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Integrated Drought Monitoring and Evaluation through Multi-Sensor Satellite-Based Statistical Simulation

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Abstract: To proactively respond to changes in droughts, technologies are needed to properly diagnose and predict the magnitude of droughts. Drought monitoring using satellite data is essential when local hydrogeological information is not available. The characteristics of meteorological, agricultural, and hydrological droughts can be monitored with an accurate spatial resolution. In this study, a remote sensing-based integrated drought index was extracted from 849 sub-basins in Korea's five major river basins using multi-sensor collaborative approaches and multivariate dimensional reduction models that were calculated using monthly satellite data from 2001 to 2019. Droughts that occurred in 2001 and 2014, which are representative years of severe drought since the 2000s, were evaluated using the integrated drought index. The Bayesian principal component analysis (BPCA)-based integrated drought index proposed in this study was analyzed to reflect the timing, severity, and evolutionary pattern of meteorological, agricultural, and hydrological droughts, thereby enabling a comprehensive delivery of drought information.

Keywords: remote sensing; integrated drought monitoring; meteorological drought; hydrological drought; agricultural drought; Bayesian principal component analysis (BPCA); statistical simulation



Citation: Kim, J.-S.; Park, S.-Y.; Lee, J.-H.; Chen, J.; Chen, S.; Kim, T.-W. Integrated Drought Monitoring and Evaluation through Multi-Sensor Satellite-Based Statistical Simulation. *Remote Sens.* **2021**, *13*, 272. <https://doi.org/10.3390/rs13020272>

Received: 20 November 2020
Accepted: 11 January 2021
Published: 14 January 2021

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1. Introduction

Droughts, along with floods, are some of the most common and inevitable natural disasters faced by human beings [1–4]. Therefore, many researchers have been trying to monitor and predict droughts accurately, and the development of drought monitoring techniques based on satellite remote sensing (RS) data (as a representative method) has garnered special interest in recent years [4–9]. The onset and magnitude of drought in the region is still a challenge for researchers because of a lack of ground meteorological observatories [4]. However, satellite-based RS data partially solve the problem by providing information in a fast and cost-effective way. The advantage of RS-based monitoring using satellite data is that it is possible to monitor droughts in large areas and ungauged basins, and we can utilize multiple satellite imagery data to have accurate results; therefore, monitoring drought by using satellites has proven to be an efficient and reliable tool [6,10–13].

There are four kinds of droughts in the academic sense: meteorological, agricultural, hydrological droughts, and their socioeconomic impacts [2,14–16]. A meteorological drought is caused by a deficit through the shortage of rainfall and is mainly a short-term drought event [6]. An agricultural drought is determined based on the vitality of vegetation and the pattern of quantitative changes in soil moisture; it indicates short or medium-term drought situations [6,13]. A hydrological drought is commonly a mid or long-term drought condition; this drought identification is made based on a shortage of water resources

required by human-environmental systems, such as river discharge, efficient water levels of dams, and reservoir storage [17]. A socioeconomic drought consists of a wide range that takes meteorological, agricultural, and hydrological droughts into account and is characterized by the temporal and spatial processes of water demand and supply [18].

A variety of drought indicators that help prevent disasters and reduce and allocate water resources have been developed to quantify different drought conditions, such as severity, duration, and frequency [3,5,17,19–25]. The standardized precipitation index (SPI; [19]) and the Palmer drought severity index (PDSI; [26]) are the most commonly used meteorological drought indices. The SPI standardization concept was also applied to other drought indices, such as the standardized runoff index (SRI; [20]) and standardized soil moisture index (SSI [22]).

Because RS technology provides an alternative approach for analyzing drought events across a wide range of regions, many studies have introduced RS-based drought indices [5,7,23,24,27]. Zhang and Jia [27] proposed the microwave integrated drought index (MIDI) to monitor meteorological drought over semi-arid regions and the continental United States of America. Cunha et al. [23] calculated the normalized differences vegetation index (NDVI) and land surface temperature (LST) data to monitor the effects of drought on vegetation in real-time. Sur et al. [24] analyzed Korea's drought conditions through a comparative analysis of the existing drought indices (SPI and PDSI) based on a satellite image-based drought index from 2004 to 2013. It was confirmed that the results of the evaporative stress index (ESI), and the energy-based water definition index (EWDI) showed high applicability for severe drought situations since 2010. Cong et al. [5] selected three widely used satellite drought indices as indicators suitable for drought monitoring in northeastern China and investigated the spatiotemporal patterns and trends of rainfall and drought; the indices were normalized monthly precipitation anomaly percentage (NPA), vegetation health index (VHI), and normalized vegetation supply water index (NVSWI). Zhang et al. [28] combined the global land data assimilation system version 2 (GLDAS-2) soil moisture data and NDVI with crop phenology data and assessed drought evolution and crop growth. Sur et al. [7] developed a new agricultural drought index called the agricultural dry condition index (ADCI) by combining various hydrometeorological variables and verified the applicability of the ADCI on the yield of paddy and arable crops in Korea.

Through the review of previous studies, we can assume that information can be integrated from multi-sensor satellite data and multivariate analyses to effectively achieve comprehensive drought assessment goals. In addition to providing information based on different drought conditions (meteorological, agricultural, and hydrological), it is necessary to develop and apply an integrated drought index that considers complex factors that can provide comprehensive information about droughts and the required proactive response to drought situations. Inspired by this idea, our study seeks to diagnose complex droughts by using multi-sensor collaborative approaches and multivariate dimensional reduction models. In this study, we proposed an integrated drought assessment method to comprehensively convey drought information to the public and conducted statistical simulations to determine spatial sensitivity to various types of droughts to provide tailored information on local drought responses in a changing climate.

2. Materials and Methods

2.1. Multi-Sensor Drought Indices

2.1.1. Standardized Precipitation Index (SPI)

The SPI is a drought index developed with the idea that it is initiated by a decrease in precipitation, thereby causing water shortage (compared to the relative water demand). In other words, it was developed from the above assumption that decreased precipitation has different effects on groundwater, reservoir storage, soil moisture, and river runoff. The SPI is an efficient way to calculate the impact of individual water sources on droughts by setting time units accumulated over a given period of time (over 1, 3, 6, and 12 months), and calculating the drought index by using the amount of precipitation on a time basis [19,28].

The SPI is also recommended by the World Meteorological Organization (WMO) for tracking meteorological droughts [21,25].

2.1.2. Agricultural Dry Condition Index (ADCI)

The ADCI is an agricultural drought index that takes into account the vegetation conditions, soil moisture, and LST of the affected region. First, the vegetation condition index (VCI) is applied for vegetation analysis. Sur et al. [7] proposed the ADCI as a new agricultural drought index, which is a combination of the three indices mentioned above (SMSI, VCI, and TCI). The cause of the agricultural drought was developed based on the concept of reducing the vitality of vegetation due to the lack of soil moisture and overheating of the surface temperature caused by high temperatures, developing into agricultural drought as this phenomenon continues. The ADCI can be calculated by using the Equation (1) given below:

$$ADCI = 0.6 * SMSI + 0.2 * VCI + 0.2 * TCI. \quad (1)$$

The VCI is a suitable index for agricultural drought monitoring, such as temporal and spatial vegetation changes and the onset and intensity of drought [29,30]. Kogan [29] developed the VCI, which was standardized using the maximum and minimum values of the NDVI developed based on the notion that droughts do not provide normal water supply to plants (Equation (2)).

$$VCI = \frac{NDVI - NDVI_{min}}{NDVI_{max} - NDVI_{min}}, \quad (2)$$

where $NDVI_{min}$ and $NDVI_{max}$ represent the minimum and maximum values of NDVI for the entire period of the pixel. The following is the LST-related index, called the temperature condition index (TCI), which is an index developed by Kogan [29] based on the fact that LST affects the stress of vegetation and is one of the drought factors that affect soil moisture. The TCI is a standard LST that uses the maximum and minimum LST values and as shown in the following equation (Equation (3)).

$$TCI = \frac{LST_{max} - LST}{LST_{max} - LST_{min}} \quad (3)$$

Finally, soil moisture needs to be considered for determining the ADCI. The soil moisture saturation index (SMSI) assumes that soil moisture is directly proportional to thermal inertia (TI). One of TI's simple approximations is the apparent thermal inertia (ATI), which can be derived in Equation (4); note that we assume that the solar energy is uniform.

$$ATI = \frac{(1 - \alpha)}{LST_{day} - LST_{night}}, \quad (4)$$

where α is the land surface albedo and LST_{day} and LST_{night} are the surface temperatures during day and night, respectively. The SMSI can be calculated using the ATI, as shown in the following equation (Equation (5)).

$$SMSI = \frac{ATI - ATI_{min}}{ATI_{max} - ATI_{min}} \quad (5)$$

2.1.3. Water Budget-Based Drought Index (WBDI)

The water budget-based drought index (WBDI), which was proposed by Sur et al. [18], was developed by adopting the water balance perspective and by using precipitation and evaporation as input variables. The evaporation of water balance is caused primarily by changing the state of water, which is achieved by changing the temperature [31]. The WBDI

is defined as the difference between precipitation and evaporation as surface runoff and sub-surface runoff in the water budget equation, as given below (Equation (6)):

$$P - E = dS + R, \quad (6)$$

where P is the precipitation (mm), E is actual evaporation (mm), dS is soil moisture change (mm), and R is the potential runoff (mm). The above results are treated as possible runoff in the basin and expressed in an index, as given below (Equation (6)):

$$WBDI = z(P - E), \quad (7)$$

where z denotes the standardization. Instead of monitoring the current precipitation and drought conditions through evaporation, the WBDI, estimated by using the water balance formula, defines a hydrological drought through potential (near future) runoff, thereby adopting a short-term prognosis approach.

2.2. Study Area and Remote Sensing Data

In this study, we used the moderate resolution imaging spectroradiometer (MODIS), precipitation estimation from remotely sensed information using an artificial neural network climate data record (PERSIANN-CDR), and global precipitation measurement (GPM) integrated multi-satellite retrievals for GPM (GPM IMERG) to calculate various drought indices. Through the MODIS satellite, the LST (MOD11A1), NDVI (MOD13A3), actual evapotranspiration (AET; MOD16A2), and albedo (MCD43B2) data from 2001 to 2019 were collected (Table 1). To obtain the precipitation data, we used the PERSIANN-CDR data from 1983 to 1997 that was generated by the center for hydrometeorology and remote sensing (CHRS) at the University of California in Irvine; the data were obtained before the tropical rainfall measuring mission (TRMM). The TRMM data from 1998 were utilized, and among many data, the gridded TRMM3B42 data were collected until 2014 (at the end of TRMM's life), which was provided by the National Aeronautics and Space Administration (NASA) [32]. Following 2014, we used data from GPM IMERG that obtained data until 2019 to calculate the meteorological drought index [33]. Among the GPM IMERG data, the data after the last four months of the calibration were used to enhance the reliability of the precipitation data. Due to the different spatial and time resolutions of the collected data, the spatial resolution was set at 1×1 km and the time resolution was considered to be monthly, which is consistently reprocessed. The main areas of this study were the five major rivers of the Korean Peninsula, and we analyzed 849 sub-basins (Figure 1).

Table 1. Remote sensing (RS) data used in this study.

	Product		Resolution	Data Period
MODIS	MOD11A1	Land Surface Temperature	1 km, daily	2001–2019
	MOD13A3	Vegetation Indices	1 km, monthly	
	MOD16A2	Evapotranspiration	0.5 km, 8 days	
	MCD43B2	Albedo	1 km, 8 days	
PERSIANN-CDR	PERSIANN-CDR	Precipitation	25°, daily	1983–1997
TRMM	TRMM3B42	Precipitation	25°, 3 h	1998–2014
GPM	GPM IMERG	Precipitation	10°, 30 min	2015–2019

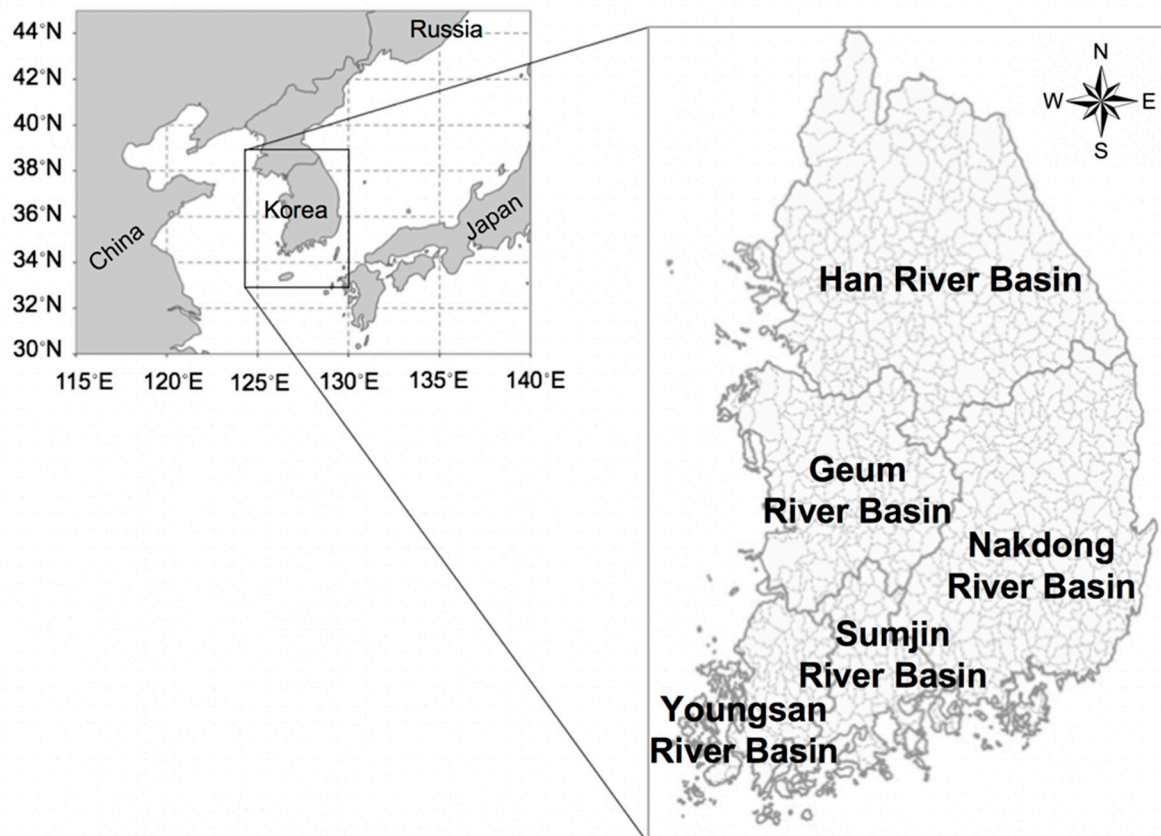


Figure 1. Geographical location of the five major river basins and the 849 sub-basins in Korea.

2.3. Integrated Drought Monitoring with Multi-Sensor Based Statistical Simulations

The types of RS data, mainly used for drought monitoring, depend on the type of satellite used; however, data such as precipitation, vegetation, surface temperature, soil moisture, and evaporation are mostly used. The data can be used individually. However, drought phenomena may not be sufficient for drought analysis based on a single indicator because it is related to a number of variables [34]. However, it may be more useful to combine information in the form of an appropriate drought index for more accurate monitoring of complex drought phenomena.

Hao and AghKouchak [23,34] proposed the multivariate standardized drought index (MSDI) based on a copula distribution or nonparametric joint distribution for a bivariate model of precipitation and soil moisture. However, with recent advances in technology, the size and complexity of data tend to increase. Such complexity makes it difficult to detect the dependence between the response variable and covariates because of the enormous number of available covariates [35]. To resolve these problems, an approach to reducing the number of covariates (through dimension reduction) is being used. Principal component analysis (PCA) is a tool that is commonly used for dimension reduction [36] and is a feature transformation method that directly transforms the variables (in dimension reduction methods) without losing much of the data's inherent attribution information. In this study, for the three different multi-variables acquired from the satellite data, an integrated drought index is calculated through the application of the Bayesian PCA (BPCA; [37,38]) and intentionally biased bootstrap (IBB; [39]) simulation for characterizing three aspects of meteorological (using SPI), agricultural (using ADCI), and hydrological (using WBDI) droughts. The BPCA approach can estimate the intrinsic dimensionality of the multi-dimensional dataset with missing data, which is suitable for application to satellite data, and has been evaluated as an accurate and robust model [37,38,40]. The BPCA analysis is performed by using the following three procedures: principal component (PC) regression, Bayesian estimation, and an expectation-maximization (EM)-like repetitive algorithm [38].

The IBB applied for statistical simulation of drought indices is a kind of weighted bootstrap that follows constraints that are designed to select resampling probabilities and conditionally applied to data; this helps to improve the statistical performance and minimizes the distance of weighted distributions [39,41]. This study employed the IBB to evaluate regional drought changes in meteorological (SPI), agricultural (ADCI), and hydrological (WBDI) droughts and analyzed the relative sensitivity of each drought index to the RS-based integrated drought index (RSIDI) calculated by using the BPCA (Figure 2). The IBB applied in this study is described as follows.

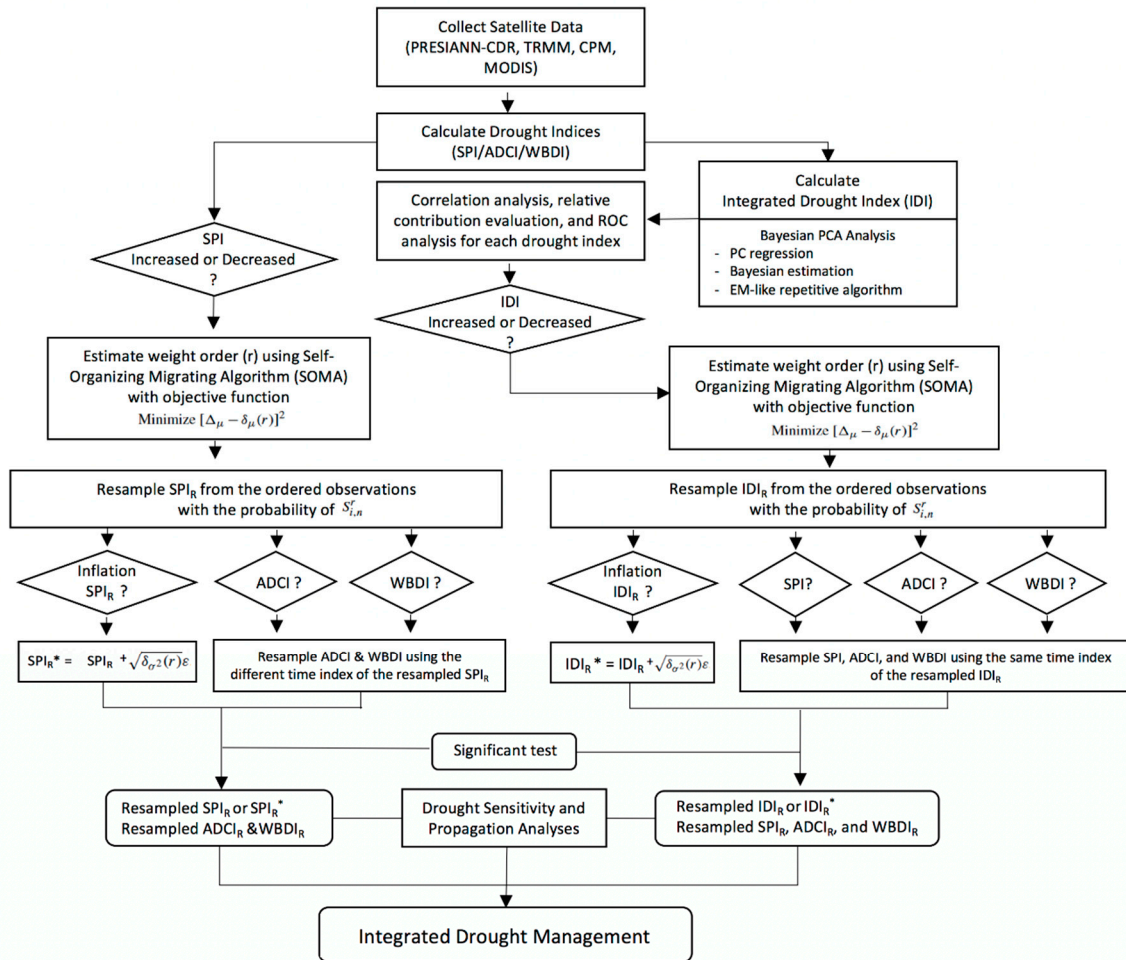


Figure 2. Procedure of intentionally biased bootstrap (IBB) analysis for integrated drought management.

The IBB simulation re-samples the observations X_i to n replacement (e.g., bootstrapping) by intentionally increasing or decreasing the data by as much as δ_μ . The data X_i are increasingly ordered by assigning different weights $W_{i,n}$ according to the magnitudes of the observations, as given below:

$$W_{i,n} = i/n, \tag{8}$$

where $i = 1, 2, 3, \dots, n$, and the data matrix is rearranged in the same order as the ordered X_i . The assigned weight $W_{i,n}$ represents the probability of selection for X_i data in the IBB simulation. The intentional change of increase or decrease (δ_μ) can be calculated as given in Equation (9).

$$\delta_\mu = \tilde{\mu} - \hat{\mu} = \frac{1}{\psi} \sum_{i=1}^n W_{i,n} X_i - \frac{1}{n} \sum_{i=1}^n X_i, \tag{9}$$

$$\psi = \sum_{i=1}^n W_{i,n}. \tag{10}$$

Equation (9) can be generalized with a weight order (r) as Equation (11).

$$\delta_{\mu}(r) = \tilde{\mu}(r) - \hat{\mu} = \frac{1}{\psi_r} \sum_{i=1}^n W_{i,n}^r X_i - \frac{1}{n} \sum_{i=1}^n X_i \quad (11)$$

The selection of the weight order (r) can be performed by using the self-organizing migrating algorithm (SOMA; [42]) with the following objective function (Equation (12)):

$$\text{minimize } [\delta_{\mu} - \delta_{\mu}(r)]^2. \quad (12)$$

Note that if $r < 0$, then $\delta_{\mu}(r) < 0$, which implies a drier state, and if $r > 0$, then $\delta_{\mu}(r) > 0$, which implies a wetter state. When $r < 0$, lower values indicating the dry state are resampled more frequently than higher values indicating the humid state, causing $\delta_{\mu}(r)$ to decrease. In addition, to objectively determine the accuracy of drought monitoring using three satellite-based drought indices, this study conducted a receiver operation characteristics (ROC) analysis. The ROC analysis was performed to evaluate the validity of the RSIDI calculations using the three drought indices (SPI, ADCI, and WBDI). The range of drought indices used in this study is given in Table 2.

Table 2. Range of the drought indices used in this study.

Drought Condition	SPI	ADCI	WBDI	RSIDI
Normal	>0	>40	>0	>0
Attention	−1.0–0	30–40	0–−0.5	−1.0–0
Caution	−1.0–−1.5	20–30	−0.5–−1.0	−1.0–−1.5
Alert	−1.5–−2.0	10–20	−1.0–−1.5	−1.5–−2.0
Serious	<−2.0	0~10	<−1.5	<−2.0

3. Results

In this study, the RSIDI was extracted for 849 sub-basins over the five major Korean river basins using a BPCA-based combination model for the three drought indices for 2001–2019. As a result of the evaluation of the proportion of variation (POV) of the three drought indices by region, the BPCA-based RSIDI explained the average POV (68.9%) of the 849 sub-basins (Han River basin: 68.1%, Nakdong River basin: 68.7%, Geum River basin: 71.3%, Youngsang River basin: 71.7%, and Sumjin River basin: 71.0%), showing a high POV, especially in the southern part of the country. In addition, the calculated RSIDI showed a relatively high correlation with SPI (median: 0.96) and WBDI (median: 0.96). In the case of the ADCI (maximum: 0.91, median: 0.53), the correlation with RSIDI was broad in the region and showed a relatively weak correlation in some areas of the Han and Nadkong River basins; however, on an average, the correlation was 0.53 (p -value < 0.001) in 849 sub-basins, indicating that the RSIDI can provide robust and comprehensive integrated drought information by maintaining the inherent characteristics of the three drought indices (Figure 3). By resolving the system equations for each drought index, it has been shown that the relative contribution of the RSIDI to each time-series can be assessed. Figure 4 illustrates the relative contribution of each drought index to the Gojicheon stream (#10011) of the Han River basin.

In the following section, the droughts in 2001 and 2014, which are the representative severe drought years of severe droughts that have occurred since the 2000s, were assessed using the RSIDI produced by multi-sensor satellite data and multivariate analysis. The application of integrated drought monitoring based on satellite data was evaluated through spatial-temporal variability analysis between the RSIDI and other drought indices using the ROC analysis to test the accuracy of the models. In addition, the onset, intensity, and evolution patterns of droughts were compared to each drought index, and the applicability of the RSIDI was evaluated through an IBB simulation.

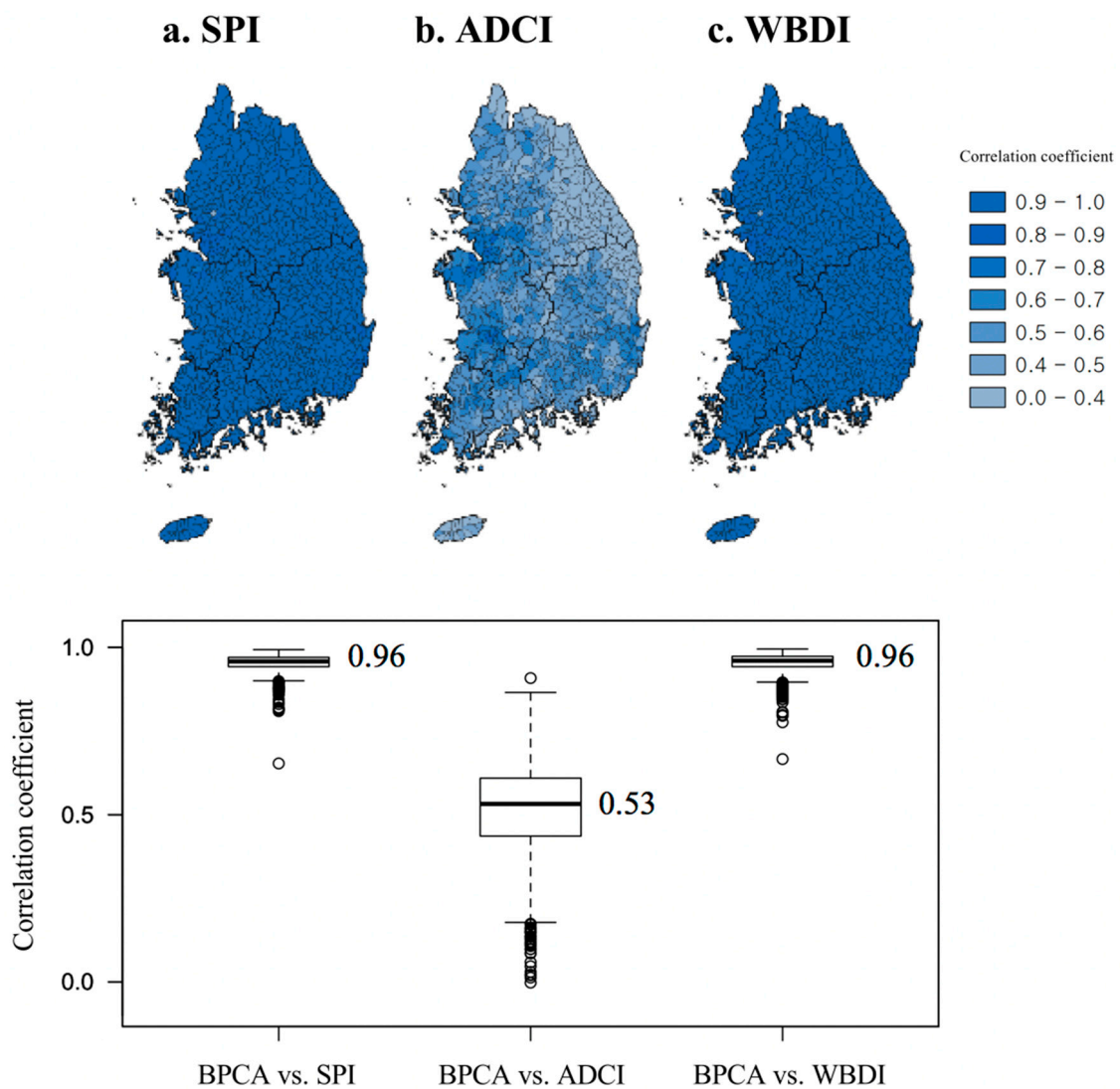


Figure 3. Results of correlation analysis with RS-based integrated drought index (RSIDI) through Bayesian PCA (BPCA); (a) SPI (standardized precipitation index), (b) ADCI (agricultural dry condition index), and (c) WBDI (water budget-based drought index). The lower panel in the figure results from the analysis of the correlation of 849 sub-basins summarized in the boxplot and is illustrated by each applied drought index namely, SPI, ADCI, and WBDI.

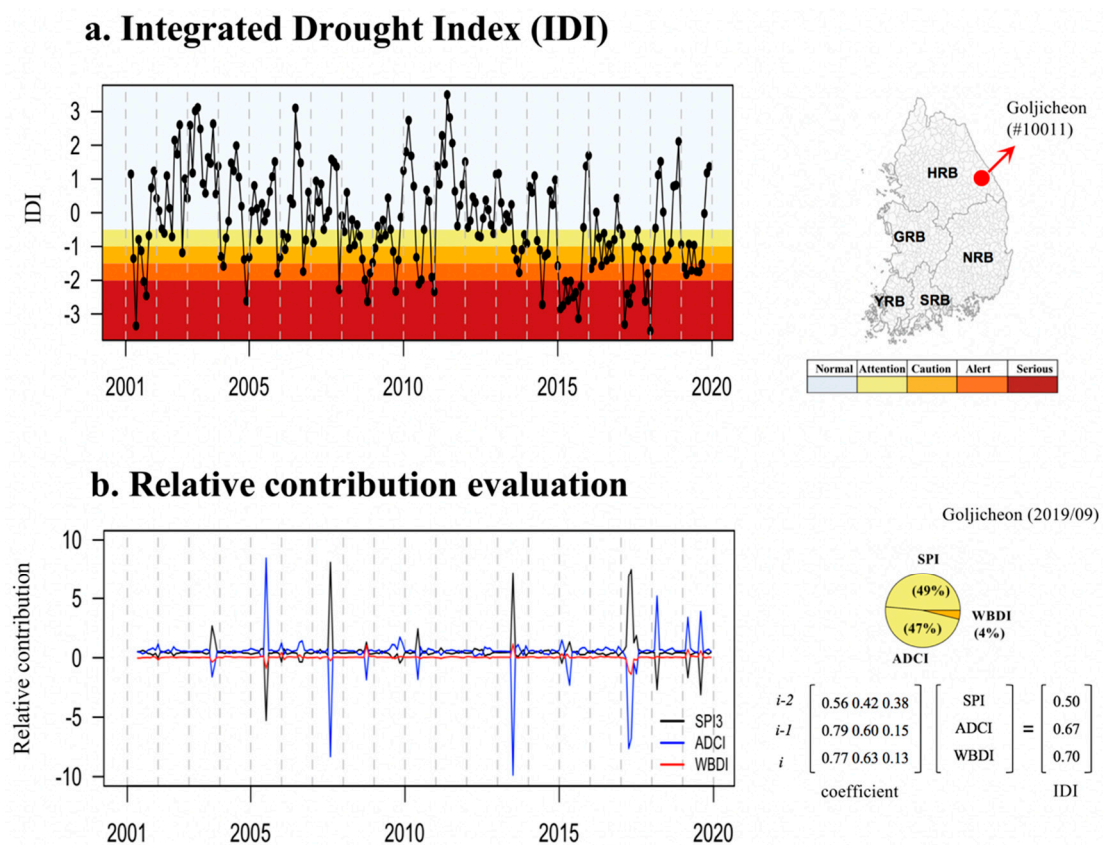


Figure 4. Time series of integrated drought index (IDI) for 2001–2019 in the Gojicheon stream in the Han River basin. (a) IDI index and (b) relative contribution evaluation.

3.1. Drought Impact Assessment and Drought Monitoring

The RSIDI was evaluated for the 2001 drought (Figures 5 and 6). The severe spring drought in 2001 began in the fall of 2000 and lasted until the spring of 2001. In spring, when the agricultural water demand was the highest due to the rice planting, the supply of agricultural water was insufficient, causing serious agricultural damages. In most parts of the Korean Peninsula, less than 50% of the average annual rainfall was recorded, and in some areas, only 10 to 30% of the average annual rainfall resulted in the most extensive agricultural drought damage in June [43]. The drought that occurred in 2001 was mostly resolved after more than 150 mm of rainfall since mid-June.

The SPI and WBDI illustrate the drought from April to May was a serious event, and the drought centered in the central region since September also appears to be approaching the serious stage (Figure 5). The ROC analysis between the RSIDI and three drought indices also showed that the WBDI had the highest with 0.90, followed by SPI at 0.78, and ADCI at 0.65. For ADCI, the observed indicators showed significant spatial variation compared to the other drought indices, and in the 2000 drought, the effects of changes in the SMSI resulted in a weaker or earlier drought peak than those observed in cases of other drought indices (Figure 6). These features appear to be more sensitive to short-term droughts as the ADCI applied in this study was used only for the surface soil moisture.

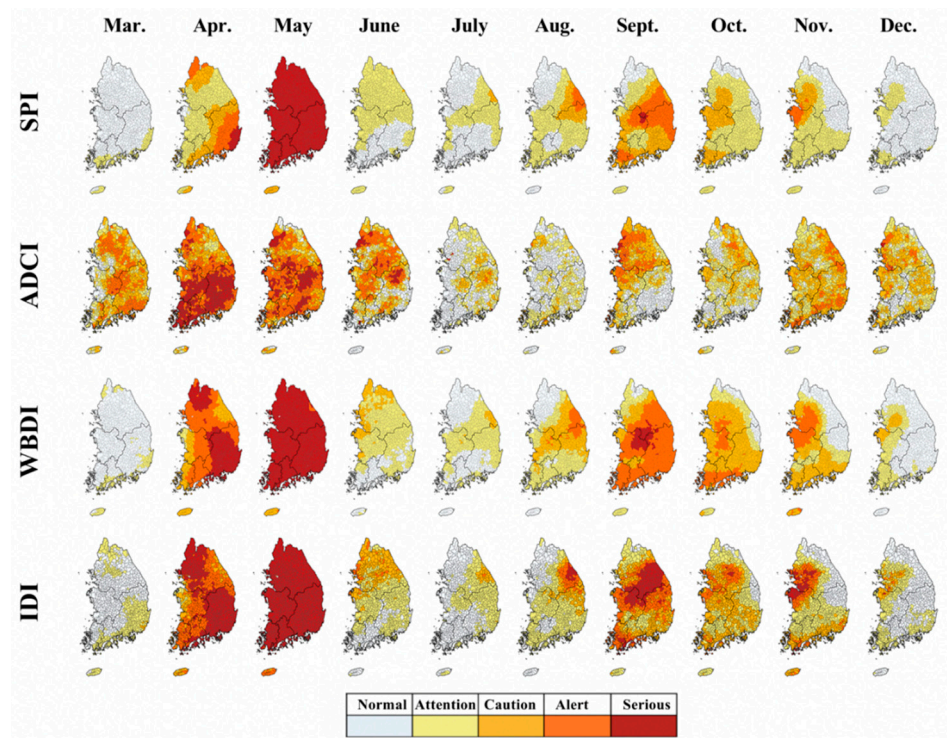


Figure 5. Spatial change of each drought index: SPI, ADCI, WBDI, and IDI (integrated drought index) for the 2001 drought.

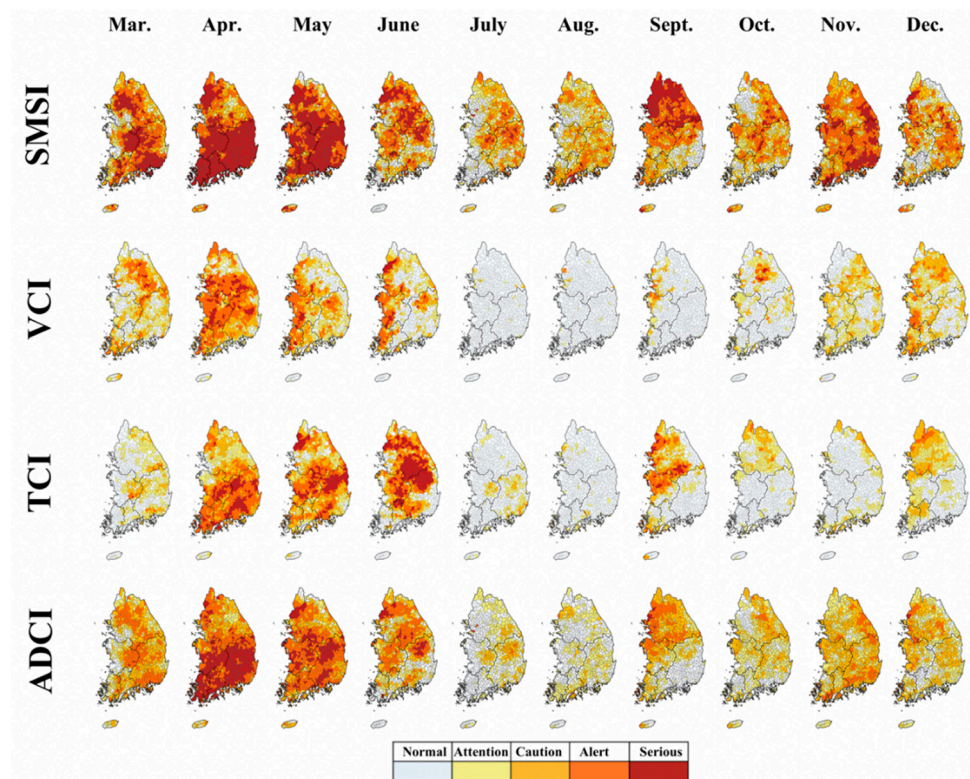


Figure 6. Spatial change of each drought index: SMSI (soil moisture saturation index), VCI (vegetation condition index), TCI (temperature condition index), and ADCI for the 2001 drought.

Figures 7 and 8 show the results of the evaluation of the 2014 drought. In 2014, a drought occurred around the Han River basin; in the same year, the Gangwon Province had 70% of the average annual rainfall and the Gyeonggi Province area around Seoul had 59%,

which was less compared to the previous years' average annual rainfall. In particular, in 2014, a dry monsoon phenomenon occurred in which a drought that began in spring (due to the El Niño phenomenon) continued to cause no rainfall or a significantly lower amount of rainfall [44]. The rainfall between June 2014 and July 2014 was 48% (compared to the average in the past years), and the national water storage level also dropped significantly to 64% over the previous year. In August, the average water level of multi-purpose dams in Korea was only 36.1%. The droughts lasted until 2015, resulting in less than 70% of the average rainfall, and the hydrological droughts, with reservoirs in many multipurpose dams, reached dangerous levels [45]. Similar to the results of 2001, the RSIDI obtained could effectively describe the time and spatial occurrence patterns of the SPI and the WBDI, while the ADCI was analyzed to have delayed drought due to changes in SMSI. The ROC statistical analysis also confirmed that WBDI was highest and ADCI was relatively low in 2014 (SPI: 0.81, ADCI: 0.61, WBDI: 0.88). Through evaluation of past droughts, the RSIDI explained the three drought characteristics (meteorological, agricultural, and hydrological) well and confirmed the applicability of the integrated drought index through ROC analysis.

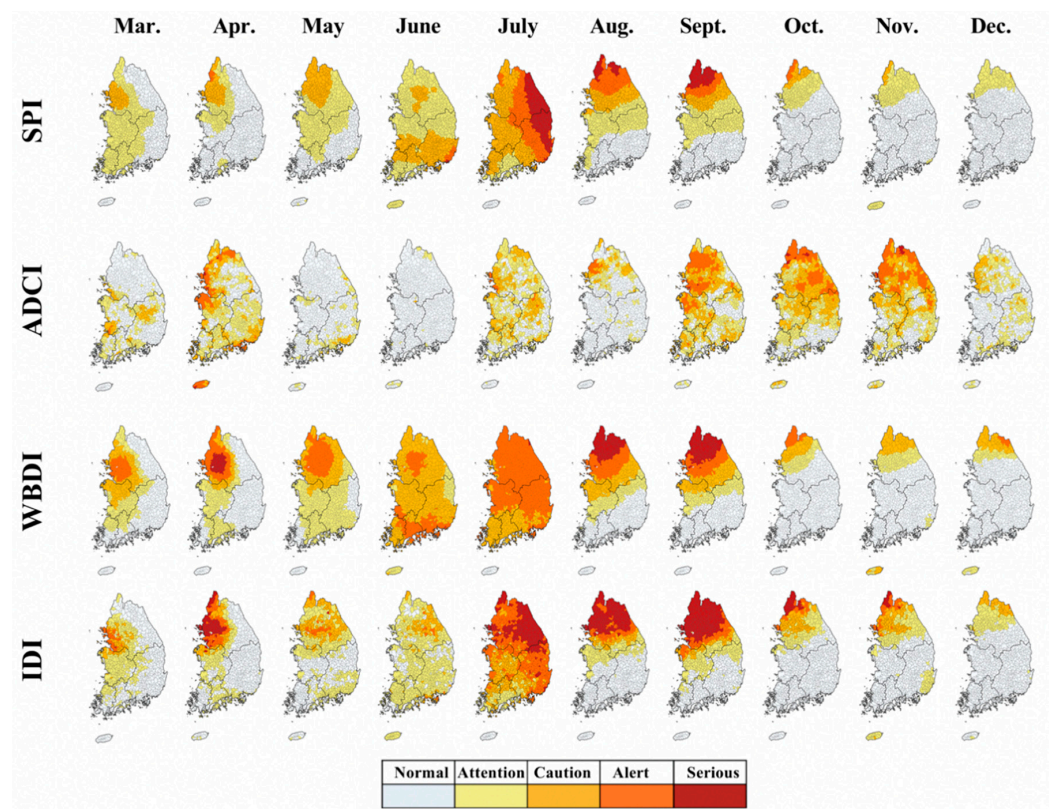


Figure 7. Spatial change of each drought index: SPI (standardized precipitation index), ADCI (agricultural dry condition index), WBDI (water budget-based drought index), and IDI (integrated drought index) for the 2014 drought.

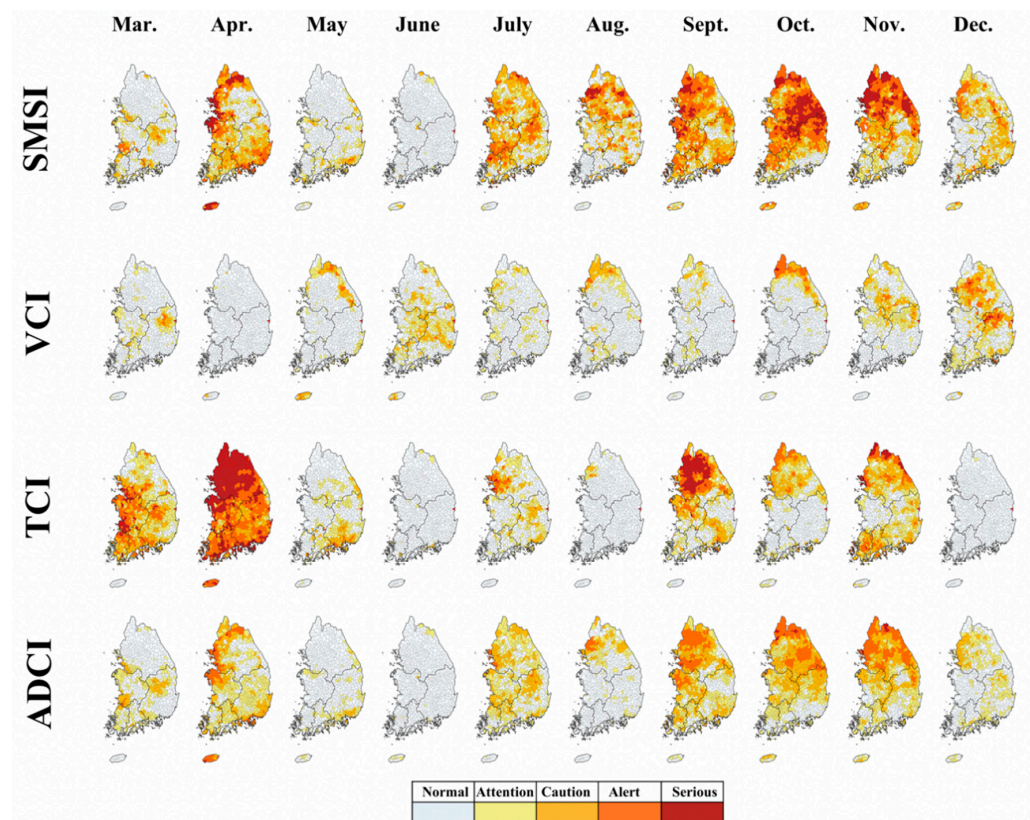


Figure 8. Spatial change of each drought index: SMSI, VCI, TCI, and ADCI for the 2014 drought.

3.2. Drought Transition Evaluation by Statistical Simulations

This study simulated the impact on the spatiotemporal distribution of different drought conditions, such as agricultural and hydrological perspectives, by altering the intended difference of $\delta_{\mu}(r)$ (Equation (11)) of the meteorological drought index (SPI) 1000 times to represent the changes in SPI. As $\delta_{\mu}(r)$ was intentionally changed, all the sub-basins experienced various changes in their ADCI and WBDI. Figure 9 shows that statistical simulations indicate that the changes in the state of agricultural (ADCI) and hydrological (WBDI) droughts correspond to the changes in meteorological drought (SPI). In addition, the results of the IBB simulation for up to three months of a lagged analysis were shown in Figure 10. Natural disasters, including droughts, are managed in four stages (Attention, Caution, Alert, and Serious) in Korea. In this study, the changes in other drought conditions were identified by intentionally changing the meteorological drought condition by using a IBB simulation.

First, when the meteorological drought (SPI) conditions were simulated from a stage of Normal to a stage of Attention (Figures 9a and 10a), the ADCI conditions, except for some parts of the Han River basin, showed a stage of Attention (96.6%, 820 out of 849 sub-basins). According to the results of the one-month delay, the ADCI status in parts of the Han and Nakdong River basins changed to Normal; however, 77.1% of the entire basin (45.8% of the two-month delay) was still in a stage of Attention. Over time, the ADCI conditions have shifted from a state of Attention to Normal in the southwestern part of the Han, Youngsang River, and Sumjin River basins. Even if the SPI conditions are simulated from the Normal stage to a stage of Attention, the results of the SPI are similar to those of time and space, and there are many sub-basins that are converted to the Normal stage over time. When the meteorological drought (SPI) conditions were simulated from the Normal stage to a stage of Caution (Figures 9b and 10b), excluding the parts of the Han River basin, more than 98.6% showed the ADCI to be in the Attention stage and 15.9% showed a stage of Caution. According to the results of the one-month delay, the ADCI in the northern parts of the Han River was still in a state of Caution; however, 88.5% of the

entire basin was in the stage of Attention. Over time, the ADCI conditions shifted from a state of Attention to Normal in the southwestern parts of the Han, Youngsan, and Sumjin River basins. Although the mid-term drought (SPI6) was simulated from a Normal stage to a stage of Attention, changes in the spatiotemporal pattern of the ADCI were similar to the results obtained for SPI3, in which the scope of Attention conditions was reduced, and the number of sub-basins converted to “Normal” over time increased in the southern parts of the country.

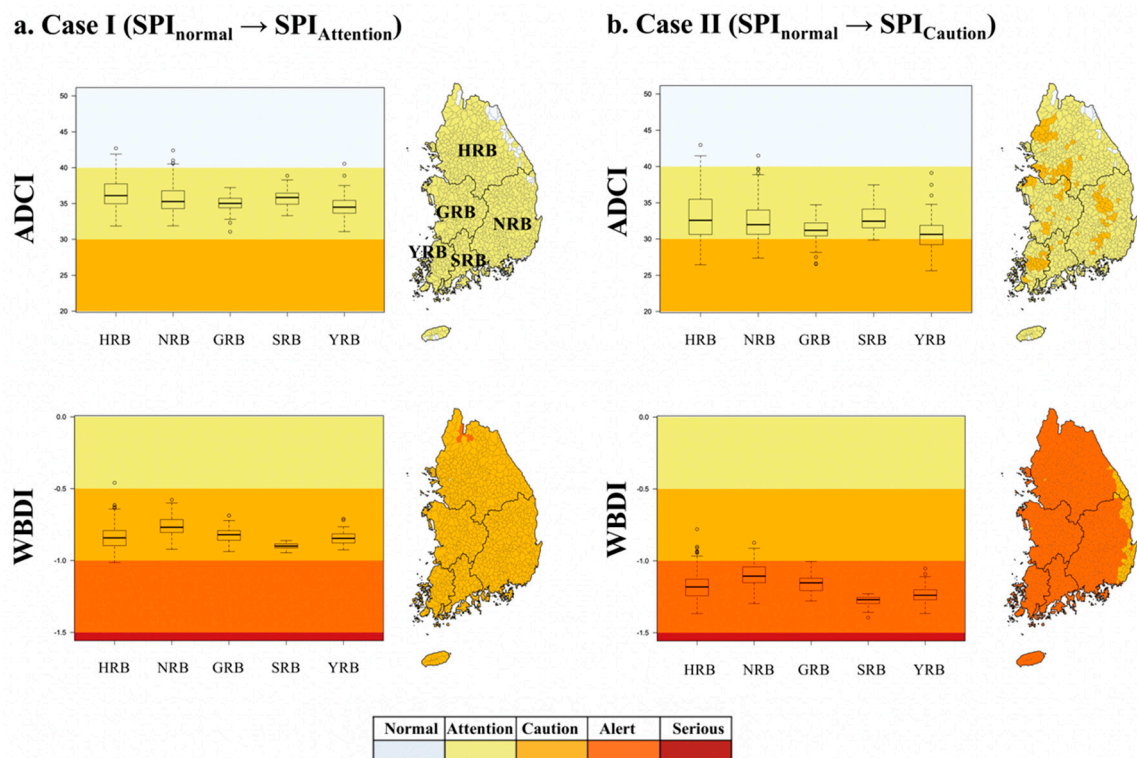


Figure 9. Drought condition changes in intentionally biased bootstrap (IBB) simulation. (a) Case I ($SPI_{normal} \rightarrow SPI_{Attention}$) and (b) Case II ($SPI_{normal} \rightarrow SPI_{Caution}$). The simulation results for the five basin areas are provided in the boxplot, and the results for the change in drought conditions are indicated in color in the map.

For WBDI, when the SPI drought conditions were simulated from Normal to a stage of Attention, the WBDI conditions, excluding the Han River basins, showed a stage of Alert (99.2%, 842 out of 849 sub-basins). As a result of the one-month delay, the WBDI status shifted from 75.7% of the total basin to a state of Attention, but 50.7% of the Han River basin and some areas of the Geum and Youngsan River basins were still in Caution levels. Over time, the WBDI conditions tended to shift from a state of Attention to Normal in the southwestern parts of the Han and Geum River basins. The SPI drought conditions were simulated from Normal to Caution; more than 93.8% of the total basins showed their WBDI in a stage of Caution. Over time, the WBDI status shifted to a Normal state around the southwestern Han and Geum River basins.

When the drought conditions of the RSIDI were simulated from Normal to Attention (Figure 11a), in all three drought indices (SPI, ADCI, and WBDI), the drought conditions shifted to a state of Attention, confirming that the RSIDI expressed the overall drought in space effectively. If drought conditions were simulated from Normal to Caution, the SPI results showed that 78.6% of the total basins were in the same state as drought conditions in the RSIDI. However, we inferred that the Han River basin was relatively insensitive due to its status of Attention. Compared to the drought conditions of the SPI, the drought conditions of the ADCI and WBDI were shown to be mitigated by one level in the Han River and some areas of the Nakdong River, and the spatial conditions changed in the two drought indices (ADCI and WBDI) were similar.

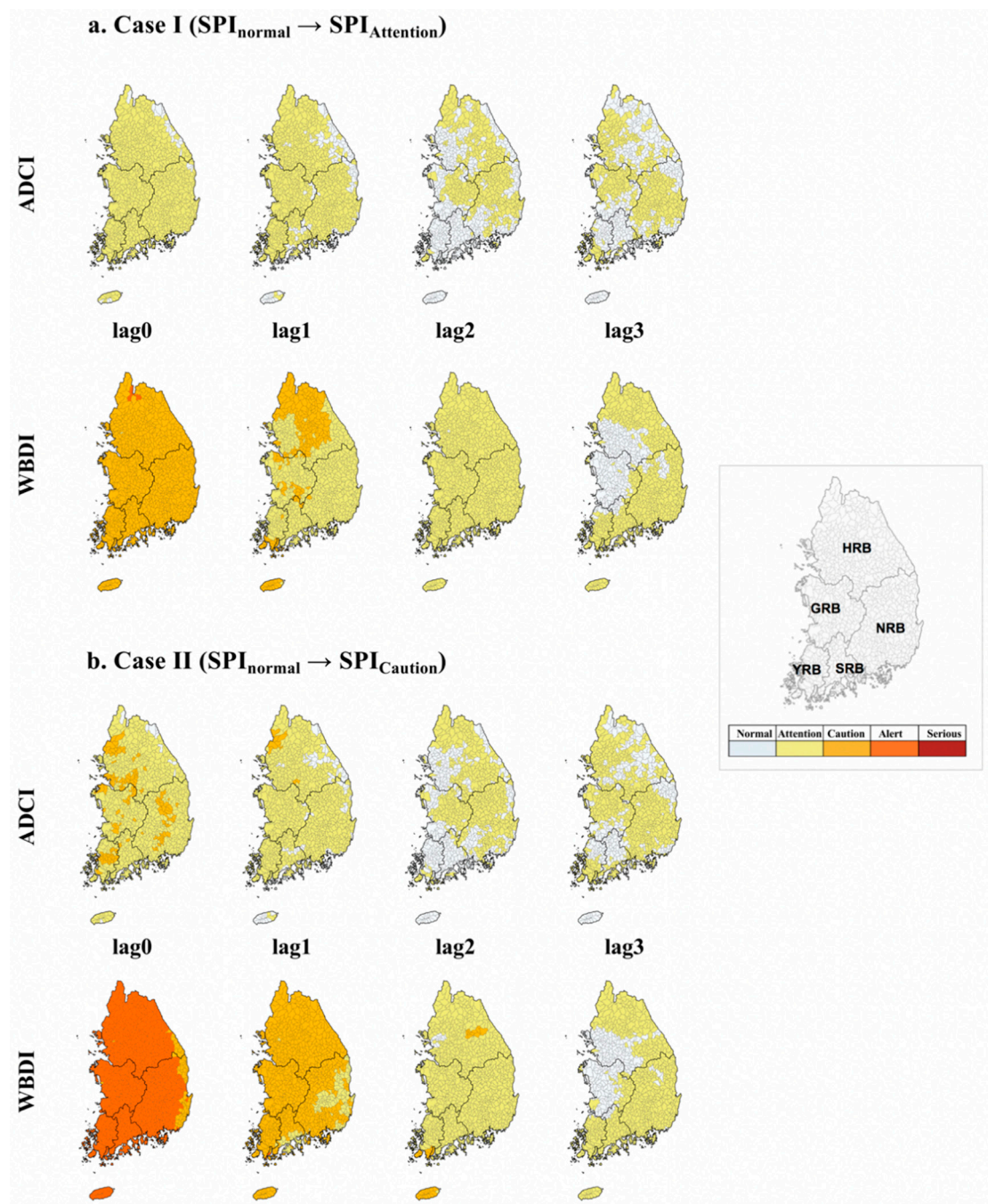


Figure 10. Drought condition changes in IBB simulation of ADCI and WBDI. (a) Case I ($SPI_{normal} \rightarrow SPI_{Attention}$) and (b) Case II ($SPI_{normal} \rightarrow SPI_{Caution}$). The simulation results for the five basin areas for up to three months are colored in the map.

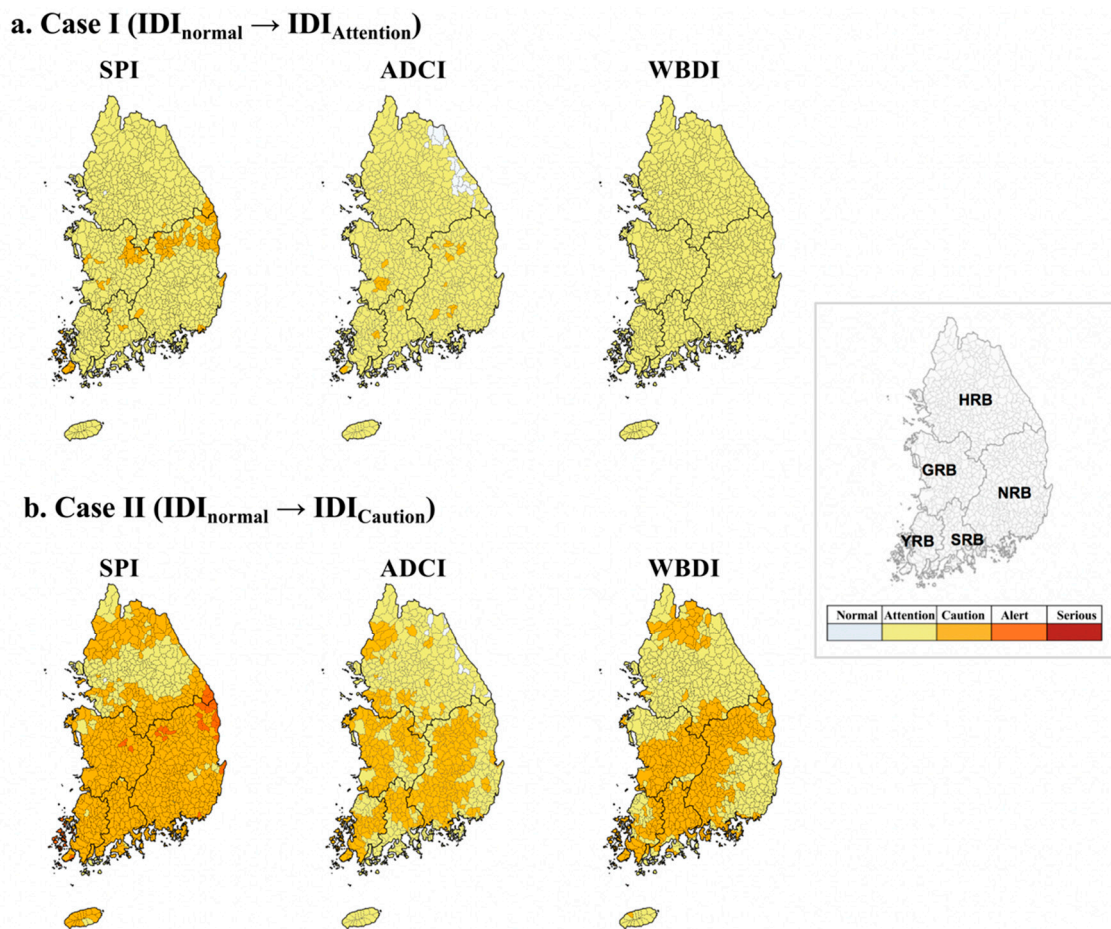


Figure 11. Drought condition changes in IBB simulation. (a) Case I ($IDI_{\text{normal}} \rightarrow IDI_{\text{Attention}}$) and (b) Case II ($IDI_{\text{normal}} \rightarrow IDI_{\text{Caution}}$). The simulation results for the five basin areas for up to three months are colored in the map.

4. Discussion and Conclusions

As climate change accelerates due to global warming, changes in hydrological cycles occur significantly, and water use and prediction of water resources may become difficult. In particular, in Korea, chronic drought has occurred continuously since the 1990s during the transition from winter to spring [46]. To cope with these droughts, technologies to identify and predict the magnitude of spatiotemporal droughts are required. Drought monitoring using satellite data will be essential to secure spatial resolution for accurate and spatial droughts when ground-based hydrometeorological data are not available, as well as monitoring the different characteristics of meteorological, agricultural, and hydrological droughts.

Korea's drought-related affairs are mainly handled by the Korea Meteorological Administration (KMA), Ministry of Agriculture, Food and Rural Affairs (MAFRA), Ministry of Environment (MOE), Ministry of Land, Infrastructure, and Transport (MOLIT), and the Ministry of Public Safety and Security (MPSS) [47]. The KMA diagnoses precipitation and drought in drought areas by assessing the SPI and PDSI and provides this information to the local governments. The MAFRA analyzes agricultural water through the soil moisture index (SMI), reservoir drought index (RDI), and integrated agricultural drought index. The MOLIT monitors dam water; the MOE monitors emergency water resources and water quality according to the drought stage and implements the appropriate countermeasures. The MPSS oversees the drought situation when it becomes extreme. However, some point out that the current drought measurement indices of different agencies are different in drought management, causing confusion and making it difficult to respond to drought

proactively. Different ministries have different standards for determining the degree of drought. Additionally, it is not sufficient for a single drought indicator to characterize all the complex drought evolution processes [22]. The development and application of an integrated drought index are necessary to take into account the complex factors related to water use, such as the meteorological, agricultural, and hydrological perspectives. Thus, this study proposed an RS-based integrated drought index that was extracted from 849 sub-basins in Korea's five major river basins using multi-sensor collaborative approaches and multivariate dimensional reduction models, calculated through monthly satellite data. Droughts in 2001 and 2014, representative years of severe drought since the 2000s, were evaluated using the integrated drought index, and statistical simulations were used to diagnose the sensitivity and transition of drought. The BPCA-based integrated drought index proposed in this study was analyzed to reflect the timing, severity, and evolutionary pattern of meteorological, agricultural and hydrological droughts, enabling comprehensive delivery of drought information. Although the results relied on limited observations, it is expected that drought hotspot analyses and statistical simulations using IBB and BPCA-based RSIDI will identify the drought characteristics of the sub-basin, thereby promoting their use in preemptive drought response through drought prediction and early warning.

Drought monitoring and accurate drought forecasting are still the main challenges in a relatively changing environment that has a long lead-time and natural and artificial factors. Therefore, future works to improve drought monitoring and prediction require further research, such as high-quality data assimilation, improving model development through major processes related to droughts, selecting or predicting optimal ensembles, and hybrid drought forecasting.

Author Contributions: Conceptualization, Resources, Formal analysis, Writing—original draft, J.-S.K. and S.-Y.P.; Conceptualization, Methodology, Writing—review & editing, J.-H.L.; Writing—review & editing, J.C., S.C. and T.-W.K. All authors have read and agreed to the published version of the manuscript.

Funding: This research was supported by the Korea Environment Industry & Technology Institute (KEITI) through the Water Management Research Program, which is funded by the Korea Ministry of Environment (MOE) (Grant No. 79616). We also appreciate the support of the State Key Laboratory of Water Resources and Hydropower Engineering Science, Wuhan University.

Conflicts of Interest: The authors declare no conflict of interest.

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