



Article Research on the Shape Classification Method of Rural Homesteads Based on Parcel Scale—Taking Yangdun Village as an Example

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Abstract: The basic information survey on homesteads requires understanding the shape of homesteads, and the shape of the homesteads based on the spatial location can reflect information such as their outline and regularity, but the current shape classification of rural homesteads at the parcel scale lacks analytical methods. In this study, we endeavor to explore a classification model suitable for characterizing homestead shapes at the parcel scale by assessing the impact of various research methods. Additionally, we aim to uncover the evolutionary patterns in homestead shapes. The study focuses on Yangdun Village, located in Deqing County, Zhejiang Province, as the research area. The data utilized comprise Google Earth satellite imagery and a vector layer representing homesteads at the parcel scale. To classify the shapes of homesteads and compare classification accuracy, we employ a combination of methods, including the fast Fourier transform (FFT), Hu invariant moments (HIM), the Boyce and Clark shape index (BCSI), and the AlexNet model. Our findings reveal the following: (1) The random forest method, when coupled with FFT, demonstrates the highest effectiveness in identifying the shape categories of homesteads, achieving an average accuracy rate of 88.6%. (2) Combining multiple methods does not enhance recognition accuracy; for instance, the accuracy of the FFT + HIM combination was 88.4%. (3) The Boyce and Clark shape index (BCSI) proves unsuitable for classifying homestead shapes, yielding an average accuracy rate of only 58%. Furthermore, there is no precise numerical correlation between the homestead category and the shape index. (4) It is noteworthy that over half of the homesteads in Yangdun Village exhibit rectangular-like shapes. Following the "homesteads reform", square-like homesteads have experienced significant vacating, resulting in a mixed arrangement of homesteads overall. The research findings can serve as a methodological reference for the investigation of rural homestead shapes. Proficiency in homestead shape classification holds significant importance in the realms of information investigation, regular management, and layout optimization of rural land.

Keywords: homesteads; shape classification; parcel scale; fast Fourier transform; Hu invariant moments; BC shape index; AlexNet

1. Introduction

In China, rural homesteads are owned collectively by villages, representing a welfare guarantee provided by the state to farmers, and they cannot be bought or sold. The term "homesteads" encompasses the land used by rural villagers for constructing various living-related structures, such as houses, courtyards, grain-drying fields, toilets, and cowsheds [1]. Homesteads play a crucial role in rural settlements [2]. In 2015, the Chinese Ministry of



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). Agriculture and Rural Affairs initiated the first wave of rural homestead system reform, selecting 33 counties nationwide as pilot projects for "homesteads reform". Assessing the status of rural homesteads resources in China is a critical undertaking [3], and understanding their spatial configurations is of paramount importance. The spatial arrangement of homesteads can indicate their regularity, which, in turn, impacts the regularity of neighboring parcels. Irregularities can lead to increased resource utilization costs, particularly in cases of irregularly shaped arable land surrounding homesteads, resulting in higher cultivation expenses. Furthermore, irregularly shaped homesteads pose challenges for rational planning and construction, thereby impacting the surrounding environment and travel accessibility. In both China and Vietnam, rural homesteads are state-owned, with farmers granted the right to utilize them. Given the similarity in homestead components [4], farmers are required to adhere to national policies and planning regulations when undertaking homestead transformations. Rural buildings in Britain are privately owned and can be bought and sold. While these buildings differ from homesteads in China and Vietnam, any alterations must align with state regulations or the Localism Act [5]. Supervision of rural homesteads is often lax, making them susceptible to post-construction expansion or dismantling, thereby impacting the equilibrium between rural construction land and arable land. Consequently, it holds significant importance to understand homestead shape classifications and patterns of change, facilitating information investigation, regular management, and layout optimization of rural land.

Shape classification of homesteads is essential for analyzing the two-dimensional graphics of rural homesteads in China. Similarities exist between these graphics and those of buildings or rural settlements. Numerous scholars have conducted extensive research on describing and classifying such shape features. Broadly, methods for expressing shape features can be categorized into two main types: (1) outline-based shape representation and (2) region-based shape representation.

Outline-based shape representation involves describing shape using the outer boundary of two-dimensional graphics and representing it through contour features. Common methods include Fourier transform, the wavelet descriptor method, chain coding, and shape features. For instance, Li and Zhang utilized Fourier transform to convert vector coordinates into a function in the frequency domain, expressing the boundary shapes of faceted elements of varying scales [6]. While effective for polygons with smooth boundaries, this method may not suit right angles. The wavelet descriptor method compensates for Fourier transform's limitations in local feature extraction. Thus, Sui and Kim decomposed building images into different scales using wavelet descriptors and described shapes using wavelet coefficient series [7]. The chain code method is simple and can significantly reduce data; however, it is susceptible to noise. Liu and Žalik utilized chain coding to extract key points for representing the shape of two-dimensional graphics [8]. To circumvent the contour point-matching process, Shen et al. transformed the shape into a feature vector using the shape feature bag and represented the shape outline by encoding and aggregating local contour features [9]. While this method is straightforward in terms of calculation, it to some extent neglects visual cognition factors [10].

The second type of region-based method involves utilizing the internal region of the two-dimensional graph to represent shape [11], Mainstream methods within this category encompass four types. (1) The skeleton method [12]: This method entails extracting the skeleton line from the two-dimensional representation of the residence and analyzing settlement shape through multiple rounds of extraction and aggregation. (2) Boyce–Clark shape index [13]: This approach is based on the radius measurement and yields a relative shape index by comparing a standard circle with the shape boundary profile. It is frequently employed to assess urban boundary shapes and analyze the direction and extent of urban expansion. (3) Hu invariant moments (HIM): This method relies on algebraic invariants and introduces moment invariants. Through the nonlinear combination of geometric moments, it derives a set of moments that remain invariant to the translation and rotation of two-dimensional images. For instance, in the literature [14], a high-spatial-resolution

method was proposed, characterizing the geometric invariant moments of building shapes from various viewing angles. (4) Matching method: This method involves matching house shapes with letters [15] or graphics [16] and templates and exploring the relationship between residences and templates by calculating similarity.

Both of the abovementioned machine learning (ML) methods heavily rely on manual parameter configuration and expert knowledge [17]. To address this limitation, scholars have explored deep learning (DL) methods, which have gained widespread adoption in fields such as computer vision, natural language processing, and speech recognition, yielding impressive results [18,19]. Among these, convolutional neural networks (CNNs) stand out for their powerful learning capabilities and significant achievements in shape recognition and classification. Consequently, some researchers have applied CNNs to the study of buildings, which can be categorized into two main areas. The first area focuses on building shape recognition. For instance, Yan et al. [20] employed a graph convolutional neural network (GCNN) architecture to analyze the graphical structures of buildings, studying the distinctive characteristics of individual buildings and examining the distribution patterns of building clusters. Yang et al. [21] introduced an integrated classification approach that combines vector-based building data with points of interest, enhancing the GCNN to extract morphological features of buildings. The second area deals with building shape classification. For instance, Meng et al. [22] employed ResNet50 to automatically classify rural building characteristics for large-scale village surveys. Yan et al. [23], with the assistance of the graph convolutional automatic encoder (GCAE) model, conducted an analysis distinguishing various building shapes while effectively representing both local and global shape features. Jiao et al. [24] utilized the AlexNet model to classify and recognize the shapes of large-scale buildings, achieving an impressive recall rate of 92.32%. In comparison to other CNN models, the AlexNet model notably enhanced building shape classification, proving effective even for buildings with complex shapes. The aforementioned research represents valuable progress in utilizing deep learning for building target detection and classification.

In conclusion, numerous studies have examined shape recognition and classification, whether in the context of urban buildings or rural settlements. Traditional feature extraction methods and deep learning approaches are commonly employed. However, rural settlements, in contrast to urban buildings, exhibit greater compositional diversity and dispersion, and their shapes can evolve post-construction. Thus, urban building shape classification methods may not fully encapsulate the complexity of rural homesteads. Rural homesteads encompass more than just buildings; they also include courtyards, grainbasking fields, cowsheds, and other ancillary land. Moreover, not all rural buildings are part of homesteads; for instance, schools, factories, and shops require classification based on land use. Therefore, methods suitable for rural building classification may not necessarily be optimal for rural homestead classification.

Based on the above analysis, we conducted method comparison experiments. These methods have demonstrated effectiveness in recognizing urban and rural buildings and were chosen from four categories based on image contours, image regions, urban boundaries, and deep learning. Consequently, this research aims to achieve the following objectives: (1) determine the optimal method for rural homestead shape classification by comparing traditional and emerging deep learning approaches and (2) uncover the spatiotemporal evolution pattern of rural homestead shapes using Yangdun Village as an illustrative example. The primary contributions of this study are as follows:

- (1) We introduced mature methods from other fields into homestead classification and demonstrated an effective approach for classifying homestead shapes through experimentation, including the determination of key parameters for FFT and the random forest (RF) method.
- (2) We conducted a numerical comparison to reveal the practicality of common shape classification methods for homesteads, encompassing four method categories and eight scenarios, including both machine learning and deep learning.

- (3) We conducted a comparative analysis of multiple feature extraction methods with a single classification algorithm, departing from the common practice of comparing multiple classification methods with a single feature extraction method. This approach offers insights for future research.
- (4) Utilizing Yangdun Village as a case study, we elucidated the spatiotemporal variation patterns in rural homestead shapes in the context of the "homesteads reform".

The remainder of this article is structured as follows: Section 2 provides an introduction to the research area, data sources, classification scheme, feature extraction methods, and accuracy evaluation criteria. Section 3 outlines an in-depth analysis of the accuracy achieved conducted using various methods in the shape classification of homesteads and elucidates the spatiotemporal evolution patterns of rural homestead shapes in Yangdun Village based on the best classification method. Sections 4 and 5 comprise discussion and conclusions, respectively.

2. Materials and Methods

This article employs various methods: fast Fourier transform (FFT), Hu invariant moments (HIM), the Boyce and Clark Shape Index (BCSI), and AlexNet. These methods, representing image contours, image regions, urban boundaries, and deep learning approaches, respectively, have been effectively applied in extracting shapes of urban boundaries and rural buildings. However, determining the most suitable single or combined method for classifying homestead shapes required a comprehensive investigation in this study.

2.1. Study Area

In 2015, the Ministry of Agriculture and Rural Affairs of China designated 33 counties (cities and districts) across the country for a pilot program on rural homestead system reform, commonly known as "homesteads reform". Our research is centered in Yangdun Village, Deqing County, Huzhou City, Zhejiang Province. Deqing County was among the pioneering counties in the first wave of "homesteads reform" pilots and was recognized for its outstanding achievements in rural "homesteads reform" [25]. Over the decade preceding and following the "homesteads reform", Yangdun Village's homesteads underwent significant transformations, offering insights into the evolving shape of rural homesteads under this reform. This holds valuable implications for guiding homestead policy development in other regions. Yangdun Village is situated in the southern-central region of Deqing County, at the intersection of Huzhou City and Hangzhou City, covering an area of 6.11 square kilometers. The terrain is predominantly flat, with an average elevation of approximately 12 m, and the region boasts ample water resources. Yangdun Village comprises 931 households organized into 26 groups, with residential buildings dominating the landscape. Homesteads are primarily concentrated in areas with abundant water sources and well-developed road networks, resulting in an overall clustered layout. Figure 1 illustrates the diverse shapes and sizes of homesteads in Yangdun Village. Area 1 exhibits irregular patterns with noticeable elevations and depressions, while areas 2 and 3 feature more regular homestead layouts.

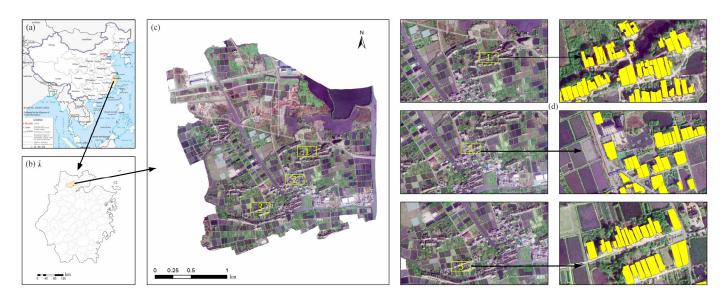


Figure 1. Yangdun Village: relative location in China and the shape of the homesteads. Note: (**a**) the location of the study area in China; (**b**) the location of Yangdun Village in Zhejiang Province; (**c**) landform of Yangdun Village, with yellow rectangular frames representing different areas; (**d**) homesteads with different regular shapes.

2.2. Data Source

The research encompasses spatial distribution data of homesteads, remote sensing data, and field survey data, with the following specifics:

- (1) Spatial distribution data of homesteads: This dataset includes vector data for the years 2010, 2015, and 2020. The 2010 and 2015 data were meticulously compiled parcel by parcel, utilizing Google Earth satellite imagery and on-site surveys. The 2020 vector data were obtained from the Deqing County Agriculture and Rural Bureau.
- (2) Remote sensing data: Our dataset includes Google Earth satellite images from 2010 and 2015, accessible at https://earth.google.com (accessed on 20 December 2021).
- (3) Field survey data: Field visits were conducted to determine the locations of homesteads within the study area and to document the reasons for any changes.

2.3. Construction of Homestead Patch Classification Scheme

2.3.1. Classification Scheme

FFT, HIM, and the Boyce and Clark shape index (BCSI), along with their various combinations, were employed to extract homestead characteristics. Additionally, we utilized the random forest (RF) algorithm to oversee homestead shape classification. The deep learning method took AlexNet as an example. The specific method flow was as follows: (1) Based on OpenCV, the homesteads in Yangdun Village were divided, and each homestead was cyclically exported. (2) Combining with the shape classification library of homesteads summarized in advance, we manually distinguished and set classification numbers for each homestead and obtained sample standards with different shapes. (3) Fast Fourier transform (FFT), HIM, and the BCSI were used to extract the features of each homestead and divide them into single features and combinations of multiple features. The former refers to the use of a feature extraction algorithm, while the latter refers to the combination of more than two algorithms. (4) Based on different features, the RF method was used to carry out model training. A cross-validation method involving 100 random trials was employed to enhance reliability. In each experiment, 70% of the patches (with a total sample of 877) were randomly selected as the training set. We used the training model to classify the remaining patches, and obtained the classification results for each category of feature. (5) The classification results of the previous step model were compared with the manual classification categories, and the accuracy of the classification results of the RF

method based on different feature methods was evaluated. (6) Simultaneously with steps (3)–(5), the AlexNet model based on deep learning was established by using the sample library of homestead shapes, and 100 random experiments were also carried out to obtain classification accuracy. (7) The optimal classification method was selected based on the accuracy evaluation results of various methods. (8) The optimal method was used to study the spatiotemporal evolution characteristics of the homestead shapes in Yangdun Village. The technical route is shown in Figure 2.

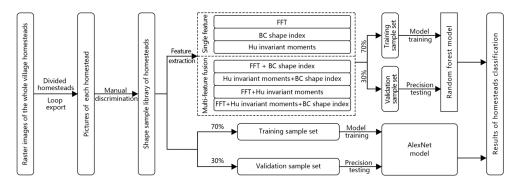


Figure 2. Technical route for shape classification.

2.3.2. Classification Criteria

Based on the analysis of the homesteads in Yangdun Village in 2010, it was found that they had different sizes and shapes and could be classified into four categories. The specific description and diagram are shown in Figure 3.

Category number	Name	Illustrate	Schematic di	agram		
Category 1	Square-like	Square or square-like, the long side is not more than 1.3 times of the short side, ignoring a small number of bumps or depressions.				
Category 2	Rectangular-like	Rectangular or rectangular-like, consisting of 4 sides, the long side distinctly larger than the short side, with 4 angles, all approximately right-angled, ignoring a small number of bumps or depressions.		\square		
Category 3	Irregular rectangular-like	Composed of more than 5 sides, and the figure is composed of 2 rectangles.		Q	47	2
Category 4	Irregular	There are many bumps in the picture, and the picture is irregular		4		ß

Figure 3. Description of the classification standard of homesteads.

- 2.4. Feature Extraction Methods and Scenario Design
- 2.4.1. Fast Fourier Transform Algorithms

Fast Fourier transform (FFT) is simple and efficient, and it is one of the common methods to extract the boundary contour of an object [26,27]. Therefore, this study attempted to employ this method for the identification and classification of homestead boundaries. The difficulty was in how to select an appropriate descriptor. If the value is too large, the recognition efficiency will be reduced, and if it is too small, the features will be lost, and the accuracy of boundary extraction will be affected. Using the equal interval method, multiple tests were conducted on the four homestead categories. As shown in Figure 4, the first column represents four types of original homesteads, and the next five columns are the recognition results of descriptors with values of 50, 40, 30, 20, and 10. Comparative analysis revealed that setting the descriptor to 40 struck a balance between preserving feature integrity and maintaining recognition efficiency.

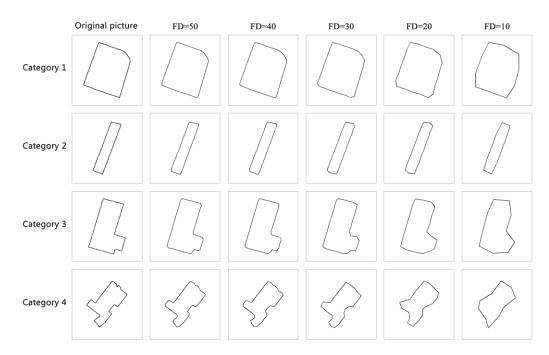


Figure 4. Schematic diagram of different values of FFT descriptors to identify the outline of the homesteads.

2.4.2. Hu Invariant Moments Algorithm

The Hu invariant moments (HIM) method, proposed by Hu in 1962 [28], summarizes image features such as shape, symmetry, and structural information through invariant moments. It was often used to analyze the shape rules of images or whether they were of the same category [29]. In this study, it was introduced into the shape feature extraction of homesteads. HIM gave seven related moment expressions ($\phi 1-\phi 7$). The calculation formula can be found in the literature [28]. The identification results of certain homesteads based on this method are presented in Table 1.

Table 1. The results of extracting homesteads based on HIM.

Serial Number	φ1	φ2	ф3	φ4	ф5	ф6	φ7
1	2.838	5.780	11.959	12.404	24.587	15.316	25.799
2	2.845	5.871	10.072	10.568	21.053	14.794	21.026
3	2.747	5.560	10.102	10.303	20.506	13.094	21.696
4	3.114	6.828	10.819	11.853	23.374	15.518	23.309
5	3.107	6.810	10.695	11.603	22.807	15.089	23.072
6	2.758	5.601	10.256	10.359	20.668	13.228	21.638
7	3.135	7.018	10.976	12.625	24.806	16.533	24.466
8	3.172	8.412	13.285	14.154	27.882	18.661	28.578
9	3.031	6.394	10.753	11.509	22.810	15.314	22.773
10	2.961	6.137	10.674	11.207	22.153	14.286	22.933

2.4.3. Boyce–Clark (BC) Shape Index

The Boyce and Clark shape index (BCSI) is a widely adopted method in urban space shape measurement. It was proposed by Boyce and Clark in 1964 [30] to address shape boundary measurement challenges. Based on the radius measurement, the BCSI method compares the standard circle with the shape boundary outline to obtain a relative shape index, which is simple and universal, and it can reflect the compactness of the parcel shape; the smaller the value, the more compact the parcels [31]. For example, the BCSI yields smaller values for circular shapes, approaching 0, and larger values for linear shapes.

Based on this method, Wang et al. [31] studied the outline shapes of 31 megacities in China and proposed 15 standard shape indices, as shown in Figure 5A; furthermore, they

quantitatively explained the corresponding relationship between the index value range and standard shapes [31]. On this basis, Wang et al. (2022) took Long Town, Mizhi County, as an example and summarized rural settlements into five shapes, as shown in Figure 5B [32]. These two studies show that the number of standard graphics for urban and rural clustering is different. It can be seen that there are differences in the classification results for different application fields. Can this method effectively classify homestead shapes, and how accurate is it in doing so? To address these questions, this study investigated its suitability for homestead shape classification.

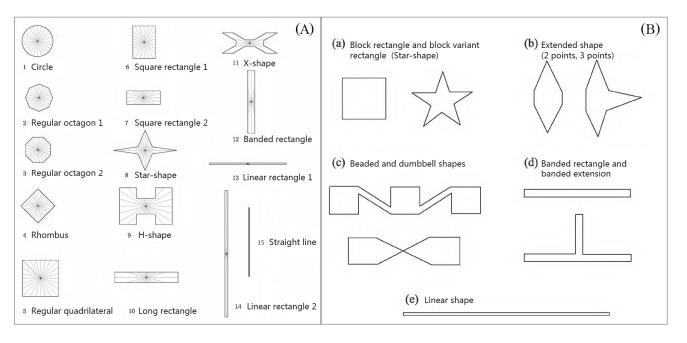


Figure 5. Shapes of city and rural settlements based on BCSI. Note: (**A**) Fifteen types of graphics and shapes in cities; (**B**) Five types of graphics and shapes in rural settlements.

In this study, the centroid of the homesteads was used as the center of the standard circle, and rays were emitted from the center at a specific interval angle α . The value of α affected the accuracy of the BCSI, so the parameter setting was very important. The intersection point of the ray and the boundary of the homesteads was defined as the feature point, and the line connecting the feature point and the centroid of the parcels was the radius. The calculation formula is shown in the Formula (1).

$$BC = \sum_{i=1}^{n} \left| \left[\left(\frac{r_i}{\sum_{i=1}^{n} r_i} \right) \times 100 - \frac{100}{n} \right] \right| \tag{1}$$

If there are multiple feature points between a ray and the border of the parcels, which one should be selected as the optimal feature point? As shown in Figure 6a, rays L1, L2, L3, and L4 all have three intersections with the boundary, namely, the internal intersection, central intersection, and external intersection from inside to outside. The three selection effects are shown in Figure 6b–d. After comparison, we found that the external feature points were closest to the shape of the original homesteads. Therefore, the feature point farthest from the centroid was defined as the optimal choice.

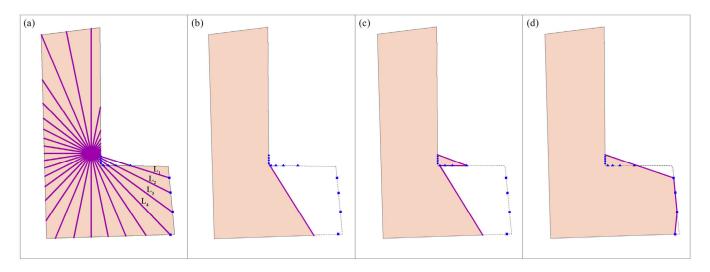


Figure 6. Optimal feature point selection for the BCSI in homesteads. Note: (a) is the original schematic diagram, where L1, L2, L3 and L4 are rays emitted from the central point; (b) is the effect of taking the internal intersection; (c) is the effect of taking the central intersection; (d) is the effect of taking the external intersection.

2.4.4. Characteristic Scenario Design

The above methods constructed features from two perspectives, such as the outline and area of the homesteads, and each of them had its advantages and disadvantages. Therefore, to explore the best method for extracting the shape of the homesteads, seven scenarios were intentionally designed, as illustrated in Table 2.

Feature Category	Feature Scenarios	Feature Variable	Feature Size
FFT	FS1	The first 40 descriptors of the FFT	40
HIM	FS2	Seven moments of HIM	7
BCSI	FS3	Value of BCSI	1
FFT + BCSI	FS4	The first 40 descriptors of the FFT, value of BCSI	41
HIM + BCSI	FS5	Seven moments of HIM, value of BCSI	8
FFT + HIM	FS6	The first 40 descriptors of the FFT, seven moments of HIM	47
FFT + HIM + BCSI	FS7	The first 40 descriptors of the FFT, seven moments of HIM, value of BCSI	48

Table 2. Overview of 7 group feature scenarios for shape classification.

2.5. Classification Model Construction

2.5.1. Random Forest Method

The random forest method is a classifier algorithm that combines and integrates multiple decision trees [33,34]. The returned random sample was used as the training set, and each training set generated a new decision tree. After multiple extractions and comparisons of the decision tree scores, the final classification result was determined [35,36]. The RF method has the advantages of strong stability, high precision, easy implementation, and strong noise resistance [37], and it has been widely employed in tasks such as crop information extraction [38], land use classification [39], and tree species identification [40].

When utilizing the RF algorithm for homestead classification, two crucial parameters need consideration, namely the number of randomly extracted feature quantities in the decision tree and the number of decision trees (Ntrees). With the help of results in relevant industry research and actual homestead data tests, the number of feature quantities was the square root of feature quantities [41]. Determination of the parameter Ntrees was closely related to an out-of-bag (OOB) error. When Ntrees iterated to a certain value, the OOB error was insensitive to the change in Ntrees [42]. To avoid the contingency caused by a single test set, 50 random simulation experiments were conducted to mitigate errors (with Ntrees values ranging from 1 to 500). As shown in Figure 7a, each experiment generated a curve, and it was not easy to find the decision tree threshold from Figure 7a, resulting in a statistical chart displaying the average values, as illustrated in Figure 7b. It was observed that once Ntrees reached 120, the OOB error stabilized. Consequently, this value was chosen to optimize the model's processing time, and the default values were selected for other parameters.

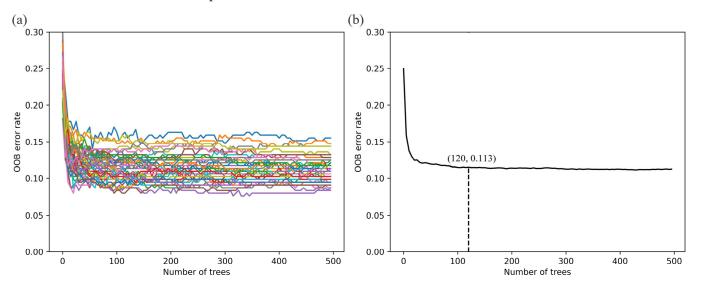


Figure 7. The relationship between out-of-bag error and the number of random decision trees. Note: (**a**) results of 50 random trials, each color line represents one trial; (**b**) statistical graph of the average value.

2.5.2. AlexNet Method

The AlexNet network model was proposed by Krizhevsky et al. and made a significant breakthrough in the image classification task of ILSVRC in 2012 [18]. This approach has become a classic in the field of deep learning and has been applied to the classification of building shapes [24]. To preserve the salient features of the image, the maximum pooling layer was used in the AlexNet network. In addition, the Dropout function was applied in the fully connected layer to improve the generalization ability of the model. Therefore, our study tried to use this method to classify the shape of homesteads and accelerate the model training through a GPU to improve the calculation speed.

For this study, the AlexNet convolutional neural network model was implemented using the Python language and the PyTorch deep learning framework. The computer platform for training was the Linux system, the CPU model was AMD-7742, the GPU model was A100-SXM4, and the memory was 40G. The initial learning rate of the network training was set at 0.0002, and the Adam optimizer was employed to facilitate automatic adjustment. The network underwent 100 iterations with 32 samples per batch. In our study, a total of 100 model training and testing sessions were carried out. The average accuracy rate of the model for the classification of the test set was 0.807, the calculated loss value dropped to 0.705, and the experiment took a total of 300 min.

2.6. Accuracy Evaluation

Based on manually labeled category data and the classification standard, we utilized a confusion matrix and the accuracy index to evaluate the model's classification results. Additionally, we employed key indicators, including accuracy, precision, and recall, to assess the classifier's performance. The meaning and formulas of these three indicators are as follows: (1) Accuracy (ACC): This metric calculated the ratio of correctly predicted samples to the total number of samples, providing insight into the classifier's overall classification ability for all categories, as demonstrated in Formula (2). (2) Precision (P): Precision measured, among the samples predicted as positive by the classifier, the proportion of samples that were truly positive compared to all samples predicted as positive. For instance, in our research, the first category was considered positive, while the other three were considered negative. This metric illustrated the classifier's accuracy in positive classification, as indicated in Formula (3). (3) Recall (R): Recall calculated the proportion of correctly predicted positive samples out of all actual positive samples. This metric reflected the classifier's ability to identify positive samples, as shown in the Formula (4).

$$ACC = \frac{TP + TN}{TP + TN + FP + FN}$$
(2)

$$P = \frac{TP}{TP + FP} \tag{3}$$

$$R = \frac{TP}{TP + FN} \tag{4}$$

where *TP* represents the number of positive categories judged as positive categories, *TN* represents the number of positive categories recognized as negative categories, *FP* represents the number of negative categories judged as positive categories, and *FN* represents the number of negative categories recognized as negative categories.

3. Results

3.1. Effect of Different Interval Degrees of BCSI

The scatter plot depicting the relationship between the BCSI and homestead categories is presented in Figure 8. To investigate the impact of ray interval degrees on the relationship, we specifically analyzed intervals of 1 degree, 5 degrees, 10 degrees, and 20 degrees, and the following was observed: (1) The interval degree of rays caused by the centroid had little effect on the BCSI results of the homesteads, and some values fluctuated with a small range. (2) The BCSI values for homestead parcels were distributed in the range of 6.23–71.06, the BCSI values of homestead parcels in categories 2 and 3 were larger than those of the other two categories, and the distribution of BCSI values in category 1 was relatively centralized. (3) Intersections were observed in the BCSI values of four categories, and the intersections were mainly distributed in the range of 9–25. Within this range, four categories of shapes could not be distinguished. Parcel shapes with a BCSI greater than 35 were classified as either the second or third category, and there was no obvious range correspondence between the set of four categories of shapes and the BCSI.

3.2. *Comparison of Classification Accuracy of Different Feature Extraction Technologies* 3.2.1. Overall Accuracy

To mitigate the risk of overfitting in classification models tailored to specific datasets and address the challenge of insufficiently convincing individual classification results, a cross-validation approach was employed. This involved training and evaluating the model through 100 random selections of training and verification sets. Figure 9 displayed the results comparing the accuracy rates of seven scenarios, including FS1–FS7, across 100 random experiments using the RF method and AlexNet. It was found that FS1 had the highest average accuracy rate of 88.6%, followed closely by FS4, FS6, and FS7, with little difference among these four. FS2 and FS5 both had an average accuracy rate of 83%, while FS3 had the lowest average accuracy rate. This observation highlights the significant role of FFT in homestead shape classification. In comparison, using the AlexNet model resulted in an average accuracy rate of 80.7% for homesteads, surpassing only the FS3 scenario but falling short of other scenarios.

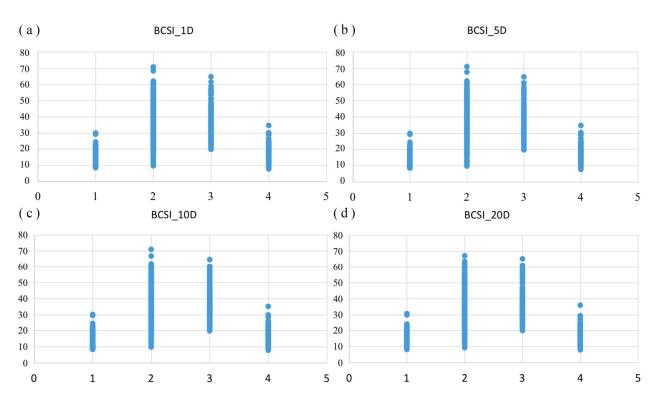


Figure 8. Relationship between the BCSI and shape classification of homesteads. Note: (**a**) diagram shows a ray emitted at 1-degree intervals; (**b**) diagram shows a ray emitted at 5-degree intervals; (**c**) diagram shows a ray emitted at 10-degree intervals; (**d**) diagram shows a ray emitted at 20-degree intervals. The abscissa is the category, and the ordinate is the value of the BCSI.

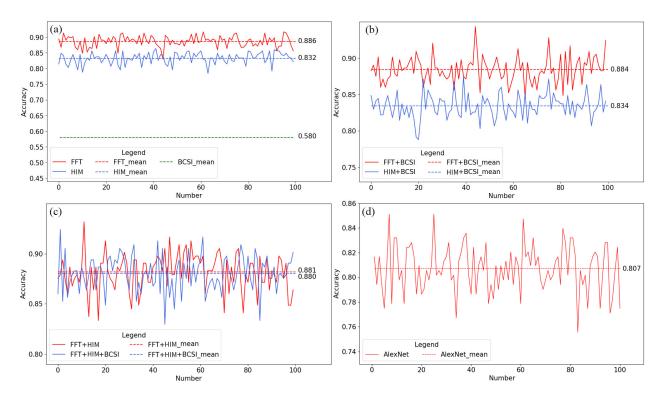


Figure 9. Accuracy distribution of 100 random experiments. Note: (a) accuracy rate of FFT, Hu; (b) accuracy rate of FFT + BCSI, Hu + BCSI; (c) accuracy rate of FFT + Hu, FFT + Hu + BCSI; (d) accuracy rate of AlexNet.

3.2.2. Between-Category Accuracy

For the sake of clarity in understanding the mutual judgment results across categories in 100 random experiments, we present them in the form of a hierarchical confusion matrix. This matrix revealed that classifiers utilizing FFT and HIM of the RF method exhibit high accuracy, as shown in Figure 10. In addition, Figure 10a reveals that in the case of FFT, 14% of Category 1 homesteads were wrongly identified as Category 2, and 14.8% of Category 3 homesteads were also misidentified as Category 2. These errors were relatively large, indicating that some square-like and irregular rectangular-like homesteads were prone to be misidentified as regular rectangular-like. Furthermore, 8% of Category 4 homesteads were erroneously categorized as Category 1, implying that certain irregular shapes were mistakenly identified as square-like. Misclassification rates for the remaining categories were below 6%. Notably, there were no instances of Category 1 being misclassified as Category 3 or Category 4 being misclassified as Category 3.

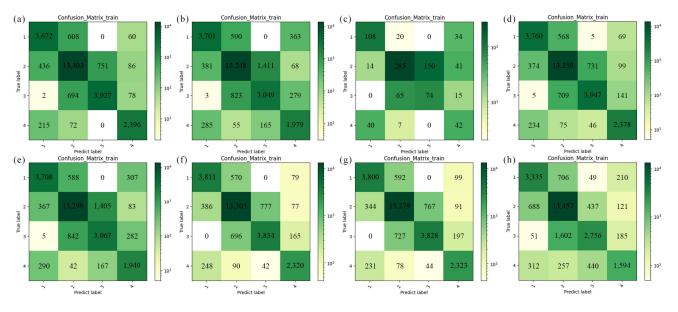


Figure 10. Between-category accuracy of different methods. Note: (**a**) FFT; (**b**) HIM; (**c**) BCSI; (**d**) FFT + BCSI; (**e**) HIM + BCSI; (**f**) FFT + HIM; (**g**) FFT + HIM + BCSI; (**h**) AlexNet.

Figure 10b illustrated that with HIM, there was a notable 19.8% likelihood of Category 3 being misclassified as Category 2, making it one of the highest inter-category error rates. Additionally, Category 4 was erroneously identified as Category 1 at a rate of 11.5%, with no instances of Category 1 being mistaken for Category 3.

Figure 10c depicted the generally unsatisfactory outcomes when employing the BCSI for homestead shape differentiation, yielding an average accuracy of 58%. Square-like shapes were recognized with the highest accuracy of 75%, while Categories 3 and 4 had lower accuracy rates. Regarding inter-category accuracy, Category 4 was frequently confused with Category 1, and Category 3 was often misclassified as Category 2 with a high probability.

Figure 10d presents the recognition result of combining FFT with the BCSI. Categories 1 and 3 were frequently confused with Category 2, with probabilities of 12.9% and 14.7%, respectively, while 8.6% of Category 4 was erroneously classified as Category 1. Misidentifications occurred among the remaining categories, but the misidentification rates were all below 5%, resulting in an overall satisfactory outcome.

Figure 10e displayed the results of combining HIM and the BCSI, showing significant confusion within each category. Notably, 12.8% of Category 1 was mistakenly identified as Category 2, 9.3% of Category 2 was misclassified as Category 3, 20.1% of Category 3 was erroneously identified as Category 2, and 11.8% of Category 4 was misclassified as Category 1.

Figure 10f illustrated recognition using FFT combined with HIM. It is evident that that the distinction between Categories 1 and 3 was improved, with no mutual confusion. Specifically, 12.8% of Category 1 was classified as Category 2, and 14.8% of Category 3 was mistakenly labeled as Category 2, while the remaining inter-category recognition error rate was minimal.

Figure 10g presents the confusion matrix for identification when combining with FFT, Hu invariant movements, and the BCSI. It could be seen that whether the BCSI was added to FFT and HIM had little effect on the recognition. Categories 1 and 3 were clearly distinguished from each other; however, the identification error rate was higher when Category 1 was mistakenly classified as Category 2 and when Category 3 was erroneously labeled as Category 2, both exceeding 10%.

Figure 10h displayed the results of recognition using the AlexNet model. Notably, the most significant recognition error occurred when Category 3 of homesteads was incorrectly identified as Category 2, accounting for 34.9% of the errors. Category 4 was erroneously classified as Category 1 or Category 3, with both errors exceeding 10%. The recognition error rate for the remaining categories was minimal, and Category 2 exhibited superior recognition and classification, with a correct recognition rate of 91.5%.

In terms of inter-category accuracy for homesteads (Table 3): when considering individual methods, the FFT algorithm outperformed HIM and the BCSI across all categories. The first and second categories exhibited minimal differences, whereas the latter two categories had significant differences. FFT achieved classification accuracy of 10.1% higher in the third category and 9.6% higher in the fourth category compared to HIM. However, the BCSI had low category accuracy rates across all four categories. Within the AlexNet model, only the second category boasted a higher accuracy rate than FFT, reaching 91.5%, while the remaining three categories fell short of FFT's performance. Regarding recall, the FFT algorithm also exhibited a higher proportion of correct predictions compared to HIM, with gains of 0.2%, 0.7%, 18%, and 17.8% across the four categories. The FFT algorithm outperformed the AlexNet model in recall, while the BCSI had the lowest recall rate. Concerning combination methods, aside from HIM when combined with the BCSI, which showed lower performance, the categories did not differ significantly in terms of precision and recall. Overall, the FFT algorithm demonstrated superiority over the combination of individual indices and the AlexNet model.

Homestead Shape Category	Classification Accuracy	Category 1	Category 2	Category 3	Category 4
	Accuracy (%)	84.6	91.3	83.5	89.3
FFT	Recall (%)	84.9	90.7	83.9	91.4
	Accuracy (%)	79.5	87.7	73.4	79.7
HIM	Recall (%)	84.7	90	65.9	73.6
DCCI	Accuracy (%)	75	58.2	48.1	47.2
BCSI	Recall (%)	66.7	79.4	33	31.2
	Accuracy (%)	85.4	91.7	82.2	87
FFT + BCSI	Recall (%)	86	90.7	83.4	88.5
	Accuracy (%)	80.6	87.8	73.1	79.6
HIM + BCSI	Recall (%)	84.9	90	66.1	74.4
	Accuracy (%)	85.4	91.5	81.7	85.9
FFT + HIM	Recall (%)	85.7	90.8	82.4	87.9
	Accuracy (%)	84.6	91.7	80.6	86.8
FFT + HIM + BCSI	Recall (%)	86.9	90.5	82.5	85.7
	Accuracy (%)	77.6	91.5	60.0	61.2
AlexNet	Recall (%)	76.0	84.0	74.9	70.6

Table 3. Classification accuracy of FFT and HIM.

In summary, when comparing the two shape feature classification algorithms, FFT proved to be more adept at describing the shape features of homesteads.

3.3. Shape Spatiotemporal Evolution Characteristics of Homesteads in Yangdun Village

Employing the combination of FFT and the RF method to identify the shapes of the homesteads in Yangdun Village for the years 2015 and 2020, the statistical results are presented in Table 4. The findings revealed the following: (1) The predominant shape of homesteads in Yangdun Village was primarily rectangular-like, constituting over 50% of the total. There is a minor variation in the number of square-like and irregular rectangular-like homesteads. Except for 2020, homesteads with irregular shapes were the least common, accounting for 10% of the total. (2) Prior to the "homesteads reform", there was minimal change in the shape of homesteads. Following the reform, the demolition of the northern part of Yangdun Village resulted in a significant reduction in homesteads. Notably, square-like homesteads witnessed a substantial increase in vacancy rates, dropping from 16.6% to 8.1%. Meanwhile, the proportion of irregular rectangular-like and irregular homesteads experienced a slight uptick.

Shana Catagory	I	Number of Homestead	s
Shape Category	Year 2010	Year 2015	Year 2020
Square-like	144	145	48
Rectangular-like	490	472	316
Irregular rectangular-like	154	160	152
Irregular	89	94	73
Total number	877	871	589

Table 4. Statistics on the shape of homesteads in Yangdun Village from 2010 to 2020.

Figure 11a–c illustrate the spatial distribution of homestead shapes in Yangdun Village in 2010, 2015, and 2020, respectively. Figure 11d shows the changes in the homesteads over the past 10 years, revealing that homesteads of diverse shapes were interspersed throughout the village. Among the four shape categories, rectangular-like homesteads predominantly manifested in clustered formations, with fewer instances of isolation. Between 2010 and 2015, the number of square homesteads in the northern region of Yangdun Village was approximately twice that in the southern region. The majority of the homesteads featuring irregular graphics were solitary, and instances of two irregular homesteads positioned adjacent to one another were rare. Furthermore, the southern villages in Yangdun Village were characterized by a prevalence of rectangular-like homesteads along their borders, with square-like homesteads comprising a minimal proportion.

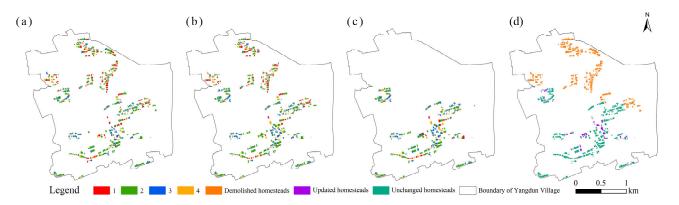


Figure 11. Shape distribution of homesteads in Yangdun Village from 2010 to 2020. Note: 1—squarelike, 2—rectangular-like, 3—irregular rectangular-like, 4—irregular. (**a**) shape distribution of homesteads in 2010; (**b**) shape distribution of homesteads in 2015; (**c**) shape distribution of homesteads in 2020; (**d**) changes in homesteads from 2010 to 2020.

4. Discussion

Feature extraction methods such as FFT and HIM are widely employed in object recognition and classification [43]. What accounts for the significant disparity in homestead

shape classification? These algorithms operate on distinct principles: the former excels at extracting boundaries and outlining features, while the latter specializes in capturing regional characteristics of research objects [44]. Homesteads contained no texture, color, or additional data, and the parcel areas lacked voids or complex structures, so recognition accuracy and stability were superior with FFT as opposed to HIM. Furthermore, while the BCSI method demonstrated superior performance in urban shape classification [31], its effectiveness in homestead classification was notably diminished. The reasons for the substantial difference were as follows: (1) Urban outlines exhibited considerable disparities, resulting in a wide range of BCSI values [31]. Consequently, the BCSI values for homesteads were more concentrated, posing challenges in differentiation. (2) Previous research on cities often had small sample sizes, with many studies having fewer than 100 samples [13,45,46]. In contrast, our dataset comprised 877 homesteads, making it challenging to extrapolate patterns that cover such a diverse range of shapes. (3) The BCSI served as an approximate measure of two-dimensional graphic shapes and did not provide precise identifications [31].

In most studies, a single feature extraction method was utilized to assess classification performance through comparisons with multiple classifiers [20,47–49]. Our research primarily centered on evaluating the impacts of various feature extraction methods and their combinations within the context of a single classifier. For example, Yang et al. [50] conducted a comparison between the RF method and other widely-used ML classification algorithms, demonstrating that the RF method yielded superior classification results compared to the decision tree, support vector machine, and naive Bayesian methods. Reference [47] reported that the combination of FFT and the RF method exhibited exceptional pothole detection capabilities, achieving an accuracy rate as high as 96.5%, aligning with our study's findings. However, Yang et al. [50] did not elaborate on the process of selecting key RF parameters, despite achieving high accuracy with default settings. In our study, we optimized the key parameter (Ntrees), resulting in improved classification accuracy and reduced algorithm runtime. Additionally, Yang et al. [50] exclusively compared three common ML algorithms, overlooking the comparison between ML and DL. Our study, on the other hand, delved into the impact of both ML and DL approaches on homestead shape classification. Our classification accuracy stood at 88.6%, which was lower than the 96.5% reported by Yang et al. [50]. This discrepancy can be attributed to our limited set of standard classifications, making it challenging to categorize a few unique homesteads effectively. Yan et al. [20] employed DL for classifying urban building graphics and noted that GCNN performed well in distinguishing between regular and irregular buildings. However, its classification was limited to these two categories. Building upon their work, we extended the classification criteria by including two additional categories, thus enriching the classification process. We also analyzed the spatiotemporal variation characteristics of the shape of the case village homesteads. Due to the limited availability of detailed spatial data for village homesteads, it remains challenging to acquire a sufficient sample size for model training and testing. Additionally, the range of shape categories defined for homesteads in this research may require enrichment. In future studies, we plan to expand the variety of standard types, increase the sample size, and diversify the comparison methods.

Building on the preceding discussion, this study incorporated established methods from other domains in the realm of homestead classification. Our proposed method effectively categorizes homestead shapes, reducing the need for time-consuming manual evaluations and mitigating the variability introduced by cognitive differences. This approach facilitates managers in promptly understanding and adjusting homesteads regularities, with broad applications in rural homesteads investigation and management. Moreover, it holds significance as a reference for international rural planning.

5. Conclusions

The conclusions are as follows: First, we found that the method combining fast Fourier transform (FFT) and the random forest (RF) method proved to be more suitable for homestead shape classification, achieving an average accuracy rate of 88.6%. Second, the

combination of multiple feature extraction methods did not lead to improved recognition accuracy. Specifically, the accuracy of the FFT + HIM combination was 88.4%, while the accuracy of FFT + HIM + BCSI was 88%. Third, it should be noted that the BCSI, although commonly used in urban contour classification, proved unsuitable for homesteads, yielding an average accuracy rate of only 58%. There was no precise numerical correlation between the category and shape index in homesteads. Fourth, it is worth noting that over half of the homesteads in Yangdun Village had a rectangular shape. In the five years preceding and following the "homesteads reform", there was a significant increase in the number of regular-shaped homesteads being vacated, while irregular-shaped homesteads exhibited an upward trend. The method we have proposed has the potential to assist villages in China and globally in swiftly assessing the level of homestead regulation.

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