

Article **Tribological Behavior and Wear Mechanism of Cu-SiO₂ Sintered Composite under Different Sliding Speeds**

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Abstract: In this paper, the tribological behavior of Cu-SiO₂ composite against 1045 steel was studied. Based on the characterization of worn surface, worn subsurface and wear debris in morphology and composition, the friction layer effects on the tribological behavior of coupled materials and the wear mechanism were discussed. Abrasive wear and adhesive wear are the dominant mechanisms at the 0.56 m/s–1.12 m/s condition. Delamination wear and oxidation wear are the dominant wear mechanisms at the 1.68 m/s–2.24 m/s condition. Plastic and thermal deformation cause the evolution in morphology and structure of the tribolayer of Cu-SiO₂. There is a certain correlation between the friction coefficient and the variation in friction temperature during sliding wear of Cu-SiO₂ and 1045 steels. The addition of SiO₂ induces the accumulation of frictional heat at the friction interface, which leads to an increase in the average temperature of the contact surface and transfer.

Keywords: Cu matrix composite; tribolayer; unlubricated sliding; frictional heat



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1. Introduction

During friction and wear, the materials adjacent to the contact surface are modified. The modified materials are called the third body (TB), tribolayer (TL) and mechanically mixed layer (MML) [1,2]. The third body concept was introduced by Godet [3]. From then on, more and more studies concentrated on the effects of TB/TL/MML in friction and wear properties of materials. It has been widely recognized that TL has an important effect on friction and wear behavior of materials [4-8]. The morphology, composition and structure of TLs are different from the contact bulk materials. Rigney and Österle found that a nanocrystalline layer is presented underneath the worn surface. The factors which can affect TL have been widely investigated, such as load, environmental temperature and so on. M.H. Cho [6] found that the thickness of TLs is affected by the contact temperature of the frictional surface. The thick TLs on the disk surface tend to reduce the wear and oscillation amplitude of the friction coefficient. W. Österle Rigney [7] presented the TLs on copper. Depending on the constituent of the pad, one, two or three layers were identified. The threelayer structure comprised: (i) A 100 nm thick friction film containing nanocrystalline metal oxides and an amorphous phase which was enriched with sulfur, (ii) A nanocrystalline friction layer of compacted wear debris accommodating surface roughness, (iii) A severely deformed layer if the supporting constituent was a metal particle. Though the majority of loose wear particles were iron oxide, the friction film which adhered tightly to the pad surface contained a large amount of copper and sulfur, whereas zinc was transferred to the cast-iron rotor. A.R. Riahi [8] showed that mild wear in the graphitic composites is primarily controlled by formation of the TLs on the contact surfaces. When two coupled materials rub against each other, the work of frictional force will be consumed in plastically deforming at the contact surface and initiating some secondary processes (e.g., acoustic and luminescence emission, heat). It is known that friction heat soon generates under

unlubricated sliding, especially at high speed, and induces the rise in bulk temperature. The plastic deformation and flow on the contact surface are improved. And then, the shear stress increases, resulting from the enlarged contact spot. The major portion of work will be transformed into thermal energy and generating frictional heat [9,10]. The accumulation of frictional heat can induce the formation of a temperature gradient near the contact surface [11,12]. The second recrystallization, phase transformation and element diffusion can also happen [13,14].

It is shown that friction heat has an important influence on the tribological behavior and failure of sliding components. The friction coefficient of most metals decreases with the rise in surface temperature. The wear can be aggravated due to the frictional heat. The friction heat modifies the frictional surface. But less attention has been paid to the effect of friction heat on the tribolayer and tribological behavior. Powder metallurgy (PM) Cu-based composites are used in aircraft and automobile brake systems. The composites consist of a combination of numerous ingredients. Hard particles, such as SiO₂ or SiC, can improve the wear resistance. In our present work, we aim to explore the effect of frictional heat on the friction coefficient, wear rate and tribolayer of Cu-SiO₂. We chose Cu-SiO₂ composite as the study subject. The addition of hard particles (SiC, SiO₂) into the copper matrix can improve the strength, and copper matrix (Cu-SiC, Cu-SiO₂) composite can potentially be used as a brake material [15–18]. The wear mechanism, considering frictional heat, is discussed. Attempts to study the correlation between tribological behavior and TLs, considering frictional heating, are made. A new insight is provided for the tribological design of materials during unlubricated wear.

2. Materials and Methods

2.1. Materials

The raw material in the experiment is electrolytic copper powder; it is provided by Shanghai Shanpu Chemical Co., Ltd. (Shanghai, China) and the purity is greater than 99.6%. SiO₂ powder is provided by Tianjin Meiyu Chemical Co., Ltd. (Tianjin, China), the purity is greater than 99.4% and the particle size distribution is from 5–30 µm. Two powders of Cu-5 wt% SiO₂ were dry mixed in a ball mill for 12 h. The mixed powder, after ball milling, was cold pressed into cylindrical blocks at 230 MPa, and then hot pressed and sintered in a medium frequency induction furnace. The sintering temperature is 850 ° C, the holding time is 40 min and the sintering pressure is about 22 MPa. Finally, the Φ 25 mm × 20 mm cylindrical blocks. The relative density of Cu-SiO₂ sintered body is 97%, and the Brinell hardness is around HB 73 (with a load of 62.5 kgf and a holding time of 30 s). The microstructure of sintered Cu-SiO₂ is shown in Figure 1. The AISI 1045 steel (0.42~0.50% C, 0.17~0.37% Si, 0.50~0.80% Mn, 98.91~98.33% Fe) is chosen for the friction pair with a composite material because the steel is usually used in other works, and it is commercially available. The steel surface is HB 180 ± 3 in hardness.

2.2. Sliding Wear Tests

The sliding wear experiment was conducted on a self-made friction and wear test machine, using a one-way sliding method of pin disk contact. The scales sample is Cu-SiO₂ with a diameter of 5 mm, and the contact end face is processed into a hemispherical shape. The surface is polished with metallographic sandpaper. The disk sample is 1045 steel that has undergone annealing treatment, with a size of ϕ 50 mm × 8 mm. Before the experiment, clean the pin and disk samples with acetone ultrasound for 10 min, and dry them before use. The sliding experiments were conducted at room temperature and in an air atmosphere. The friction coefficient is automatically recorded in real-time by a computer. Use an electronic balance with an accuracy of 0.1 mg to weigh the mass of the pin sample before and after wear, using the formula Wr = Δ . Calculate the wear rate of the pin sample using m/(PL). Among them, Δ M represents quality loss; that is, the quality difference before and after wear; P represents contact pressure, L represents sliding distance. In order to measure the temperature of the substrate material during sliding wear, a hole was drilled

at the end of the pin specimen 5 mm away from the contact surface, and a thermocouple was fixed in it. The temperature change during the sliding process was automatically recorded by connecting a multimeter. The sliding speed was 1.68 m/s–3.36 m/s. The test device is shown in the embedded small figure in Figure 2a.



Figure 1. SEM microstructure of sintered Cu-SiO₂ composite.



Figure 2. Friction coefficient (μ), wear rate (Wr) and bulk temperature (Tbr) of Cu-SiO₂ in sliding against 1045 steel at 0.56 m/s–2.24 m/s: (**a**) Friction coefficient, (**b**) Wear rate, (**c**) Near-surface temperature (the inset picture in shows the pin-on-disk tribometer applied in present study).

2.3. Morphology and Composition Characterization

The worn samples are cleaned by ultrasound and then hot mounted. Use a precision linear cutting saw (Buehler ISO MET-1000, Buehler Inc., Salem, MA, USA) to cut the cross-section perpendicular to the worn surface, parallel to or perpendicular to the sliding direction in the area of wear marks or spots. The obtained cross-sectional samples were polished on an automatic polishing machine (Buehler ISO MET-1000, Buehler Inc., Salem, MA, USA) for observation. The worn surfaces of samples were observed using SEM (JSM-5600LV, JEOL Ltd., Tokyo, Japan). The chemical composition of the worn surfaces was analyzed by EDS, XPS and LRS.

After the wear tests, the chemical composition of the worn surfaces was analyzed by X-ray photoelectron spectroscopy (Thermo Fisher ESCALAB Xi+, Thermo Fisher Scientific, Waltham, MA, USA) and laser Raman spectroscopy (Invia Renishaw, Renishaw, Inc., Wotton-under-Edge, UK). A monochromatized Al K-radiation (1486.6 eV) was used as X-ray source and with a spot size of 250 nm. The energy scales were calibrated with Ag 3d5/2 and Cu 2p3/2 lines. The binding energies of the peaks were referenced to C 1s binding energy as 284.6 eV. Sputter depth profiles were obtained on the samples by a 3 keV Ar+ ion beam with a current density of 1.0 nA mm⁻².

3. Results

3.1. Friction Coefficient, Wear Rate and Bulk Temperature

The friction coefficient (μ), wear rate (Wr) and bulk temperature (Tbr) of Cu-SiO₂ in sliding against 1045 steel at 0.56 m/s–2.24 m/s are shown in Figure 2. The friction coefficients of Cu-SiO₂ in sliding against 1045 steel at 0.56 m/s and 1.68 m/s are similar. However, the μ at 1.68 m/s fluctuates heavily. The Tbr and Wr of Cu-SiO₂ composite in sliding against 1045 steel at 1.68 m/s are higher, especially the wear rate. The Wr of Cu-SiO₂ composite at 1.68 m/s and 2.24 m/s is multiple.

3.2. Morphology of Cu-SiO₂ Worn Surface

Two different morphologies are shown in Figures 3 and 4 on the worn surfaces of Cu-SiO₂ at 0.56 m/s and 1.68 m/s. The morphologies of low magnification at the two sliding speeds are similar, as shown in Figures 3a and 4a. The worn surfaces are characterized by two distinct regions, the dense layer (the black region) and the loose particle layer (the white region). At 0.56 m/s, the dense layer is smooth, and the fracture is propagated in Figure 3b, while at 1.68 m/s, the plastic flow is evident and the voids resulting from pull-out of the SiO₂ particles can be seen. Comparing Figures 3c and 4c, more exposed SiO₂ particles can be seen.



Figure 3. Worn surface morphologies of Cu-SiO₂ after sliding against 1045 steel at 0.56 m/s: (**a**) Large area, (**b**) Zone A, (**c**) Zone B.



Figure 4. Worn surface morphologies of Cu-SiO₂ after sliding against 1045 steel at 1.68 m/s: (**a**) Large area, (**b**) Zone A, (**c**) Zone B.

3.3. Composition of Cu-SiO₂ Worn Spot3.3.1. XPS of Cu-SiO₂ Worn Spot

To further know the chemical states of the copper element, XPS analysis was conducted (Figure 5). It can be seen that the binding energy of Cu 2p is about 932.7 eV, responding to Cu + 1 or Cu0, since Cu + 1 is not stable [19].



Figure 5. Worn surface XPS of Cu-SiO₂ after sliding against 1045 steel at (a) 0.56 m/s, (b) 1.68 m/s.

XPS analysis of the worn surfaces was performed and the relative atomic concentration of the elements on the worn surface was obtained. Figure 3 shows the spectra of XPS analyses for elements Fe2p, Cu2p and O1s on the rubbed surface after the test at 10 N for 30 min. The binding energies of copper in its XPS spectrum in Figure 3 reveal the Cu2p3/2 peak at about 932.6 eV and the Cu2p1/2 peak at about 952.4 eV corresponding to elemental Cu or Cu+. Since Cu (I) and metallic copper have almost the same binding energy, these two valence states of copper cannot be differentiated based on the chemical shift in binding energy of Cu2p3/2 peak and Cu2p1/2. However, copper is an unreactive metal and cannot be oxidized into Cu₂O, except in the presence of high temperature. Possibly a small amount of Cu_2O is formed by tribochemical reaction. On the other hand, Cu (I) is a chemically unstable state, and it can be further oxidized to Cu (II) on the rubbing surface. The peak position of Cu (II) lies at about 933.7 eV [6]. From the XPS spectra shown in Figure 3, there is no evidence showing the presence of Cu (II). Therefore, it is suggested that the large amount of copper on the rubbed surface was mainly present in the form of metallic copper. More evidence for metallic copper is given by the XPS spectrum of oxygen. The peak position of O1s was mainly at about 532.5 and 531.2 eV. There is no peak evident at 530.1 eV, which would be associated with O1s binding energies in Fe₂O₃ (529.8 eV), Cu₂O (530.3 eV) and Fe_3O_4 (530.0 eV). It indicated that the amount of Cu_2O is very small. In other words, a considerable amount of metal Cu was present on the rubbed surface. From the results of the binding energies on the worn surface, it is concluded that the tribolayer is mainly composed of $Fe_3O_4 + Cu$.

The spectra of Cu2p, CuLMM on the worn surface of Cu-SiO₂ at speeds 0.56 m/s and 1.68 m/s are shown in Figure 5. In Figure 5a, no obvious peaks of Cu2p can be found on the worn surface at 0.56 m/s, while at 1.68 m/s, the peaks of Cu2p are notable. The Cu2p1/2 at 952.5 eV and Cu2p3/2 at 932.5 eV can be assigned to Cu or Cu₂O, from the CuLMM spectra in Figure 5b; the Cu2p peak belonged to metallic Cu.

3.3.2. LRS of Cu-SiO₂ Worn Spot

The LRS spectra on the worn surface of Cu-SiO₂ at 0.56 m/s and 1.68 m/s are shown in Figure 6. The peaks at 670 cm⁻¹ and 530 cm⁻¹ can be attributed to the strongest band of the magnetite phase (Fe₃O₄). The peaks at 295 cm⁻¹ and 615 cm⁻¹ can be assigned to hematite (α -Fe₂O₃) (7). The peaks at 1,340 cm⁻¹ and 1,598 cm⁻¹ corresponding to carbon (D-band and G-band, respectively) are found on both worn surfaces. This carbon spectrum corresponds to the XPS peak of C1s. It is indicated that the element carbon is transferred from the counterface.



Figure 6. Raman of worn surface of Cu-SiO₂ in sliding against 1045 steel at (a) 0.56 m/s and (b) 1.68 m/s.

3.4. Morphology of Worn Surface/Subsurface

3.4.1. Morphologies of Cu-SiO₂ Worn Spot

Figure 7 shows the microstructure of a worn subsurface of Cu-SiO₂ sliding against AISI 1045 steel after different sliding distances. The sliding direction is shown in the pictures. The copper grains are curved in the sliding direction and some fractured SiO₂ particles can be seen at the top zone of the subsurface. And then, the SiO₂ particles are small in the subsurface layer and the copper grains are fine. These longitudinal sections of Cu-SiO₂ (parallel to the sliding direction) correspond to the grey/loose area. A distinct layer separated from the bulk can be found at the top subsurface zone of the transversal sections of Cu-SiO₂ (perpendicular to the sliding direction) corresponding to the dark area. The layer is provided with a delaminated structure distributed uniformly in thickness. The sliding directions are marked in the pictures.



Figure 7. SEM morphologies of worn surface of Cu-SiO₂ in sliding against 1045 steel at (**a**) 0.56 m/s and (**b**) 1.68 m/s.

3.4.2. Morphology of 1045 Steel Worn Surface

After 1045 steel slides against Cu-SiO₂, a clear brick red layer covers the surface of the circular wear scar area, as Figure 8 shows. The red layer is the Cu-SiO₂ transferred layer, with a thickness of approximately 10 μ m. As the sliding speed increases, the more Cu-SiO₂ comes from the couple transfer to the 1045 steel worn scar area. Energy spectrum analysis shows that there is a large amount of surface metal Cu, but only a small amount of metal Fe, as Figure 9 shows. And the transfer layer has a clear interface with the steel substrate, but its bonding force with the steel substrate is strong and difficult to remove.



Figure 8. Macroscopic morphologies of 1045 steel sliding against Cu-SiO₂ at (a) 0.56 m/s and (b) 1.68 m/s.



Figure 9. EDS worn surface of Cu-SiO₂ after sliding against 1045 steel at (a) 0.56 m/s and (b) 1.68 m/s.

3.5. Morphology of Wear Debris

Wear debris collected at each stage indicates the evolution of the wear regime and mode of material removal. As shown in Figure 10a, typical curl-tailed debris is observed, and it is generated from the coupled steel through the plowing action of hard SiO₂ particles in the initial stage. Figure 10b shows the flaky debris with flow impression. This can be generated from the TL on the steel counterbody through the rolling effect in the break-in stage. The blocky and laminar debris are observed in the stable stage, and we deduce that the fracture and delamination of TL occur on the worn surfaces of Cu-SiO₂ or steel.



Figure 10. SEM worn debris of Cu-SiO₂ after sliding against 1045 steel at (a) 0.56 m/s and (b) 1.68 m/s.

4. Formation Mechanism of Tribolayer

There are two main mechanisms for the formation of abrasive particles and thin layers shed from the substrate material during friction and wear. Under the action of normal pressure and friction force, plastic deformation occurs on the contact surface of the material. When the deformation is accumulated to a certain extent, the material failure of the contact surface falls off in the form of debris and is sandwiched in the friction interface, forming a thin layer under the action of continuous rolling.

The formation mechanism of the third body was investigated for the Cu-SiO₂ sintered material. At the beginning of friction, the third body particles are blocked by the SiO₂ particles embedded in the matrix and pile up around the SiO₂ to form a compaction zone. With the increase in friction time, the number of particles accumulated around the SiO₂ particles increases, which increases the area of the third body compaction zone and leads to the increase in the bearing capacity of SiO₂ particles. When the load exceeds the loadbearing capacity of the SiO₂ particles, the SiO₂ particles are broken and the third body compaction zone is crushed, as Figure 11 shows.



Figure 11. The mechanism of tribolayer formation during Cu-SiO₂ sliding against 1045 steel.

5. Discussion

In the above sections, the worn surfaces/subsurface have been characterized. The worn surfaces and subsurface present differences in structure and composition. Friction heat is the key in the present experiment. At 0.56 m/s, the temperature rise in $Cu-SiO_2$ bulk (Tbr) is 24 °C during sliding; the generated friction heat is not enough to make the large-area contact zone of bulk soften and this can be indicated by the morphology of worn surface. The evidence of plastic flow is not obvious and cracks perpendicular to the sliding direction are found. Little copper is transferred to the coupled steel and the wear loss is low for Cu-SiO₂. While at 1.68 m/s, the more friction heat is generated, which is reflected by the relative high value of Tbr. Although the recorded bulk temperature near the contact surface of the Cu-SiO₂ composite is much lower than the on-set softening point of copper (about 400 °C), the real temperature or flash temperature at the interface is higher than the measured bulk temperature. Hereby, thermal softening can happen at the top subsurface of the Cu-SiO₂. We can see the plastic flow is evident in Figure 4b,c. The SiO₂ particles are pulled out from the softened bulk and the void is presented. Much softened copper is transferred to the coupled steel (Figure 8b) and the wear loss is high for Cu-SiO₂. It can be seen that when the sliding speed is low, the friction interface is basically an isothermal environment and the interface temperature is relatively low, which is not enough to change the intrinsic properties of the material; while, when the sliding speed is high, the friction interface is an adiabatic environment and the friction heat has no time to be discharged from the interface, so that the interface temperature is high and the material is easy to oxidize and change the intrinsic properties of the material.

We have presented the evolution of the tribolayer in morphology and structure. During the initial stage, hard SiO_2 particles located at the surface of Cu-SiO₂ plow 1045 steel. Abrasive evidence, i.e., tailed debris is produced during sliding. And then, the SiO₂ can be fractured or pulled out of the contact zone and the contact surface is rough. The frictional coefficient fluctuates heavily in this stage due to the rough contact surface, and abrasive wear is the dominant mechanism. During the next stage, the plastic flow is promoted by the frictional heating of the Cu bulk indicated by the rise in the near-surface temperature of the Cu-SiO₂. This layer is characterized with flow and makes the contact surface become relatively smooth. The friction coefficient is, thereby, relatively stable. A compacted layer covers the worn surface extensively. This layer is separated from the bulk and has a delaminated structure. The flaky and blocky debris can be produced from the contact surface of Cu-SiO₂. When the softening of the Cu-SiO₂ bulk occurs, the metallic Cu is prone to transfer the steel surface and cover the contact zone. The friction coefficient is relatively stable due to the even contact surface; adhesive wear and delaminated wear are the dominant mechanisms in this stage. A distinct zone is produced at the subsurface of the Cu-SiO₂. The contact surface evolves into a similar morphology. But the surface is less rough, comparatively. The contact material is strengthened due to deformation, and it is difficult to remove because of "cold welding". Laminar debris is produced at the edge of the worn surface of $Cu-SiO_2$ (Figure 9b). The delaminated wear is the predominant mechanism in this stage. When the tribolayer is smooth and compacted, the friction coefficient presents little fluctuation and the bulk temperature rise becomes stabilized. The low bulk temperature indicates the little generation of frictional heating, and the strength and the load carrying ability of the contact material can be maintained; thereby mild wear may be caused.

6. Conclusions

The tribological behaviors of Cu-SiO₂ composite sliding against steel at 0.56 m/s–2.24 m/s are investigated. And the influencing mechanisms of sliding speeds associating with frictional heat are discussed.

- (1) Abrasive wear and adhesive wear are the dominant mechanisms at the 0.56 m/s condition.
- (2) Delamination wear and oxidation wear are the dominant wear mechanisms at the 1.68 m/s condition.

- (3) Plastic and thermal deformation cause the evolution in morphology and structure of the tribolayer of Cu-SiO₂.
- (4) There is a certain correlation between the friction coefficient and the variation in friction temperature during sliding wear of Cu-SiO₂ and 1045 steels. The addition of SiO₂, inducing the accumulation of frictional heat at the friction interface, leads to an increase in the average temperature of the contact surface, and induces sticking welding and transfer.

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