



# *Communication* **Imaging Simulation Method for Novel Rotating Synthetic Aperture System Based on Conditional Convolutional Neural Network**

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**Abstract:** The novel rotating synthetic aperture (RSA) is a new optical imaging system that uses the method of rotating the rectangular primary mirror for dynamic imaging. It has the advantage of being lightweight, with no need for splicing and real-time surface shape maintenance on orbit. The novel imaging method leads to complex image quality degradation characteristics. Therefore, it is vital to use the image quality improvement method to restore and improve the image quality to meet the application requirements. For the RSA system, a new system that has not been applied in orbit, it is difficult to construct suitable large datasets. Therefore, it is necessary to study and establish the dynamic imaging characteristic model of the RSA system, and on this basis provide data support for the corresponding image super resolution and restoration method through simulation. In this paper, we first analyze the imaging characteristics and mathematically model the rectangular rotary pupil of the RSA system. On this basis, combined with the analysis of the physical interpretation of the blur kernel, we find that the optimal blur kernel is not the point spread function (PSF) of the imaging system. Therefore, the simulation method of convolving the input image directly with the PSF is flawed. Furthermore, the weights of a convolutional neural network (CNN) are the same for each input. This means that the normal convolutional layer is not only difficult to accurately estimate the time-varying blur kernel, but also difficult to adapt to the change in the length– width ratio of the primary mirror. To that end, we propose a blur kernel estimation conditional convolutional neural network (CCNN) that is equivalent to multiple normal CNNs. We extend the CNN to a conditional model by taking an encoding as an additional input and using conditionally parameterized convolutions instead of normal convolutions. The CCNN can simulate the imaging characteristics of the rectangular pupil with different length–width ratios and different rotation angles in a controllable manner. The results of semi-physical experiments show that the proposed simulation method achieves a satisfactory performance, which can provide data and theoretical support for the image restoration and super-resolution method of the RSA system.

**Keywords:** optical remote sensing; image simulation; rotating synthetic aperture; rectangular pupil; conditional convolutional neural network

### **1. Introduction**

The geostationary orbit optical remote sensing satellite can continuously obtain the remote sensing image of a specific position, which has the incomparable time resolution advantage of low-orbit satellites; therefore, it has always been the focus of remote sensing research [\[1](#page-11-0)[–5\]](#page-11-1). Since the orbital altitude of the geostationary orbit optical remote sensing satellite is at least 50 times that of the low-orbit satellite, the imaging capability of the geostationary orbit satellite is much higher than that of the low-orbit satellite to achieve the same image resolution and imaging quality. In order to meet the requirements of a large aperture and being lightweight, imaging technologies of high-orbit remote sensing satellites based on different manufacturing methods have been proposed. These mainly



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include the imaging techniques of sparse aperture, membrane diffraction, and rotating synthetic aperture (RSA). The sparse aperture technique divides a large aperture mirror into many small aperture mirrors and folds them together. After launching into orbit, the system components unfold and splice each other in turn. Due to the small size of the sub-mirror, the difficulty of making the sub-mirror is greatly reduced compared with the large aperture single primary mirror, which improves the engineering realizability of the system. However, the co-phasing accuracy of each sub-mirror in the system needs to be guaranteed, which puts forward higher requirements for the mechanical control system of satellites. The membrane diffraction imaging technology uses a thin-film material as the diffraction primary mirror, the overall mass of the system is light, and the surface accuracy of the mirror body does not need to be too high, thus reducing the difficulty and cost of production. However, due to the restriction of the diffraction principle, the imaging band of the membrane diffraction imaging system is relatively narrow, so it cannot be used for multi-band imaging. The RSA imaging technology uses a rectangular primary mirror to reduce the weight of the system and the difficulty of production. In the imaging process of the system, the primary mirror rotates constantly, so that the RSA system can achieve equivalent long-side aperture imaging in different rotation angles, and higher imaging quality will time-share coverage of all directions of the image sequence. It has the advantage diamy win time share coverage of an ancetions of the linage sequence. It has the davantage of being lightweight, with no need for splicing and real-time surface shape maintenance on orbit  $[6]$ . Considering the manufacturing method, expected application efficiency, and satellite vehicle requirements, this novel system has great advantages over other high-orbit remote sensing satellite imaging technologies, and it is a promising development direction [of](#page-11-3) geostationary orbit optical remote sensing satellites  $[7-10]$ .

> In the process of time series imaging of the RSA imaging system, the rotation of the rectangular mirror makes the image quality change periodically. The quality of the acquired images in the direction of the short side of the primary mirror is obviously lower than that<br>the rectangular rotation of the short side of the primary mirror is obviously lower than that in the direction of the long side [\[11\]](#page-11-5). In addition, as shown in Figure [1,](#page-1-0) due to the coupling<br>of the retation arroy of the primary primary satellite platform vibration, optical avatam of the rotation error of the primary mirror, satellite platform vibration, optical system, or the rotation ener or the primary matrix, stateme platform visitation, opital system, detector photoelectric conversion and sampling, electronic system, and other factors, the quality of two frames with the same rotation angle is not the same. Therefore, the physical mechanism of the RSA system must be combined to improve the imaging quality to meet the application requirements.

<span id="page-1-0"></span>

**Figure 1.** Diagrammatic sketch of the coupling relation of various errors of the rotating synthetic aperture (RSA) imaging system. aperture (RSA) imaging system.the amplitude spread function: **Figure 1.** Diagrammatic sketch of the coupling relation of various errors of the rotating synthetic

For the past few years, deep-learning-based image restoration and super-resolution methods have achieved remarkable results [\[12](#page-11-6)[–20\]](#page-12-0). However, this kind of method requires a lot of data to complete the model training. For the RSA system, which has not been implemented in orbit yet, it is difficult to construct suitable training datasets based on real images. Furthermore, most image super-resolution and restoration methods assume that the low-quality input image is obtained by downsampling the unknown high-quality image with a known blur kernel and the kernel is usually assumed to be the system's point spread function (PSF) [\[21](#page-12-1)[–25\]](#page-12-2). However, through the analysis of the relations between the discrete-domain low-quality image, the discrete-domain high-quality image, and the continuous-domain real-world scene, that is, the physical interpretation of the blur kernel, we find that the optimal blur kernel is not a simple discretization nor an approximation of the PSF, although it is associated with the PSF [\[25\]](#page-12-2). This means that the simulation method of convolving the input image directly with the RSA system's PSF is flawed. Therefore, it is of great significance to establish a targeted image simulation method based on the temporal periodicity and spatial asymmetry for the RSA system, so as to provide data support for the corresponding image quality improvement method. For this purpose, we first mathematically model the rectangular rotary pupil and study the spatial distribution and temporal variation of its PSF. Then, we propose a blur kernel estimation conditional convolutional neural network that is adaptive to length–width ratio and angle. By taking an encoding related to the length–width ratio and the rotation angle of the rectangular mirror, the convolutional neural network (CNN) can be extended to a conditional model. The proposed conditional convolutional neural network (CCNN) can estimate specialized convolutional kernels for each input encoding, so that the influence of different length–width ratios and different angles of the rectangular mirror can be simulated in a controllable way.

This paper is structured as follows. In Section [2,](#page-2-0) we analyze the imaging characteristics of the rectangular rotary pupil. Then, we propose a novel method to simulate its characteristics based on the CCNN. In Section [3,](#page-7-0) we verify the effectiveness of the proposed simulation method through semi-physical imaging experiments. We also discuss the advantages of the proposed simulation method by comparing it with the simulation method which uses the imaging system's PSF as the "blur kernel" based on the experimental results. Finally, we summarize the results in Section [4.](#page-10-0)

### <span id="page-2-0"></span>**2. Imaging Simulation Method for the RSA System**

### *2.1. Analysis of the Rectangular Rotary Pupil's Imaging Characteristics*

The RSA system is unique in that it uses a rectangular primary mirror to ensure a lightweight condition, which results in spatial asymmetry of the imaging quality. In addition, the dynamic imaging mode makes the degradation characteristics of the same spatial position different at different moments in the imaging process, resulting in the periodic change of imaging quality with time. In this section, the mathematical modeling of the rectangular pupil of the RSA system is carried out to analyze its imaging characteristics.

The amplitude spread function  $U(x, y)$  of the RSA system is the Fourier transform of the pupil function  $P(\xi, \eta)$ :

$$
U(x,y) = F.T.\{P(\xi,\eta)\} = \frac{1}{\lambda z} \iint \sum_{n=1}^{N} P(\xi,\eta) \exp[-ik(\frac{\xi_n x + \eta_n y}{z})] d\xi d\eta
$$
 (1)

where *z* is the focal length,  $\lambda$  is the wavelength,  $k = 2\pi/\lambda$  is the wave number, and *F.T.{}* represents the Fourier transform.

From this, the PSF can be calculated, which is equal to the square of the modulus of the amplitude spread function:

$$
PSF(x, y) = |U(x, y)|^2 \tag{2}
$$

The rectangular pupil is shown in Figure [2.](#page-3-0)

<span id="page-3-0"></span>

**Figure 2.** Rectangular pupil. **Figure 2.** Rectangular pupil. **Figure 2.** Rectangular pupil.

According to Figure [2,](#page-3-0) the rectangular pupil function  $P_{rect}(\xi, \eta, t)$  at time *t* is:  $\begin{bmatrix} 0 & 0 \end{bmatrix}$  function  $\begin{bmatrix} 0 & 1 \end{bmatrix}$  *rect*  $\begin{bmatrix} y & 1 \end{bmatrix}$ 

$$
P_{rect}(\xi, \eta, t) = rect(\frac{\xi \cos(wt + \varphi_0) - \eta \sin(wt + \varphi_0)}{a})rect(\frac{\xi \sin(wt + \varphi_0) + \eta \cos(wt + \varphi_0)}{b})
$$
(3)

The amplitude spread function  $U_{rect}(x, y, t)$  is the Fourier transform of the pupil function:

$$
U_{rect}(x, y, t) = F.T.\lbrace P_{rect}(\xi, \eta, t) \rbrace =
$$
  
\n
$$
ab \int_{-\frac{b}{2}}^{\frac{b}{2}} \int_{-\frac{a}{2}}^{\frac{a}{2}} rect(\frac{\xi \cos(wt + \varphi_0) - \eta \sin(wt + \varphi_0)}{a}) rect(\frac{\xi \sin(wt + \varphi_0) + \eta \cos(wt + \varphi_0)}{b}) exp i\kappa(\frac{\xi x + \eta y}{z}) d\xi d\eta
$$
\n(4)

Then, the point spread function  $PSF_{rect}(x, y, t)$  can be calculated:

$$
PSF_{rect}(x, y, t) = |U_{rect}(x, y, t)|^2
$$
  
=  $ab\sin c(a(x \cos(wt + \varphi_0) - y \sin(wt + \varphi_0)))$   
 $\times \sin c(b(x \sin(wt + \varphi_0) + y \cos(wt + \varphi_0)))$  (5)

where *a* is the length of the rectangular pupil, *b* is the width of the rectangular pupil, *w* is where *a* is the length of the rectangular pupil, *b* is the width of the rectangular pupil, *w* is<br>the retained property and  $x$ <sup>th</sup>  $\mid$  *a* is the retained phase at time *t* the rotation angular velocity, and  $\overrightarrow{wt} + \overrightarrow{φ}_0$  is the rotation phase at time *t*.

 $\frac{d}{dx}$  contains angular velocity), and  $\frac{d}{dx} + \frac{d}{y}$  is the rotation prime of the system's rectangular pupil is calculated as shown in Figure 3. As can be se[en](#page-3-1) from the figure, the PSF is approximately elliptical in the case of ignoring the secondary diffraction effect. The shape of the ellipse is determined by the length-width ratio of the rectangle and the direction of the long axis corresponds to the direction of the short side [6]. corresponds to the direction of the short side [\[6\]](#page-11-2). corresponds to the direction of the short side [6].

<span id="page-3-1"></span>

**Figure 3.** (**a**–**d**) Point spread function (PSF) with different rotation angles. **Figure 3.** (**a**–**d**) Point spread function (PSF) with different rotation angles.

### *2.2. Simulation of the Rectangular Rotary Pupil's Imaging Characteristics*

The simulation process of the rectangular rotary pupil's imaging characteristics can be summarized as follows: take a high-quality image as input; use the kernel *k* related to the length–width ratio and the rotation angle of the pupil to operate the input image to simulate the degradation caused by the spatial asymmetric and time-varying pupil; and then obtain the simulated image. What is noteworthy is that the input of the simulation process is

a digital image in the discrete domain rather than a scene in the continuous domain. In other words, the input high-quality image has undergone an imaging process of the optical system, as shown in Figure 4. The physical interpretation of the kernel *k* can be intuitively understood from Figure  $4$  as composed of two operations: deconvolution with  $b_H$  followed by convolution with  $b_L$ . Therefore, in contrast to the common belief, the optimal blur kernel is typically narrower than the PSF and*,* counter intuitively, it may assume negative values, a property not shared by the PSF  $[25]$ . Namely, the PSF is not the optimal blur kernel *k* because the blur kernel represents the degradation process from the high-quality input image to the low-quality output image. The PSF ignores the deconvolution process from the bigh quality image to the continuous geone, and the convolution legal head this from the high-quality image to the continuous scene, and the convolution kernel  $b_H$  of this process is often unknown. The authenticity of the simulation results is directly affected process is often unknown. The authenticity of the simulation results is directly affected by the accuracy of the kernel, so the input image cannot be simply convoluted with the PSF of the RSA system's pupil to simulate the image degradation process caused by the rectangular rotary pupil. rectangular rotary pupil.

process is a digital image in the discrete domain rather than a scene in the continuous

<span id="page-4-0"></span>

**Figure 4.** Relations between the discrete-domain low-quality image, the discrete-domain high-**Figure 4.** Relations between the discrete-domain low-quality image, the discrete-domain high-quality quality image, and the continuous-domain scene. image, and the continuous-domain scene.

In addition, the spatial asymmetry and temporal periodicity of the RSA system's pupil brings two difficulties to the simulation. On the one hand, some methods used to estimate the blur kernel *k* assume that the kernel can be estimated by relying on patch recurrence across scales of the input low-quality image. They also assume that the kernel which  $\frac{1}{2}$ maximizes the similarity of recurring patches across scales of the low-quality image is also maximizes the similarity of recurring patches across scales of the low-quality image is also the optimal blur kernel between the low-quality image and the high-quality image [25,26]. the optimal blur kernel between the low-quality image and the high-quality image [\[25](#page-12-2)[,26\]](#page-12-3). This may be true for the high-low quality image pairs acquired by the imaging system with a round pupil. However, for the image simulation of the RSA system, the input is with a round pupil. However, for the image simulation of the rish system, the input is a high-quality image acquired by the imaging system with a round pupil. The recurring high-quality image acquired by the imaging system with a round pupil. The recurring patches are obviously very different from the images obtained by the RSA system with a patches are obviously very different from the images obtained by the RSA system with a rectangular pupil. Namely, the recurrence of small image patches across scales is destroyed rectangular pupil. Namely, the recurrence of small image patches across scales is de-by the spatial asymmetry of the rectangular pupil. On the other hand, one fundamental strong the spatial asymmetry of the rectangular pupil. On the other hand, one cannot convolutional series are This may be true for the high-low quality image pairs acquired by the imaging system applied to every example in a dataset [\[27\]](#page-12-4), which means the weights of a network are the same for each input. This also means that in using the normal convolutional layer, it is not only difficult to accurately estimate the time-varying blur kernel, but also difficult to adapt to the change of the length–width ratio of the primary mirror. Therefore, it is necessary to improve the CNN to estimate specialized convolutional kernels for each rotation angle and the length–width ratio of the primary mirror in the simulation process.

For this, inspired by the conditionally convolution (CondConv) [\[27\]](#page-12-4) and CGAN [\[28\]](#page-12-5), we propose the blur kernel estimation conditional convolutional neural network that is

adaptive to length–width ratio and angle. The CCNN takes a high-quality image and the<br>Outlie intermediate and direction which the theology is width with and the midding and the f easily interpretable encoding related to the length–width ratio and the rotation angle of the primary mirror as inputs. We use five hidden conditional convolutional layers. As shown in Figure 5, the 6 convolution kernels are  $7 \times 7$ ,  $5 \times 5$ ,  $3 \times 3$ ,  $1 \times 1$ ,  $1 \times 1$ , and  $1 \times 1$ , respectively [\[29\]](#page-12-6).  $\mathbf{q}$ . shown in Figure 5, the 6 convolution kernels are 7 × 7, 5 × 5, 3 × 3, 1 × 1, 1 × 1, and 1 × 1,  $\epsilon$ s the converting as a linear combination of n experimental kernels as a linear combination of n experimental  $\epsilon$ 

volutional layer, as shown in Figure 6. Specifically, the conditionally conditionally conditionally condition layer,  $\alpha$ 

<span id="page-5-0"></span>

**Figure 5.** The architecture of the proposed conditional convolutional neural network (CCNN). **Figure 5.** The architecture of the proposed conditional convolutional neural network (CCNN).

The convolutional kernel is computed as a function of the input in a conditional convolutional layer, as shown in Figure [6.](#page-5-1) Specifically, the conditionally convolution layer parameterizes the convolutional kernels as a linear combination of n experts [\[27\]](#page-12-4):

$$
Output(x) = \sigma((\alpha_1 \cdot W_1 + \ldots + \alpha_n \cdot W_n) * x)
$$
\n(6)

where *n* is the number of experts, *σ* is an activation function, and each *α<sup>i</sup>* is an inputdependent scalar weight. We compute the scalar weights using a routing function with **Figure 5. <b>Figure 5. Figure 5. Figure 3. Figure of the proposed conditional conditional network (CCNN).** The proposed conditional network (CCNN). The proposed conditional network (CCNN). The proposed conditional net

<span id="page-5-1"></span>

**Figure 6. Figure 6.**  The architecture of CondConv. The architecture of CondConv.

In [\[27\]](#page-12-4), Yang et al. compute the routing weights  $\alpha_i = r_i(x)$  from the layer input in three steps: global average pooling, fully-connected layer, and Sigmoid activation. On this basis, we believe that not only a single convolutional layer but also the entire CNN can be extended to a conditional model if it is conditioned on some extra information. We can perform the conditioning by feeding extra information which is related to the rectangular mirror's length– width ratio and rotation angle into the routing function as an additional input layer. The CCNN can meaningfully differentiate between inputs, so as to simulate the images taken by the primary mirror with different length–width ratios and different rotation angles.

mirror  $\mathcal{C}$  length–width–width ratio and rotation and rotation angle into the routing function as an additional  $\mathcal{C}$ 

We construct the input code as follows: for  $1, 2, \ldots, 12$ , a total of 12 length–width ratios and 0°,  $5^\circ$ , ..., 180°, a total of 36 rotation angles; we simply use two one-hot encodings (12 bits for length–width ratios and 36 bits for rotation angles) and concatenate them to become a 48-bit encoding as input. Then, the output after passing through a fully-connected neural network with Sigmoid activation and only one hidden layer is concatenated to the input of the original routing function in [\[27\]](#page-12-4) as a new input vector. This vector is then used to calculate the scalar weight α by another fully-connected neural network with Sigmoid activation and only one hidden layer. According to [\[27\]](#page-12-4), we set the hidden layer size of the two fully-connected neural networks to be the same as the dimension of their input. In addition, referring to the "baseline model" in [\[27\]](#page-12-4), we compute new routing weights for each layer. A flowchart for the proposed imaging simulation method is shown in Figure [7.](#page-6-0)

<span id="page-6-0"></span>

**Figure 7.** Flowchart of the image simulation method. **Figure 7.** Flowchart of the image simulation method.

Two points about the proposed CCNN are worth further elaboration: Two points about the proposed CCNN are worth further elaboration:

First, different from the linear CNN used to estimate the blur kernel in [\[29\]](#page-12-6), we propose the CCNN to more accurately simulate the image degradation caused by a rectangular lar pupil rather than explicitly extracting the blur kernel. The satellite platform in the orbit pupil rather than explicitly extracting the blur kernel. The satellite platform in the orbit environment, detector, electronic system, and other factors will also affect the image quality. For image restoration and super resolution, it is of little significance to extract the kernel only related to the system's pupil explicitly. Therefore, we retain the nonlinear activations to better simulate the blur kernel specific to the rotation angle and the length–width ratio of the pupil.

The second point is about the difference between the CondConv and channel atten-<br>Isolated by the distribution of tion [\[30\]](#page-12-7). Although the convolution operation processes the input in both the spatial dotable in the spatial dodomain and the channel domain dimensions, in essence, the information of the channel<br>domain can be regarded as the hierarchical features (or information) of the original input, main can be regarded as the hierarchical features (or information) of the original input, that is, the channel domain is the hierarchical diffusion of the spatial domain information. that is, the channel domain is the hierarchical diffusion of the spatial domain information. Convolution is essentially the extraction of spatial domain information, but in the process of Convolution is essentially the extraction of spatial domain information, but in the process cascading convolution, the spatial domain information is scattered in the channel domain. of cascading convolution, the spatial domain information is scattered in the channel do-Therefore, instead of calculating the channel attention after the input image is operated main. Therefore, instead of calculating the channel attention after the input image is op-with the nonspecific normal convolution kernel, we expect that the experts in CondConv erate are nonspective increment convolution terms in the experts interest that the experts in convocant can "independently" separate the influences of different length–width ratios and rotation domain and the channel domain dimensions, in essence, the information of the channel angles according to the input encodings. We believe that CondConv can assign different weights to each convolution kernel and then simulate the "blur kernel" with different length–width ratios and rotation angles.

### <span id="page-7-0"></span>**3. Experiments**

## 3.1. Experimental Scheme

We verify the effectiveness of the proposed CCNN through laboratory semi-physical imaging experiments. We build an imaging experiment platform simulating the RSA system in the laboratory as shown in Figure [8.](#page-7-1) The platform uses a high-quality circular primary mirror with a removable and rotary rectangular entrance pupil optical element at primary nurror with a removable and rotary rectangular entrance pupin optical element at<br>its front end. Sampling, signal conversion, and noise effects are involved in the detector. Professional mapping equipment is used to produce high-resolution images as the target scenes. We use this imaging experiment platform to first image the target scene through the circular primary mirror and then we install the entrance pupil optical elements with different length-width ratios and rotate them at different angles to image the same target scene, so as to form high-low quality image pairs and build datasets for training and testing the proposed CCNN. Some target scenes are shown in Figure 9. Since the "high-quality" image", that is, the input of the CCNN is taken by the circular primary mirror, it can be considered that the effect of the detector, electronic system, and the rest of the optical<br>system on the image degradation process has been included in the input image. Therefore, system on the image degradation process has been included in the input image. Therefore, the effectiveness of the proposed simulation method can be verified by comparing the the effectiveness of the proposed simulation method can be verified by comparing the similarity degree between the simulation image and the real image taken when the entrance pupil optical element with the corresponding length-width ratio and rotation angle is installed. To further demonstrate the superiority of the proposed simulation method, as a comparison, we also objectively evaluate the results of a common simulation method a comparison, we also objectively evaluate the results of a common simulation method (hereinafter referred to as the PSF-conv method), which directly convolves images taken (hereinafter referred to as the PSF-conv method), which directly convolves images taken by the circular primary mirror using the system's PSF. The experimental process is shown in Figure [10.](#page-8-1)

<span id="page-7-1"></span>

Figure 8. Semi-physical imaging experimental facilities. (a) The imaging experiment platform, (b) the primary mirror with a rectangular pupil optical element of length-width ratio 5, and (*c*) rectangular pupil optical elements.

<span id="page-8-0"></span>

<span id="page-8-1"></span>**Figure 9.** Target scenes. **Figure 9.** Target scenes. **Figure 9.** Target scenes.



**Figure 10.** Flowchart of validation experiments. **Figure 10.** Flowchart of validation experiments.

### *3.2. Training Details 3.2. Training Details*

We implement the CCNN with the PyTorch framework and train it using an Nvidia We implement the CCNN with the PyTorch framework and train it using an Nvidia

 $\beta_2$  = 0.999. The learning rate is initialized as 2  $\times$  10<sup>-4</sup>. We set the batch size to 16 and RTX 2080 GPU. We use the Charbonnier penalty function as the loss:  $\mathcal{L} = \sqrt{||S - R||^2 + \varepsilon^2}$ , where *R* represents the ground-truth image, *S* represents the simulation image, and *ε* is where *R* represents the ground-truth image, *S* represents the simulation image, and *ε* is set to  $1 \times 10^{-3}$ . We train the CCNN with Adam optimizer by setting  $\beta_1 = 0.9$  and trained the model with 30 epochs.

# *3.2. Training Details 3.3. Experimental Results and Discussion 3.3. Experimental Results and Discussion*

Table 1 presents the quantitative evaluation of the simulation results of the proposed<br>and the PSE-conv method according to the image structural similarity (SSIM) [31] and the peak signal-to-noise ratio (PSNR). method and the PSF-conv method according to the image structural similarity (SSIM) [31] method and the PSF-conv method according to the image structural similarity (SSIM) [\[31\]](#page-12-8)

SSIM is defined by: SSIM is defined by:

$$
SSIM(R, S) = \frac{(2\mu_r\mu_s + c_1)(2\sigma_{rs} + c_2)}{(\mu_r^2 + \mu_s^2 + c_1)(\sigma_r^2 + \sigma_s^2 + c_2)}
$$
(7)

where *R* represents the real image, *S* represents the simulation image,  $\mu_r$  and  $\mu_s$  are the mean of the simulation image and the simulation image, respectively,  $\sigma_r^2$  and  $\sigma_s^2$  are the variance of the real image and the simulation image respectively, and  $c_1$  and  $c_2$  are constants that are used to maintain stability. The value range of SSIM is [0, 1]; the higher the similarity between the two images, the closer the value of the SSIM is to 1.

<span id="page-9-0"></span>**Table 1.** Experimental results of 12 rotation angles and 4 length–width ratios. The bold value indicates the average value of all test results.

Rotation Angles	Method	Length-Width Ratio 1		Length-Width Ratio 3		Length-Width Ratio 5		Length-Width Ratio 10		Average	
		<b>PSNR</b>	SSIM (dB)	<b>PSNR</b>	SSIM (dB)	<b>PSNR</b>	SSIM (dB)	<b>PSNR</b>	SSIM (dB)	<b>PSNR</b>	SSIM (dB)
$\bf{0}$	PSF-conv	22.60	0.6896	22.86	0.7166	24.37	0.7876	24.60	0.7868	23.61	0.7451
	Proposed	38.10	0.9177	36.85	0.8951	34.97	0.9022	32.72	0.8771	35.66	0.8980
15	PSF-conv	22.83	0.7369	22.53	0.7296	24.55	0.7896	24.18	0.7751	23.52	0.7578
	Proposed	37.94	0.9271	36.61	0.8979	33.73	0.8802	32.29	0.8631	35.14	0.8921
30	PSF-conv	22.06	0.6765	22.49	0.7159	24.29	0.7871	24.13	0.7834	23.24	0.7407
	Proposed	37.72	0.9210	37.06	0.9162	34.70	0.8780	32.04	0.8607	35.38	0.8939
45	PSF-conv	22.20	0.7153	22.57	0.7417	24.21	0.7862	24.24	0.7908	23.31	0.7585
	Proposed	38.11	0.9257	36.54	0.8916	34.17	0.8914	31.80	0.8621	35.15	0.8927
60	PSF-conv	21.82	0.7158	22.22	0.7412	24.05	0.7849	23.73	0.7838	22.95	0.7564
	Proposed	38.50	0.9289	35.95	0.8951	35.19	0.9040	31.71	0.8656	35.34	0.8984
75	PSF-conv	22.22	0.7074	22.47	0.7363	23.91	0.7840	24.02	0.7838	23.15	0.7529
	Proposed	38.90	0.9220	36.91	0.8920	34.74	0.9038	31.74	0.8749	35.57	0.8982
90	PSF-conv	22.29	0.6990	22.72	0.7315	23.77	0.7832	24.32	0.7838	23.27	0.7493
	Proposed	37.85	0.9267	36.98	0.8950	33.99	0.9041	32.06	0.8599	35.22	0.8964
105	PSF-conv	22.06	0.7174	22.48	0.7363	23.91	0.7890	24.02	0.7888	23.12	0.7579
	Proposed	37.91	0.9209	36.99	0.8919	34.29	0.8919	32.63	0.8873	35.46	0.8980
120	PSF-conv	22.36	0.7103	22.84	0.7468	23.38	0.7812	23.63	0.7805	23.05	0.7547
	Proposed	38.42	0.9112	36.94	0.8947	35.19	0.8896	32.79	0.8867	35.84	0.8955
135	PSF-conv	22.40	0.6941	22.73	0.7075	23.46	0.7979	23.69	0.7958	23.07	0.7488
	Proposed	37.62	0.9212	37.12	0.8979	34.95	0.8793	32.17	0.8731	35.47	0.8929
150	PSF-conv	22.67	0.7090	22.12	0.7158	23.83	0.7917	23.74	0.7813	23.09	0.7495
	Proposed	38.88	0.9165	36.02	0.8949	34.38	0.9025	31.94	0.8854	35.31	0.8998
165	PSF-conv	22.23	0.6853	22.61	0.7147	23.88	0.7925	23.91	0.7896	23.16	0.7455
	Proposed	38.49	0.9171	36.43	0.8950	34.68	0.9023	32.33	0.8812	35.48	0.8989
Average	PSF-conv	22.31	0.7047	22.55	0.7278	23.97	0.7879	24.02	0.7853	23.21	0.7515
	Proposed	38.20	0.9213	36.70	0.8964	34.58	0.8941	32.19	0.8731	35.42	0.8962

PSNR is defined by:

$$
PSNR(R, S) = 10 \text{lg } \frac{\left(2^k - 1\right)^2 M_1 M_2}{\sum\limits_{x=1}^{M_1} \sum\limits_{y=1}^{M_2} \left[R(x, y) - S(x, y)\right]^2}
$$
(8)

where *R* represents the real image, *S* represents the simulation image, *M*<sup>1</sup> and *M*<sup>2</sup> are, respectively, the length and width of the image, and *k* is set to 8 for 8-bit digital image.

As can be seen from Table [1,](#page-9-0) for a total of 48 tests with 12 representative rotation angles and 4 length–width ratios, compared with the PSF-conv method, the simulation results obtained by the proposed method are more similar to the real images. Specifically, according to the average value (bold) of all test results in the lower right corner of the table, the SSIM and PSNR of the proposed simulation method reach 0.8962 and 35.42 dB respectively. Compared with the PSF-conv method, SSIM and PSNR are increased by 0.1447 and 52.61%, respectively.

In addition to the quantitative assessment provided by the above all-reference indexes, we also provide some visual results as a qualitative assessment. As can be seen from Figures [11](#page-10-1) and [12,](#page-10-2) it is difficult to accurately simulate the coupling process between the rectangular pupil and other factors in the remote sensing link by using the single PSF for direct convolution. The simulation results are obviously too smooth, which not only loses the noise generated in real images due to the influence of the detector and circuit, but also greatly reduces the sharpness of edges. On the contrary, the proposed method better preserves the texture of typical objects such as aircraft and ships, as well as the obvious dark current noise in the flat area of the image, and achieves more competitive performance. Specifically, it can be seen from Figure [11](#page-10-1) that it is difficult for the PSF-conv method to

simulate the spatial asymmetric imaging characteristics of the rectangular pupil when the aspect ratio is small (e.g., 3). When the length–width ratio is large (e.g., 10), as shown in Figure 12[, alt](#page-10-2)hough the PSF-conv method can well simulate the huge resolution gap in the direction of the long and short sides of the primary mirror, it generates smoother simulation images, resulting in the loss of much high-frequency information that should exist in the simulation images.  $\frac{1}{\sqrt{2}}$  that should exist in the simulation images.

<span id="page-10-1"></span>

method better preserves the texture of typical objects such as aircraft and ships, and ships, as  $\alpha$ 

Figure 11. Simulation results when the pupil's length-width ratio is 3. (a) Original scene, (b) real image taken by the circular primary mirror, (c) real image when the angle of rotation is  $0^{\circ}$ , (d) real image when the angle of rotation is 90°, (**e**) simulation in a simulation in a simulation in a simulation in  $\frac{1}{2}$ image when the angle of rotation is  $90^{\circ}$ , (e) simulation image obtained by the CCNN when the angle of rotation is  $0^{\circ}$ , (f) simulation image obtained by the CCNN when the angle of rotation is 90°, (g) simulation image obtained by the PSF-conv method when the angle of rotation is  $0^{\circ}$ , and (**h**) simulation image obtained by the PSF-conv method when the angle of rotation is 90◦ . (**h**) simulation image obtained by the PSF-conv method when the angle of rotation is 90°.

<span id="page-10-2"></span>

Figure 12. Simulation results when the pupil's length-width ratio is 10. (a) Original scene, (b) real image taken by the circular primary mirror, (c) real image when the angle of rotation is  $0^{\circ}$ , (d) real image when the angle of rotation is  $90^\circ$ , (e) simulation image obtained by the CCNN when the angle when the angle of rotation is 50°, (**c**) simulation image obtained by the angle of rotation is  $0^{\circ}$ , (**f**) simulation image obtained by the CCNN when the angle (g) simulation image obtained by the PSF-conv method when the angle of rotation is  $0^{\circ}$ , (h) simulation angle of rotation is  $0°$ , (**f**) simulation image obtained by the CCNN when the angle of rotation is 90°, image obtained by the PSF-conv method when the angle of rotation is 90°.

#### <span id="page-10-0"></span>In this paper, we firstly analyzed the spatially asymmetric and temporally periodic **4. Conclusions 4. Conclusions**

In this paper, we firstly analyzed the spatially asymmetric and temporally periodic imaging characteristics of the RSA system's rectangular rotary pupil. Then, we analyzed the relations between the discrete-domain low-quality image, the discrete-domain high-quality image, and the continuous-domain real-world scene. On this basis, we found that the simulation method which directly convolves the input image with the PSF of the RSA system's pupil is not suitable for the image simulation of the RSA system. In addition, it is not appropriate to use the existing blur kernel estimation methods to estimate the optimal blur kernel to accurately simulate the effects of the rectangular rotary pupil. To this end, we improved the blur kernel estimation network based on normal convolutions by taking the encoding related to the length–width ratio and the rotation angle of the primary mirror as additional input and using conditionally parameterized convolutions instead of normal

convolutions. Unlike normal convolutions, for conditionally parameterized convolutions, the weights of a network are different for each input. Furthermore, by conditioning the model on additional information which is related to the length–width ratio and the rotation angle of the primary mirror, we propose a CCNN. The CCNN can direct the simulation process to generate the simulation images corresponding to different length–width ratios and rotation angles. Finally, we verify the effectiveness of the proposed simulation method through semi-physical imaging experiments. The results show that compared with the real image obtained when the corresponding rectangular entrance pupil optical element is installed, the average values of SSIM and PSNR are 0.8962 and 35.42dB, respectively. Compared with the simulation method using the imaging system's PSF as the "blur kernel", the SSIM and PSNR are increased by 0.1447 and 52.61%, respectively. This shows that the proposed simulation method achieves more competitive performance. The proposed simulation method can provide data and theoretical support for the optimization of the RSA system and the corresponding image processing methods.

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