



# Article Monitoring Population Evolution in China Using Time-Series DMSP/OLS Nightlight Imagery

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Abstract: Accurate and detailed monitoring of population distribution and evolution is of great significance in formulating a population planning strategy in China. The Defense Meteorological Satellite Program's Operational Linescan System (DMSP/OLS) nighttime lights time-series (NLT) image products offer a good opportunity for detecting the population distribution owing to its high correlation to human activities. However, their detection capability is greatly limited owing to a lack of in-flight calibration. At present, the synergistic use of systematically-corrected NLT products and population spatialization is rarely applied. This work proposed a methodology to improve the application precision and versatility of NLT products, explored a feasible approach to quantitatively spatialize the population to grid units of  $1 \text{ km} \times 1 \text{ km}$ , and revealed the spatio-temporal characteristics of population distribution from 2000 to 2010. Results indicated that, (1) after inter-calibration, geometric, incompatibility and discontinuity corrections, and adjustment based on vegetation information, the incompatibility and discontinuity of NTL products were successfully solved. Accordingly, detailed actual residential areas and luminance differences between the urban core and the peripheral regions could be obtained. (2) The population spatialization method could effectively acquire population information at per km<sup>2</sup> with high accuracy and exhibit more details in the evolution of population distribution. (3) Obvious differences in spatio-temporal characteristics existed in four economic regions, from the aspects of population distribution and dynamics, as well as population-weighted centroids. The eastern region was the most populous with the largest increased magnitude, followed by the central, northeastern, and western regions. The population-weighted centroids of the eastern, western, and northeastern regions moved along the southwest direction, while the population-weighted centroid of the central region moved along the southeast direction. (4) The population distribution and dynamics in nine-level population density types were significantly different. In the period of 2000–2010, the population in the basic no-man and high concentration types presented a net decrease. The population in seven other regions all increased with a net increase ranging from 25 km<sup>2</sup> (the moderate concentration type) to 245,668 km<sup>2</sup> (the general transition type). Except those in the core concentration and extremely sparse types, the population-weighted centroids in all other population density types moved along the southwest direction.

**Keywords:** DMSP/OLS; remote sensing; systematic correction; population spatialization; time series; China

# 1. Introduction

Population growth is an important indicator for evaluating socio-economic development, environmental protection, sustainable utilization of resources, and urban planning [1]. China is the largest developing and most populous country in the world, with 18.82% of the population of the world (United Nations, 2017). The urbanization rate of the country increased dramatically from

17.92% in 1978 to 57.35% in 2016. The National Bureau of Statistics of the People's Republic of China predicted that the rate will exceed 60% in 2020. The rapid increase in population increases the demand of food supplies [2,3], construction lands [4], energy consumption [5], and domestic water use [6], as well as the emission of household garbage [7], and generates far-reaching negative influences on food safety [8], sustainable development of cities [9], and the ecological environment [9,10]. Thus, monitoring the Chinese population distribution and its evolution scientifically and accurately is of great significance [11].

Six national population censuses based on administrative levels have been conducted in China, in 1953, 1964, 1982, 1990, 2000, and 2010. These censuses are authoritative and reliable but present drawbacks when revealing the spatial heterogeneity of population on grid scales [1]. Nighttime light is strongly correlated with the spatial distribution of population. Nighttime lights time-series image products (NLT products hereinafter) from the Defense Meteorological Satellite Program's Operational Linescan System (DMSP/OLS) are a cost-free dataset with high spatial resolution (30 arc second grids), long period (1992–2013), and wide coverage (180°E–180°W, 65°S–70°N). Given their unique night photoelectric zooming capacity, the products have been applied in numerous fields and can detect human activities, such as urbanization expansion [12], socio-economic development [13,14], population distribution [15,16], resource and energy consumption [17,18], gas emission [19–22], and environmental pollution [23]. Numerous studies have documented population growth and population density changes on the basis of NLT products at different scales, and most of them have focused on province level-district [24–27], urban agglomeration areas [28], and typical terrain zones [29] from the 1990s to 2010. Apart from these regional works, several studies also focused on national and global scales. However, most of relevant works have been conducted before 2000 [1,30–33].

Due to the lack of in-flight calibration, three critical drawbacks of NLT products greatly limit their quantitative application for multi-year research: (1) owing to various factors like topographic relief and weather conditions, NLT products acquired in different years are inconsecutive and image products obtained from different sensors in the same year are incompatible; (2) spatial inconsistency occurs because of geometric errors in NLT products; and (3) residential areas observed from NLT products are usually larger than their actual extents, and saturation is inevitable in the center of urban areas. To obtain reliable and available population distribution information, these products should be pre-processed in three aspects before application, namely, geometric [34], discontinuity, and incompatibility [35–37], and saturation corrections [38,39]. At present, many studies, especially population spatialization studies for China, adopting NLT products often omit imagery correction [25,40–45], and most of works conducting corrections only accomplish one or two steps mentioned above before application [30,46,47]. Although some researchers have applied these three steps using various correcting methods, they have mainly executed them in corresponding years used in their studies and, thus, cannot guarantee high versatility and consecutiveness with other years [48].

In accordance with the aforementioned limitations, this work aims to improve the application precision of NLT products, explore a feasible approach to quantitatively spatialize the population, and reveal the spatio-temporal characteristics of population distribution from 2000 to 2010. This work is an attempt to improve the accuracy of NLT products and can establish a foundation for scientifically recognizing population density in China and formulating a reasonable population planning strategy for reference.

# 2. Study Area and Datasets

#### 2.1. Study Area

China is the largest developing and most populous country, and lies between 73°33′E–135°05′E and 3°51′N–53°33′N, covering 28 provinces, four municipalities, and two special administrative regions (Figure 1). It is located in the west of the Pacific Ocean and in the east of many Central Asian countries, and has superior conditions of sea and land with convenient traffic and development

potentials [49], which promote the development of the economy, culture, and civilization in China and provide good foundations for population growth.

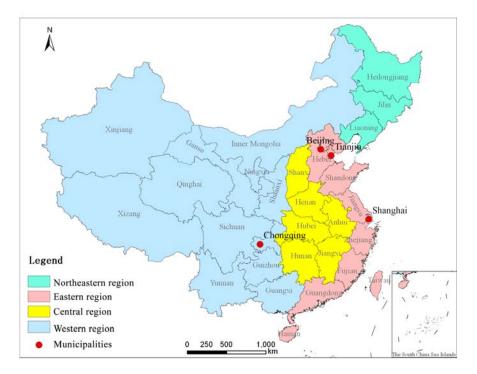


Figure 1. Study area distribution.

The population distribution of China was obviously different: dense in the east and sparse in the west. According to the latest zoning method proposed by the National Bureau of Statistics of the People's Republic of China, the Chinese mainland is divided into four economic regions, including the eastern, central, western, and northeastern regions [50,51]. Furthermore, Hong Kong, Macao, and Taiwan are also considered in this work, and are divided into the eastern region.

#### 2.2. Data Source

To obtain a successive and versatile NLT data set, stable light imagery of Version 4 DMSP/OLS NLT products (http://www.ngdc.noaa.gov/eog/dmsp.html) from 1992 to 2013 were employed to calculate the population density in this study, which have a spatial resolution of 30 arc second grids and possess affluent information about the scope and intensity of human activities at night and express lights from cities, towns, and some other persistent lighting sites. The Digital Number (DN) values of these products range from 0 to 63, with high values in the center of urban lands, low values in the peripheral regions, and approximately 0 in uninhabited regions.

Monthly MODIS NDVI (MOD13A3) products (https://modis.gsfc.nasa.gov/) in 2000 and 2010 were used to impair the light saturation and overflowing of NLT products. The former are available from February to December, while the latter can cover every month of the entire year. All these products possess a spatial resolution of 1 km, and cover 1200 columns and 1200 rows. Pre-processing of MOD13A3 NDVI imagery is necessary, and this process mainly includes imagery mosaicking and calculation of the average NDVI. In this work, the former pre-processing step was completed in the MODIS Re-projection Tool software, and the latter was carried out by the function below:

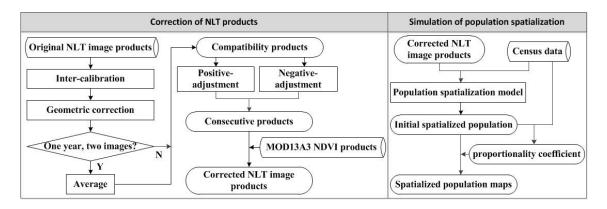
$$NDVI_{i\_mean} = \sum_{j=k}^{12} NDVI_i / (12 - k + 1)$$
(1)

where NDVI<sub>i</sub> and NDVI<sub>i\_mean</sub> are the NDVI values of the *i*th pixel and their average in 2000 or 2010, respectively; j indicates the *j*th month, and k represents the first month of obtained MOD13A3 NDVI products (k = 2 in 2000 and k = 1 in 2010).

Additionally, Chinese census data in 2000 and 2010 were collected from the National Bureau of Statistics of the People's Republic of China, and county district-level administrative vector data were obtained from the Data Center for Resources and Environmental Sciences, Chinese Academy of Sciences. To minimize data inconsistency, all remotely-sensed imagery was resampled to the spatial resolution of 1 km  $\times$ 1 km in the Asia North Albers Equal Area Conic (AN\_Albers) projected coordinate system.

# 3. Methodology

The methodology of this work mainly includes two parts: (1) correction of NLT products and (2) simulation of population spatialization. The former involves four steps, namely, inter-calibration, geometric, and incompatibility and discontinuity corrections and adjustment based on vegetation information. The latter was accomplished by building the population spatialization simulation model using the corrected NLT products and census data. The main steps of the methodology are summarized in Figure 2.



**Figure 2.** Flowchart for generating the spatialized population map using nighttime lights time-series (NLT) data.

#### 3.1. Inter-Calibration

Prior to inter-calibration, which was developed by Elvidge et al. [52], the reference image and baseline extents, called the invariant target area, should be defined first. To guarantee high application precision of NLT products, 34 NLT products covering 22 years with sensor-spans of F10 (1992–1994), F12 (1994–1999), F14 (1997–2003), F15 (2000–2007), F16 (2004–2009), and F18 (2010–2013) were employed in this work. The NLT product obtained by the F15 remote sensor in the middle year 2003 was selected as the reference image, and Sicily, Italy was chosen as the invariant target area.

On the basis of the invariant target area, a group of quadratic polynomial regression functions (Equation (2)) were executed between pixel DN values in each candidate NLT product and the reference image, while the corresponding coefficients of determination ( $R^2$ ) (Equation (3)) and mean square error (MSE) (Equation (4)) were generated. By comparison, optimal regression functions of the highest  $R^2$  and lowest MSE for inter-calibration were built (Table 1). Then, all coefficients were applied to their corresponding original image products, and the inter-calibrated NLT products were developed:

$$DN_{i\_inter-calibrated} = \alpha_0 + \alpha_1 * DN_i + \alpha_2 * DN_i^2$$
(2)

$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (DN_{i\_inter-calibrated} - DN_{i})^{2}}{\sum_{i=1}^{n} (DN_{i} - \overline{DN})^{2}}$$
(3)

$$MSE = \sqrt{\frac{\sum_{i=1}^{n} (DN_{i\_inter-calibrated} - DN_{i})^{2}}{n-1}}$$
(4)

where  $DN_{i\_inter-calibrated}$  and  $DN_i$  indicate the DN values of the *i*th pixel in the inter-calibrated NLT product and original NLT product;  $\alpha_0$ ,  $\alpha_1$ , and  $\alpha_2$  are coefficients; and  $\overline{DN}$  is the average DN value of all pixels in the original NLT product.

Satellite	$lpha_0$	α <sub>1</sub>	α2	<b>R</b> <sup>2</sup>	MSE
F101992	0.9977	0.8210	0.0020	0.9322	4.4541
F101993	-0.3270	1.0045	-0.0005	0.9655	3.1623
F101994	1.0659	0.8465	0.0013	0.9603	3.3984
F121994	1.5212	0.5684	0.0058	0.9611	3.3650
F121995	0.8347	0.6920	0.0036	0.9642	3.2285
F121996	1.3949	0.6709	0.0045	0.9667	3.1131
F121997	0.8742	0.6295	0.0050	0.9654	3.1744
F121998	1.0554	0.5449	0.0055	0.9736	2.7661
F121999	1.5706	0.4731	0.0063	0.9613	3.3543
F141997	0.1358	1.0701	-0.0011	0.9656	3.1639
F141998	1.0393	0.9663	-0.0005	0.9618	3.3234
F141999	0.4384	0.9250	0.0004	0.9632	3.2734
F142000	1.2695	0.8043	0.0018	0.9569	3.5542
F142001	0.7832	0.7858	0.0023	0.9654	3.1642
F142002	1.3648	0.6628	0.0040	0.9821	2.2809
F142003	0.7706	0.7699	0.0025	0.9680	3.0499
F152000	0.4816	0.5850	0.0051	0.9780	2.5347
F152001	0.5699	0.5790	0.0058	0.9806	2.3729
F152002	1.1773	0.4723	0.0070	0.9774	2.5632
F152004	1.2652	0.7661	0.0030	0.9784	2.5022
F152005	0.5865	0.7904	0.0029	0.9830	2.2167
F152006	0.8930	0.7697	0.0033	0.9798	2.4200
F152007	1.5459	0.7567	0.0035	0.9580	3.4947
F162004	0.9376	0.6658	0.0042	0.9838	2.1760
F162005	0.2044	0.8927	0.0010	0.9649	3.1913
F162006	1.1505	0.6044	0.0056	0.9583	3.4863
F162007	1.3989	0.4562	0.0069	0.9664	3.1223
F162008	1.2588	0.5202	0.0059	0.9686	3.0233
F162009	1.8401	0.5536	0.0054	0.9616	3.3361
F182010	2.1357	0.1869	0.0104	0.9628	3.2883
F182011	2.2331	0.3531	0.0072	0.9228	4.7330
F182012	2.0651	0.2699	0.0088	0.9524	3.7276
F182013	1.9631	0.3237	0.0077	0.9631	3.2806

Table 1. Regression function for inter-calibration of NLT products.

The DN values of pixels in the original NLT products are integers from 0 to 63. In general, 0 and some other low DN values indicate unlit pixels where background noise exists. However, decimals, especially many negative decimals, usually replace the original integers after the inter-calibration step. To obtain reliable light information and DN values, all the DN values smaller than 0 were revalued as 0, and positive DN values were rounded to the nearest integers by adopting Equation (5):

$$DN_{i\_thresholded} = \begin{cases} 0, DN_{i\_inter-calibrated} \le 0\\ int(DN_{i\_inter-calibrated} + 0.5), DN_{i\_inter-calibrated} > 0 \end{cases}$$
(5)

where  $DN_{i\_inter-calibrated}$  means the DN values of the ith pixel in the inter-calibrated NLT product, and  $DN_{i\_thresholded}$  is the DN value of the ith pixel after rounding calculation.

# 3.2. Geometric Correction

Geometric errors may cause disappearance or regression of some lit pixels. Pixels in NLT products usually generate geometric errors horizontally or vertically from zero to two pixels (Figure 3), mainly including eight directions, namely, up (U), down (D), left (L), right (R), up left (UL), up down (UD), down left (DL), and down right (DR). To eliminate geometric errors, a pixel-based shifting method was employed.

$$DN_{(p,q)} = \begin{cases} DN_{(p,q+1)}, (p \ge 0, q \ge 0), U \\ DN_{(p,q-1)}, (p \ge 0, q \ge 1), D \\ DN_{(p+1,q)}, (p \ge 0, q \ge 0), L \\ DN_{(p-1,q)}, (p \ge 1, q \ge 0), R \end{cases}$$
(6)

where p and q represent the column number and the row number of a certain pixel. Equation (6) shows the basic geometric correcting functions for moving one pixel to up, down, left, and right directions, respectively. Geometric correcting to other directions can be executed by the synergistic use of these four functions.

U2L2	U2L1	U2	U2R1	U2R2
U1L2	U1L1	U1	U1R1	U1R2
L2	L1	Original	<b>R</b> 1	R2
D1L2	D1L1	D1	D1R1	D1R2
D2L2	D2L1	D2	D2R1	D2R2

Figure 3. Geographic deviation directions.

Firstly, F152003 NLT product was selected as the reference image, and each pixel in candidate NLT products was shifted using 25 methods for eight directions. Then,  $R^2$  and MSE were calculated by adjusting the pixel DN values in candidate NLT products to match the reference image. Finally, the shift method with the highest  $R^2$  and the lowest MSE for each candidate NLT product was selected as its geometric correcting approach (Table 2).

Year	Satellite	Movement	Original R <sup>2</sup>	Original MSE	New R <sup>2</sup>	New MSE
1992	F10	R1	0.7568	2.4514	0.7635	2.4148
1993	F10	None	0.8077	2.1866	0.8077	2.1866
1994	F10	R1	0.7949	2.2407	0.7985	2.2192
1994	F12	None	0.8184	2.1175	0.8184	2.1175
1995	F12	R1	0.8287	2.0480	0.8335	2.0163
1996	F12	R1	0.8284	2.0555	0.8299	2.0453
1997	F12	R1	0.8510	1.9129	0.8561	1.8803
1998	F12	None	0.8722	1.7823	0.8722	1.7823
1999	F12	None	0.8798	1.7224	0.8798	1.7224
1997	F14	R1	0.8479	1.9339	0.8516	1.9092
1998	F14	D1	0.8674	1.7968	0.8696	1.7799
1999	F14	D1	0.8785	1.7275	0.8858	1.6727
2000	F14	R1	0.8841	1.6779	0.8953	1.5969

Year	Satellite	Movement	Original R <sup>2</sup>	Original MSE	New R <sup>2</sup>	New MSE
2001	F14	D1R1	0.9105	1.4781	0.9190	1.4038
2002	F14	None	0.9449	1.1626	0.9449	1.1626
2003	F14	D1	0.9617	0.9633	0.9638	0.9364
2000	F15	None	0.9161	1.4361	0.9161	1.4361
2001	F15	None	0.9279	1.3306	0.9279	1.3306
2002	F15	None	0.9454	1.1594	0.9454	1.1594
2003	F15	None	1.0000	0.0000	1.0000	0.0000
2004	F15	None	0.9606	0.9834	0.9606	0.9834
2005	F15	None	0.9356	1.2524	0.9356	1.2524
2006	F15	None	0.9272	1.3401	0.9272	1.3401
2007	F15	D1R1	0.8958	1.6034	0.9115	1.4758
2004	F16	None	0.9597	0.9975	0.9597	0.9975
2005	F16	D1	0.9296	1.3144	0.9369	1.2481
2006	F16	D1R1	0.9109	1.4832	0.9160	1.4411
2007	F16	D1	0.9020	1.5560	0.9068	1.5169
2008	F16	D1	0.8899	1.6497	0.8928	1.6264
2009	F16	None	0.8708	1.7851	0.8708	1.7851
2010	F18	R1	0.8263	2.0705	0.8453	1.9506
2011	F18	None	0.8396	1.9865	0.8396	1.9865
2012	F18	D1	0.8173	2.1267	0.8282	2.0605
2013	F18	None	0.7985	2.2346	0.7985	2.2346

Table 2. Cont.

# 3.3. Incompatibility and Discontinuity Correction

Most NLT products possess a single DN value for each pixel, such as F101992, F101993, and F121995. However, several NLT products in 1994 and from 1997 to 2007 exhibit different DN values for the same pixel. By using incompatibility correcting, the average of two DN values for the same pixel was calculated based on Equation (7), and the two original DN values of this pixel were replaced by the new values:

$$DN_{(n,i)} = \begin{cases} 0, DN_{(n,i)}^{a} = 0 \text{ and } DN_{(n,i)}^{b} = 0\\ (DN_{(n,i)}^{a} + DN_{(n,i)}^{b})/2, DN_{(n,i)}^{a} \neq 0 \text{ or } DN_{(n,i)}^{b} \neq 0 \end{cases}$$
(7)

where  $DN_{(n,i)}$  is the DN value after the incompatibility correction, i represents the *i*th pixel in NLT products, n indicates a year which has two NLT products between 1992 and 2013;  $DN_{(n,i)}^{a}$  and  $DN_{(n,i)}^{b}$  are two DN values of NLT products obtained from sensor a and sensor b in the same year.

After incompatibility correction, 22 NLT products of a unique DN value for each pixel in all annual acquisition years from 1992 to 2013 were generated as the compatible datasets. To further improve continuity of the compatible datasets, a bi-directional steady-increase adjustment method was employed [34]. According to Liu et al. [36], light decreases of developing countries should not exist in NLT products. To simplify the experiment, this study assumed that pixels against this principle are abnormal and should be corrected. If the DN values of F101992 NLT product were correct, the DN value of each pixel in a later year should be not smaller than that in the previous year, else a positive-direction adjustment must be executed by employing Equation (8). Meanwhile, if the DN values of F182013 NLT product were right, the DN value of each pixel in an early year should be no larger than that in the later year, otherwise a negative-direction adjustment should be carried out by adopting Equation (9). To efficiently avoid the undersize or oversize of the DN values of pixels, the average of the positive-direction and negative-direction adjustments should be calculated by using Equation (10):

$$DN_{(n,i)-continuity1} = \begin{cases} DN_{(n,i)}, DN_{(n,i)} \ge DN_{(n-1,i)} \\ DN_{(n-1,i)}, DN_{(n,i)} < DN_{(n-1,i)} \end{cases}$$
(8)

$$DN_{(n,i)-continuity2} = \begin{cases} DN_{(n-1,i)}, DN_{(n-1,i)} \le DN_{(n,i)} \\ DN_{(n,i)}, DN_{(n-1,i)} > DN_{(n,i)} \end{cases}$$
(9)

$$DN_{(n,i)-con} = \left(DN_{(n,i)-continuity1} + DN_{(n,i)-continuity2}\right)/2$$
(10)

where  $DN_{(n,i)-continuity1}$  and  $DN_{(n,i)-continuity2}$  are the DN value of the *i*th pixel in the year n after the positive-direction and negative-direction adjustments, respectively.  $DN_{(n,i)}$  and  $DN_{(n-1,i)}$  represent the DN values of the *i*th pixel in the year n and n – 1.  $DN_{(n,i)-con}$  indicates the DN value of the *i*th pixel in the year n after the incompatibility and discontinuity correction.

#### 3.4. Adjustment Based on the Vegetation Distribution Information

Zhang et al. [43] proposed the Vegetation Adjusted NLT Urban Index (VANUI) (Equation (11)) based on the stylized fact that vegetation and urban surfaces are inversely correlated and successfully reduced the effects of NLT saturation using VANUI. In this study, MOD13A3 NDVI products and VANUI were applied to eliminate or decrease light overflowing due to their high correlation with population distribution [1,25,44,48]. DN values of NLT products were normalized to the range of [0, 1.0] [44] firstly, and then the adjustment based on the vegetation distribution was accomplished based on Equation (12):

$$VANUI = (1 - NDVI_{i mean}) \times DN_{i}$$
(11)

where  $NDVI_{i\_mean}$  is the annual mean NDVI derived from MODIS products,  $DN_{i\_NLT}$  is the DN value of the ith pixel in the normalized NLT products.

$$DN_{i\_ad} = \begin{cases} DN_{(n,i)-con} \times (1 - NDVI_{i\_mean}), NDVI_{i\_mean} \ge 0\\ 0, NDVI_{i\_mean} < 0 \end{cases}$$
(12)

where  $DN_{i_ad}$  is the DN value of the *i*th pixel after the adjustment based on the vegetation distribution information,  $DN_{(n,i)-con}$  is the DN value of the *i*th pixel in the year n after the incompatibility and discontinuity correction, and  $NDVI_{i_mean}$  indicates the average NDVI value of the *i*th pixel.

#### 3.5. Population Spatialization

Population spatialization is an effective interoperate method that synergistically uses GIS data, remotely-sensed imagery, and census data to obtain detailed population distribution information at different spatial units [53], including administrative levels (i.e., region, province, and county levels) and different pixel-level scales. The basic principle of spatialization is to provide a clear and accurate population to every unit. At present, the most detailed and relatively authoritative population data in China is the census data at county-level scales. Based on this data, we built the regression functions between the population and DN values of the corrected NLT products at county-level scales to map the Chinese population density at a 1 km  $\times$  1 km pixel-level in 2000 and 2010.

Firstly, the total population and DN values in the NLT products of each county were calculated. Secondly, in accordance with the relationship between the sum of DN values and census data, the counties were simply divided into part 1 ( $\Sigma$  Population<sub>j</sub> < 10000 ×  $\Sigma$  DN<sub>j</sub>) and part 2 ( $\Sigma$  Population<sub>j</sub> ≥ 10000 ×  $\Sigma$  DN<sub>j</sub>), and a group of polynomial regression functions between total population and DN values at county-level scales were developed:

$$\sum \text{Population}_{j} = \begin{cases} f_{1}(\sum DN_{j}), \text{ part1} \\ f_{2}(\sum DN_{j}), \text{ part2} \end{cases}$$
(13)

where  $\sum$  Population<sub>j</sub> and  $\sum$  DN<sub>j</sub> are the total population and the sum DN values of the *j*th county. f<sub>1</sub> and f<sub>2</sub> are the regression functions between  $\sum$  Population<sub>j</sub> and  $\sum$  DN<sub>j</sub>. The cubic polynomial regression functions were selected as the optimal functions:

$$\mathbf{f} = \mathbf{a} * \mathbf{DN}^3 + \mathbf{b} * \mathbf{DN}^2 + \mathbf{c} * \mathbf{DN}$$
(14)

where a, b, and c are optimal coefficients (Table 3).

Year		Α	b	с	<b>R</b> <sup>2</sup>
2000	Total Part1 Part2	$0.0011 \\ -0.00004 \\ 0.0285$	-5.3840 0.0831 28.5860	9871.6 5882.5 19003.0	0.6272 0.9062 0.6485
2010	Total Part1 Part2	0.0051 0.0037 -0.0013	-6.2326 -3.3583 -1.7674	7084.7 5284.0 15349.0	0.5131 0.7210 0.8460

Table 3. Regression function for population spatialization.

Table 3 shows that a simple classification of counties leads to higher  $R^2$  (0.9062 and 0.6485 in 2000, and 0.7210 and 0.8460 in 2010) than direct use of all counties (0.6272 in 2000 and 0.5131 in 2010).

Thirdly, the cubic polynomial regression function was applied to each pixel to build the initial population distribution maps:

$$Pop_{i}^{0} = a * DN_{i_{ad}}^{3} + b * DN_{i_{ad}}^{2} + c * DN_{i_{ad}}$$
(15)

where  $Pop_i^0$  is the initial population of the ith pixel,  $DN_{i_ad}$  is the DN value of the *i*th pixel after the adjustment based on the vegetation distribution information.

Fourthly, given the errors from cubic polynomial regression function, the initial population obtained was discrepant to the census data. A proportionality coefficient was built to reduce the errors by:

$$K_j = Census_j / Pop_j$$
(16)

$$\operatorname{Pop}_{j} = \sum \operatorname{Pop}_{(j,i)}^{0} \tag{17}$$

where  $K_j$  is the proportionality coefficient of the *j*th county, Census<sub>j</sub> is the census data of the *j*th county, Pop<sub>j</sub> is the total initial population of the *j*th county, Pop<sup>0</sup><sub>(j,i)</sub> represents the initial population of the *i*th pixel in the *j*th county.

Finally, the initial population of all pixels was multiplied by the proportionality coefficient of their corresponding counties, and the spatialized population of each pixel was obtained:

$$EstPop_{(j,i)} = K_j * Pop_{(j,i)}^0$$
(18)

where  $\text{EstPop}_{(j,i)}$  is the spatialized population of the *i*th pixel in the *j*th county.

# 3.6. Estimation of Corrected NLT Products

The corrected NLT products were evaluated using a comparison of the sum of DN values in acquisition years:

$$TDN_n = \sum_{i=0}^{k} DN_{(i,n)}$$
(19)

where  $DN_{(i,n)}$  is the DN value of the *i*th pixel, k is the total pixel numbers, and  $TDN_n$  is the sum DN values in the year n.

#### 3.7. Population-Weighted Centroid Model

To investigate the temporal change in the population distribution, we employed a population-weighted centroid model [54]:

$$X_{n} = \sum_{i=1}^{k} (P_{ni} \times X_{i}) / \sum_{i=1}^{k} P_{ni}$$
(20)

$$Y_{n} = \sum_{i=1}^{k} (P_{ni} \times Y_{i}) / \sum_{i=1}^{k} P_{ni}$$
(21)

where  $X_n$  and  $Y_n$  are the abscissa and ordinated of population-weighted centroid in the year n, n = 2000 or 2010;  $P_{ni}$  is the population of the ith pixel in the year n, k is the total number of pixels, and  $X_i$  and  $Y_i$  denote the abscissa and ordinate of the *i*th pixel.

After determining the location of population centroids in 2000 and 2010, the moving distance D of the centroids are defined below:

$$D = \sqrt{\left(X_{2010} - X_{2000}\right)^2 + \left(Y_{2010} - Y_{2000}\right)^2}$$
(22)

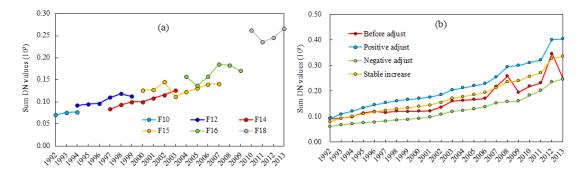
where  $(X_{2000}, Y_{2000})$  and  $(X_{2010}, Y_{2010})$  indicate the abscissa and ordinated of population centroids in 2000 and 2010, respectively.

In this work, population-weighted centroids and their moving distances of four economic zones and nine-level population density types in China were calculated.

#### 4. Results and Analysis

#### 4.1. Evaluation of the Corrected NLT Products

The original NLT products presents two drawbacks (Figure 4). One drawback is discontinuous DN values in NLT products across different years. In Figure 4a, the sum of DN values obtained from satellites F10, F12, and F14 increased stably, whereas three abnormal values occurred in F152003, F162005, and F182011 NTL products. The other drawback are incompatible DN values of NLT products in the same year among different individual images, including F10 and F12 in 1994, F12 and F14 from 1997 to 1999, F14 and F15 from 2000 to 2003, and F15 and F16 from 2004 to 2007.

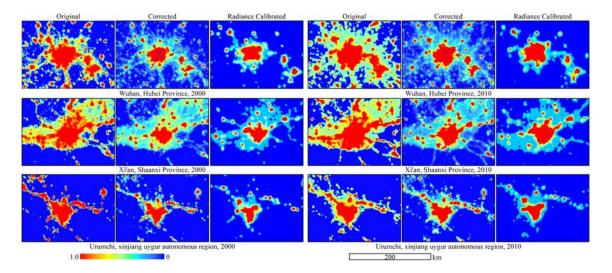


**Figure 4.** The sum of Digital Number (DN) values of the (**a**) original and (**b**) corrected NLT products in China.

The sum of DN values showed an increasing trend in the periods of 1992–2006 and 2009–2011 after inter-calibration, geometric, and incompatibility corrections. However, two abnormal peak values remained between 2008 and 2012 (Figure 4b). The positive direction adjustment led to larger sums of DN values, whereas the negative-direction adjustment resulted in lower sum DN values. The average of the bi-direction adjustment results was close to the original value, that is, neither enlarged

nor largely reduced. Therefore, the synergistic use of inter-calibration and geometric corrections, incompatibility, and discontinuity correction could be applied to obtain consecutive and compatible NLT products.

To further explore the accuracy of the corrected NLT products after the adjustment based on vegetation information, three sample cities (Wuhan, Xi'an, and Urumchi) were selected for normalization and comparison in this work. The saturation and light overflowing of the original NLT products resulted in misjudgment of residential extents, especially overestimation in water areas and peripheral regions (Figure 5). For the entire urban areas, the DN values of the original NTL products were saturated in the urban core and the variations in inner-urban areas were undetectable. For example, the original NLT products showed the entirety of Wuhan, Xi'an, and Urumchi as saturated and only detected the general outline of each city. By contrast, the corrected NLT products presented more similar light information to the radiance calibrated NLT products released by NOAA's National Centers for Environmental Information (NCEI) and described much detail, in which small county towns were significant with the urban core and the Yangtze River in Wuhan. Moreover, the characteristics of urban sprawl from space were retained in the corrected NLT products. Hence, the corrected NLT products were highly feasible for the following population spatialization.



**Figure 5.** Normalized NLT products before and after adjustment based on vegetation information for selected cities.

# 4.2. Evaluation of the Spatialized Population

The spatialized population in China could be divided into nine types on the basis of the population density classification standard [55,56], namely, core concentration ( $\geq$ 1000 per km<sup>2</sup>), high concentration (500–1000 per km<sup>2</sup>), moderate concentration (400–500 per km<sup>2</sup>), low concentration (200–400 per km<sup>2</sup>), general transition (100–200 per km<sup>2</sup>), relatively sparse (50–100 per km<sup>2</sup>), sparse (25–50 per km<sup>2</sup>), extremely sparse (1–25 per km<sup>2</sup>), and basic no-man (<1 per km<sup>2</sup>) types; these types accounted for 3.00%, 3.66%, 1.91%, 6.66%, 5.89%, 3.60%, 1.64%, 0.68%, and 72.96% of China in 2000, respectively. Compared with census data, the spatialized population clearly described the spatial heterogeneity of the population distribution in detail and presented differences in population density between urban cores and the peripheral regions (Figure 6).

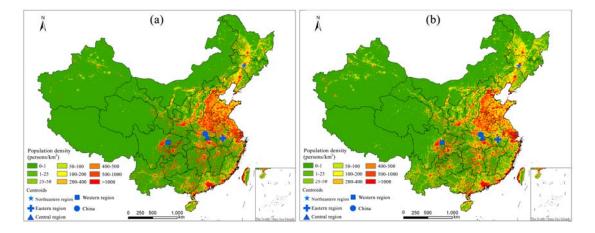
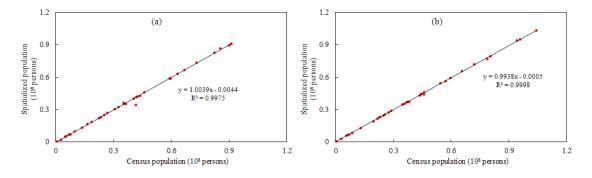


Figure 6. Simulated population density (per km<sup>2</sup>) spatialized for China in (a) 2000 and (b) 2010.

Considering the availability of census data, quantitative tests were performed at national and provincial scales in this study. According to the census data, the total population of China in 2000 and 2010 were 1272.11 million and 1363.62 million, respectively. The total population simulated from the corrected NLT products were 1270.18 million and 1355.91 million in 2000 and 2010, respectively, which were underestimated, resulting from some zero values of the NLT products, but the magnitude and size of their absolute errors (less than 0.80%) were small and could be neglected. Therefore, the spatialized population at national scale was reliable. To verify the accuracy, objectivity, and authenticity of the spatialized population, the spatialized population was evaluated at the provincial level and above by referring to the census data of 28 provinces (Hebei, Shanxi, Inner Mongolia, Liaoning, Jilin, Heilongjiang, Jiangsu, Zhejiang, Anhui, Fujian, Jiangxi, Shandong, Henan, Hubei, Hunan, Guangdong, Guangxi, Hainan, Sichuan, Guizhou, Yunnan, Tibet, Shaanxi, Gansu, Qinghai, Ningxia, Xinjiang, and Taiwan), four municipalities (Beijing, Tianjin, Shanghai, and Chongqing), and two special administrative regions (Hong Kong and Macao). Figure 7 indicates that the spatialized population had a linear correlation with the census data at the provincial level in 2000 and 2010, thereby showing a high accuracy of the spatialized population. Considering the lack of other reference data, especially census data of village-town administrative divisions, no further test was conducted in this work. However, the previous verifications have suggested the feasibility of the proposed correction and population spatialization methodology for NTL products.



**Figure 7.** Relationships between the census data and the spatialized population at the provincial level in (**a**) 2000 and (**b**) 2010.

# 4.3. Spatio-Temporal Differences of Estimated Population Distribution and Its Dynamics in Chinese Four *Economic Regions*

The total population of the estimated results in China increased from 1270.18 million in 2000 to 1355.91 million in 2010 with a slight increase in the average population density from 134 persons per km<sup>2</sup> to 143 persons per km<sup>2</sup>. Chinese population distributions and dynamics showed obvious regional differences. With the highest urbanization level [57], the eastern region was the most populous with a high population density increasing from 503 persons per  $\text{km}^2$  in 2000 to 566 persons per  $\text{km}^2$  in 2010. This region covered 34.33%, 38.65%, 43.53%, 38.11%, 28.19%, 24.60%, 19.20%, 10.16%, and 3.77% of core concentration, high concentration, moderate concentration, low concentration, general transition, relatively sparse, sparse, extremely sparse, and basic no-man types of China, respectively. The central region covered only 10.85% of national territory but reflected 27.12% of the population of China and became the second most populous region with an average population density increasing from 335 persons per  $\text{km}^2$  in 2000 to 346 persons per  $\text{km}^2$  in 2010. This region consisted of 28.33% of the core concentration type, 32.97% of the high concentration type, 29.64% of the moderate concentration type, 24.15% of the low concentration type, 15.15% of the general transition type, 10.38% of the relatively sparse type, 8.13% of the sparse type, 4.01% of the extremely sparse type, and 7.56% of the basic no-man type in China. As the old industrial base of China, the northeastern region was the smallest economic region, covering 5.50%, 4.82%, 7.54%, 17.35%, 33.33%, 38.37%, 30.44%, 15.66%, and 6.30% of core concentration, high concentration, moderate concentration, low concentration, general transition, relatively sparse, sparse, extremely sparse and basic no-man types, respectively. This region reflected only 8.15% of the national population. Lower than the national average, the average population density in the northeastern region was only 132 persons per km<sup>2</sup> in 2000 and increased to 138 persons per km<sup>2</sup> in 2010. The western region included 31.78%, 23.52%, 19.27%, 20.36%, 23.29%, 26.60%, 42.19%, 70.13%, and 82.36% of the core concentration, high concentration, moderate concentration, low concentration, general transition, relatively sparse, sparse, extremely sparse, and basic no-man types, respectively. Although this region was the largest region among the four economic regions, it showed the sparsest average population densities of 52 and 53 persons per km<sup>2</sup> in 2000 and 2010, which were far lower than the national averages and increased by only 1 person per km<sup>2</sup> in 10 years.

In general, the population-weighted centroid of China moved from the northwest to the southeast direction at a speed of 2.02 km per year from 2000 to 2010 (Table 4). The moving trends of the population-weighted centroids in the eastern, northeastern, and western regions were similar, that is, from the northeast to the southwest. The eastern region presented the most rapidly-moving speed (2.55 km per year), and it was followed by the western (2.32 km per year) and northeastern (0.93 km per year) regions. The moving trend of the population-weighted centroid in the central region was similar to the national trend, that is, from the northwest to the southeast. However, the moving distance in this region was the smallest among all moving distances of the four regions, at a speed of only 0.58 km per year.

Region –	2000		20	2010		Moving
	Longitude	Latitude	Longitude	Latitude	Direction	Distance (km)
Eastern	117°23′06″	31°09′26″	117°18′56″	30°56′16″	Southwest	25.52
Central	114°01′26″	31°36′40″	114°02′09″	31°33′37″	Southeast	5.82
Northeastern	124°58'41"	43°36′07″	124°56′09″	43°31′28″	Southwest	9.33
Western	105°24′21″	31°03′47″	105°10′02″	31°00′48″	Southwest	23.17
China	113°38′49″	32°23′04″	113°42′13″	32°12′42″	Southeast	20.15

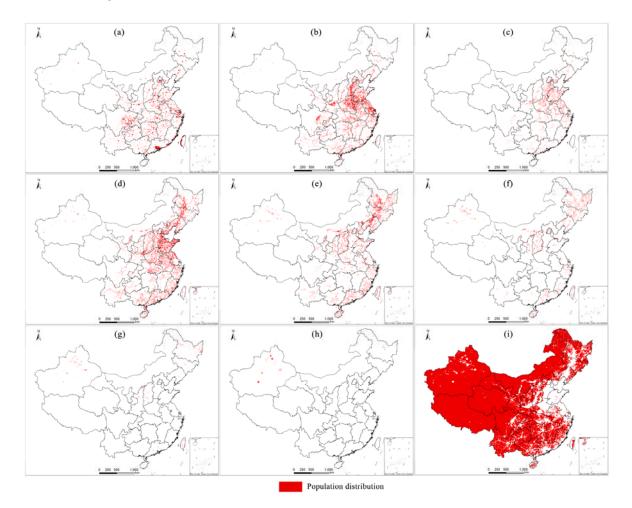
Table 4. The distribution and d	ynamics of Chinese	population-weighted	centers in 2000-2010.

# 4.4. Spatio-Temporal Differences of the Estimated Population Distribution and Its Dynamics among Nine-Level Population Density Types

The population distribution and dynamics among nine-level population density types exhibited significant differences. The core concentration type was the most populous, loading 55.27% population of China with a high average population density of 2587 persons per km<sup>2</sup> in 2000 (Figure 8). This type was mainly distributed in core cities with high urbanization levels and active social economic activities, especially in the provincial capitals and above in the Chinese urban agglomerations, such as Beijing in Beijing–Tianjin–Hebei urban agglomeration, Shanghai in the Yangtze River Delta, Chengdu, Chongqing in the Chengdu-Chongqing urban agglomeration, and Guangzhou in the Pearl River Delta. Though the high concentration type was 0.51 times greater than the core concentration type, its population density (685 persons per km<sup>2</sup>) was only a quarter of that in the core concentration type in 2000. The moderate concentration type was the transition type from the high concentration type to the low concentration type, with an average population density of approximately 448 persons per km<sup>2</sup> in 2000. The distribution of the high and moderate concentration types was similar, mainly distributing in the relatively-developed regions, especially in the North China Plain. The low concentration type presented an average population density of 293 persons per km<sup>2</sup> but possessed the second largest coverage. This type was mainly distributed in the three main plains of China (North China Plain, Northeast China Plain, and Yangtze Plain) and southeast coastal areas. The general transition type mainly aggregated in the Northeast China Plain, Shanxi, the north of Xinjiang, and southeast coastal areas with an average population density of 149 persons per km<sup>2</sup>. The relatively sparse, sparse, extremely sparse, and basic no-man types accounted for 82.28% of the national territory, but only reflected 0.97% of the Chinese population in 2000 with low average population densities of 76 persons per km<sup>2</sup>, 38 persons per km<sup>2</sup>, 14 persons per km<sup>2</sup>, and 0, respectively. The relatively sparse type had the similar distribution to the general transition type. The sparse and extremely sparse types mainly scattered in Xinjiang, the north of Heilongjiang, and the central and east regions of Taiwan. Among the nine types, the basic no-man type was the largest with the sparsest population distribution, mainly distributed in Inner Mongolia, Xinjiang, Tibet, the west part of Qinghai, and the central part of Taiwan.

Population distribution among nine-level population density types became wider in 2010 than in 2000 and sprawled from dense areas to sparse areas with amounts of basic no-man-type losses. In general, a net decrease occurred in the high concentration and basic no-man types, whereas a net increase emerged in the seven other types of population density (Figures 6 and 9, and Table 5). The basic no-man type was the sharpest decreasing type with a high net decreased area of 688,688 km<sup>2</sup>, which mainly transferred into the relatively sparse, sparse, and extremely sparse types. The second obviously decreasing type was the high concentration type with a net decreased area of 62,739 km<sup>2</sup>, which was less than one tenth of that in the basic no-man type and mainly occurred in Shandong and Jiangsu Provinces. The population-weighted centroid of this type moved slightly at a speed of 10.44 km per year from Baitong of Henan Province in 2000 to Zaoyang of Hubei Province along the southwest direction. The first three largest net increase types were the general transition, relatively sparse, and low concentration types, with the net increase at 245,668 km<sup>2</sup>, 200,537 km<sup>2</sup> and 125,423 km<sup>2</sup>, respectively. During 2000–2010, net increase areas of these three types mainly distributed in the eastern, central, and northeastern regions with the population-weighted centroids moving along the southwest direction at a speed of 32.17 km, 33.20 km, and 23.96 km per year, respectively. The net increase in the sparse and extremely sparse types were 118,263 km<sup>2</sup> and 49,324 km<sup>2</sup>, respectively, which were mainly located in Xinjiang, the middle reach of the Yellow River, and the northeastern region, with the population-weighted centroids moving along the opposite directions at the speed of 20.36 km and 23.60 km per year, respectively. The net increase in the core concentration type was 12,187 km<sup>2</sup>, which was only larger than that in the moderate concentration type, and mainly distributed in the edge of core cities of Chinese urban agglomerations, such as peripheral regions of Beijing, Tianjin, and Shijiazhuang in the Beijing–Tianjin–Hebei urban agglomeration, Shanghai, Nanjing, and Hangzhou in the Yangtze River Delta, and Guangzhou, Shenzhen, and Zhuhai in the Pearl River Delta. The

population-weighted centroid of the core concentration type transferred along the northeast direction with a moving distance of 110.77 km, only larger than that in the high concentration type. The net increase in the moderate concentration type ( $25 \text{ km}^2$ ) was the least, and mainly appeared in the south of the Shandong Peninsula urban agglomeration with the population-weighted centroid moving 174.42 km along the southwest direction.

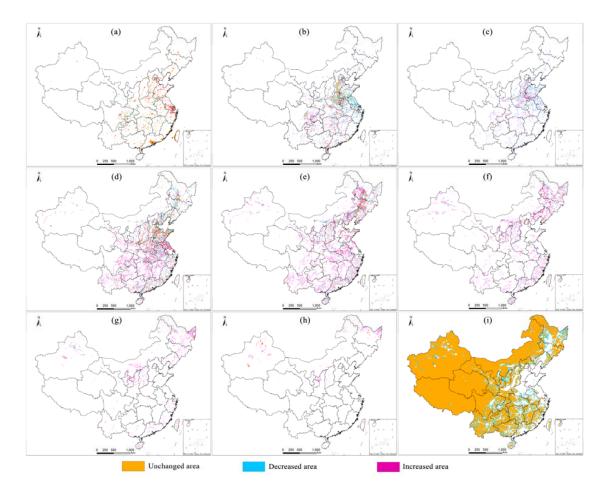


**Figure 8.** Population distribution of nine-level types in 2000, including (**a**) core concentration, (**b**) high concentration, (**c**) moderate concentration, (**d**) low concentration, (**e**) general transition, (**f**) relatively sparse, (**g**) sparse, (**h**) extremely sparse, and (**i**) basic no-man types.

Table 5. The dynamics of subarea and population-weighted centers in 2000–2010.

Region	Increase (km <sup>2</sup> )	Decrease (km <sup>2</sup> )	Net Increase (km <sup>2</sup> )	Moving Direction	Moving Distance (km)
G1	87,472.00	75,285.00	12,187.00	Northeast	110.77
G2	176,685.00	239,424.00	-62,739.00	Southwest	104.43
G3	146,477.00	146,452.00	25.00	Southwest	174.42
G4	395,533.00	270,110.00	125,423.00	Southwest	239.58
G5	421,921.00	176,253.00	245,668.00	Southwest	321.68
G6	289,366.00	88,829.00	200,537.00	Southwest	332.03
G7	142,121.00	23,858.00	118,263.00	Southwest	203.59
G8	54,661.00	5337.00	49,324.00	Northeast	235.97
G9	51,698.00	740,386.00	-688,688.00		

Note: G1, G2, G3, G4, G5, G6, G7, G8, and G9 indicate the core concentration, high concentration, moderate concentration, low concentration, general transition, relatively sparse, sparse, extremely sparse, and basic no-man types, respectively.



**Figure 9.** Population distribution evolution of nine-level types in 2000–2010, including (**a**) core concentration, (**b**) high concentration, (**c**) moderate concentration, (**d**) low concentration, (**e**) general transition, (**f**) relatively sparse, (**g**) sparse, (**h**) extremely sparse, and (**i**) basic no-man types.

In total, population-weighted centroids of the nine population density types mainly distributed in urban agglomerations of the eastern and central regions, of which high-density types are located in the Yangtze River Delta and Central Plains urban agglomeration and low-density types are scattered in the Shandong Peninsula urban agglomeration, Beijing–Tianjin–Hebei urban agglomeration, and Huhhot–Baotou–Ordos–Yulin urban agglomeration. Notably, high population density meant short moving distance of the population-weighted centroid.

#### 5. Discussion

This work was an attempt to reveal the spatio-temporal characteristics of population distribution and dynamics using corrected NLT products. Although previous studies [1,30,46–48] have explored various correcting methods of NLT products and have shown the feasibility of NLT products on population simulation, studies in synergistic application of systematic correction of NLT products and population spatialization are scarce. Though case studies on population spatialization with high accuracy existed, they concentrated mainly on early years before 2000 and used complicated steps [1,30,32,48]. Furthermore, detailed analysis on the evolution of spatio-temporal characteristics of the Chinese population is relatively lacking. In this work, these three issues are all solved.

Under powerful guidance of policies, such as the planning of the coastal economic belt development proposed in 2009, the eastern region presented the most rapidly-moving speed of the population-weighted centroid and the strongest attraction for the population with a relatively flat terrain, convenient transportation by sea and land, and a developed economy, which was also

supported by Yu et al. [58] and Shi et al. [51]. The central region, which is the second most developed economic region, exhibits congenital advantages in population growth with rich natural resources, convenient land transportation, relatively developed economy, and abundant agriculture and modern industry. According to Wang et al. [59], the population increase and the slowest movement of the population-weighted centroid along the southeast direction in the central region were influenced by the improvement of residents' income and living standards. The northeastern region is located in the core area of East Asia. The region exhibits great reclamation potential with abundant black soil, but its population is less than that in the eastern and central regions as a result of its relatively high latitude and low temperature, and presented a movement of the population-weighted centroid along the southwest direction, which was consistent with the movement of socio-economic and land urbanization proposed by Gan et al. [60]. The results showed a constantly-improved coupling relationship between the population and land urbanization in the northeastern region, which supported the standpoint of Guo et al. [61]. Compared with the three other regions, the western region is undeveloped and possesses relatively weak advantages for population growth owing to its inconvenient transportation and special climate environment, which was consistent with Qian et al. [62]. In the present work, the obviously different natural and social-economic characteristics of the four regions resulted in significant regional differences of population distribution and evolution. The eastern region presented the densest population and the largest population density increase, and it was followed by the central and northeastern regions, and the western region with the smallest population density, which was consistent with the results of Zhuo et al. [32] and Zeng et al. [1].

Although corrected NLT products were successfully used for population spatialization of China in 2000 and 2010, two limitations still existed. (1) In accordance with Zhang et al. [43], the adjustment based on vegetation information was completed and impaired light overflowing to some extent. However, the adjustment was still limited and needs to be further improved because of its unobvious effect in core areas of cities. This finding coincided with the results of Li [63] and Ni [64]. (2) Zero population density could not reflect the actuality in some places, such as some lagged villages with relatively unpopular electricity. To address these limitations, spatial interpolation, geographical factor fitting, and other new approaches should be considered in further studies.

# 6. Conclusions

In this study, 34 obtained NLT products were corrected and the corrected NLT products were then applied to the subsequent population spatialization. The conclusions obtained are as follows:

(1) The inter-calibration, geometric, and incompatibility and discontinuity corrections successfully eliminated abnormal and incompatible DN values in the original NLT products. The adjustment based on vegetation information efficiently impaired saturation and light overflowing to some extent, especially in the peripheral regions.

(2) The population spatialization method was easy to execute and available for the subsequent analysis on population distribution and evolution in China. During verification using limited reference data, the spatialized population distribution maps presented low relative errors with less than 0.80% at the national scale and robust linear correlation at the provincial scale.

(3) The spatio-temporal characteristics of population distribution and evolution exhibited obvious regional differences in the four economic regions. Among the four regions, the eastern region possessed the densest population, the quickest population density increase, and the most rapidly-moving speed of the population-weighted centroid along the southwest direction. The central region exhibited the second densest population, the second quickest population density increase, and the slowest moving speed of the population-weighted centroid along the southeast direction. The northeastern region presented the third most rapidly-moving speed of the population-weighted centroid along the southwest direction. The western region showed the sparsest population, the slightest population density increase, and the slowest direction. The western region showed the sparsest population, the slightest population density increase, and the southwest direction.

(4) The population distribution and dynamics among the nine-level population density types exhibited significant differences. The high-density types showed a dense population and slow moving speed of the population-weighted centroids. By contrast, the low-density types exhibited sparse population and rapid moving speed of the population-weighted centroids. The population dynamics and moving direction of the population-weighted centroids exhibited apparent differences with a net decrease occurring in high concentration and basic no-man types. A net increase emerged in the seven other population density types. Population-weighted centroids moved along the northeast direction for the core concentration and extremely sparse types, whereas they moved along the southwest direction for the seven other types.

In summary, the application of the corrected NLT products is an effective method to spatialize the population to grid units of 1 km  $\times$  1 km and exhibit the spatial heterogeneity of the population distribution in detail. As the important databases of Chinese population, the estimated results are available for recognizing population density, formulating reasonable population planning as a reference, and providing scientific accordance for other corresponding studies and strategies in China. In addition, by the synergistic use of the estimated results and feasible prediction models, the trend of population increase and dynamics in the future periods should become available.

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**Author Contributions:** Sisi Yu conceived and designed the experiments and processed the data. Based on the processed data, Sisi Yu and Fang Liu analyzed the data and drafted the initial manuscript. Fang Liu and Zengxiang Zhang reviewed and commented on the manuscript.

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