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Temporal and Spatial Variations of Chlorophyll a Concentration and Eutrophication Assessment (1987–2018) of Donghu Lake in Wuhan Using Landsat Images

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Abstract: Chlorophyll a (Chl-a) concentration, which reflects the biomass and primary productivity of phytoplankton in water, is an important water quality parameter to assess the eutrophication status of water. The band combinations shown in the images of Donghu Lake (Wuhan City, China) captured by Landsat satellites from 1987 to 2018 were analyzed. The $(B4 - B3)/(B4 + B3)$ [$(Green - Red)/(Green + Red)$] band combination was employed to construct linear, power, exponential, logarithmic and cubic polynomial models based on Chl-a values in Donghu Lake in April 2016. The correlation coefficient (R^2), the relative error (RE) and the root mean square error (RMSE) of the cubic model were 0.859, 9.175% and 11.194 $\mu\text{g/L}$, respectively and those of the validation model were 0.831, 6.509% and 19.846 $\mu\text{g/L}$, respectively. Remote sensing images from 1987 to 2018 were applied to the model and the spatial distribution of Chl-a concentrations in spring and autumn of these years was obtained. At the same time, the eutrophication status of Donghu Lake was monitored and evaluated based on the comprehensive trophic level index (TLI). The results showed that the TLI (Σ) of Donghu Lake in April 2016 was 63.49 and the historical data on Chl-a concentration showed that Donghu Lake had been eutrophic. The distribution of Chl-a concentration in Donghu Lake was affected by factors such as construction of bridges and dams, commercial activities and enclosure culture in the lake. The overall distribution of Chl-a concentration in each sub-lake was higher than that in the main lake region and Chl-a concentration was highest in summer, followed by spring, autumn and winter. Based on the data of three long-term (2005–2018) monitoring points in Donghu Lake, the matching patterns between meteorological data and Chl-a concentration were analyzed. It revealed that the Chl-a concentration was relatively high in warmer years or rainy years. The long-term measured data also verified the accuracy of the cubic model for Chl-a concentration. The R^2 , RE and RMSE of the validation model were 0.641, 2.518% and 22.606 $\mu\text{g/L}$, respectively, which indicated that it was feasible to use Landsat images to retrieve long-term Chl-a concentrations. Based on longitudinal remote sensing data from 1987 to 2018, long-term and large-scale dynamic monitoring of Chl-a concentrations in Donghu Lake was carried out in this study, providing reference and guidance for lake water quality management in the future.

Keywords: Donghu Lake; Landsat; chlorophyll-a (Chl-a); band combination; eutrophication; spatial and temporal dynamics

1. Introduction

Urban lakes have ecosystem service functions such as water supply, drainage, microclimate regulation and other economic and cultural values such as aquatic sports, aquaculture and recreation [1–3]. In recent years, with the growth of population, the expansion of cities and the development of industry, urban lakes have received increasing point source and non-point source pollution [4]. Eutrophication of lakes is a series of changes in the ecosystem of lakes due to excessive nutrient input, resulting in the proliferation of phytoplankton such as algae and deterioration of water quality [5,6]. According to the Report on the State of the Ecology and Environment in China 2018 released by the Ministry of Ecology and Environment of the People's Republic of China, among 111 major lakes (reservoirs) across the country, 70 (63.1%) were at or below the Grade III standard. Among 107 lakes (reservoirs) under the monitoring of nutritional status, 66 (61.7%) were under mesotrophic status and 31 (29.0%) had slight eutrophication. Eutrophication of lakes has been becoming increasingly prominent and lake ecosystems have been deteriorating rapidly, posing serious threats to China's water resources and water environment [7]. Therefore, it is of great urgency to quickly and accurately monitor changes in lake water quality and assess lakes' eutrophication status. Donghu Lake used to be an open water lake with good water quality, however, after more than half a century of strong human interference, its buffering capacity against environmental stress has been weakened and it has been seriously polluted to date. Serious algal blooms have occurred in Donghu Lake [8]. Hence, it is an ideal model to study the changes in the eutrophication status of urban lakes.

Chlorophyll a (Chl-a) concentration, which is commonly used to indicate the biomass and primary productivity of phytoplankton in a water body, is an important water quality parameter to assess the degree of eutrophication [9]. The traditional method of manual sampling can accurately measure Chl-a concentration in local water bodies, but it is expensive, time-consuming and subject to the influence of different conditions such as the weather [10]. Moreover, it is impractical to apply this method to water bodies with large spatial and temporal span and it fails to synchronously provide the spatial distribution data of Chl-a concentration in the whole water body [11]. Remote-sensing monitoring technology has the advantages of high speed, wide range, high frequency, dynamism, long time series and low cost [12,13], which allows for long-term monitoring of water quality on a large scale [14]. At present, there are mainly empirical, semi-empirical and analytical methods for remote-sensing inversion of Chl-a concentration [15,16]. A large amount of in-depth research has been done on the inversion of Chl-a concentration in lakes and many models have been established to improve inversion accuracy. Gilerson et al. [17] used the Red and Near-Infrared band combination in MERIS image to retrieve Chl-a concentration in coastal and inland waters. Gitelson et al. [18] established a three-band model with an R^2 of 0.81 for the estimation of the Chl-a concentration of Case II waters. Yacobi et al. [19] carried out remote sensing modelling inversion on the mesotrophic lakes in Israel, a subtropical region, and the model did not require large-scale parameter calibration according to environmental factors. Remote sensing inversion of major lakes such as Taihu Lake [20], Poyang Lake [21,22], Dianchi Lake [23], Erhai Lake [24], Chaohu Lake and Dongting Lake has also been conducted in China [25,26]. It can be seen that, so far, remote sensing technology has been mainly used to measure Chl-a concentration in large lakes. As a typical urban lake, the change of water quality in Donghu Lake was closely related to the development of Wuhan City. Given that the current research on Donghu Lake mainly focuses on TN and TP [5,27–29], sediment [30], bacterioplankton [31,32], aquatic vegetation, etc., and few studies have been conducted on the prolonged changes of Chl-a concentration in medium and small urban lakes, it is difficult to accurately assess the long-term dynamic changes of urban lakes.

The long-term remote sensing inversion of Chl-a concentration in Donghu Lake is expected to provide a certain theoretical basis and technical reference for its ecological management and sustainable development, and lay a solid foundation for the long-term continuous and dynamic monitoring of water quality. The objectives of this study are to (1) develop the best algorithm for retrieving Chl-a concentration in Donghu Lake using Landsat series images and validate the reliability of the model

with the measured data collected in the past ten years; (2) explore a pattern matching mode between meteorological data and measured Chl-a values; (3) produce maps of historic Chl-a concentration data in spring and autumn seasons from 1987 to 2018; and (4) assess the eutrophication level of Donghu Lake from 1987 to 2019.

2. Materials and Methods

2.1. Study Area

Located in the northeast of the Wuchang District, Wuhan City, Hubei Province, Donghu Lake ($30^{\circ}30' \sim 30^{\circ}36' \text{ N}$, $114^{\circ}19' \sim 114^{\circ}31' \text{ E}$) is now one of the largest urban lakes in China (Figure 1). It is composed of Guozheng Hu, Tangling Hu, Xiaotan Hu, Tuan Hu, Hou Hu, Miao Hu and other sub-lakes. Its outline is shaped like an equilateral triangle, with a length of about 11.39 km from east to west, a width of about 9.57 km from north to south, a coastline of about 120 km, an average depth of 2.21 m and a maximum depth of 4.75 m [33]. Donghu Lake has an average water storage capacity of about $6.2 \times 10^7 \text{ m}^3$ per year and a water area of 33.09 km^2 . The lake region has a north subtropical monsoon humid climate, with an annual average temperature of $16.3 \text{ }^{\circ}\text{C}$, a monthly average temperature varying from $3.3 \text{ }^{\circ}\text{C}$ to $29 \text{ }^{\circ}\text{C}$, an average annual precipitation of 1204 mm and an average annual evaporation of 1473 mm. The precipitation in this region is mainly in the spring and summer seasons, accounting for about 75% of the annual rainfall. The Donghu Lake basin has a maximum longitudinal distance of 17 km and maximum transverse distance of 18 km. The basin belongs to typical alluvial landform of residual hills, rivers and lakes, which is comprised of lakes, branching streams, headlands, beaches, hills, valleys, hillocks and plains. It covers a total area of 128.74 km^2 , including 38% of development land, 26% of water area, 20% of forest area and 6%, 9% and 1% of cultivated land, shrub grassland and unused land, respectively.

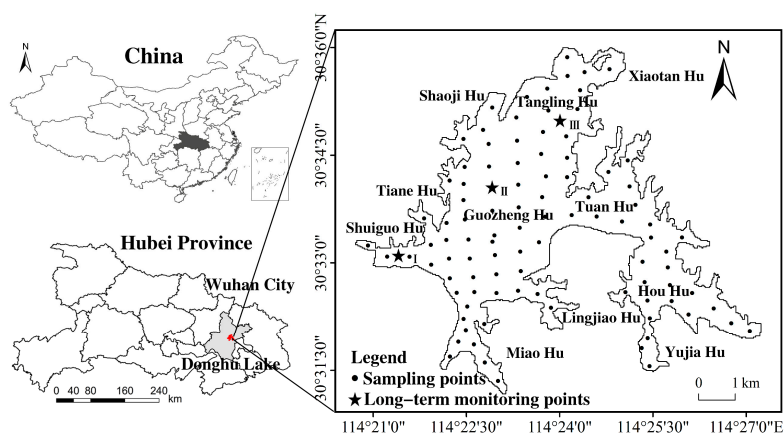


Figure 1. Study area and in situ chlorophyll-a (Chl-a) sampling locations.

According to the data from the Donghu Lake Monitoring Department (<http://www.whdonghu.gov.cn>), the overall water quality of Donghu Lake in 2019 was Grade III and that of some sub-lakes was Grade V or Inferior to Grade V. In summer and autumn, algae proliferated in Miao Hu, Shuiguo Hu and other sub-lakes, causing serious eutrophication in Donghu Lake [31]. Therefore, it is of great scientific significance for lake environmental monitoring to use remote sensing monitoring methods to explore the water quality of Donghu Lake in different historical periods on a large spatial and temporal scale.

2.2. In Situ Measurements of Water Quality Parameters

The basic data used for establishing and verifying a remote sensing inversion model of Chl-a was mainly based on the morphological characteristics and hydrological conditions of Donghu Lake. A total of 97 sampling locations were evenly distributed using the network point method (Figure 1).

Field sampling was carried out on each sub-lake from 17 April to 18 April 2016, which coincided with the time of Landsat-8 OLI flying over Donghu Lake on 18 April 2016. The data used to verify the accuracy of the long-term inversion model of Chl-a concentration were mainly from the three fixed monitoring points set up by the Donghu Experimental Station of Lake Ecosystems, Chinese Academy of Sciences. The sampling dates were around the 15th of each month from 2005 to 2018.

The measured data were spot sampled with GPS. Multiparameter Water Quality Sonde (YSI EXO 2, Yellow Springs, OH, USA) was used to measure parameters such as Water Temperature (T), Dissolved Oxygen (DO), pH and Turbidity (Turb), and a Secchi disk was employed to measure Water Depth (WD) and Secchi depth (SD). The surface water samples (0.5 m below the water surface) and the bottom water samples (0.5 m from the lake bottom) of the same amount were first collected with a 5 L plexiglass water sampler, blended thoroughly, then stored in a 1 L polyethylene water sample bottle [34]. The water samples were brought back to the laboratory on the same day for an analysis of water quality parameter.

2.3. Methods

2.3.1. Laboratory Analysis

The TP, TN and COD_{Mn} were analyzed using the standard Chinese method (Environmental quality standards for surface water, 2002). The Chl-a concentration was measured using the Ethanol-Spectrophotometry Method (Shimadzu UV-2550, Nakakyo, Kyoto, Japan). First, 300 mL of water sample was filtered by a 47 mm GF/F glass fiber filter membrane which was later transferred to a 10 mL centrifuge tube. Next, 10 mL of 90% ethanol was added into this tube for extraction at room temperature in the dark for 24 h. The supernatant was centrifugally extracted and its absorbance at 665 nm and 750 nm (E_{665} and E_{750}) was determined. Finally, a drop of 1 M hydrochloric acid was added for acidification and the absorbance at 665 nm and 750 nm (A_{665} and A_{750}) was measured again. The Chl-a concentration was calculated as follows:

$$\text{Chl-a} = \frac{27.9 \times [(E_{665} - E_{750}) - (A_{665} - A_{750})] \times V_a}{V_b} \quad (1)$$

where Chl-a represents the chlorophyll a concentration ($\mu\text{g/L}$), V_a denotes the constant volume of ethanol from which Chl-a is extracted (mL) and V_b is the volume of water sample (mL).

The results of Chl-a concentration at these 97 sampling locations of Donghu Lake showed that the Chl-a values varied from 7.81 $\mu\text{g/L}$ to 265.61 $\mu\text{g/L}$, the mean value was 46.98 $\mu\text{g/L}$ and the standard deviation was 40.15 $\mu\text{g/L}$.

2.3.2. Image Acquisition and Pre-Processing

Since the launch of the Landsat-1 in 1972, the United States has launched a total of 8 Landsat satellites [35]. Not only the resolution of remote sensing images but also the wavelength was kept at the same level. The image data captured by Landsat satellites from 1987 to 2018 was selected in this study and the spatial resolution of all these images was 30 m. The path and row of Landsat-8 OLI remote sensing images in Donghu Lake were 123 and 39, respectively, according to the United States Geological Survey (<http://www.usgs.gov>). The remote sensing images from 19 April 1987 to 8 August 2011 were captured by the Landsat-5/TM sensor, the remote sensing images in 2012 were acquired by the Landsat-7/ETM+ sensor and the remote sensing images from 26 April 2013 to 15 September 2018 were captured by the Landsat-8 OLI sensor. However, the Scan-Line Corrector (SLC) of the Landsat-7/ETM+ sensor failed in 2003 [36], resulting in gaps in the data. Therefore, the interpolation method was used to patch the images in 2012. The cloud cover of remote sensing images in this study area was less than 20%. Radiation correction and geometric correction of all these images were completed with ground control points and a digital elevation model. Each image was projected onto the WGS84-UTM coordinate system and pre-processing such as radiometric calibration and atmospheric

correction was carried out. The images used for establishing a model of Chl-a concentration were captured by Landsat-8 OLI on 18 April 2016 and the cloud cover of the image was 5.9%. Research showed that with the water temperature rising in Donghu Lake in the spring, algae multiplied in large numbers and the maximum biomass production occurred in summer and autumn [8,31]. Therefore, images captured in spring and autumn seasons were selected to analyze the distribution of Chl-a in Donghu Lake over the years. If images captured in certain months could not be used due to some factors, such as oversized cloud cover, those acquired in the adjacent months were used (Table 1).

Table 1. Landsat image acquisition date and sensor type.

Landsat Date	Sensor	Landsat Date	Sensor	Landsat Date	Sensor
19 April 1987	Landsat-5/TM	23 October 1997	Landsat-5/TM	28 April 2008	Landsat-5/TM
26 September 1987	Landsat-5/TM	17 April 1998	Landsat-5/TM	8 November 2008	Landsat-5/TM
8 June 1988	Landsat-5/TM	26 October 1998	Landsat-5/TM	14 March 2009	Landsat-5/TM
30 October 1988	Landsat-5/TM	6 May 1999	Landsat-5/TM	24 October 2009	Landsat-5/TM
11 February 1989	Landsat-5/TM	27 September 1999	Landsat-5/TM	27 April 2010	Landsat-5/TM
18 November 1989	Landsat-5/TM	27 May 2000	Landsat-5/TM	5 November 2010	Landsat-5/TM
27 April 1990	Landsat-5/TM	31 October 2000	Landsat-5/TM	4 March 2011	Landsat-5/TM
2 September 1990	Landsat-5/TM	8 March 2001	Landsat-5/TM	8 August 2011	Landsat-5/TM
16 May 1991	Landsat-5/TM	18 October 2001	Landsat-5/TM	14 March 2012	Landsat-7/ETM+
23 October 1991	Landsat-5/TM	12 April 2002	Landsat-5/TM	16 April 2013	Landsat-8 OLI
16 April 1992	Landsat-5/TM	14 October 2002	Landsat-5/TM	19 October 2013	Landsat-8 OLI
18 October 1992	Landsat-5/TM	15 April 2003	Landsat-5/TM	15 May 2014	Landsat-8 OLI
19 April 1993	Landsat-5/TM	24 October 2003	Landsat-5/TM	22 October 2014	Landsat-8 OLI
12 October 1993	Landsat-5/TM	1 April 2004	Landsat-5/TM	16 April 2015	Landsat-8 OLI
5 March 1994	Landsat-5/TM	24 September 2004	Landsat-5/TM	25 October 2015	Landsat-8 OLI
29 September 1994	Landsat-5/TM	20 April 2005	Landsat-5/TM	18 April 2016	Landsat-8 OLI
9 April 1995	Landsat-5/TM	11 September 2005	Landsat-5/TM	27 October 2016	Landsat-8 OLI
11 October 1995	Landsat-5/TM	07 April 2006	Landsat-5/TM	16 February 2017	Landsat-8 OLI
10 March 1996	Landsat-5/TM	16 October 2006	Landsat-5/TM	30 October 2017	Landsat-8 OLI
4 October 1996	Landsat-5/TM	10 April 2007	Landsat-5/TM	8 April 2018	Landsat-8 OLI
30 April 1997	Landsat-5/TM	30 October 2007	Landsat-5/TM	15 September 2018	Landsat-8 OLI

(a) Radiometric Calibration

In order to eliminate errors caused by the sensor and determine its accurate radiance values, it was necessary to convert the recorded original un-calibrated digital number (DN) value into the surface reflectance of the top-of-atmosphere spectral radiance through radiometric calibration to accurately retrieve the features of ground objects [37,38]. The DN value of remote sensing images was converted into radiance by using absolute calibration coefficient and the formula is [11,39–41]:

$$L = DN/A + L_0 \quad (2)$$

where L is the top-of-atmosphere spectral radiance [$W/(m^2 \cdot sr \cdot \mu m)$], DN is the original pixel gray value recorded by the sensor, A is gain [$W^{-1} \cdot m^2 \cdot sr \cdot \mu m$], and L_0 is offset [$W/(m \cdot sr \cdot \mu m)$]. Both A and L_0 can be found in the header files of Landsat satellites.

(b) FLAASH Atmospheric Correction

Substances in the atmosphere such as water vapor, aerosol and cloud particles are bound to exert a certain influence on the reflectance spectrum of ground objects, resulting in a difference between the spectrum received by the sensor and the spectral information of the ground objects themselves [6,42]. Therefore, atmospheric correction should be carried out on the images to remove the effects of the atmosphere, so as to obtain real physical parameters such as reflectance of ground objects and surface temperature. In this study, FLAASH Atmospheric correction of ENVI software (Exelis Visual Information Solutions, v.5.3, Broomfield, CO, USA) was used to set parameters such as Landsat sensor type, aerosol model, aerosol retrieval and atmospheric model [38,43,44]. The spectral curves of water body images before and after correction are shown in Figure 2a.

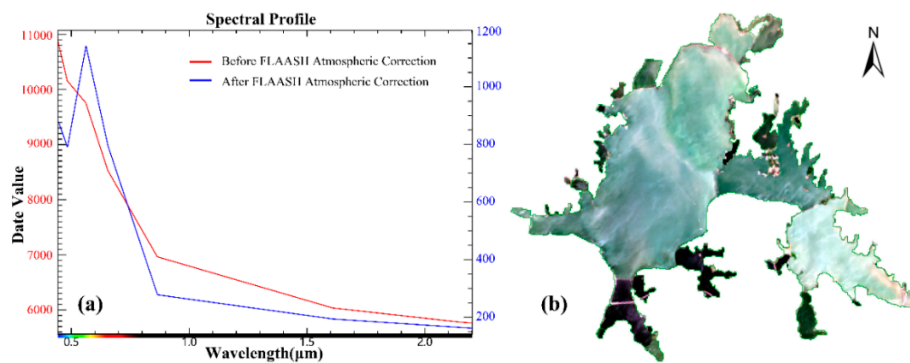


Figure 2. (a) Water spectral curves before and after FLAASH Atmospheric Correction. (b) A true-color composite Landsat-8 OLI image acquired on 18 April 2016 after an extraction of the water body information.

(c) Water Information Extraction

As an urban lake, Donghu Lake has had a relatively fast development in tourism and industry and the urban construction in this basin has been rapid, both of which have made its coastline undergo tremendous changes in the past 30 years. In order to ensure the accuracy of remote sensing inversion of Chl-a concentration, it was imperative to extract water body information from each image and to eliminate the effects of some elements such as greenways and man-made structures. The main means of water body information extraction included manual delineation, threshold segmentation and vector extraction [45,46]. The Feature Extraction tool in ENVI 5.3 was used to extract the vector diagram of Donghu Lake, the region of interest (ROI) was established with vector files [37] and the atmospheric-corrected images were cropped to obtain the scope of Donghu Lake, as shown in Figure 2b.

2.3.3. Comprehensive Trophic Level Index

Eutrophication evaluation of lakes is a quantitative description of lakes' trophic levels at a certain stage during their development process [47]. Through the analysis of a series of parameters of a water body and the correlations among them, the eutrophication status of lakes can be evaluated, the eutrophication development process can be analyzed and its development trend can be predicted, which can help to provide a scientific basis for water quality management and eutrophication control of lakes [7,14]. In this study, the comprehensive trophic level index (TLI) is adopted. It is an evaluation index system suitable for the eutrophication status of lakes in China according to the survey data of 26 lakes. The evaluation method uses a continuous value of 0–100 to grade the trophic status of a lake. A lake whose TLI (Σ) is below 30 is oligotrophic, $30 \leq TLI$ (Σ) ≤ 50 is mesotrophic, TLI (Σ) > 50 is eutrophic, $50 < TLI$ (Σ) ≤ 60 is slight eutrophic, $60 < TLI$ (Σ) ≤ 70 is moderate eutrophic and TLI (Σ) > 70 is extremely eutrophic. The calculation formula is as follows [1,26]:

$$TLI(\Sigma) = \sum_{j=1}^m W_j \cdot TLI(j) \quad (3)$$

where TLI (Σ) denotes the comprehensive trophic level index, W_j represents the relative weights of the trophic state index of parameter j and TLI (j) is the trophic level index of j parameter j .

Chl-a is the reference parameter and the normalized relative weight calculation formula for parameter j is:

$$W_j = \frac{r_{ij}^2}{\sum_{j=1}^m r_{ij}^2} \quad (4)$$

where r_{ij} is the correlation coefficient between the j parameter and the reference parameter Chl-a and m denotes the number of evaluation parameters.

The correlation coefficients r_{ij} and r_{ij}^2 between the reference parameter Chl-a and other parameters of lakes in China are shown in Table 2.

Table 2. Correlation coefficients r_{ij} and r_{ij}^2 between some parameters and Chl-a of lakes (reservoirs) in China.

Parameter	Chl-a	TP	TN	SD	COD _{Mn}
r_{ij}	1	0.84	0.82	−0.83	0.83
r_{ij}^2	1	0.7056	0.6724	0.6889	0.6889

Note: This table is cited from China's Lake Environment. The correlation coefficient r_{ij} in this table was derived from the results of survey data of 26 major lakes in China.

The formula for calculating the trophic level index is:

$$\begin{aligned}
 TLI(\text{Chl} - a) &= 10(2.5 + 1.086\ln \text{Chl} - a) \\
 TLI(\text{TP}) &= 10(9.436 + 1.624\ln \text{TP}) \\
 TLI(\text{TN}) &= 10(5.453 + 1.694\ln \text{TN}) \\
 TLI(\text{SD}) &= 10(5.118 - 1.94\ln \text{SD}) \\
 TLI(\text{COD}_{\text{Mn}}) &= 10(0.109 + 2.661\ln \text{COD}_{\text{Mn}})
 \end{aligned} \tag{5}$$

where the unit of Chl-a is mg/m^3 , the unit of transparency (SD) is m and that of other indexes is mg/L .

3. Results

3.1. Selection of Landsat Spectral Bands

A remote sensing inversion of Chl-a concentration of a water body calculates the Chl-a values based on measured values and models. The basic concept is to establish a fitting model that uses remote sensing signals or data to extract information on this water body. The aim of this study is to assess Chl-a concentration by using the statistical correlation between the spectral band or band combinations and the measured Chl-a values.

The band information of the Landsat series satellites used in this research is shown in Table 3. Among those bands of Landsat-8 OLI images on 18 April 2016, Band 8 is the Panchromatic, which is a black and white panchromatic image with a resolution of 15 m and is mainly used to enhance the resolution. Band 9 is the Cirrus with the characteristic of strong water vapor absorption and is mainly used for cloud detection. Band 10 and Band 11 are bands in the thermal infrared (TIR) with a resolution of 100 m, which are mainly used to sense thermal radiation targets [15,48]. Therefore, the above bands were removed in the study and the rest were used to build the model.

Table 3. Band specifications for Landsat sensors. The bands used in this study are shown in bold.

Spectral Channel	Landsat-8 OLI		Landsat-7/ETM+		Landsat-5/TM	
	Bands	Wavelength (μm)	Bands	Wavelength (μm)	Bands	Wavelength (μm)
Band 1	Coastal	0.43–0.45	Blue	0.45–0.52	Blue	0.45–0.52
Band 2	Blue	0.45–0.51	Green	0.52–0.60	Green	0.52–0.60
Band 3	Green	0.53–0.59	Red	0.63–0.69	Red	0.63–0.69
Band 4	Red	0.64–0.67	Near-Infrared	0.77–0.90	Near-Infrared	0.76–0.90
Band 5	Near-Infrared	0.85–0.88	Near-Infrared	1.55–1.75	Near-Infrared	1.55–1.75
Band 6	SWIR 1	1.57–1.65	Thermal	10.40–12.50	Thermal	10.40–12.50
Band 7	SWIR 2	2.11–2.29	Mid-Infrared	2.08–2.35	Mid-Infrared	2.08–2.35
Band 8	Panchromatic	0.50–0.68	Panchromatic	0.52–0.90		
Band 9	Cirrus	1.36–1.38				
Band 10	TIRS 1	10.60–11.19				
Band 11	TIRS 2	11.50–12.51				

In SPSS Statistics software (IBM, v.22, New York, NY, USA), a Pearson correlation analysis was used for analyzing the correlation between the remaining 7 bands, commonly used band combinations and the measured Chl-a values collected in April 2016 at 97 sampling locations (Table 4).

Table 4. Correlation coefficient of Landsat-8 OLI band combinations with Chl-a concentration.

Band	r	p	Band	r	p	Band	r	p
B1	-0.458 **	0.0000	B3/B2	-0.288 *	0.0111	B3/(B1 + B4)	-0.607 **	0.0000
B2	-0.458 **	0.0000	B3/B4	-0.609 **	0.0000	B3/(B2 + B4)	-0.532 **	0.0000
B3	-0.500 **	0.0000	B4/B1	-0.265 *	0.0197	B3/(B1 + B2 + B4)	-0.582 **	0.0000
B4	-0.414 **	0.0002	B4/B2	0.400 **	0.0003	B4/(B1 + B2)	-0.065	0.5755
B5	-0.166	0.1494	B4/B3	0.605 **	0.0000	B4/(B1 + B3)	0.214	0.0615
B6	-0.087	0.4542	B1/(B2 + B3)	0.526 **	0.0000	B4/(B2 + B3)	0.606 **	0.0000
B7	-0.062	0.5941	B1/(B2 + B4)	0.391 **	0.0004	B4/(B1 + B2 + B3)	0.313 **	0.0056
B1/B2	0.444 **	0.0001	B1/(B3 + B4)	0.475 **	0.0000	(B1 - B2)/(B1 + B2)	0.462 **	0.0000
B1/B3	0.546 **	0.0000	B1/(B2 + B3 + B4)	0.479 **	0.0000	(B1 - B3)/(B1 + B3)	-0.263 *	0.0210
B1/B4	0.284 *	0.0122	B2/(B1 + B3)	-0.240 *	0.0352	(B1 - B4)/(B1 + B4)	0.268 *	0.0183
B2/B1	0.465 **	0.0000	B2/(B1 + B4)	-0.500 **	0.0000	(B2 - B3)/(B2 + B3)	-0.321 **	0.0044
B2/B3	0.342 **	0.0023	B2/(B3 + B4)	0.003	0.9770	(B2 - B4)/(B2 + B4)	0.401 **	0.0003
B2/B4	-0.399 **	0.0003	B2/(B1 + B3 + B4)	-0.312 **	0.0057	(B3 - B4)/(B3 + B4)	-0.826 **	0.0000
B3/B1	-0.534 **	0.0000	B3/(B1 + B2)	-0.523 **	0.0000	(B4 - B3)/(B4 + B3)	-0.826 **	0.0000

* $p < 0.05$, ** $p < 0.01$.

The results of the analysis showed that the correlations between the measured Chl-a values and the band combinations were significantly improved. The correlations between B3/B4, B3/(B1 + B4), B4/(B2 + B3), (B4 - B3)/(B4 + B3) and Chl-a values were stronger, reaching above 0.6, of which (B4 - B3)/(B4 + B3) [(Green - Red)/(Green + Red)] possessed the highest correlation [$r(97) = -0.826$, $p < 0.01$]. Thus, it was confirmed that band combinations had higher correlation coefficients with Chl-a concentration, which was consistent with previous studies [13,14,24].

3.2. Chl-a Algorithm Development

The data of 65 sampling points collected in April 2016 were randomly selected to establish the model. In Origin software (OriginLab Corporation, v.2018, Northampton, MA, USA), the (B4 - B3)/(B4 + B3) was taken as the independent variable and the measured Chl-a values were the dependent variables. Common models such as linear, exponential, power, cubic and logarithmic models were established [10,14,38,42], with the correlation coefficient (R^2), the root mean square error (RMSE) and the relative error (RE) as evaluation indexes (Figure 3) [15,22,49,50].

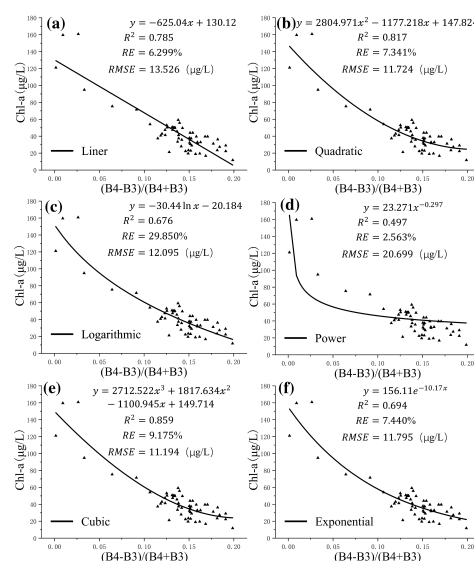


Figure 3. Comparison between in situ Chl-a and (B4 - B3)/(B4 + B3) using different algorithms, including (a) linear; (b) quadratic; (c) logarithmic; (d) power; (e) cubic and (f) exponential.

The results showed that the R^2 of the linear, cubic and quadratic models was greater than 0.6, the RE was lower than 10% and the RMSE was lower than 15 $\mu\text{g/L}$. Thus, it can be seen that the precision of those models can meet the requirements of the remote sensing inversion. Among those common models, the cubic model (Figure 3e) had the highest accuracy, an optimal fit and a closer correlation with the measured Chl-a values, for it had an R^2 of 0.859, an RE of 9.175% and an RMSE of 11.194 $\mu\text{g/L}$. The cubic model was established by data which were randomly selected from different sampling locations six times, and its results were relatively stable with an R^2 varying from 0.735 to 0.859 and an RMSE ranging from 11.194 to 19.438 $\mu\text{g/L}$. Therefore, the $(B4 - B3)/(B4 + B3)$ was selected as the band combination to retrieve Chl-a values in this study and a cubic model to retrieve Chl-a concentration in Donghu Lake was established based on Landsat-8 OLI data.

$$\text{Chl-a} = 2712.52x^3 + 1817.63x^2 - 1100.95x + 149.71 \quad (6)$$

where Chl-a represents the chlorophyll a concentration ($\mu\text{g/L}$) and x is the reflectance band ratio of $(B4 - B3)/(B4 + B3)$.

3.3. Validity of the Algorithm

The data collected in the remaining 32 sampling points were used to verify the accuracy of the model. The established model of band combination (Formula 6) was applied to the Landsat-8 OLI ratio images on 18 April 2016. The pixel reflectivity of the 32 points was extracted and band math was performed to obtain the model predictions of Chl-a values, which were then fitted with the measured Chl-a values (Figure 4a). The R^2 , RE and RMSE of both the inversion values and the measured values were 0.831, 6.509%, 19.846 $\mu\text{g/L}$, respectively, which indicated that the model constructed in this study can well retrieve the actual Chl-a concentration. The outliers emerging at Miao Hu, Hou Hu and Shuiguo Hu may be caused by frequent outbreaks of cyanobacteria blooms which resulted from culture-based fisheries practices, sewage discharged after agritainment activities and business activities such as pleasure boats. However, it cannot be ruled out that this may be caused by systematic errors in experimental analysis.

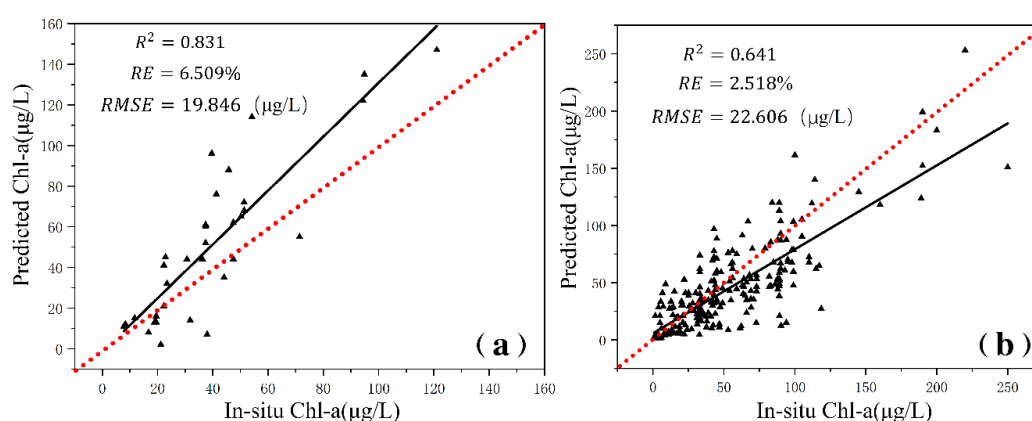


Figure 4. (a) Landsat-predicted versus actual Chl-a concentration. (b) Landsat-predicted versus actual Chl-a concentration (three long-term monitoring points from 2005 to 2018). The 1:1 line is represented by the red dashed line and the black solid line represents the observed correlation between the Landsat estimated values and actual measured values.

3.4. Validity of the Algorithm Based on Measured Data from 2003–2018

The inversion model (Formula 6) of Chl-a concentration was applied to the Landsat images from 2005 to 2018 so as to do band math and extract the inversion values. The band combination

used by Landsat 8-OLI was $(B4 - B3)/(B4 + B3)$ and that of Landsat 7/ETM+ and Landsat 5/TM was $(B3 - B2)/(B3 + B2)$.

With the Image Analysis tools of ArcGIS software (Esri, v.10.2, Redlands, CA, USA), band function was extracted. According to the coordinates of three long-term monitoring points set up by Chinese Academy of Sciences, 74 images with a similar transit time and sampling dates from 2005 to 2018 were used to extract Chl-a values of those monitoring points, which were then fitted with Chl-a values of measured points to verify the accuracy of the long-term remote sensing inversion model (Figure 4b). It was found that the R^2 , RE and RMSE of both the predicted values and the measured values were 0.641, 2.518% and 22.606 $\mu\text{g/L}$, respectively. The accuracy was mainly affected by the fact that the sampling dates were not completely consistent with the dates when those satellites flew over Donghu Lake. However, the model can reveal the approximate Chl-a concentrations in Donghu Lake in different historic periods and also provide a reference for the long-term remote sensing dynamic monitoring of the lake.

3.5. Application of the Algorithm and Comparison of the Measured Data

The Chl-a values were collected at 97 sampling locations of Donghu Lake in April 2016. Next, a Kriging interpolation analysis was conducted with ArcGIS 10.2 and mapping was established after a classification was made (Figure 5a). Comparing those values with Chl-a values retrieved by a remote sensing inversion model (Figure 5b), we can find that the distribution areas of the peak values of Chl-a concentration revealed by the two methods were consistent.

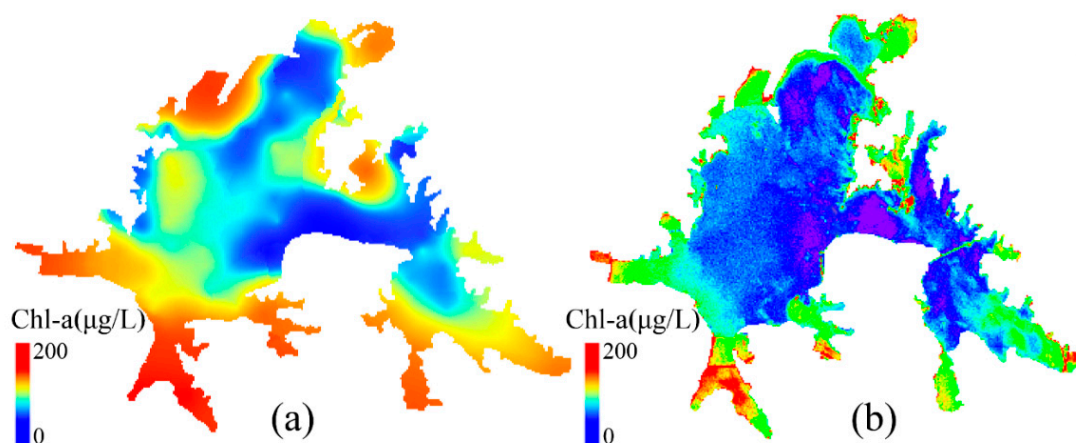


Figure 5. Comparison of the observed (a) and Landsat-predicted (b) Chl-a concentration distribution map on 18 April 2016.

An analysis of the spatial distribution of Chl-a concentration showed that some sub-lakes such as Shuiguo Hu, Yujia Hu, Miao Hu, Tuan Hu and Hou Hu had higher Chl-a concentration (40.3–265.5 $\mu\text{g/L}$), while some sub-lakes such as Guozheng Hu, Tanglin Hu and Xiaotan Hu had relatively better water quality (7.8–39.4 $\mu\text{g/L}$). The spatial distribution of Chl-a concentration was closely related to the distribution of pollution sources along its shore. For urban development, the Wuhan municipal government connected Shuiguo Hu with the seriously polluted Shahu Lake, which was surrounded by commercial areas and densely populated residential areas. After that, a large amount of sewage was discharged into Donghu Lake, resulting in high temperatures of its water body, high levels of nutrients such as nitrogen and phosphorus, and severe water pollution. Separated from the main body of Donghu Lake for the construction of municipal works, Miao Hu and Yujia Hu had gentler water flows and weaker water exchanges, which led to relatively slow processes of their sewage purification. With dense villages, towns and factories around them, some sub-lakes such as Tuan Hu and Hou Hu had many branching streams and widely scattered fishing grounds. Hence, a large

amount of domestic, industrial, fishery and agricultural sewage was discharged into these lakes, resulting in high Chl-a concentration in some areas. As the key planning areas of the Donghu Lake Scenic Area, some sub-lakes such as Xiaotan Hu, Tiane Hu and Shaoji Hu were planted with many aquatic plants, which exerted certain effects on the purification of their water quality. The main lake area of Donghu Lake, which consists of Guozheng Hu, Tangling Hu etc., had a large water area and a strong self-purification ability. Thus, the eutrophication status of the main lake area was better than that of other sub-lakes.

4. Discussions

4.1. Seasonal and Inter-Annual Changes of Chl-a Concentration

The Chl-a values measured at three fixed monitoring points were combined with the temperature and precipitation data of Donghu Lake obtained from China Meteorological Administration for analysis (Figure 6 and its enlarged version, Figure S1 in the Supplementary Materials). The data monitored showed that the peak values of Chl-a concentration at points I, II and III all occurred in summer (July–September) with the monthly average temperature exceeding 24 °C. The lowest values appeared in winter and spring seasons (December–February) with the monthly average temperature under 10 °C. Chl-a concentration in Donghu Lake obviously varied in different seasons, with the highest concentration in summer, followed by autumn, spring and winter [20,51,52]. Located at the edge of the lake, point I had the greatest seasonal difference in Chl-a concentration, while point II in the main lake region had the smallest. Previous studies showed that the Chl-a concentration in lakes increased gradually in spring and reached the highest level in summer, and then decreased gradually in autumn and reached the lowest level in winter. The variations of Chl-a concentration were closely related to the climate changes of Donghu Lake. With a north subtropical monsoon humid climate, the Donghu Lake has four distinct seasons. It is warm and humid in spring with a rise in temperature, resulting in the steady growth of algae. In summer, it is warm and rainy so that the number of algae in this region reaches the maximum in August on account of the proper water temperature. It is sunny and mild in autumn and the temperature gradually goes down in October, which curbs the growth and reproduction of algae. A cold and dry climate in winter is not suitable for the survival of algae. During winter, the metabolism of algae is suspended and it becomes dormant [53,54].

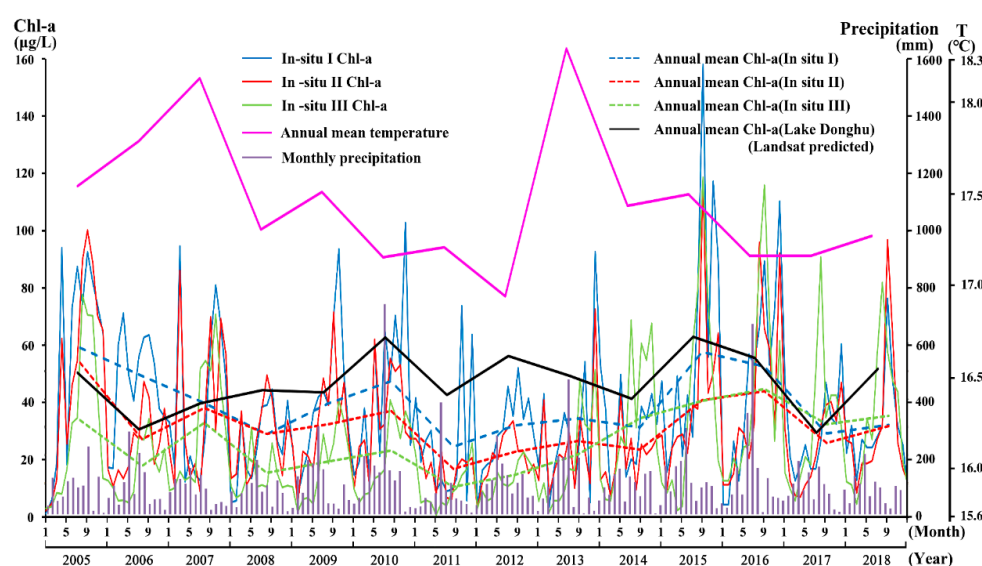


Figure 6. Comparison between the observed and predicted Chl-a and meteorological data from 2005–2018.

The inter-annual variance analysis from 2005 to 2018 indicated that the Chl-a concentration at points I, II and III ranged from 3.4 to 158.1 $\mu\text{g/L}$, 2.8 to 117.2 $\mu\text{g/L}$ and 0.6 to 118.6 $\mu\text{g/L}$ respectively. As for the annual mean, the standard deviation and variance at point I were 11.24 and 126.35, those at point II were 9.67 and 93.56 and those at point III were 10.51 and 110.45. It can be found that the inter-annual difference at point I was the largest and that at point II was the smallest. Meteorological data showed that there was a matching pattern between the mean annual temperature and precipitation of Donghu Lake and the peak values of Chl-a concentration. The higher values of Chl-a concentration at three points mainly occurred in 2005 (17.6 $^{\circ}\text{C}$, 1108 mm), 2007 (18.1 $^{\circ}\text{C}$, 1031 mm), 2010 (17.2 $^{\circ}\text{C}$, 2032 mm), 2013 (18.2 $^{\circ}\text{C}$, 1491 mm), 2015 (17.5 $^{\circ}\text{C}$, 1442 mm) and 2016 (17.2 $^{\circ}\text{C}$, 1827 mm), while relatively lower values appeared in 2008 (17.3 $^{\circ}\text{C}$, 1009 mm), 2011 (17.2 $^{\circ}\text{C}$, 968.9 mm), 2012 (17.0 $^{\circ}\text{C}$, 1395 mm) and 2017 (17.3 $^{\circ}\text{C}$, 1074 mm). Donghu Lake had higher Chl-a concentration values in rainy years or warmer years. This was because in warmer years such as 2005, 2007 and 2013, its higher water temperatures were conducive to the mass reproduction of algae and in rainy years such as 2010 and 2016, large amounts of urban sewage were discharged into the lake, resulting in an increase in the nutrients in the water body and thus enhancing the proliferation of algae [55]. In addition, the construction of the Donghu Lake Greenway and the Donghu Lake Tunnel projects in 2015 and 2016 may have also impacted the water quality of Donghu Lake, resulting in its abnormally high Chl-a concentration.

An inversion model (Formula 6) of Chl-a concentration was applied to the Landsat series images of Donghu Lake from 2005 to 2018 to obtain the values of Chl-a concentration in the entire lake from each image and calculate the annual mean values of Chl-a concentration of those years (Figure 6). By comparing the annual mean values of Chl-a concentration retrieved by the remote sensing model with those acquired by calculating the data collected at three measured points, we can find that the variation trends of these two sets of data were similar, which verified the reliability of the remote sensing inversion model.

4.2. Distribution of Chl-a Concentration in Spring and Autumn from 1987 to 2018

An inversion model (Formula 6) of Chl-a concentration was applied to images of Donghu Lake captured by Landsat series satellites from 1987 to 2018. A total of 64 scenes in spring and autumn seasons were selected (Figure S2 in the Supplementary Materials). In the past 30 years, the Chl-a concentration in Donghu Lake has varied in the range of 20–200 $\mu\text{g/L}$. The higher values, even the peak ones, of Chl-a concentration were commonly found in sub-lakes such as Yujia Hu, Tuan Hu, Miao Hu and Shuiguo Hu. The Chl-a concentration in the regions between the edges of these lakes and the land was higher than that in the centers of the lakes. The water quality of Guozheng Hu and Tangling Hu was relatively good. According to the perennial analysis of Chl-a concentration, the southern part (Miao Hu, Yujia Hu) of Donghu Lake had the highest Chl-a concentration, followed by the western part (Shuiguo Hu), the eastern part (Tuan Hu, Hou Hu) and the northern part (Tangling Hu, Xiaotan Hu).

In spring, the Chl-a concentration in the eastern (Tuan Hu, Hou Hu) and southern (Yujia Hu, Miao Hu) parts of Donghu Lake was obviously higher than that in the northern (Tangling Hu, Shaoji Hu, Xiaotan Hu) and western (Shuiguo Hu, Tiane Hu) parts. In autumn, the peak values of Chl-a concentration appeared in the southern (Yujia Hu, Miao Hu, Lingjiao Hu) and eastern (Tuan Hu) parts. The area of Donghu Lake was relatively small and the meteorological conditions of each sub-lake, such as light and temperature, were similar. However, the input levels of nutrients and conditions at the bottoms of these sub-lakes were quite different. Being less affected by human activities, the western part of Donghu Lake, including Shaoji Hu and Tiane Hu, had a shallow water depth, high transparency and excellent water quality, thus providing good conditions for the growth of aquatic plants and imposing restraints on that of algae. The water flow of Guozheng Hu in the main lake region was faster. Some studies have shown that the too fast water flow and frequent water exchanges will effectively restrain the growth and aggregation of algae by damaging the growing and breeding environment of algae [22,47]. There were quite a few branches and bays of sub-lakes, such as Tuan Hu and Hou Hu in the eastern part of Donghu Lake, where culture-based fisheries have been developed [33].

In spring, the Chl-a concentration in Donghu Lake was generally low, but the pollution in it was still serious, for a large amount of sewage had been discharged into it from the dense commercial districts, residential districts and universities around Miao Hu and quite a few villages and towns around Lingjiao Hu. In autumn, the overall Chl-a concentration in Donghu Lake was relatively high and the difference of Chl-a concentration between different regions in the whole lake became smaller. The peak values of Chl-a concentration mainly appeared in relatively closed sub-lakes such as Yujia Hu, Miao Hu, Tuan Hu and Lingjiao Hu.

4.3. Trophic State Assessment

According to the results of an investigation in Donghu Lake on 18 April 2016, the *TLI* (Chl-a), *TLI* (TP), *TLI* (TN), *TLI* (SD), *TLI* (COD) and *TLI* (Σ) were 64.38, 58.49, 58.68, 56.35, 79.15 and 63.49, respectively. The water quality of Donghu Lake was moderate eutrophication. The higher comprehensive *TLI* appeared in sub-lakes such as Miao Hu (*TLI* (Σ) = 74.5), Shuiguo Hu (*TLI* (Σ) = 80), Tuan Hu (*TLI* (Σ) = 66.7), Yujia Hu (*TLI* (Σ) = 69.6) and Hou Hu (*TLI* (Σ) = 66.2), and the lower ones occurred in Guozheng Hu (*TLI* (Σ) = 60.3) and Tangling Hu (*TLI* (Σ) = 60.8). All of these were consistent with the results of remote sensing inversion of Chl-a concentration.

The comprehensive trophic level index was calculated and graduation statistics were carried out with remote sensing inversion results of Chl-a values of spring and autumn seasons from 1987 to 2018 (Figure 7). The *TLI* of Donghu Lake varied substantially in different periods and it was mostly mesotrophic and eutrophic. More than 70% of the total lake area was in the status of mesotrophication or eutrophication. The results indicated that the water pollution in Donghu Lake has been relatively serious during its history.

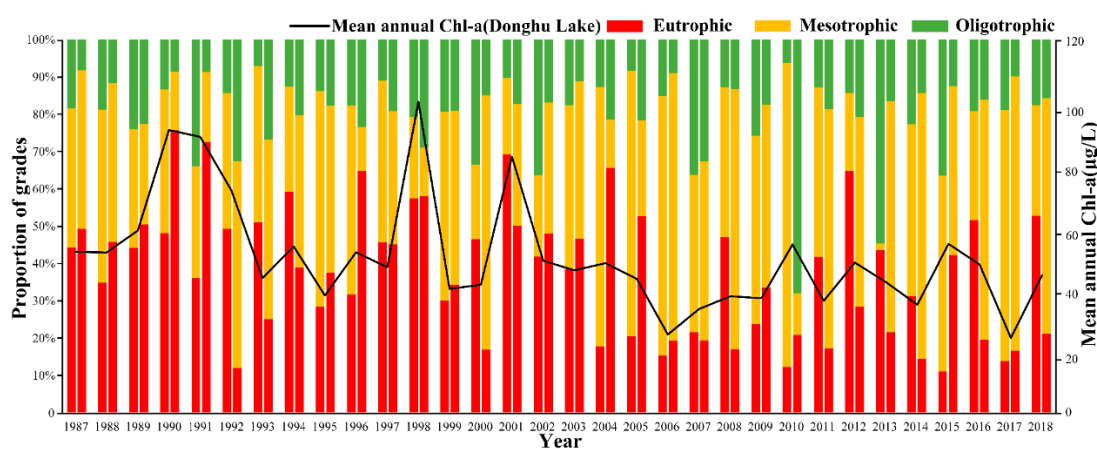


Figure 7. The area ratio of different Chl-a concentration grades from 1987 to 2018. The black solid line represents the annual mean value of Chl-a. The column on the left represents the proportion of grades in spring of different years and the column on the right represents that in autumn.

Connected with the Yangtze River, Donghu Lake used to be an open water lake with intense water exchanges and good water quality and its water levels depended on the fluctuation of the Yangtze River, according to historical data. In the 1950s, Wuhan's municipal government made great efforts to develop iron and steel enterprises. The construction of the Wufeng Sluice and the Qingshan Central Pump during that time led to the complete separation of Donghu Lake and the Yangtze River and transformed Donghu Lake from a natural lake into an inland water body. Donghu Lake was in the stage of transition from a mesotrophic lake to a eutrophic one. In the 1960s, hundreds of factories and enterprises were built around the lake and the surrounding population increased rapidly, which caused an increase in sewage discharge. At the same time, a large number of dams and bridges were built and fisheries were vigorously developed, which led to the separation of the branches of

Donghu Lake and a weakened water mobility. The surface flow velocity was only 5–10 cm/s and water at the bottom layer was basically stagnant. Human-induced eutrophication became increasingly prominent in the 1970s when Sha Hu was connected to Donghu Lake for city drainage. A large amount of organic matters, inorganic fertilizers, pesticides, poisonous substances and heavy metals such as cadmium (Cd) and manganese (Mn) were discharged into this lake. The peak volume of the sewage discharged into it exceeded 3×10^5 t/d per day. The transparency of the water body and the coverage of aquatic plants decreased and the biodiversity and resource storage drastically declined. The water in Donghu Lake became muddy and smelly and the ecological balance was destroyed. Donghu Lake at that time became extremely eutrophic. In the 1980s, the number of plankton boomed in the branching streams. In 1985, serious cyanobacterial blooms broke out across the whole lake. In the 1990s, Wuhan's government began to treat urban sewage by adopting various engineering measures such as external sewage interception, internal sewage removal, ecological restoration and water level regulation [30], which improved the water quality of Donghu Lake in the years that followed in spite of its instability. At the beginning of the 20th century, some sewage interception and decontamination projects were put into use, and the large sewage draining exits in Miao Hu, the southern part of Donghu Lake, and the Hongshan District were closed. With these measures, the water quality of Donghu Lake was tremendously improved. However, it was still in the status of eutrophication.

5. Conclusions

Taking Donghu Lake as the research object, this study built a model between Chl-a values and the band combination of $(B4 - B3)/(B4 + B3)$ based on the remote sensing band characteristics of Chl-a, by establishing correspondence between the water quality parameters of Chl-a, TN, TP, COD and SD collected from 97 locations on 18 April 2016 and remote sensing images captured by Landsat satellites on the same day. The model was applied to images from 1987 to 2018 to obtain the long-term dynamics of Chl-a concentration in Donghu Lake. Through analysis, conclusions were reached as follows:

- (1) An inversion model of Chl-a concentration was established with remote sensing images and water quality parameter data. The correlation coefficient (R^2) of the model was 0.859, the root mean square error (RMSE) was 11.194 $\mu\text{g/L}$ and the relative error (RE) was 9.175%. The R^2 , RE and RMSE of the verification model were 0.831, 6.509% and 19.846 $\mu\text{g/L}$ respectively. The generated results were reliable for the inversion of Chl-a concentration.
- (2) Based on the measured data and meteorological data from 2005 to 2018 in Donghu Lake, it was shown that Chl-a concentration in this lake varied in different seasons and was affected by lake morphology and distribution of surrounding pollution sources, exhibiting obvious spatio-temporal characteristics. The interannual variance analysis indicated that the Chl-a concentration in Donghu Lake was relatively high in warmer years or rainy years, and the seasonal variance analysis showed that Donghu Lake had the highest Chl-a concentration in summer, followed successively by autumn, spring and winter. The pollution levels of water in the sub-lakes were higher than those in the main lake area. Among these sub-lakes, Yujia Hu, Miao Hu and Shuiguo Hu were the three most polluted regions. The comprehensive trophic level index TLI (Σ) of Donghu Lake in April 2016 was 63.49, indicating eutrophication at that time.
- (3) The accuracy of the model was verified using the data collected over more than ten years in three long-term monitoring points, which were provided by the Donghu Experimental Station of Lake Ecosystems, Chinese Academy of Sciences. The R^2 , RE and RMSE were 0.641, 2.518% and 22.606 $\mu\text{g/L}$, respectively. The accuracy is sufficient for conducting a remote sensing inversion, which demonstrates that the Landsat series data can be used for retrieving the long-term Chl-a concentration in inland lakes.
- (4) Historically, Donghu Lake was connected with the Yangtze River. The sub-lakes of Donghu Lake had high fluidity, good water quality and abundant aquatic plants. However, urbanization and human intervention have exerted enormous impacts on Donghu Lake. The lake's biodiversity has been destroyed and its water quality has declined sharply. The situation has been somewhat

improved through a variety of engineering measures and ecological management, showing that the building of the eco-water network of Donghu Lake has been effective for the restoration of its ecological structure and the improvement of its water quality.

Supplementary Materials: The following are available online at <http://www.mdpi.com/2073-4441/12/8/2192/s1>, Figure S1. Comparison between the observed and predicted Chl-a and meteorological data from 2005–2018. Figure S2: Map of predicted Chl-a concentration distribution in the spring and autumn from 1987 to 2018.

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