


## Article

# Vacuum Electrodeposition of Cu(In, Ga)Se<sub>2</sub> Thin Films and Controlling the Ga Incorporation Route

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**Abstract:** The traditional electrochemical deposition process used to prepare Cu(In, Ga)Se<sub>2</sub> (CIGS) thin films has inherent flaws, such as the tendency to produce low-conductivity Ga<sub>2</sub>O<sub>3</sub> phase and internal defects. In this article, CIGS thin films were prepared under vacuum (3 kPa), and the mechanism of vacuum electrodeposition CIGS was illustrated. The route of Ga incorporation into the thin films could be controlled in a vacuum environment via inhibiting pH changes at the cathode region. Through the incorporation of a low-conductivity secondary phase, Ga<sub>2</sub>O<sub>3</sub> was inhibited at 3 kPa, as shown by Raman and X-ray photoelectron spectroscopy. The preparation process used a higher current density and a lower diffusion impedance and charge transfer impedance. The films that were produced had larger particle sizes.

**Keywords:** vacuum electrodeposition; Cu(In, Ga)Se<sub>2</sub> thin films; electrodeposition mechanism; Ga incorporation; Gallium Oxide



**Citation:** Hou, K.; Liu, G.; Yang, J.; Wang, W.; Xia, L.; Zhang, J.; Xu, B.; Yang, B. Vacuum Electrodeposition of Cu(In, Ga)Se<sub>2</sub> Thin Films and Controlling the Ga Incorporation Route. *Crystals* **2023**, *13*, 319. <https://doi.org/10.3390/cryst13020319>

Academic Editors: Stefano Carli and Giuseppe Prestopino

Received: 9 January 2023

Revised: 7 February 2023

Accepted: 10 February 2023

Published: 15 February 2023



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## 1. Introduction

CIGS thin films are one of the most promising photovoltaic materials for second-generation solar cells [1]. They are composite semiconductor materials with chalcopyrite structures [2] with band gaps of 1.0 eV to 1.7 eV [3]. Co-evaporation [4], magnetron sputtering [5], spray pyrolysis [6], solution gel method [7], and electrodeposition [8] are the main methods to prepare CIGS thin films.

Electrodeposition has the advantages of a low preparation cost, high efficiency, large area, and continuous preparation and is likely to be used in future industrialized production methods [9]. However, it has some inherent flaws when used to prepare metal materials. For example, hydrogen is easily generated on the cathode surface and is difficult to remove and the deposition rate can be slow [10,11].

Generally, a vacuum environment induces rapid off-gassing [12] and lower oxidation and contamination [13]. Based on these properties, researchers have conducted studies on the electrodeposition of metal thin films in a vacuum environment. In the 1940s, RCA Company [14] electrodeposited Fe and Mn in a vacuum environment and found that hydrogen quickly escaped from the films, and the oxidation of the film was avoided. This resulted in the preparation of smooth and bright metal films. In 1984, E Muttillainen et al. [15] electrodeposited Cr in a vacuum environment and found that a low-pressure environment increased the current efficiency and reduced the porosity and roughness of the coating. They concluded that low pressure was one of the most important factors affecting the quality of the coating. In a following study, S. E. Nam [16,17],

R. Su [18], and P. Ming et al. [19,20] prepared metal thin films with excellent properties using vacuum electrodeposition.

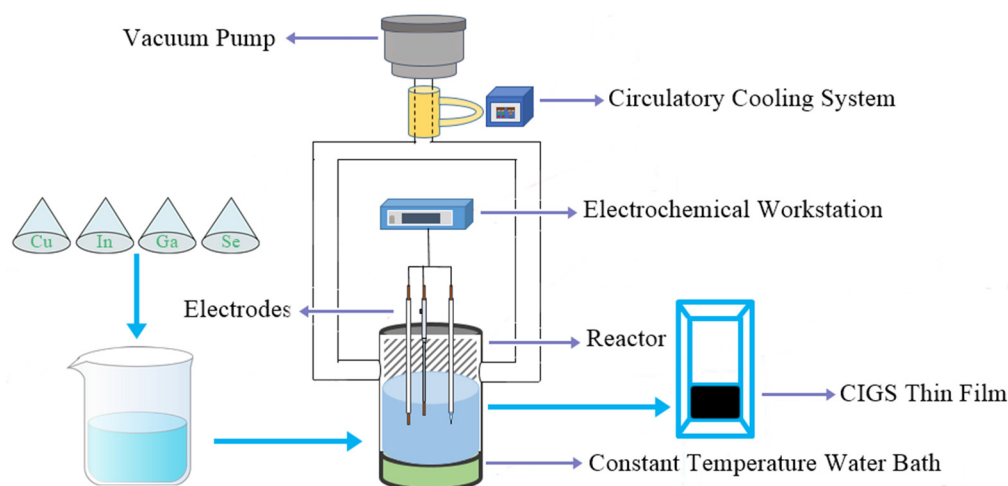
In the application of electrodeposition to prepare CIGS thin films, the  $\text{Ga}_2\text{O}_3$  phase is also easily generated, along with the generation of hydrogen on the cathode surface [21,22]. The entry of  $\text{Ga}_2\text{O}_3$  into the films can decrease the conductivity [23,24]. Therefore, in this article, electrodeposition was applied to prepare CIGS thin films in a vacuum environment. The effects of the vacuum environment on the deposition process and the composition, morphology, and phases in the CIGS thin films were studied.

## 2. Materials and Methods

The electrolyte solution contained 200 mL deionized water with 4 mM  $\text{CuCl}_2$ , 10 mM  $\text{InCl}_3$ , 10 mM  $\text{GaCl}_3$ , 8 mM  $\text{H}_2\text{SeO}_3$ , and a supporting electrolyte (100 mM  $\text{LiCl}$ , 100 mM  $\text{NH}_4\text{Cl}$ , and 60 mM  $\text{NH}_2\text{SO}_3\text{H}$ ). The pH of the solution was adjusted to 1.8 by adding concentrated hydrochloric acid dropwise.

Electrochemical experiments, including linear scanning voltammetry (LSV), potentiostatic polarization, electrochemical impedance spectroscopy (EIS), and electrodeposition were carried out on CIGS thin films in a three-electrode system with a  $\text{SnO}_2/\text{glass}$  ( $1 \times 1.5$  cm) as the working electrode, a Pt electrode as the counter electrode, and a saturated calomel electrode (SCE) as the reference electrode.

A CorrTest CH310H electrochemical workstation was used for the LSV, potentiostatic polarization, EIS, and electrodeposition CIGS thin film studies. The LSV curves were measured at potentials between 0.1 V to  $-1.0$  V (vs. SCE) with a scanning rate of 10 mV/s. The potentiostatic polarization curves were measured at a potential of  $-0.60$  V (vs. SCE) and a polarization time of 30 min. EIS was performed at a potential of  $-0.60$  V (vs. SCE) with a test frequency range of 0.01 Hz to 100 kHz. On electrodeposited CIGS thin films, the potential range was  $-0.1$  V to  $-0.9$  V (vs. SCE), and the polarization time was 30 min. When the experiment was carried out at 3 kPa, a vacuum pump was used to extract the air from the experimental system for 30 min. Figure 1 illustrates the electrodeposition process of CIGS thin films.



**Figure 1.** A schematic of the electrodeposition CIGS thin films system.

Scanning electron microscopy (SEM, TM3030Plus, Hitachi, Tokyo, Japan) was used to measure the morphology of CIGS thin films, and energy-dispersive spectroscopy (EDS, TM3030Plus, Hitachi, Tokyo, Japan) was utilized to characterize the elemental composition of the CIGS thin films. X-ray diffraction (XRD, Rigaku Ultima IV, Tokyo, Japan), Raman spectroscopy (Raman, DXRxi, Thermo Scientific, MA, USA), and X-ray photoelectron spectroscopy (XPS, Escalab Xi<sup>+</sup>, Thermo Scientific, MA, USA) were used to characterize the phases in the CIGS thin films.

### 3. Results and Discussion

Figure 2 illustrates a schematic of how the vacuum environment affects the electrochemical behavior of  $\text{Ga}^{3+}$ . In the cathode region, the vacuum environment inhibited the Volmer reaction of  $\text{H}^+$  to a hydrogen atom ( $\text{H}_{\text{ads}}$ ), leading to lower  $\text{H}^+$  consumption on the cathode surface. In the anode region, the oxygen evolution reaction (OER) of  $\text{H}_2\text{O}$  to  $\text{O}_2$  was promoted, which produced additional  $\text{O}_2$ . At the same time, the rapid release of  $\text{O}_2$  bubbles agitated the electrolyte solution, which led to a uniform  $\text{H}^+$  distribution in the electrolyte solution. Thus, the inhibition of the Volmer reaction and the promotion of the OER together stabilized the pH of the cathode region. This finally interrupted the route of  $\text{Ga}^{3+}$  entering the films in the form of  $\text{Ga}_2\text{O}_3$  by the hydrolytic reaction of  $\text{Ga}^{3+}$ .

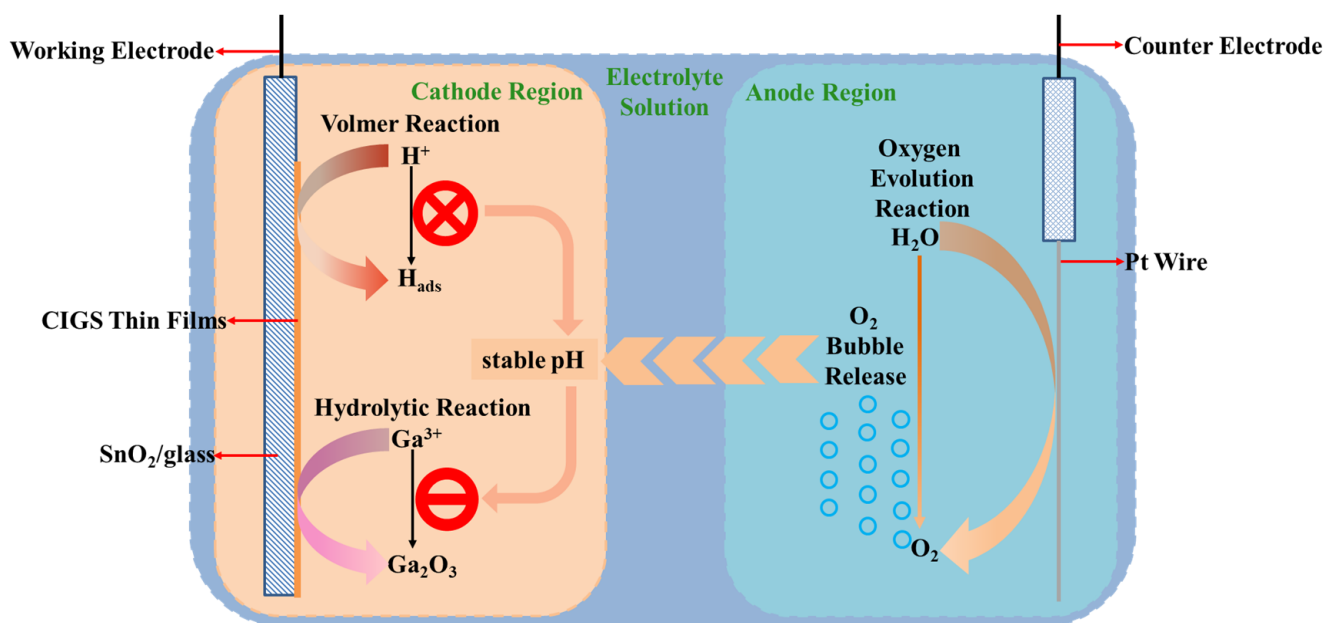


Figure 2. Schematic illustration for the inhibition of  $\text{Ga}^{3+}$  hydrolysis in 3 kPa.

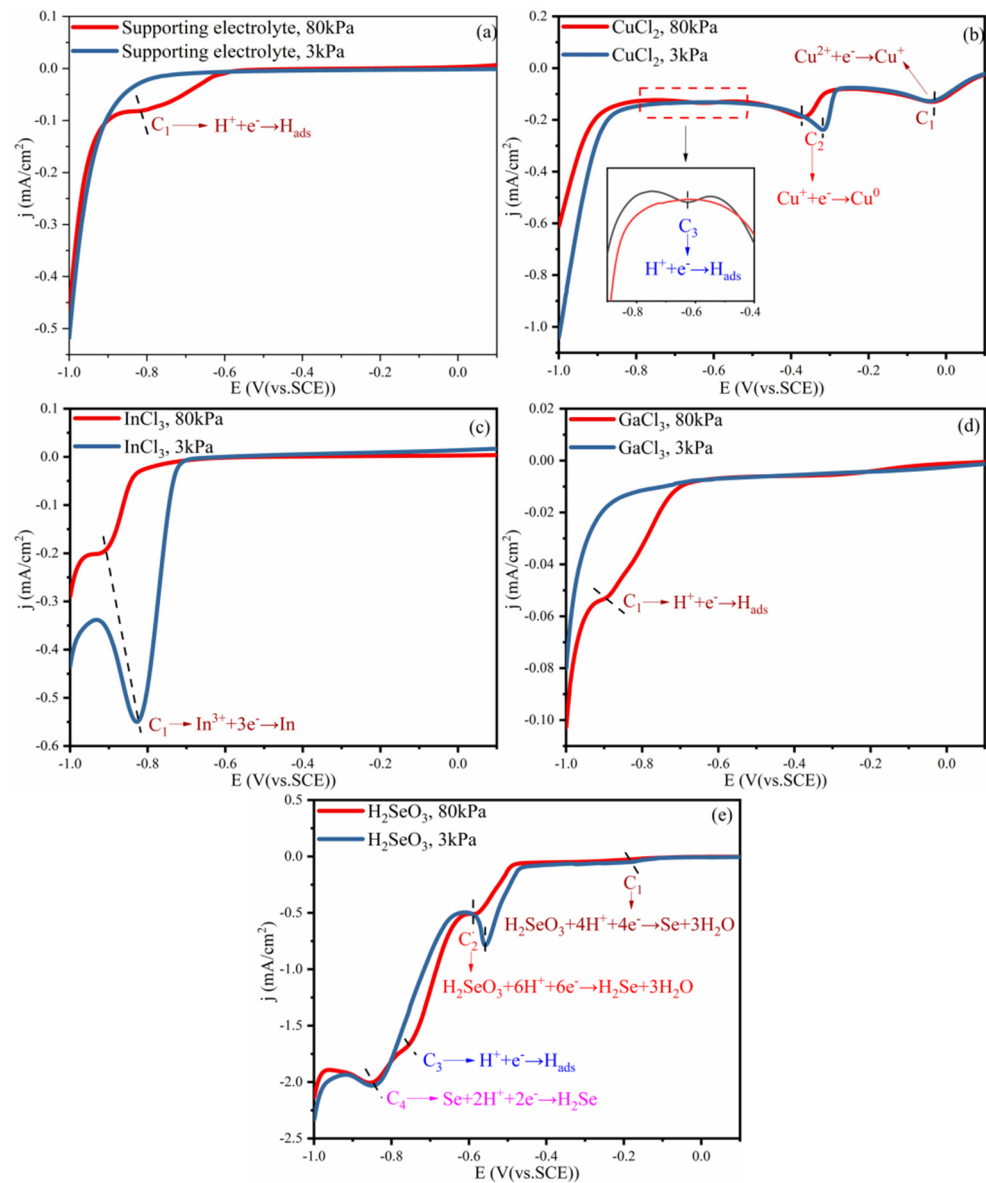
#### 3.1. LSV Analyses

Figure 3a illustrates the effects of the vacuum environment on the hydrogen evolution potentials. Evidence of an inhibited reaction of  $\text{H}^+$  to  $\text{H}_{\text{ads}}$  was found in a vacuum environment. Peak  $\text{C}_1$  corresponding to the reaction of  $\text{H}^+$  to  $\text{H}_{\text{ads}}$  (Equation (1)) did not appear at 3 kPa, possibly due to a decrease in the  $\text{H}_2$  partial pressure. Because of the reduced partial pressure of  $\text{H}_2$ , the overpotential of  $\text{H}^+/\text{H}_{\text{ads}}$  on the electrode surface was increased. This phenomenon has also been observed on Au and Pt electrodes [25,26].



Figure 3b illustrates the LSV curve of the Cu unary solution at 3 kPa. Peaks  $\text{C}_1$ ,  $\text{C}_2$ , and  $\text{C}_3$  corresponded to the reaction of  $\text{Cu}^{2+}$  to  $\text{Cu}^+$  (Equation (2)),  $\text{Cu}^+$  to  $\text{Cu}$  (Equation (3)), and  $\text{H}^+$  to  $\text{H}_{\text{ads}}$  (Equation (1)), respectively [27]. Peak  $\text{C}_1$  was indistinguishable at 80 kPa and 3 kPa, indicating that pressure did not affect the reaction of  $\text{Cu}^{2+}$  to  $\text{Cu}^+$ . Peak  $\text{C}_2$  was reduced at 3 kPa, indicating that the formation of  $\text{Cu}$  was promoted in a low-pressure environment. Peak  $\text{C}_3$  did not appear at 3 kPa, indicating that the reaction of  $\text{H}^+$  to  $\text{H}_{\text{ads}}$  was inhibited in a vacuum environment.





**Figure 3.** The LSV curves in the (a) supporting electrolyte, (b) 4 mM  $CuCl_2$ , (c) 10 mM  $InCl_3$ , (d) 10 mM  $GaCl_3$ , and (e) 8 mM  $H_2SeO_3$  unary solutions at 3 kPa.

Figure 3c illustrates the LSV curve of the In unary solution at 3 kPa. Peak  $C_1$  corresponded to the reaction of  $In^{3+}$  to In (Equation (4)) [28]. This peak was reduced at 3 kPa, showing that the formation of In was promoted in a vacuum environment.



Figure 3d illustrates the LSV curve of the Ga unary solution at 3 kPa. Peak  $C_1$  corresponds to the reaction of  $H^+$  to  $H_{ads}$  [27] (Equation (1)). This peak did not appear at 3 kPa, indicating that the reaction of  $H^+$  to  $H_{ads}$  was inhibited in a vacuum environment. The reaction of  $Ga^{3+}$  to Ga did not occur within the range of 0.1 V to  $-1.0$  V because the reaction required a higher potential [24].

Figure 3e illustrates the LSV curve of the Se unary solution at 3 kPa. Peaks  $C_1$ ,  $C_2$ ,  $C_3$ , and  $C_4$  corresponded to the reactions of  $H_2SeO_3$  to Se (Equation (5)),  $H_2SeO_3$  to  $H_2Se$  (Equation (6)),  $H^+$  to  $H_{ads}$  (Equation (1)), and Se to  $H_2Se$  (Equation (7)), respectively [27]. The current densities of peaks  $C_1$ ,  $C_2$ , and  $C_4$  were greater at 3 kPa, indicating that the

formation of H<sub>2</sub>Se and Se was promoted. Peak C<sub>3</sub> did not appear at 3 kPa, indicating that the reaction of H<sup>+</sup> to H<sub>ads</sub> was inhibited in a vacuum environment.

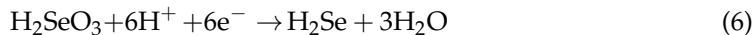
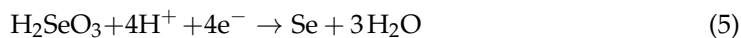


Figure 4a illustrates the LSV curves of Cu-Se binary solutions at 3 kPa. Peaks C<sub>1</sub> and C<sub>2</sub> corresponded to the formation of Cu<sup>+</sup> and Se, respectively (Equations (2) and (5)). Peaks C<sub>3</sub> and C<sub>4</sub> corresponded to the reaction of H<sub>2</sub>SeO<sub>3</sub> to H<sub>2</sub>Se and Se to H<sub>2</sub>Se, respectively (Equations (6) and (7)). Since the solution contained both Cu<sup>+</sup> and Cu<sup>2+</sup>, the two ions were induced by H<sub>2</sub>SeO<sub>3</sub> or H<sub>2</sub>Se to form Cu<sub>2</sub>Se or CuSe, respectively [26] (denoted as Cu<sub>3</sub>Se<sub>2</sub> phase) (Equations (8)–(11)). The current densities of peaks C<sub>3</sub> and C<sub>4</sub> were greater at 3 kPa, indicating that the formation of Cu<sub>3</sub>Se<sub>2</sub> was promoted in a vacuum environment.

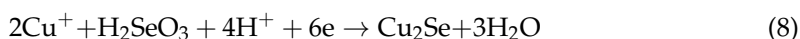


Figure 4b illustrates the LSV curves of the In-Se binary solutions at 3 kPa. Peaks C<sub>1</sub> and C<sub>2</sub> corresponded to the reaction of H<sub>2</sub>SeO<sub>3</sub> to H<sub>2</sub>Se and Se to H<sub>2</sub>Se, respectively (Equations (6) and (7)). H<sub>2</sub>SeO<sub>3</sub> reacted with H<sub>2</sub>Se to form Se (Equation (12)). Because In<sub>2</sub>Se<sub>3</sub> has a high standard Gibbs energy of formation (−386 kJ/mol [29]), H<sub>2</sub>Se induced In<sup>3+</sup> to form In<sub>2</sub>Se<sub>3</sub> [30] (Equation (13)). The current densities of peaks C<sub>1</sub> and C<sub>2</sub> were greater at 3 kPa, indicating that the formation of H<sub>2</sub>Se, In<sub>2</sub>Se<sub>3</sub>, or In was promoted in a vacuum environment.

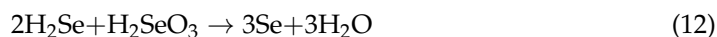


Figure 4c illustrates the LSV curves of Ga-Se binary solutions at 3 kPa. The peaks C<sub>1</sub>, C<sub>2</sub>, and C<sub>3</sub> correspond to the reaction of H<sub>2</sub>SeO<sub>3</sub> to Se, H<sub>2</sub>SeO<sub>3</sub> to H<sub>2</sub>Se, and Se to H<sub>2</sub>Se, respectively (Equations (5)–(7)). Because Ga<sub>2</sub>Se<sub>3</sub> has a high standard Gibbs energy of formation (−418 kJ/mol [31]), Ga<sup>3+</sup> was induced by H<sub>2</sub>Se to form Ga<sub>2</sub>Se<sub>3</sub> [32] (Equation (14)). The current densities of the peaks C<sub>2</sub> and C<sub>3</sub> are greater at 3 kPa, indicating that the formation of H<sub>2</sub>Se or Ga<sub>2</sub>Se<sub>3</sub> was promoted in a vacuum environment.

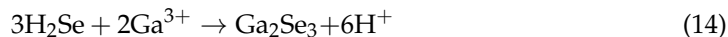


Figure 4d illustrates the LSV curves of Cu-In-Se ternary solutions at 3 kPa. Peaks C<sub>1</sub>, C<sub>2</sub>, C<sub>3</sub>, C<sub>4</sub>, and C<sub>6</sub> correspond to the formation of Cu<sup>+</sup>, Se, Cu<sub>3</sub>Se<sub>2</sub>, H<sub>2</sub>Se, and In<sub>2</sub>Se<sub>3</sub> or In, respectively (Equations (2), (5), (8)–(11), (6), (13) or (4)). In<sup>3+</sup> was induced by Cu<sub>3</sub>Se<sub>2</sub> + H<sub>2</sub>SeO<sub>3</sub> or Cu<sub>3</sub>Se<sub>2</sub> + H<sub>2</sub>Se to produce more stable CuInSe<sub>2</sub> [32] (Equations (15) and (16)) at peak C<sub>5</sub>. As the polarization potential increased, the current density became greater at 3 kPa, indicating that the formation of CIS was promoted in a vacuum environment.

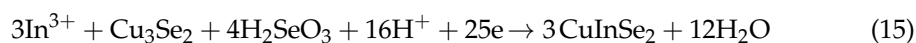
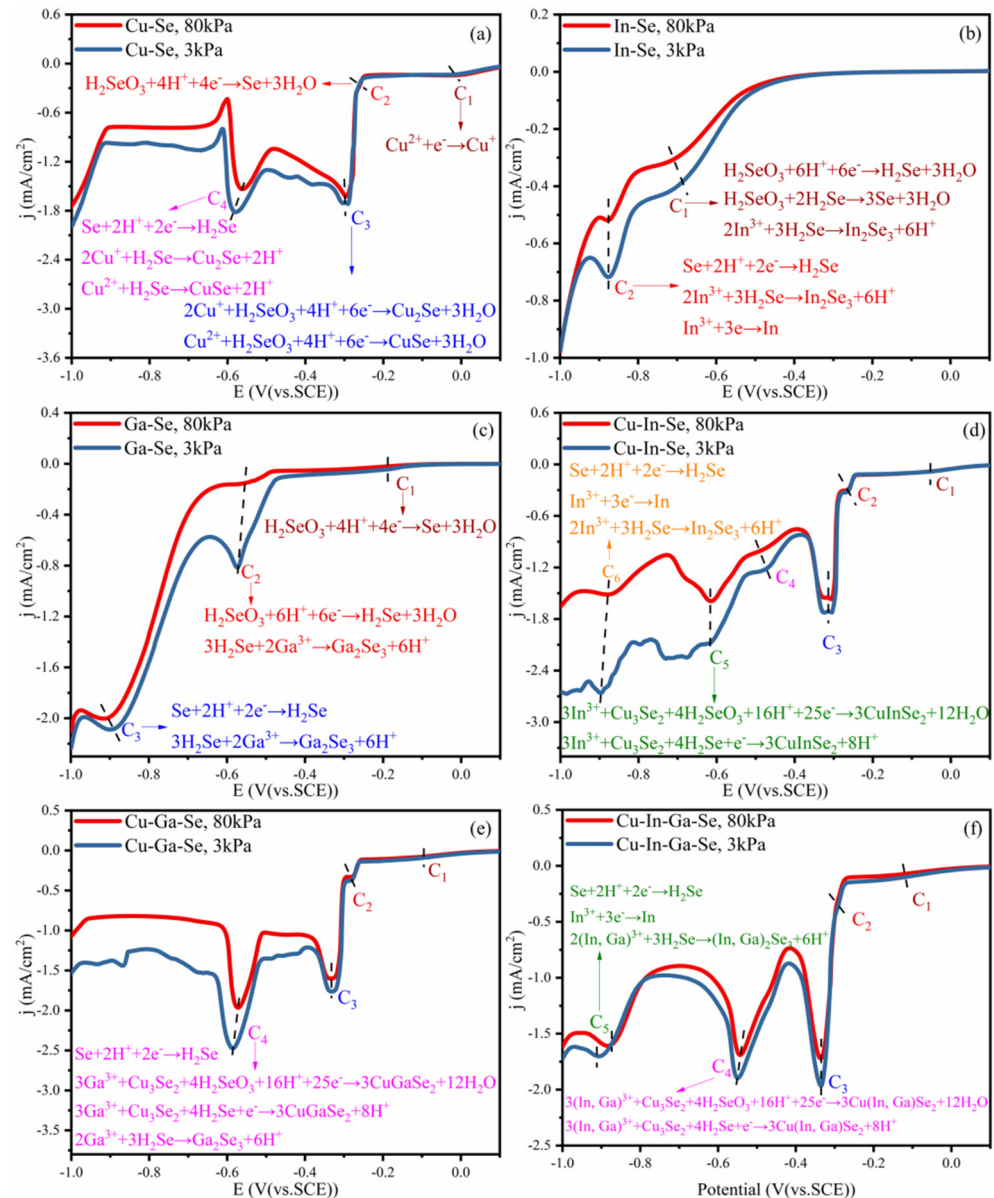
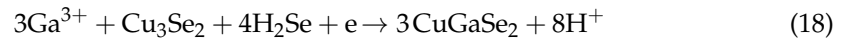
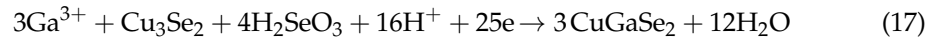


Figure 4e illustrates the LSV curves of Cu-Ga-Se ternary solutions at 3 kPa. Peaks C<sub>1</sub>, C<sub>2</sub>, C<sub>3</sub>, and C<sub>4</sub> corresponded to the formation of Cu<sup>+</sup>, Se, Cu<sub>3</sub>Se<sub>2</sub>, and Ga<sub>2</sub>Se<sub>3</sub>, respectively (Equations (2), (5), (8)–(11) and (14)). Ga<sup>3+</sup> was induced by Cu<sub>3</sub>Se<sub>2</sub> + H<sub>2</sub>SeO<sub>3</sub>

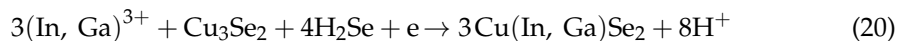
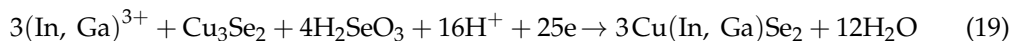
or  $\text{Cu}_3\text{Se}_2 + \text{H}_2\text{Se}$  to produce  $\text{CuGaSe}_2$  [31] (Equations (17) and (18)) at peak  $C_4$ . As the polarization potential increased, the current density became greater at 3 kPa, indicating that the formation of CGS was promoted in a vacuum environment.



**Figure 4.** The LSV curves in the (a) Cu–Se, (b) In–Se (c) Ga–Se binary, (d) Cu–In–Se, (e) Cu–Ga–Se ternary, and (f) Cu–In–Ga–Se quaternary solutions at 3 kPa.

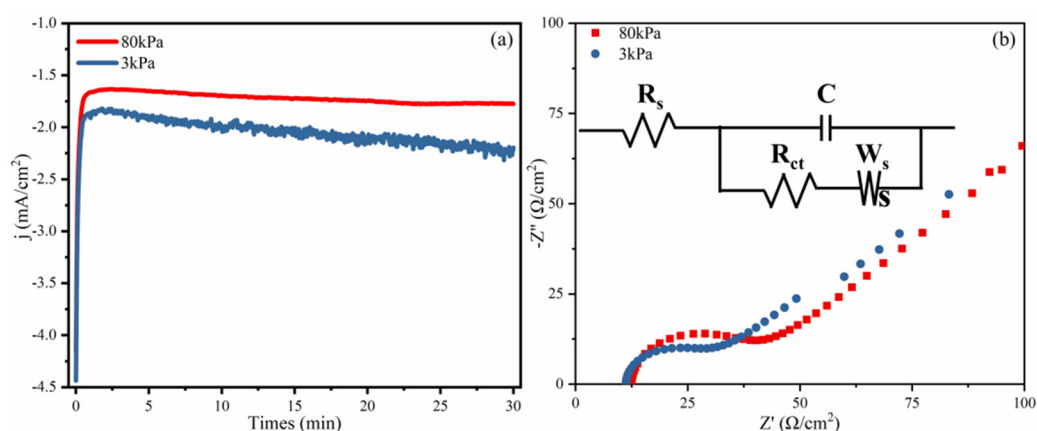
Figure 4f illustrates the LSV curves of Cu–In–Ga–Se ternary solutions at 3 kPa. Peaks  $C_1$ ,  $C_2$ , and  $C_3$  corresponded to the formation of  $\text{Cu}^+$ , Se, and  $\text{Cu}_3\text{Se}_2$ , respectively (Equations (2), (5), (8)–(11)). Ga and In are homotopic elements, and their reduction processes at the cathode are similar (noted as  $(\text{In, Ga})^{3+}$  phase). Meanwhile, both  $\text{In}^{3+}$  and  $\text{Ga}^{3+}$  were induced by  $\text{Cu}_3\text{Se}_2 + \text{H}_2\text{SeO}_3$  or  $\text{Cu}_3\text{Se}_2 + \text{H}_2\text{Se}$  into the films (Equations (15)–(18)). Therefore,  $\text{In}^{3+}$  and  $\text{Ga}^{3+}$  competed for reduction at the cathode. According to previous conclusions, the reduction potential of In and Ga in the CIGS thin films was  $-0.6$  V. Therefore, peak  $C_4$  corresponded to the formation of  $\text{CuInSe}_2$ ,  $\text{CuGaSe}_2$ , or  $\text{Cu}(\text{In, Ga})\text{Se}_2$ .

(Equations (19) and (20)). Peak C<sub>5</sub> corresponded to the formation of In<sub>2</sub>Se<sub>3</sub>, Ga<sub>2</sub>Se<sub>3</sub>, or In (Equations (13), (14), or (4)). The current density of peak C<sub>4</sub> was greater at 3 kPa, indicating that the formation of CIGS was promoted in a vacuum environment.



### 3.2. Potentiostatic Polarization and EIS Analyses

According to the previous conclusion, the main reduction potential of In<sup>3+</sup> and Ga<sup>3+</sup> in the CIGS thin films was −0.6 V. Therefore, the potentiostatic polarization potential was set to −0.6 V. Figure 5a illustrates the potentiostatic polarization curve of the CIGS thin films at 3 kPa. The current density was always greater at 3 kPa, indicating that the resistance during the preparation of CIGS thin films was lower in a vacuum environment.



**Figure 5.** The (a) current density–time curve and (b) impedance Nyquist plot of electrodeposition CIGS thin films at 3 kPa.

Changes in the diffusion impedance and charge-transfer impedance during the preparation of CIGS thin films were investigated by EIS at a low pressure. Figure 5b illustrates the impedance Nyquist plot of the CIGS thin films deposition process at 3 kPa. The semicircle diameter of the curve was smaller, and the slope of the straight line was larger at 3 kPa, indicating that the electroreduction and diffusion impedances were lower, respectively. In addition, the ohmic impedance was smaller. Thus, the resistance was lower when applying vacuum electrodeposition. This corresponds to the conclusion of the current density–time curves. Both curves in Figure 5b were semicircular with straight lines, indicating that electroreduction and diffusion controlled the CIGS thin films deposition [33].

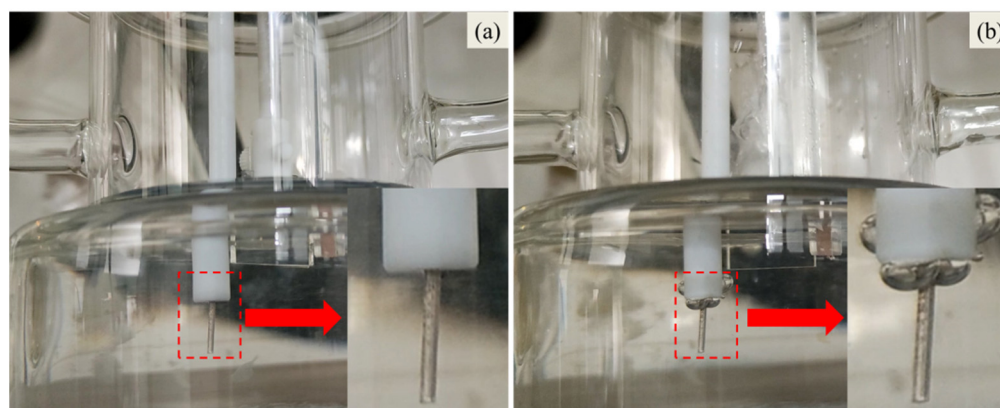
In this study, the impedance test data were combined in order to fit the equivalent circuit of the CIGS thin films deposition process. The fitted EIS data of the CIGS thin films deposition process at different pressures are shown in Table 1.  $W_s$  is the Warburg diffusion impedance,  $R_{ct}$  is the charge-transfer impedance between the interface of cathode and electrolyte solutions,  $C$  is the electrical double-layer capacitance on the electrode surface, and  $R_s$  is the electrolyte solution impedance.

**Table 1.** Fitting data of CIGS thin films deposition impedance at 3 kPa (Ω).

Pressure	$W_s$	$R_{ct}$	$C$	$R_s$
80 kPa	417.6	42.39	$2.292 \times 10^{-4}$	18.77
3 kPa	129.1	29.83	$3.546 \times 10^{-4}$	19.23

When the system pressure was reduced from 80 kPa to 3 kPa,  $W_s$  fell by 69.1%,  $R_{ct}$  fell by 29.6%,  $C$  rose by 35.4%, and  $R_s$  remained constant. Figure 6 illustrates the OER on

the anode surface during the preparation of the CIGS thin films. At 3 kPa, the number of bubbles at the Pt electrode was higher, and the volume was larger. Therefore, the formation of  $O_2$  was promoted under a vacuum. The promoted formation of  $O_2$  indicates that the electrode reaction proceeded more smoothly. The smooth occurrence of the electrode reaction was also one of the reasons for the higher current density at 3 kPa. In addition, according to Boyle's law, the bubble volume should be larger in a vacuum environment. This is consistent with the phenomenon in Figure 6. The rapid release of  $O_2$  bubbles enhanced the diffusion of the electrolyte solution. Therefore, the  $W_s$  value fell. Since the reaction of  $H^+$  to  $H_{ads}$  was inhibited, and the rapid dehydrogenation occurred in the electrolyte at 3 kPa, the contact area increased between the interface of the cathode and electrolyte solution, which decreased the  $R_{ct}$  value. Because the reaction of  $H^+$  to  $H_{ads}$  was inhibited, the deposition of major elements increased. Thus, the thickness of the CIGS thin films increased, which increased the  $C$  value at 3 kPa. Because it was determined by the electrolyte solution, the  $R_s$  value remained constant.



**Figure 6.** The picture of the OER on the anode surface at pressures of (a) 80 kPa and (b) 3 kPa.

### 3.3. EDS Analysis

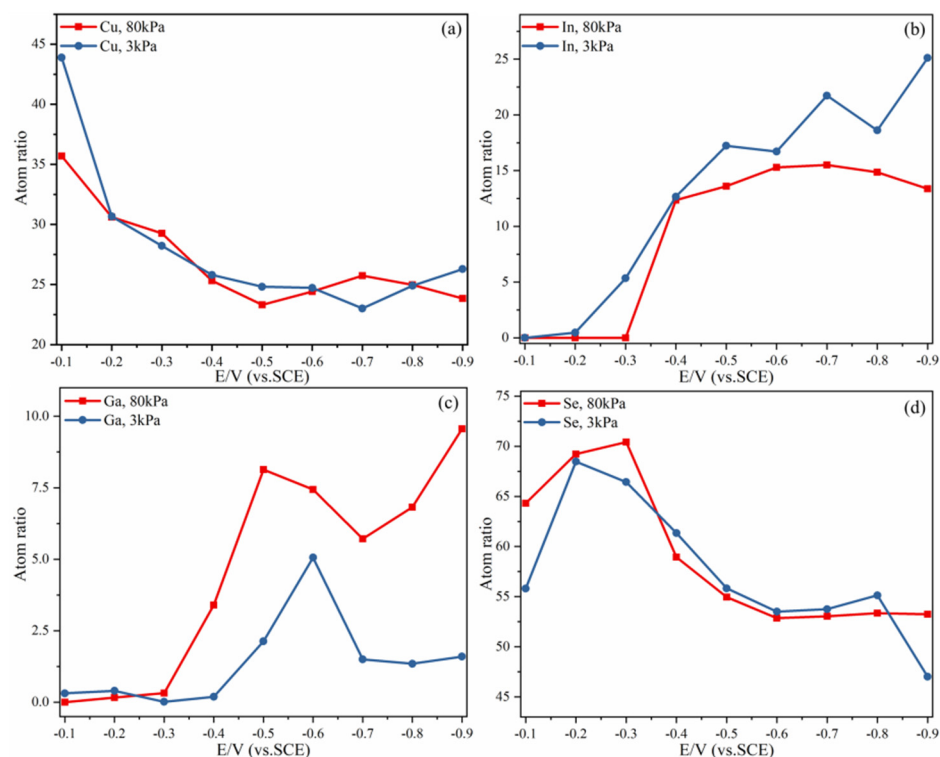
Figure 7 illustrates the EDS composition curves of Cu, In, Ga, and Se in CIGS thin films at polarization potentials from  $-0.1$  V to  $-0.9$  V. Overall, changing the pressure had little effect on the element deposition pattern but had a significant impact on the elemental content. Combined with Figure 3, the first reduction potentials of Cu and Se were 0 V and  $-0.2$  V, respectively. Therefore, Cu ions were more easily reduced when the reduction potential was  $-0.1$  V. As shown in Figure 7a, the content of Cu in the films was highest at this time. The formation of Cu and  $Cu_3Se_2$  was promoted in a vacuum environment, which in turn led to a high content of Cu in the films at 3 kPa. When the reduction potential was  $-0.2$  V, the reduction of Se became easier. As shown in Figure 7d, the content of Se in the films increased. As the potential increases, the Cu and Se content tended to be relatively stable. As a whole, the content of Cu and Se in the CIGS thin films was slightly higher at 3 kPa, indicating that the vacuum environment was beneficial for the formation of Cu and Se.

As shown in Figure 7b, the potential of In in the CIGS thin films was  $-0.2$  V at 3 kPa. However, this potential was  $-0.4$  V at 80 kPa. The reason may be that the formation of  $Cu_3Se_2$  was promoted in a vacuum environment and In was induced by the excess  $Cu_3Se_2$  to produce a more stable  $CuInSe_2$  phase, which in turn could enter the films at a lower potential. Meanwhile, the content of In in the films was higher at 3 kPa. As a result, the formation of In was promoted in a vacuum environment.

As shown in Figure 7c, when the potential was lower, the formation of Ga was largely unaffected due to the lower In content in the films. Because the In content in the films increased as the potential increased, and the formation of In and Ga had a competitive relationship, the formation of Ga was inhibited. This was the first reason for the low concentration of Ga in the CIGS thin films at 3 kPa. Upon increasing the potential, the



production of  $H_2$  at the cathode surface increased at 80 kPa. In turn, the consumption of  $H^+$  in the cathode region increased. Along with the consumption of  $H^+$ , the pH at the cathode region increased, which led to the hydrolysis of  $Ga^{3+}$  to  $Ga_2O_3$  [24].  $Ga^{3+}$  entered the films in the form of  $Ga_2O_3$  [23]. Because the reaction of  $H^+$  to  $H_{ads}$  was inhibited and the OER was promoted at 3 kPa, the pH remained relatively stable at the cathode region, which prevented  $Ga^{3+}$  from entering the films in the form of  $Ga_2O_3$ . This was the second reason for the low Ga concentration in the CIGS thin films at 3 kPa. In summary, the formation of Ga was inhibited in a vacuum environment.



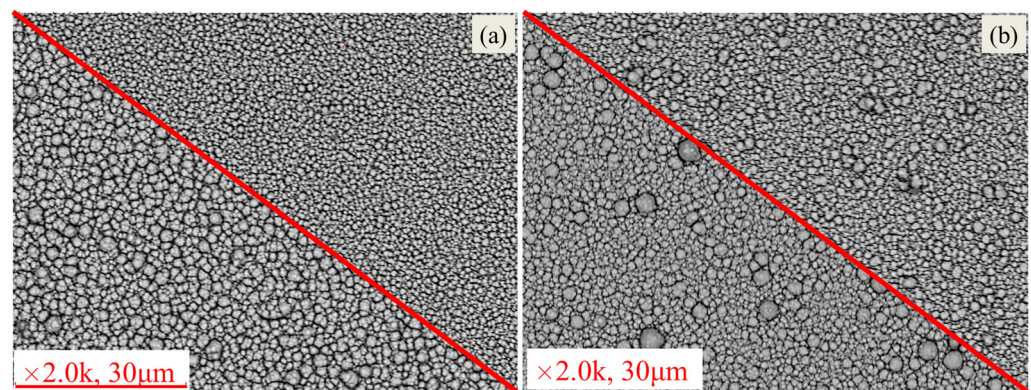
**Figure 7.** EDS composition curves of (a) Cu, (b) In, (c) Ga, and (d) Se in CIGS thin films.

### 3.4. Morphologic Analyses

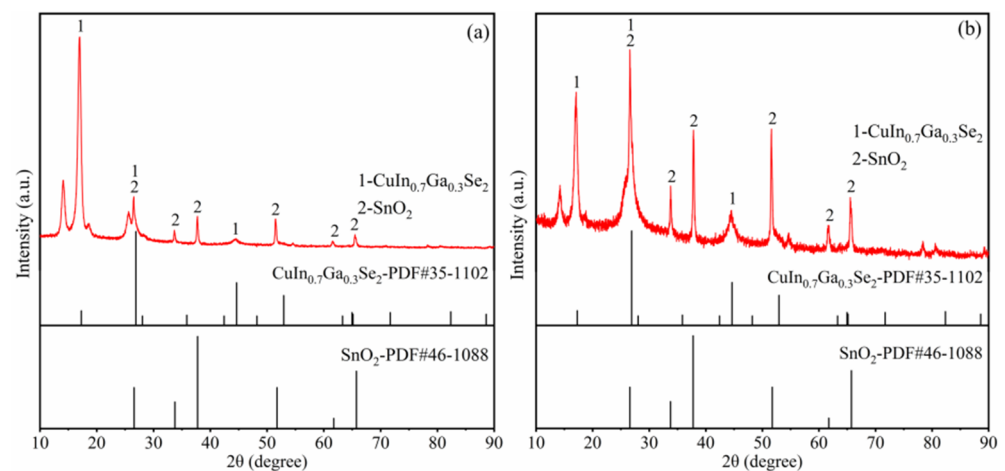
SEM images showing the morphologies of the CIGS thin films prepared at various deposition potentials and pressures are displayed in Figure 8. Overall, the particles [29] in the films were larger at 3 kPa. The first reason is that the reaction of  $H^+$  to  $H_{ads}$  was inhibited, which in turn inhibited  $H_2$  production. Another reason is that the vacuum environment quickly removed  $H_2$  from the surface of the CIGS thin films. The amount of  $H_2$  adsorbed on the cathode surface decreased, and the space available for the deposition of major elements increased.

### 3.5. Phase Analyses

As shown in Figure 9, in the CIGS thin films, there are three main diffraction peaks due to (101), (112), and (220) planes corresponding to  $CuIn_{0.7}Ga_{0.3}Se_2$ . The positions of these diffraction peaks are quite similar. In addition, the difference in pressure changes the preferred crystallographic orientation. When the pressure is 80 kPa, the  $CuIn_{0.7}Ga_{0.3}Se_2$  phase possesses a (101) preferred crystallographic orientation at approximately  $17.27^\circ$ . However, under 3 kPa, the preferred crystallographic orientation is a (112) plane located at approximately  $26.90^\circ$ . For the  $CuIn_{0.7}Ga_{0.3}Se_2$  phase, a preference for the (112) plane is more favorable. Therefore, the main  $CuIn_{0.7}Ga_{0.3}Se_2$  phase can be electrochemically deposited at the pressure of 80 kPa and even at 3 kPa, although with different preferred crystallographic orientations.



**Figure 8.** SEM images of the morphology of CIGS thin films prepared at the pressures of 80 kPa (above the red diagonal line) and 3 kPa (below the red diagonal line) and at the potentials of (a)  $-0.5$  V and (b)  $-0.6$  V.

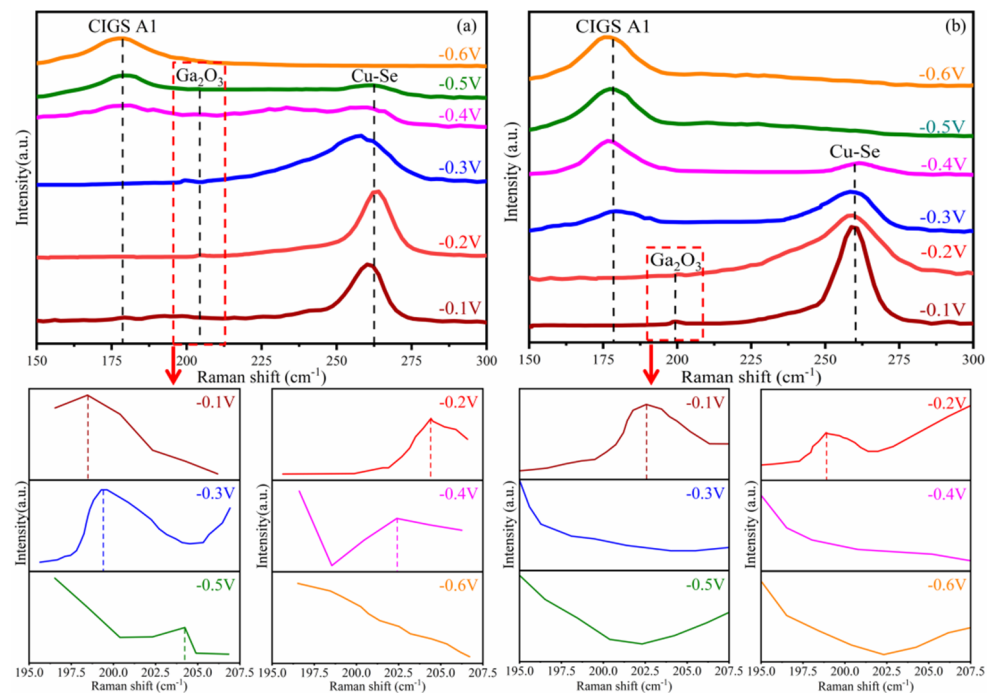


**Figure 9.** XRD spectra of CIGS thin film prepared at the potential of  $-0.6$  V and pressures of: (a) 80 kPa and (b) 3 kPa.

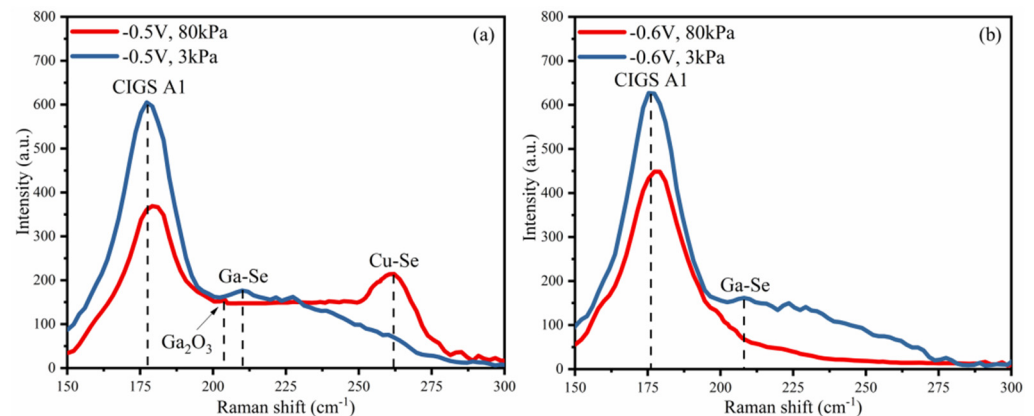
The surface phases were characterized by Raman spectroscopy, as shown in Figures 10 and 11. According to Figure 10a, the vibrational peaks of the  $\text{Ga}_2\text{O}_3$  phase ( $200\text{ cm}^{-1}$  [34]) appeared in the potential range from  $-0.1$  V to  $-0.2$  V at both 80 kPa and 3 kPa. This was because the reaction of  $\text{H}_2\text{SeO}_3$  to Se consumed  $\text{H}^+$  in the cathode region, which in turn hydrolyzed Ga to  $\text{Ga}_2\text{O}_3$  in the films [35]. Meanwhile, little  $\text{O}_2$  formed on the anode surface due to the low potential and could not increase the mass transfer rate of the solution at 3 kPa. This was why the  $\text{Ga}_2\text{O}_3$  phase appeared at a low potential at 3 kPa.

When the potential was  $-0.3$  V, the main phase of the films changed from the Cu-Se phase ( $260\text{ cm}^{-1}$  [36]) to the CIGS A1 phase ( $175\text{ cm}^{-1}$  [37]) at 3 kPa. The formation of the CIGS A1 phase led to a decrease in the consumption of  $\text{H}^+$ . Increasing the potential led to a gradual increase in the  $\text{O}_2$  production, which in turn led to a gradual increase in the mass transfer rate, resulting in a stable pH in the cathode region.  $\text{Ga}^{3+}$  hydrolysis was inhibited, and the  $\text{Ga}_2\text{O}_3$  phase disappeared. However, at 80 kPa, when the potential was  $-0.4$  V, only the main phase of the films began to transform. In correspondence with the higher potential, the hydrogen evolution reaction began to occur. Therefore, the vibrational peak of the  $\text{Ga}_2\text{O}_3$  phase was always present when the potential was in the range of  $-0.3$  V to  $-0.5$  V.

As shown in Figure 11, Ga entered the films as Ga-Se phase ( $214\text{ cm}^{-1}$  [38]) at 3 kPa. Combined with the above conclusions, the formation of  $\text{Ga}_2\text{O}_3$  was inhibited at 3 kPa.

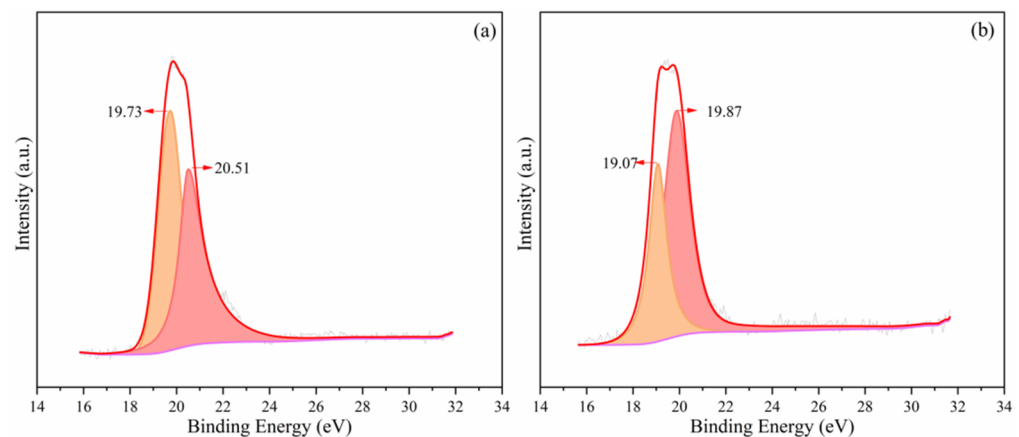


**Figure 10.** Raman spectra of CIGS thin film prepared at potentials of  $-0.1$  V to  $-0.6$  V and pressures of: (a) 80 kPa and (b) 3 kPa.



**Figure 11.** Raman spectra of CIGS thin films prepared at pressures of 80 kPa and 3 kPa and at potentials of (a)  $-0.5$  V and (b)  $-0.6$  V.

Since poorly-crystallized  $\text{Ga}_2\text{O}_3$  did not produce a Raman response, this article determined the phase of Ga from the Ga 3d binding energy. The XPS spectra of the CIGS thin films are presented in Figure 12. According to Figure 11, at 80 kPa, the binding energy of 19.73 eV and 20.51 eV are related to Ga of CIGS thin films, and at 3 kPa, 19.07 eV and 19.87 eV are related to Ga of CIGS thin films. According to the literature, 19.07 eV corresponds to GaSe (19.00 eV, Ga 3d) [39], and 19.73 eV and 19.87 eV correspond to  $\text{Ga}_2\text{Se}_3$  (19.70 eV or 19.90 eV, Ga 3d<sub>5/2</sub>) [40]. In addition, 20.51 eV is close to  $\text{Ga}_2\text{O}_3$  (20.50 eV, Ga 3d<sub>5/2</sub>) [41]. In summary, the phases of the films were  $\text{Ga}_2\text{Se}_3$  and  $\text{Ga}_2\text{O}_3$  at 80 kPa, while GaSe and  $\text{Ga}_2\text{Se}_3$  were the phases at 3 kPa. That is to say, the formation of  $\text{Ga}_2\text{O}_3$  must be inhibited in a vacuum environment.



**Figure 12.** Binding energy of Ga 3d in CIGS thin films prepared at the potential of  $-0.6$  V and pressures of (a) 80 kPa and (b) 3 kPa.

#### 4. Conclusions

In this article, CIGS films without a low-conductivity  $\text{Ga}_2\text{O}_3$  phase were prepared using vacuum electrodeposition, as supported by the conclusions of Raman and XPS. There were two main reasons for the inhibited  $\text{Ga}_2\text{O}_3$  formation. The first reason is the inhibition of the  $\text{H}^+$  to  $\text{H}_{\text{ads}}$  reaction, which decreased the consumption of  $\text{H}^+$  on the cathode surface, as confirmed by the disappearance of the  $\text{H}_{\text{ads}}$  generation peak in the LSV curve. The second reason is that the OER reaction was promoted by a smoother electrode reaction and a decrease in the electrochemical impedance during deposition. The larger current density in the current density-time curves and the smaller semicircle diameter in the impedance Nyquist plots confirmed this. The inhibition of the  $\text{H}^+$  to  $\text{H}_{\text{ads}}$  reaction and the promotion of the OER together stabilized the pH in the cathode region, which in turn inhibited  $\text{Ga}^{3+}$  hydrolysis. The path of  $\text{Ga}^{3+}$  into the films in the form of  $\text{Ga}_2\text{O}_3$  was blocked.

In a vacuum environment, the content of Cu, In, and Se in the films increased, the current density of the preparation process increased, and the resistance decreased. The increase in the deposition space of the main elements produced larger particles in the films.

**Author Contributions:** In this joint work, each author was in charge of their expertise and capability: Conceptualization, G.L.; methodology, K.H.; validation, J.Z.; formal analysis, K.H.; investigation, K.H.; resources, L.X.; data curation, W.W.; writing—original draft preparation, G.L.; writing—review and editing, J.Y.; supervision, B.Y.; project administration, B.X.; funding acquisition, J.Y. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the National Natural Science Foundation of China grant number 52104350 and 52264038, the Natural Science Foundation of Yunnan Province grant number 202001AT070045, National Key Research and Development Program of China grant number 2022YFC2904204.

**Data Availability Statement:** The data presented in this study are available on request from the corresponding author. The data are not publicly available due to unfinished related ongoing further studies.

**Conflicts of Interest:** The authors declare no conflict of interest. The funders had no role in the design of the study, in the collection, analyses, or interpretation of data, in the writing of the manuscript, or in the decision to publish the results.

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