

*Article*



# **Assessing Douro Vineyards Exposure to Tropospheric Ozone**

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**Abstract:** Tropospheric ozone (O<sup>3</sup> ) can strongly damage vegetation. Grapevines (*Vitis vinifera L*.), in particular, have intermediate sensitivity to ozone. Wine production is an important economic activity, as well as a pillar to the cultural identity of several countries in the world. This study aims to evaluate the risk of Douro vineyards exposure to ozone, by estimating its concentration and deposition in the Demarcated Region of Douro in Portugal. Based on an assessment of the climatology of the area, the years 2003 to 2005 were selected among the hottest years of the recent past, and the chemical transport model CHIMERE was used to estimate the three-dimensional field of ozone and its dry deposition over the Douro region with  $1 \text{ km}^2$  of horizontal resolution. Model results were validated by comparison with measured data from the European air quality database (AirBase). The exposure indicator AOT40 (accumulated concentration of ozone above 40 ppb) was calculated and an exposure–response function was applied to determine the grapevine risk to ozone exposure. The target value for the protection of vegetation established by the Air Quality Framework Directive was exceeded on most of the Douro region, especially over the Baixo Corgo and Cima Corgo sub-regions. The results of the exposure–response functions suggest that the productivity loss can reach 27% and that the sugar content of the grapes could be reduced by 32%, but these values are affected by the inherent uncertainty of the used methodology.

**Keywords:** tropospheric ozone; grapevine (*Vitis vinifera* L.); air quality modelling; AOT40; dry deposition

# **1. Introduction**

The tropospheric ozone capability to damage vegetation has been identified and studied by many authors [\[1](#page-14-0)[–3\]](#page-14-1). The physiological effects of ozone absorption are manifested by reducing photosynthesis, increasing ageing at the cellular level, enhancing susceptibility to diseases, decreasing growth and the reproductive capacity of plants [\[4](#page-14-2)[,5\]](#page-14-3). High levels of ozone can therefore lead to loss of productivity and quality of agricultural fields, and consequently to economic losses.

Heck et al. [\[6\]](#page-14-4) estimated, for instance, that 90% of the productivity loss due to air pollution in the United States results from exposure to  $O_3$ . Holland et al. [\[7\]](#page-14-5) conducted a study in 47 European countries and concluded that ozone is responsible for economic losses of 6.7 billion euros. In the forests of Sweden, Karlsson et al. [\[8\]](#page-14-6) estimated a loss of approximately 40 million euros per year. Mills and Harmens [\[9\]](#page-14-7) showed that ozone effects resulted in losses of 27 million tonnes of wheat grains in 2000. Avnery et al. [\[10\]](#page-14-8) determined that ozone could be responsible for a 10% reduction in cereal production in the European Union by 2030. Currently, tropospheric ozone is considered the most damaging atmospheric pollutant to vegetation and its impacts cannot be ignored [\[1,](#page-14-0)[4,](#page-14-2)[11\]](#page-14-9).



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To cause damage, the ozone first needs to be absorbed by the stomata in the plant leaf. Thus, the  $O_3$  uptake is considerably controlled by the stomatal flux, varying as a function of the stoma opening and the  $O_3$  ambient concentration [\[12,](#page-14-10)[13\]](#page-14-11). The stoma role is to control the water loss and mediate gas exchanges, so, the stoma opening is reduced on hot, dry or windy days. It also opens in response to light, and close in response to decreased humidity and increased concentrations of carbon dioxide [\[14\]](#page-14-12). According to Emberson et al. [\[15\]](#page-14-13), the most important factors regulating ozone uptake by plants are ambient air temperature and vapour pressure deficit, soil moisture deficit and phenology. Therefore, when studying the effects of  $O_3$  on vegetation, it is necessary to consider not only the concentrations of ozone, which determines the exposure of the crops, but also the deposition of ozone on vegetation, which points toward the ozone uptake via the stomata [\[16\]](#page-14-14). Nevertheless, plants can protect themselves after the ozone is absorbed, through a process called ozone detoxification. Detoxification occurs when the plant produces antioxidants that react with  $O_3$ , thus protecting the cellular tissue. Species with high detoxification potential show a lower relationship between stomatal  $O_3$  absorption and related phytotoxical damage [\[17](#page-14-15)[,18\]](#page-14-16). To predict adequately the ozone impacts on vegetation, it is necessary to examine both  $O_3$ uptake and detoxification of plants [\[19\]](#page-14-17).

In summary, many studies are assessing the ozone damage on crops, but research is still needed to achieve a better understanding of the plant response to be able to develop numerical models that can successfully represent these processes. Moreover, available studies mainly focus on staple crops like wheat and potatoes and there are few papers regarding other types of crops like grapevines (e.g., the recent review by Blanco-Ward et al. [\[11\]](#page-14-9)). The present work applies a numerical modelling system to assess annual ozone deposition levels on vineyards from an important Portuguese wine region, the Douro Demarcated Region (DDR), which is located in northeast Portugal. Wine production is a major economic activity in Portugal and the DDR stands out by its famous Port's Wine production.

The hot summers of the Douro region are associated with high levels of ozone in the ambient air [\[20\]](#page-14-18). Given the potential negative impacts of grapevine exposure to ozone and the economic interest of the region, it is important to know the temporal and spatial distribution of this pollutant in the DDR. Therefore, this study aims to assess the Douro vineyards' exposure to tropospheric ozone in recent past climate conditions representative of hot summers, by estimating  $O_3$  concentrations and dry deposition levels and relating them with the effects on sugar content and productivity of the grapevine.

Ozone concentration and dry deposition were estimated using the air quality modelling system WRF-CHIMERE. The simulations were performed for the years 2003 to 2005 since they were some of the hottest years of the recent past. Model results were validated for the simulation period comparing them with the values measured by monitoring stations from the Portuguese and Spanish air quality monitoring networks. Then, model results were analyzed as AOT40, an accumulated ozone exposure indicator applied by the European Union (EU) to monitor and prevent vegetation damage from ozone exposure. Finally, these modelling results were related to to exposure–response functions as derived by Soja et al. [\[21\]](#page-15-0) to estimate the potential loss of productivity and grape sugar content associated to ambient  $O_3$  in the DDR.

The following work is organized in 4 sections: Section [2](#page-1-0) describes the study area, the Douro Demarcated Region; methodology and model validation are presented in Section [3;](#page-3-0) results and discussion are provided in Section [4.](#page-10-0) Summary and conclusions are drawn in Section [5.](#page-13-0)

#### <span id="page-1-0"></span>**2. Description of the Study Area**

The Douro Demarcated Region is the oldest controlled winemaking region in the world and is of great economic value for Portugal. It was created in 1756 and classified as a World Heritage Site for its "cultural, evolutionary and living landscape" in 2001 by the United Nations Educational, Scientific and Cultural Organization. Located within the Douro River basin in the northeastern part of Portugal, the region is known for its deep valleys and mountainous terrain; its altitude can differ between 40 m and 1400 m [\[22\]](#page-15-1). The valleys and mountainous terrain; its altitude can differ between 40 m and 1400 m [22]. The DDR is divided into three sub-regions: Baixo Corgo, Cima Corgo and Douro Superior. The DDR is divided into three sub-regions: Baixo Corgo, Cima Corgo and Douro Superior. topography of the region and the location of the sub-regions are shown in F[ig](#page-2-0)ure 1.

<span id="page-2-0"></span>

**Figure 1.** Case-study location, its sub-regions and topography. **Figure 1.** Case-study location, its sub-regions and topography.

The geographic characteristics of the region provide a favorable climate for wine the grapevine vegetative cycle of 17.8 °C. The precipitation, distributed asymmetrically, decreases in the Baixo Corgo–Douro Superior direction and presents an annual median of 950 mm [\[22](#page-15-1)[,23\]](#page-15-2). Extreme weather has a major influence in vineyard regions. Events such as warm and cold temperature extremes, drought, extreme rain, hail, frost or thunderstorms have significant impacts in the productivity and quality of wine [24,25]. For the period 1986–2005, the Baixo Corgo region had less extreme maximum and minimum temperatures, period 1986–2005, the Baixo Corporation had less extreme maximum and minimum and minimum  $\frac{1}{2}$  and  $\frac{1}{$ resulting for example in less frost days (Tmin <  $0^{\circ}$ C) or summer days (Tmax > 25 °C),<br>when compared to Douro Superior [26] production (temperatures between 12 and 22  $^{\circ}$ C), with an average temperature throughout when compared to Douro Superior  $[26]$ .

Covering approximately 28% of all the land, vineyards are the main land-use type in the region followed by open forests and shrubs. The sub-regions with higher vineyard acreage are the Baixo and Cima Corgo, while olive groves and orchards prevail in Douro  $\frac{1}{2}$  are the Baixo and  $\frac{1}{2}$  or  $\frac{1}{2}$  in  $\frac{1}{2}$  i Superior [\[27\]](#page-15-6) (Figure 2). Superior [\[2](#page-2-1)7] (Figure 2).  $\epsilon$  and  $\epsilon$  28% of all the land, vineyards are the main land-use type in  $\frac{1}{2}$ 

<span id="page-2-1"></span>

Figure 2. Douro Demarcated Region land use (source: CORINE Land Cover).

Within the DDR there are no air quality monitoring stations. The closest one is the rural background station Douro Norte, that is located 9 km northwest of the region, at an altitude of 1086 m. High ozone concentrations are generally observed at this air

quality station [\[28](#page-15-7)[,29\]](#page-15-8), thus modelling the ozone levels in the DDR will also contribute for understanding if this rural air quality station is representative of the region.

#### <span id="page-3-0"></span>**3. Methodology**

To assess the grapevine exposure to tropospheric ozone, an air quality modelling system was applied for the current climate and was then validated using observational data available and compiled for the study period. The effect of ozone on vineyards was evaluated using appropriate metrics for this assessment.

### *3.1. WRF-CHIMERE Modelling System*

The modelling system is based on the chemistry-transport model CHIMERE v2016a1 [\[30,](#page-15-9)[31\]](#page-15-10), forced by the Weather Research and Forecasting (WRF) model v3.5.1 [\[32\]](#page-15-11).

The WRF model is a mesoscale numerical weather prediction (NWP) and atmospheric simulation system developed for both research and operational applications. A detailed description of the model can be found in the model manual [\[32\]](#page-15-11). The initial and boundary conditions for the simulations were driven by ERA-Interim reanalysis data (WRF-ERA), obtained from the European Centre for Medium-Range Weather Forecasts, with a horizontal resolution of approximately 79 km [\[33\]](#page-15-12). The boundary conditions were provided to WRF at 6-h intervals. The land use database comes from the "US Geological Survey" (USGS). The WRF model was applied using a configuration similar to the one described by Marta-Almeida et al. [\[34\]](#page-15-13).

CHIMERE is an open access multi-scale Eulerian Chemical Transport Model (CTM), developed for simulating gas-phase chemistry [\[35\]](#page-15-14) and aerosol formation, transport and deposition [\[36](#page-15-15)[,37\]](#page-15-16) from regional to urban scales. The initial and boundary conditions for the first domain were obtained from the LMDz-INCA (gas species and non-dust aerosols) [\[38\]](#page-15-17) and GOCART (dust) [\[39\]](#page-15-18) global chemical-transport models. The anthropogenic emissions were based on the EMEP inventory [\[40\]](#page-15-19), after being processed to achieve hourly spatially resolved emissions, as required by the model. The biogenic emissions were provided by the MEGAN model [\[41\]](#page-15-20). In addition, the USGS land use database was also used. The chemical mechanism was MELCHIOR-2, which simulates the concentration of 44 gaseous species from a set of 120 chemical reactions; this mechanism is derived from MELCHIOR [\[42\]](#page-15-21) following the concept of "chemical operators" [\[43\]](#page-15-22). CHIMERE was applied with eight vertical levels; the first layer pressure is 997 mbar and the last extends to 500 mbar. Table [1](#page-3-1) presents a summary of the approach applied for each main process and input data considered by the air quality model.



<span id="page-3-1"></span>**Table 1.** Summary of main CHIMERE model options and methodology used.

The CHIMERE model was set up in one-way hourly nesting configuration with four nested domains with an increasing horizontal resolution, namely 81, 27, 9 and 1 km (Figure [3\)](#page-4-0). The current model setup was selected after a careful analysis where different domains were tested based on the quality of results, time of simulation and memory allocation.

Given the aim of this study, the emphasis was attributed to the inner domain with a resolution of 1 km, which comprises the Douro Demarcated Region.

# *3.2. Study Period*

The WRF-CHIMERE modelling system was applied to the DDR for the years 2003 to 2005, which were selected based on a climatological analysis of the years 1986 to 2005. Temperature was the most important meteorological factor when selecting those particular years, due to its clear association with ozone levels [\[44,](#page-15-23)[45\]](#page-15-24). For each year of the period 1986–2005, the average temperature over the DDR from the 1st of April till the 30th of September was calculated by the WRF model with a resolution of 9 km (see Table [2\)](#page-4-1).

<span id="page-4-0"></span>

**Figure 3.** Simulated domains with the WRF-CHIMERE modelling system. (Spatial resolution: 81 km (D01), 27 km (D02), 9 km (D03) and 1 km (D04).

<span id="page-4-1"></span> $G = \frac{1}{\sqrt{2\pi}} \int_{0}^{\pi} \frac{1}{\sqrt{2\pi}} \int_{0}^{\pi} \frac{1}{\sqrt{2\pi}} \frac{1}{\sqrt{2\pi}} \int_{0}^{\pi} \frac{1}{\sqrt{2\pi$ **Table 2.** Ranked Douro Demarcated Region (DDR) annual temperature (1 April–30 September) *3.2. Study Period*  ERA-Interim for the period 1986–2005. Years in bold were selected for the simulations. based on the Weather Research and Forecasting (WRF) model results (9 km resolution) forced by

Rank	Year	Average Temperature (°C)
1	1987	17.92
$\sqrt{2}$	1990	17.53
3	2003	17.40
$\overline{\mathbf{4}}$	1995	17.35
5	1989	17.25
6	2005	17.23
7	1997	17.16
$\,$ 8 $\,$	1991	16.96
9	1999	16.85
10	2004	16.71
11	1998	16.69
12	1992	16.53
13	2001	16.38
14	1996	16.21
15	2000	16.14
16	2002	15.98
17	1993	15.85
$18\,$	1988	15.79
19	1986	15.76
$20\,$	1994	15.71
	Average 1986-2005	16.67

13 2001 - 13 2001 - 13 2002 - 13 2003 - 13 2004 - 13 2004 - 13 2004 - 13 2004 - 13 2004 - 13 2004 - 13 2004 - <br>13 2001 - 13 2002 - 13 2003 - 13 2004 - 13 2004 - 13 2004 - 13 2004 - 13 2004 - 13 2004 - 13 2004 - 13 2004 -The three warmest consecutive years at DDR from the period 1986–2005 were 1989, 1990 and 1991. However, no observations were available at the Douro Norte monitoring station for this period. Considering the availability of  $\mathrm{O}_3$  measurements, the second three warmest consecutive years were selected for the simulations: 2003, 2004 and 2005 (from now

on referred to as recent past climate). The selected years showed larger temperature values than the averaged 1986–2005 along the vegetative period (see Table S1 in the supplementary material). This indicated a larger potential for ozone production, as desired for the ozone simulations.

#### *3.3. Metrics of Assessment*

The metrics to evaluate the effects of vegetation exposure to tropospheric ozone are related to the need of assessing the risk of phytotoxic damage with something quantifiable, that can be mapped, and thus contribute to the development of an emission control strategy.

There are several indicators to assess ozone exposure and the majority are based on ozone concentrations [\[11\]](#page-14-9). This paper will focus on a parameter established by the European legislation, the AOT40 accumulated exposure indicator. The AOT40 indicator, expressed in µg·m<sup>-3</sup>·h, consisted of the sum of the difference between the values of hourly concentrations above 80  $\mu$ g·m<sup>-3</sup> and the value 80  $\mu$ g·m<sup>-3</sup>, between 08:00 and 20:00 h of each day, between May and July (Equation (1)). Note that 80  $\mu$ g·m<sup>-3</sup> of ozone was approximately 40 ppb because the unit's conversion depends on the density of the air.

$$
AOT40 = \sum_{i=8h}^{20h} [[O_3] - 80]_i, \text{ for } [O_3] \geq 80 \,\mu\text{g} \cdot \text{m}^{-3}
$$
 (1)

The AOT40 quantifies the ozone exposure, i.e., not the effective ozone uptake by vegetation. The target value established in the Air Quality Framework Directive 2008/50/EC is 18,000 μg·m<sup>-3</sup>·h averaged over 5 years. The long-term objective is 6000 μg·m<sup>-3</sup>·h and the advantage of the AOT40 approach is its simplicity because only ozone concentrations are required for its determination.

The assessment of the potential damage in terms of productivity and quality was done through the application of the exposure–response functions published by Soja et al. [\[21\]](#page-15-0). The functions were designed after a 4-year study of ozone uptake of pot-grown grapevines, fruit yield and sugar concentrations in juice, wherein 3 of the 4 years, grapevines were exposed to different ozone levels in open-top chambers. For this work, the third-year functions were applied to assess the scenario with the most damage for grapevines. Equation (2) shows the relationship between vineyard productivity and exposure to ozone, while Equation (3) relates to regards relative grape sugar production (AOT40 is expressed in µmol·mol−<sup>1</sup> ·h).

$$
productivity (%) = 102.4 - 2.614 AOT40 \tag{2}
$$

$$
sugar\ (\%) = 95.4 - 2.456 AOT40\tag{3}
$$

In addition to exposure levels, dry deposition levels were also calculated (Equation (4)). The results were based on the total  $O_3$  dry deposition accumulated over May to July, the same period established for the calculation of the AOT40 indicator.

$$
Dep\left(g \cdot m^{-2}\right) = \sum_{Mai}^{July} -v_d\left[O_3\right] \tag{4}
$$

where,

*Dep* –dry deposition (g·m−<sup>2</sup> )  $v_d$  —dry deposition velocity (m·s<sup>-1</sup>) [*O*3] —ozone concentration (g·m−<sup>3</sup> )

CHIMERE models dry deposition of gases using the resistance scheme described by Wesely [\[46\]](#page-15-25). In this type of scheme, the dry deposition velocity is calculated using Equation (5).

$$
v_d\left(m\,s^{-1}\right) = \frac{1}{r_a + r_b + r_c} \tag{5}
$$

where,

 $v_d$  —dry deposition velocity (m·s<sup>-1</sup>)

*r<sup>a</sup>* —aerodynamic resistance (s·m−<sup>1</sup> )

*r<sup>b</sup>* —surface resistance (s·m−<sup>1</sup> )

*r<sub>c</sub>* —canopy resistance (s·m<sup>-1</sup>)

The aerodynamic resistance  $(r_a)$  is related to the turbulent diffusion, the surface resistance (*r<sup>b</sup>* ) is dominated by molecular diffusion and the canopy resistance (*rc*) is linked to the water solubility of the species. The latter was applied only to gaseous species and its formulation followed Erisman et al. [\[47\]](#page-16-0) and the developments made in the EMEP model [\[12](#page-14-10)[,48,](#page-16-1)[49\]](#page-16-2). It is also related to other resistances such as the canopy stomatal resistance, soil resistance, mesophyll resistance, in-canopy aerodynamic resistance and external leaf resistance, which are dependent on the land use type and season. In general terms, it would be expected that the bulk canopy stomatal resistance represents approximately half of the total  $O_3$  flux to the plant surface [\[50\]](#page-16-3). The bulk canopy non-stomatal resistance is also significant and can reach 20% or more of the total dry deposition [\[47\]](#page-16-0). The rest of the flux was conditioned by the  $R_a$  and  $R_b$  with  $R_b$  close to 1/3 of  $R_a$ . These ratios were also similar to those found by  $O_3$  dry deposition modelling performed over a vineyard during the 1991 California Ozone Deposition Experiment [\[51\]](#page-16-4).

In summary, dry deposition is considerably influenced by stomatal opening and the turbulent transport, which are influenced by wind speed, temperature, vapour pressure deficit, vegetation height, canopy closure and leaf index area (LAI).

#### *3.4. Observation Data and Model Validation*

Previously to the analysis of grapevines' exposure to ozone, the CHIMERE model performance was evaluated for the years 2003 to 2005 and for the period between April to September. For this, ozone model results were compared with observations. Within the domain D04, we have one air quality monitoring station only (Douro Norte). However, a model validation exercise considering one only observational dataset would not be robust enough for our analysis. We decided thus to include all the observational datasets located within a radius of 200 km of the DDR, using modelled data within D04, with 1 km resolution, whenever possible, or from D03, with 9 km resolution.

The selected stations included monitoring stations from the Portuguese and Spanish air quality monitoring networks, classified as background influence, that had more than 75% data collection efficiency during the simulation period and within a radius of 200 km of the DDR. Monitoring data were retrieved from the European air quality database (AirBase) [\[52\]](#page-16-5). Figure [4](#page-7-0) shows the location of the selected air quality monitoring stations and the DDR.

The selected monitoring stations included six rural background stations, seven suburban and three urban stations, six of them belonging to the Spanish air quality monitoring network. Information on the location and characteristics of the stations is presented in Table [3.](#page-7-1)

The validation was based on the analysis of hourly time series plots and the standard statistical parameters [\[53\]](#page-16-6), namely correlation coefficient (r), bias and root mean square error (RMSE) were calculated and are presented in Table [4.](#page-8-0)

In general, the statistical parameters showed that the CHIMERE model had an adequate performance for ozone simulations, with a correlation factor between 0.6 and 0.8, a mean bias of  $-12 \mu$ g·m<sup>-3</sup>, and an RMSE ranging between 18 and 37  $\mu$ g·m<sup>-3</sup>. These results were similar or better than those obtained by other simulation studies with the CHIMERE model [\[54,](#page-16-7)[55\]](#page-16-8). It should be noted that the BIAS was mostly positive, indicating that, overall, the model is overestimating the measured values. A systematic overestimation of surface ozone concentrations is reported in many CHIMERE studies. For example, at northwestern Iberian Peninsula and during the summer season, CHIMERE overestimated the ozone mean concentrations and underestimated the temporal variability of the daily maximum  $O_3$  concentrations [\[56\]](#page-16-9). A specific study for Portugal [\[55\]](#page-16-8) also reports overestimation of  $O_3$ 

concentrations, with higher magnitude at suburban stations. However, when comparing CHIMERE with other air pollution models results over Europe [\[57\]](#page-16-10), CHIMERE was found to have among the best skills for ozone daily maxima but to overestimated night-time ozone concentration, leading to a general positive bias not generally shared by other models [\[30\]](#page-15-9). In that intercomparison exercise, this bias is attributed in large part to overestimation of mixing in stable conditions.

<span id="page-7-0"></span>

**Figure 4.** Location of the air quality monitoring stations considered for the model validation. The **Figure 4.** Location of the air quality monitoring stations considered for the model validation. The rectangular shape is the area of the D04 domain  $(1 \times 1 \text{ km}^2)$ .

Code	Name	<b>Type</b>	Longitude (Degrees)	Latitude (Degrees)	Altitude (m)
<b>DRN</b>	Douro Norte	Rural	$-7.79$	41.37	1086
<b>ERV</b>	Ervedeira	Rural	$-8.67$	40.58	32
<b>ES0013R</b>	Peñausende	Rural	$-5.89$	41.23	985
<b>ES0016R</b>	O Saviñao	Rural	$-7.70$	42.63	506
ES1616A	Monfragüe	Rural	$-5.93$	39.84	376
<b>FUN</b>	Fundão	Rural	$-7.17$	40.13	473
<b>CUS</b>	Custóias-Matosinhos	Suburban	$-8.64$	41.20	100
<b>ERM</b>	Ermesinde-Valongo	Suburban	$-8.55$	41.20	140
<b>ES1224A</b>	Cementerio del Carmen	Suburban	$-4.69$	41.67	693
<b>FRO</b>	Frossos-Braga	Suburban	$-8.46$	41.57	51
HЛ	<b>Ilhavo</b>	Suburban	$-8.40$	40.35	32
<b>PER</b>	Meco-Perafita	Suburban	$-8.42$	41.13	25
<b>VNT</b>	VN Telha-Maia	Suburban	$-8.66$	41.25	88
<b>ES1449A</b>	Salamanca	Urban	$-5.65$	40.94	797
<b>ES1615A</b>	Cáceres	Urban	$-6.36$	39.47	389
IGE	Instituto Geofísico de Coimbra	Urban	$-8.24$	40.12	147

<span id="page-7-1"></span>**Table 3.** List of stations used in model validation and its characteristics.

Other possible reasons for overestimation of ozone concentrations include the use of an emissions inventory with low NO emissions. Close to the pollution sources (e.g., in urban and suburban areas) freshly emitted NO locally scavenges O<sub>3</sub>, and thus missing NO emissions could lead to an overestimation of  $O_3$  concentrations. This hypothesis could also explain an underestimation of O<sub>3</sub> concentrations in the areas downstream of the major The value of the validation was based on the analysis of the standard series plots and the standard se sources in northwestern Portugal). The high values measured in this station have been<br> widely discussed in several studies, and it is difficult to simulate its behavior [\[20,](#page-14-18)[58\]](#page-16-11). precursor sources, as happens in Douro Norte (which is downstream important pollution

When modelling ozone, it is important to simulate properly the maximum daily values. Time series of the maximum daily 8-h ozone mean levels between May and July (period for calculating AOT40 indicator) for the three simulated years are presented in Figure [5.](#page-8-1) Note that Douro Norte station began measuring in 2004. Four "representative" sites were selected to cover the different type of monitoring stations.

Code	Name	<b>Type</b>	r	<b>Bias</b> $(\mu g \cdot m^{-3})$	<b>RMSE</b> $(\mu g \cdot m^{-3})$
<b>DRN</b>	Douro Norte	Rural	0.7	$-28.3$	37.3
<b>ERV</b>	Ervedeira	Rural	0.7	2.9	23.3
<b>ES0013R</b>	Peñausende	Rural	0.7	$-3.3$	17.9
<b>ES0016R</b>	O Saviñao	Rural	0.6	12.0	21.5
<b>ES1616A</b>	Monfragüe	Rural	0.6	15.3	30.9
<b>FUN</b>	Fundão	Rural	0.7	13.3	26.4
<b>CUS</b>	Custóias-Matosinhos	Suburban	0.8	13.7	24.5
<b>ERM</b>	Ermesinde-Valongo	Suburban	0.8	13.6	24.8
<b>ES1224A</b>	Cementerio del Carmen	Suburban	0.8	17.5	29.2
<b>FRO</b>	Frossos-Braga	Suburban	0.6	28.2	36.9
<b>ILH</b>	Ílhavo	Suburban	0.6	19.3	31.9
<b>PER</b>	Meco-Perafita	Suburban	0.6	10.6	27.7
<b>VNT</b>	VN Telha-Maia	Suburban	0.7	14.3	26.2
<b>ES1449A</b>	Salamanca	Urban	0.7	20.4	30.1
<b>ES1615A</b>	Cáceres	Urban	0.6	11.9	30.7
IGE	Instituto Geofísico de Coimbra	Urban	0.7	26.0	34.6
	Average		0.7	11.7	28.4

<span id="page-8-0"></span>**Table 4.** Correlation coefficient, bias, RMSE for each station, for  $O_3$ .

<span id="page-8-1"></span>

Figure 5. Observed and modelled maximum daily eight-hour mean ozone concentration for different types of monitorstations. ing stations.

In general, the model can simulate the daily maximum ozone variability. When entrations are lower, the model tends to overestimate the  $O<sub>2</sub>$  levels, but, overal concentrations are lower, the model tends to overestimate the  $O_3$  levels, but, overall, it reproduces the episodic events of high concentrations, with exception of the Douro Norte station, already mentioned before, which presents peculiar characteristics.

Although statistical performance indicators provide insight into the overall performance of the model, they do not indicate whether its performance has reached enough level of quality for a given application, e.g., policy decision support. The Delta Tool software (version 5.4) was used for additional tests. This tool was developed in the scope of the Forum on Air Quality Modelling in Europe [\[59](#page-16-12)[,60\]](#page-16-13) to assess quality criteria for the application of models under the Air Quality Framework Directive.

The Delta Tool uses mainly two indicators, the Model Quality Indicator (MQI) and the Model Quality Objective (MQO). The MQI is defined by the ratio between the BIAS and an amount proportional to the measurement uncertainty (Equation (6)).

$$
MQI = \frac{|O_i - M_i|}{2 \; U_{95}(O_i)}\tag{6}
$$

where,

Oi—observed value

*Mi*—simulated value

 $U_{95}(O_i)$  —representative value of the measurement uncertainty, which corresponds to the 95th percentile highest value among all uncertainty values calculated (see [\[59\]](#page-16-12) for additional details regarding the derivation of the observation uncertainty)

> The MQO is defined as the minimum quality level to be achieved by the model to support the decision-making process; the objective is achieved when the MQI is less than or equal to 1.

> One of the main features of this tool is called "target plot", which uses the MQI as the key indicator. The performance criteria for this indicator is defined by a circle boundary: if at least 90% of the stations are within the circle, the quality criteria are achieved. Figure [6](#page-9-0) shows the target diagram obtained for the  $O_3$  maximum daily 8-h mean for the summer period of 2004. period of 2004.  $\frac{6}{1}$  shows the target diagram obtained for the  $\frac{6}{3}$  maximum daily  $\frac{6}{1}$  mean for the

<span id="page-9-0"></span>

**Figure 6.** Target plot for the maximum daily 8-h mean values of ozone in the summer, obtained **Figure 6.** Target plot for the maximum daily 8-h mean values of ozone in the summer, obtained with the Delta Tool. The uncertainty parameters (Alpha, Beta, Reference Value (RV) and *U*rv) used with the Delta Tool. The uncertainty parameters (Alpha, Beta, Reference Value (RV) and *U*rv) used to produce the diagram are listed on the top right-hand side together with the associated model to produce the diagram are listed on the top right-hand side together with the associated model uncertainty calculated by the DELTA software. uncertainty calculated by the DELTA software.

RV stands for Reference Value for a given time averaging usually based on reference values established by the Air Quality Directive. For ozone,  $RV = 120 \mu g \cdot m^{-3}$ . Urv represents the relative measurement uncertainty estimated around the reference value (RV) for a given time averaging. Alpha and Beta are other parameters related to the uncertainty formulation, which is detailed by [\[59\]](#page-16-12).

The stations are all located on the left side, which means that the error associated with the correlation factor dominates in relation to the standard deviation. All stations are within the circle (MQI is less than 1) and the uncertainty associated with the model is less than 50% which meets the criteria established in the Air Quality Framework Directive.

Overall, and despite some limitations (such as the underestimation of ozone concentrations at the Douro Norte station with a bias of  $-28.3 \,\mu g \cdot m^{-3}$ , already discussed), the model validation exercise shows an adequate performance of the WRF-CHIMERE modelling system in simulating  $O_3$  concentrations in the atmosphere, as shown by the fulfilment of the DELTA MQO. Thus, and always bearing in mind the uncertainty associated with the modelling methodology (27.6%), it is possible to state that the model results meet the necessary conditions to be used in this study.

## <span id="page-10-0"></span>**4. Vineyard's Exposure to O<sup>3</sup>**

To assess the vineyard's exposure to  $O_3$ , the simulation results are shown as AOT40 (Equation (1)), calculated using the average of the 3 simulated years. Figure 7 presents the (Equation (1)), calculated using the average of the 3 simulated years. Figure [7](#page-10-1) presents the spatial distribution of AOT40 values for the Iberian Peninsula. spatial distribution of AOT40 values for the Iberian Peninsula.

<span id="page-10-1"></span>

**Figure 7.** AOT40 levels (μg·m<sup>−</sup>3·h) averaged for the 3 simulation years and over Iberian Peninsula **Figure 7.** AOT40 levels (µg·m−<sup>3</sup> ·h) averaged for the 3 simulation years and over Iberian Peninsula (D03,  $9 \times 9$  km<sup>2</sup> horizontal resolution). The circles represent the AOT40 levels measured by the air quality monitoring stations. quality monitoring stations.

The higher levels of AOT40 were observed downstream of the major precursor The higher levels of AOT40 were observed downstream of the major precursor sources (e.g., Madrid and Barcelona metropolitan areas) and along the eastern Iberian coast. Lower levels are observed in the North, indicating the effect of temperature in ozone formation (see Figure S1). Exceedances of the target value established by the Air Quality Framework Directive (18,000 μg·m<sup>−3</sup>·h) were visible throughout the Iberian Peninsula, mainly in Spain.

The high values obtained in the Mediterranean Sea were probably related to the deposition processes of ozone, which are less efficient on water than on land (see Figure S2). Moreover, these concentrations may also be associated with the numerous ships that circulate the Mediterranean Sea, mainly in the summer period. When plumes containing nitrogen oxides react with the chloride in marine aerosols, high levels of nitrile chloride are produced. This compound is then photolyzed producing nitrogen dioxide that will accelerate the production of ozone. Gencarelli et al. [\[61\]](#page-16-14) found that emissions from ships contributed approximately 20% to the levels of ozone in the Mediterranean Sea in 2003. Recent studies reinforce the role of shipping activities in the Mediterranean Sea as an

important source of  $O_3$  precursors for the Mediterranean countries and the Mediterranean Basin itself [\[62](#page-16-15)[–64\]](#page-16-16). In addition to local ozone production, transport mechanisms are also of great importance. The synoptic transport of pollution from Europe, that predominates in summer, is responsible for high concentrations of  $O_3$  on the Mediterranean Sea (e.g., [\[65,](#page-16-17)[66\]](#page-16-18)). Duncan and Bey [\[67\]](#page-16-19) show that the long-range transport of ozone generated from Europe contributed 5–20 ppb to countries bordering the Mediterranean Sea.

The circles in Figure [7](#page-10-1) represent the AOT40 values calculated with the measured data. The target value for vegetation protection was exceeded in most rural stations, which means that pollutant's transport was causing high levels of ozone in areas where ozone production was not relevant. Furthermore, the model underestimated the AOT40 values measured in some rural background stations. These results are in agreement with the AOT40 maps, produced by the European Environment Agency [\[68\]](#page-16-20), in terms of the range of values and spatial distribution.

*Atmosphere cand spatial distribution.*<br>*Focusing on the area of interest, Figure [8](#page-11-0) presents the AOT40 map for the DDR with a* spatial resolution of  $1 \times 1$  km<sup>2</sup>.

 $24000$ 

<span id="page-11-0"></span>

**Figure 8.** AOT40 levels ( $\mu$ g·m<sup>-3</sup>·h) averaged for the 3 simulation years in the DDR (D04, 1  $\times$  1 km<sup>2</sup> horizontal resolution). horizontal resolution). horizontal resolution).

the DDR, where the average AOT40 levels were approximately  $22\,500\,\mu g.m^{-3}.$  h and the maximum levels could reach values of 24,000  $\mu$ g·m<sup>-3</sup>·h, about 1.3 times higher than the the transmitted by the countries of the company of the contract the metal interest register than the in the Cima Corgo and Douro Superior sub-regions, which are the sub-regions with the lowest wine production per unit of area [\[69\]](#page-16-21). The high levels of estimated AOT40 in the reversion are worrying since they indicate that ozone concentrations may be causing direct damage to the grapevine and potentially diminishing its yield and quality. It is possible to quantify this damage by using exposure-response functions and the calculated AOT40 values. The functions from Soja et al. [\[21\]](#page-15-0) were applied and Figures 9 and 10 show res[ult](#page-11-1)s for productivity and  $\frac{1}{2}$  content  $\frac{1}{2}$  (expressed as a perceptively proportively for productivity and sugar content loss (expressed as a percentage), respectively. Exceedances of the target value for vegetation protection were visible throughout Exceedances of the target value for vegetation protection were visible throughout the

<span id="page-11-1"></span>

simulation years and based on Soja et al. (2004) exposure-response functions. simulation years and based on Soja et al. (2004) exposure–response functions. **Figure 9.** Productivity loss (%) in DDR (D04,  $1 \times 1$  km<sup>2</sup> horizontal resolution), averaged for the 3

<span id="page-12-0"></span>

**Figure 10.** Sugar content loss (%) in DDR (D04,  $1 \times 1$  km<sup>2</sup> horizontal resolution), averaged for the 3 simulation years and based on Soja et al. (2004) exposure–response functions.

27% and the grapes' sugar loss was 32%. Being the sugar content a way of measuring the  $\mathcal{L}$  and the average average  $\mathcal{L}$  because  $\mathcal{L}$  is the max-beam  $\mathcal{L}$  and the max-beam  $\mathcal{L}$ quality of wine, the estimated results could potentially represent a challenge for Douro's<br>vine producers The average productivity loss calculated for the simulation period in the DDR was The average productivity loss calculated for the simulation period in the DDR was wine producers. wine producers.

When discussing these outcomes, it is important to remember that although AOT40 is an important indicator for assessing the risk of vegetation exposure to ozone, it has some limitations. This indicator tends to overestimate vegetation damage since the concentrationdamage ratio is not linear because it does not consider the uptake of ozone by the plants. Furthermore, applying Soja et al. [\[21\]](#page-15-0) functions also brings uncertainty to the results, since the variety and age of the grapevines could also be related to greater or lesser plant  $\frac{1}{2}$  tolerance to ambient ozone.

Although there is no defined threshold or dose–response functions for  $O_3$  dry deposition for vineyards, considering the AOT40 limitations above mentioned the legislated short for vineyards, considering the AOT40 limitations above incritioned the regislated indicator for vegetation protection should be based on the plants' ozone uptake instead Indicator for vegetation protection should be based on the plants' ozone uptake instead<br>of ozone exposure, as defended by Blanco-Ward et al. [\[11\]](#page-14-9). This potential new indicator or ozone exposure, as defended by Blanco-Ward et al. [11]. This potential new indicator<br>could be based on O<sub>3</sub> dry deposition values. Therefore, averaged dry deposition values edula be based on O<sub>3</sub> dry deposition values. Therefore, averaged dry deposition values<br>accumulated from May to July, the same AOT40 period, were calculated and their spatial distribution is presented in Figure [11.](#page-12-1) distribution is presented in Figure 11. accumulation is measured in  $\mathbb{F}_q$  and  $\mathbb{F}_q$  are  $\mathbb{F}_q$  and  $\mathbb{F}_q$  and  $\mathbb{F}_q$  spatial in  $\mathbb{F}_q$  is  $\mathbb{F}_q$  in  $\mathbb{F}_q$  in  $\mathbb{F}_q$  in  $\mathbb{F}_q$  in  $\mathbb{F}_q$  is  $\mathbb{F}_q$  in  $\mathbb{F}_q$  in  $\mathbb{F}_q$  in

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**Figure 11.** O<sub>3</sub> dry deposition (g·m<sup>-2</sup>) in DDR (D04, 1 × 1 km<sup>2</sup> horizontal resolution), accumulated from May to July and averaged for the 3 simulation years. from May to July and averaged for the 3 simulation years.

Figure 11 **Pager content loss (West side)**, in Detail resolution in the Douro Superior sub-region is the 3 due to the 3 sub-region is the 3 due to the 3 due  $4 \text{ g} \cdot \text{m}^{-2}$  (V<sub>d</sub> ~ 0.64 cm/s) with a surface friction velocity of 3.5 m/s (R<sub>a</sub> 26%, R<sub>b</sub> 10%, R<sub>c</sub> 65% with  $R_{\text{stomatal}}$  41% and  $R_{\text{nonstomatal}}$  24%). The highest values were observed in the Baixo with Rstomatal 41% and Rnonstomatal 24%). The highest values were observed in the Baixo Corgo Corgo sub-region (west side) and the lowest values in the Douro Superior sub-region (east side). This is likely because the Baixo Corgo sub-region is the area with more precipitation The average accumulated dry deposition (May–July) for the DDR was approximately and lower temperatures, which are more favorable conditions for stomatal opening and thus ozone uptake (see Table S1).

Comparing this spatial distribution with the AOT40 map (Figure [8\)](#page-11-0), it is noticeable that the areas where the AOT40 values were higher did not correspond to the areas with higher values of dry deposition. This supports the conclusion that exposure-based indicators are not the best approach to assess ozone damage to vegetation. and that dose-based metrics are also needed to better support end-users.

#### <span id="page-13-0"></span>**5. Summary and Conclusions**

The main objective of this study was to assess the Douro vineyards exposure to tropospheric ozone in past recent climate conditions. The air quality modelling system WRF-CHIMERE was applied to estimate  $O_3$  concentrations and dry deposition levels and link them with the effects on sugar content and productivity of the grapevine. The simulations were performed and validated for the years 2003 to 2005, which were selected due to their hot summers, and the exposure indicator AOT40 was calculated as recommended by the Air Quality Framework Directive. The average calculated AOT40 value for the DDR was 22 500 µg·m<sup>-3</sup>·h suggesting that ozone concentrations in the DDR are very likely to bring damage to the vineyards.

Exposure–response functions from literature [\[21\]](#page-15-0) were used to link the exposure of vineyards to productivity loss and sugar decrease. The estimated average productivity loss was 27% and the grapes' sugar loss was 32%. It is important to keep in mind the uncertainties of this approach and the obtained values. AOT40 is known for overestimating the damage to vegetation, besides, the established target value is for a generic crop that can have a different sensitivity to ozone than grapevines. Moreover, the exposure–response functions, though the best published option available at the time, also bring some uncertainty to the results as they are based on only one grapevine cultivar grown under continental climate conditions in an open-top-chamber.

Ozone dry deposition accumulated from May to July was approximately  $4 \text{ g} \cdot \text{m}^{-2}$ . Due to the lack of legislated values and bibliography, it is difficult to fully assess and discuss the obtained dry deposition values. Anyway, the areas where the AOT40 values were higher do not correspond to the ones of higher  $O_3$  dry deposition. Hence, the risk of exposure to ozone based on the AOT40 could have been overestimated. In summary, to achieve more reliable results, future investigation would require the definition of a threshold for vegetation protection that considers the potential uptake by the vegetation using dry deposition levels, and to continue to develop grapevine-specific dose–response functions.

Finally, climate change scenario projections point to an increase in ozone concentrations [\[70\]](#page-16-22), which means that the effects of ozone on the grapevine may be aggravated, while some studies indicate that climate changes will benefit wine production [\[71](#page-16-23)[,72\]](#page-16-24). Therefore, as future work, we will assess this dynamic by performing ozone simulations in the DDR for future climate and calculating bioclimatic indicators to assess the vineyard quality and performance.

**Supplementary Materials:** The following are available online at [https://www.mdpi.com/2073](https://www.mdpi.com/2073-4433/12/2/200/s1)  $-4433/12/2/200/s1$ , Figure S1: Temperature (°C) averaged between May and July over Iberian Peninsula (D03, 9 x 9 km<sup>2</sup> horizontal resolution), Figure S2: O<sub>3</sub> dry deposition (g.cm<sup>-2</sup>) on Iberian Peninsula (D03,  $9 \times 9$  km<sup>2</sup> horizontal resolution), accumulated from May to July and averaged for the 3 simulation year, Table S1: Average precipitation (mm) and temperature (◦C) for Baixo Corgo and Douro Superior sub-regions between May and July for 2003 to 2005.

**Author Contributions:** conceptualization, A.A., A.M., C.B., M.L. and A.I.M.; formal analysis, A.A. and C.V.; investigation, A.A.; project administration, C.S. and A.I.M.; software, A.A., C.G., D.B.- W., C.V. and V.R.; supervision, A.R. and A.I.M.; visualization, C.G.; writing—original draft, A.A.; writing—review and editing, A.A., C.G., D.B.-W., A.M., C.S., C.V., V.R., A.R., C.B., M.L. and A.I.M. All authors have read and agreed to the published version of the manuscript.

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