

Article

A Study on the Priority Selection Method for Underground Dam Installation Considering Humanities and Social Factors Using Fuzzy Analytic Hierarchy Process in Korea

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Abstract: Most of Korea's precipitation is concentrated between June and September, and 65% of the country's territory is covered with mountains, which means there is less time for rainfall to reach the surface. These hydrological characteristics pose challenges in securing and managing water resources. Moreover, the Yeongdong Area of Gangwon Province does not easily allow the construction of reservoirs and dams, which adds to the difficulty of developing structural measures to address water shortage caused by water supply restrictions. One measure proposed for addressing damage to residents, as well as social conflicts caused by water shortages, is to use underground dams and other high-capacity underground facilities to secure water for Korea's eastern coastal areas. Unlike dams and reservoirs above the ground, underground dams are not affected by floods and offer an eco-friendly way to address the continuous water demand growth by storing water in underground spaces. This study prioritizes underground dam sites in six areas in the Yeongdong Area of Gangwon Province (Goseong, Sokcho, Yangyang, Gangneung, Donghae, and Samcheok) by conducting an expert survey and analyzing the results with the analytic hierarchy process (AHP) and fuzzy AHP. The findings indicate that the Sangcheon River in Sokcho, where an underground dam already exists, satisfies the criteria proposed in this study. We expect the study's findings and methods to be used to determine suitable dam sites and water resource management plans.

Keywords: priority selection method; underground dam installation; humanities and social factors; fuzzy AHP

1. Introduction

Water is the source of all ecosystems, making it crucial for people's daily and economic activities. A shortage or excess of water can lead to disasters, such as droughts and floods, as well as national or global crises. As such, finding ways to manage water is necessary to prevent these disasters. Korea's annual water reserve is around 125 billion tons, 60% of which flows into rivers and seas, and 40% is lost. Slightly less than half of the water flowing into rivers and seas (27% of the total water reserve, 33.7 billion tons) is used as river water, water stored in dams, and underground water. The remaining 33% (41.2 billion tons) flows into the sea [\[1\]](#page-13-0).

Among the various facilities for securing additional groundwater, underground dams are representative. The term underground dam refers to a kind of underground reservoir in which water barriers are installed in the underground space for the purpose of securing additional groundwater through the existing groundwater level rise. The following section describes the research trends related to underground dams [\[2\]](#page-13-1) divided the types of dams into underground, groundwater, subsurface, and sand storage. China and Japan

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have successfully adopted and operated underground dams, and India has built and used underground dams for small irrigation farming operations since the 1960s. Meanwhile, Kenya has built and operated underground dams to secure water for consumption and farming, and small-scale underground dams for drinking water were built in Ethiopia. In Korea, full-fledged R&D efforts on agricultural underground dams began in the 1980s, mostly for small watersheds [\[3\]](#page-13-2). Ref. [\[4\]](#page-13-3) used exclusion criteria and geographic information

system (GIS) methods for the site selection of underground dams using geology, topography, land use, and distribution maps of qanats. On the other hand, ref. [\[5\]](#page-13-4) studied site selection for underground dam construction using multiple parameters using GIS and remote sensing. Ref. [\[6\]](#page-14-0) selected an underground dam as a suitable location using the spatial multi-criteria evaluation (SMCE) for water supply in the dry basin in southwestern Iran. In addition, ref. [\[7\]](#page-14-1) reviewed papers on the selection of suitable sites for dams and underground dams, and ref. [\[8\]](#page-14-2) evaluated the environmental impact of underground dams on groundwater flow.

The research trends on the analytic hierarchy process (AHP) and fuzzy AHP are as follows. Ref. [\[9\]](#page-14-3) developed AHP in the mid-1970s as a method to support the decisionmaking process with multiple decision-makers, and government agencies and businesses widely use AHP across the world. On the other hand, ref. [\[10\]](#page-14-4) used AHP to determine airport sites in Samothrace in Northern Greece based on expert analysis findings, private airport authority evaluations, and the Ministry of Environment and Energy's findings. Ref. [\[11\]](#page-14-5) studied the selection of airport sites using fuzzy trigonometric functions. They identified and evaluated four criteria (operation conditions, social and economic conditions, operation support, and execution conditions) by calculating the relevant values with the fuzzy trigonometric function. Then, they used the calculated values to weigh the findings of an expert survey and used the results to shortlist the candidate sites. Meanwhile, Ref. [\[12\]](#page-14-6) used fuzzy AHP to study site selections for the New Jeju Airport. He fuzzified the weights allocated to the AHP findings and summed up the multiplications of the respective elements to shortlist the candidate sites. Ref. [\[13\]](#page-14-7) used fuzzy AHP to determine the suitable sites for underground dams to address drought-induced underground water shortage in Iran by defining the relevant indicators, including local inclines, distances to wells, and land use. Ref. [\[14\]](#page-14-8) utilized fuzzy AHP to determine the suitable location for underground dam construction. They considered watershed inclines, widths, land use areas, and eight alternatives and used six experts' survey results to prioritize the candidate sites.

For this study, fuzzy AHP was used to prioritize 34 watersheds in six areas (Goseong, Sokcho, Yangyang, Gangneung, Donghae, and Samcheok) along Korea's east coast.

2. Theoretical Background

2.1. Research Method

This study aims to analyze the expert survey results using fuzzy AHP to determine suitable underground dam sites among watersheds in six areas along Korea's eastern coast (Goseong, Sokcho, Yangyang, Gangneung, Donghae, and Samcheok), with consideration of their topographical locations, water resource infrastructure, and other humanistic and hydrological factors. To that end, the humanistic and hydrological characteristics of the target areas were surveyed and analyzed, and the weights to be applied to suitable site determination based on previous studies were selected. Then, the survey findings were used to perform AHP and fuzzy AHP analyses to prioritize the underground dam sites in the research areas (Figure [1\)](#page-2-0).

2.2. Analysis Hierarchy Process

AHP identifies the significance of different target values under a hierarchy, thereby calculating the significance of each factor or alternative. The process is suitable for prioritizing and resolving issues that include multiple decision-makers, goals, and criteria. It also prioritizes alternatives by calculating the weights or relative significance of higher-tier fac-

tors through the pairwise comparison of lower-tier factors. AHP even considers qualitative and quantitative elements, ensuring the consistency of AHP evaluations.

Figure 1. Flowchart of study.

As in Table [1,](#page-2-1) The pairwise comparison matrix represents the superiority between two factors, and the inverse is established through symmetry.

$$
a_{ij} = w_i/w_j \ (i, j = 1, 2, \ldots, n)
$$
 (1)

Table 1. Pairwise comparison matrix.

Here, a pairwise matrix is a square inverse matrix where all values on the diagonal line are 1.

A decision-maker proposes an index for the pairwise comparison's reliability to validate the logical consistency of the decisions made. The index is called the consistency ratio (*CR*).

$$
CI = (\Lambda_{max} - N) / N - 1 \tag{2}
$$

where *CR* refers to the consistency ratio, *CI* is the consistency index, *RI* is the random index, Λ*max* is the max Eigenvalue, and *N* is the matrix size. The *CR* is calculated by dividing *CI* by the *RI*, and a *CR* close to 0 means a higher level of consistency.

$$
CR = \frac{CI}{RI} = \frac{\Lambda_{max} - N}{N - 1} \times \frac{1}{RI}
$$
 (3)

The weights calculated for each tier are compiled, and the resulting data can be used to prioritize alternatives and choose the best among them.

AHP has been criticized for uncertainties caused by changes in evaluation scale scores during pairwise comparison, and uncertainties arise from human preference because it uses numbers determined by humans. In addition, it is difficult for decision-makers to make accurate choices concerning comparisons. These uncertainties can be addressed by applying the fuzzy theory to AHP to quantitatively represent qualitative data and minimize the involvement of human preference.

2.3. Fuzzy Theory

The fuzzy theory, established by [\[15\]](#page-14-9), is predicated on the possibility that words used in everyday conversations and vague expressions can be quantitatively represented and processed. Among the theory's many branches, the fuzzy scale theory solves issues by representing the degree of belief based on uncertain information. Before performing a fuzzy AHP analysis, understanding how a fuzzy system works is necessary. In Figures [2](#page-3-0)[–4,](#page-4-0) if certain values are entered for the input variables x_1 and x_2 , identifying the output of the fuzzy controller, which consists of rules and the membership function, is required.

Figure 2. Output area from rule (1).

Rule (1) x_1 = "average" has membership values $\mu(x_1) = 1$ and $\mu(x_2) = 0.75$. Then, determine the min value between the two membership values and d. Deliver the output variable of the representative value to the fuzzy set. The area of the colored section is used to calculate the output value.

$$
\min(0.75, 1) = 0.75\tag{4}
$$

Rule (2) x_1 = "average" has membership values $\mu(x_1) = 1$ and $\mu(x_2) = 0.25$, and the min value between the two membership values can be determined.

$$
\min(0.25, 1) = 0.25\tag{5}
$$

The overall output variable for the two input variables is calculated using the areas from rules (1) and (2), and Figure [4](#page-4-0) represents the area of the overall output variable.

Figure 3. Output Area from rule (2) [\[16\]](#page-14-10).

Figure 4. Output area from rules (1) and (2).

2.4. Target Areas

The target areas for this study are Goseong, Sokcho, Yangyang, Gangneung, Donghae, and Samcheok. Across the 6 areas, there are 3 grade 1 rivers (Namdaecheon in Yangyang, Namdaecheon in Gangneung, and Osipcheon in Samcheok) and 31 grade 2 or lower rivers, for a total of 34 watersheds and rivers (Figure [5\)](#page-5-0). These areas are collectively called the Yeongdong Area, which refers to an area on the right side of the Taebaek Mountains. The rivers in the area are characterized by shorter extensions and smaller sizes, resulting in higher runoffs.

Figure 5. Map of the target areas.

3. Application and Results

3.1. Selection of Indicators

Indexes, indicators, and data for determining suitable underground dam sites in the survey questionnaire were selected, as shown in Table [2,](#page-6-0) and the following paragraphs explain the meaning of each item. The underground dam suitability index (UDSI) represents the suitability of an underground dam site, and urgency (UG) represents the number of people affected by droughts over the last decade from the National Drought Information Portal. A higher UG value means a larger number of affected individuals. The business requirement (BR) is an indicator that represents the number of humanistic, social, environmental, and ecological elements in a watershed area, where a higher BR means a higher number of those elements. Water supply from underground dams (SU) was calculated using the underground dam development capacity formula developed by the [\[17\]](#page-14-11). so a higher development capacity pertains to a higher number of underground dams supplied. Meanwhile, water shortage (WS) was determined based on 2030 water shortage data under the Gangwon Province water resource management plan. A higher WS denotes more severe water shortages. The arrow in the meaning line means that the higher the number, the higher the indicator is defined.

3.2. Weight Calculation Using the AHP and Fuzzy Methods

The criteria and weights were calculated using the AHP and fuzzy theory discussed in the preceding sections. In addition, a survey was conducted with 30 water resources, civil engineering, and disaster prevention experts, and the findings were analyzed using the AHP and fuzzy methods. The following represents the weights calculated with the fuzzy method (Figure [6\)](#page-6-1). A membership function was first specified and set to determine

the inputs and outputs. Then, the fuzzy weight for each indicator was calculated using a formula to determine the fuzzy and AHP weights.

Table 2. Description of indexes, indicators, and data.

Figure 6. Flowchart of weight calculation using AHP and fuzzy methods.

The following formula was developed from the analysis:

$$
UDSI = \alpha UG + \beta BR + \gamma SU + \varepsilon WS \tag{6}
$$

Here, *UDSI* is the underground dam suitability index. It was newly calculated in this study by referring to the evaluation items selected in the existing literature case [\[17](#page-14-11)[,18\]](#page-14-12). *α* is the weight for urgency, and *UG* is the fuzzy weight for urgency. Meanwhile, *β* is the weight for business requirements, and *BR* is the fuzzy weight of business requirements. In addition, *γ* is the weight for underground dam supply, *SU* is the fuzzy weight for the underground dam supply, *ε* is the weight for water shortage, and *WS* is the fuzzy weight for water supply.

After surveying the 30 experts, the CI of the survey findings was 0.02, which satisfies the CI criteria (CI \leq 0.1). Table [3](#page-7-0) shows the AHP weights calculated.

Table 3. Weight analysis by indicator.

The urgency weight was 0.239, and the business requirement weight was the lowest at 0.167. Moreover, the weights for underground dam supply and water shortage were 0.198 and 0.396, respectively.

Determining the input and output variables was required to fuzzify each indicator. Four factors were chosen as the priority indicators for underground dam sites (urgency, business requirement, supply from underground dams, and water shortage), and Table [4](#page-7-1) lists the membership function of each factor on a five-point scale. The following criteria were determined based on previous literature and the experts' opinions. Table [4](#page-7-1) sets the category range by referring to the existing references [\[18–](#page-14-12)[20\]](#page-14-13).

Table 4. Membership function scores by indicator.

The membership function scores for urgency were determined based on the number of individuals affected by droughts over the last decade based on the National Drought Information Portal. A higher value indicates a larger number of affected individuals. A watershed is given 5 points if the number of affected people is 100,000 or more; 4 if the number is less than 100,000 but more than or equal to 50,000; 3 if the number is less than 50,000 but more than or equal to 10,000; 2 if the number is less than 10,000 but more than or equal to 5000; and 1 if the number is less than 5000.

The membership function scores for business requirements were determined based on the humanistic, social, environmental, and ecological factors in a watershed (cultural heritage protection zones, national park management zones, and water source protection zones). A watershed was given 4 points if it had three factors, 3 if it had two factors, 2 if it had only one factor, and 1 if there were none.

Moreover, the membership function scores for water supplies from underground dams were determined based on the 2030 water shortage data from the Gangwon Province water resource management plan. Here, 5 points were given if the daily supply was $100,000$ m³ or higher; 4 if less than 100,000 m 3 but more than or equal to 50,000 m 3 ; 3 if less than 50,000 m 3 but more than or equal to 10,000 m^3 ; 2 if less than 10,000 m^3 but more than or equal to 5000 m^3 ; and 1 if less than 5000 m^3 .

The membership function scores for water shortage were determined based on a relation formula of development capacity and watershed areas proposed by the Ministry of Construction and Transport. Here, 5 points were given if the shortage was $24,000$ m³ or higher; 4 if it was less than 24,000 m³ but 18,000 m³ or higher; 3 if it was less than 18,000 m³ but 12,000 m^3 or higher; 2 if it was less than 12,000 m^3 but 6000 m^3 or higher; and 1 if it was less than 6000 m^3 .

3.3. Membership Function Setting

The membership function for each fuzzy range was used, and five fuzzy sets for the membership functions of four input variables (urgency, business requirement, supply from underground dams, and water shortage) and one output variable were defined to evaluate the input variables for each priority indicator. Here, the x-axis was calculated using the category value of Table [4,](#page-7-1) and the y-axis means belonging and is used to find the height of the area described in Section [2.2.](#page-1-0)

(1) Urgency

Figure [7](#page-8-0) shows the findings on urgency, which reflects the number of individuals affected by droughts over the last decade. Here, 100,000 individuals were expressed as 1 to set the membership function, and lower numbers as values were expressed proportionately lower than 1.

Figure 7. Input variable for urgency.

(2) Business Requirement

Figure [8](#page-9-0) shows the results of a business needs survey reflecting whether or not development restrictions within the watershed are included. There are three indicators for business requirements: national park management zones, water source protection zones, and cultural heritage protection zones. All watersheds with three or more of the factors were given 4 points.

(3) Supply from Underground Dams

Information on the 2030 water shortage data from Gangwon Province water resource management plan was used to represent the water supply from underground dams, as shown in Figure [9.](#page-9-1) For this value, $100,000$ m³/day was expressed as 1 to set the membership function, while lower numbers were expressed as values proportionately lower than 1.

(4) Water Shortage

Water shortage was represented using a development capacity–watershed area relation formula proposed by [\[17\]](#page-14-11), as shown in Figure [10.](#page-9-2) The membership function was set at a range between 0 and 2.4.

Figure 9. Input variable for underground dams.

Figure 10. Input variable for water shortage.

(5) Output Variable

The fuzzy membership functions for the input variables were set to obtain the following outputs, as shown in Figure [11.](#page-10-0)

3.4. Analysis Results

The membership function for each indicator to fuzzify the target areas was multiplied with the AHP weights discussed above, and the indicator values were summed up to prioritize underground dam sites and is shown in Table [5.](#page-10-1)

Figure 11. Output variables.

Table 5. AHP weight by indicator.

In Table [6,](#page-12-0) For Sangcheon, the watershed with the highest priority, the weight for urgency was 0.239, the fuzzy weight for urgency was 4.680, the weight for the business requirement was 0.167, and the fuzzy weight for the business requirement was 3.000. Moreover, the weight for water supply was 0.198, the fuzzy weight for water supply was 3.320, the weight for water shortage was 0.396, and the fuzzy weight for water shortage was 3.000. Its total score was 3.465.

For the watershed with the second highest priority, Yeongokcheon, the weight for urgency was 0.239, the fuzzy weight for urgency was 1.530, the weight for the business requirement was 0.167, and the fuzzy weight for the business requirement was 3.000. In addition, the weight for water supply was 0.198, the fuzzy weight for water supply was 3.320, the weight for water shortage was 0.396, and the fuzzy weight for water shortage was 4.680. Its total score was 3.354.

As for Namdaecheon, the watershed with the third highest priority, the weight for urgency was 0.239, the fuzzy weight for urgency was 1.530, the weight for the business requirement was 0.167, and the fuzzy weight for the business requirement was 1.000. Furthermore, the weight for water supply was 0.198, the fuzzy weight for water supply was 4.680, the weight for water shortage was 0.396, and the fuzzy weight for water shortage was 4.680. Its total score was 3.312.

Table 6. Underground dam site prioritization results.

Table 6. *Cont.*

4. Conclusions

The conclusions of the study drawn based on the above research contents are as follows. In the Yeongdong region of Gangwon Province, continuous damage occurs due to a lack of living, industrial, and agricultural water. Resident damage and regional conflicts frequently occur, but it is difficult to build new reservoirs and dams. Therefore, in this study, the characteristics of each basin were analyzed by applying spatial analysis techniques for hydrological characteristics and humanities and social characteristics of 34 basins located in the Yeongdong area, and the current status of drought damage and non-supply water was investigated to select suitable sites. The priority of underground dam installation was derived by using it as basic data of priority.

For prioritization of the selection of suitable sites for underground dams, urgency, underground dam supply scale, project requirements, and water shortage were selected as indicators through prior research. A methodology was used to interpret the existing AHP and the level of linguistic judgment by matching it with a fuzzy function.

The above four indicators were divided into a 5-point scale, and the AHP weight for each indicator and the weight using fuzzyization were calculated through the survey results. For each index, water shortage was the highest, followed by urgency, supply from underground dams, and business requirements. Sangcheon Dam, located in Sokcho-si, ranked first with a total score of 3.465, with the highest priority in the installation of underground dams. In addition, Yeongokcheon, which was selected as the second priority with a total score of 3.354, was also possible to feasibly present underground dams that are expected to be installed in the future, have actually been installed, or are in the process of being installed.

The methodology presented in this study is expected to be useful in prioritizing the installation of underground dams at home and abroad, and it is believed that it will be able to provide insight into the potential of underground dams for water security in the Yeongdong area. However, follow-up studies are needed to verify the methodology by analyzing index values suitable for regional characteristics and expanding the target area.

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