

Review

A Review of the Application of Optical and Radar Remote Sensing Data Fusion to Land Use Mapping and Monitoring

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Abstract: The wealth of complementary data available from remote sensing missions can hugely aid efforts towards accurately determining land use and quantifying subtle changes in land use management or intensity. This study reviewed 112 studies on fusing optical and radar data, which offer unique spectral and structural information, for land cover and use assessments. Contrary to our expectations, only 50 studies specifically addressed land use, and five assessed land use changes, while the majority addressed land cover. The advantages of fusion for land use analysis were assessed in 32 studies, and a large majority (28 studies) concluded that fusion improved results compared to using single data sources. Study sites were small, frequently 300–3000 km² or individual plots, with a lack of comparison of results and accuracies across sites. Although a variety of fusion techniques were used, pre-classification fusion followed by pixel-level inputs in traditional classification algorithms (e.g., Gaussian maximum likelihood classification) was common, but often without a concrete rationale on the applicability of the method to the land use theme being studied. Progress in this field of research requires the development of robust techniques of fusion to map the intricacies of land uses and changes therein and systematic procedures to assess the benefits of fusion over larger spatial scales.

Keywords: optical; synthetic aperture radar; meta-analysis; Landsat; ALOS PALSAR; ERS-1 and -2; land cover; decision tree; machine learning; pixel- and segment-level analyses

1. Introduction

Anthropogenic land use and cover change (LUCC) is a major cause of global environmental change [1]. The conversion of natural lands into human-dominated landscapes has been substantial during the past few centuries, but dramatically accelerated during the last two to three decades [2] and is expected to continue in the absence of altered human activities [3]. The transition of forests and grasslands to crop lands and pastures is the most prevalent of these changes, linked to increasing demand for food and fibre, with impacts on carbon stocks [4,5], biodiversity [6] and climate [7]. Alongside these changes, land is being subtly modified to alter ecosystem services (e.g., through selective log harvesting or agricultural intensification) by processes that are poorly quantified to date, but carry substantial environmental costs [8]. Understanding the processes of LUCC is of paramount importance towards more sustainable land management and will aid global initiatives, such as reducing emissions from deforestation and forest degradation (REDD+) [9,10]. However, quantifying LUCC remains a challenge, partly since the dynamics and trajectories of change are complex and fast-evolving [3,11] and partly since robust methods for analyses are still in development for many LUCC processes.

The umbrella concept of LUCC entails both the complete conversion or more subtle modification of land cover and complete changes in land use or subtle alterations in land management (Figure 1). Land cover commonly refers to the physical properties of a land surface [2], typically represented in maps as classes of different vegetation cover (e.g., woody vegetation, grasslands, *etc.*) or surfaces (e.g., water bodies, bare soils, *etc.*). Land cover conversion is defined as a shift from one land cover class to another and modification as subtle changes in continuous properties within classes (e.g., plant biomass, canopy cover, leaf area [12,13]). Land use, by contrast, corresponds to the activities or functions for which humans utilize land [2]. Land use change may entail both the adoption of new uses (e.g., forestry or agricultural expansion into previously unmanaged forests) or changes in management within a land use class, usually as changes in input intensity (e.g., fertilizer application rates, mechanization levels) and/or outputs (e.g., logging or harvesting frequency and production) [14]. Land use and land cover are inherently related, but are nevertheless conceptually distinct, and drawing relations between the two is not straightforward, since multiple relations exist in the pathways of change within and across land cover and land use categories (Figure 1). For example, changes in land use can occur with or without a conversion of the broad land cover class (e.g., when ranching expands into forests or natural grasslands) or a gradual change in land management can eventually trigger land cover conversion (e.g., increasing grazing pressure may trigger bush encroachment and a shift from grasslands to woody savannahs [15]). Land cover changes may not necessarily result from direct human activities and land use alone, but also from natural processes [15–20].

Decades of scientific research have shown considerable progress towards assessing LUCC [1]. Using air- or space-borne remote sensing data is a fast-advancing approach in this field [21–26], particularly due to its ability to provide regular spatially- and temporally-explicit data across large areas when compared to field-based assessments. Remote sensors operate on a variety of basic physical principles, recording the electromagnetic properties of a land surface (either the energy reflected (optical sensors), emitted (thermal infrared or passive microwave sensors) or scattered (active radar sensors)) and, hence, provide a variety of information on land properties. However, considerable challenges to mapping LUCC using remote sensing data persist; the data are not always uniquely linked to land cover and are ambiguously related to land use, hence commonly requiring the use of heuristic, empirical, e.g., [11,27], or physically-based models [28] to infer land properties. Further, land use information must often be inferred based on integration with ground-knowledge or user interpretation [27,29]. Reliable, regular and extensive ground assessments are expensive and challenging, often constraining remote sensing to mapping unambiguous land cover properties only. Consequently, mapping the complexity of changes and subtle modifications in land use management,

which are paramount to monitoring the environmental and societal impacts of land use [30,31], remains understudied [32].

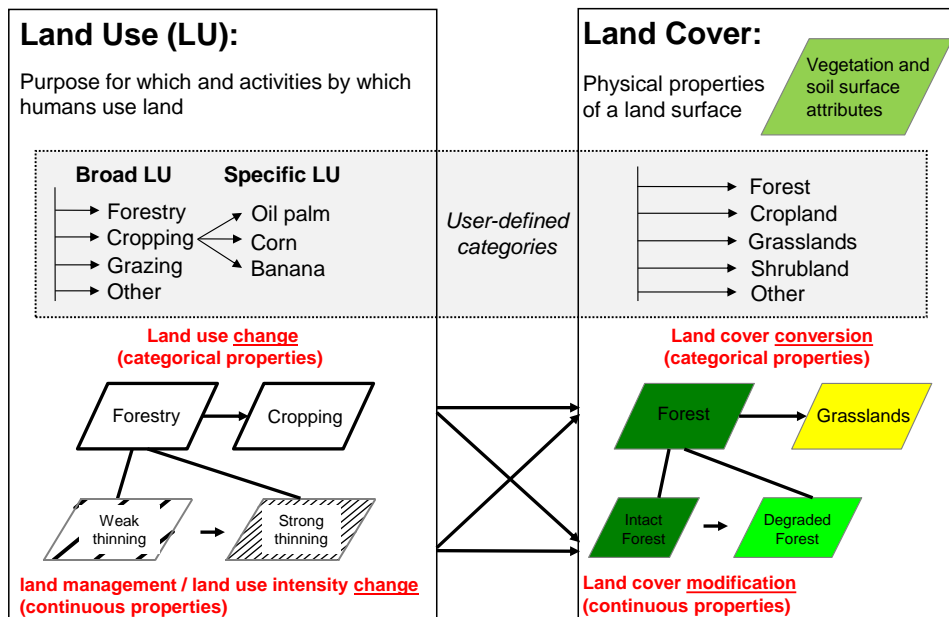


Figure 1. Conceptual sketch and examples of the relations between land use and land cover conversions and modifications.

To overcome this limitation and improve the identification of land use dynamics specifically, fusing datasets acquired from remote sensors that operate on different fundamental physical principles, and hence, providing synergistic information on land properties, appears to be a promising approach. Particularly with the prospects of multiple datasets of free images being available (e.g., optical and radar images from the Sentinel satellite series [33]), fusion brings the benefits of higher spectral resolution, compensating for the limitations of using single data products alone. Based on this hypothesis, this review focuses on examining the utility of combining two types of remote sensing data, optical and radar (synthetic aperture radar (SAR), scatterometer or radar altimeter), for characterizing land use and changes therein, as reported in studies to date. The complementarity of these two types of data [34] is hypothesized to be able to provide enhanced information on land cover and use. For example, optical energy reflected by vegetation is dependent on leaf structure, pigmentation and moisture, while active microwave energy scattered by vegetation is dependent on the size, density, orientation and dielectric properties of elements comparable to the size of the radar wavelength. Optical products are commonly available as multispectral images (ranging from visible to infrared wavelengths) consisting of several bands of data, which can offer different information on land properties based on its spectral reflectance, as well as be used to accentuate land cover through various indices (e.g., Normalized Difference Vegetation Index (NDVI)). In contrast, radar signals are typically only generated at a single wavelength for each sensor, and interact in a characteristic way with structural land properties (e.g., in forests, backscattered energy from active radar signals is returned primarily from canopies and stems and depending on the wavelength and incidence angle of the radar pulses used, differences in the roughness and moisture content of these surfaces may be extracted). Multiple bands of SAR backscatter can however be composed of polarized combinations of the signals transmitted to, and received back from, land surfaces (e.g., horizontal send and horizontal receive, HH, and horizontal send and vertical receive, HV),

and the intensity and polarization can provide insight into the scattering mechanisms and, hence, the physical structure of scattering elements. Furthermore, techniques, such as interferometric SAR (InSAR), make use of differential phases of reflected signals to detect land surface changes and can be used for mapping various land cover and use properties [35,36].

Quantifying and mapping the subtle and more complex properties of land use (e.g., input or output intensity, abandonment and fallow cycles, irrigation frequencies *etc.* [30]) (Figure 1) potentially stand to gain most from the integration of optical and radar data. For example, a major current concern raised in the land systems literature today is understanding specific land use trajectories of different commodity crops, *i.e.*, the share of deforestation caused or the sources of land for different crop types [37–39]. Improved ability to differentiate specific crops within broad crop land classes would strongly benefit this research, allowing understanding the complex linkages between different commodities and targeting interventions to improve the sustainability of commodity-specific supply chains [40,41]. Optical data may provide more robust and interpretable images for delineating broad land use and cover classes, which, added to the information provided by radar images on surface roughness and moisture, can allow more detailed characterization of land management and modifications. Several aspects of land use intensity could then benefit from this combination, including measures of inputs' intensity, such as irrigation and tillage. Such land use intensity data could, for example, benefit precision farming by allowing farmers to precisely control irrigation and nutrient inputs and to catch diseases or under-performing crops early. Advances in regular measures of output intensity (e.g., growth and harvest rates) could come from improved biomass estimates, by combining information from optical sensors on photosynthetic activity (e.g., NDVI) with radar-derived information on crop structure and volume. Such a combination would also allow the processing of enhanced information on land use in complex agroforestry or shifting cultivation landscapes, as well as separating tree plantations from natural forests. Improved characterization of such mosaic landscapes and forest disturbances would, in turn, allow one to more precisely understand the causes of forest degradation and regeneration.

While the complementarity of data from both optical and radar sensors for the characterization of LUCC has been put to use in many very recent studies, e.g., [42–47], the development of adequate data fusion techniques is an important ongoing field of research [48]. In general, fusion refers to a formal concept for combining data from different sources [49,50], with the aim of generating information of “greater quality” than the individual input datasets. The definition of “greater quality” varies highly depending on the field of application (e.g., LUCC) of fusion [51]. Methods of image fusion can be grouped into three categories depending on the level at which the integration is performed: (i) pixel-level fusion (data fusion); (ii) feature fusion; and (iii) decision fusion. The first category refers to the combination of the original image pixels, while the second is based on combining features extracted from the individual datasets [46,52–54]. In contrast, decision fusion requires preliminary analysis of the different datasets, e.g., the separate classifications of optical and SAR data, after which outputs are combined to generate a final result, e.g., [43,55,56]. The first two methods could be considered “pre-classification or -modelling fusion”, whereas the final method is “post-classification or -modelling fusion”.

Users can thus choose between several techniques for image fusion, in addition to a wide choice of radar and optical sensors. Further, within each fusion technique, there is a variety of methods that can be used, leading to inconsistencies between studies that pose problems for replicating and conducting consistent LUCC assessments [57]. A review of the status of synergistic applications is crucial to identify current knowledge and methodological gaps and to focus future research on the most promising results and critical shortcomings of the combined use of these products. In this review, we address three overarching questions:

R1: What land use and land cover types, and the changes therein, have been analysed using the integration of optical and radar remote sensing data?

R2: What combination of optical and radar sensors was most popular in studies assessing land use and land use changes, and what spatial scales were analysed?

R3: How was the analysis of the fusion of optical and radar data conducted, and did fusion result in a more accurate assessment of land use and the changes therein?

As data complementarity, availability and quality are core parameters for fusion, Section 2 briefly summarizes the role of optical and radar sensors in previous LUC studies.

2. Remote Sensing for LUC Analyses

2.1. Optical Remote Sensing

Optical remote sensing has offered data for over four decades, with a few systems dominating LUC analyses due to the length of consistent datasets or the ease of availability (e.g., Landsat since 1972, the Landsat Thematic Mapper since 1983, Satellite Pour l'Observation de la Terre (SPOT) since the mid-1980s and the Moderate Resolution Imaging Spectroradiometer (MODIS) since 1999). Regional or national-scale land mapping studies often use products with a medium-to-high spatial resolution (e.g., <100 m), such as Landsat or SPOT, e.g., [58–60], although increasing computing power has also recently allowed such data to be analysed at a global scale, e.g., [26]. Global land mapping programs generally use coarser spatial resolution (≥ 250 m) data, such as the Medium Resolution Imaging Spectrometer (MERIS) for GLOBCOVER [61], SPOT VEGETATION for the Global Land Cover 2000 dataset [62], the Advanced Very High Resolution Radiometer (AVHRR) for the University of Maryland Global Land Cover Classification [63] or the MODIS global land cover product [64]. Although lacking high spatial detail, the daily or near-daily temporal resolution of such sensors enables frequent analyses. Increasingly, studies address approaches that analyse dense time series of optical data [65,66] or complement them with spatially-explicit statistical data [67], making use of the vast amount of optical data that is freely available, especially the Landsat archive [26,68,69]. Analyses with dense time series have an advantage of being able to capture both highly dynamic and gradual or long-term change processes compared to traditional multi-temporal image classifications alone [70], as well as overcoming gaps due to cloud cover [71,72].

2.2. Radar Remote Sensing

The use of microwave technology for mapping land has not been as widespread as that of optical remote sensing, gaining pace mostly in the last one or two decades. Notably, data from a number of past and current spaceborne SAR systems—Spaceborne Imaging Radar-C/X-Band Synthetic Aperture Radar (SIR-C/X-SAR), European Remote Sensing (ERS-1 and -2), Advanced Synthetic Aperture Radar (ASAR), Japanese Earth Resources Satellite (JERS-1), RADARSAT-1 and -2, Advanced Land Observation Satellite (ALOS-1)—are commonly in use and applied at regional-scales, with very few studies addressing global-scale mapping, e.g., [73]. Studies have covered a variety of themes related to land cover, including improved land cover classifications [35,74], forest cover classifications [75], grassland monitoring [47], identification of degraded woodlands [27,76,77] and mapping deforestation [78] and successional forest dynamics [11]. Similarly, land use-specific studies have focussed on various themes, including urban land use analysis [79,80], classification of agricultural areas [81], mapping and monitoring specific crop types (e.g., rice [82–84]), *etc.* Increasingly, radar data have been exploited in combination with optical data for improved crop classifications [45,85,86] and mapping land management regimes [46,87].

2.3. Limitations of Optical and Radar Products

Cloud cover severely limits the use of optical products [88,89] and can be reduced by using image compositing [26,90,91], however constraining multi-temporal change analysis as a result. Methodological, optical-based analyses are also limited by the similarities in spectral reflectance

across a landscape (e.g., different agricultural crops or tree species with similar phenological characteristics may be indistinguishable) and, hence, by the inability to distinguish land uses that result in similar land cover features. Optical sensors also only detect surface tops, meaning that forest canopy obscures the understory and crops obscure soil, limiting the inferences of land cover and land use to only when these are correlated well with the characteristics of top layers. Changes in the spectral properties of the soil and atmosphere (e.g., from smoke) can also hinder the inference of land and vegetation properties.

Similarly, there are a number of challenges to analysing and interpreting radar images for land applications [92]. Speckle, which is inherent in all SAR images, may increase measurement uncertainty and result in poor classification accuracies [93], requiring pre-analysis spatial or temporal speckle reduction filters, e.g., [94,95]. Topography is a major limitation in mountainous regions due to geometric and radiometric effects (e.g., radar shadow caused by foreshortening and layover) when data are mapped to ground-range images [96]. Furthermore, since SAR observations require a relatively high energy provision on satellite platforms, the availability of dense time series of SAR data or even single observations is scarce in many regions of the world. Until recently, satellite-based SAR data for large-scale multi-temporal assessments were constrained by low spatial and temporal coverage of medium resolution data, particularly C-band (wavelength ~6 cm) or L-band (wavelength ~23 cm) data [97], which may now be overcome with acquisitions from the recently launched C-band Sentinel-1 and L-band ALOS-2 satellite missions.

In summary, despite the complementarity of the optical and radar datasets, their individual limitations pose challenges to mapping land properties. However, most of these limitations do not overlap between the two datasets (with exceptions, such as topography, which can affect both radar and optical data), such that complementarity is feasible, and one dataset may compensate for the shortcomings of the other. For example, since microwaves in the widely-used SAR wavelength range (approximately a few centimetres to meters) are not affected by smoke, atmospheric haze or cloud cover, radar can fill gaps in cloudy regions, e.g., [98]. Hence, the synergism of the information contained within both datasets may successfully be used for LUCC analysis.

3. Methods

Structured queries on Web of Science (<http://apps.webofknowledge.com/>) using combinations of key terms and their synonyms related to land use and cover (Table 1) were conducted from 1 November 2014–26 June 2015. The search was restricted to results of articles and reviews, leading to an initial gross selection of 739 papers. Based on the abstracts of these papers, studies where key terms were present, but that did not address any form of integration of radar and optical data for analysis were excluded. Studies that did not refer to any form of land vegetation or use, e.g., studies on geological formations, water bodies or soil moisture, were also excluded.

The resulting set of studies (112 articles and reviews; Supplementary 1) were then analysed to identify if the fusion of optical and radar data was focused on studying land cover, land use or the changes therein. For consistency, the definitions of land use and land cover described in this study (Section 1) were used, since a large number of studies used both terms interchangeably. A number of studies also focussed on multiple themes related to land use/cover, but used data fusion to address only a few of their target research questions (e.g., using fusion to classify land cover, but only either optical or radar data, without fusion, to identify specific land uses). Hence, our analysis reports on those sections and aims of articles for which fusion was performed. Further, this review aims to identify the progress and benefits of data fusion applied specifically to land use assessments (including land use management), which are generally challenging to conduct using single data sources. Hence, studies that addressed land use (e.g., land use classifications, land management, intensity of land use, *etc.*) and that did not only focus on land cover or changes therein were examined further. A review of the sensors used, the size and locations of the study areas covered, the methods applied and the benefits of fusing optical and radar data was conducted for these studies.

Table 1. Search terms used to select studies for review and the initial number of results for each search category.

TERMS	RESULTS (Articles and Reviews)
(radar OR scatteromet* OR microwave* OR SAR*) AND optical AND (integrat* OR synerg* OR combin* OR fus* OR compar* OR multi* OR mix*) AND (forest* OR savann* OR woodland)	280
(radar OR scatteromet* OR microwave* OR SAR*) AND optical AND (integrat* OR synerg* OR combin* OR fus* OR compar* OR multi* OR mix*) AND (agricultur* OR crop* OR farm*)	240
(radar OR scatteromet* OR microwave* OR SAR*) AND optical AND (integrat* OR synerg* OR combin* OR fus* OR compar* OR multi* OR mix*) AND (grazing OR pasture OR pastor* OR grass*)	95
(radar OR scatteromet* OR microwave* OR SAR*) AND optical AND (integrat* OR synerg* OR combin* OR fus* OR compar* OR multi* OR mix*) AND (land use OR land cover)	397

4. Results

The 112 short-listed studies were published between 1996 and 2015 by 95 first-authors from over 90 institutions based in over 30 countries, providing a diverse sample of studies to review. Each study was given a unique ID detailed in Appendix 1, referred to in this section.

4.1. Overview of the Characteristics of Land Use or Cover Studied

All of the studies short-listed in the search were first categorized based on the target question R1, *i.e.*, what types of land use and land cover, and changes therein, were analysed, by addressing sub-questions R1.1–R1.4 as described below.

R1.1. How was land characterized or mapped: (i) as discrete classes; (ii) with continuous land properties; or (iii) both? What types of land cover and land use were studied?

The majority of studies (75 of 112 studies) described land in discrete classes (*e.g.*, as classifications), while the remainder characterized continuous properties of land surfaces. Studies exploring continuous land properties mainly looked at forest properties (*e.g.*, biomass (*e.g.*, ID 51, 53, 59, 99), forest stand height (*e.g.*, ID 109); 24 of 37 studies). Fewer studies used continuous properties to describe crop lands (*e.g.*, yields (*e.g.*, ID 27), leaf area index (*e.g.*, ID 6, 38); 9 of 37 studies) and grasslands (*e.g.*, biomass (*e.g.*, ID 65); 7 of 37 studies)

R1.2. How was land use characterized: (i) as broad land use classes (*e.g.*, crop land, forests, wetlands); (ii) as specific land use classes (*e.g.*, specific crop types, various pasture classes); (iii) with continuous variables measuring land use properties or land management and use intensity; or (iv) was it not addressed (*i.e.*, did studies address only land cover)?

Many studies (62 of 112 studies) addressed only land cover, but not land use, as defined in this review (Section 1) (Table 2). Most studies looking at land use (50 of 112 studies) used a combination of radar and optical data to distinguish specific land use classes, such as various crops (*e.g.*, ID 3, 31, 32, 35), different categories of crop land (*e.g.*, planted *versus* non-planted paddy (*e.g.*, ID 34), irrigated, rainfed or tilled crop land (*e.g.*, ID 18, 26)), permanent crop types (*e.g.*, olive groves (*e.g.*, ID 37), palm plantations (*e.g.*, ID 40), rubber (*e.g.*, ID 9), Eucalyptus (*e.g.*, ID 39)) or different types and conditions of pasture (*e.g.*, ID 20) or of logging (*e.g.*, ID 14, 42) (37 of 50 studies). Some of these classes were aimed at indicating land use intensity (*e.g.*, small-scale crop land *versus* large-scale intensive cropping (*e.g.*, ID 45)), but still operated with discrete land classes. Only a small set of studies (6 of 50 studies) mapped broad categories of land use (*e.g.*, wetlands, agriculture, urban areas and forests, without distinguishing specific land uses within each category). A handful of studies

(7 of 50 studies) characterized continuous variables related directly to land management or use intensity (e.g., frequency of harvests (ID 47)), or continuous variables not necessarily directly reflecting land use intensity (e.g., rubber tree cover fraction (ID 8), or classification of the Brazilian Cerrado with different degradation intensity (ID 4)).

Table 2. Summary of land use or land cover characterized in the studies.

Land Use/Cover and Change Characterization	Number of Studies
Broad land uses	6
Including land use/cover change	0
Specific land uses	37
Studies including change	3
Continuous properties of land use/land management/land use intensity	7
Studies including change	2
Land use not addressed (land cover only)	62
Studies including change	6
Studies characterizing change as modification	5
Studies characterizing change as conversion	1
Total	112

R1.3. Was land use/cover change characterized as: (i) conversion from one class to another; (ii) modification in a continuous variable; or (iii) was it not addressed?

Very few studies addressed aspects of land use/cover change (ID 6, 14, 17, 42, 43, 56, 65, 95, 96, 98, 104; 11 of 112 studies), and no study investigated both anthropogenic and natural environmental factors together as drivers of change. Of the three studies measuring specific land uses and performing change detection, one detected changes in agricultural areas (ID 43); one combined land use mapping with land cover changes through fire conversion (ID 42); and one detected clear-felled areas (ID 14). Overall, more studies addressed gradual changes (*i.e.*, land modifications, management or intensity changes) than land cover conversions or shifts among land use classes (7 and 4 of 11 studies, respectively).

R1.4. What types of land use/cover categories were covered by the studies?

The majority of studies (71 of 112 studies) focussed on a single type of land use/cover category, *i.e.*, either cropping, grasslands/shrublands/pastures, forests, wetlands, savannah/woodlands or urban areas, with the remaining studies included two or more categories (Figure 2). Those that focussed on a single class primarily dealt with forests (37 of 71 studies) or cropping (18 of 71 studies), while wetlands, savannahs/woodlands and urban areas were least studied (7, 2 and 1 of 71 studies, respectively). In studies that addressed land use, half (24 of 50 studies) focussed on a single class, which was mostly cropping (16 of 24 studies), while grasslands/shrublands/pastures and wetlands were less studied (1 each of 24 studies, respectively), and no studies addressed savannah/woodlands or urban land use exclusively.

Forests were the most represented form of land cover in the studies (43 of 62 studies), followed by grasslands, wetlands and savannah/woodlands (15, 12 and 9 of 62 studies, respectively). In studies that specifically analysed land use, those including cropping constituted the majority (39 of 50 studies), followed by forests and forestry (27 of 50 studies) and grasslands/shrublands/pastures (19 of 50 studies), while savannah/woodlands, wetlands and urban land use were the least addressed (3, 9 and 12 of 50 studies, respectively).

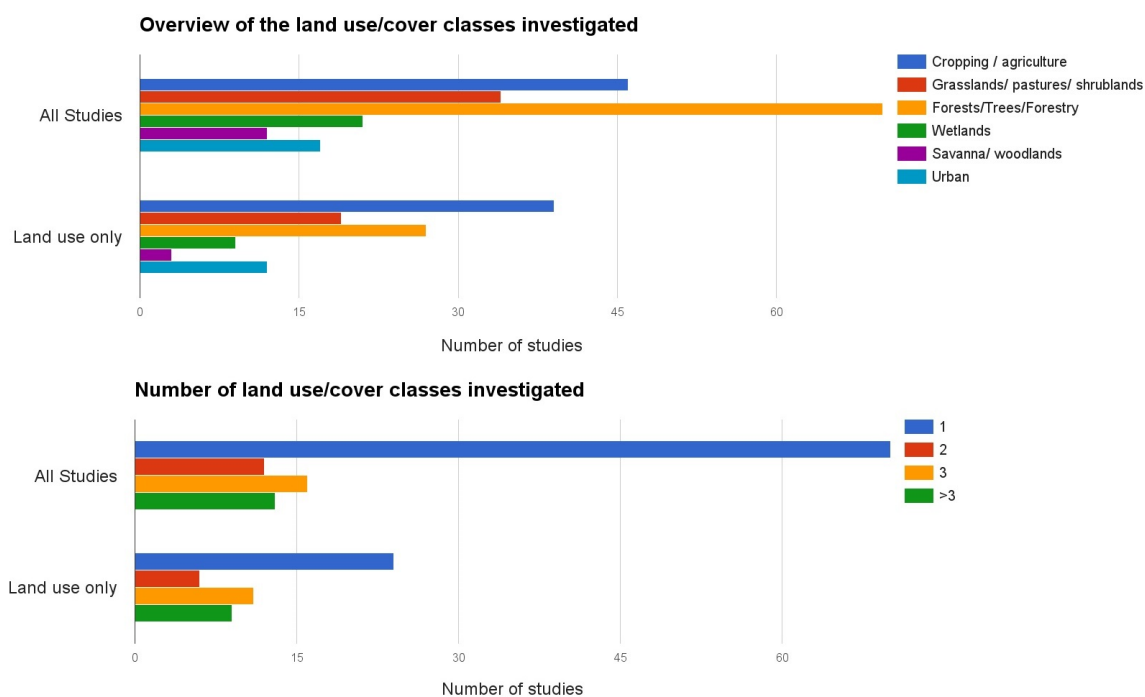


Figure 2. Summary of land use/cover classes included in the studies.

4.2. Characteristics of Studies Addressing Land Use

The 50 studies that specifically addressed aspects of land use (Table 2) were further categorized based on the target question R2, *i.e.*, what spatial scales and types of sensors were used, by addressing sub-questions R2.1–R2.2 as described below.

R2.1. Where were studies applied (geographically), and what was the spatial scale (extent, resolution) of the assessments?

Locations in Europe dominated most study-sites in articles that assessed land use (17 of 50 studies), followed by Africa and Asia (9 of 50 studies each) (Figure 3). The nationality of the institution of the first authors revealed that U.S. and German institutions produced a sizeable amount of research (11 and 5 studies, respectively), which was not restricted to only domestic sites, but also covered sites in Sudan, Kenya, Benin, Tanzania, Brazil, Ecuador, Ukraine, China and Indonesia. A number of assessments were conducted at the plot level (e.g., on individual agricultural farms) (8 of 50 studies overall), implying that the actual spatial expanse of many studies was small. Most other studies were restricted to between 300 and 3000 km² (14 of 50 studies) (Figure 4).

R2.2. What combination of sensors have been used in studies?

The most commonly-used combination of optical and radar sensors included Landsat and ALOS PALSAR, followed by Landsat and ERS, and then Landsat and RADARSAT (Figure 5). Correspondingly, most images used for land use assessments were acquired at a medium spatial resolution of 15–100 m for optical datasets and a high spatial resolution of 4–15 m for radar datasets (Table 3), and half of the studies (25 of 50) were published in 2010 or after. Note, the categorization of resolution here is arbitrary; it distinguishes typical airborne-data resolutions (e.g., ≤ 4 m) and satellite-data resolutions for radar (e.g., >4 and ≤ 15 m) from other coarser-resolution optical products (e.g., Landsat at 30 m). Only two studies, both based in China, used coarse-scale MODIS optical data for assessments, either as the sole optical data source or in combination with Landsat.

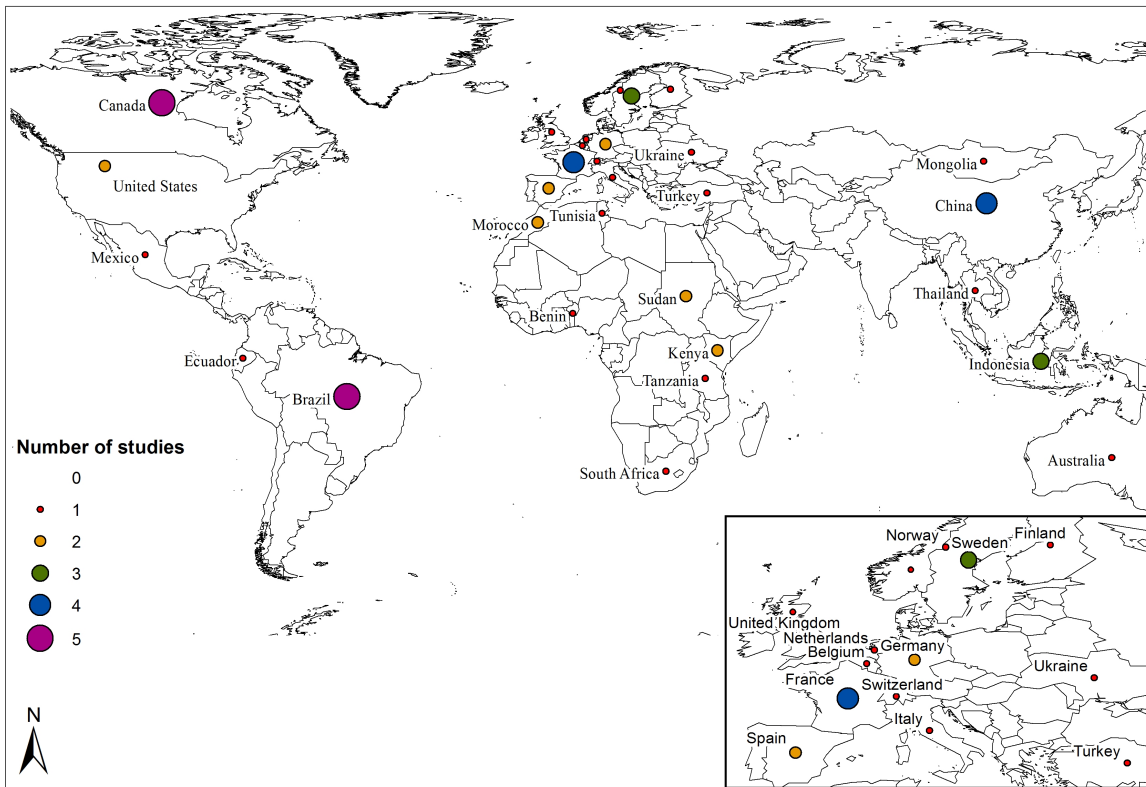


Figure 3. Locations (countries) of study sites in land use-related studies selected for analysis. Studies that covered sites located in more than one country are mapped more than once.

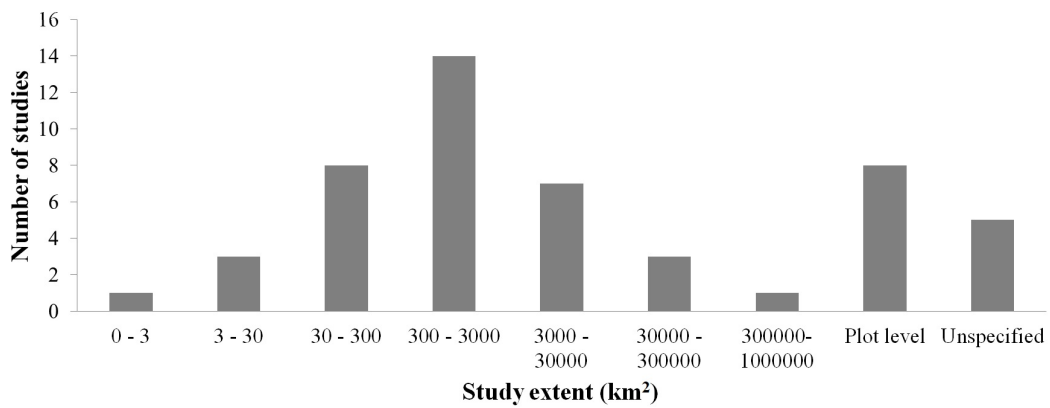


Figure 4. Spatial extent of study sites in land use-related studies selected for analysis. Total area is reported for studies that covered more than one site. Plot level refers to studies that conducted assessments on individual field plots.

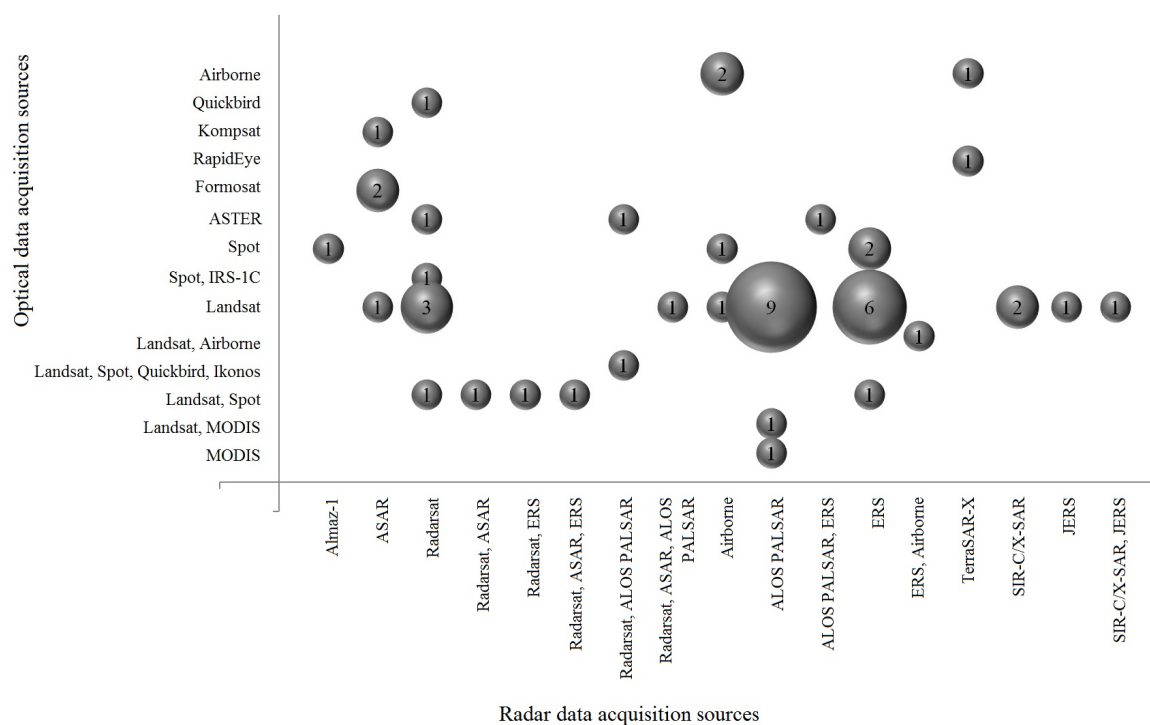


Figure 5. Optical- and radar-based sensors used in land use-related studies selected for analysis. Satellites with the same configuration and sensors (e.g., Landsat 4 and Landsat 5 or ERS-1 and ERS-2) are not distinguished.

Table 3. Spatial scale (image resolution) at which images were acquired in studies including land use assessments. For studies with multiple input data, the coarsest scale is reported. Radar image resolutions refer to ground resolution after multi-looking and projecting acquired scenes. Where studies did not specify scales, the most common scales at which images from the sensors used are acquired were assumed.

	Optical Sensor		Radar Sensor	
	Number of Studies	Study IDs	Number of Studies	Study IDs
Very high resolution (≤ 4 m)	4	(2, 19, 35, 37)	4	(13, 19, 21, 37)
High resolution (>4 and ≤ 15 m)	8	(1, 7, 13, 14, 17, 18, 22, 39)	30	(2, 3, 4, 6, 7, 10, 11, 12, 14, 16, 18, 20, 22, 26, 27, 29, 30, 31, 32, 33, 35, 36, 38, 39, 40, 41, 44, 46, 49, 50)
Medium resolution (>15 and ≤ 100 m)	36	(3, 4, 5, 6, 8, 10, 11, 12, 15, 16, 20, 21, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 36, 38, 40, 41, 42, 43, 44, 45, 46, 48, 49, 50)	16	(1, 5, 8, 9, 15, 17, 23, 24, 25, 28, 34, 42, 43, 45, 47, 48)
Coarse resolution (>100 m)	2	(9, 47)	0	

4.3. Specifications of Analyses in Studies Addressing Land Use

An analysis of the methods used for optical and radar data integration in the 50 studies that specifically addressed aspects of land use (Table 2) was then conducted to answer R3, in sub-questions R3.1–R3.2, as described below.

R3.1. What methods were applied to integrate and analyse data, and did they rely on single or multi-temporal data?

The range of methods used to integrate optical and radar data was vast. Most studies (28 of 50 studies) analysed the data using traditional classification methods (e.g., Gaussian maximum likelihood classification (MLC), principle component analysis (PCA)). The second most common approach used machine learning techniques (e.g., artificial neural networks, support vector machines), and fewer studies used a knowledge-based, manually-defined decision tree-type method (DT), often to hierarchically combine other classification outputs. Many studies used a variety of combinations of such techniques for optical- or SAR-only image classification (e.g., unsupervised clustering, complex Wishart classification, random forest classifier *etc.*) and data fusion (e.g., maximum likelihood followed by the iterated conditional modes classifier, k-nearest neighbour algorithm, Dempster–Shafer theory, neural networks *etc.*). However, very few (ID 1, 12, 29, 30; 4 of 50 studies) test the impact of different data fusion techniques on their outputs. In study ID 1, wavelet-based fusion techniques were found to perform better than multiplicative methods, Brovey transform, PCA, Gram–Schmidt fusion and Ehlers fusion. In study ID 29, it was concluded that nonparametric algorithms, such as classification tree analysis, have the potential to provide better results than MLC. In study ID 30, it was found that MLC and DT classifiers on fused optical-radar datasets generally provided comparable classification accuracies. Finally, 7 studies did not perform classification and instead mapped continuous variables depicting various land properties (Table 4).

Table 4. Summary of methods used in land use-related studies.

Classification Method	Number of Studies	Study IDs
Traditional	28	(1, 2, 3, 5, 11, 14, 15, 20, 22, 23, 25, 26, 28, 29, 30, 31, 32, 33, 34, 35, 36, 40, 41, 42, 43, 44, 48, 49)
Machine learning	17	(2, 4, 9, 10, 12, 13, 19, 20, 24, 29, 30, 32, 33, 37, 45, 46, 50)
Knowledge-based/decision tree	10	(1, 2, 8, 16, 17, 32, 34, 42, 44, 47)
Not based on common classification methods (e.g., regression analysis is used to produce continuous output variable)	7	(6, 7, 18, 21, 27, 38, 39)

The majority of studies (36 of 50 studies) analysed imagery at the pixel level, *i.e.*, their classification or regression analysis was performed with pixels as the input. A further 10 studies used pixels as the units of analysis, but included information from the wider neighbourhood to assist the algorithm, normally textural information from a surrounding window most often captured from radar (e.g., grey-level co-occurrence matrix measurements (e.g., ID 39, 40)). Finally, 15 studies segmented land into different objects or conducted analysis using statistics within discrete land boundaries (e.g., mean variable value within agricultural field boundaries (ID 38)) and were therefore regarded as analysed at the segment level (Table 5). Most studies simply extracted multi-spectral reflectance values or spectral signatures from optical data and polarized backscatter coefficients from radar data. Studies also often extracted phenological indices, such as leaf area index, fraction of vegetation cover, enhanced vegetation index, NDVI and land surface water index (e.g., ID 2, 6, 7, 9, 10, 18, 38), and various band ratios and differences, such as near infrared/green or near infrared/red edge (e.g., ID 13), from optical data. Further, few studies tested extracting information from multi-polarized backscatter ratios and polarimetric decomposition of radar data (e.g., Freeman–Durden and Cloude–Pottier decomposition (ID 10)).

There was a roughly even split between studies that performed analysis on data from a single time period and those that used multi-temporal data (23 *vs.* 27 studies). However, only 5 of the studies with multitemporal data used this information for change detection; for the vast majority of the 27 multi-temporal studies, information was used to assist in creating a mono-temporal output (Table 6).

Table 5. Scale at which fusion analysis is conducted in land use-related studies.

Scale of Analysis	Number of Studies	Study IDs
Pixel-level	36	(1, 5, 6, 7, 8, 9, 10, 12, 13, 16, 18, 20, 21, 22, 23, 24, 25, 27, 28, 29, 30, 32, 33, 34, 35, 36, 38, 40, 41, 42, 43, 44, 47, 48, 49, 50)
Neighbourhood (e.g., texture windows)	10	(15, 16, 22, 24, 25, 29, 39, 40, 41, 50)
Segment-level	15	(2, 3, 4, 11, 13, 14, 17, 19, 26, 29, 31, 37, 38, 45, 46)

Table 6. Summary of whether analyses are conducted on static or multi-temporal data in land use-related studies.

Temporal Frequency	Number of Studies	Study IDs
Static	23	(1, 2, 4, 7, 9, 12, 15, 16, 19, 20, 21, 22, 23, 24, 25, 29, 36, 37, 39, 40, 41, 44, 48)
Multi-temporal	27	(3, 5, 6, 8, 10, 11, 13, 14, 17, 18, 26, 27, 28, 30, 31, 32, 33, 34, 35, 38, 42, 43, 45, 46, 47, 49, 50)
Studies that also perform change detection	5	(6, 14, 17, 42, 43)

Most studies (37 of 50 studies) integrated optical and radar before classification or modelling, thus letting all information from the input data influence the results, while 16 studies performed a post-classification or post-modelling fusion (Table 7).

Table 7. Summary of the analysis step at which data fusion is performed in land use-related studies.

Integration Step	Number of Studies	Study IDs
Pre-classification or -modelling: fusion of input data	37	(1, 3, 4, 7, 10, 11, 13, 14, 15, 16, 17, 18, 21, 22, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 35, 36, 37, 38, 39, 40, 41, 44, 45, 46, 47, 48, 50)
Post-classification or -modelling: fusion of derived information	16	(2, 5, 6, 8, 9, 12, 19, 20, 23, 28, 34, 37, 42, 43, 44, 49)
Performed at multiple or different steps of data processing	3	(28, 37, 44)

R3.2. Do the studies conclude that data fusion improved results?

Only 32 of the 50 studies directly assessed whether results (*i.e.*, whether classification or continuous output variables) were improved by fusing optical and radar data, compared to using one or the other data source alone. Of these, 28 found that fusing data sources improved results, compared to 4, which concluded that fused results were identical to or worse than results using just one of the data sources (Table 8).

Although the evaluation of the accuracy of land use and land cover products is important, accuracy assessments cannot be directly compared in this review. Besides the performance of the chosen analyses methods, it was found that studies' results were affected by several differing factors, *e.g.*, land use and land cover types assessed, the quality of the training data and availability of the input imagery, *e.g.*, [56,99,100], as well as the topography and other geographical properties of the study areas. Moreover, accuracy assessments depended heavily on the chosen validation data;

while some studies used field data, others were based on visual interpretation of high-resolution images, e.g., provided by Google Earth or IKONOS. More generally, assessing accuracy is challenging in the context of LUCC studies, where information on sampling designs, error matrices and accuracy measures, such as user's, producer's and overall accuracy, are not consistently and clearly reported [101,102], and there is still disagreement on reliable indices for measuring accuracy [103,104]. Given these complexities and discrepancies, a comparison of accuracy measures reported in the studies was challenging and out of the scope of our review.

Table 8. Summary of the conclusions on whether data integration improves results in land use-related studies.

Conclusion	Number of Studies	Study IDs
Fusion offers an improvement on a single data type	28	(3, 5, 7, 10, 11, 13, 15, 17, 19, 21, 22, 24, 26, 28, 29, 30, 33, 36, 37, 40, 41, 42, 43, 44, 45, 48, 49, 50)
Fusion results are no different or worse than using a single data type	4	(4, 31, 38, 39)
Not compared in sufficient detail	18	(1, 2, 6, 8, 9, 12, 14, 16, 18, 20, 23, 25, 27, 32, 34, 35, 46, 47)

5. Discussion

With increasing availability of optical and radar remote sensing data, research on exploiting the complementarity of the information they provide to study land properties is gaining considerable pace. Besides broadly mapping land cover and land use, recent studies indicate that enhanced information specifically related to land use and the changes therein, which often manifest as only subtle spectral or structural differences on land [30], are detectable with data fusion. Our Web of Science search on such studies revealed that 50 of 112 studies fused radar and optical data for land use assessments, while the rest fused data for land cover assessments. A large majority of these studies (28 of 32 studies, which adequately assessed the benefits of fusion) concludes that the accuracy of fused products exceeds those based on single data sources. Although promising, we advise caution that primarily successful and positive results with new methodologies tend to be published, potentially biasing our review in the favour of data fusion. Nevertheless, given the rapid advancement and interest in the field of radar and optical data fusion, and the considerable methodological challenges to combining datasets from sensors that operate on different physical principles, a meta-analysis and systematic review on this subject is timely and crucial for further research.

Contrary to our expectations, the majority of studies that fused radar and optical data restricted analyses to land cover properties as opposed to land use (Table 2). Further, while a number of land use-related studies utilized multi-temporal data (Table 6), it was found that their analyses generally focussed on improving mono-temporal land classifications rather than change detection. This indicates that the move beyond traditionally mapping broad land cover and land use classes (e.g., forest, urban, crop land, *etc.*), towards extracting and mapping more enhanced land properties that link directly to anthropogenic usage and changes, is yet to be widely implemented in the science of integrating remote sensing products. Several reasons for this can be hypothesized: (1) the field of data fusion is dominated by scholars traditionally focusing on land cover mapping, primarily seeking to test and improve results by fusing data; (2) land use mapping is challenging despite the wealth of remote sensing data available due to the uncertainties inherent in the data sources (e.g., poor spatial resolution, radar speckle, *etc.*); or (3) broader challenges in analysing and measuring land use (e.g., definitional issues and knowledge gaps in levels and patterns of use) generally limit the ability to understand and characterise land use dynamics [14]. Collecting systematic

and multi-temporal ground data for calibration and validation of metrics that represent land use (e.g., management strategies, yields or usage cycles) is significantly more challenging than obtaining broad land cover/use properties and a further limitation to land use assessments [30,31].

The lack of studies addressing land use changes may also be attributed to inconsistencies in the spatial and temporal coverage of radar and optical data, and the overall difficulty in acquiring medium-to-long-wavelength SAR (C- or L-band) with sufficient spatial coverage for large-scale studies. The reviewed articles revealed that only spatially small regions (often just the size of a few plots or agricultural fields) across the globe are being studied, with no comparison of methodologies or results across these sites. Moreover, with case studies being conducted primarily in Europe, other highly dynamic areas susceptible to LUCC (e.g., southeast Asia and sub-Saharan Africa [3]) risk remaining understudied if sufficient coverage of remote sensing products is unavailable. Until 2005, studies predominantly used the only available C-band RADARSAT-1 and ERS-1 and -2 satellite data or airborne data. Following the launch of ALOS PALSAR (24 January 2006), L-band satellite data were used for fusion in studies published after 2008. Since then, most commonly in combination with the global and freely-available Landsat series (Figure 5), ALOS PALSAR data have been used for land use assessments in sites across Brazil, Canada, the USA, Spain, Kenya, Sudan, Thailand, Mongolia and China, over an average study area of >9000 km². Recently launched SAR sensors (e.g., Sentinel-1 and ALOS-2 PALSAR-2) and those proposed or to be launched soon (e.g., P-band (wavelength ~70 cm) BIOMASS, L-band SAOCOM (Satellites for Observation and Communications), Tandem-L and NISAR (NASA-ISRO Synthetic Aperture Radar)) hold enormous potential to expand on such studies, given their higher acquisition frequency and global acquisition strategies.

The methodological differences in the analyses conducted in the reviewed studies were vast, revealing no particular rationale explaining the stage at which fusion between radar and optical datasets was performed (data level or decision level [49]) or in the inputs and types of classification techniques utilized, for the target aims of the reviewed studies. For example, pre-classification fusion followed by using pixels as input in traditional classification methods (e.g., Gaussian MLC, PCA) dominated, irrespective of the themes (e.g., forestry, cropping, wetlands, *etc.*) addressed and the sensors used in analyses. This indicates that a systematic toolbox of reliable, replicable and spatially-scalable methods of fusing radar and optical data tailored to specific land use assessments (e.g., crop type or logging intensity assessments) and land use changes is lacking in the current literature and is an urgent requirement for future research. In addition, only a handful of reviewed studies assessing land use (four of 50) compared different fusion methodologies in the same study sites, concluding that machine learning or knowledge-based/DT techniques provided comparable or significantly better results than MLC techniques. More studies that evaluate the merits of different processing and classification approaches are urgently needed to guide further research in this field. Another major concern for the widely-used pixel-level analyses of SAR images, particularly for capturing changes in continuous land properties (Figure 1), is speckle. The reduction of speckle may require pre-analysis spatial filtering, often compromising the resolution of outputs. Similarly, multi-temporal filtering can mitigate speckle with minimal loss of radiometric accuracy and spatial resolution of single channels [95,105,106], allowing detection of fine-scaled abrupt changes, but masking more subtle changes (e.g., increased logging frequency or land use intensity). Speckle reduction over areas potentially undergoing very gradual changes over time is a topic that remains largely understudied.

Despite the differences in methodological approaches of the reviewed studies, a large proportion (Table 8) confirmed that the fusion of radar and optical data is beneficial for land use assessments. The themes covered and sensors used in the four studies that concluded no improvement upon data fusion were varied, ranging from mapping crop lands, degraded savannah and forests and using Landsat, ASTER, IRS-1C, SPOT, ALOS PALSAR, RADARSAT and ERS. Three of these studies (ID 4, 31, 38) used data from segmented land boundaries during analysis (done by only 15 of the whole sample of 50 land use-related studies, Table 5), performed fusion prior to

data analysis (Table 7) and mapped continuous land use variables. In contrast, eight other studies also performed segmentation and fused data prior to classification (ID 3, 11, 13, 17, 26, 29, 37, 45) and found an improvement in results using data fusion. Although this hints that the advantages of fusing radar and optical data are less likely to be expressed when mapping continuous land use properties with fusion at the pixel level or that segment level analysis may not always gain from fusion, the results of the studies must be further interpreted with caution; study ID 4 reports that although SAR attributes did not improve segmentation of savannah physiognomies (e.g., degraded transition zones), land cover was classified more accurately using both optical and radar data by some statistical metrics; study ID 31 reports that multi-date RADARSAT-1 imagery performed equally well as integrated RADARSAT-1 and IRS-1C to classify crop types, and the acquisition dates of the SPOT imagery used were not ideal to detect crop reflectance differences; study ID 38 reports improvement using fusion in predicting daily net ecosystem exchange in some study sites, but overall improvement in all study sites using radar data alone. This reinforces the idea that both optical and radar data are indeed able to provide useful synergistic information, but there is a need to explore methods and set guidelines for imagery suitable for studying specific themes and aspects of land uses. For this, studies must continue to test multiple methods and data sources within the same sites, as well as attempt to explain if results differ by testing them against truly independent datasets.

Although this review focussed on the fusion of optical and radar remote sensing data alone, other space and airborne technologies, including hyperspectral imaging and light detection and ranging (LiDAR), also bring a rich and powerful database of products that may be used for LUCC, e.g., [107–111]. Combining these with radar and optical datasets can potentially be a major step ahead in the field of land use science. However, such integration methods are either still in infancy or entirely untested, mostly due to the lack, or cost, of remote sensing and ground data for training and validation. In this context, the Landsat series serves as a paramount example of the need to continue the launch of satellites, or airborne surveys, with near-identical sensors, so that multi-decadal time series of complementary data exist; it is the most widely used product for LUCC assessments because of its long period of consistent acquisitions over eight satellites and four decades. Similarly, the very recent addition of Sentinel constellations will provide long-term systematic and consistent data of indispensable value for LUCC analyses [112]. Scientific institutions and policy makers must urge further such approaches towards data acquisition in order to meet the urgent need for continuous and decadal-scale information on global land use.

It is evident that the full potential of optical and radar fusion to examine land use and the subtle changes therein has not yet been explored, despite an increasing availability of data and the urgent need for information on this critical aspect of global environmental change. A few research priorities and recommendations on the way ahead emerge from this review:

- A transition in the science and application of fused remote sensing products, from traditional mapping of broad land cover or use classes to mapping the subtle intricacies of land use management or intensity and the changes therein, is urgently required in support of understanding and accurately quantifying global land use. Future research must be focussed on mapping, for example, land management aspects of cropping cycles, forest harvesting frequencies, paddy and irrigation agriculture, pasture and silvopasture classifications, shrub encroachment on grazing land, *etc.* Studies must be aimed at similar major global land use transitions, evaluating the most effective spatial scales and methods to fuse optical and radar data using comparable metrics of accuracy.
- In a methodological context, we urge future research to focus on the development of robust optical and radar data fusion techniques, including techniques that test how frequent time series and datasets of varying spatial resolution may be meaningfully merged with minimal information loss. The results of integrating datasets that differ fundamentally in the information they provide must be tested within the same study sites and within the same land use theme

and be clearly reported as such in future studies. This research will fill a gap in understanding the discord between the chosen methodologies and their accuracies in the current literature.

- Similarly, as studies are implemented across various geographical regions and themes, systematic and standardized procedures for assessing the benefits of fusing data sources need to be established. This calls for a standardization of procedures to document accuracy estimates, including uncertainty propagation applicable to the chosen methods of fusion.
- To demonstrate the feasibility of fused datasets to map and monitor global-scale land use change processes, there is an urgent need for studies to be implemented over larger spatial scales (national to continental level) compared to those in the current literature and to be supported with efficient means of data storage and computational processing. Such research will be able to identify the challenges to implementing data integration more clearly, as well as provide a better characterization of large-scale patterns of land use changes and their impacts on climate.
- In support of future airborne and satellite missions aimed at land monitoring, a permanent set of ground-based sites that are frequently monitored for calibration and validation purposes is crucial. Current research is often based on opportunistic availability of data, hence carrying a large variability in ground measurements and resulting in the incomparability of the results between studies. Permanent ground-based measurements will enable more reliable and robust accounts of whether data integration is beneficial, as well as support validating results with datasets that are truly independent from training data.

6. Conclusions

This study reviewed the utility of integrating optical and radar remote sensing data, which together combine unique spectral and structural characteristics of land surfaces, for mapping land use and the subtle intricacies of changes in land use management and land use intensity. The key conclusions can be summarized in three points. First, the majority of studies focussed on characterising land cover properties (62 of 112 studies), rather than anthropogenic land uses (50 of 112 studies). Although more than half of the studies that addressed land use utilized multi-temporal data (27 of 50 studies), only a handful (five of 50 studies) attempted to map changes in land use. Only 32 of 50 studies adequately assessed the advantages of data fusion, and the vast majority (28 of 32 studies) revealed that data fusion provided results with higher accuracy than using either of the datasets individually. Second, studies that addressed land use were conducted predominantly in Europe (17 of 50 studies) and typically over small regions of 300–3000 km², with a lack of comparison of fusion techniques across these regions. The themes most commonly studied included cropping, forests/forestry or grasslands/shrublands/pastures; however, there was a lack of frameworks on how to integrate optical and radar datasets for each theme and little information on what land use types the integration would be most effective. Finally, studies that addressed land use most commonly used a methodology that included pre-classification fusion, followed by pixel-level inputs in traditional classification algorithms (e.g., Gaussian MLC, PCA). However, as this field of research is evolving, a plethora of other methods was used often without concrete justifications as to their benefits and without adequate comparisons of different methodologies and their influence on the results. Similarly, accuracies across studies could not be compared due to the vast differences in the datasets and methods used for this purpose.

In conclusion, progress in the field of fusing optical and radar remote sensing data for land use assessments requires the development of: (i) more approaches to map the subtle intricacies of land use management or intensity and the changes therein, rather than only broad land cover or use classifications; (ii) robust techniques to fuse optical and radar data across different ranges of temporal and spatial resolutions, tested over the same study regions and within the same land use themes to ease the comparability of results; (iii) systematic and standardized procedures for assessing the accuracy and benefits of fusing data sources; and (iv) studies conducted over larger spatial scales, supported by efficient computational processing capacity and permanent ground-based sites for

calibration and validation. These advancements are crucial to quantify global land use and land cover transitions, hence addressing a critical aspect of global environmental change with the best available remote sensing datasets.

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