

Article

# Updating of Land Cover Maps and Change Analysis Using GlobeLand30 Product: A Case Study in Shanghai Metropolitan Area, China

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**Abstract:** Accurate land cover mapping and change analysis is essential for natural resource management and ecosystem monitoring. GlobeLand30 is a global land cover product from China with 30 m resolution that provides reliable data for many international scientific programs. Few studies have focused on systematically implementing this global land cover product in regional studies. Therefore, this paper presents an object-based extended change vector analysis (ECVA-OB) and transfer learning method to update the regional land cover map using GlobeLand30 product. The method is designed to highlight small and subtle changes through the concept of uncertain area analysis. Updating is carried out by classifying changed objects using a change-detection-based transfer learning method. Land cover changes are analyzed and the factors affecting updating results are explored. The method was tested with data from Shanghai, China, a city that has experienced significant changes in the past decade. The experimental results show that: (1) the change detection and classification accuracy of the proposed method are 83.30% and 78.77%, respectively, which are significantly better than the values obtained for the multithreshold change vector analysis (MCVA) and the multithreshold change vector analysis and support vector machine (MCVA + SVM) methods; (2) the updated results agree well with GlobeLand30 2010, especially for cultivated land and artificial surfaces, indicating the effectiveness of the proposed method; (3) the most significant changes over the past decade in Shanghai were from cultivated land to artificial surfaces, and the total area containing artificial surfaces in Shanghai increased by about 55% from 2000 to 2011. The factors affecting the updating results are also discussed, which be attributed to the classification accuracy of the base image, extended change vector analysis, and object-based image analysis.

**Keywords:** GlobeLand30; extended change vector analysis; change detection; object-based method; transfer learning

## 1. Introduction

With the rapid growth of the global population and economic developments, urbanization has taken place at an unprecedented rate all over the world [1]. The extent of this urbanization is constantly increasing, resulting in land use and land cover types undergoing dramatic changes all over the world. Accurate land cover mapping and change analysis are of great importance to natural resource management [2,3], ecosystem monitoring [4], urban planning [1], sustainable development [5], and other social aspects [6,7]. Remotely sensed data can provide repeat observations of most of the Earth's surface over the long term. As such, remote sensing is considered an effective

tool for large-scale land cover mapping and change analysis [8–14]. Many global land cover products have been established, such as the University of Maryland land cover dataset (UMD) [8]; DIScover [15]; Moderate-resolution Imaging Spectroradiometer (MODIS) land cover product (MOD12) [16,17]; Global Land Cover Database for the Year 2000 (CLC2000) [18]; GlobeCover 2009 [19]; Global Land Cover by National Mapping Organizations (GLCNMO) [20]; and GlobeLand30 [21]. These products have provided reliable data for a series of international scientific research programs. To maintain the value of existing land cover products, consistent and timely updating is essential.

Land cover maps and databases are generally updated through remote sensing imagery by either entire updating or local updating. Entire updating is applied to the whole land cover map by means of data acquisition, pre-processing, and production. Remote-sensing-based image classification is the key, and auxiliary data are usually involved. For example, the National Land Cover Database (NLCD) for the year 1992 was the first regional 30 m resolution land cover product for the United States [22]. An unsupervised classification strategy was implemented for NLCD 1992. The NLCD 2001 used the decision tree algorithm to classified the images. This included three thematic layers, namely land cover, percent imperviousness, and percent tree canopy [23,24]. The Chinese government started work on a global land cover (GLC) mapping program in 2010, aiming to produce a global GLC product at 30 m resolution (GlobeLand30) for the years 2000 and 2010. To achieve this, an automatic classification method, called the pixel-object-knowledge (POK)-based approach, was implemented independently for these two datasets [21]. Yu et al. [25] improved the finer resolution observation and monitoring of global land cover (FROM-GLC) using time-series MODIS data, with the aid of bioclimatic data, a digital elevation model (DEM), and a soil–water conditions map. To improve the accuracy, a spatial temporal sample selection criterion and two classifiers were applied. In entire updating, the land cover map is reclassified independently, which can avoid the cumulative error of the previous land cover map. However, this method often involves large-scale data acquisition and processing, which are both labor- and cost-intensive, and can take five years from image acquisition to product release [1,2]. The land cover can undergo dramatic changes during production in some regions, which greatly affects the effectiveness of the product.

Local updating has been proposed to address these issues, where only the changed areas are updated. Image-based change detection often involves local updating, which significantly reduces the production period and cost. Reliable training samples can be obtained from the unchanged areas by transfer learning. Transfer learning or domain adaption uses the available information in the source domain to classify the target domain, providing a good solution to the problem of insufficient training samples [26]. For example, Xian et al. [2] developed a multithreshold change vector analysis (MCVA) method to extract the changes, and they updated the NLCD from 2006 to 2011. The advantages of this method are that it considers the change magnitude variation of the different kinds of land covers and can satisfactorily and cost-effectively update the land cover map. As a result, it has been adopted in a number of studies [27]. However, this method uses change magnitude as the only feature to extract change information, which often leads to underextraction. An automatic approach was proposed to update land cover maps utilizing integrated change detection and classification methods. Changed information was extracted using a change vector analysis based on posterior probability (CVAPS) and post-classification comparison [11]. Jin et al. [28] proposed a multi-index integrated change analysis (MIICA) model to extract changes and used it to update the NLCD from 2006 to 2011. The Alaska NLCD was updated from 2001 to 2011 and the changes were described with different drivers [29]. Hu et al. [30] combined CVAPS and normalized difference vegetation index (NDVI) data to update GlobeCover 2009 to 2014. The land cover maps were renewed by relational knowledge transfer from GlobeLand30, where transfer learning samples were optimized by indices and auxiliary data [31]. In addition, a number of change-detection-based updating methods for small areas have been proposed, such as reweighted multivariate iteration detection, change-detection-driven transfer learning (CDTL), and domain adaption [26–34].

Great progress has been achieved in land cover updating, but some issues still remain: (1) Land cover mapping over large and especially rapidly urbanizing regions is extraordinarily difficult because of inter-scene variability [35], lack of sufficient training samples, and diversity of land cover types. Strategies are needed to decrease the confusion between land cover types. (2) Traditional change detection methods struggle with small and subtle changes due to the use of the mean spectral difference in change vector analysis (CVA) and the complexity of changes over large areas. Hence, some methods suitable for small areas lead to underextraction in large areas. It is critical to improve the extraction of small and subtle changes. (3) The global existing land cover products, e.g., GlobeLand30, have provided reliable data for global change research. Few studies have focused on systematically implementing the existing global land cover products in regional land cover updating studies. Comprehensive research and an analysis of the factors affecting the land cover updating results are needed.

The current paper presents a novel method for updating the land cover map of Shanghai for the period 2000–2011 and for analyzing the changes during this time period. Three principal issues are investigated: (1) an improved change detection method highlights small and subtle changes so as to resolve the problem of underextraction with traditional CVA methods; (2) taking Shanghai as a case study, the land cover map is updated to 2011 using GlobeLand30 2000 as the base map and the problem of land cover confusion over large areas is addressed; (3) the land cover changes and transitions during this period are analyzed.

The remainder of this paper is organized as follows. Section 2 discusses the study area and the datasets we used. Section 3 presents the methodology. An experiment comparing the proposed method to others is conducted, and its results are analyzed in Section 4. Factors affecting the updating results are investigated and discussed in Section 5. We present our conclusions in Section 6.

## 2. Study Area and Data Sets

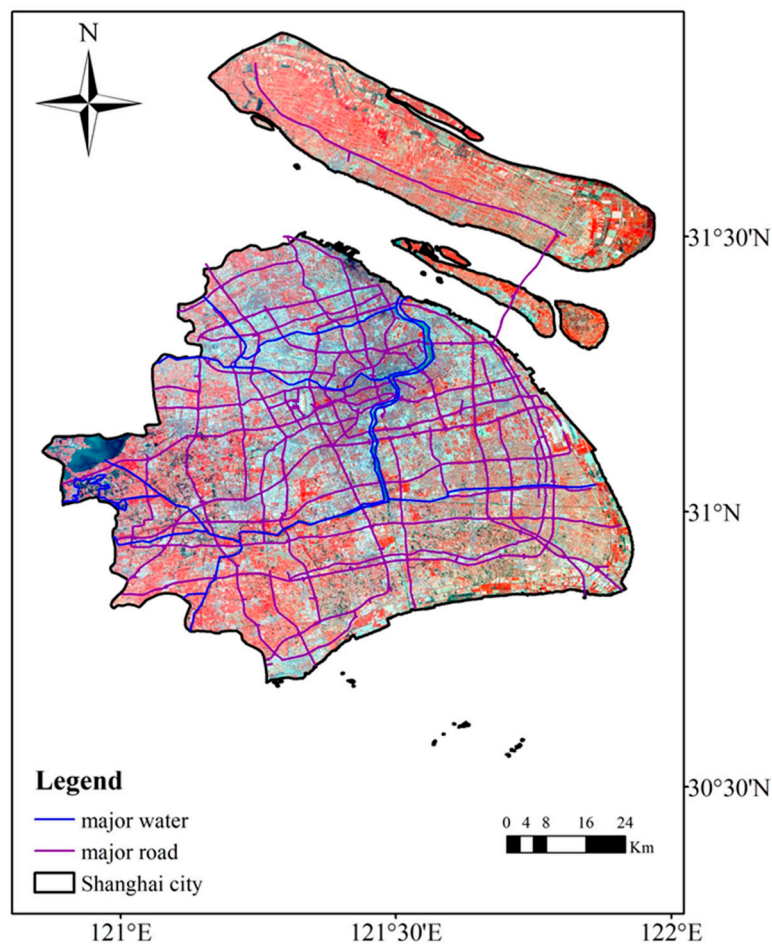
### 2.1. Study Area

Shanghai was chosen as the study area. As one of the largest cities in China and a major financial and economic center, it covers an area of about 6340 km<sup>2</sup> in the east of the country, between 30°40'N/31°53'N and 120°52'E/122°12'E (Figure 1). The population was about 23.03 million in 2010, and is expected to rise to 28.40 million by 2025 [36]. Table 1 shows the land cover statistical results from GlobeLand30 2000 in Shanghai. It was found that there are five main land cover types, namely cultivated land, grassland, water bodies, wetland, and artificial surfaces. Cultivated land mainly includes paddy fields and dry farm land, which accounts for about 66% of the total area. Forest land is rare and only accounts for less than 0.05% of the total area. The aforementioned five land cover types are enough to constitute the class system for the study area. Therefore, the proposed method only considers the abovementioned five land cover types.

**Table 1.** Land cover statistical results from GlobeLand30 2000 in Shanghai.

Land Cover Types	Area (Km <sup>2</sup> )	Percent (%)
Cultivated land	4478.66	65.88
Forest	2.96	0.04
Grass land	18.78	0.28
Wetland	106.14	1.56
Water bodies	396.34	5.83
Artificial surface	1795.07	26.41

From 2000 to 2011, the Shanghai metropolitan area saw significant land use and land cover (LULC) changes, characterized by the expansion of artificial surfaces and the decrease of cultivated land. Water bodies and wetland areas are also highly dynamic [37]. Therefore, the study area presents a good scenario to test our approach.



**Figure 1.** Study area in Shanghai, China.

## 2.2. Data Sets

### 2.2.1. GlobeLand30

GlobeLand30 is a 30 m resolution global land cover dataset consisting of two products: GlobeLand30 2000 and GlobeLand30 2010 [21]. The images are mainly from Landsat Thematic Mapper (TM), Enhanced Thematic Mapper Plus (ETM+), and the Chinese Environmental Disaster Alleviation Satellite (HJ-1). GlobeLand30 implements a POK-based classification approach using a variety of ancillary data, e.g., the existing global land cover products, DEM, and global lake and Wetland databases are used. We used GlobeLand30 2000 as the base map to update and GlobeLand30 2010 as the reference to assess the agreement of the obtained results.

### 2.2.2. Landsat Imagery

Two scenes of Landsat TM data (118/38 and 118/39) cover the whole administrative area of the city of Shanghai. The remotely sensed data used in GlobeLand30 in Shanghai are from Landsat 7 ETM+. However, there are stripes in the Landsat 7 images due to a scan line corrector (SLC) failure on 31 May 2003; hence, the Landsat 5 TM images with exactly the same spatial resolutions and wavelengths in both the visible and near-infrared bands were selected in this research. These images make a good substitute and they do not require strip repair. After full consideration of the seasonal, phenological, cloud contamination, and temporal coherence issues for GlobeLand30, four scenes of remote sensing images were selected. Table 2 shows information on the images used in the study area, from which it can be seen that temporal gaps are restricted to about a month. For example, for scene 118/38, the Landsat 7 data have the same dates as GlobeLand30 in the year 2000. For scene 118/39 in 2000 and

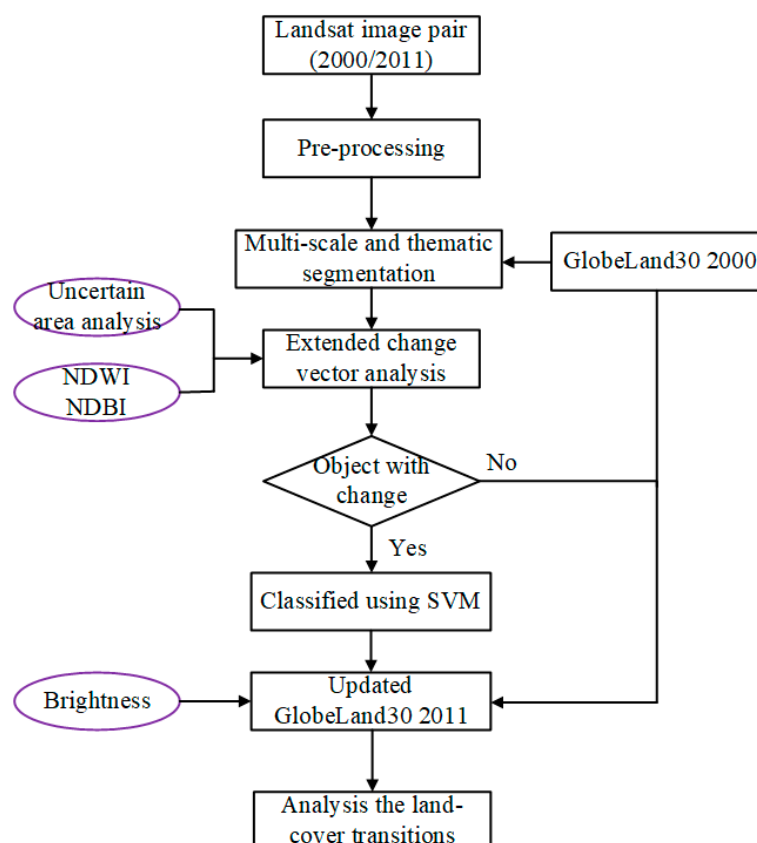
scene 118/38 in 2011, the differences between both are 24 days. However, the data for scene 118/39 in 2011 differ by about three months because no cloud-free image pairs were available. However, this scene accounts for a small part of the city. Overall, the data adopted in this study were consistent with GlobeLand30, and thus provided a good basis for updating and subsequent accuracy verification.

**Table 2.** Data used in the study.

Year	Path/Row	Data Used in GlobeLand30		Data Used in This Study	
		Sensor	Data	Sensor	Date
2000	118/38	Landsat 7	2001/07/03	Landsat 7	2001/07/03
	118/39	Landsat 7	2000/06/14	Landsat 5	2000/05/21
2011	118/38	Landsat 7	2011/04/26	Landsat 5	2011/05/20
	118/39	Landsat 7	2011/02/05	Landsat 5	2011/05/20

### 3. Method

The framework of the proposed approach is illustrated in Figure 2, which has the following main steps: (1) data pre-processing involving radiometric and atmosphere corrections, image co-registration, and multiresolution segmentation; (2) change detection with object-based extended change vector analysis (ECVA\_OB); (3) updating of GlobeLand30 2000 to 2011; and (4) analysis of the land cover transitions during the past decade.



**Figure 2.** Framework of the proposed method.

#### 3.1. Data Pre-Processing and Multiresolution Segmentation

Landsat 5 L1T data with terrain correction were downloaded from <https://glovis.usgs.gov/>. Atmospheric correction converted the digital number to the top of the atmospheric reflectance [38].

These images were registered with an error of less than 0.5 pixels. Relative radiometric correction eliminated the radiometric differences between multitemporal satellite images. Among the many relative normalization methods, a linear regression method was selected [1,2]. Images from the year 2000 were normalized to those from 2011 using parameters estimated from 4200 pseudo-invariant feature points.

Multiscale segmentation segmented the images into objects. The segmentation method initialized each pixel as a single segment, and spatially adjacent objects were merged according to the degree of heterogeneity [39]. The iterations stopped when there were no more possible merging steps [40–42]. The most important parameters in segmentation were the scale and shape.

As there are great differences in the average sizes of objects in different land cover types, we established a three-level hierarchy of objects by land cover types, with scale parameters of 30, 50, and 100. Grassland is rare and scattered in the study area, so level 1 was designed to detect the changes of grassland. Objects generated at level 2 were prepared to detect changes of water bodies, wetlands, and artificial surfaces, and those at level 3 detected changes of cultivated land. The parameters of color and shape were set to 0.9 and 0.1, respectively, according to previous studies [43,44]. GlobeLand30 2000 served as the thematic layer for segmentation to prevent generated objects from passing through the boundaries of different land cover types [41].

### 3.2. Change Detection with Extended Change Vector Analysis

Change detection (CD) is supposed to identify changes occurring over the same area at different observation times. It has been extensively applied in LULC monitoring [12,33,45], urban expansion [46,47], ecosystem environment monitoring [4,48], and disaster monitoring [7,49]. Among the current CD methods, CVA is most often used [50]. Assume that  $\mathbf{R}$  and  $\mathbf{S}$  are the pixel values of bitemporal images acquired on dates  $t_1$  and  $t_2$ , respectively:

$$\mathbf{R} = \begin{bmatrix} r_1 \\ r_2 \\ \vdots \\ r_n \end{bmatrix}, \dots, \mathbf{S} = \begin{bmatrix} s_1 \\ s_2 \\ \vdots \\ s_n \end{bmatrix}, \quad (1)$$

where  $n$  is the number of spectral bands. The change vector  $\mathbf{CV}$  and change magnitude  $\Delta\mathbf{V}$  are defined as [2]:

$$\mathbf{CV} = \mathbf{R} - \mathbf{S} = \begin{bmatrix} r_1 - s_1 \\ r_2 - s_2 \\ \dots \\ r_n - s_n \end{bmatrix}, \quad (2)$$

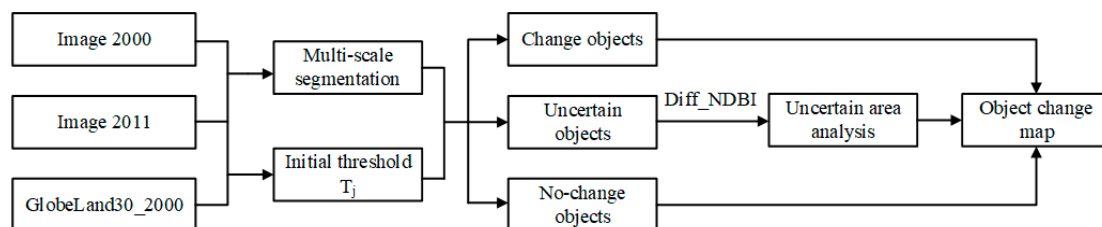
$$\Delta\mathbf{V} = \left[ (r_1 - s_1)^2 + (r_2 - s_2)^2 + \dots + (r_n - s_n)^2 \right]^{1/2}. \quad (3)$$

The most critical step of CVA is to identify an optimum threshold to differentiate changed and unchanged pixels. Xian et al. [1,2] proposed a MCVA method to identify changes for different land cover classes. The threshold value for each land cover type is defined by the following constraints [2]:

$$\mathbf{CV}_j(x, y) = \begin{cases} \text{change} & \text{if } |\Delta\mathbf{V}_j(x, y)| \geq |\bar{\mathbf{V}}_j| + a_j\sigma_j \\ \text{no - change} & \text{if } |\Delta\mathbf{V}_j(x, y)| < |\bar{\mathbf{V}}_j| + a_j\sigma_j \end{cases}, \quad (4)$$

where  $j$  represents a land cover type,  $|\bar{\mathbf{V}}_j|$  and  $\sigma_j$  are the mean and standard deviation, respectively, of the change vector for land cover type  $j$ , and  $a_j$  is an adjustable parameter. This method considers the magnitude variation of different land cover types, through which the accuracy of change detection can be greatly improved. However, it uses the change magnitude as the only feature, which often leads to omission errors because the change vector is not sensitive to some land cover change types [28]. Some small and subtle changes might be suppressed by the use of the mean spectral difference. In addition,

pixel magnitude values close to the threshold might have higher probabilities of misclassification than those far from it [51–53]. More features should be used to highlight small and subtle changes. Therefore, we propose an object-based extended change vector analysis method (ECVA\_OB), which exploits the concept of uncertain area analysis, as introduced in our previous research [53]. This study differs from the previous research as follows: (1) Uncertain area analysis based on a single threshold, as proposed in the previous research, is extended to the multithreshold domain. (2) In the previous research, uncertain pixels were determined by the spectral similarity of the bitemporal images, which implies that all land cover changes use the same feature. However, land cover changes may have different characteristics. Hence, some more appropriate features should be selected in accordance with the characteristics of different land cover changes. For instance, we utilized the differences of the normalized difference built-up index (NDBI) to extract the change information for cultivated land. In this way, some small and subtle changes can be highlighted. (3) The analysis unit is extended from the pixel level to the object level for consistency with GlobeLand30 2010. Figure 3 shows the procedures of ECVA\_OB.



**Figure 3.** Flowchart of object-based extended change vector analysis method (ECVA\_OB) method.

The ECVA\_OB method has two main steps.

Step 1: Calculation of the initial threshold of each land cover type and extraction of the uncertain area:

$$CV_j(x, y) = \begin{cases} \text{changed objects} & \text{If } |\Delta V_j(x, y)| \geq (1 + t) * T_j, \\ \text{unchanged objects} & \text{If } |\Delta V_j(x, y)| \leq (1 - t) * T_j, \\ \text{uncertain objects} & \text{If } (1 - t) * T_j < |\Delta V_j(x, y)| < (1 + t) * T_j, \end{cases} \quad (5)$$

$$T_j = |\bar{V}_j| + a_j \sigma_j \quad (6)$$

As a result, the objects are categorized as changed, unchanged, or uncertain, according to their change magnitude value. In Equation (5),  $t$  is the parameter of the uncertain area, which belongs to  $[0, 1]$  and indicates the percentage of uncertain area around the initial threshold  $T_j$ . We set  $t$  to 0.25 according to our previous research [53]. A classification map is required to calculate  $|\bar{V}_j|$  and  $\sigma_j$ . GlobeLand30 2000 was used for this purpose. As indicated in Equation (5), changed objects usually correspond to relatively large magnitudes, whereas unchanged objects generally have smaller magnitudes. Uncertain objects usually correspond to small and subtle changes that cause difficulty in detecting change information.

Step 2: Uncertain area analysis. This step aims to select more appropriate features for uncertain objects to highlight subtle change information. The main change in Shanghai from 2000 to 2011 was from cultivated land to artificial surfaces, which accounted for more than 70% of all changes. This change is characterized by a relatively low value of NDBI in the pre-time image and a high value in the post-time image. Thus, by calculating the difference of the NDBI values, where NDBI is calculated as [54]:

$$NDBI = \frac{MIR - NIR}{MIR + NIR} \quad (7)$$

the changes from cultivated land to artificial surfaces can be highlighted and easily extracted using a threshold value. As the changes of other land cover types account for only a small proportion

of the total, they can be directly extracted using MCVA. However, the spectral signature of water causes significant differences between bitemporal images, which results in incorrect extraction using this method. Thus, the normalized difference water index is applied to extract water bodies from pre- and post-time images [55]:

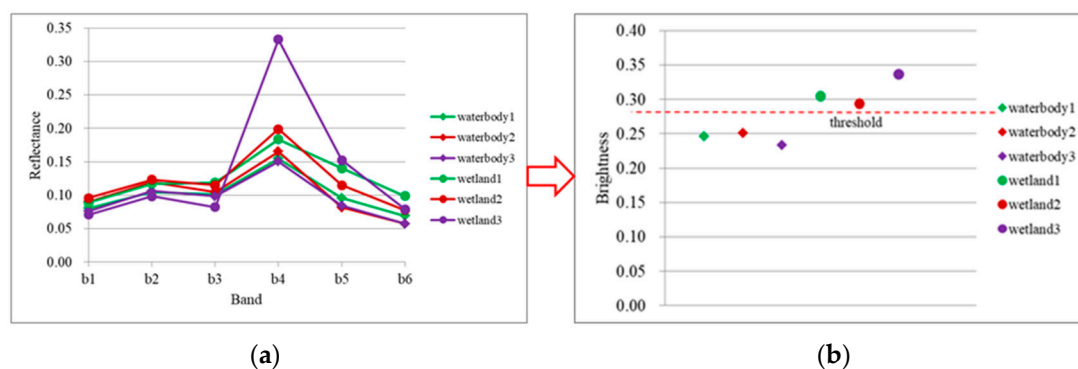
$$\text{NDWI} = \frac{\text{GREEN} - \text{NIR}}{\text{GREEN} + \text{NIR}} \quad (8)$$

Direct comparison is applied to extract the water body changes between the two times. The change map can finally be obtained by integrating the results of the above steps.

### 3.3. Updating of GlobeLand30 to 2011 by Transfer Learning

To update the land cover map for the period ranging from 2000 to 2011, this required reliable and sufficient training samples to train the classifier to discriminate different land cover types. The labels of unchanged objects were transferred to the updated image as the initial training set. Training samples were automatically generated by the stratified random sample method according to the proportion of each land cover type. The changed objects were classified using SVM and unchanged objects were kept the same as in GlobeLand30 2000. We denote this method as ECVA\_OB+SVM in this paper.

The spectral responses of water bodies and wetlands are similar, which often leads to their confusion. The spectral curves of water bodies and wetlands in Figure 4 were extracted from three subareas, each containing 10 samples of these two land cover classes. Figure 5 shows the locations of the three subareas. The average spectral signatures for the water body and wetland classes were calculated. From Figure 4, a significant distinction between water bodies and wetlands is found in the near- and mid-infrared bands. Due to the strong absorption characteristics of water in the near-infrared band, water often corresponds to dark areas in the imagery with low reflectance values. However, wetlands often contain vegetation, which has strong reflectivity in the near-infrared band, leading to higher brightness. This feature can be used to effectively distinguish water bodies and wetlands. As indicated on the right part of Figure 4, water bodies and wetlands were correctly discriminated by the brightness feature. Therefore, objects classified as water bodies or wetlands were reclassified according to their brightness value. An object whose brightness value exceeded the threshold was classified as a wetland area, and otherwise as a water body. The threshold value could be determined by visual inspection.



**Figure 4.** Reflectance and brightness values of water bodies and wetlands. (a) the spectral signatures of waterbody and wetland; (b) the brightness of waterbody and wetland.

### 3.4. Accuracy Assessment

Two kinds of check points were generated using the stratified random sample scheme to quantitatively evaluate change detection and classification accuracy [27]. Figure 5 shows the locations of the check points. We selected 1000 check points to assess the change detection accuracy. The percentages of changed and unchanged types were determined by comparing the results of GlobeLand30 2000 and 2010, and 300 changed and 700 unchanged pixels were finally selected. The check points used to assess the classification accuracy for 2011 were chosen based on the percentage



of each land cover type in GlobeLand30 2010, with at least 30 samples for each category. In total, 1102 check points were generated to evaluate the updating results. The labels of check points were visually interpreted using remotely sensed images and Google Earth. It should be noted that although the check points are pixel-based, when interpreting the labels of these points, the neighborhood information was also incorporated. Figure 6 shows an example of the check points in the 30 m Landsat imagery and Google Earth.

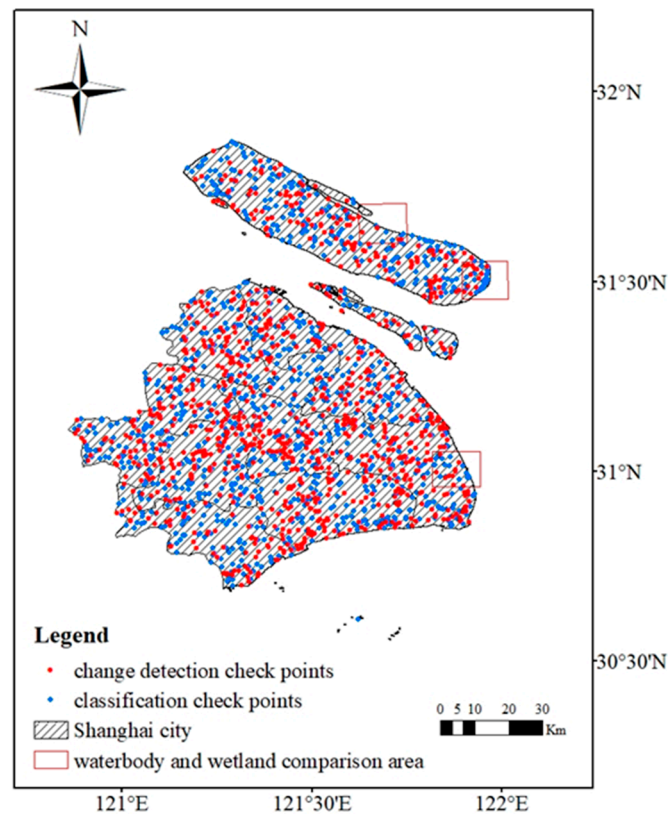


Figure 5. Distribution map of check points.

## 4. Results

### 4.1. Results of Change Detection and Method Comparison

The overall change detection accuracy obtained using the ECVA\_OB method was 83.30%, with a kappa coefficient of 0.6373 (Table 3). The producer's accuracy values for unchanged and changed pixels were 80.86% and 89.00%, respectively. Most changes were effectively extracted using the ECVA\_OB method. ECVA\_OB was also compared to the pixel based multi-threshold change vector analysis method used by Xian et al. [2], which we call MCVA\_PB in this paper. The overall accuracy of MCVA\_PB was 80.20%, with a kappa coefficient of 0.5185. The producer's accuracy values for unchanged and changed types were 87.29% and 63.67%, respectively. Among the 300 changed pixels, only 191 were correctly detected, with the other 109 pixels detected as "no change". Underextraction is a major problem with the MCVA\_PB method because it uses the change vector as the only feature to distinguish change from no change. Thus, some small and subtle changes are suppressed when using the mean difference of all the spectral channels of MCVA\_PB. However, with the proposed ECVA\_OB method, some small and subtle changes that are not sensitive to CVA are defined as uncertain areas and change information can be highlighted by using the selected features. In addition, ECVA\_OB effectively incorporates spatial and neighborhood information to avoid the attribute error of an individual pixel and improve the change detection accuracy [47]. Figure 7 shows a more detailed comparison of the results of ECVA\_OB and MCVA\_PB. As suggested in the figure, MCVA\_PB missed a number

of changes; hence, the method cannot detect objects with relatively small change magnitudes. It also produces more noise. In contrast, the change detection map derived from ECVA\_OB extracts changes more correctly and shows a smoother result.

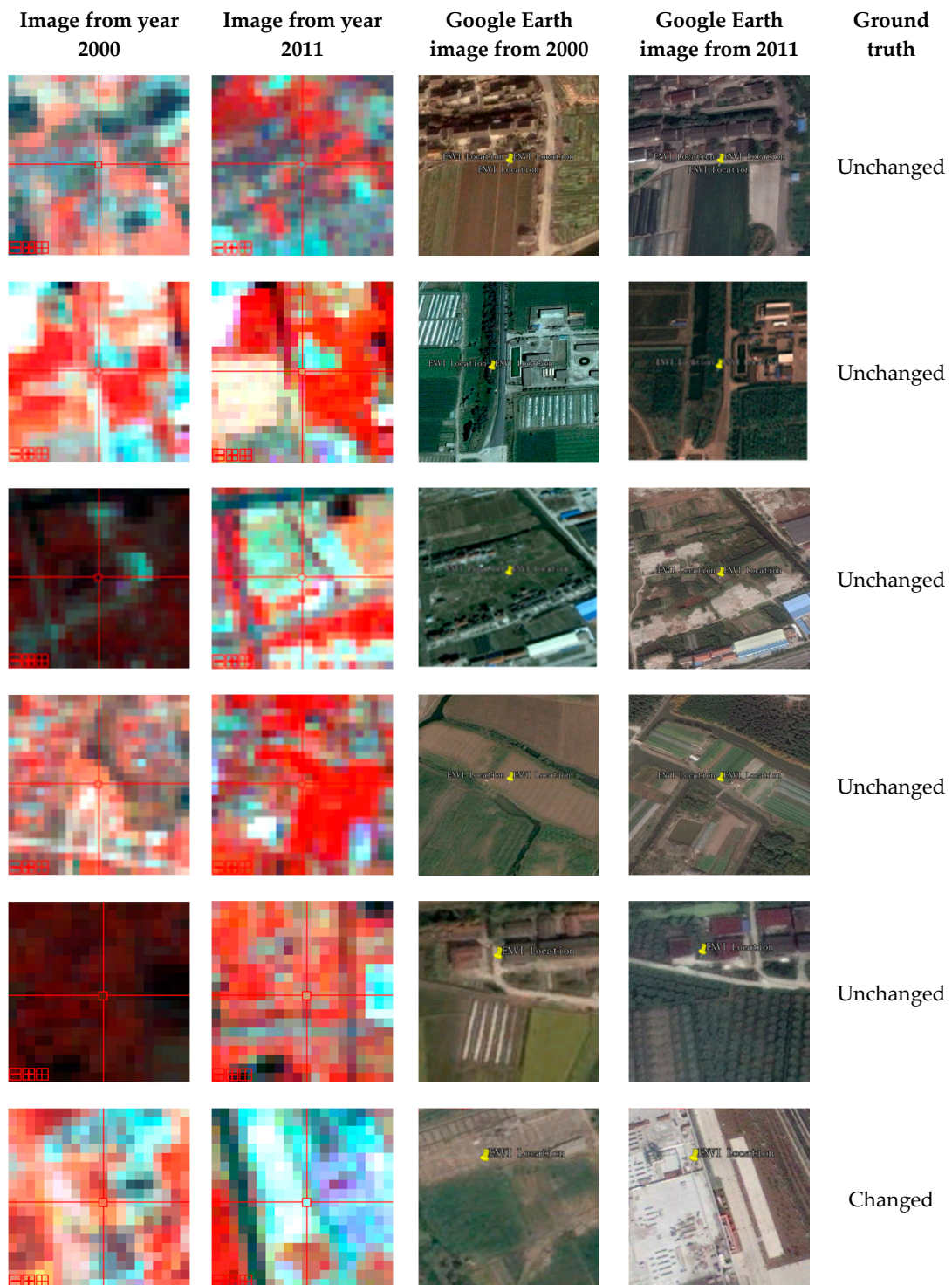


Figure 6. Cont.

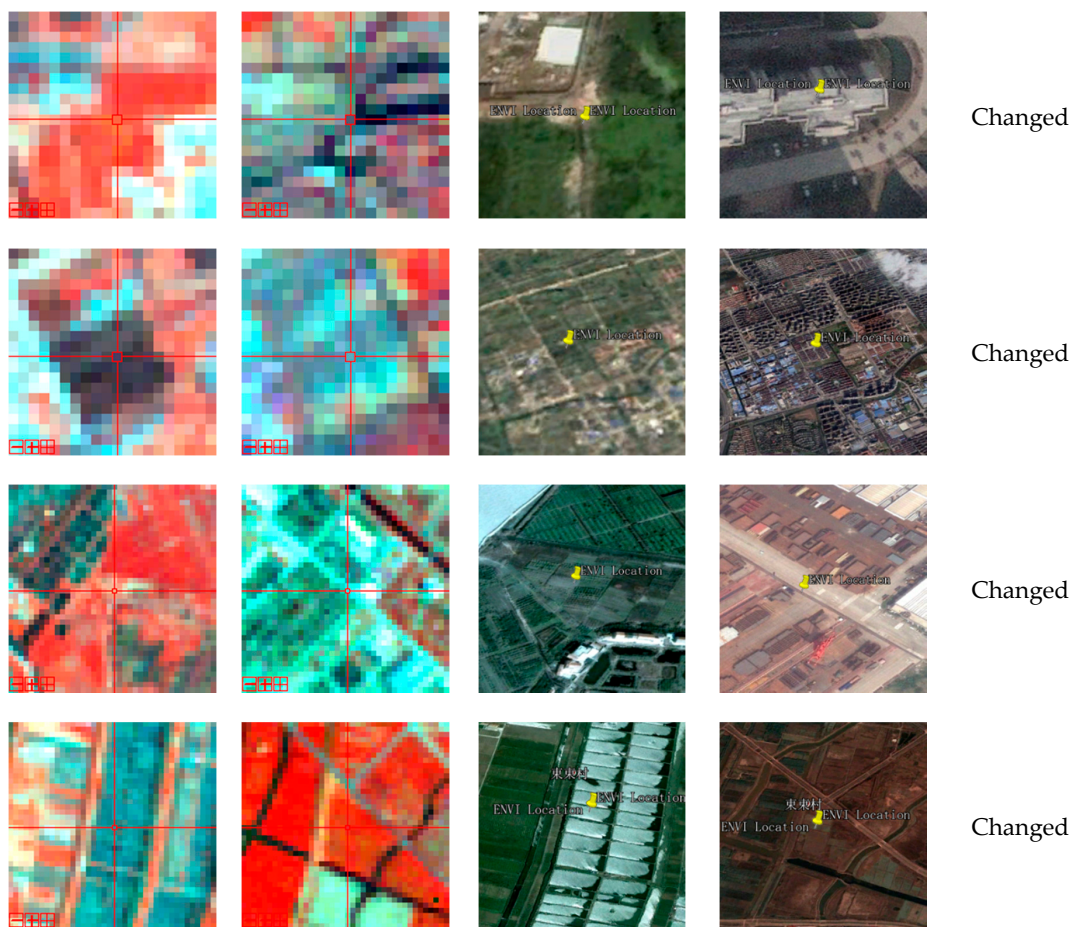


Figure 6. Examples of check points in 30 m Landsat imagery and Google Earth.

Table 3. Error matrix and accuracy assessment for binary change detection with ECVA\_OB and MCVA\_PB methods.

Binary Change Detection Accuracy Assessment for ECVA_OB Method				
Classified Data	Reference Data			User's acc. (%)
	No Change	Change	Total	
No change	566	33	599	94.49
Change	134	267	401	66.58
Total	700	300	1000	
Producer's accuracy (%)	80.86	89.00		
Overall accuracy (%)		83.30		
Overall kappa statistics		0.6373		

Binary Change Detection Accuracy Assessment for MCVA_PB Method				
Classified Data	Reference Data			User's acc. (%)
	No Change	Change	Total	
No change	611	109	720	84.86
Change	89	191	280	68.21
Total	700	300	1000	
Producer's accuracy (%)	87.29	63.67		
Overall accuracy (%)		80.20		
Overall kappa statistics		0.5185		

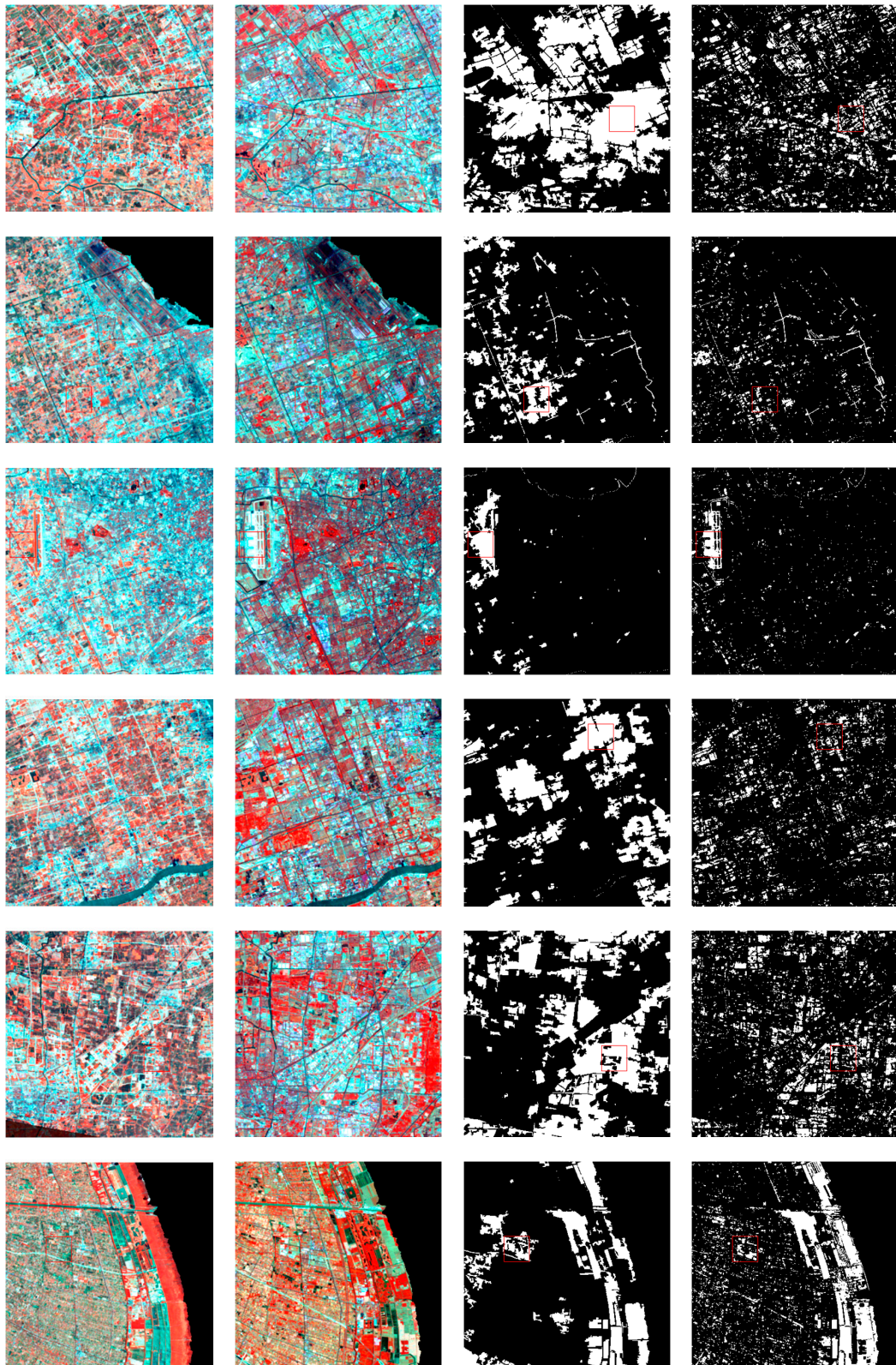
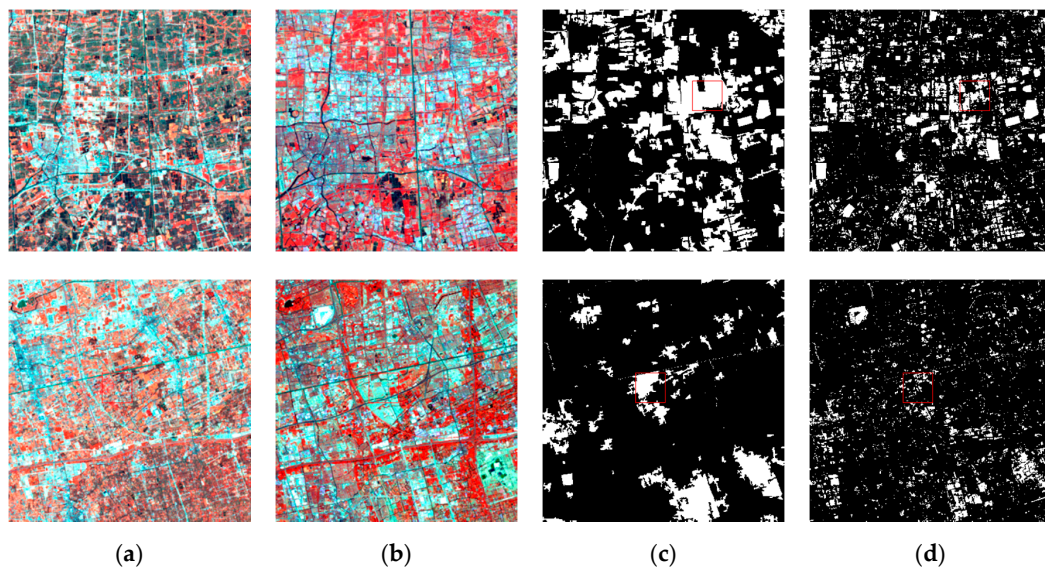


Figure 7. Cont.



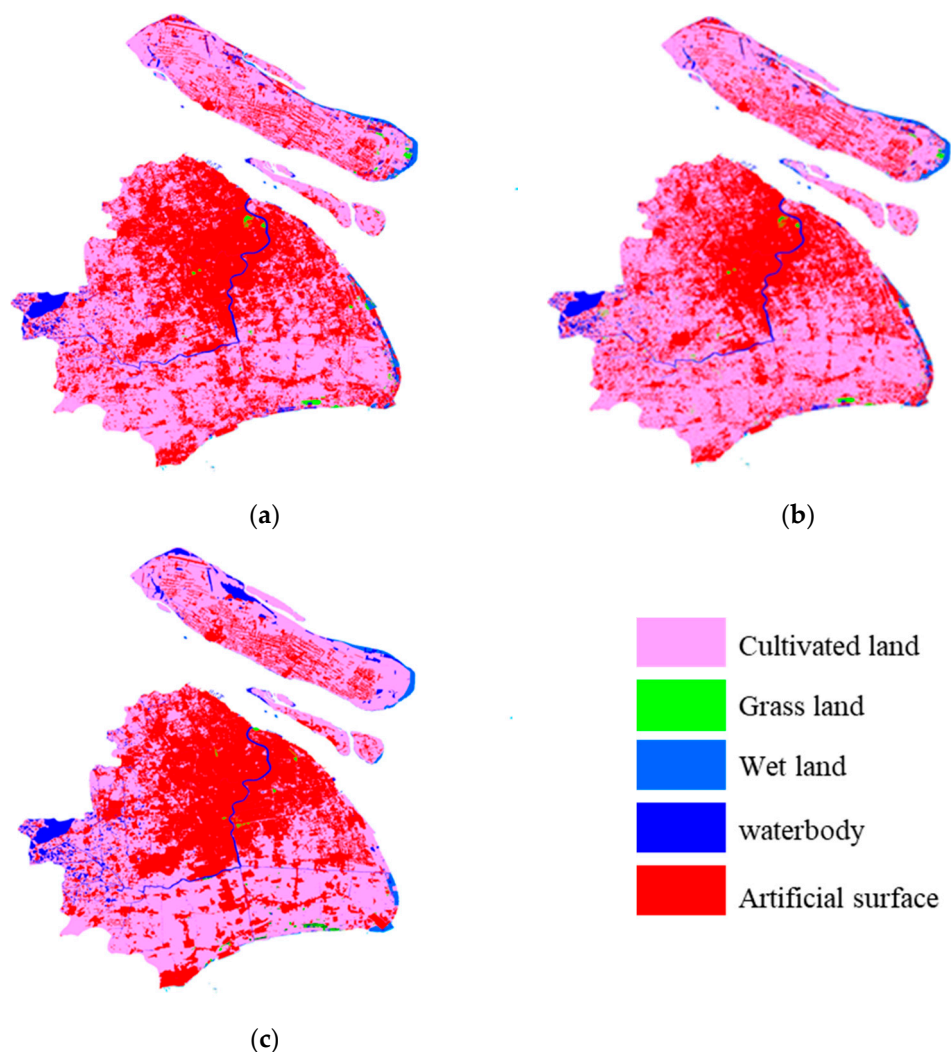
**Figure 7.** Change detection result comparison. Remotely sensed images for (a) 2000 and (b) 2011. (c) Change detection results for the proposed ECVA\_OB method and (d) MCVA\_PB method.

#### 4.2. Results of Land Cover Classification and Method Comparison

Figure 8a shows the updated land cover map for 2011 obtained by the ECVA\_OB+SVM method. The main land cover types for Shanghai in 2011 are cultivated land, water bodies, and artificial surfaces. Wetlands are mainly found in the city's eastern coastal regions. There are small amounts of grassland. Figure 8c shows the results for GlobeLand30 2010. As shown by the two figures, the proposed method produces distributions similar to GlobeLand30 2010 for each category. Table 4 lists the per-pixel agreements between the generated updating result using ECVA\_OB+SVM and GlobeLand30 2010. As suggested from the table, cultivated land and artificial surface agreed well with GlobeLand30 2010 at 83.22% and 78.91%, respectively. The main disagreements for these two land cover types are in the northern and central parts of the image (see Figure 9, rows a and b). In the northern part, the actual land cover types are cultivated land and water bodies. Cultivated land accounts for most of the area, while water bodies are mainly distributed in the central area. However, in this area, GlobeLand30 2010 misclassified cultivated land as water bodies, whereas ECVA\_OB+SVM confused cultivated land and artificial surfaces. In the central part, the dominant land cover type is the artificial surface type. The artificial surface area derived by ECVA\_OB+SVM was slightly less than that of GlobeLand30 2010. This trend is mainly due to errors in change detection and classification; that is, some objects that had actually changed were detected as no change. As a result, the labels in the base map were transferred to the updated map, which led to errors in the updating result. In addition, some mixed objects composed of cultivated land and artificial surfaces were misclassified as cultivated land, which indicated a smaller artificial surface area than from GlobeLand30 2010. The agreements for wetland and water body areas were 62.20% and 52.26%, respectively. The main disagreement for wetland areas was in the eastern part of the study area (Figure 9, row c), and the main disagreement for water bodies was in the northern part (Figure 9, row a). The water bodies area was significantly over extracted in GlobeLand30 2010. The agreement for grassland was only 15.50% (Figure 9, row d). The grassland areas were both underextracted in the generated updating result and GlobeLand30 2010.

The classification error matrix for 2011 obtained by ECVA\_OB+SVM is shown in Table 5. The overall classification accuracy for 2011 was 78.86%, with a kappa coefficient of 0.6608. The producer's accuracy for cultivated land was 80.24%. Among the 506 check points, 406 were correctly classified. Some cultivated land areas without vegetation cover were classified as artificial surfaces. The producer's accuracy for grassland was 73.33%. Among the 30 check points for grassland, 22 were correctly classified, with eight pixels misclassified as cultivated land because of the similar spectral signatures

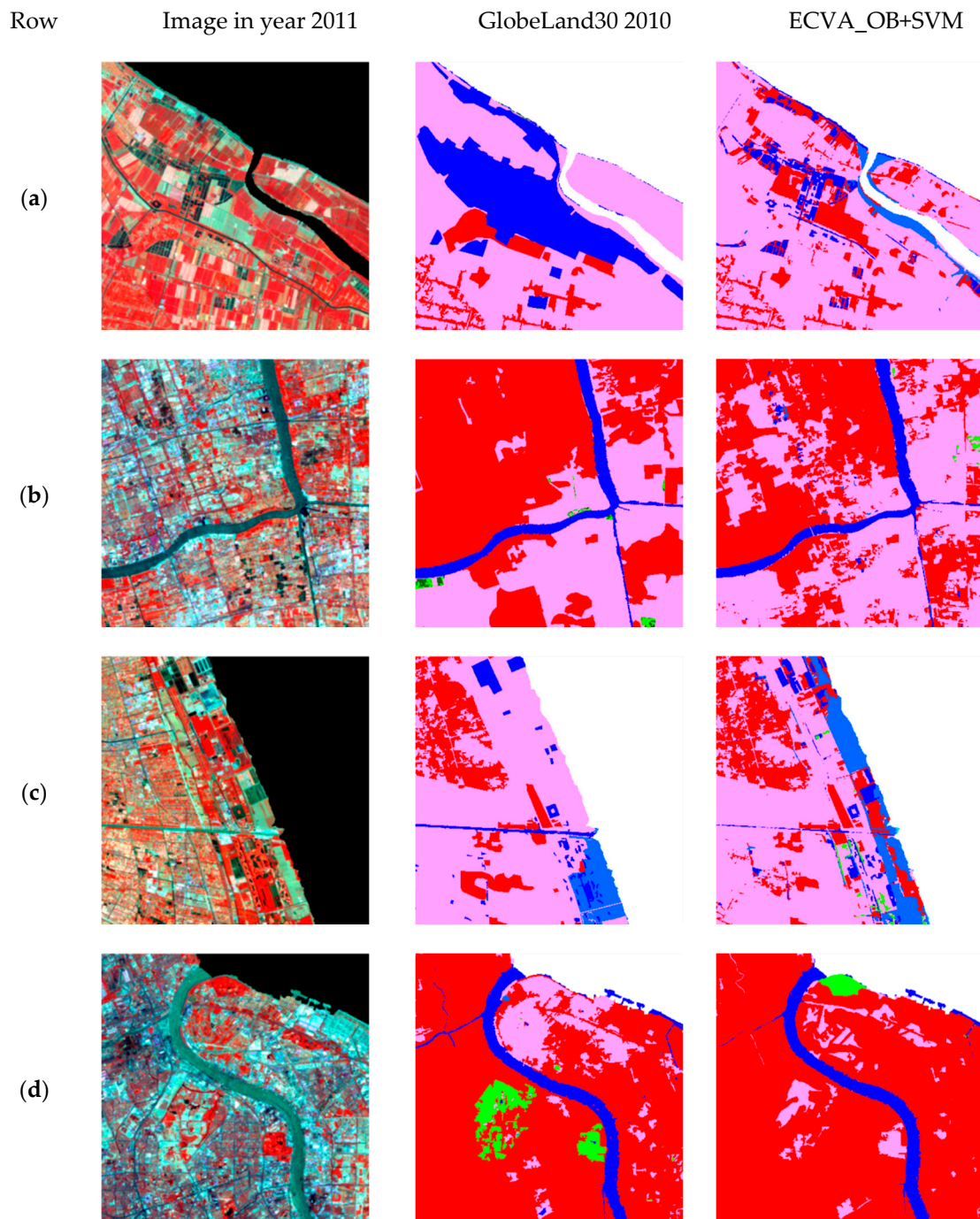
of vigorous crops and grassland. Wetland and water bodies showed the best classification results, with producer's accuracy values of 84.38% and 83.02%, respectively. This is because various auxiliary data, such as the Global Lakes and Wetlands Database (GLWD), were used during classification for GlobeLand30, thus avoiding the omission and misclassification of important wetlands and water bodies. Moreover, brightness information was incorporated to decrease the confusion between water bodies and wetlands, which improved the classification accuracy of these two land cover types. Figure 10 compares the results for water bodies and wetlands before and after incorporating the brightness information. The figure demonstrates that water bodies and wetlands are effectively discriminated using the brightness information. However, some small water bodies were still incorrectly classified, mainly because in GlobeLand30 2000 some small water bodies were merged with cultivated land during segmentation and were subsequently classified as cultivated land. The labels of unchanged water bodies classified as cultivated land in the base map were transferred from GlobeLand30 2000 to 2011, which affected the classification accuracy for the water bodies. Section 5 presents a more detailed discussion of the influence in the base map. The accuracy for artificial surfaces was 76.17%, with the main errors being caused by the confusion of artificial surfaces and cultivated land without crops.



**Figure 8.** Land cover classification maps obtained using different methods: (a) ECVA\_OB+SVM; (b) MCVA\_PB + SVM; (c) GlobeLand30 2010.

**Table 4.** Per-pixel agreement between generated updating result by ECVA\_OB+SVM and GlobeLand30 2010.

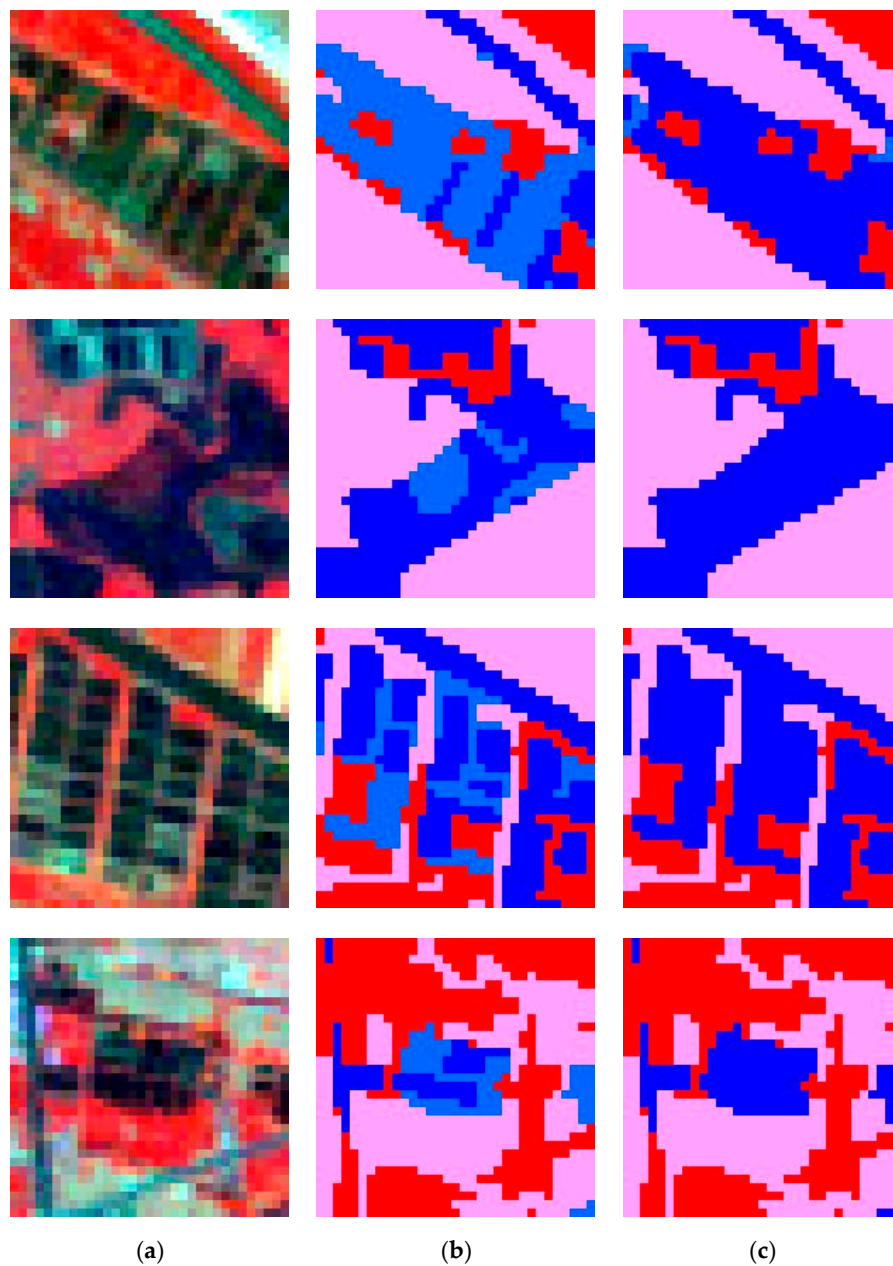
Land Cover Type	Agreement (%)
Cultivated land	83.22
Grassland	15.50
Wetland	62.20
Water body	52.26
Artificial surface	78.91



**Figure 9.** Examples of disagreements between the updating results generated by ECVA\_OB+SVM and GlobeLand30 2010.

**Table 5.** Error matrix and classification accuracy for ECVA\_OB+SVM.

Classified Data	Reference Data						User's Accuracy (%)
	Cultivated Land	Grassland	Wetland	Water Bodies	Artificial Surfaces	Total	
Cultivated land	406	8	2	12	98	526	77.19
Grassland	9	22	0	0	1	32	68.75
Wetland	4	0	27	1	0	32	84.38
Water bodies	0	0	2	88	3	93	94.62
Artificial surfaces	87	0	1	5	326	419	77.80
Total	506	30	32	106	428	1102	
Producer's accuracy (%)	80.24	73.33	84.38	83.02	76.17		
Overall accuracy (%)				78.86			
Kappa statistics				0.6608			



**Figure 10.** Comparative results for water bodies and wetlands: (a) remotely sensed image from the year 2011; (b) classification result without using brightness information; (c) classification result using brightness information.



The updating result obtained by ECVA+SVM was also compared to the result from the MCVA\_PB+SVM method. To ensure fairness, the decision tree classification algorithm used in Xian et al. [2] was replaced by SVM (denoted as MCVA\_PB+SVM) to eliminate the error caused by the classifier. Figure 8b shows the classification result for MCVA\_PB+SVM, the overall accuracy of which was 75.95% (Table 6), which was 2.91% lower than that of ECVA\_OB+SVM. Compared to the classification results obtained by ECVA\_OB+SVM and GlobeLand30 2010, the artificial surfaces obtained using MCVA\_PB+SVM were dramatically smaller, especially in the central part of the image. This is largely due to the underextraction of changes in the change detection process. The major land cover changes in Shanghai from 2000 to 2011 were changes from cultivated land to artificial surfaces. If objects with this kind of change are underextracted, then the labels for cultivated land in the base map will be transferred to the updated map, inevitably leading to the decrease of artificial surface areas.

**Table 6.** Comparison of the accuracy of the different methods.

Binary CD Method	Accuracy (%)	Classification Method	Accuracy (%)
MCVA_OB	79.40	MCVA_OB+SVM	78.13
MCVA_PB	80.20	MCVA_PB+SVM	75.95
ECVA_PB	81.80	ECVA_PB+SVM	75.86
ECVA_OB	83.30	ECVA_OB+SVM	78.86

#### 4.3. Results of Land Cover Changes Over the Past Decade

By comparing GlobeLand30 2000 and the classification result for 2011 obtained by the proposed method, the land cover change map for Shanghai over the past 10 years could be generated, as shown in Figure 11. Cultivated land, artificial surfaces, water bodies and Wetlands experienced dramatic changes over those 10 years. The development of artificial surfaces occurred at the cost of cultivated land, water bodies, and Wetlands. Similar conclusions were drawn in Hass et al. [37] and Yin et al. [56] Transformations of cultivated land to artificial surfaces, water bodies to cultivated land, water bodies to artificial surfaces, and wetlands to artificial surfaces accounted for about 92.07% of the changes. Changes from cultivated land to artificial surfaces accounted for 72.76% of the total, and the total area containing artificial surfaces significantly increased by about 51.11% due to urban expansion. Changes from water bodies to cultivated land are mainly seen in Chongming Island and the southeastern coastal area, and account for 7.56% of the total. Changes from water bodies and wetlands to artificial surfaces account for 9.17% and 2.58%, respectively, of the changes, and are mainly seen in the southeastern coastal area.

The multiclass change accuracy was also evaluated using the check points from the changed pixels. The change types of the check points were identified by visual interpretation of the bitemporal images, with the aid of Google Earth images. The percentages of each change type were determined according to the comparison results from GlobeLand30 2000 and 2010. In total, 300 check points were selected, involving four types of land cover transitions. Table 7 lists the multiclass change accuracy. There were 237 changes from cultivated land to artificial surfaces, eight from cultivated land to water bodies, 25 from water bodies to cultivated land, and 30 from water bodies to artificial surfaces. The overall accuracy of the multiple change detection was 75.67%. Changes from cultivated land to artificial surfaces gave the best result, with an accuracy value of 81.43%. Among the 237 check points for the changes from cultivated land to artificial surfaces, 193 pixels were correctly detected and 44 pixels were detected as no change. The errors were mainly due to misclassification of land cover types in 2011. Changes from water bodies to artificial surfaces also showed satisfactory results, with a class detection accuracy of 70.00%. Among the 30 check points for this change type, 21 were correctly detected. Eight of the errors were mainly due to misclassification of the base map, while one was due to the misclassification of the image for the year 2011. The accuracy for the changes from cultivated land to water bodies was only 25%, and among the eight check points only two pixels were correctly detected, with six detected as no change. This low accuracy was mainly caused by the misclassification

of the base map and the updating results. The accuracy for the changes from water bodies to cultivated land was 44%, with 11 of 25 pixels detected correctly. The other 14 pixels were incorrectly detected due to misclassification of the post-time image.

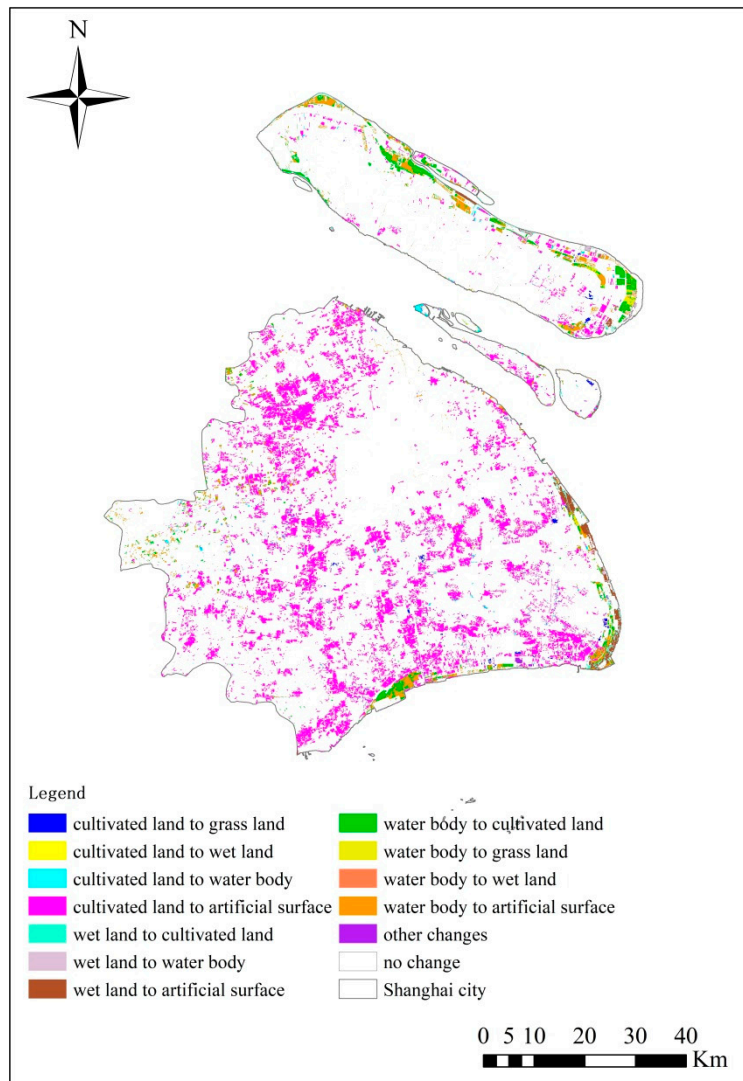


Figure 11. Land cover change map for Shanghai from 2000 to 2011.

Table 7. Multiclass change accuracy of the proposed method.

Classified Data	Reference Data			
	Cultivated-Artificial	Cultivated-Water	Water-Cultivated	Water-Artificial
No change	44	6	0	0
Cultivated-Artificial	193	0	2	1
Cultivated-Water	0	2	0	0
Wetland-Artificial	0	0	6	0
Water-Artificial	0	0	21	8
Water-Cultivated	0	0	1	11
Water-Grass	0	0	0	3
Water-Wetland	0	0	0	2
Total	237	8	30	25
Class accuracy (%)	81.43	25	70	44
Overall accuracy (%)	75.67			

In addition, the multiclass change accuracy generated by directly comparing GlobeLand30 2000 and 2010 was also calculated. The overall multiclass accuracy using GlobeLand30 2000 and 2010 was 79.67% (Table 8), which was a little higher than that of the proposed method. Specifically, the accuracy of changes from cultivated land to artificial surfaces and from water to artificial surfaces with the use of GlobeLand30 products was significantly higher than with the proposed method. This was mainly due to the confusion between cultivated areas with no crops and artificial surfaces with the proposed method. Post-classification processing was implemented when producing GlobeLand30, which improved the accuracy of the product. However, the proposed method is completely automatic.

**Table 8.** Multiclass accuracy comparison.

Change Class	Accuracy Acquired by Comparing GlobaLand30 2000 and Updated 2011 (%)	Accuracy Acquired by Comparing GlobeLand30 2000 and GlobeLand30 2010 (%)
Cultivated–Artificial	81.43	86.08
Cultivated–Water	25.00	25.00
Water–Cultivated	70.00	30.00
Water–Artificial	44.00	96.00
Overall accuracy (%)	75.67	79.67

## 5. Discussion

To analyze the factors that affect the updating results, different components involved in the updating process were analyzed and their influences were evaluated. The factors affecting the updating results are the accuracy of the base map, the extended change vector analysis, and the object-based image analysis.

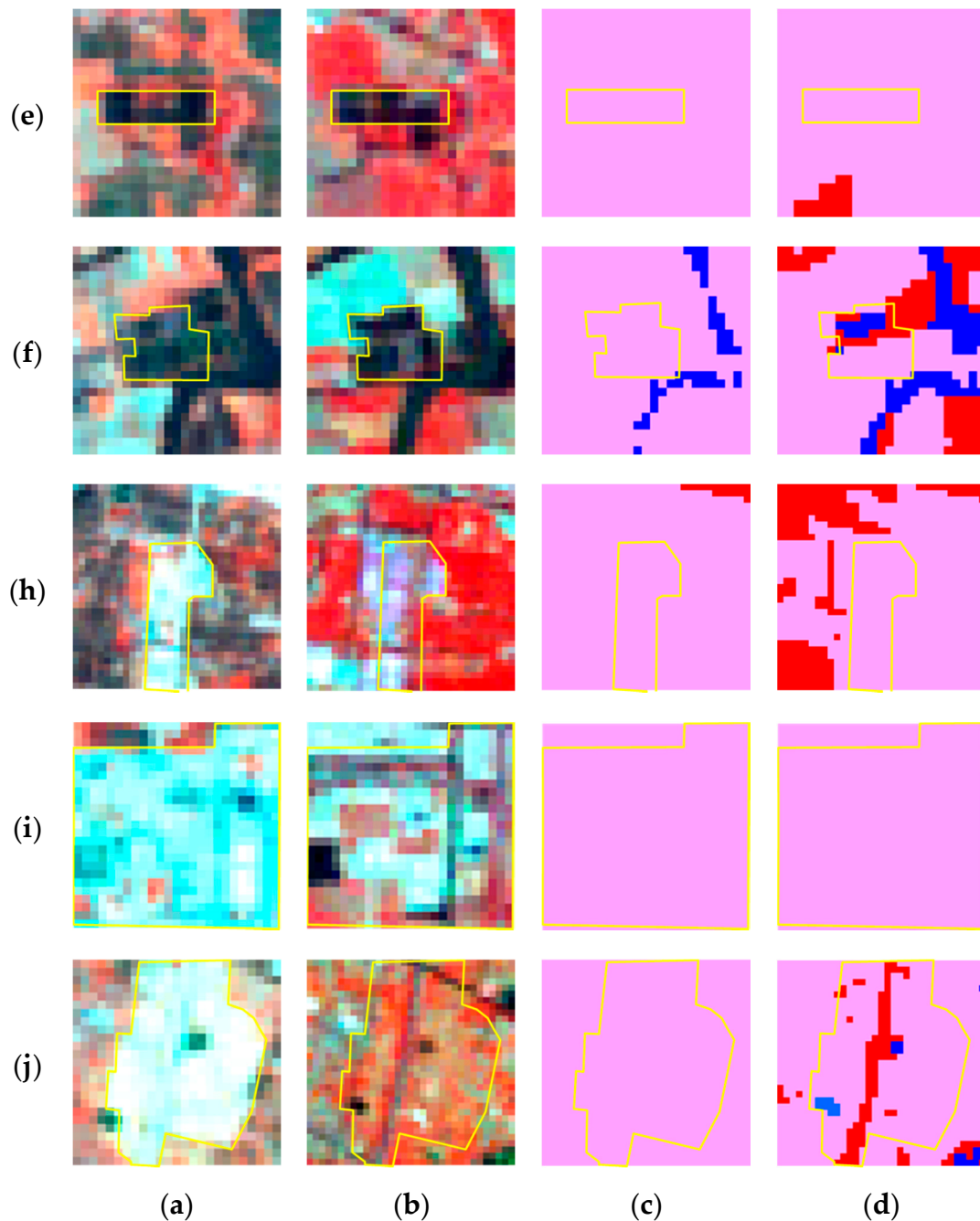
### 5.1. The Influence of the Accuracy of the Base Map on Updating

Unlike the entire updating method, which independently produces the land cover map, the proposed method only updates the changed objects. This means that the labels for unchanged pixels that were classified incorrectly in the base map will be transferred to the updated map. The unchanged region usually accounts for a large proportion of the total area. Hence, the overall accuracy of the base map has a tremendous impact on the final updating results. In this study, GlobeLand30 2000 was used as the base map. The influence of GlobeLand30 2000 can be observed in Figure 12. From the first two rows, it can be seen that some of the water bodies were classified as cultivated land in GlobeLand30 2000, and these water bodies remained unchanged. Therefore, the wrong labels were transferred to the year 2011. Rows h, i, and j are examples of the misclassification of artificial surfaces in the base map. As seen in the figure, artificial surfaces were classified as cultivated land in the base map, which resulted in misclassification of the updating results. These errors were mainly caused by the relatively large-scale segmentation parameters when producing GlobeLand30.

### 5.2. Influence of ECVA on Updating

ECVA is the core module of the proposed method. To determine its contribution, MCVA was carried out at the object level (denoted as MCVA\_OB) for comparison with ECVA\_OB. The binary change detection result for MCVA\_OB is shown in Table 9. The producer's accuracy for no change was 81.43%, which was 0.57% higher than for ECVA\_OB. However, the accuracy for the changed type was only 74.67%, which is 14.33% less than for ECVA\_OB. Only 224 of the 300 changed pixels were correctly detected. The overall accuracy of MCVA\_OB was 79.40%. By using ECVA, the overall accuracy was improved to 83.30% (Table 3). The main difference was due to the detection accuracy of the changed pixels. Even though the object-based method is incorporated in MCVA, some small and subtle changes still could not be correctly extracted, which led to underextraction of the change information. However, in the ECVA\_OB method, small and subtle changes are defined as uncertain areas, which are analyzed

by features that can highlight the change information. As a result, the accuracy of the change detection was greatly improved. In addition, the classification result based on integration of MCVA\_OB and SVM (MCVA\_OB+SVM) for 2011 was generated using the same classification scheme, where only the changed regions were reclassified. Table 6 shows the comparison result. The classification accuracy for 2011 using MCVA\_OB+SVM was 78.13%, which was 0.73% lower than for ECVA\_OB+SVM. It should be noted that in this study, uncertain area analysis was only used to extract the changes for cultivated land, because these accounted for more than 70% of the changes. However, this idea is suitable for all land cover types if proper features are chosen.



**Figure 12.** Influence of the base map on the updating results: images from (a) 2000 and (b) 2011. (c) Classification map from GlobeLand30 2000. (d) Classification results for 2011 obtained using the proposed ECVA\_OB+SVM method.

**Table 9.** Binary change detection error matrix for the MCVA\_OB method.

Classified Data	Reference Data			User's Accuracy (%)
	No Change	Change	Total	
No change	570	76	646	88.23
Change	130	224	354	63.28
Total	700	300	1000	
Producer's accuracy (%)	81.43	74.67		
Overall accuracy (%)		79.40		
Overall kappa statistics		0.5335		

### 5.3. Influence of Object-Based Analysis on Updating

Object-based image analysis can effectively incorporate spatial and textural features and neighborhood information, which can avoid the attribute errors of a single pixel. To analyze the contribution of object-based analysis, the pixel-based method was carried out using the proposed ECVA method, i.e., the combination denoted as ECVA\_PB. The result is shown in Table 10. The producer's accuracy for no change was 82.71%, which is slightly higher than ECVA\_OB. Among the 700 unchanged pixels, 579 were correctly detected. The accuracy for the changed type was 79.67%. Compared to the 89.00% obtained with ECVA\_OB, the producer's accuracy significantly decreased by about 10%. Table 6 compares the results for ECVA\_OB and ECVA\_PB. It can be observed from the table that the binary change detection accuracy and classification accuracy were both improved by the proposed object-based analysis method. This could be due to the following aspects. First, the object-based method effectively incorporates spatial and neighborhood information. Compared to the pixel-based method, which uses the spectral signature of a single pixel, the object-based method uses the mean spectral feature of the objects, which can avoid the attribute error of a single pixel. Second, spatial registration errors can be reduced by the object-based approach. Registration error critically affects the accuracy of change detection [57,58]. If the registration error is less than 0.5 pixels, then false changes can be reduced [59]. In this study, GlobeLand30 2000 served as a thematic layer during segmentation, resulting in consistent boundaries between data layers for 2000 and 2011.

**Table 10.** Binary change detection error matrix for the ECVA\_PB method.

Classified Data	Reference Data			User's Accuracy (%)
	No Change	Change	Total	
No change	579	61	640	90.47
Change	121	239	360	66.39
Total	700	300	1000	
Producer's accuracy (%)	82.71	79.67		
Overall accuracy (%)		81.80		
Overall Kappa statistics		0.5901		

## 6. Conclusions

We have proposed an approach to update the land cover maps using a remote-sensing-based change detection and transfer learning method based on GlobeLand30 2000. The method was successfully applied in the rapidly urbanizing city of Shanghai. The experimental results indicated that satisfactory results can be achieved with the proposed method. Compared to state-of-the-art methods, ECVA\_OB had superior accuracy. In addition, Shanghai has experienced significant land cover changes over the past 10 years, characterized by changes from cultivated land to artificial surfaces. The factors affecting the updating were also explored, which can be attributed to the accuracy of the base map (GlobeLand30 2000), extended change vector analysis, and object-based image analysis.

Our main contribution is putting forward an approach that applies the global land cover product to regional land cover updating studies, which will be of great importance in order to understand and

monitor land cover changes and promote sustainable development. The main idea of the proposed method could be applied to other areas and other regional or global land cover products. Although the strategy of local updating is promising, the classification error of the previous land cover product carries over to the updated product, which is the main limitation of this method. Therefore, we will devote our future research to the design of a scheme that can correct errors in the previous land cover product and improve the updating accuracy.

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