


Article

# Geometric Quality Assessment of Chang'E-2 Global DEM Product

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**Abstract:** The Chang'E-2 digital elevation model (DEM, named CE2TMap2015), which is the highest resolution DEM product in China, was released with global coverage of the lunar surface. A detailed geometric quality assessment of the product is desirable for wider applications by users. A novel procedure for evaluating DEM geometric quality, which investigates both the global geometric uncertainty and local gross errors, is proposed in this paper. First, the DEM was divided into regular blocks and matched with the reference DEM. Then, the characteristics of errors were investigated using the statistical information of the matched tie points. Next, the local outlier factor (LOF) algorithm was performed to locate the gross errors. In our experiment, CE2TMap2015 was evaluated using the proposed method, with SLDEM2015 as the reference DEM. The results show that there were widespread geometric inconsistencies with an area-weighted average of 183.1 m horizontally (with the standard deviation being 101.2 m) and 2.3 m vertically (with the standard deviation being 15.4 m). Gross errors were detected automatically and were excluded in the statistical analysis. The periodic errors were extracted in the frequency domain using a Fourier transform. Our research results provide instructional information for the utilization of CE2TMap2015 by world-wide users. The proposed method can be used in the assessment of other planetary DEMs.

**Keywords:** Chang'E-2; DEM assessment; geometric quality; matching; lunar mapping

## 1. Introduction

A digital elevation model (DEM) is a typical topographic mapping product and has a wide range of applications in the field of planetary exploration. For example, a DEM provides topographic information for morphological and geological analysis [1–4]. It can also contribute to the implementation of engineering tasks, such as landing site selection, path planning, and obstacle avoidance for lander and rover missions [5,6]. For the last ten years, large numbers of lunar high-resolution topographic products have been generated from heterogeneous sources. For example, lots of DEMs have been produced based on the data acquired by laser altimeters or cameras onboard the Lunar Reconnaissance Orbiter (LRO), Selenological and Engineering Explorer (SELENE), Chang'E-1 (CE-1), Chang'E-2 (CE-2), and so on [7]. The global or nearly global coverage DEMs, such as Lunar Reconnaissance Orbiter Laser Altimeter (LOLA) DEM [8], SLDEM2015 [9], and CE-2 DEM (named CE2TMap2015) [10], have provided significant contributions to lunar scientific research. Laser altimetry and stereo photogrammetry are two main means for producing lunar global DEMs. Combining and fusing different sources of DEM products (e.g., SLDEM2015) is also a practical solution.

Different data sources and production methods bring different geometric errors. As a result, there are widespread inconsistencies among various DEMs. In order to choose a suitable DEM for different studies or use multiple DEMs synergistically, it is important to evaluate the geometric quality of DEM products in detail. CE2TMap2015, which was generated from CE-2 stereo images and recently released by National Astronomical Observatories, Chinese Academy of Sciences (NAOC), is one of the high-resolution DEMs [10]. As a new global DEM product to the lunar community, it has many potential applications for lunar exploration and research [11,12]. A preliminary comparison with LOLA DEM was made by the producers, and they found that there is a 43 m elevation difference (standard deviation is 110 m) between CE2TMap2015 and LOLA DEM [10]. However, a detailed geometric quality assessment is highly desirable for wider applications by more potential users all over the world.

Such a method is a feasible way to evaluate DEM products of the Earth using high-accuracy ground control points (GCPs) or high-accuracy reference data [13,14]. However, there is not enough high-accuracy GCPs and high-accuracy references on the lunar surface. So far, there are only five lunar laser ranging retro reflectors (LRRRs) that can be used to determine the absolute position accurately on the Moon. The widely used Unified Lunar Control Network 2005 (ULCN2005) has a horizontal accuracy of a hundred meters to a few hundred meters and a vertical accuracy of 100 m [15,16], which is inappropriate as a reference for evaluating the recently produced DEM products [17]. At present, studies on geometric quality evaluation of lunar DEMs are mainly based on the elevation differences between the DEM and an available high-precision DEM. The previous elevations are usually used without considering the effects of planimetric displacements [9,10,18] and the impact caused by the precision of the reference itself. In this paper, we propose a novel procedure for DEM geometric quality assessment, which can investigate the global characteristics of planimetric and elevation errors and detect local gross errors simultaneously. The procedure mainly includes two techniques: DEM matching and geometric accuracy analysis.

The DEM matching method is a critical step in DEM assessment. Many studies have been done on DEM matching in the past [19]. Three-dimensional least squares surface matching methods [20], such as iterative closest point (ICP) [21] and least Z-difference (LZD) [22] methods, were widely used in previous studies. Guo and Wu compared CE-1 and SELENE altimeter data of the Sinus Iridium area and Apollo 15 landing site based on surface matching, and they found small shifts between the data [23]. The least squares matching method can obtain high-precision matching results, but it is time consuming and requires good initial approximations of the transformation (translation and rotation) parameters between the two data sets. A feature-based matching method, which extracts the conjugate feature points, feature lines, and feature surfaces of a DEM, was also commonly used [24,25]. Michael [26] generated a global displacement field between the United States Geological Survey (USGS) 1:2M controlled photomosaic and the Mars Orbiter Laser Altimeter (MOLA) based on the centers of craters. However, a feature-based matching method may fail in places with poor texture.

Geometric error analysis is the key issue of DEM quality assessment. Detailed and comprehensive geometric error analysis can not only find problems in producing the DEM, but also guide the application and improvement of the DEM. DEM errors originate from the process of data acquisition and/or data processing. Theoretically, DEM errors can be classified into three types: gross errors, systematic errors, and random errors [27]. Generally, systematic errors can be characterized through statistics, while gross errors may affect the statistics and should be detected and eliminated before statistical analysis of the systematic errors. The gross errors of a DEM, which are the large deviations between a DEM product and ground truth or a high-precision reference DEM, is usually detected manually via visual inspection of the DEM after applying a visual effect enhancement method, such as relief shading [28], remainder imaging [27], RGB multi-band shading [29], and so on. For systematic and random error elimination, a filtering method is commonly used in the spatial domain (e.g., median filtering) or frequency domain [30] (e.g., low-pass filtering and high-pass filtering [31]). To detect possible gross errors of a large DEM, e.g., the CE2TMap2015 global lunar DEM, an automatic gross error detection method is needed.

In this study, the geometric quality of the DEM was assessed based on a novel DEM matching method. Through the illumination model and given illumination conditions, a simulated image was generated from the DEM. Using an image simulation technique, the 3D matching problem of DEMs was transformed into a 2D matching of images problem for simplification. Simulated images were matched based on the affine scale invariant feature transform (ASIFT) algorithm [32]. The proposed method did not require initial values for the transformation parameters, thus simplifying the matching process. The geometric quality of DEM was then assessed based on the extracted and matched feature points (tie points). In addition, we proposed DEM gross error and systematic error extraction methods. The geometric quality of CE2TMap2015 was evaluated using our proposed procedure. The global characteristics of errors and location of CE2TMap2015 gross errors are elaborated in this paper. The results of the article are meaningful for further use of CE2TMap2015 and for DEM improvement.

## 2. Data

CE2TMap2015, which initial reported as CE2DEM2014 [33], was produced from 384 long-strip CE-2 stereo images and with the full coverage of the lunar surface. The CE-2 stereo camera with two Charge-coupled Device (CCD) line detectors assembling on a unique focal plane obtained forward-view and backward-view stereo images with  $7.98^\circ$  and  $-17.2^\circ$  view angles, respectively. The stereo images that were selected to generate the CE2TMap2015 were obtained at the orbital altitude of 100 km and had a 7 m resolution and 43 km image width. The overlap on the across-track direction could reach 24% at the equator and increased with latitude. A global adjustment was performed with tie points between and within image strips, as well as five LRRRs as ground control points to improve the position consistency and precision [34]. The control accuracies of CE2TMap2015 were estimated to be 21–97 m and 2–19 m horizontally and vertically, respectively [10]. The 20-m grid spacing DEM (tiff image format) is available online and was chosen for this DEM assessment research. The data has 188 subdivisions and can be downloaded from the Data Release and Information Service System of China's Lunar Exploration Program ([http://moon.bao.ac.cn/index\\_en.jsp](http://moon.bao.ac.cn/index_en.jsp)).

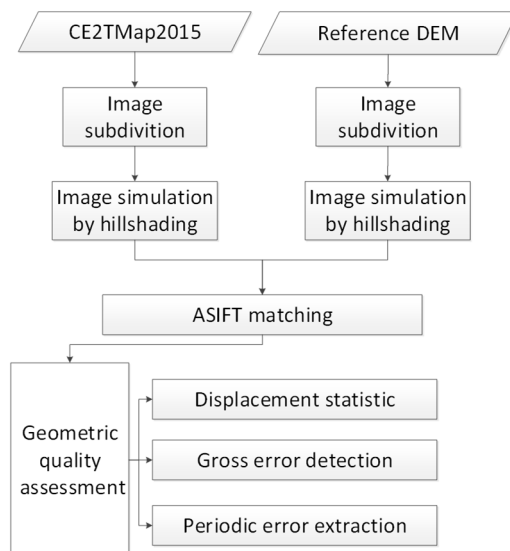
SLDEM2015, which is a combined product of LOLA laser points and the DEM generated by Japanese SELENE Terrain Camera (TC) images was chosen as the relative reference DEM in this study. The LOLA laser points and their topographic map acted as the reference geodetic framework in many usage cases for the high positioning accuracy (with a vertical precision of  $\approx 10$  cm and an accuracy of  $\approx 1$  m [35]). Though the cross-track spacing of LOLA laser points are a significant improvement over all the previous lunar laser altimeters, the near equator gap width between ground tracks are still a bit large. Thus, stereo-derived DEMs from SELENE TC images [36], whose resolution is 10 m, were co-registered and merged to LOLA laser points. By combining the two data sets, SLDEM2015 provides a direct assessment reference of not only the spatial accuracy but also the true resolution of the stereo component. SLDEM2015, which covers latitudes within  $\pm 60^\circ$ , has a vertical accuracy of approximately 3–4 m, and has a grid spacing of 512 pixels per degree (grid size of 60 m at the equator) [9]. It is worth noting that some areas, where data from the Multiband Imager (MI) were used to fill the gaps of TC DEMs, are known to be of lower quality than most of the data. The data can be obtained from the United States Geological Survey (USGS) website ([https://astrogeology.usgs.gov/search/map/Moon/LRO/LOLA/Lunar\\_LRO\\_LrocKaguya\\_DEMmerge\\_60N60S\\_512ppd](https://astrogeology.usgs.gov/search/map/Moon/LRO/LOLA/Lunar_LRO_LrocKaguya_DEMmerge_60N60S_512ppd)).

The Lunar Reconnaissance Orbiter Camera (LROC) Narrow Angle Camera (NAC) DEM, which is constructed through stereo photogrammetry with images of M1303619844 and M1303640934, was chosen as a reference DEM to compare the SLDEM2015 and CE2TMap2015 in the Chang'E-4 (CE-4) landing area. It has a ground sample distance of 5.0 m and height precision of  $\approx 1.1$  m. The data is available online ([http://wms.lroc.asu.edu/lroc/view\\_rdr/NAC\\_DTM\\_CHANGE4](http://wms.lroc.asu.edu/lroc/view_rdr/NAC_DTM_CHANGE4)).

## 3. Method

The flowchart of the proposed method is outlined in Figure 1. First, both CE2TMap2015 and the reference DEM are subdivided into regular blocks in latitude and longitude. Then, the DEM blocks

were converted into simulated images using a hillshading technique [37,38]. In order to obtain the tie points that are used to evaluate the geometric performance of CE2TMap2015, ASIFT matching is performed between the simulated images. Furthermore, the displacement of CE2TMap2015 is assessed through the coordinate differences of the extracted tie points. Finally, the gross error and systematic error of CE2TMap2015 are detected based on the displacement statistical results.



**Figure 1.** Flowchart of the proposed method. ASIFT: affine scale invariant feature transform, DEM: digital elevation model.

### 3.1. DEM Matching Based on Simulated Images

In this research, the simulated images were used to convert a 3D image matching problem into a 2D image matching problem. The lunar surface has no artificial features and is mostly covered by regolith [39]. Thus, using the hillshading technique on lunar surface can produce a simulated image that is close to a real image. The principle of the hillshading technique is to represent the reflectance of a small, flat surface as a function of the gradient of the terrain. An idealized reflectance model (the surface is assumed to be an ideal diffuser or Lambertian surface) is used to establish the following function on the Moon [37]:

$$\Phi(p, q) = \rho \cos(i) = \frac{\rho(1 + p_s p + q_s q)}{\sqrt{1 + p_s^2 + q_s^2} \sqrt{1 + p^2 + q^2}}, \quad (1)$$

$$p_s = \sin(\theta) \cot(\phi), \quad q_s = \cos(\theta) \cot(\phi),$$

where  $\Phi(p, q)$  is the simulated pixel intensity,  $i$  is the incidence angle,  $e$  is the emission angle,  $\rho$  is an albedo factor,  $\theta$  is the solar azimuth of each image,  $\phi$  is the solar altitude of each image, and  $p$  and  $q$  are the gradients of the surface in line and sample directions, respectively. The solar azimuth and solar altitude were set to be  $315^\circ$  and  $45^\circ$ , respectively.

We chose the ASIFT matching method [32] to extract the tie points between CE2TMap2015 and SLDEM2015 in corresponding blocks. The ASIFT algorithm is completely affine invariant, which can match the images with translation, scaling, and rotation. In this study, an open source web code ([http://demo.ipol.im/demo/my\\_affine\\_sift/](http://demo.ipol.im/demo/my_affine_sift/)) developed by Yu and Morel [40] was used to extract the tie points on the simulated images. Then a random sample consensus (RANSAC) [41] algorithm was used to eliminate mismatches, i.e., the features identified as the same were actually different features. It should be noted that there was no intent to remove the correctly matched points, which could express the gross errors of DEM. However, most of them were still removed; these removed points, which

could be used for detecting the gross position errors in a very small range, have limited influence on large-scale gross error detection.

Meanwhile, in order to obtain evenly distributed tie points to ensure the accuracy and robustness of the DEM assessment, the redundant points were removed from the tie points set. For this purpose, each block was divided into dense grids (e.g.,  $0.33^\circ \times 0.33^\circ$ ), where the point closest to the center of the grid was retained if multiple points existed in a grid.

### 3.2. DEM Geometric Quality Assessment

There is no standard procedure for DEM geometric quality assessment, especially when absolute accuracy cannot be checked. The usual case involves calculating the elevation difference between the DEM to be evaluated and the reference DEM. In this study, both planimetric and elevation displacements were investigated using the tie points, and gross errors were inspected.

#### 3.2.1. Displacement Statistics

The geometric displacements of CE2TMap2015 with respect to the reference DEM contains two components: horizontal (planimetric) and vertical (elevation) displacements. Both were assessed according to the geographic coordinate difference of the tie points. The horizontal displacement of each tie point can be calculated as follows:

$$U_H = \frac{1}{n} \sum_{i=1}^n \sqrt{U_{EW_i}^2 + U_{SN_i}^2},$$

$$A_H = \begin{cases} \arctan \frac{\bar{U}_{SN}}{\bar{U}_{EW}}, & \bar{U}_{EW} > 0, \bar{U}_{SN} > 0, \\ 180 - \arctan \frac{\bar{U}_{SN}}{\bar{U}_{EW}}, & \bar{U}_{EW} > 0, \bar{U}_{SN} < 0, \\ 360 - \arctan \frac{\bar{U}_{SN}}{\bar{U}_{EW}}, & \bar{U}_{EW} < 0, \bar{U}_{SN} > 0, \\ 180 + \arctan \frac{\bar{U}_{SN}}{\bar{U}_{EW}}, & \bar{U}_{EW} < 0, \bar{U}_{SN} < 0, \\ 0, & \bar{U}_{EW} = 0, \\ 90, & \bar{U}_{EW} = 0, \end{cases} \quad (2)$$

$$U_{SN_i} = \frac{\pi D \cos(\text{lat}_i)}{360} \times U_{\text{lat}_i}, U_{EW_i} = \frac{\pi D \cos(\text{lat}_i)}{360} \times U_{\text{lon}_i},$$

$$U_{\text{lon}_i} = \text{lon}1_i - \text{lon}2_i, U_{\text{lat}_i} = \text{lat}1_i - \text{lat}2_i, i = 1, 2, \dots, n,$$

where  $U_H$  and  $A_H$  are the magnitude and orientation of the horizontal displacement of each grid, respectively;  $U_{\text{lon}_i}$  and  $U_{\text{lat}_i}$  are the longitude and latitude differences between the tie points, respectively;  $\bar{U}_{EW}$  and  $\bar{U}_{SN}$ , which are converted to meters, are the average differences between the tie points in each grid;  $D$  is the diameter of the Moon; the coordinates of the tie points are  $(\text{lon}1_i, \text{lat}1_i, \text{hei}1_i)$  and  $(\text{lon}2_i, \text{lat}2_i, \text{hei}2_i)$ ;  $\text{hei}$  is the elevation of the point; and  $i$  is the  $i$ th tie point extracted in each block.

The elevation difference between the two DEMs is calculated as follows:

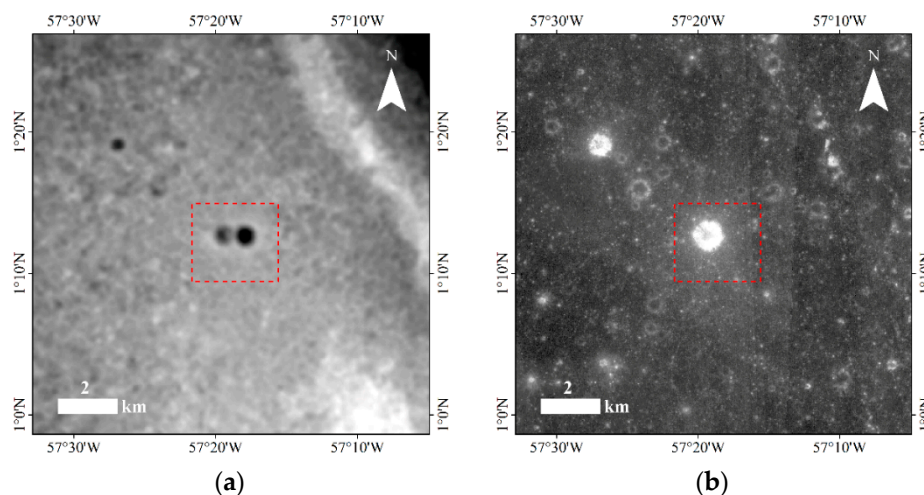
$$U_V = \text{hei}1_i - \text{hei}2_i, \quad (3)$$

where  $U_V$  is the elevation difference between each tie point.

In order to inspect the global distribution of errors effectively, a mean displacement was calculated for each subdivided block. As a result, a mean displacement vector field was obtained, which depicts the displacements between CE2TMap2015 and SLDEM2015.

### 3.2.2. Gross Error Detection

CE2TMap2015 was produced from CE-2 stereo images after global adjustment. In general, the relative geometric precisions of the images were consistent in the same track and adjacent tracks. However, some gross errors did exist in the DEM due to errors in registration or adjustment. For example, phantom crater (Figure 2) appeared as two craters in the DEM, which reflects the gross error in the local area of the DEM. Lots of the gross errors were caused by this type of geometric inconsistency where images overlapped. Some were caused by DEM noises or errors. Such a gross error may have a great impact on subsequent applications. The local outlier factor (LOF) algorithm was used to extract the possible gross error area in this paper.



**Figure 2.** An example of a DEM position error: (a) a local area of CE2TMap2015 with a phantom crater in the red dashed rectangle and (b) CE-2 digital ortho map (DOM) of the same area.

The LOF method is an anomaly detection method proposed by Breuning et al. in 2000 [42]. It detects the outliers by comparing the local density of each data set. If the local density of one set is lower than that of its neighbors, then the point is considered as an outlier, i.e., a gross error. The main steps of the LOF algorithm are briefly described as follows [42]:

(1) Local reachability density calculation: the local reachability density of a point  $p$  (a displacement vector in this research) can be defined as follows:

$$lrd_k(p) = \frac{1}{\frac{\sum_{o \in N_k(p)} rd_k(p,o)}{|N_k(p)|}}, \quad (4)$$

$$rd_k(p,o) = \max\{k\_distance(o), d(p,o)\}, \quad (5)$$

where  $N_k(p)$  is the data set of  $k$  nearest neighbors that includes all points within a certain distance.  $k\_distance(o)$  is the distance of the point  $o$  to the  $k$ -th nearest neighbor.  $rd_k(p,o)$  is the reachability distance of  $p$  from its neighbor data sets  $o$ .  $d(p,o)$  is the distance between point  $p$  and  $o$ . In Equations (4) and (5), the distance is the length of the vector difference between the displacements involved.

(2) Local outlier factor (LOF) calculation: the calculated local reachability density is compared with those of the neighbors based on the LOF in Equation (6).

$$LOF_k(p) = \frac{\sum_{o \in N_k(p)} lrd_k(o)}{|N_k(p)|} / lrd_k(p) \quad (6)$$

The LOF of point  $p$  is the average local reachability density of the neighbor set  $N_k(p)$  divided by the local reachability density of point  $p$ . In general, a  $LOF_k(p)$  larger than 1 means that point  $p$  is an outlier (lower density than neighbors).

If a gross error exists, the displacement between the DEM and the reference DEM in a certain range would not be systematic. The LOF algorithm was used to extract the outlier vectors as the candidate gross error areas. Although some of the points were correctly matched, which can show that gross errors of a local small area were eliminated using RANSAC, performing the LOF algorithm on the averaged displacements over  $1^\circ \times 1^\circ$  blocks is also feasible for large-scale gross error detection. Furthermore, this detection, which is intended to identify outlier positional errors in the blocks, became more reasonable and effective by eliminating matched errors through RANSAC and using averaged displacements instead of direct measurement displacements. As DEM matching precision can influence the gross error extraction results, a distance threshold between the vector length and average vector length was used to determine the final gross errors from the candidate gross errors.

### 3.2.3. Periodic Systematic Error Detection

In this study, a method to detect the systematic error in the frequency domain was developed. In the frequency domain, it is easier to extract noise or systematic error based on frequency filtering.

In the field of image processing, the spatial and frequency domains can be transformed through a discrete Fourier transformation (DFT) and an inverse discrete Fourier transformation (IDFT). The equations for DFT and IDFT are shown in Equations (7) and (8), respectively [43]:

$$F(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) e^{-j2\pi(ux/M+vy/N)}, \quad (7)$$

$$f(x, y) = \frac{1}{MN} \sum_{u=0}^{M-1} \sum_{v=0}^{N-1} F(u, v) e^{j2\pi(ux/M+vy/N)}, \quad (8)$$

where  $f(x, y)$  is the function of the DEM;  $M$  and  $N$  are the number of samples and lines of the DEM, respectively;  $u$  and  $v$  are the frequency variables of  $F(u, v)$ ; and  $x$  and  $y$  are the spatial variables of  $f(x, y)$ .

In the frequency domain, the periodic information can be easily extracted from low-frequency parts. We extract the low-frequency periodic noise through an ideal low-pass filter operation [44], which is represented using the following equation:

$$g(x, y) = \mathfrak{F}^{-1}[H(u, v)F(u, v)],$$

$$H(u, v) = \begin{cases} 0, & D(u, v) \geq D_0 \\ 1, & D(u, v) < D_0 \end{cases} \quad (9)$$

where  $g(x, y)$  is the periodic noise of DEM,  $H(u, v)$  is the low-pass filter,  $F(u, v)$  is the DFT result of the original DEM,  $D(u, v)$  is the distance from point  $(u, v)$  to the center of the filter,  $D_0$  is a cut-off frequency, and  $\mathfrak{F}^{-1}$  is the IDFT.

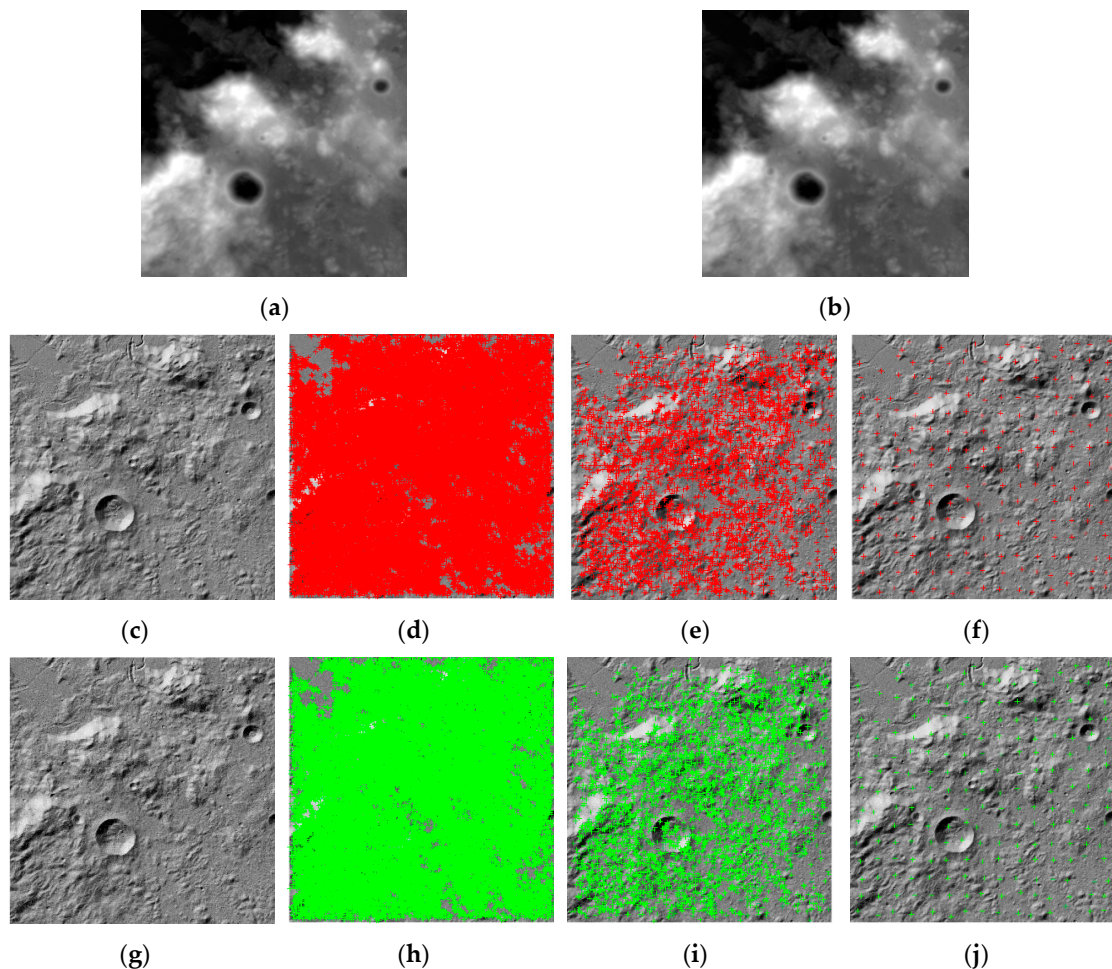
In this method, the DEM is transformed in the frequency domain based on DFT first. Then, the periodic error of DEM is separated through a low-pass filter operation. In addition, the periodic error of the DEM can be removed by subtracting the extracted periodic error from the DEM.

## 4. Results and Discussion

### 4.1. DEM Matching Results

In this study, CE2TMap2015 and SLDEM2015 were divided into  $5^\circ \times 5^\circ$  in latitude and longitude. Figure 3 shows the matching results of one block. The simulated images (Figure 3c,g) had similar

surface features that provided the possibility for 2D feature matching. We extracted the tie points from the simulated images through the ASIFT method in each block (Figure 3d,h), and the gross errors of tie points were eliminated through the RANSAC algorithm (Figure 3e,i). It is clear that the tie points were unevenly distributed, with many more tie points in the rough areas than in the smooth areas. This may have affected the assessment result. After redundant points were removed (Figure 3f,j), the remaining tie points were almost evenly distributed. Finally, 318,302 tie points were extracted from the whole DEMs (an average of 184 tie points per block) that could satisfy the following research.



**Figure 3.** Examples of DEM matching results of one block: (a) SLDEM2015, (b) CE2TMap2015, (c) simulated image (SLDEM2015), (d) tie points (SLDEM2015), (e) tie points after random sample consensus (RANSAC; SLDEM2015), (f) final tie points (SLDEM2015), (g) simulated image (CE2TMap2015), (h) tie points (CE2TMap2015), (i) tie points after RANSAC (CE2TMap2015), and (j) final tie points (CE2TMap2015).

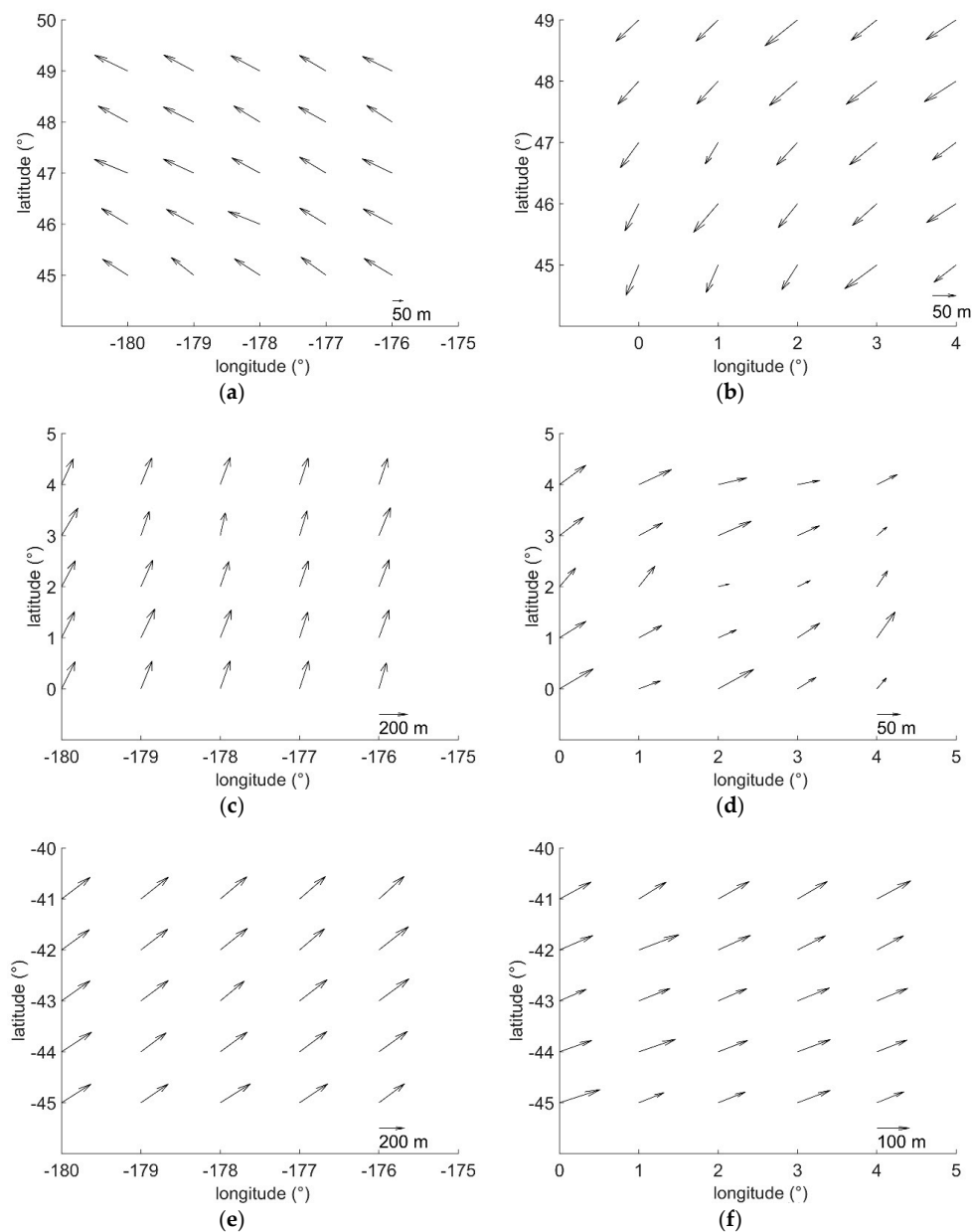
Two-dimensional image matching methods, which made the DEM matching simple, could be applied directly to the DEM data itself. However, creating simulated images enhanced higher-frequency terrain information, which could improve the matching performance. Many other types of algorithms that can produce enhanced effects also could be used. The method mentioned above should be used on the condition that the rotation between the DEMs is very small in each local region. It should be noticed that in most areas, the DEMs contained local noises that may led to mismatching by image matching methods. However, ASIFT, similar to SIFT, contains a Gaussian filtering. Noise and small-scale features are filtered out and do not appear in matching features. Furthermore, as the local noises in the two DEMs have low similarities, the noises do not have notable impact on the matching results.



## 4.2. Geometric Quality Assessment of CE2TMap2015

### 4.2.1. Gross Error Detection Results

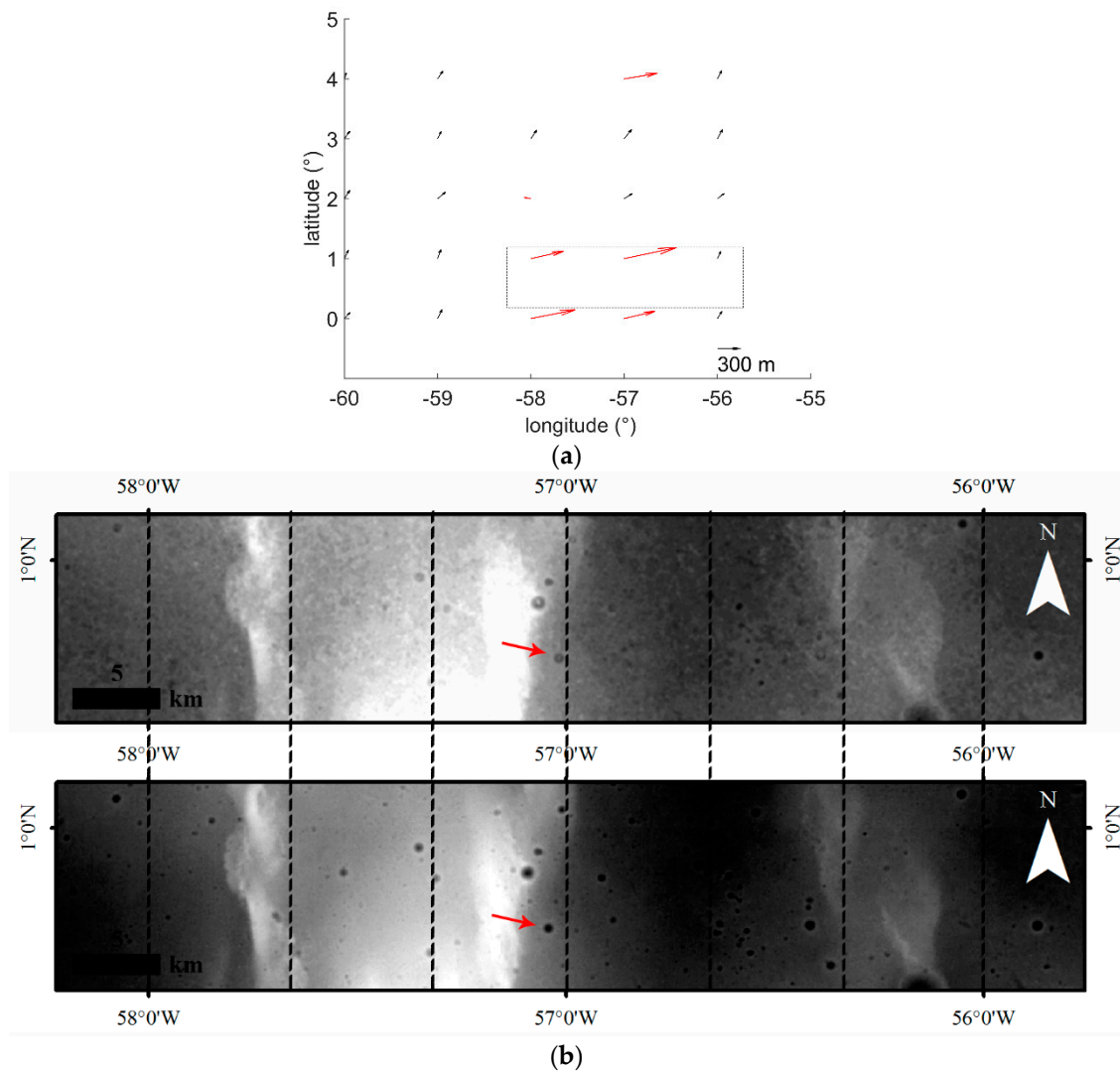
The geometric accuracy of CE2TMap2015, as well as the reference SLDEM2015, is generally consistent in local areas within the same product. The displacement vectors between the two products are also consistent in local areas, in other words, the displacement should be systematic in a certain range. The mean error of a  $5^\circ \times 5^\circ$  block was used to investigate the global geometric quality. Each block was subdivided into 25 sub-blocks (each sub-block was a  $1^\circ \times 1^\circ$  area) to check the consistency of the displacement vectors. Figure 4 shows some examples of the displacement vector field for different latitudes and longitudes. It was obvious that the mean displacement vector of each sub-block was similar in both length and orientation if no gross error existed.



**Figure 4.** Examples of the displacement vector field in each block. The coordinates of lower-left corner from (a) to (f) are  $(180^\circ\text{W}, 45^\circ\text{N})$ ,  $(0^\circ, 45^\circ\text{N})$ ,  $(180^\circ\text{W}, 0^\circ)$ ,  $(0^\circ, 0^\circ)$ ,  $(180^\circ\text{W}, 45^\circ\text{S})$ ,  $(0^\circ, 45^\circ\text{S})$ .

If a gross error did exist, the displacement vector of a sub-block was abnormal compared to neighboring sub-blocks. Such abnormal displacement vectors were extracted by the LOF algorithm and considered to be candidate gross errors. A distance threshold (2 pixels) between the vector length and average vector length was used to select the final gross error from the candidates.

Figure 5a shows the gross error areas (red vectors) extracted using the proposed algorithm. Figure 5b shows an example of the candidate gross error area (the dashed rectangle box in Figure 5a). In Figure 5b, there is an obvious geometric inconsistency in the candidate error area, e.g., the longitudes of the same crater (pointed by red arrows) in the two DEMs were significantly different.



**Figure 5.** An example of the gross error extraction results in one block: (a) gross errors marked as red displacement vectors and (b) DEM patches of the rectangle areas in (a). The upper DEM was from CE2TMap2015, and the lower one was from SLDEM2015.

The global result of the gross error extraction is shown in Figure 6. The filled rectangles are the areas with gross errors extracted using the proposed method. We manually checked all the extracted areas and found that the actual gross errors may be in CE2TMap2015 or SLDEM2015. The red rectangles are the areas with gross errors in CE2TMap2015. The pink rectangles are the low-quality areas of SLDEM2015; for example, Figure 7 shows an example where apparent artifacts exist in SLDEM2015. These areas are related to where the TC DEM was unavailable, as indicated in Figure 5 of Barker et al. [9]. The positions of the gross error areas ( $1^\circ \times 1^\circ$  sub-blocks) are listed in Table 1.

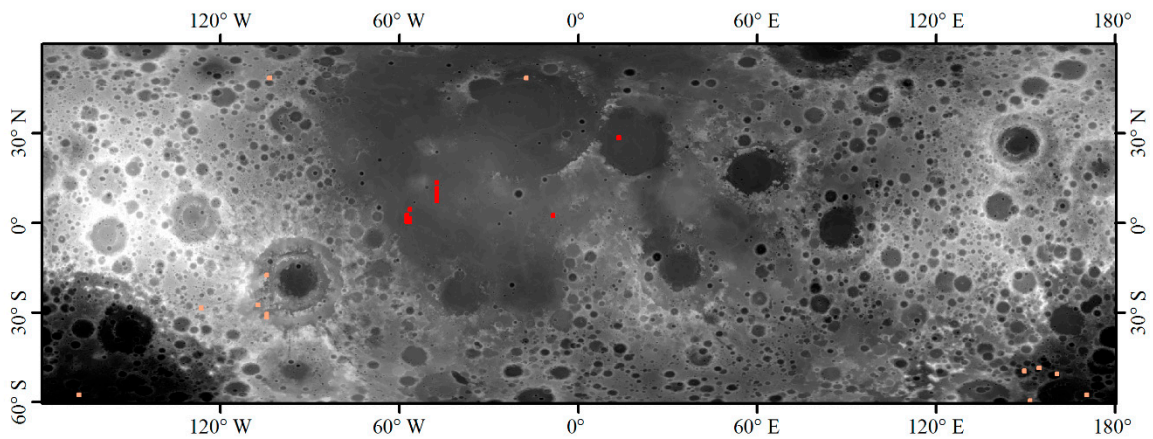


Figure 6. Gross error extraction result.

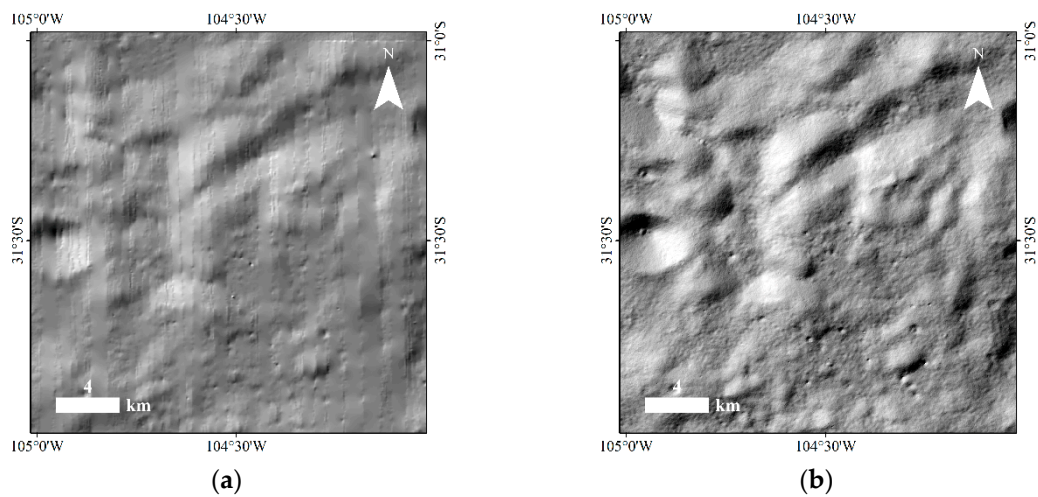


Figure 7. An example of a gross error area in SLDEM2015: (a) hillshade of SLDEM2015 revealing apparent artifacts and (b) hillshade of CE2TMap2015.

Table 1. The positions of the sub-blocks with gross errors.

Longitude of Lower-Left Corner	Latitude of Lower-Left Corner	DEM That the Low-Quality or Gross Error Was Located In
58°W	0°	CE2TMap2015
58°W	1°N	CE2TMap2015
58°W	2°N	CE2TMap2015
57°W	0°	CE2TMap2015
57°W	1°N	CE2TMap2015
57°W	4°N	CE2TMap2015
48°W	7°N	CE2TMap2015
48°W	8°N	CE2TMap2015
48°W	9°N	CE2TMap2015
48°W	10°N	CE2TMap2015
48°W	11°N	CE2TMap2015
48°W	13°N	CE2TMap2015
9°W	2°N	CE2TMap2015
13°E	28°N	CE2TMap2015
168°W	58°S	SLDEM2015
127°W	29°S	SLDEM2015
108°W	28°S	SLDEM2015

Table 1. Cont.

Longitude of Lower-Left Corner	Latitude of Lower-Left Corner	DEM That the Low-Quality or Gross Error Was Located In
105°W	32°S	SLDEM2015
105°W	31°S	SLDEM2015
105°W	18°S	SLDEM2015
104°W	48°S	SLDEM2015
18°W	48°N	SLDEM2015
149°E	50°S	SLDEM2015
151°E	60°S	SLDEM2015
154°E	49°S	SLDEM2015
160°E	51°S	SLDEM2015
170°E	58°S	SLDEM2015

#### 4.2.2. Global Geometric Quality of CE2TMap2015

Based on the displacement statistic results after excluding the gross errors, a mean displacement map between CE2TMap2015 and SLDEM2015 at a 5° spacing (Figure 8) was produced. We found that the error directions of the displacement vectors displayed an anticlockwise circular trend. This indicated that the error of the global adjustment remained systematic and could be improved.

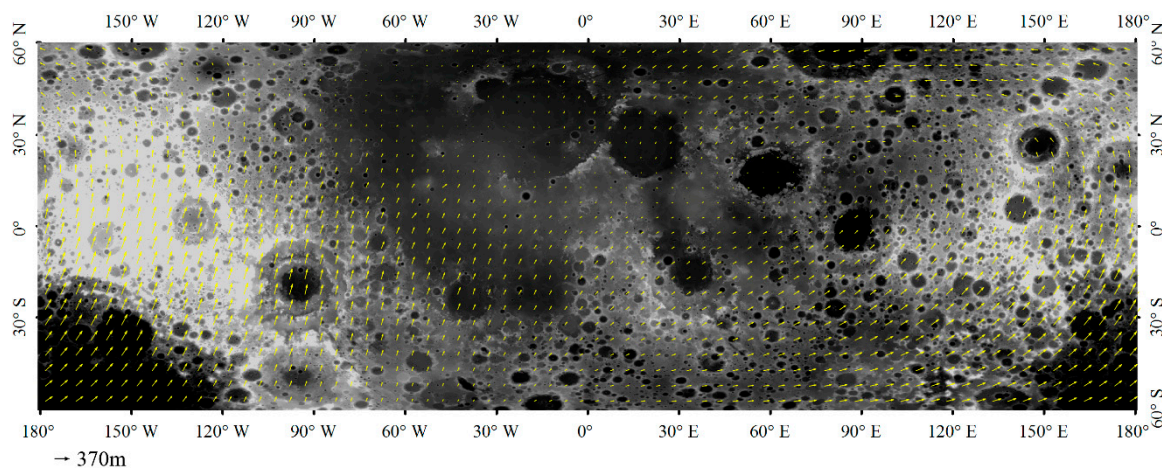
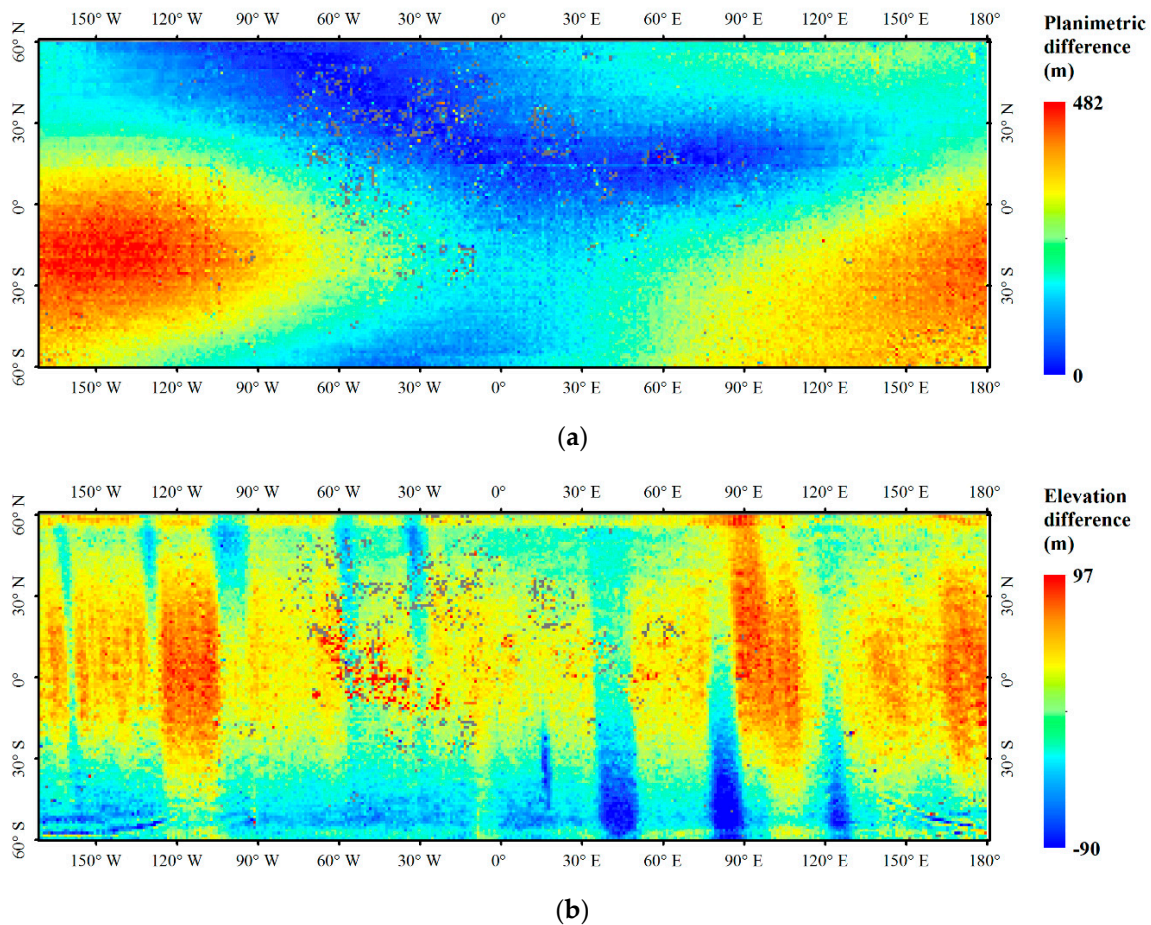


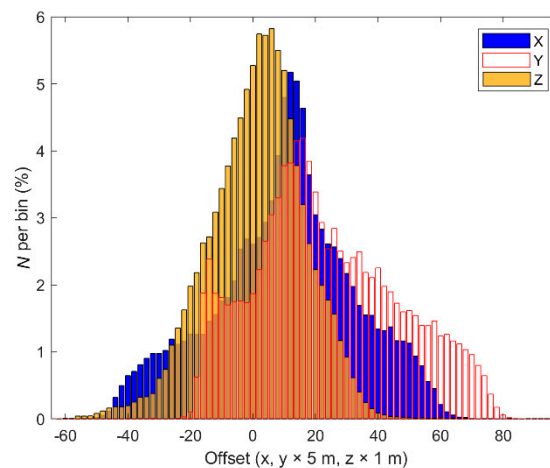
Figure 8. Displacement vector field between CE2TMap2015 and SLDEM2015 at a 5° spacing.

In order to obtain the statistical information of errors, a color-coded map of the magnitude of the planimetric offsets (Figure 9a) and elevation difference (Figure 9b) between CE2TMap2015 and SLDEM2015, respectively, at a 1° spacing are given. They show that the difference between CE2TMap2015 and SLDEM2015 was smaller in lunar maria. This trend is consistent with the control accuracy based on five high accuracy control points (i.e., the LRRRs) which are located in the northern hemisphere of the lunar near side and are used in CE2TMap2015 production. The elevation difference map (Figure 9b) was based on the average elevation differences of the tie points between the two DEMs. In other words, the planimetric displacements did not affect the elevation statistics. As shown in Figure 9b, most elevation differences showed an equator to poles variation. Some of the boundaries seemed to line up and have strict north–south trend. They had some association with boundaries of SLDEM2015 problem areas, as seen by comparing them with Figure 5 in Barker et al. [9]. Some others, which do not correspond to SLDEM2015 problem areas, were inclined. These may have been related to the CE-2 image strip direction. The elevation differences of these two DEMs in the lunar near side were lower than other areas. High elevation differences were generally located in several longitudinal bands (90°E–120°E in the northern hemisphere, 40°E–50°E and 80°E–90°E in the southern hemisphere, and 110°W–120°W at the equator).



**Figure 9.** Color-coded map of planimetric and elevation difference at a  $1^\circ$  spacing: (a) color-coded map of the magnitude of planimetric offsets and (b) elevation difference between CE2TMap2015 and SLDEM2015.

We calculated the area proportion for each grid. Furthermore, the distribution of planimetric (longitude and latitude) and elevation displacements were calculated based on the area proportion in Figure 10 ( $N$  per bin was the area proportion for each bin). The area-weighted average offset between the two DEMs was 183.1 m, and its standard deviation was 101.2 m. The maximum displacement in Figure 8 was 482.0 m. We can see that the displacement between the two DEMs in the lunar near side (with an area-weighted average of 125.5 m) was less than that in the far side (with area weighted average of 239.3 m). Furthermore, the area weighted average displacement in south hemisphere (with an area-weighted average of 239.5 m) was almost twice as the displacement in the northern hemisphere (with an area-weighted average of 125.7 m). The area-weighted average elevation difference was 2.3 m, with the standard deviation being 15.4 m (the maximum difference was 96.9 m). Furthermore, 95.8% of the elevation difference was less than twice the standard deviation.



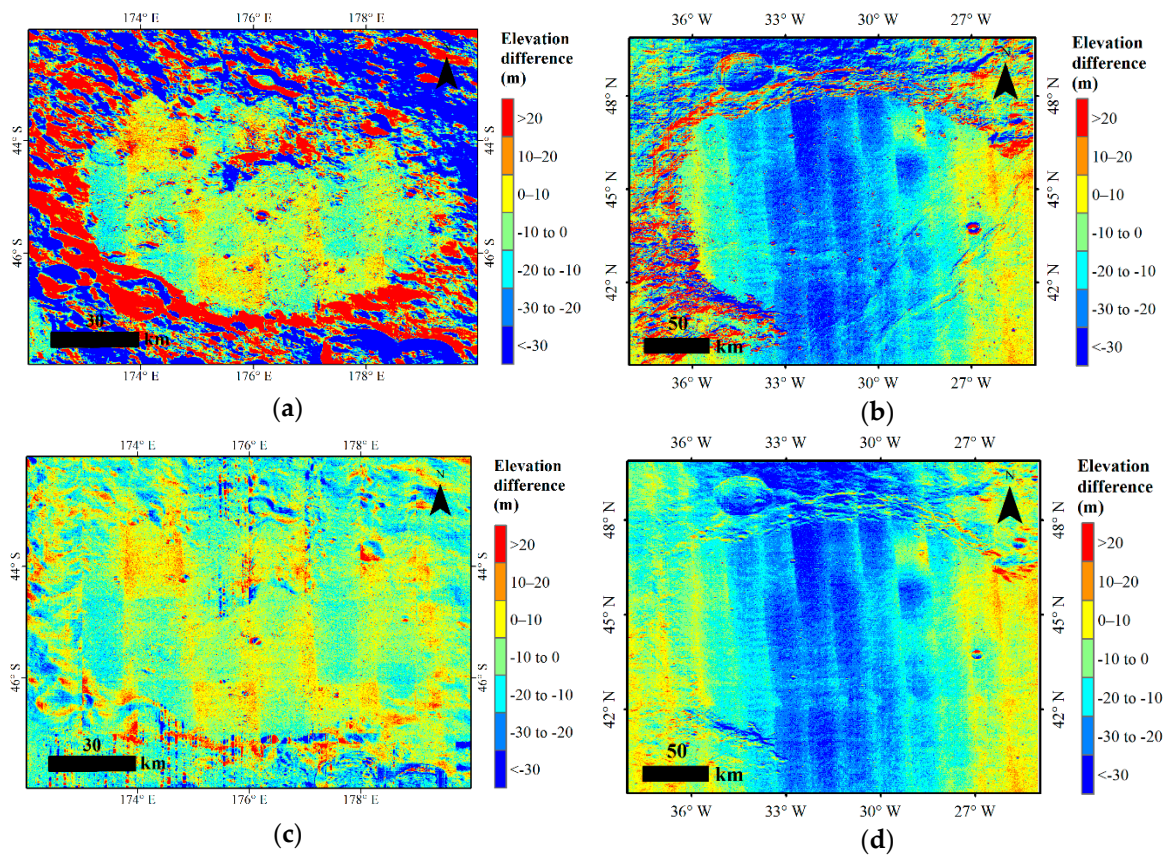
**Figure 10.** Distribution of X, Y, and Z (longitude, latitude, and elevation) offsets between CE2TMap2015 and SLDEM2015. The X and Y bin size was 10 m, and the Z bin size was 2 m.

The global geometric quality results were compared with the results of Li et al. [10]. Li et al. compared the planimetric displacements between CE2TMap2015 and GLD100 DOM, and compared the elevation difference between CE2TMap2015 and LOLA DEM. Although CE2TMap2015 was compared with different reference data, the trend both in planimetric and in elevation were consistent (the highest planimetric displacement was in the far southern hemisphere and high elevation differences were generally located in almost the same longitudinal zones).

#### 4.2.3. Finding of Periodic Systematic Error

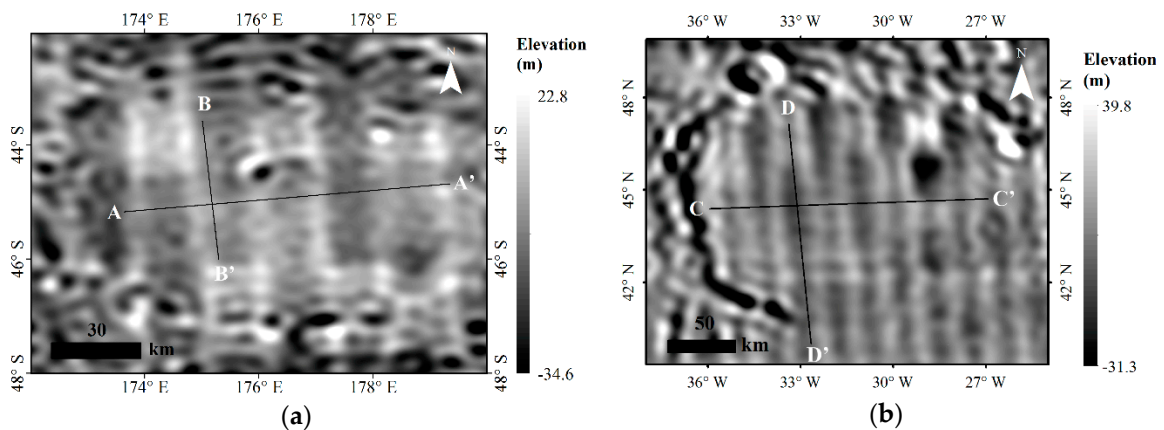
The Von Kármán crater (CE-4 landing area) and the Sinus Iridum (Chang'E-3 (CE-3) landing area) were chosen as the regions of interest to evaluate the details of CE2TMap2015. Using the matched tie points, second-order polynomial transformation models were built through least squares fitting for the Von Kármán crater and Sinus Iridum areas. Furthermore, CE2TMap2015 was co-registered to SLDEM2015 based on the parameters.

The original CE2TMap2015 and co-registered CE2TMap2015 in the two experimental areas were subtracted from SLDEM2015 pixel by pixel. Figure 11a,b shows that the elevation difference between the original CE2TMap2015 and SLDEM2015 was strongly associated with the slope; this indicated the horizontal coordinate differences between them were widely distributed. The influence of the planimetric displacement was more significant where the elevation difference was large (such as the edge of the crater). After co-registration, the planimetric displacements were removed (or greatly reduced) and the elevation differences still existed in the Von Kármán crater (82% of the elevation differences were lower than 15 m) and Sinus Iridum (56% of the elevation differences were lower than 15 m) in Figure 11c,d). In particular, systematic errors in the periodic pattern were found in both the CE-3 and CE-4 landing areas (Figure 11c,d). The periodic errors were also visible in the elevation difference of CE2TMap2015 relative to the other reference DEM (LOLA DEM). The periodic errors were mainly in the cross-track direction with  $\approx 21$  km in the Von Kármán crater and Sinus Iridum areas, which corresponded to the CE-2 image swaths (considering the overlap rate). This indicated that the periodic errors mentioned above existed on CE2TMap2015 instead of SLDEM2015. Some periodic errors could also be found along the track. Along-track periodic errors may have been introduced through the residuals of bundle adjustment of the CE-2 images and were associated with attitude and orbit precisions.

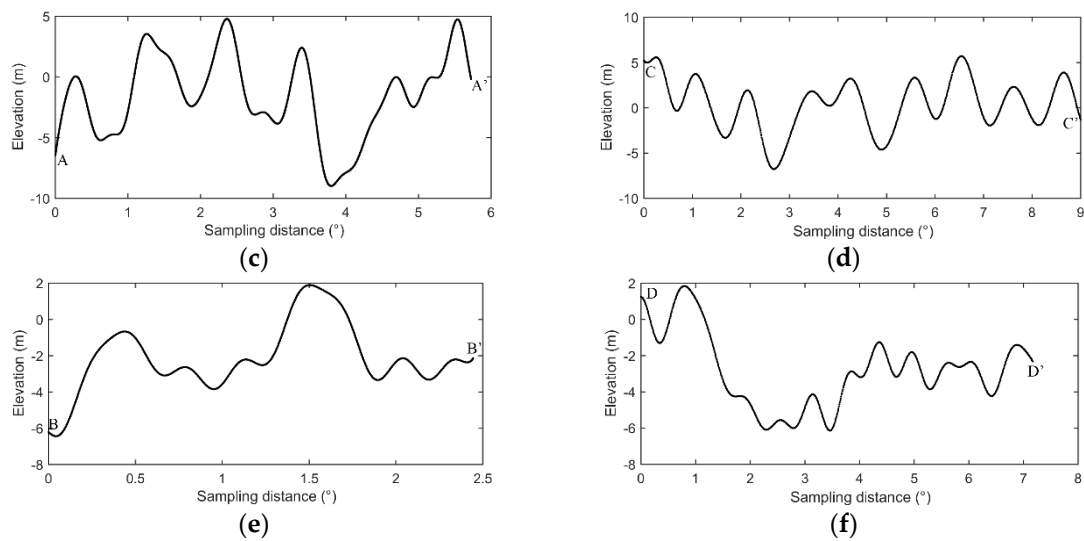


**Figure 11.** Elevation differences between CE2TMap2015 and SLDEM2015: (a,b) are the elevation difference between the original CE2TMap2015 and SLDEM2015 in the Von Kármán crater and Sinus Iridum, respectively; (c,d) are the elevation differences between the co-registered CE2TMap2015 and SLDEM2015 in the Von Kármán crater and Sinus Iridum.

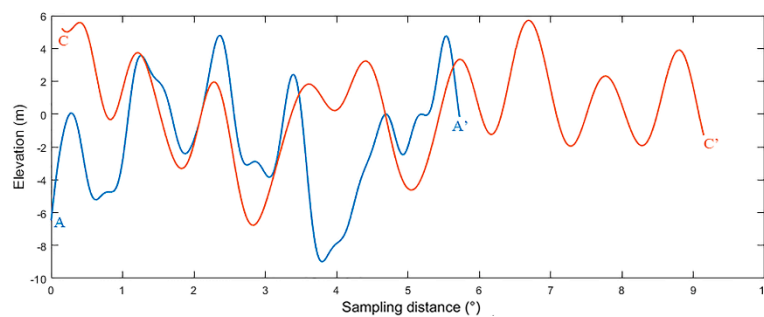
The periodic errors were extracted from CE2TMap2015 (Figure 12a,b) based on a low-pass filter (described in Section 3.2.3) of Figure 11c,d. The profiles of AA', BB', CC', and DD' in Figure 12a,b were also drawn. The profiles in the Von Kármán crater and Sinus Iridum area (AA' and CC') are compared further in Figure 13. The figure shows that the wavelength ( $\approx 21$  km,  $\approx 1^\circ$  of longitude in the Von Karman and Sinus Iridum areas) and amplitude ( $\approx 5$  m) in these two areas were consistent. It is highly possible that these residual errors were the result of optical distortions.



**Figure 12.** Cont.



**Figure 12.** Profile analysis of the periodic errors: (a) periodic errors extracted from Figure 11c, (b) periodic errors extracted from Figure 11d, (c) profile of AA' in (a), (d) profile of CC' in (b), (e) profile of BB' in (a), and (f) profile of DD' in (b).

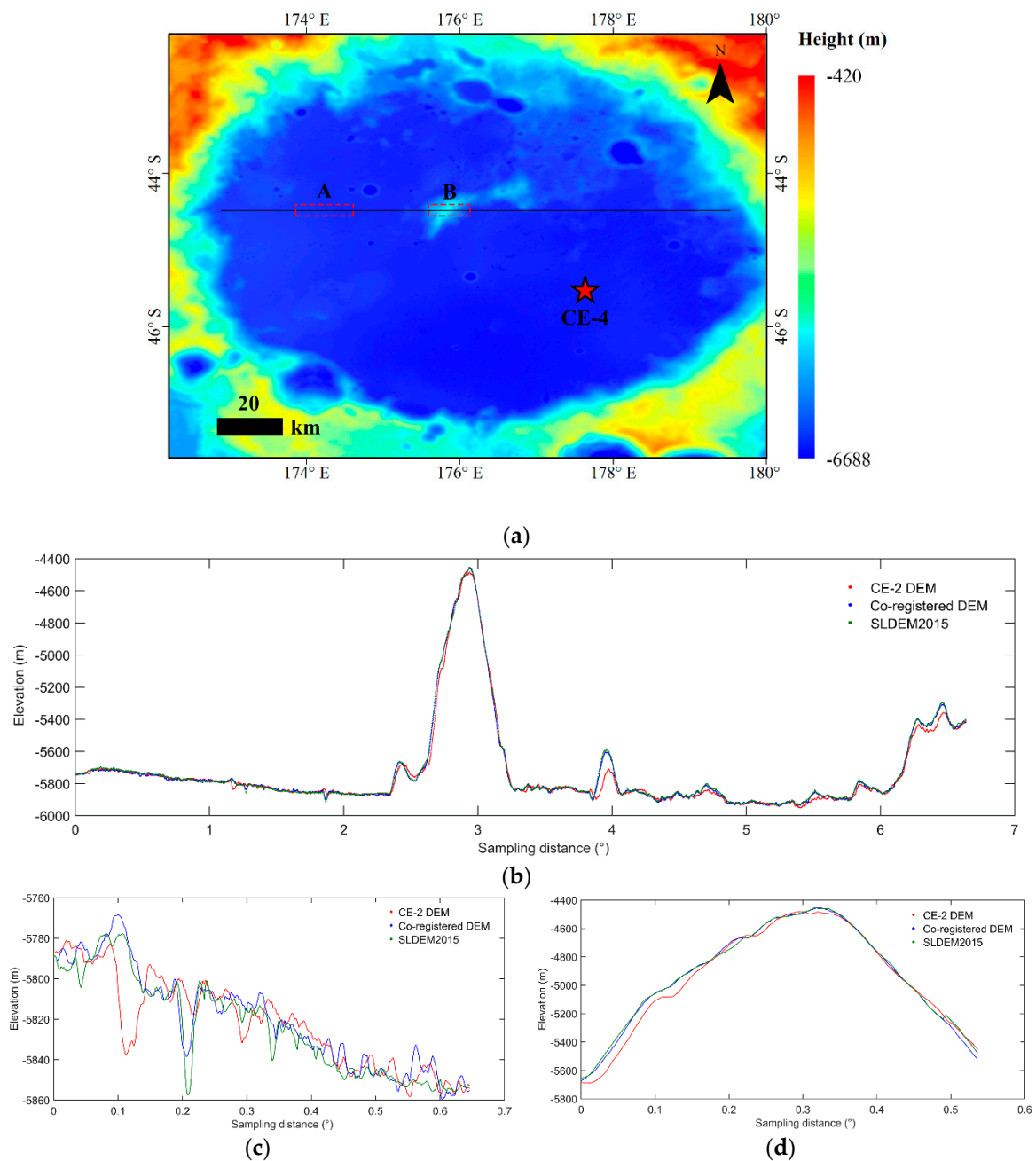


**Figure 13.** Comparison of plot AA' and CC'.

#### 4.3. Local Assessment of CE2TMap2015 in the CE-4 Landing Area

CE-4 is one of the key missions in the Chinese Lunar Exploration Program. The CE-4 probe successfully landed on the far side of the Moon on 3 January 2019. It was the first soft landing mission on the far side of the Moon and is significant for the in situ exploration of the Von Kármán crater. In this section, the results of a local detailed analysis of CE2TMap2015 at the CE-4 landing site is presented. The results in Figure 14 show that, although the matched CE2TMap2015 is much more consistent with the SLDEM2015 after co-registration (Figure 14b), there are still elevation differences between the two DEMs (Figure 14c,d). This indicates that the topographies represented in CE2TMap2015 and SLDEM2015 may be different at the detailed level.

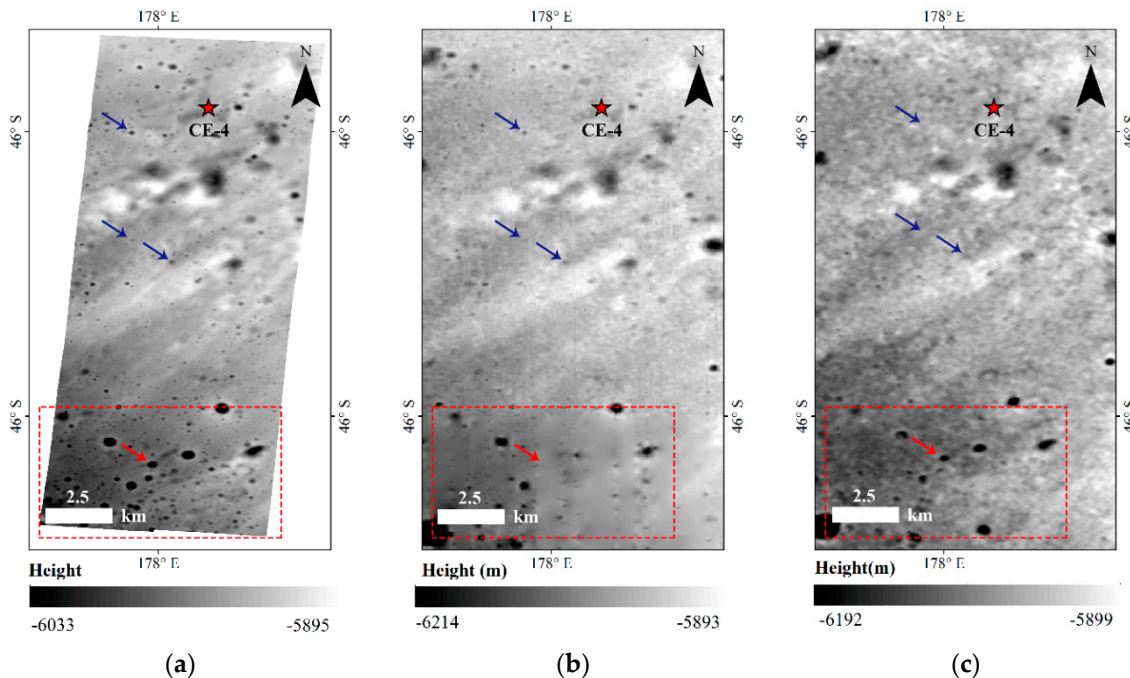




**Figure 14.** Elevation profile analysis: (a) SLDEM2015 for the Von Kármán crater, (b) profiles of the black line in (a), (c) zoomed-in profile of area A in (a), and (d) zoomed-in profile of area B in (a).

Figure 15 shows the details of CE2TMap2015 and SLDEM2015 for the CE-4 landing area. These two DEMs were compared to a recently released LROC NAC DEM product (Figure 15a). The NAC DEM was produced from stereo images (image ID: M1303619844 and M1303640934) and can be downloaded from the LROC website ([http://wms.lroc.asu.edu/lroc/view\\_rdr/NAC\\_DTM\\_CHANGE4](http://wms.lroc.asu.edu/lroc/view_rdr/NAC_DTM_CHANGE4)). It has a much higher grid spacing (5 m) than that of CE2TMap2015 and SLDEM2015, and thus can be used as a good reference for comparing the two DEMs. Obviously, there were some details (such as craters) only visible in SLDEM2015 (the blue arrows point in Figure 15), and some other details only visible in CE2TMap2015 (the red arrow points in Figure 15). We could also find that in some regions (e.g., the red dotted rectangle in Figure 15b), SLDEM2015 contained stripe noises, which may have been caused by low resolution in the across-track direction, while CE2TMap2015 had better quality in this local area (Figure 15c). It should be noted that though the image resolution of CE-2 was

slightly higher than the TC images (7 m vs. 10 m), the real horizontal resolution of CE2TMap2015 was lower than SLDEM2015 in the areas we examined (e.g., Figure 15 apart from the lower-quality area of SLDEM2015).



**Figure 15.** Comparisons of the DEMs for the CE-4 landing site: (a) LROC NAC DEM, (b) SLDEM2015, and (c) CE2TMap2015. The red dotted rectangle and red arrow points to the area where CE2TMap2015 showed more details than SLDEM2015. The blue arrows point out the details that are only visible in SLDEM2015. Lastly, the red star shows the CE-4 landing point.

## 5. Conclusions

A novel procedure for lunar DEM geometric quality assessment was presented in this paper. This method can both investigate the global geometric quality and detect local gross errors. The geometric quality of CE2TMap2015 was comprehensively assessed based on the proposed method, taking SLDEM2015 as the reference DEM. The results and findings are summarized as follows.

1. Widespread geometric inconsistencies existed between CE2TMap2015 and SLDEM2015 based on the automatically matched tie points. The average planimetric displacement and elevation difference were 183.1 m and 2.3 m, respectively, with standard deviations of 101.2 m and 15.4 m.
2. The displacement between CE2TMap2015 and SLDEM2015 was smaller in lunar maria than that in high lands and was smaller on the near side than on the far side. These findings are consistent with the distribution of the five high accuracy control points (i.e., the LRRRs), which were used in CE2TMap2015 production.
3. Gross errors were detected using the LOF algorithm based on the displacement vector field. It was found through manual checking that the actual gross errors may have been in CE2TMap2015 or SLDEM2015. The positions of the gross error areas are provided for users to use with caution.
4. Systematic errors in the periodic pattern were found for both the CE-3 and CE-4 landing site regions of CE2TMap2015. These periodic errors were mainly in the across-track direction and in the along-track direction after a frequency domain analysis. The issue of periodic error deserves more in-depth investigation in more areas, even globally.

- Local details of CE2TMap2015 and SLDEM2015 for the CE-4 landing site were compared using a recently available LROC NAC DEM as the reference. The two DEMs were generally consistent after co-registration but provided different details in different areas.

The results of this research provide instructional information for the utilization of CE2TMap2015 by world-wide users in various scientific applications. They are also valuable for possible quality improvement of CE2TMap2015 in the future.

At present, planetary DEM products are numerous and usually lack effective and detailed quality assessment and cross-validation. The method proposed and used in this paper can be used in the assessment of other planetary DEMs.

DEM of the same area derived from different sources usually contain different information because of the different resolutions and illumination conditions of the images. Thus, it is possible and meaningful to combine multi-source DEMs to produce a better DEM. SLDEM2015 is a good example of DEM fusion. The CE2TMap2015 can also supply lots of detailed and complementary information to SLDEM2015. Planetary DEM fusion should be investigated and used in the future.

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