

## Article

# Spatio-Temporal Prediction of Ground-Level Ozone Concentration Based on Bayesian Maximum Entropy by Combining Monitoring and Satellite Data

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**Abstract:** Ozone ( $O_3$ ) pollution is one of the predominant environmental problems, and exposure to high  $O_3$  concentrations has a significant negative influence on both human health and ecosystems. Therefore, it is essential to analyze spatio-temporal characteristics of  $O_3$  distribution and to evaluate  $O_3$  exposure levels. In this study,  $O_3$  monitoring and satellite data were used to estimate  $O_3$  daily, seasonal and one-year exposure levels based on the Bayesian maximum entropy (BME) model with a spatial resolution of  $1\text{ km} \times 1\text{ km}$  in the Beijing-Tianjin-Hebei (BTH) region, China. Leave-one-out cross-validation (LOOCV) results showed that  $R^2$  for daily and one-year exposure levels were 0.81 and 0.69, respectively, and the corresponding values for RMSE were  $19.58\ \mu\text{g}/\text{m}^3$  and  $4.40\ \mu\text{g}/\text{m}^3$ , respectively. The simulation results showed that the heavily polluted areas included Tianjin, Cangzhou, Hengshui, Xingtai, and Handan, while the clean areas were mainly located in Chengde, Qinhuangdao, Baoding, and Zhangjiakou.  $O_3$  pollution in summer was the most severe with an average concentration of  $134.5\ \mu\text{g}/\text{m}^3$ . In summer,  $O_3$  concentrations in 87.7% of the grids were more than  $100\ \mu\text{g}/\text{m}^3$ . In contrast, winter was the cleanest season in the BTH region, with an average concentration of  $51.1\ \mu\text{g}/\text{m}^3$ .

**Keywords:**  $O_3$ ; OMI; Bayesian maximum entropy; exposure level; BTH region



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## 1. Introduction

Ozone ( $O_3$ ) is a secondary pollutant generated during photochemical reactions of precursors such as nitrogen oxides ( $\text{NO}_x$ ) and volatile organic compounds (VOCs) emitted by human activities [1]. High concentrations of ground-level  $O_3$  may affect the ecological environment, public health, as well as the growth of plants and animals.  $O_3$  absorbs solar ultraviolet radiation, leading to global warming and climate change, and then affects the balance of the ecological environment [2]. Epidemiological research has proved that exposure to high  $O_3$  concentration may increase the risk of death from cardiovascular, respiratory, and nervous system diseases. Cardiovascular diseases caused by  $O_3$  exposure mainly include arrhythmias, vascular endothelial dysfunction, brachial artery vasoconstriction, and hypertension [3–5]. Respiratory diseases caused by  $O_3$  exposure are mainly allergic rhinitis, bronchitis, asthma, and lung function decrements [6–9]. Additionally,  $O_3$  exposure has been associated with nervous system diseases, such as memory loss and mental abnormalities, eventually leading to dementias [10–12]. Liu et al. [13] estimated the premature deaths of chronic obstructive pulmonary disease (COPD) in 2015 caused by  $O_3$  exposure were between 56,000 and 80,000 cases. In 2015, premature deaths in China associated with cardiovascular diseases owing to  $O_3$  long-term exposure were approximately 129,000 cases [14]. For animals and plants, increased  $O_3$  pollution can lead to reduced biodiversity and crop production, as well as plant leaves necrosis and shedding [15,16]. The National Ambient Air Quality Standard (NAAQS) has defined threshold values ( $100\ \mu\text{g}/\text{m}^3$  and  $160\ \mu\text{g}/\text{m}^3$ , respectively for Class I and Class II) for daily maximum 8-h average  $O_3$  concentration. The

monitoring results released by the Ministry of Ecology and Environment of China indicated that the national mean O<sub>3</sub> concentration in China in 2020 was 138 µg/m<sup>3</sup>, which decreased by 8.6% compared with 2018. But this level still exceeded 38% above the Class I standard set by NAAQS [17,18]. Therefore, O<sub>3</sub> is still the main pollutant affecting the ambient air quality in China.

Several methods are used to simulate O<sub>3</sub> exposure levels, including spatial interpolation of monitoring data, remote sensing image retrieval, chemical transport models (CTMs), and other statistical models. Although O<sub>3</sub> concentrations are regularly monitored, the monitoring sites are relatively dense in eastern China and sparse in western China [19]. CTMs predict atmospheric pollutant concentrations based on emission inventories and meteorological conditions. However, it is difficult to obtain emission inventories with high spatio-temporal resolution [20–22]. The land use regression (LUR) model is an efficient method that estimates pollutant exposure levels and predicts the pollutant concentrations at unmeasured sites with a high spatial resolution based on predictor variables, such as land use, topography, population, and traffic [23]. Therefore, the LUR model can be used to simulate the spatial variations of pollutants at fine spatial resolution. However, land use and topography have few variances in a short period, making it difficult to simulate short-term exposure levels of pollutants [24–27]. Machine learning algorithms, for example, random forest models and neural network models, provide nonlinear mapping tools for large datasets. However, the accuracy of the model may be reduced due to the over-fitting effect [28,29]. Multivariate adaptive regression splines (MARS) are advantageous in exploring a large amount of complex nonlinear relationships and detecting their interactions quickly, but it takes a very long time [30,31]. M5 model tree (M5MT) efficiently deals with datasets that have different attributes, but when there are fewer training points, a smoothing process is required to make up for the lack of continuity in the adjacent linear models [32,33]. The dynamic evolutionary neuro-fuzzy inference system (DENFIS) is suitable for both online and offline learning, but the main drawback is its black-box structure, which does not provide any formulas [34]. In addition, interpolation methods can be used to interpolate pollutant concentrations. However, the traditional interpolation methods require normally distributed data and may ignore prior information [35,36]. Satellite data could be used for large spatial scale and long-term observation. Zhang and Zhang [37] discussed the spatio-temporal distribution characteristics of O<sub>3</sub> concentration in China based on Ozone Monitoring Instrument (OMI) retrievals and found good consistency between satellite and surface observations.

The Bayesian maximum entropy (BME) model is a modern geostatistical method that improves prediction accuracy by combining information from various sources [38–42]. Prior information is an important constituent in BME. Using prior information could greatly save research time and the cost of data acquisition and analysis [43]. BME model consists of a general knowledge base (G-KB) and a site-specific knowledge base (S-KB). G-KB contains physical laws and scientific theories such as the BME covariance function, while S-KB contains hard data (HD) and soft data (SD). HD is relatively accurate and complete, such as the monitoring data. However, SD is relatively incomplete and may have various forms such as interval value, Gaussian distribution, and uniform distribution [44–46]. In addition, the analysis of BME has two objectives, one is to maximize the information of general knowledge, and another is to maximize the probability of specific knowledge [47]. Bogaert et al. [48] simulated monthly O<sub>3</sub> concentration in California over 15 years based on the BME model, and the results were consistent with California's climate characteristics. De Nazelle et al. [49] predicted O<sub>3</sub> concentration in North Carolina using a BME framework, combined with air pollution from different information sources and found that the simulated results by the BME were more accurate than the values predicted by the spatial interpolation method. Chen et al. [50] simulated O<sub>3</sub> exposure level based on a hybrid LUR-BME model in mainland China, then they compared the LUR-BME performance with the ordinary spatio-temporal kriging analysis. A hybrid LUR-BME model showed better performance than the ordinary spatio-temporal kriging model at all time points. Mei et al. [51] developed

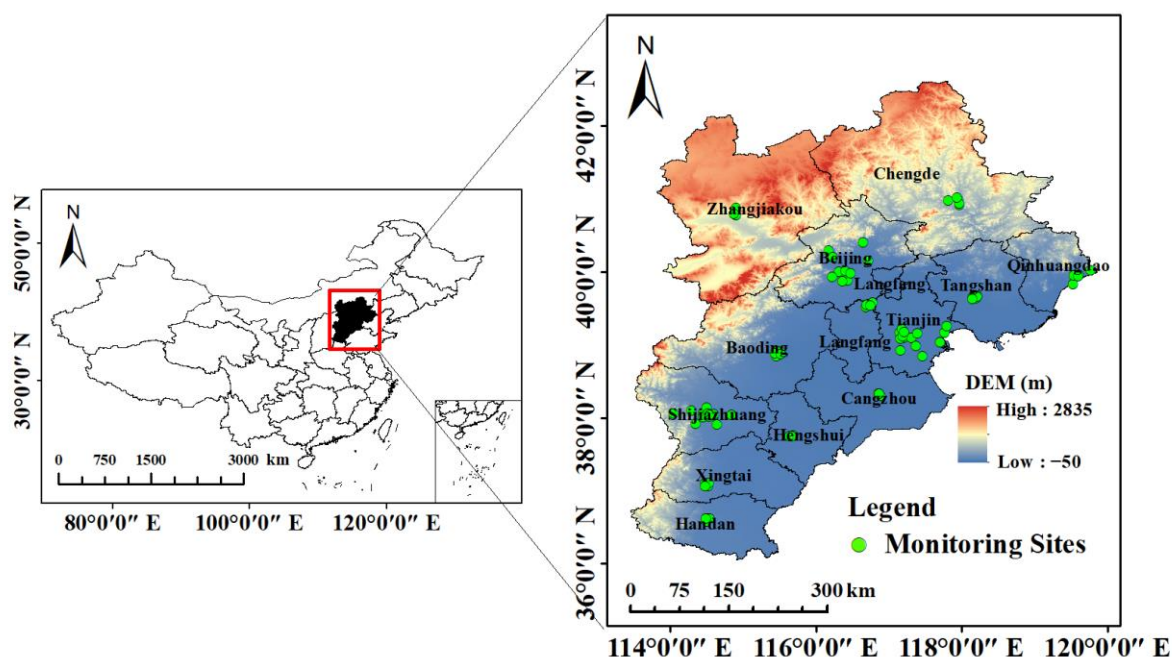
a hybrid model which combined a generalized linear model with a BME model to predict the ground-level O<sub>3</sub> concentration. The hybrid model had better performance than the statistical models when predicting the high-resolution O<sub>3</sub> concentration.

In this study, we combined O<sub>3</sub> monitoring data with satellite data to estimate daily, seasonal and one-year exposure levels of O<sub>3</sub> at a higher spatio-temporal resolution in the BTH region, China in 2020 based on the BME model. Additionally, we evaluated the accuracy of simulation results for O<sub>3</sub> exposure levels.

## 2. Materials and Methods

### 2.1. Study Area

The BTH region is located in the northern part of China (113°04'–119°53' E, 36°01'–42°37' N), including Beijing, Tianjin, along with Hebei Province (Figure 1). The topography of the northwestern BTH region is primarily mountains and plateaus, while the southeastern part is mainly plain. The altitude ranges from −50 m to 2835 m. The climate is a typical warm temperate continental monsoon, with an annual mean temperature of about 15 °C and annual mean precipitation of about 560 mm. The population density is high and O<sub>3</sub> pollution is relatively serious. In addition, there are enough O<sub>3</sub> concentration monitoring stations to establish the BME model, and the stations are distributed in each city in the BTH region. Therefore, the BTH region was chosen as the study area.



**Figure 1.** The distribution of O<sub>3</sub> monitoring sites and the topography in the BTH region.

### 2.2. O<sub>3</sub> Monitoring Data

The hourly O<sub>3</sub> monitoring data from 1 January 2020 to 31 December 2020 were downloaded from the air pollution monitoring network, which belongs to China National Environmental Monitoring Centre (CNEMC) (<https://air.cnemc.cn:18007/> (accessed on 27 July 2022)). Daily maximum 8-h average O<sub>3</sub> concentrations were calculated when there are at least 20 maximum 8-h O<sub>3</sub> concentration values for one day (see the Supplementary Materials). There were 86 monitoring sites in the BTH region in 2020. Figure 1 shows the topography and distribution of O<sub>3</sub> monitoring sites.

### 2.3. O<sub>3</sub> Satellite Data

OMI aboard NASA's Earth Observing System's (EOS) Aura satellite collects information by observing backscattered radiation in the earth's atmosphere and surface. In this

study, O<sub>3</sub> satellite data were obtained from OMI. Level 0, Level 1B, Level 2, and Level 3 are the four processing levels of OMI data products. The spatial resolution of OMI is 13 × 24 km. It can measure O<sub>3</sub> vertical profile, O<sub>3</sub> vertical column concentration, aerosols, clouds, and other gas concentrations [52].

The level 2 O<sub>3</sub> profile product used in this study has a total of 18 layers in the vertical altitude of the atmosphere from the ground to 0.3 hPa (about 60 km altitude). The ground-level O<sub>3</sub> concentration values could be obtained at the lowest layer (from the surface to an altitude of 3 km). The concentration unit for each O<sub>3</sub> column is Dobson Unit (DU), which could be converted to µg/m<sup>3</sup> using the following formula [53]:

$$V_i = 1.2672N_i / \Delta P_i \times 2 \times 1000 \quad (1)$$

where  $V_i$  is the O<sub>3</sub> column concentration of each layer (DU),  $\Delta P_i$  is the pressure difference between the top and bottom layers (hPa), and  $V_i$  is the ground-level O<sub>3</sub> concentration which is measured in µg/m<sup>3</sup>.

#### 2.4. BME Analysis

Daily, seasonal, and one-year exposure levels of O<sub>3</sub> were simulated based on the BME model. BME analysis was based on MATLAB R2012a and Spatio-temporal Epistemic Knowledge Synthesis Graphical User Interface (SEKS-GUI) software library. The Exponential model, Gaussian model, Cosine Hole model, Sine Hole model, Mexican Hat model, Nugget model, and Spherical model are the seven covariance functions that are available in the BME model. The spatio-temporal variations of O<sub>3</sub> residual concentration were characterized by space-time random field (S/TRF).  $Z$  represents a random variable of S/TRF, and  $Z(p) = Z(s,t)$ , where  $p = (s,t)$  represents the space/time coordinate, and  $s$  and  $t$  are the spatial and time position, respectively. The generation of  $HD$  and  $SD$  in this study were based on O<sub>3</sub> residual concentrations, which were calculated as follows:

$$HD(s,t) = VO(s,t) - OMI(s,t) \quad (2)$$

$$SD(s,t) = NO(s,t) - OMI(s,t) \quad (3)$$

$$Z(s,t) = OMI(s,t) + Z_{BME}(s,t) \quad (4)$$

where  $VO(s,t)$  is the O<sub>3</sub> monitoring data that meets valid data criteria. According to NAAQS, a daily maximum 8-h average O<sub>3</sub> concentration is valid if there are at least 20 maximum 8-h O<sub>3</sub> concentration values for one day. Annual maximum 8-h average O<sub>3</sub> concentration is considered valid if at least 324 days in a year are available [54].  $NO(s,t)$  is the O<sub>3</sub> monitoring data that does not meet valid data criteria and is the distribution of the maximum daily 8-h average O<sub>3</sub> concentration at the monitoring site described by a Gaussian probability density function (PDF).  $OMI(s,t)$  is the daily O<sub>3</sub> satellite data, which is resampled to 1 km × 1 km grid cells by Arcgis 10.3. Since the coordinate points of O<sub>3</sub> satellite data and monitoring data in this study were not exactly consistent, the coordinate point of O<sub>3</sub> satellite data which was nearest to the monitoring site was selected as the corresponding point of the O<sub>3</sub> monitoring data to calculate O<sub>3</sub> residual concentrations.  $Z(s,t)$  is the estimated result of daily and annual maximum 8-h average O<sub>3</sub> concentration at position  $s$  and time  $t$ , and  $Z_{BME}(s,t)$  is O<sub>3</sub> residual concentration estimated based on BME at position  $s$  and time  $t$ .

The analysis of the BME model consists of three main stages, which are the prior stage, meta-prior stage, and posterior stage, respectively.

*Prior stage* The maximum entropy principle is used to obtain the prior probability density function (PDF)  $f_G$  which contains the most information about G-KB and is consistent with the actual situation. The G-KB consists of the mean trend function  $m_x(p) = E[X(p)]$ , and the covariance function  $c_x(p, p') = E[(X(p) - m_x(p))(X(p') - m_x(p'))]$ .  $f_G$  is obtained by Equation (5).

$$f_G(\chi_{map}) = e^{\mu_0 + \mu^T \chi} \quad (5)$$

where  $\chi_{map} = [\chi_{data}, \chi_k]$ ,  $\chi_{data} = [\chi_{hard}, \chi_{soft}]$ .  $\chi_{hard} = [\chi_1 \dots \chi_{m_h}]^T$  and  $\chi_{soft} = [\chi_{m_h+1} \dots \chi_m]^T$  represent the HD values at their mapping points  $p_n, n = 1, \dots, m_h$  and SD values at the mapping points  $p_n, n = m_h+1, \dots, m$ .  $\chi_k$  is the simulated value at position  $v_k$ .  $\mu_0$  is the constant term of normalized constraint.  $\mu$  is the vector of a coefficient related to  $g$ , and  $g$  is mathematically a vector of the variable G-KB.

*Meta-prior stage:* The most appropriate expression form is selected for the S-KB, including HD and SD. In this study, O<sub>3</sub> residual concentrations were used to generate HD and SD. HD was calculated based on valid O<sub>3</sub> monitoring data and satellite data. SD was calculated by using O<sub>3</sub> monitoring data which did not meet the criteria and satellite data.

*Posterior stage:* The prior PDF is transformed into a posterior PDF, which provides the basis for analysis and prediction. The posterior PDF  $f_K$  is calculated based on Bayesian conditionalization rules by Equation (6). Then, Equation (7) is used to calculate the simulated mean  $\hat{x}_{k,mean}$  of each simulated point.

$$f_K(\chi_k) = A^{-1} \int f_G(\chi_k) d\chi_{soft} \tag{6}$$

$$\hat{x}_{k,mean} = \int \chi_k f_K(\chi_k) d\chi_k \tag{7}$$

where  $A$  is the normalization parameter.

### 2.5. Validation

Leave-one-out cross-validation (LOOCV) and leave-city-out validation were used to evaluate the predictive accuracy of BME. O<sub>3</sub> monitoring data from one monitoring site was selected as a testing set, and data of the remaining monitoring sites combined with satellite data were incorporated into BME in the form of residuals as a training set to train the model. Repeating this process until the O<sub>3</sub> monitoring data of all the monitoring sites were used once. For leave-city-out validation, O<sub>3</sub> monitoring data from one city was selected as a testing set, and data from the remaining cities were selected as a training set to train the model. Repeating this process until the O<sub>3</sub> monitoring data of all the cities were used once. The coefficient of determination ( $R^2$ ), the root mean square error (RMSE), the mean absolute error (MAE), the mean prediction error (MPE), and the mean error (ME) between the observed and simulated O<sub>3</sub> concentrations were used to evaluate the model performance.  $R^2$  can clearly indicate the model performance, and its value should be close to one for accurate estimation [55]. RMSE, MAE, MPE, and ME can reflect the deviation between the observed and simulated O<sub>3</sub> concentrations, and their values should be as small as possible.

### 2.6. Uncertainty Analysis of O<sub>3</sub> Concentration Estimations

The mean absolute percentage error (MAPE) between the observed and simulated O<sub>3</sub> concentrations was used to quantify the uncertainty of the output results, and the less variation of its value, the more stable the output. It was calculated from Equation (8).

$$MAPE = \frac{1}{n} \sum_{i=1}^n \frac{|y_i - \hat{y}_i|}{y_i} \times 100\% \tag{8}$$

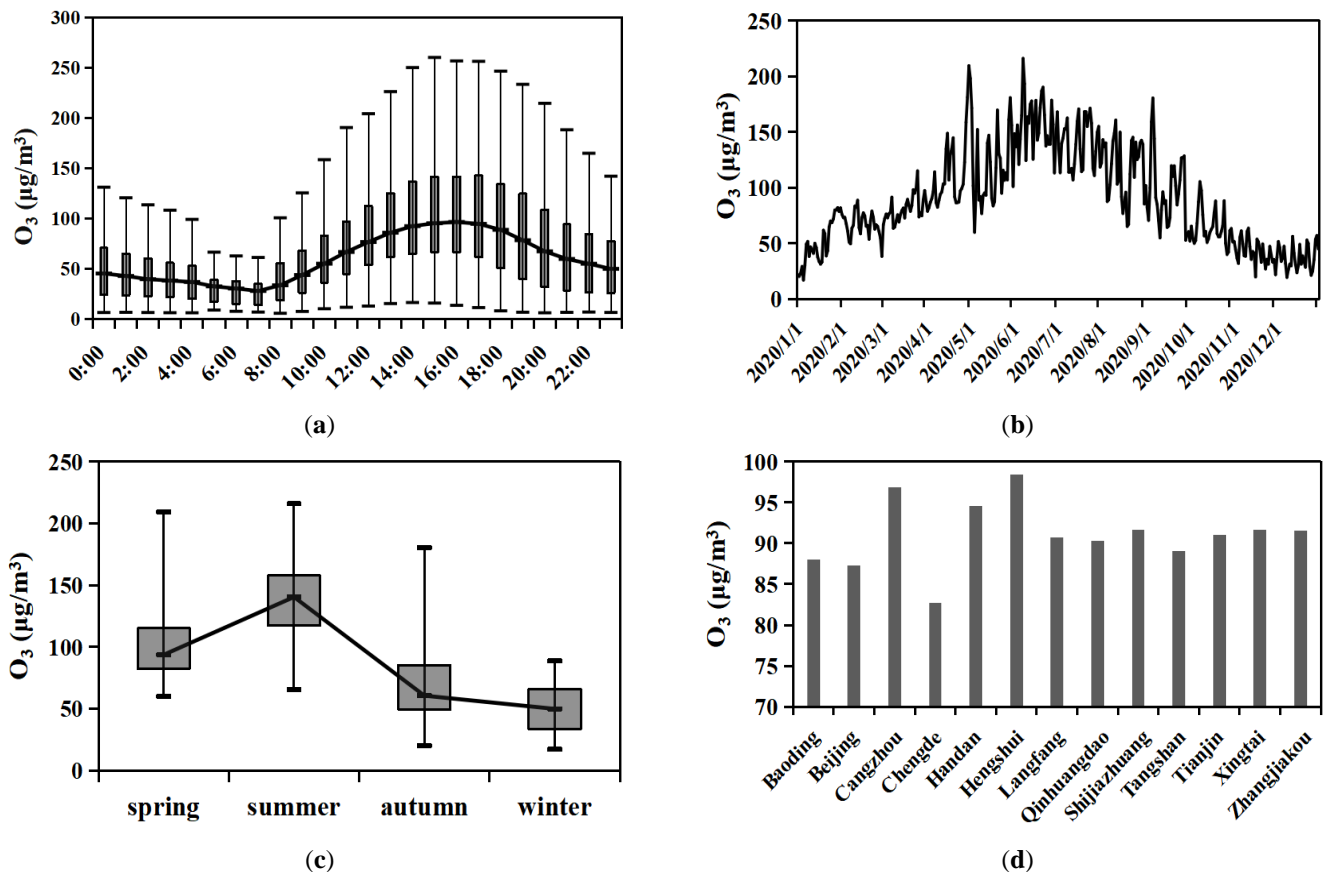
where  $y_i$  is the observed O<sub>3</sub> concentration,  $\hat{y}_i$  is the simulated O<sub>3</sub> concentration, and  $n$  is the number of samples for each monitoring site.

## 3. Results

### 3.1. Descriptive Statistics

In 2020, the hourly, daily, seasonal, and annual variation characteristics of observed O<sub>3</sub> concentrations in the BTH region were shown in Figure 2. Hourly observed O<sub>3</sub> concentrations were low at night. The levels of O<sub>3</sub> gradually increased after 8:00 a.m. (33.8  $\mu\text{g}/\text{m}^3$ ), peaked at 4:00 p.m. (96.7  $\mu\text{g}/\text{m}^3$ ), and then gradually decreased. The variation curve of the

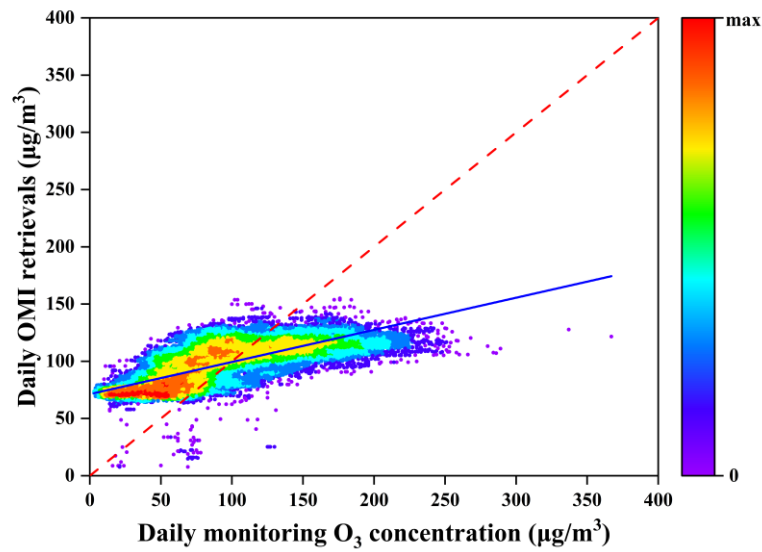
observed daily maximum 8-h average  $O_3$  concentration presented an inverted “V” shape. The highest daily  $O_3$  concentration was recorded on 7 June, with a value of  $215.9 \mu\text{g}/\text{m}^3$ , while the lowest  $O_3$  concentration was  $17.2 \mu\text{g}/\text{m}^3$  on 5 January. The observed  $O_3$  concentrations were the highest in summer and the lowest in winter, ranging from  $49.9 \mu\text{g}/\text{m}^3$  to  $140.5 \mu\text{g}/\text{m}^3$ . Among 13 cities, the lowest observed annual maximum 8-h average  $O_3$  concentration was concentrated in Chengde ( $82.7 \mu\text{g}/\text{m}^3$ ), while the highest observed  $O_3$  concentration was presented in Hengshui ( $98.4 \mu\text{g}/\text{m}^3$ ). The  $O_3$  concentration in other cities such as Cangzhou and Handan was also higher, which was  $96.9 \mu\text{g}/\text{m}^3$  and  $94.5 \mu\text{g}/\text{m}^3$ , respectively.



**Figure 2.** Variation characteristics of observed  $O_3$  concentrations in 2020. (a) hourly  $O_3$  concentration, (b) daily maximum 8-h average  $O_3$  concentration, (c) seasonal maximum 8-h average  $O_3$  concentration, and (d) annual maximum 8-h average  $O_3$  concentration in different cities.

### 3.2. Correlation Analysis between $O_3$ Monitoring and Satellite Data

The correlation between the daily concentrations of  $O_3$  monitoring and satellite data is shown in Figure 3. It could be seen that there was a significant positive correlation between the monitoring and satellite data, and Pearson’s correlation coefficient ( $R$ ) was 0.73. The value for MAE was  $30.29 \mu\text{g}/\text{m}^3$ , and it reflected the actual situation of the errors between monitoring data and satellite data.

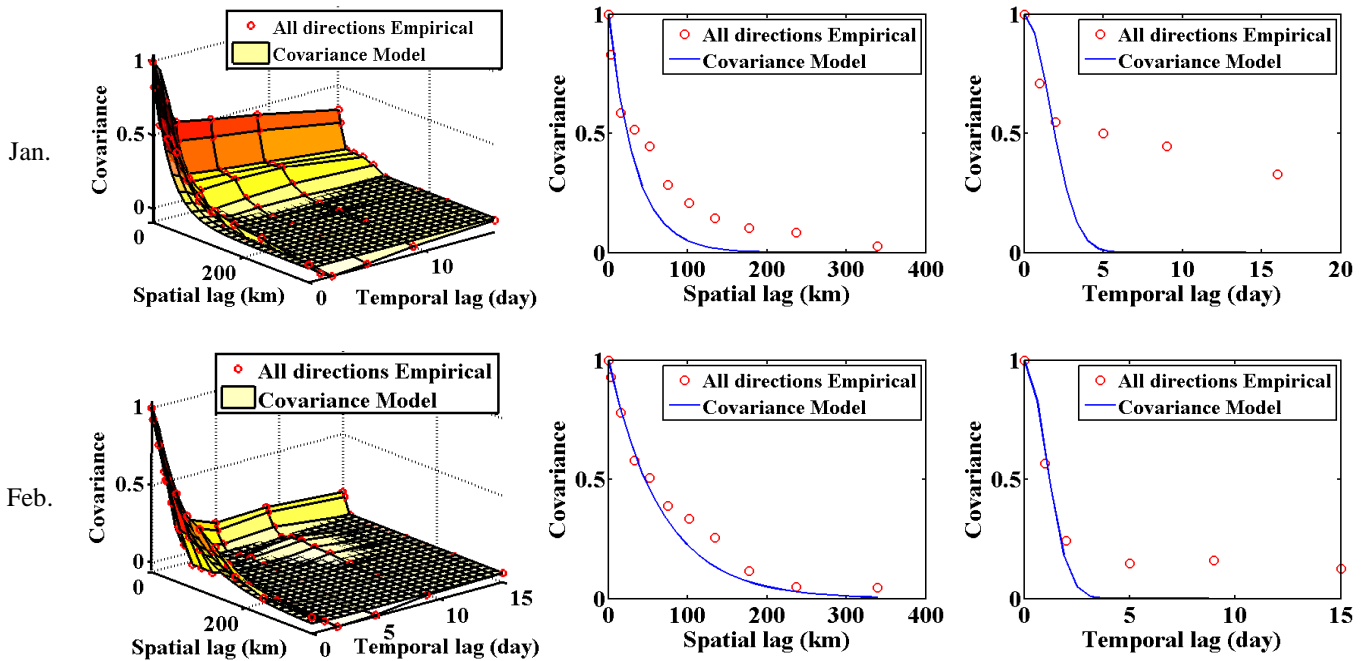


**Figure 3.** Correlation of daily O<sub>3</sub> concentrations and OMI retrievals. The dashed line is the 1:1 line, while the solid line is the linear regression.

### 3.3. O<sub>3</sub> Daily Exposure

#### 3.3.1. Covariance Model Fitting

Figure 4 shows the covariance function of O<sub>3</sub> daily exposure, which provides the spatio-temporal variation information of the O<sub>3</sub> residual concentration. The Exponential model for the spatial component and the Gaussian model for the temporal component fitted the best. The fitting effect was better at the position close to the origin, but with the increase in distance and time, the fitting effect gradually decreased. O<sub>3</sub> residual concentrations estimated based on the BME model were obtained by using these covariance models.



**Figure 4.** Cont.

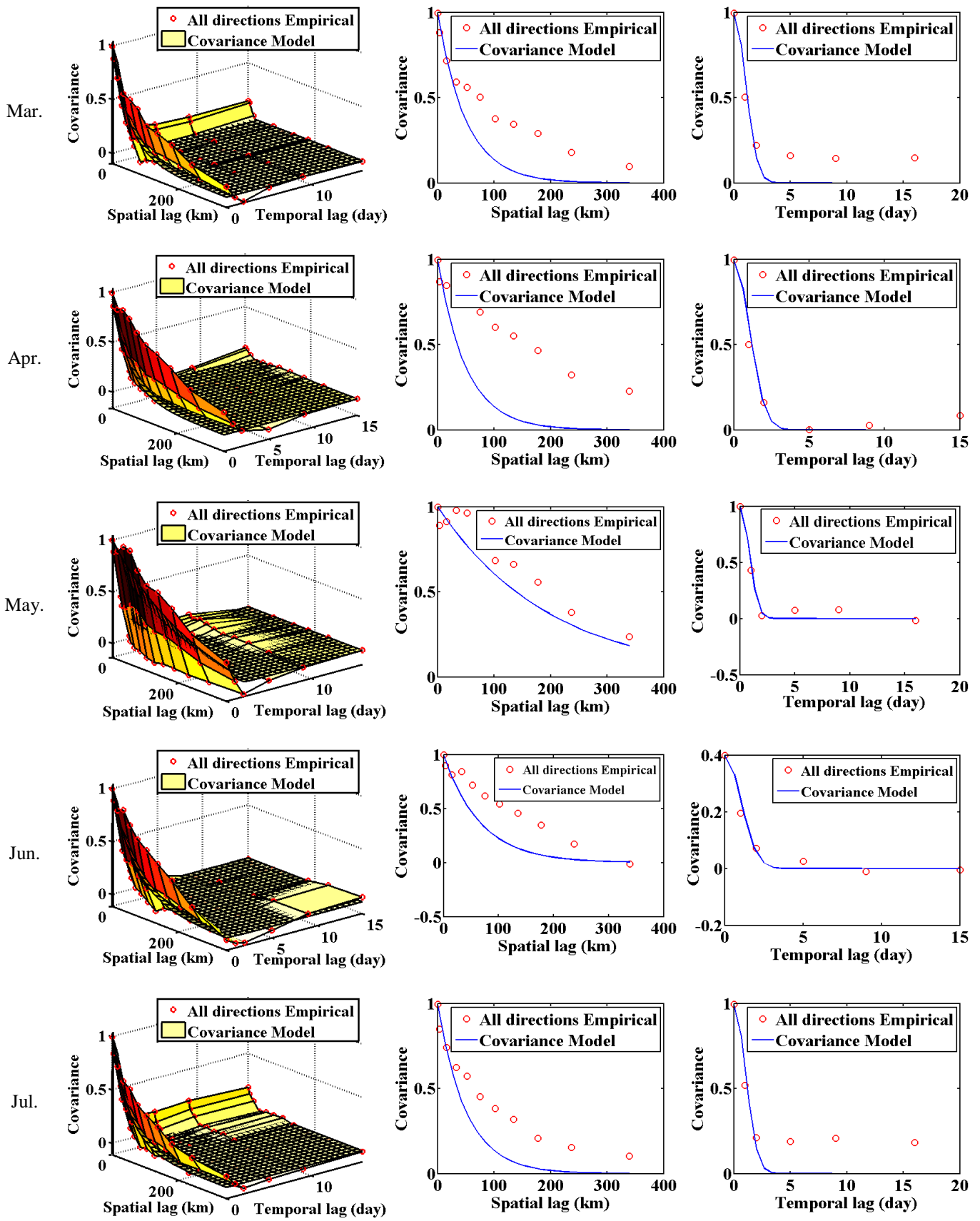


Figure 4. Cont.



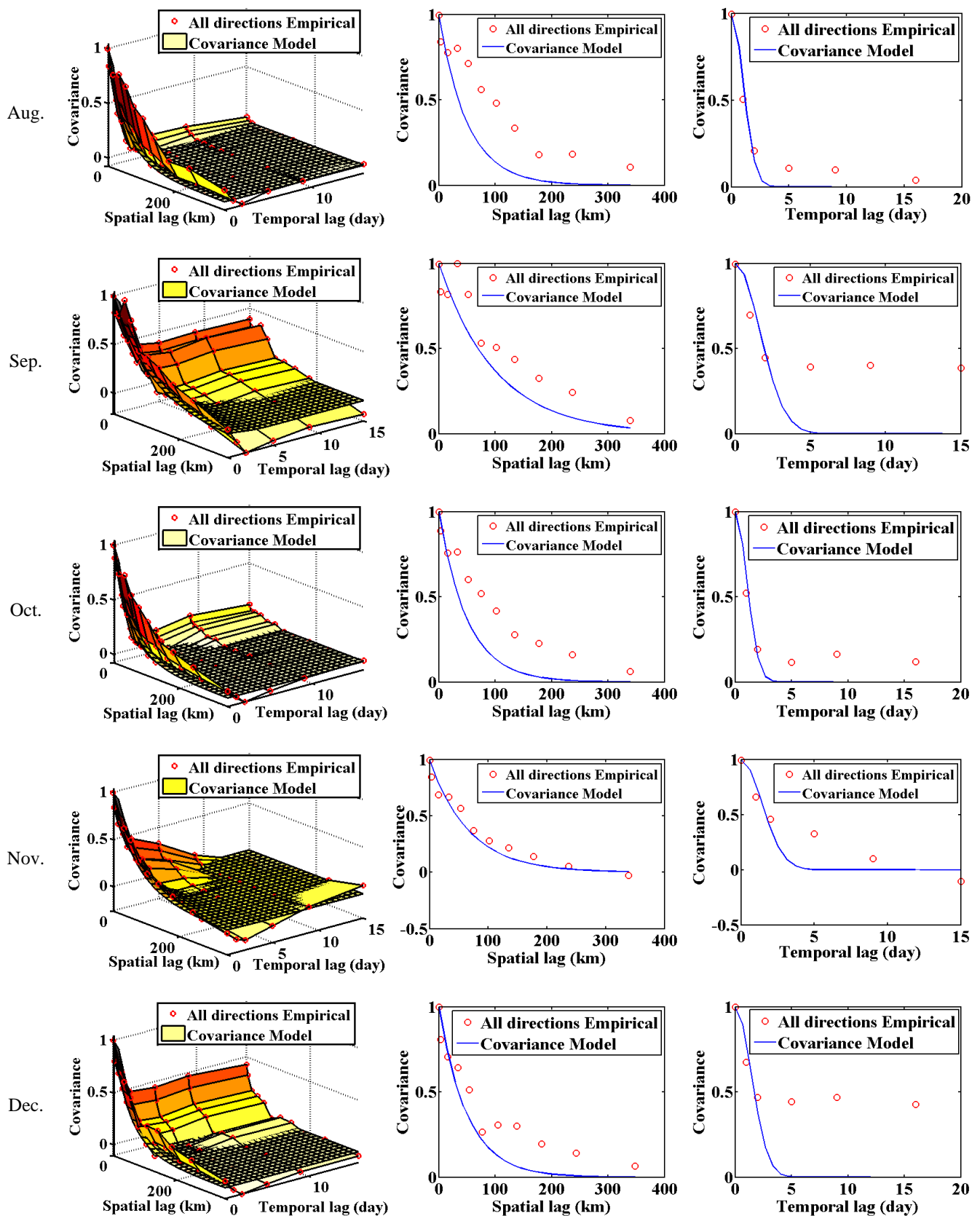
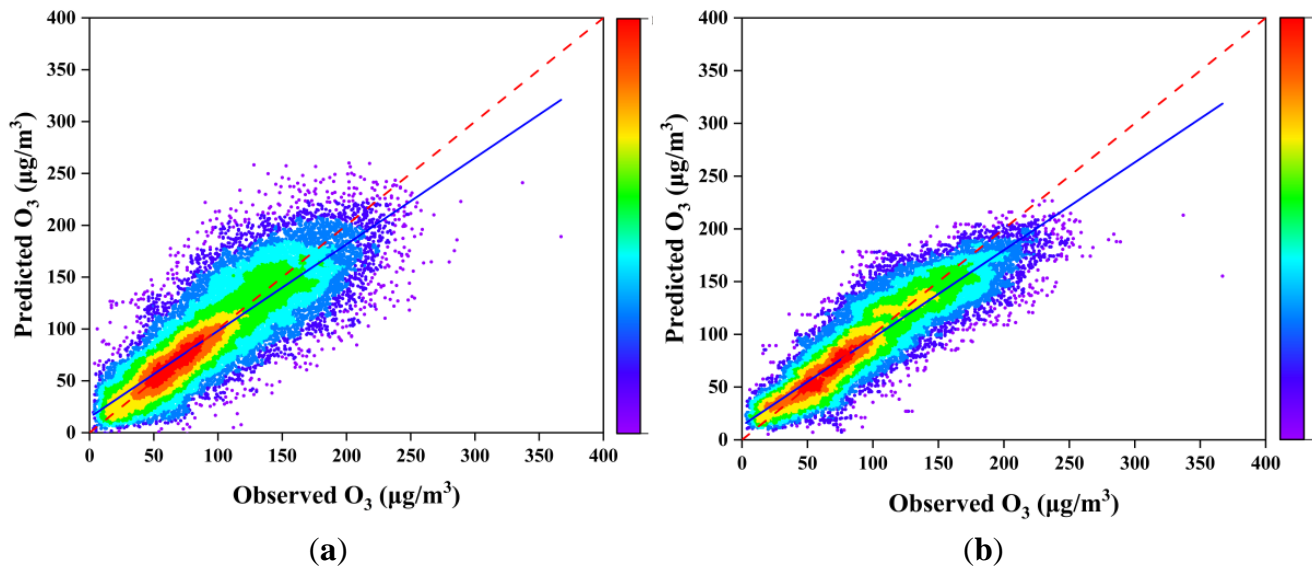


Figure 4. Observed (red circles) and modeled covariance of the O<sub>3</sub> residual concentrations on a daily time scale shown as a function of spatial lag and temporal lag in BME, which showed the spatio-temporal empirical covariance and the fitted model of each month from January to December, respectively.

### 3.3.2. Validation Results

Figure 5 and Table 1 show the comparison between observed and simulated daily maximum 8-h average O<sub>3</sub> concentration in the BTH region based on LOOCV and leave-city-out validation. The values of performance metrics, including R<sup>2</sup>, RMSE, MAE, MPE, and ME are listed in Table 1. The LOOCV R<sup>2</sup> of the simulation results for daily exposure level was 0.81, and the corresponding values for RMSE, MAE, MPE, and ME were 19.58, 14.38, −0.031, and −1.682 μg/m<sup>3</sup>, respectively. The leave-city-out validation R<sup>2</sup> of the simulation results for daily exposure level was 0.83, and the corresponding value for RMSE, MAE, MPE, and ME were 17.12, 12.48, −0.023, and −2.685 μg/m<sup>3</sup>, respectively.



**Figure 5.** Scatter plot of observed and simulated daily maximum 8-h average O<sub>3</sub> concentration in the BTH region based on LOOCV (a) and leave-city-out validation (b). The dashed line is the 1:1 line, while the solid line is the linear regression.

**Table 1.** LOOCV and leave-city-out validation results of BME estimations of daily O<sub>3</sub> concentrations.

Validation Method	R <sup>2</sup>	RMSE	MAE	MPE	ME
Leave-one-out	0.81	19.58	14.38	−0.031	−1.682
Leave-city-out	0.83	17.12	12.48	−0.023	−2.685

### 3.3.3. O<sub>3</sub> Daily Exposure Level

Taking the first day of each month as an example, the spatial distributions of the daily maximum 8-h average O<sub>3</sub> concentration in the BTH region in 2020 were shown in Figure 6. The lowest simulated daily maximum 8-h average O<sub>3</sub> concentration value was on 1 January (21.2 μg/m<sup>3</sup>) and the highest value was obtained on 7 June (206.3 μg/m<sup>3</sup>). O<sub>3</sub> concentration values in Handan, Hengshui, Cangzhou, and Xingtai were at high levels, while the O<sub>3</sub> concentration values in Chengde and Shijiazhuang were low.

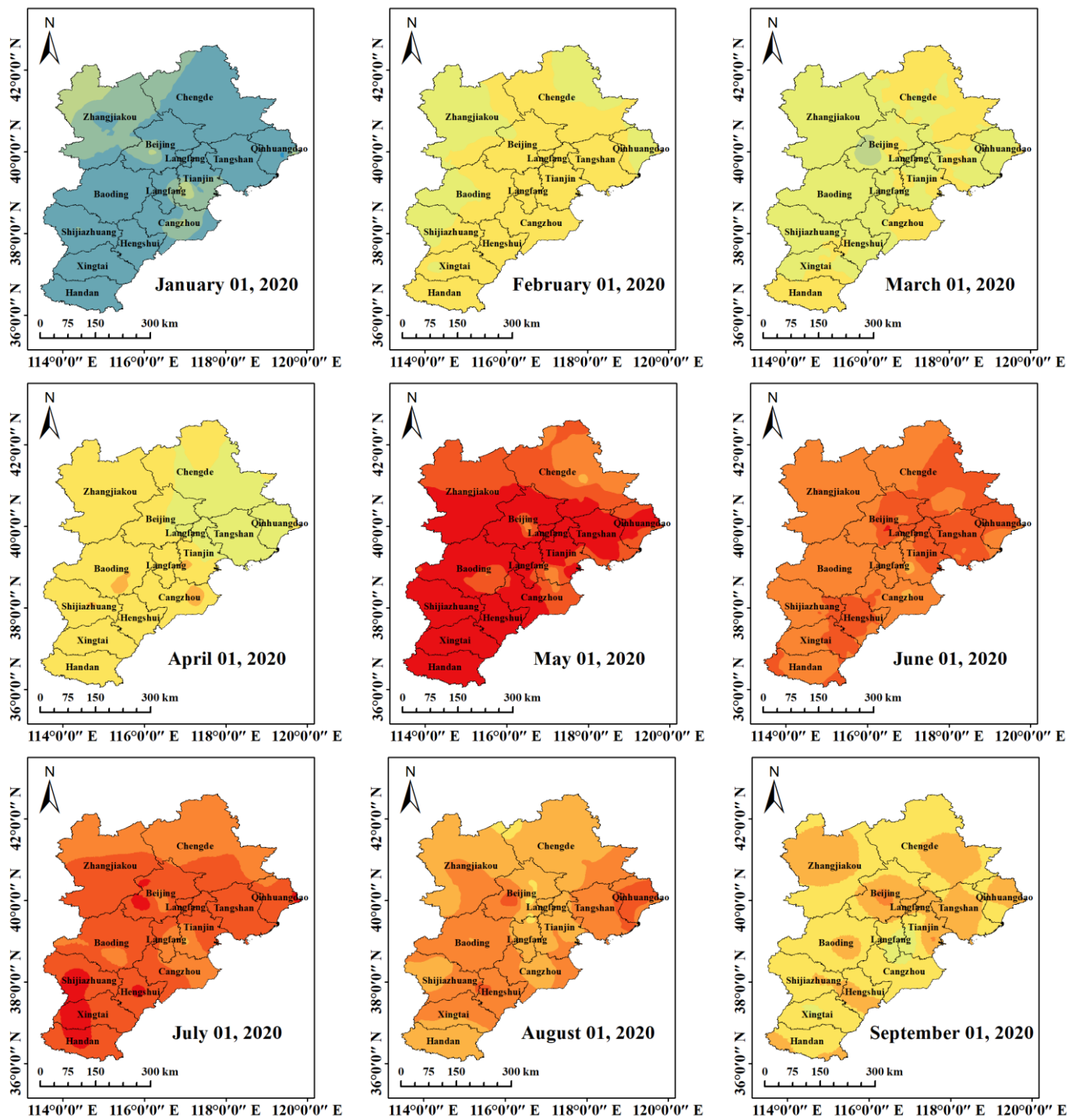


Figure 6. Cont.

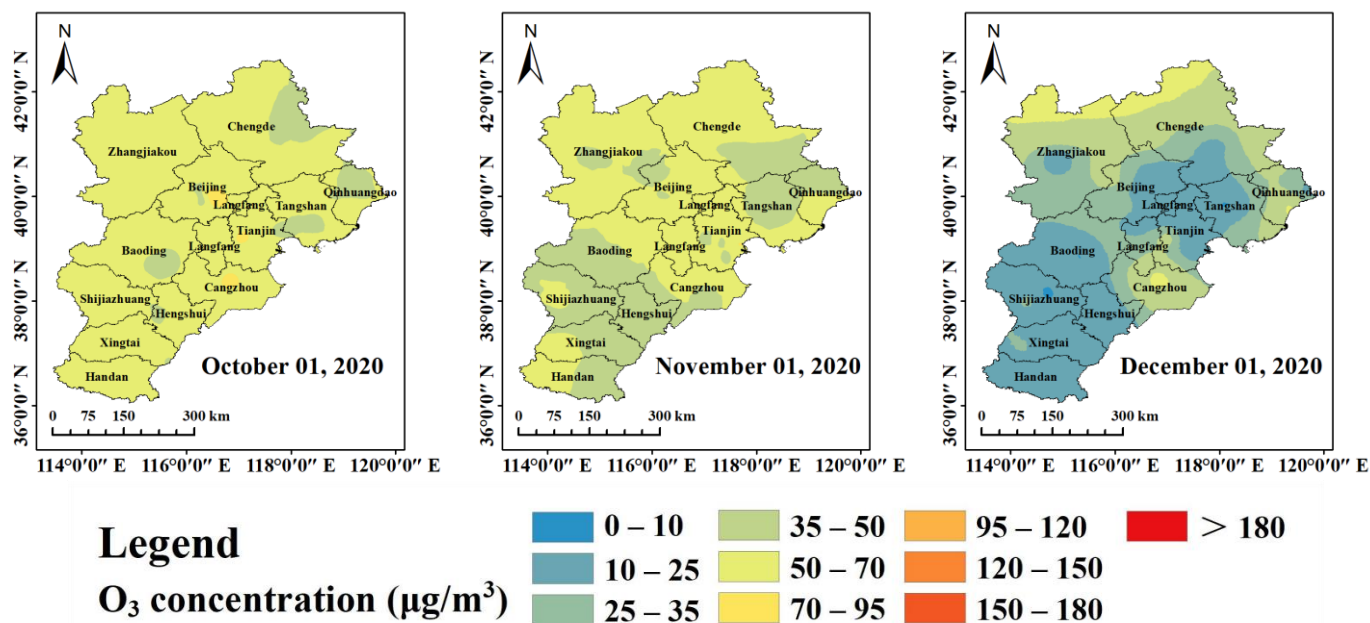


Figure 6. Spatial distribution of daily maximum 8-h average O<sub>3</sub> concentration on the first day of each month.

### 3.4. O<sub>3</sub> One-Year Exposure

#### 3.4.1. Covariance Model Fitting

Figure 7 shows the covariance function of O<sub>3</sub> one-year exposure, which provides the spatio-temporal variation information of the O<sub>3</sub> residual concentration. The covariance model of BME was composed of a nested model consisting of an Exponential model and a Sine Hole model.

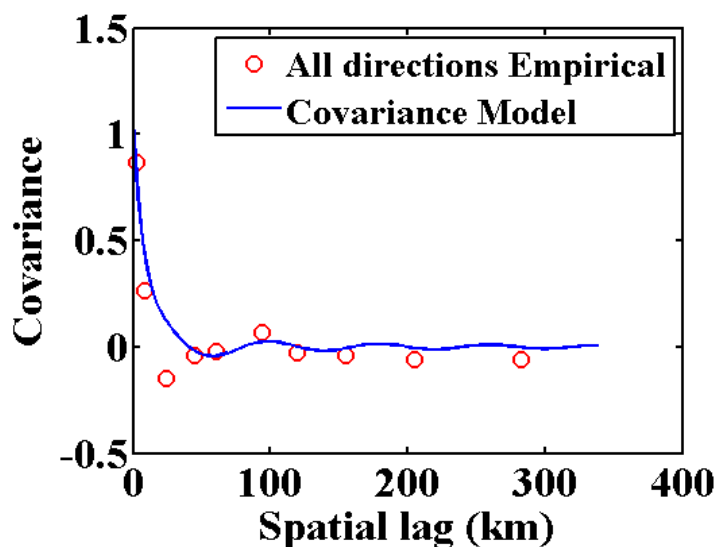
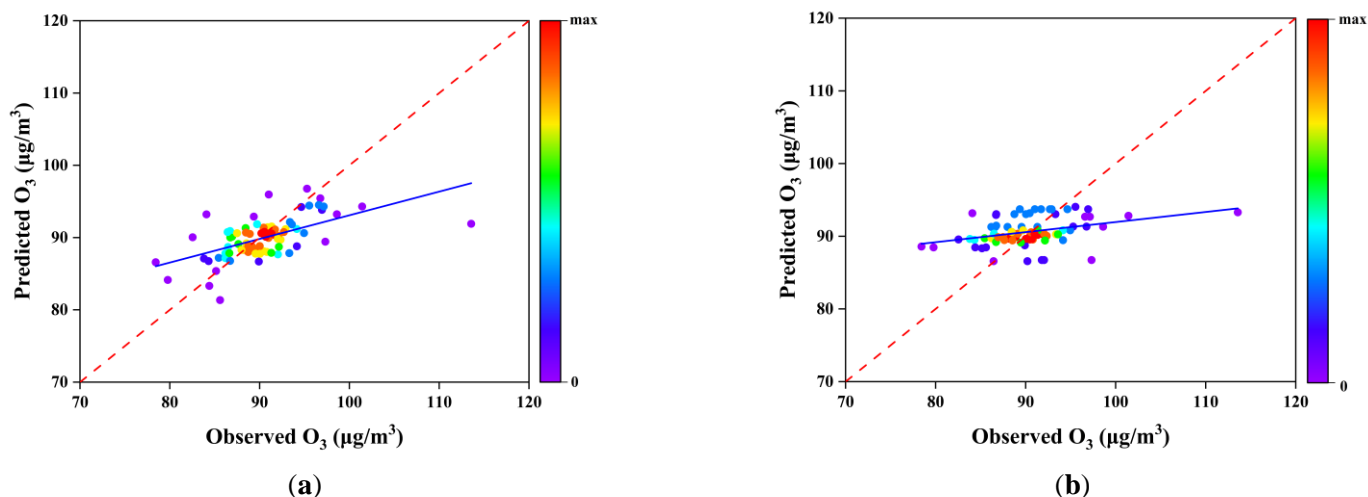


Figure 7. Observed (red circles) and modeled covariance of the O<sub>3</sub> residual concentrations on a one-year time scale shown as a function of spatial lag in BME.

#### 3.4.2. Validation Results

Figure 8 and Table 2 show the comparison between observed and simulated annual maximum 8-h average O<sub>3</sub> concentration in the BTH region based on LOOCV and leave-city-out validation. The values of performance metrics are listed in Table 2. The LOOCV R<sup>2</sup> of the simulation results for the one-year exposure level was 0.69, and the corresponding values

for RMSE, MAE, MPE, and ME were 4.40, 2.60,  $-0.005$ , and  $-0.505 \mu\text{g}/\text{m}^3$ , respectively. The leave-city-out validation  $R^2$  of the simulation results for the one-year exposure level was 0.61, and the corresponding values for RMSE, MAE, MPE, and ME were 2.54, 2.14,  $-0.002$ , and  $-0.191 \mu\text{g}/\text{m}^3$ , respectively. The LOOCV  $R^2$  value for the BME model without satellite data was 0.59, and the corresponding values for RMSE, MAE, MPE, and ME were 4.56, 2.83,  $-0.004$ , and  $-0.403 \mu\text{g}/\text{m}^3$ , respectively. Therefore, the results simulated by the BME model with satellite data performed better than those without satellite data.



**Figure 8.** Scatter plot of observed and simulated annual maximum 8-h average  $\text{O}_3$  concentration in the BTH region based on LOOCV (a) and leave-city-out validation (b). The dashed line is the 1:1 line, while the solid line is the linear regression.

**Table 2.** LOOCV and leave-city-out validation results of BME estimations of annual  $\text{O}_3$  concentrations.

Validation Method	$R^2$	RMSE	MAE	MPE	ME
Leave-one-out	0.69	4.40	2.60	$-0.005$	$-0.505$
Leave-city-out	0.61	2.54	2.14	$-0.002$	$-0.191$

### 3.4.3. $\text{O}_3$ One-Year Exposure Level

In 2020, the simulated annual maximum 8-h average  $\text{O}_3$  concentrations for each grid cell varied from  $79.5 \mu\text{g}/\text{m}^3$  to  $97.5 \mu\text{g}/\text{m}^3$  in the BTH region. Figure 9 shows  $\text{O}_3$  one-year exposure level based on the BME model. High  $\text{O}_3$  concentrations were presented in the southeastern BTH region while low  $\text{O}_3$  concentrations were mainly concentrated in the northwest. The high-value center of  $\text{O}_3$  concentration was around the southeast of Hebei Province, especially Cangzhou and Hengshui. The  $\text{O}_3$  concentration was also higher in the northeast of Handan, Xingtai, and the east of Tianjin. The lowest  $\text{O}_3$  concentration was in Chengde. Other cities including Qinhuangdao, Baoding, and Zhangjiakou also had low  $\text{O}_3$  concentrations.

Figure 10 presents the seasonal distributions of  $\text{O}_3$  concentration in 2020. The highest average  $\text{O}_3$  concentration value was in summer ( $134.5 \mu\text{g}/\text{m}^3$ ), followed by spring ( $100.9 \mu\text{g}/\text{m}^3$ ) and autumn ( $65.3 \mu\text{g}/\text{m}^3$ ), and the lowest average  $\text{O}_3$  concentration value was in winter ( $51.1 \mu\text{g}/\text{m}^3$ ). Generally,  $\text{O}_3$  concentrations in the southeastern part of the BTH region were significantly higher than those in the northwestern part of the BTH region. Tianjin, Cangzhou, Hengshui, Xingtai, and Handan had always been the relatively high concentration areas in four seasons. Compared with other cities, the  $\text{O}_3$  concentration in Chengde was the lowest in all the seasons. For Baoding and Shijiazhuang, the  $\text{O}_3$  concentration in summer was high and then decreased rapidly in winter. There were significant seasonal and regional differences in  $\text{O}_3$  concentration in the BTH region. The simulation results of  $\text{O}_3$  concentration in this study were similar to the published studies [56,57].

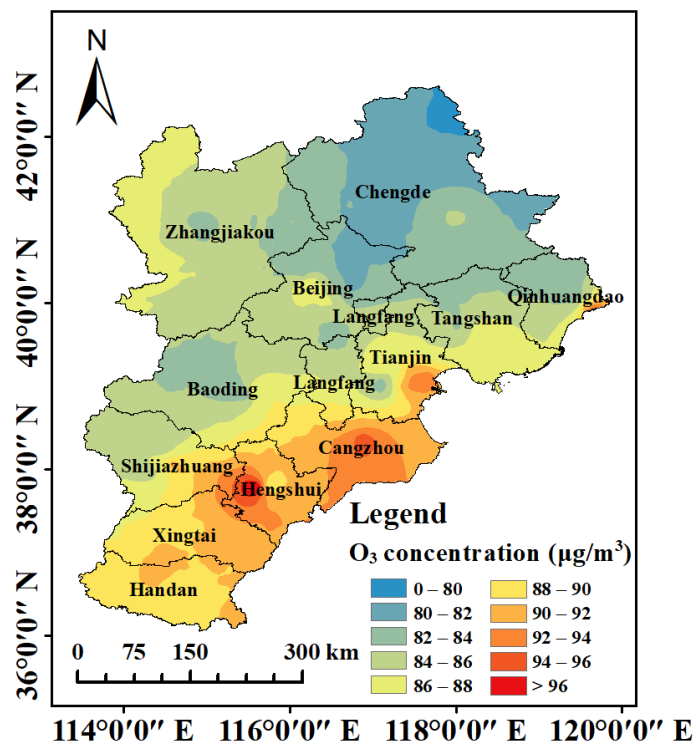


Figure 9. Spatial distribution of annual maximum 8-h average O<sub>3</sub> concentration.

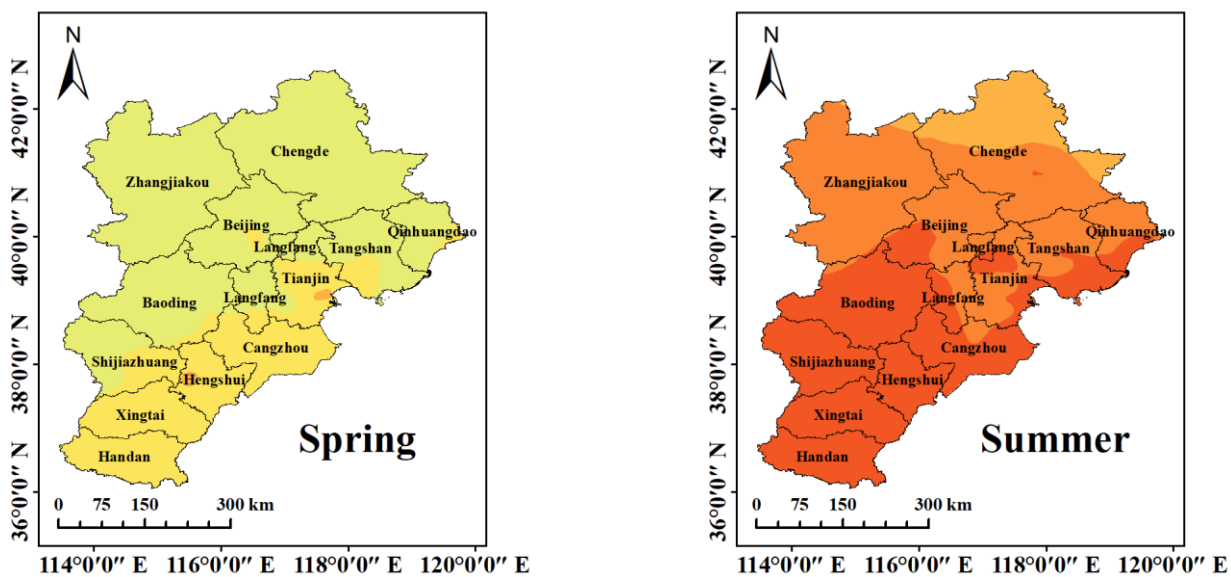


Figure 10. Cont.

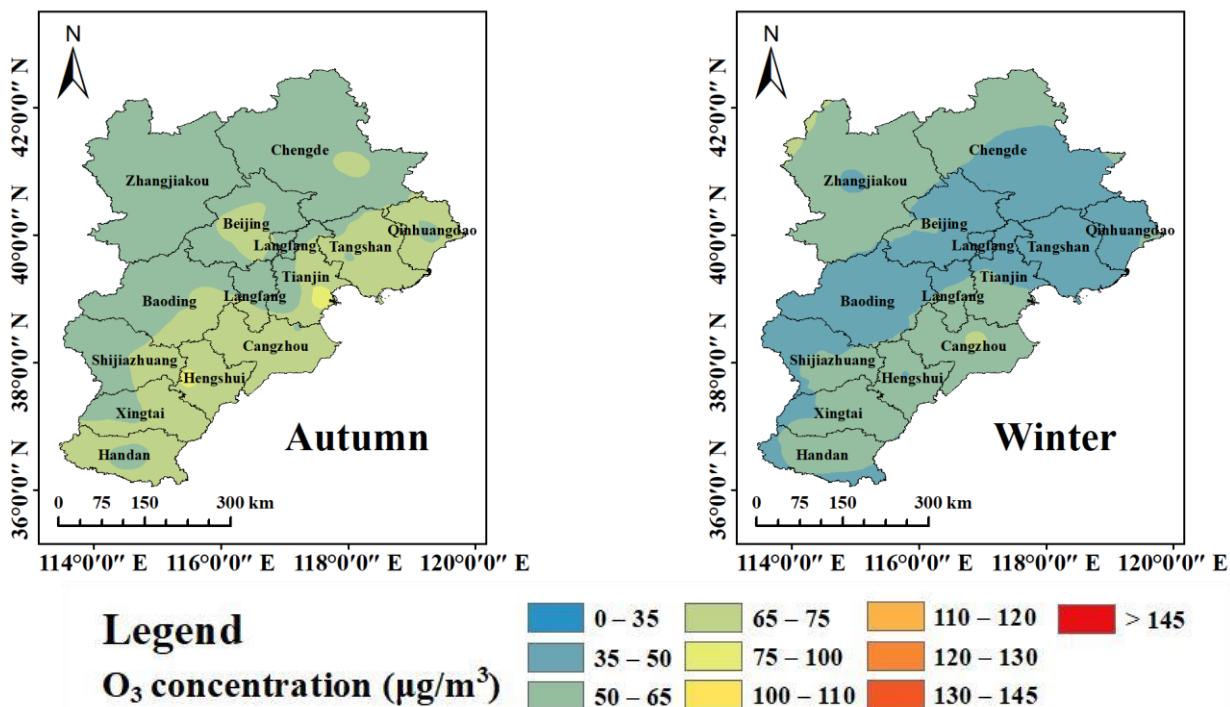


Figure 10. Spatial distribution of seasonal maximum 8-h average O<sub>3</sub> concentration.

3.5. Uncertainty Analysis and Comparisons with NAAQS

Based on Equation (8), the uncertainty analysis of the predicted daily maximum 8-h average O<sub>3</sub> concentrations was quantified with values ranging from 15% to 44%. Figure 11 shows the percentages of grids with the daily maximum 8-h average O<sub>3</sub> concentrations in the BTH region. As shown in the figure, O<sub>3</sub> concentrations in 34.7% of grid-days were more than 100 µg/m<sup>3</sup>, and 6.8% of these grid-days had serious ozone pollution with O<sub>3</sub> concentrations of more than 160 µg/m<sup>3</sup> in 2020. In January, February, March, October, November, and December, grid-days with O<sub>3</sub> concentrations below 160 µg/m<sup>3</sup> and above 100 µg/m<sup>3</sup> accounted for less than 7% of the total grid-days, and the highest percentage was in March, with a value of 6.2%. From April to September, daily maximum 8-h average O<sub>3</sub> concentrations were higher than 100 µg/m<sup>3</sup> on most grid-days. For seasons, the percentage of grids with daily maximum 8-h average O<sub>3</sub> concentrations below 100 µg/m<sup>3</sup> was 99.2% in winter, while in summer, daily maximum 8-h average O<sub>3</sub> concentrations in 87.7% of the grids were higher than 100 µg/m<sup>3</sup>.

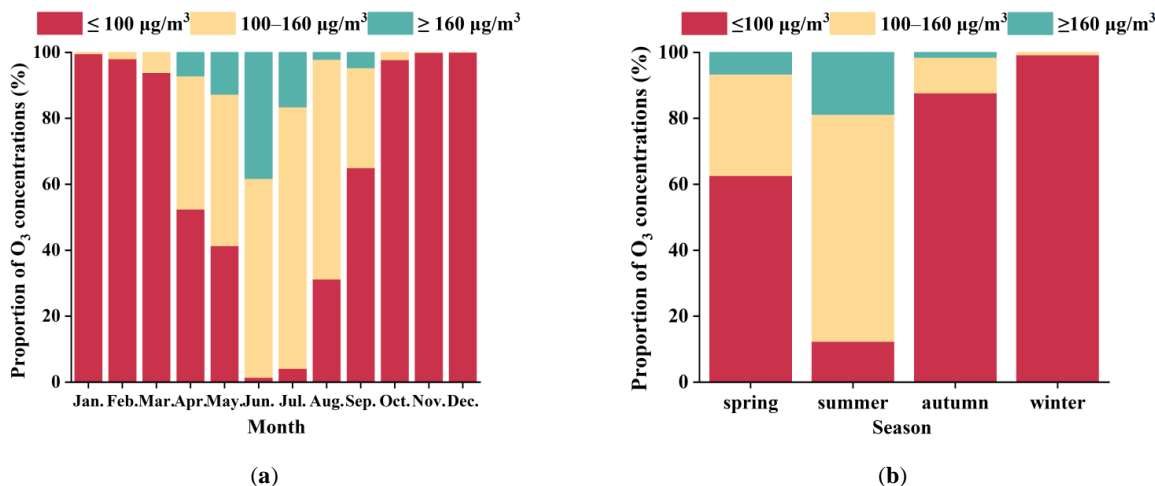
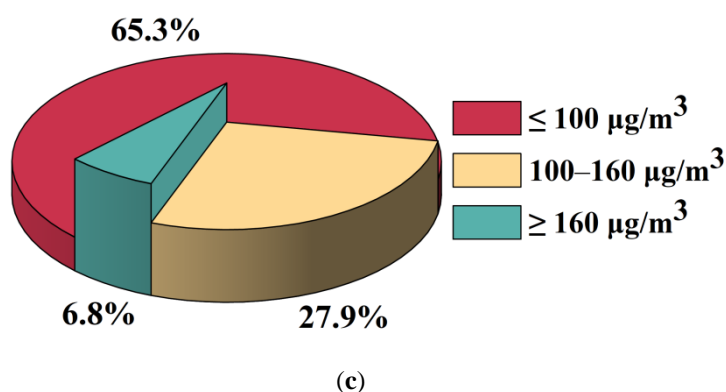


Figure 11. Cont.



**Figure 11.** Percentages of grids with daily maximum 8-h average  $\text{O}_3$  concentrations below  $100 \mu\text{g}/\text{m}^3$ , between  $100$  and  $160 \mu\text{g}/\text{m}^3$ , and above  $160 \mu\text{g}/\text{m}^3$  based on BME in the BTH region. (a) in each month, (b) in each season, and (c) in 2020.

#### 4. Discussion

Liu et al. [58] estimated  $\text{O}_3$  concentration in China from 2005 to 2017 by a machine learning model, which was based on the eXtreme Gradient Boosting (XGBoost) algorithm, with  $R^2$  ranging from 0.61 to 0.78. Qian et al. [59] proposed a hybrid model that integrated multiple variables to estimate ground-level  $\text{O}_3$  concentration in the continental United States, and the correlation coefficient between the real and simulated values of  $\text{O}_3$  was 0.76. Lyu et al. [60] predicted daily  $\text{O}_3$  concentration in the BTH region based on Decision tree (DT) regression, with an  $R^2$  value of 0.73. Compared with other research, the BME model used in this study achieved high prediction accuracy in estimating  $\text{O}_3$  concentration, and LOOCV  $R^2$  for the daily and one-year exposure levels were 0.81 and 0.69, respectively. According to the results of estimating  $\text{O}_3$  concentrations in the BTH region based on an artificial neural network ( $R^2 = 0.8299$ ) and Stepwise regression analysis ( $R^2 = 0.7324$ ) in our research group, the  $R^2$  between observed  $\text{O}_3$  concentration and  $\text{O}_3$  concentration simulated by the BME model was comparable to the results simulated by the artificial neural network and were higher than the results simulated by the stepwise regression analysis. Huang et al. [61] predicted the annual average  $\text{O}_3$  concentration in Nanjing based on the LUR model. Fan et al. [62] proposed a spatio-temporal geostatistical kriging interpolation to simulate average monthly  $\text{O}_3$  concentration based on the composite space/time mean trend (CSTM) model. Compared to these studies, the temporal resolutions of simulated  $\text{O}_3$  exposure levels in our study were daily, seasonal, and annually, respectively. Zhan et al. [63] predicted the daily maximum 8-h average  $\text{O}_3$  concentration in mainland China at a resolution of  $0.1^\circ \times 0.1^\circ$  based on the random forest model. Zhang et al. [64] used the ordinary kriging (OK) and spatial-temporal kriging (STK) models to simulate the daily maximum 8-h average  $\text{O}_3$  concentration with a spatial resolution of  $2 \text{ km} \times 2 \text{ km}$  in the Pearl River Delta (PRD) region, China. However, in this study, we attempted to predict  $\text{O}_3$  concentration with a high spatial resolution of  $1 \text{ km} \times 1 \text{ km}$ .

The empirical covariances of  $\text{O}_3$  exposure reflected the distribution and variation of  $\text{O}_3$  concentrations in different spatio-temporal coordinates. In this study, the covariance of each month was different, and some months appeared to have poor fitting performance, mainly due to the spatio-temporal dependence of  $\text{O}_3$  concentrations. Lower covariance values represented greater variability in  $\text{O}_3$  concentrations and weaker spatio-temporal dependence of  $\text{O}_3$  concentrations, which increased the difficulty of capturing spatio-temporal features and made the  $\text{O}_3$  concentration estimations less accurate.

The monitoring data offers the most accurate  $\text{O}_3$  concentration information, while the satellite data has a wider coverage and can provide more comprehensive information for the estimation of  $\text{O}_3$  concentrations, and we try to combine the two data to take advantage of their strengths, which improves the accuracy of the simulations. In this study, the BME model with satellite data is effective in improving the accuracy of the  $\text{O}_3$  exposure level



simulations with a 14% increase in the value of LOOCV  $R^2$  compared to the BME model without satellite data. We should combine monitoring data with multiple satellite data which has a higher spatio-temporal resolution to simulate  $O_3$  exposure levels in the future.

We used LOOCV and leave-city-out validation to evaluate the performance of the BME model in estimating daily and annual maximum 8-h average  $O_3$  concentrations. The results showed that for daily exposure levels, the LOOCV  $R^2$  was 0.81, while the corresponding value based on leave-city-out validation was 0.83, which was slightly higher than the value based on LOOCV. For the one-year exposure level, the LOOCV  $R^2$  was higher than the corresponding value based on the leave-city-out validation. The LOOCV was probably suitable for estimating predictions for representative points near monitors, but leave-city-out validation was more useful to evaluate the performance of the model when predicting unsampled sites which were far from monitors. In this study, the number of monitoring sites that met the valid data criteria varied on each day, resulting in different sizes of training sets for each day to train the model based on LOOCV and leave-city-out validation, respectively, which might lead to a slightly higher value for  $R^2$  based on leave-city-out validation than that based on LOOCV.

In terms of spatial distribution,  $O_3$  concentrations in the southeastern part of the BTH region were high throughout the year, while  $O_3$  concentrations were low in the northwestern part of the BTH region. The high value was mainly located in the southeast of the Hebei Province, especially in Cangzhou, Hengshui, Handan, Xingtai, and Tianjin. The pillar industries in these cities are mainly heavy industries, which produced large amounts of precursors such as VOCs and  $NO_x$  in the atmosphere that may ultimately affect  $O_3$  concentration [65,66]. Compared with 2019, the number of civil vehicles owned in Beijing, Tianjin, and Hebei in 2020 increased by 3.2%, 6.9%, and 5.8%, respectively [67–69]. The photochemical reaction of  $NO_x$  emitted by vehicles would also increase the concentration of  $O_3$ . The area with the lowest  $O_3$  concentration was located in Chengde. Chengde prioritized the development of tourism as the first leading industry and gradually adjusted the industrial structure of heavy and chemical industries, so the pollutant emissions gradually decreased, which might explain the reason why  $O_3$  concentration was low in most areas of Chengde.

The diurnal variation of  $O_3$  concentration was closely related to the photochemical reaction. The photochemical reaction was weak at night, so  $O_3$  concentration gradually decreased, reaching the lowest value at 8:00 a.m. The increase in solar radiation and temperature intensified the photochemical reaction, and  $O_3$  concentration reached the highest value at 4:00 p.m., then gradually decreased. The seasonal variation of  $O_3$  concentration was significantly associated with meteorological factors such as wind speed, temperature, relative humidity, as well as solar radiation. Several studies showed that  $O_3$  concentration was positively associated with wind speed and temperature and was negatively associated with relative humidity [70–72]. In spring, a dry climate, less precipitation, and strong solar radiation were conducive to  $O_3$  generation. Meanwhile, wind speed reflected the turbulent movement of the boundary layer. Increased wind speed accelerates atmospheric mixing and promotes the exchange between the air with higher  $O_3$  concentration in the upper boundary layer and the air with lower  $O_3$  concentration in the lower layer. As a result, the higher  $O_3$  concentration air might intrude from the upper boundary layer to the lower layer, thus increasing the ground-level  $O_3$  concentration [73]. In summer, intense solar radiation and sustained high temperature led to increased photochemical reactions produced by  $NO_x$  and VOCs in the atmosphere, and relative humidity was low, which exacerbated  $O_3$  pollution [74,75]. The atmosphere was relatively stable in autumn and winter, which was not conducive to the dilution, diffusion, and local transport of pollutants, thus inhibiting  $O_3$  generation [76]. At the same time, the solar radiation was weak, and the temperature was low, which was not conducive to photochemical reactions, so  $O_3$  concentration was low.

There are a few limitations in our study. Firstly, the low spatial resolution of the OMI  $O_3$  profile may affect the accuracy of the model prediction. With the gradual development of satellites, satellite data with more details and higher resolutions could be used to get

more accurate results. Secondly, we used one model to estimate the O<sub>3</sub> exposure level. In future studies, multiple models could be combined and compared to estimate pollutant exposure levels with higher resolution and accuracy.

## 5. Conclusions

The daily, seasonal, and annual maximum 8-h average O<sub>3</sub> concentrations in the BTH region at a 1 km × 1 km resolution were estimated based on the BME model. The BME model with satellite data can significantly improve the simulation of O<sub>3</sub> exposure levels, with LOOCV R<sup>2</sup> being 0.10 higher than the corresponding value simulated by the BME model without satellite data, and the values for RMSE, MAE, MPE, and ME simulated by BME model were 0.16, 0.23, 0.001, and 0.102 µg/m<sup>3</sup> lower, respectively than the values simulated by BME model without satellite data. The results indicate that the BME model with satellite data performs better than the model without satellite data in simulating O<sub>3</sub> concentrations. The spatio-temporal maps of O<sub>3</sub> concentrations generated by BME could be used to characterize the variability of O<sub>3</sub> concentrations. The BME is capable of simulating O<sub>3</sub> exposure levels with high spatio-temporal resolution. The simulated O<sub>3</sub> concentrations provide valuable information, which has the potential to improve health risk assessment, provide assistance for future epidemiological studies, and inform reference for the choice of appropriate modeling methods in future related studies.

**Supplementary Materials:** The following are available online at: <https://www.mdpi.com/article/10.3390/atmos13101568/s1>, Daily maximum 8-h average O<sub>3</sub> concentrations in the BTH region, China in 2020.

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**Conflicts of Interest:** The authors declare no conflict of interest.

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