D_B$ ) or drivers in the simulator reached their maximum deceleration ( $D_M$ ) on deceleration ramp-up and maximum deceleration rate, taking drivers' individual differences into consideration as well. The general form of the model can be described as:

$$Y_{ij} = \beta_0 + \sum_{h=1}^p \beta_h X_{hij} + \alpha_j + \varepsilon_{ij}, \quad (4)$$

$$\alpha_j \sim N(0, \sigma_\alpha^2), \quad (5)$$

$$\varepsilon_{ij} \sim N(0, \sigma_\varepsilon^2) \quad (6)$$

where  $Y_{ij}$  is the  $i$ th deceleration ramp-up or maximum deceleration rate of the  $j$ th individual,  $X_{hij}$  is the  $i$ th value of the  $j$ th individual for the  $h$ th predictor, the predictor here can be visual looming-based measures, headway distance, and so on.  $B_0$  is the overall intercept,  $\beta_h$  is the slope (regression coefficient) of the  $h$ th predictor,  $\alpha_j$  is the individual-specific effects with mean of zero and variance of  $\sigma_\alpha^2$ , and  $\varepsilon_{ij}$  is the residual associated with the  $i$ th value of the  $j$ th individual from a normal distribution of residuals with mean of zero and variance of  $\sigma_\varepsilon^2$ . Mixed model in this study was applied by Matlab function `fitlme` with default settings (R2014b).

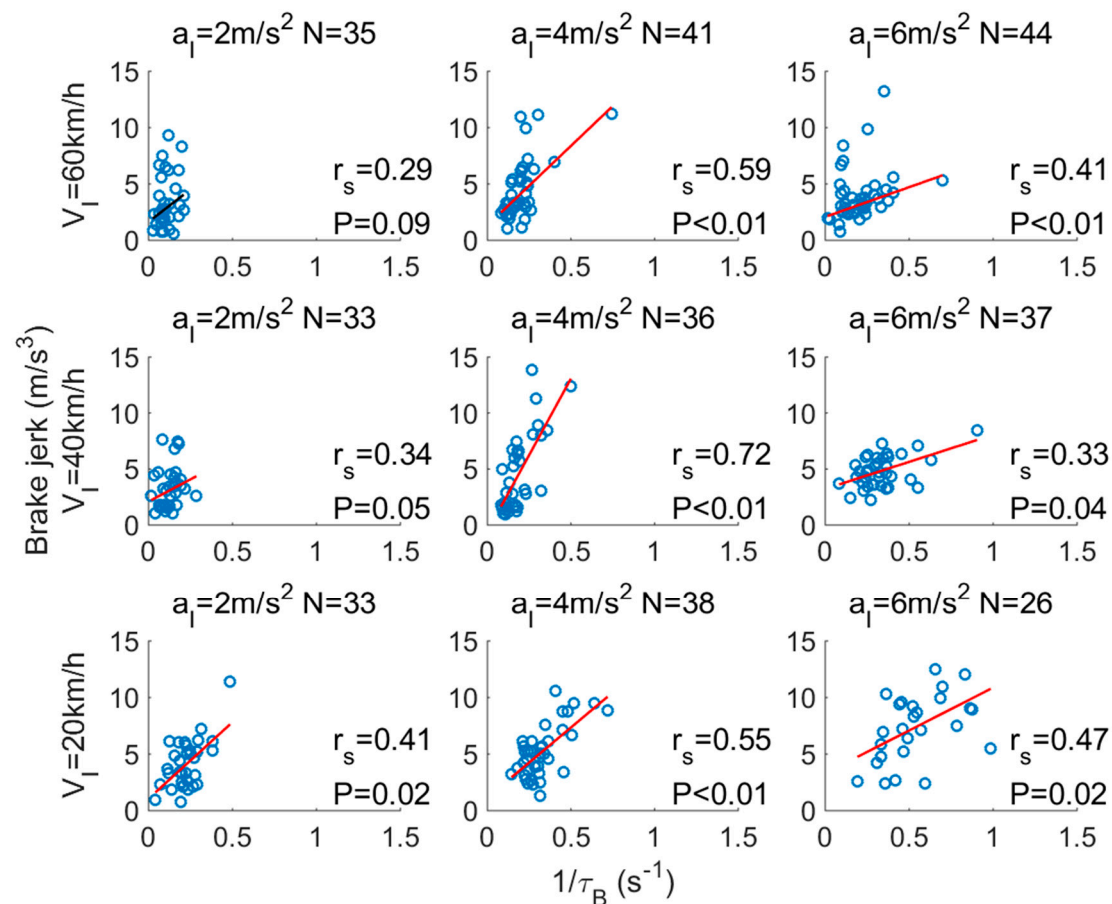
As mentioned in Section 2.2, each driver in this experiment experienced three drives, and there were three deceleration scenarios within one drive, so drivers had nine deceleration scenarios in total (414 recordings). As the purpose of this study was to investigate the effect of situation urgency on near-crash and crash deceleration behaviour, recordings with time headway larger than 3.5 s were excluded. Only 323 recordings were kept for further analysis. Analysis was carried out between situation kinematics, in terms of inverse tau and drivers' deceleration behaviour.

## 3. Results

### 3.1. The Spearman's Nonparametric Test between $\tau_B^{-1}$ and Deceleration Behaviour

The correlations between  $\tau_B^{-1}$  and deceleration ramp-up  $j_B$  and maximum deceleration rate  $am$  are shown in Figures 3 and 4. For the brake jerk, the correlation is significant

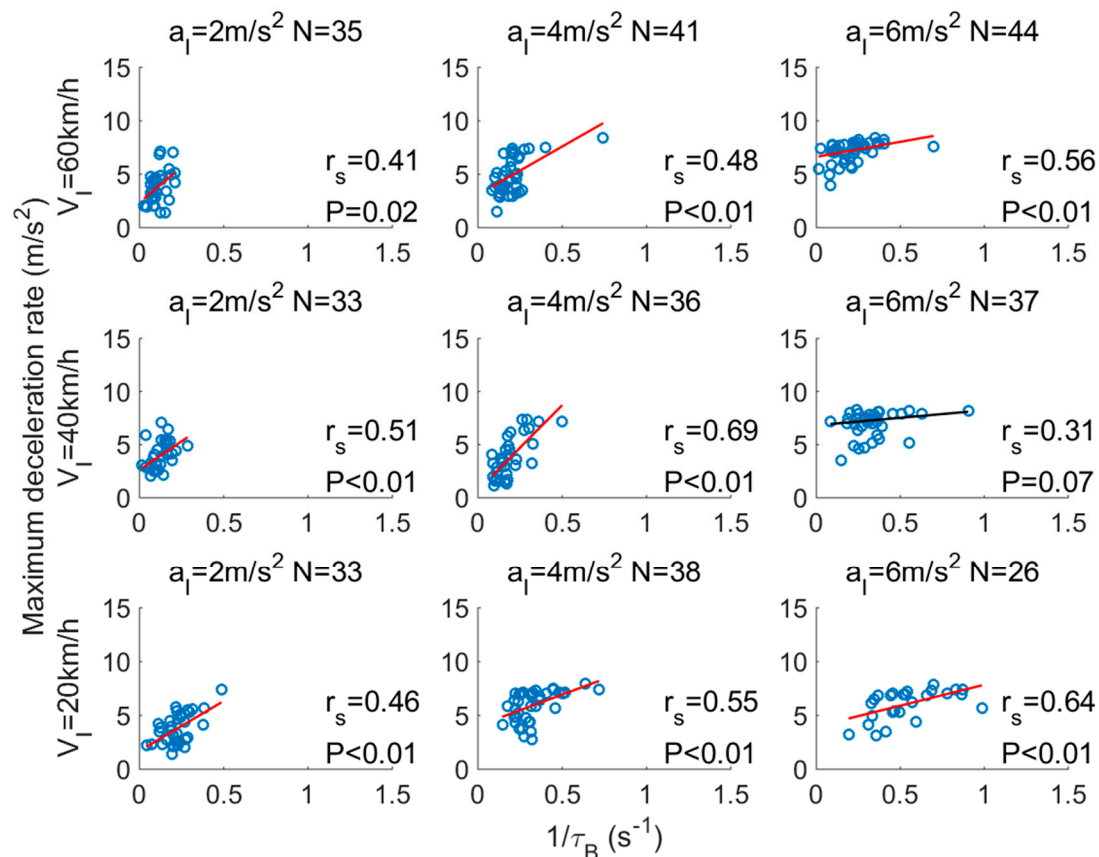
for all the driving speed conditions, except the lead vehicle driving at 60 km/h with deceleration rate ( $a_l$ ) is 2 m/s<sup>2</sup>. Overall, drivers' deceleration ramp-up becomes faster as the situation becomes more urgent (with larger  $\tau_B^{-1}$ ). According to the modelling framework purposed by Markkula [33], drivers' brake pedal adjustments depend on how much the visual looming deviates from the looming the drivers are expecting. In relatively more urgent conditions, i.e., strong looming conditions, the looming is already very strong at the drivers' brake onset. In order to make the looming match the expectations of the drivers themselves, drivers may need to brake hard in a very short time, thus making the brake ramp-up increase faster (larger jerks) in a more urgent situation than in a less urgent situation (weaker looming condition).



**Figure 3.** Brake jerk as a function of  $\tau_B^{-1}$  at the time when participants in the simulator started braking.

Generally, the maximum deceleration rates ( $a_m$ ) increase when drivers' brake onset becomes more urgent (with larger  $\tau_B^{-1}$ ). Obviously, a larger maximum deceleration rate is needed to avoid a rear-end collision in a rather urgent situation. However, a statistically insignificant correlation is found when the lead vehicle is driving at 40 km/h with a deceleration rate of 6 m/s<sup>2</sup>.





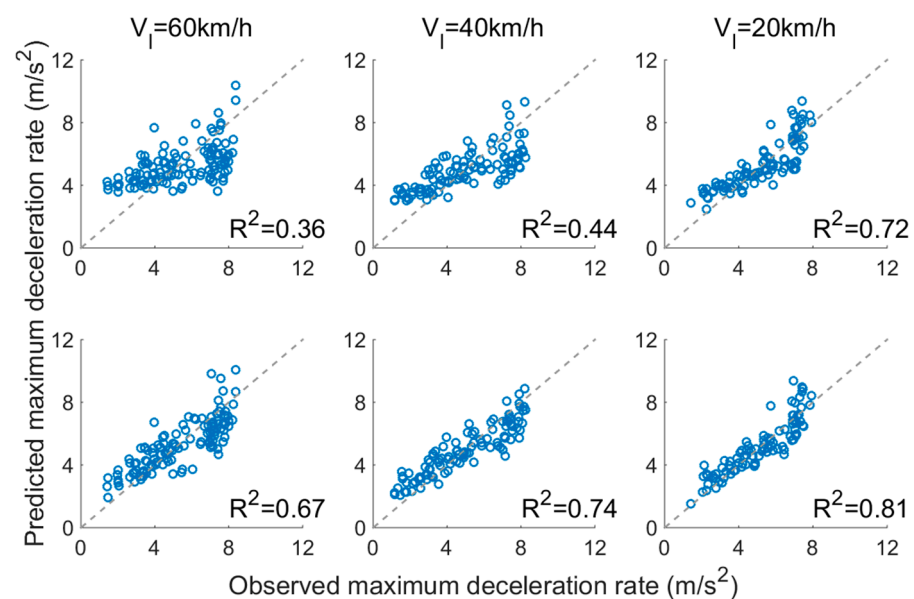
**Figure 4.** Maximum deceleration rate as a function of  $\tau^{-1}$  at the time when participants in the simulator started braking.

### 3.2. Prediction of Brake Jerk and Maximum Deceleration Rate

Table 2 shows the mixed-model results of  $j_B$  and  $a_m$ , respectively. All the predictors have significant effects on drivers' brake jerk. Generally, drivers' deceleration ramp-up increases faster with larger  $\tau_B^{-1}$  and faster driving speed  $V_f$ , while it decreases with larger  $D_B$ . Figure 5 shows the predicted brake jerk in three speed conditions, respectively. However, drivers' brake jerks are not well predicted when driving at 60 km/h and 40 km/h, especially for brake jerks larger than 8 m/s<sup>3</sup>. As drivers usually keep a smaller headway distance when driving at a slower speed than at a faster speed [34–36], when the lead vehicle is driving at 20 km/h, drivers keep a smaller distance from the lead vehicle and may mainly rely on distance and visual looming to control how hard they brake. However, for fast speed conditions, there may be other cues (e.g., brake lights) that drivers use to decide on how hard they brake. It was found that for the eight underestimated recordings with  $V_l = 60$  km/h, there were three recordings that came from one participant. All of the underestimated recordings have a mean brake response time around 0.84 s (S.D. = 0.15). These recordings may be induced by drivers who brake at the lead vehicle brake light onset and brake very hard, instead of taking the current driving situation into much consideration.

**Table 2.** Mixed-model results of brake jerk  $j_B$  and maximum deceleration rate  $a_m$ , with all  $p < 0.01$ .

Observations		Mixed Model			
		Estimate (95% CI)	T Test	R <sup>2</sup>	
brake jerk $j_B$	$N = 323$	intercept	3.34 [2.43 4.25]	7.12	0.65
		$\tau_B^{-1}$	7.02 [5.58 8.45]	9.61	
		$D_B$	−0.08 [−0.11 −0.05]	−5.14	
		$V_f$	0.08 [0.02 0.13]	2.82	
		$\sigma_\alpha$	1.24 [0.95 1.60]	−	
		$\sigma_\epsilon$	1.58 [1.45 1.72]	−	
maximum deceleration rate $a_m$	$N = 323$	intercept	1.52 [0.76 2.28]	3.95	0.48
		$\tau_B^{-1}$	3.82 [2.51 5.13]	5.75	
		$j_B$	0.34 [0.26 0.42]	8.68	
		$D_B$	−0.03 [−0.05 −0.00]	−2.16	
		$V_f$	0.14 [0.09 0.18]	6.09	
		$\sigma_\alpha$	0 [−]	−	
	$N = 323$	$\sigma_\epsilon$	1.41 [1.31 1.53]	−	0.73
		intercept	1.90 [1.29 2.51]	6.14	
		$\tau_M^{-1}$	3.41 [2.85 3.97]	12.00	
		$j_B$	0.28 [0.22 0.35]	9.06	
		$D_M$	−0.06 [−0.08 −0.04]	−4.99	
		$V_f$	0.14 [0.11 0.18]	8.45	
		$\sigma_\alpha$	0.67 [0.49 0.92]	−	
$\sigma_\epsilon$	1.04 [0.95 1.13]	−			

**Figure 5.** Predicted brake jerk and maximum deceleration rate (for maximum deceleration prediction, the upper panel is results of model A and the lower panel is results of model B) under three speed conditions.

For the prediction of  $a_m$ ,  $a_m$  increases with strong looming when drivers brake, as well as at fast driving speed or when there is a large brake jerk or small headway distance. However, as shown in Figure 5 (upper panel), drivers' maximum deceleration rate is not well predicted when the lead vehicle is driving at 60 km/h and 40 km/h. Obviously,  $a_m$  larger than 7 m/s<sup>2</sup> is mostly underestimated. When the lead vehicle's speed is 40 km/h, nearly all the underestimated recordings (except one observation) are from the group with  $a_m$  equals to 6 m/s<sup>2</sup>. Just as the only insignificant condition in Figure 4, drivers' maximum deceleration rates are quite large even if the situation is not urgent (small  $\tau_B^{-1}$ ).



By looking at the underlying data, it was found that for both the 60 km/h and 40 km/h underestimated recordings, the mean time that drivers use from brake onset to maximum braking is 2.25 s and 1.53 s, respectively, whereas the mean time is 0.93 s and 0.88 s for the non-underestimated drivers. In fact, for these underestimated recordings, the situation at brake onset is not urgent enough to make drivers reach such a large maximum deceleration, but they may prefer to brake moderately until the brake limit is almost reached. To further investigate the correlation between situation urgency and maximum deceleration rates, visual looming and distance at maximum braking (marked as  $\tau_M^{-1}$  and  $D_M$ ) were collected and adopted together with  $V_f$  and  $j_B$  in model B (results in Table 1). Generally,  $a_m$  in model B is well predicted, with most circles settled down on the diagonal lines. Comparison between model A and model B is conducted using a simulated likelihood ratio test, with 1000 simulations. A significant difference is found between the two models with  $p < 0.01$ , and model B provides a better model fitting with smaller AIC (1016.3 vs. 1154.7).

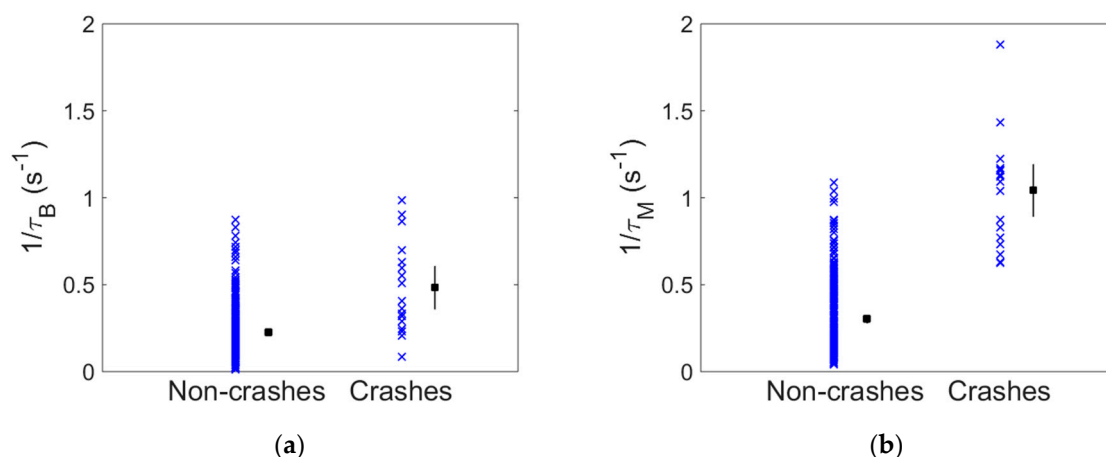
### 3.3. Logistic Analysis of Rear-End Collision Probability

In this study, only 19 rear-end crashes occurred among all 323 recordings. Logistic regression analysis is applied in this section to investigate the impacts of visual looming-based situation urgency together with deceleration behaviour on the collision outcomes. Results of the logistic regression are shown in Table 3. For model A, all predictors have statistically significant effects on rear-end collision avoidance outcome. However, in model B, the effect of  $a_m$  is not significant with  $p = 0.32$ . Generally, model B provides a better model fitting with looming signal and distance collected at maximum braking. Figure 6 shows  $\tau_B^{-1}$  and  $\tau_M^{-1}$  in non-crash and crash groups, respectively. The mean  $\tau_B^{-1}$  for crashes is larger than that in the non-crash groups (0.48 vs. 0.23), but the difference between the two groups is not very large. As the lead vehicle draws nearer, the difference becomes larger and larger (1.04 vs. 0.30). For the 19 rear-end crashes, 12 crashes occurred with  $\tau_M^{-1}$  larger than  $1 \text{ s}^{-1}$ . It seems that if the situation at maximum braking is already very urgent (quite large  $\tau_M^{-1}$ ), no matter how hard one brakes, the collision can be inevitable.

**Table 3.** Logistic regression analysis results.

		Estimate	S.E.	T Test	R <sup>2</sup>
model A	intercept	−10.92	3.80	−2.87 <sup>2</sup>	0.62
	$\tau_B^{-1}$	27.40	7.73	3.55 <sup>2</sup>	
	$j_B$	−2.41	0.64	−3.79 <sup>2</sup>	
	$D_B$	−0.22	0.08	−2.71 <sup>2</sup>	
	$a_m$	0.88	0.33	2.66 <sup>2</sup>	
	$V_f$	0.54	0.21	2.63 <sup>2</sup>	
model B	intercept	−9.40	5.67	−1.69	0.81
	$j_B$	−1.31	0.50	−2.62 <sup>2</sup>	
	$a_m$	0.54	0.54	0.99	
	$\tau_M^{-1}$	14.48	5.12	2.83 <sup>2</sup>	
	$D_M$	−0.68	0.30	−2.24 <sup>1</sup>	
	$V_f$	0.43	0.21	2.06 <sup>1</sup>	

<sup>1</sup>  $p < 0.05$ . <sup>2</sup>  $p < 0.01$ .



**Figure 6.**  $\tau^{-1}$  at the time when participants in the simulator started braking and reached maximum deceleration under non-crash and crash conditions (whiskers indicate the variance of  $\tau^{-1}$  on 95% CI; for non-crash conditions, the whiskers are too short to be seen). (a)  $\tau_B^{-1}$  in non-crash and crash groups; (b)  $\tau_M^{-1}$  in non-crash and crash groups.

#### 4. Conclusions

The goal of this study was to investigate the effect of situation urgency on drivers' rear-end collision deceleration avoidance behaviour. Visual looming-based metrics  $\tau^{-1}$ , driving speed, and headway distance were adopted in this paper to quantify situation urgency. In this paper, it was found that drivers' deceleration behaviour was highly kinematics-dependent. Both deceleration ramp-up and drivers' maximum deceleration rate increase significantly as the looming traces increase faster. On one hand, the results in this study verified the results generated in the paper of Markkula et al. [13], and it provided powerful evidence of visual control theory of braking [37]. On the other hand, by predicting drivers' brake jerk, maximum deceleration rate, and collision outcomes, the paper may provide some insights on FCW design. As the timing of most FCW systems was decided by headway distance or TTC values, an FCW design mainly based on visual looming, driving speed, and distance information can be developed to see whether it provides a better warning effect compared with traditional ones. Additionally, some in-vehicle protected measures can be triggered in advance if the looming is quite strong at maximum braking to prevent possible injury by the potential collision. However, although the effect of situation urgency on drivers' deceleration behaviour can be unaffected by the choice of visual looming measures, the comparison between different visual looming metrics can be conducted to investigate which kind of visual measures can be closer to drivers' uses.

**Author Contributions:** The work presented in this paper was carried out in collaboration between all authors. Q.X. and X.O. conceived the research theme, implemented the data analysis, and prepared the manuscript. W.G. gave technical support and conceptual advice. Q.X. and Y.Z. performed the experiments and gathered the behavioural data. All authors discussed the study results, contributed to the paper development, and approved the final version. All authors have read and agreed to the published version of the manuscript.

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**Data Availability Statement:** Data, models, or codes that support the findings of this study are available from the corresponding author upon reasonable request.

**Conflicts of Interest:** The authors declare no conflict of interest.

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