

Article

# The Impact of Time Difference between Satellite Overpass and Ground Observation on Cloud Cover Performance Statistics

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**Abstract:** Cloud property data sets derived from passive sensors onboard the polar orbiting satellites (such as the NOAA's Advanced Very High Resolution Radiometer) have global coverage and now span a climatological time period. Synoptic surface observations (SYNOP) are often used to characterize the accuracy of satellite-based cloud cover. Infrequent overpasses of polar orbiting satellites combined with the 3- or 6-h SYNOP frequency lead to collocation time differences of up to 3 h. The associated collocation error degrades the cloud cover performance statistics such as the Hanssen-Kuiper's discriminant (HK) by up to 45%. Limiting the time difference to 10 min, on the other hand, introduces a sampling error due to a lower number of corresponding satellite and SYNOP frequency. The trade-off between collocation and sampling error call for an optimum collocation time difference. It however depends on cloud cover characteristics and SYNOP frequency, and cannot be generalized. Instead, a method is presented to reconstruct the unbiased (true) HK from HK affected by the collocation differences, which significantly (*t*-test p < 0.01) improves the validation results.

**Keywords:** validation; collocation; SYNOP; AVHRR; sampling error; cloud retrieval; ESA-Cloud-CCI; APCADA; skill score; Hanssen-Kuiper's discriminant

#### 1. Introduction

12867

Clouds have a major impact on the Earth's radiation budget, and, thus, play a crucial role in the terrestrial climate system [1]. They cool the atmosphere by reflecting the incoming solar radiation. Concurrently, they warm the atmosphere by intercepting and radiating back the radiation emitted by the Earth's surface. The net radiative effect of a cloud depends on its physical properties [2], and cloud feedbacks are among the most uncertain components of the climate models. Consistent and continuous cloud observations are required to better understand the cloud-climate interactions. Therefore, as part of the United Nations Framework Convention on Climate Change (UNFCCC), the Global Climate Observing System (GCOS) has included cloud properties in the set of essential climate variables (ECVs) [3] with a special emphasis on satellite-based retrievals [4].

Polar-orbiting satellites provide a global coverage of cloud information at sub-daily time resolution. This feature has been exploited for deriving cloud climatologies by, for example, the International Satellite Cloud Climatology Project (ISCCP) [5], Pathfinder Atmospheres Extended (PATMOS-x) [6,7], and the EUMETSAT Satellite Application Facility on Climate Monitoring (CM SAF) [8] to produce the CLoud, Albedo and RAdiation dataset (CLARA-A1) [9]. Recently, the European Space Agency (ESA) has initiated the ESA-Cloud-CCI project focused on cloud studies in the frame of its Climate Change Initiative (CCI) running over the time period of 2010 to 2016 [10]. The ESA-Cloud-CCI aims at adapting and developing the state-of-the-art cloud retrieval schemes [11] to be applied to the longest existing time series of the cloud properties (*i.e.*, cloud cover, cloud top height and temperature, cloud optical thickness, cloud effective radius, and liquid and ice water paths) are derived by means of an optimal-estimation-based retrieval framework [13] for: (1) the Advanced Very High Resolution Radiometer (AVHRR) heritage product (1982–2014) comprising (Advanced) Along Track Scanning Radiometer (A)ATSR, AVHRR and Moderate-Resolution Imaging Spectroradiometer (MODIS), and (2) the (A)ATSR-Medium Resolution Imaging Spectrometer (MERIS) product (2002–2012) [14].

In order to be useful for the climate studies, the satellite-based cloud datasets must fulfil quality requirements defined by GCOS [4]. The quality assessment is based on a comparison with the ground-based observations or other satellite-based datasets. The active sensors onboard CloudSat [15] and CALIPSO [16] have proved beneficial for the validation of passive radiometers with their ability to reveal the vertical cloud structure [17]. However, their scarce spatio-temporal coverage limits the number of possible collocations, thus, the active sensor data is more useful for cloud retrieval algorithm development than as a reference for cloud climatology datasets. The conventional surface observations (SYNOP) still remain a common reference for the validation of cloud cover from passive sensors [18–24].

There are several sources of uncertainty when validating satellite-derived cloud cover with ground-based synoptic observations [25]. A different viewing perspective (the uppermost cloud layer seen by the satellite *versus* the lowest layer observed from the ground) can cause significant discrepancy in case of multi-layer clouds [26–28]. Further uncertainty can be caused by a different spatial footprint (the passive sensor's spatial resolution of 1–5 km *versus* synoptic observations limited by the typical range of vision of 30–50 km [29]), as well as by a different sensitivity of a satellite sensor and the human eye (a cloud of certain optical thickness may be visible for the observer but remain transparent for the sensor, and *vice versa*). Moreover, the uncertainty increases towards the edges of the field of view for

satellite observation, and towards the horizon for visual observation. Further, satellite-based cloud retrieval algorithms usually provide binary information (cloudy or cloudless), while ground observations report the part of the visible sky covered by clouds with an accuracy of 1/8 (okta). In addition, the okta scale is not linearly related to cloud cover. As soon as a cloud is visible, even covering less than 1/8 of the sky, at least 1 okta is reported. Similarly, a small discontinuity in the cloud cover (clear sky of less than 1/8 of the sky) is reported as 7 okta [30–32]. Between 2 and 6 okta, the synoptic observations should reflect the part of the sky covered by clouds. All the mentioned different features of the spaceborne and ground cloud cover observations can affect the validation results, even if both observations match perfectly in time.

However, satellite-based measurements and reference ground-based cloud cover observations are discrete and usually not performed at the same time. Particularly, the observation time difference occurs when comparing cloud retrievals from polar orbiting satellite data with irregular overpass times to 3- or 6-h SYNOP observations. A maximum collocation time difference between these two types of observations has to be chosen. It strongly varies among the validation activities: e.g., 15 min [33], 1 h [18], or 4 h [24]. Fontana *et al.* [20] used an average of the synoptic observations at 9UTC and 12UTC, and of 12UTC and 15UTC to validate cloud cover from the Terra-MODIS morning acquisition and the Aqua-MODIS afternoon acquisition, respectively. Kotarba [22] set the maximum time difference between the MODIS cloud mask and SYNOP to 30 min, but, in addition, normalized the SYNOP observations to MODIS overpass times using a linear interpolation. To avoid this discrepancy some authors perform the validation only based on the daily or monthly averages [19].

The choice of a small time difference (e.g., 10 min) ensures that both observations (satellite and SYNOP) reflect the same cloud state. However, such a choice strongly limits the number of satellite overpasses, which have a corresponding SYNOP observation. As a consequence only a subsample of all satellite observations can be used for the validation, which introduces a sampling error. On the other hand, the maximum time shift of 90 min for 3-h SYNOP (180 min for 6-h SYNOP) allows the use of all satellite overpasses and minimizes the sampling error, but at the expense of introducing an error due to the incomparability of the cloud states separated by up to 90 (or 180) min. In this context, defining the optimal maximum time difference between satellite and ground observations requires the compromise between sampling and incomparability errors.

The main objective of this paper is to quantify and demonstrate the impact of this time difference on validation results of satellite-derived cloud cover. This could be studied on the actual satellite-derived cloud cover data (such as the ESA-Cloud-CCI). Then, however, the assessment would be limited to the accuracy of the chosen satellite-based cloud cover; the validation of the ESA-Cloud-CCI is not the scope of this paper. In order to assess the impact for the range of possible accuracies (from perfect to low skill) an idealized study is performed. The validation dataset is composed of 10-min cloud amount estimates, time of ground observations (SYNOP), and real NOAA/AVHRR overpass times. It allows to analyze the impact of the time difference with a 10-min step, which would not be possible using 3-h SYNOP instead. After quantifying the sampling and incomparability errors on validation results, we introduce and evaluate a method for modeling the unbiased (true) validation results, as they would be derived without any time shift between satellite overpass and reference ground observation.

## 2. Data

# 2.1. Ground-Based Cloud Cover Observations

The ground-based data were obtained from the Baseline Surface Radiation Network (BSRN) [34]. BSRN is a project of the World Climate Research Programme (WCRP) and the Global Energy and Water Experiment (GEWEX). The project objective is to provide high-quality measurements of short-wave and long-wave surface radiation fluxes with a 10-min interval at stations located in the various climatic zones. These measurements are accompanied by measurements of air temperature, relative humidity and air pressure.

Long-wave downward radiation, air temperature and humidity measurements allow to determine the so-called partial cloud amount based on the Automatic Partial Cloud Amount Detection Algorithm (APCADA). APCADA was developed by Marty and Philipona [35] and further enhanced by Dürr and Philipona [36]. It derives cloud amount from the ratio of the all- and clear-sky long-wave emittance. Since most of the long-wave emittance measured at the surface originates from the first kilometer of the atmosphere [37,38], high clouds have a limited effect on the down-welling long-wave radiation. Therefore, APCADA cloud amount, similar to most passive satellite datasets, may underestimate the amount of thin high clouds. Dürr and Philipona [36] estimated that in 82%–87% (for six high-latitude and mid-latitude sites) and 77% (for one tropical site) of the observations the maximum difference between the APCADA and SYNOP observations was smaller or equal to 1 okta.

APCADA was employed in this study to estimate cloud amount at 10 BSRN sites at a 10-min resolution (Table 1). The sites covered the range of different climatic zones (Figure 1). The cloud regime at each site was characterized by the annual average and temporal variability of cloudiness. The latter was calculated as the percent of changes in cloudiness (cloudy-cloudless) in the total number of observations. The mean cloudiness and cloudiness variability depend on the APCADA accuracy and cloud cover classification. Therefore, they are provided herein to assist the interpretation of the results, but they should not be treated as climate indices.

**Table 1.** The Baseline Surface radiation Network (BSRN) sites used in the study. The cloudiness temporal variability indicates the percent of changes in cloudiness (from cloudy to cloudless and *vice versa*) in the total number of observations.

Site Name	Country	Lat (Deg)		Lon (Deg)		Elevation	Mean	<b>Cloudiness Temporal</b>		
Site Name						(m a.s.l.)	Cloudiness (%)	Variability (%)		
Bermuda	Bermuda	32.27	Ν	64.68	W	8	67	24		
Cabauw	Netherlands	51.97	Ν	4.93	Е	0	68	20		
De-Aar	South-Africa	30.66	S	23.99	Е	1287	29	16		
Lindenberg	Germany	52.21	Ν	14.12	Е	125	70	17		
Ny-Ålesund	Norway	78.93	Ν	11.93	Е	11	74	13		
Palaiseau	France	48.71	Ν	2.20	Е	156	63	18		
Payerne	Switzerland	46.81	Ν	6.94	Е	491	66	17		
Sede-Boqer	Israel	30.90	Ν	34.78	Е	500	40	28		
Solar Village	Saudi Arabia	24.91	Ν	46.41	Е	650	23	14		
South-Pole	Antarctica	89.98	S	24.79	W	2800	73	10		

Figure 1. The Baseline Surface Radiation Network (BSRN) sites overlaid on the Köppen-Geiger map of climate zones [36]: 1-Bermuda, 2-Cabauw, 3-De-Aar, 4-Lindenberg, 5-Ny-Ålesund, 6-Palaiseau, 7-Payerne, 8-Sede-Boqer, 9-Solar-Village, and 10-South-Pole.



#### 2.2. Satellite Overpass Times

The satellite data were obtained from the ESA-Cloud-CCI 3-year (2007–2009) [39,40] prototype cloud properties dataset derived from the AVHRR global area coverage (GAC) [41] in the first project phase. The Level 2G AVHRR GAC data is gridded from the Level 2 swath data by taking only the most-nadir observation in polar regions, where Level 2 swaths overlap. Using separate day- and night-time Level 2G grids for four NOAA polar satellite platforms (NOAA 15–18) result in eight observations per day and per grid point. Thus, the time series for every site contained 8768 image acquisition times (three years × eight overpasses). The cloud cover and properties were not extracted, but only the exact overpass times (in seconds) at each BSRN site.

# 3. Methods

In this section we describe the main steps of the analysis following the flowchart shown in Figure 2.

**Figure 2.** Flowchart of the analysis performed in this study. The boxes represent: time series (grey), time settings used in the analysis (red) and contingency matrices (green). The ellipses indicate cloud cover skill scores. See text for details.



# 3.1. Creating a Synthetic Validation Data Set

For each BSRN site we transformed the three-year APCADA cloud amount at the 10-min resolution into binary cloud cover classifying 0–3 okta as cloudless and 4–8 okta as cloudy conditions. This formed a reference cloud cover time series (ref) defined as:

$$\operatorname{ref} = \{\operatorname{ref}_i; i \in T_{10m}\}$$
(1)

where  $T_{10m}$  is the time from 1 January 2007 to 31 December 2009 with 10-min intervals (157, 824 elements).

We used the APCADA-based binary cloud cover time series to mimic a satellite-based cloud cover retrieval of specified accuracy. This was achieved by degrading ref through swapping p percent of the 10-min observations (the cloudy observations became cloudless, and cloudless became cloudy). We used 9 different values of p: from 0 to 40% with a 5% step. The upper range was chosen empirically, as 40% of swapped observations led to almost no skill. We presumed that the cloud retrieval errors can occur either for isolated observations or, more likely, for several consecutive 10-min observations. The cloud retrieval errors can be related to a specific weather condition (such as a fog, snow cover or sub-pixel convection). Hence, the distribution of the swapped observations was described by the swapping time span (s), which defined the length of the consecutive erroneous retrievals. We used six time spans

(for p > 0%): 10, 30, and 60 min, and 3, 12 and 24 h. The swapping blocks were then randomly distributed along the ref time series. We defined the degraded time series as:

$$\deg^{p,s} = \{\deg^{p,s}_{j}; j \in T_{10m}\}$$
(2)

Thus, for instance,  $\deg_j^{p=5\%,s=3h}$  indicates a degraded reference time series where 5% of the observations in 3-h blocks were swapped.

For each of the 10 sites one reference time series (ref) and 49 degraded time series (deg) were generated: they combined 8 *p*'s greater than 0% with 6 *s*'s and were extended by  $\deg_j^{p=0\%}$  (equal to ref), which was the artificial cloud retrieval of a perfect skill. The lowest skill was represented by  $\deg_j^{p=40\%}$ .

## 3.2. Validation Procedure

The reference (ref) and degraded (deg) time series were used to analyze the theoretical impact of time difference between satellite overpass and ground observation on the satellite-derived cloud cover performance. The exact times of the NOAA 15–18 overpasses were used, and the SYNOP observations were assumed to be carried out routinely every 3 or 6 h.

The performance of each deg was measured by a skill score commonly referred to as the Hanssen-Kuiper's Discriminant formulated as [42]:

$$HK = \frac{ad - bc}{(a+c)(b+d)} = H - F$$
(3)

where *a* (correct detections), *b* (false alarms), *c* (misses) and *d* (correct no-detection) build a contingency matrix (Table 2). HK can be also formulated as a difference between the hit rate: H = a/(a + c), and the false alarm rate: F = b/(b + d). We derived HK only for a contingency matrix of the number of samples (a + b + c + d) equal or greater than 10. A perfect cloud detection receives the score of one, random retrieval the score of zero, and inferior to random a negative score. HK equals zero for the constant detection of the cloudy or cloudless conditions. Furthermore the contribution made by a correct miss or a correct detection increases as the event is more or less likely, respectively [42]. Thus, HK also reflects the skill of detecting rare events, which makes it more robust than, e.g., the *H* and *F* alone.

**Table 2.** A contingency matrix for the evaluation of the satellite-based cloud cover against reference observations.

		<b>Ground Observation</b>					
		Cloudy	<b>Cloud-Free</b>				
Catallita	Cloudy	а	b				
Satemite	Cloud-free	c	d				

The validation procedure was performed for each station and degraded time series (deg). First the unbiased (true) skill score (HK<sub>0</sub>) was calculated assuming no time difference between deg (simulating the satellite image acquisition) and ref (simulating the reference SYNOP observation). Only a subset of the 10-min time steps, closest to the actual satellite overpass times during three years, were used to derive HK<sub>0</sub>. We notate "HK<sub>0</sub>" for simplicity, however the time difference for HK<sub>0</sub> was not exactly equal zero, but did not exceed 5 min.

Next we performed the validation of each deg assuming a time difference ( $\Delta t$ ) between satellite overpass and SYNOP from 10 to 90 min (for 3-h SYNOP) or 10 to 180 min (for 6-h SYNOP) with a 10-min step. To assess the impact of  $\Delta t$  on HK we validated deg<sub>*i*=*i*</sub> against ref<sub>*i*+ $\Delta t$ </sub>: both the satellite-derived and reference observations were shifted by  $\Delta t$ . For each  $\Delta t$  the number of satellite overpasses (*n*) corresponding to the SYNOP observations with a time difference below or equal  $\Delta t$  were determined. The sampling error for *n* being lower than the total number of overpasses (*N*) was estimated with the bootstrap technique [43]: HK<sub> $\Delta t$ </sub> was derived 500 times from *n* observations randomly chosen from all satellite overpasses. As a result for each site: SYNOP frequency, percent of swapped observations (*p*), swapping time span (*s*), and time difference ( $\Delta t$ ) 500 HK's were derived. They were compared with HK<sub>0</sub> to assess the impact of the time difference, sampling size and cloud regime of the site on the validated HK.

#### 3.3. Modeling the Unbiased Skill Score

To examine how accurately the unbiased HK<sub>0</sub> can be reconstructed from the HK's affected by  $\Delta t$ , the validation procedure was modified and performed based on the actual collocations between the satellite overpasses and ground observations for certain  $\Delta t$ 's (while previously only the number of collocations *n* was used, and ref and deg where shifted by  $\Delta t$ ). APCADA-based cloud cover was extracted for each deg<sup>*p*,*s*</sup> only at the satellite overpass times, and for each ref only at the SYNOP observation times. Then, HK<sub> $\Delta t$ </sub> was calculated for the range of different maximum  $\Delta t$ . This way the sampling error was not assessed (unlike before by the bootstrap), but it impacted each HK derived with a given  $\Delta t$ . HK<sub>0</sub> was derived with the method described in the previous section.

Next, we modeled the unbiased skill score (HK<sub>mod</sub>) from the 9 (for 3-h SYNOP) or 18 (for 6-h SYNOP) HK<sub>dt</sub>'s. First, using a least square regression we fitted a linear function *f* to the HK<sub>dt</sub>'s:

$$HK_{\Delta t} = f(\Delta t), \Delta t \in \{10, 20, \dots, 90/180 \text{ min}\}$$
(4)

Then HK<sub>mod</sub> was calculated as:

$$HK_{mod} = f(\Delta t = 0)$$
<sup>(5)</sup>

To evaluate  $HK_{mod}$  the commonly used performance statistics such as the mean bias error, mean absolute error, and root mean square error were calculated against the unbiased skill score  $HK_0$ . The significance of the differences between performances of the validation methods was tested with a two-sided *t*-test for unpaired samples.

## 4. Results

# 4.1. Characterizing the Skill Score Uncertainty

The maximum time difference ( $\Delta t$ ) between satellite overpass and SYNOP observation has a twofold effect on the obtained skill score. An increase of  $\Delta t$  causes: (1) an increase in the absolute bias of HK<sub> $\Delta t$ </sub> as compared to HK<sub>0</sub>, and (2) a decrease in spread of the retrieved HK<sub> $\Delta t$ </sub>. An example for Payerne shown in Figure 3 illustrates these two effects. The bias increasing with  $\Delta t$  is represented by the difference between the median HK<sub> $\Delta t$ </sub> (*i.e.*, the horizontal line within each box) and HK<sub>0</sub> marked as ×. The spread in HK<sub> $\Delta t$ </sub> is represented by the box height and its whiskers range. The upper axis gives the number of samples (*n*) used for calculating HK<sub> $\Delta t$ </sub> selected from all overpasses (*N*). **Figure 3.** An example of the validation results at Payerne for the degraded time series  $(\deg^{p} = 10\%, s = 3h)$  for a different maximum time difference between the NOAA/AVHRR overpass and reference SYNOP observation ( $\Delta t$ ). Each boxplot contains 500 values derived from the random selection of *n* samples from the total number of overpasses (N = 7589). The unbiased skill score (HK<sub>0</sub>) is marked with ×. The boxes contain the median (thick line), their bottom and top identify the 1<sup>st</sup> and 3<sup>rd</sup> quartiles, and the whiskers extend to the data extremes.



#### 4.1.1. Bias

Figure 4 illustrates how the bias of HK (HK<sub>0</sub> – HK<sub>dt</sub>) increases with an increase of  $\Delta t$ , and more rapidly for high skill scores (e.g., the red boxes representing the time series of a perfect skill) than for low skill scores (e.g., the magenta boxes representing the time series of HK<sub>0</sub> of around 0.35). For instance (Figure 5a), at Bermuda the mean bias at the 60-min time difference is 0.39 for the perfect skill (HK<sub>0</sub> = 1) and only 0.13 for the lowest skill analysed (HK<sub>0</sub>  $\approx$  0.3). Yet, this range in the bias of HK differs among the sites. Bermuda reveals the biggest difference of 0.26 (0.39 – 0.13) and the South Pole has the lowest one of 0.11 (from 0.15 for HK<sub>0</sub> = 1 to 0.04 for HK<sub>0</sub>  $\approx$  0.35). However, the bias calculated in relation to the unbiased skill score (relative bias) is nearly constant for HK<sub>0</sub> greater than 0.5 at each site and for a given time difference (*i.e.*, 60 min in Figure 5): it ranges from around 0.1 for the South Pole to around 0.42 for Bermuda (Figure 5b).

The sites with medium cloudiness are more sensitive to the time difference ( $\Delta t$ ) than sites of high and low cloudiness. Figure 6 shows the mean relative bias calculated for all analyzed time differences  $\Delta t$  at each site. Again, the extreme cases are: Bermuda of 67% of cloudiness, where the time difference causes the underestimation of the unbiased skill score by nearly 40%, and the South Pole, of 73% of cloudy observations, where the underestimation is only 15%. Among the analyzed sites the South Pole has the highest persistence of cloudiness frequency of 24%. The relation of mean cloudiness and cloud variability with the relative bias in HK can be explained by the fact that, for sites with constant cloudy or clear-sky conditions, it is unlikely that time difference introduces a bias in the skill score. However the correlation between the cloudiness (and the frequency of change in cloudiness) and the relative bias is too low (Figure 6) for allowing the cloudiness characteristics to be a generalized predictor of the HK bias. **Figure 4.** The validation results for the degraded time series with a varying maximum time difference between NOAA/AVHRR overpass and reference SYNOP observation ( $\Delta t$ ). The degraded time series (deg<sup>*p,s*</sup>) are of the swapped time span (s) equal 12 h, and the percent of swapped observations (*p*) of: 0% (red), 10% (green), 20% (blue), 30% (cyan), and 40% (magenta). Each boxplot contains 500 values derived from the random selection of n samples from the total number of overpasses (N = 7589). The unbiased skill score (HK<sub>0</sub>) is marked with ×. The boxes identify the first and third quartiles, and the whiskers extend to the data extremes.



**Figure 5.** The mean bias of the Hanssen-Kuiper's discriminant when allowing for the maximum time difference of 60 min ( $HK_{\Delta t} = 60m$ ) in dependence of the unbiased skill score ( $HK_0$ ) presented as (**a**) absolute and (**b**) relative value.



**Figure 6.** The mean relative bias of the Hanssen-Kuiper's discriminant derived for all time differences  $\Delta t$  from 10 to 90 min in relation to (**a**) the mean cloudiness and (**b**) the percent of changes in cloudiness (cloudy-cloudless) in the total number of observations at each BSRN site. Additionally the least square regression and its coefficient of determination (R<sup>2</sup>) are given.



#### 4.1.2. Spread

The spread in  $HK_{\Delta t}$  is caused by the sampling error, which is generated by the number of samples used for the validation (*n*) being lower than the total number of satellite observations (*N*). The proportion n/N is directly related to the maximum time difference between satellite and SYNOP observation used

for validation. Therefore, a higher  $\Delta t$  increases *n* and consequently lowers the spread in HK<sub> $\Delta t$ </sub> (Figure 4). Figure 4 reveals that the spread in HK<sub> $\Delta t$ </sub> also depends on its own mean: the greater the mean HK, the lower the spread. Moreover, sites of low cloudiness variability such as, e.g., the South Pole, are characterized by the overall lower spread of HK than sites of high cloudiness variability, such as Sede-Boger or Bermuda (Figure 4).

**Figure 7.** Validation results for artificial 3-year time series deg<sup>*p*,*s*</sup> of NOAA/AVHRR with eight overpasses per day against 3-h SYNOP at 10 BSRN sites. HK<sub>0</sub> stands for the unbiased skill score. The blue crosses represent the modeled skill score (HK<sub>mod</sub>). The red circles represent the skill score derived by a standard validation, for which the closest SYNOP observation is used to validate the satellite-derived data (equal HK<sub> $\Delta t$ =90m</sub> as for 3-h SYNOP).



#### 4.2. Retrieving the Unbiased Skill Score

The modeled Hanssen-Kuiper's discriminant (HK<sub>mod</sub>) was linearly extrapolated from HK<sub> $\Delta t$ </sub>'s derived for  $\Delta t$  from 10 min to 90 or 180 min for 3-h and 6-h SYNOP respectively. When 3 years of NOAA/AVHRR data with eight overpasses a day are validated against 3-h SYNOP (Figure 7), HK<sub>mod</sub> is significantly (*t*-test p < 0.01) more accurate than the one derived by the standard validation (HK<sub> $\Delta t$  = 90 m</sub>). The mean bias error and root mean square error are both improved for all the stations. The underestimation of  $HK_{\Delta t} = 90m$  is stronger for the time series of higher accuracy (higher  $HK_0$ ), while  $HK_{mod}$  remains unbiased over the full accuracy range.  $HK_{mod}$  has the average mean absolute error of 0.03, which is significantly (*t*-test p < 0.01) lower than 0.12 for  $HK_{\Delta t} = 90 m$  (Table 3, first row). However, the validation performed with the maximum time difference of 10 min ( $HK_{\Delta t} = 10m$ ) is equally accurate to  $HK_{mod}$  (0.01 difference between the two methods is not significant).

**Table 3.** The mean absolute bias (MAE) in the Hanssen-Kuiper's discriminant measured against the unbiased skill score (HK<sub>0</sub>) at 10 BSRN sites depending on SYNOP observations frequency, time series length, and number of satellite overpasses per day. The validation methods are: four using different maximum time differences between the satellite observation and SYNOP (HK $_{\Delta t}$  = 10m, HK $_{\Delta t}$  = 30m, HK $_{\Delta t}$  = 60m, and HK $_{\Delta t}$  = 90m), and the modeled skill score (HK<sub>mod</sub>). The values highlighted in **bold font** indicate the best performance. *N* gives the number of satellite observations per station, and *n* gives the number used for the validation depending on the validation method.

<b>Time Series</b>	Overpasses	SYNOP	N	HK⊿ <i>t</i> =10m		HK⊿ <i>t</i> =30m		HK⊿ <i>t</i> =60m		HK⊿ <i>t=</i> 90m		HK <sub>mod</sub>	
Length	Per Day	Frequency	1										
				n	MAE								
3 years	8	3-h	7589	815	0.04	2433	0.07	4962	0.10	7589	0.12	7589	0.03
3 years	8	6-h	7589	399	0.05	1076	0.07	2050	0.10	3302	0.13	3302	0.04
1 year	2	3-h	646	69	0.09	191	0.08	410	0.10	646	0.12	646	0.07
1 month	2	3-h	53	6	- *	15	0.24	34	0.19	53	0.19	53	0.34

\* not available because HK is calculated only for  $n \ge 10$ .

The above results were based on the validation of three-year time series with eight overpasses a day against 3-h SYNOP. The size of both the validated and reference data sets can vary with, e.g., the period covered, number of satellite overpasses per day and SYNOP observation frequency. The length of the satellite-based time series (days covered × number of overpasses) defines the number of satellite observations to be validated (N). The frequency of satellite and SYNOP observations and their co-distribution in time determine the number of observations used for the validation (n) in dependence of the maximum time difference between the observations of satellite and SYNOP ( $\Delta t$ ).

The performance of both  $HK_{mod}$  and  $HK_{\Delta t}$  depends on the temporal coverage and frequency characteristics of the employed satellite and ground data set (Table 3).  $HK_{mod}$  outperforms  $HK_{\Delta t}$ (according to the MAE) for three out of four analyzed settings. Only for the shortest time series covering one month the standard validation using a 30-min maximum time difference between the satellite and SYNOP observations ( $HK_{\Delta t=30m}$ ) provides the most accurate HK. For such short validation time series  $HK_{mod}$  cannot be reconstructed from  $HK_{\Delta t}$ . Also, the example for Payerne (Figure 8) shows the concurrent high uncertainty of HK. It is caused by the greater sampling error for few collocations (as explained in Section 4.1.2). This example suggests that the validation of cloud cover data from a single polar orbiter and using SYNOP as a reference should encompass at least one year. **Figure 8.** The bias in Hanssen-Kuiper's discriminant measured against the unbiased skill score (HK<sub>0</sub>) at Payerne depending on the SYNOP observation frequency, time series length, and number of satellite overpasses per day. The bias is reported for four different maximum time differences between the satellite observation and SYNOP (HK<sub>dt=10m</sub>, HK<sub>dt=30m</sub>, HK<sub>dt=60m</sub>, and HK<sub>dt=90m</sub>), and for the modeled skill score (HK<sub>mod</sub>, orange). The missing box is due to n < 10 for which HK is not calculated. The boxes contain a median (thick line), their bottom and top identify the 1<sup>st</sup> and 3<sup>rd</sup> quartiles, and the whiskers extend to the data extremes.



## 5. Discussion

#### 5.1. Validation Inaccuracy

The choice of a maximum time difference between the satellite observation and reference SYNOP used for the validation has a significant impact on the validation accuracy. Limiting the time difference to, e.g., 10 min increases the comparability of both datasets and has a positive impact on the validation accuracy. On the other hand, a low maximum time difference significantly lowers the number of possible collocations. For a maximum time difference of 10 min, only 10% of satellite observations can be used for validation. The resulting increase of the sampling error has a negative impact on the validation accuracy.

The decrease of comparability between the validated and reference data with an increasing time difference introduces a negative bias, as the derived skill score is underestimated. This bias is stronger for the time series of higher accuracy, and becomes less important for the time series of low skill.

Our findings demonstrate that the very high skill scores (with a HK close to 1.0) cannot be reported with the traditional validation employing a single fixed maximum time shift.

Since the maximum time difference has two opposing (positive and negative) effects, a compromise has to be found. However, our analysis reveals the complexity of this impact on the validation accuracy: it depends on the accuracy, temporal characteristics and extent of the satellite data set, on the cloudiness and its variability, as well as on the frequency of the reference SYNOP observations. We were not able to find a generalized function to define the optimal time difference for the standard validation. Instead we propose a method to reconstruct the unbiased skill score.

# 5.2. Modeling Unbiased Skill Score

The parametric model for the unbiased skill score (calculated without time difference between the satellite and SYNOP observations) is based on the extrapolation of a linear curve fitted to the HK's derived with several time differences. This method does not require any auxiliary data. It only employs the satellite observations and SYNOP. We demonstrate that the modeled skill score is more accurate than the one derived by the standard validation procedures unless the sampling error becomes very large at small sample sizes. In this particular case, however, none of the validation methods yields satisfactory results. The method presented here can reduce the validation error caused only by the incomparability of the observations due to the shift in time.

#### 6. Conclusions

This study reveals the often-disregarded impact of time difference between satellite image acquisition and reference SYNOP observation on the validation accuracy of satellite-based cloud cover. Furthermore a method is presented for reconstructing the unbiased skill score, as it would be derived from the perfectly collocated satellite and reference data sets.

An increase of the maximum time difference between satellite observations and reference SYNOP introduces a collocation error due to the increasing incomparability of the two types of observations. The collocation error can degrade the cloud cover performance statistics, such as Hanssen-Kuiper's discriminant (HK), by up to 45%. Concurrently, a decrease of this maximum time difference results in less satellite observations having a corresponding SYNOP observation and consequently being used for the validation. This introduces a sampling error, which depends on the length of the validated time series and SYNOP frequency. The combination of the collocation and sampling errors with the increasing maximum time difference can both increase or decrease the validation accuracy.

We present a novel method for reconstructing the unbiased Hanssen-Kuiper's skill score with the perfect temporal correspondence between the satellite and reference observations. The improvement in the validation accuracy is statistically significant. Since this reconstruction only requires the satellite observations and SYNOP, it can easily be applied to any validation of the cloud climatology data sets from the polar orbiting satellites. The method should further increase comparability of validation results utilizing reference data with different time frequency (e.g., APCADA and 3- or 6-h SYNOP). The R implementation of the method is available from the authors upon request.

We conclude that there is no generally applicable optimal time difference, which guarantees the most realistic validation accuracy compared to SYNOP data. The validation error depends on the length of the validated time series, the SYNOP frequency, as well as on site dependent cloudiness variability. Validation of cloud climatologies derived from polar orbiting satellites should ideally use cloud cover estimates of a high temporal resolution (such as APCADA) in order to minimize both sampling error and collocation difference. The availability of these estimates are currently limited, but for instance the BSRN sites cover a wide range of the global climatic zones, and thus are suitable for global-scale cloud climatology validation. Alternatively, when the SYNOP observations are used, the reconstruction method introduced in this paper can be employed to minimize validation uncertainty.

We have not yet applied the proposed method to real satellite-derived cloud cover estimates. This is planned for the validation of the long-term ESA-Cloud-CCI cloud climatology dataset, which will become available at the end of the project's second phase (www.esa-cloud-cci.org).

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# **Author Contributions**

Jędrzej S. Bojanowski and Reto Stöckli conceived and designed the experiments; Jędrzej S. Bojanowski performed the experiments; Jędrzej S. Bojanowski and Reto Stöckli analyzed the data and wrote majority of the paper; Anke Tetzlaff and Heike Kunz helped with discussions and contributed to paper writing.

# **Conflicts of Interest**

The authors declare no conflict of interest.

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