



Article

# Optimization of Occupant Restraint System Using Machine Learning for THOR-M50 and Euro NCAP

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Abstract: In this study, we propose an optimization method for occupant protection systems using a machine learning technique. First, a crash simulation model was developed for a Euro NCAP MPDB frontal crash test condition. Second, a series of parametric simulations were performed using a THOR dummy model with varying occupant safety system design parameters, such as belt attachment locations, belt load limits, crash pulse, and so on. Third, metamodels were developed using neural networks to predict injury criteria for a given occupant safety system design. Fourth, the occupant safety system was optimized using metamodels, and the optimal design was verified using a subsequent crash simulation. Lastly, the effects of design variables on injury criteria were investigated using the Shapely method. The Euro NCAP score of the THOR dummy model was improved from 14.3 to 16 points. The main improvement resulted from a reduced risk of injury to the chest and leg regions. Higher D-ring and rearward anchor placements benefited the chest and leg regions, respectively, while a rear-loaded crash pulse was beneficial for both areas. The sensitivity analysis through the Shapley method quantitatively estimated the contribution of each design variable regarding improvements in injury metric values for the THOR dummy.

Keywords: machine learning; metamodel; THOR; Euro NCAP; optimization; restraint system; Shapley



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## 1. Introduction

## 1.1. Background

In the relentless pursuit of enhancing vehicle crash safety, the field of automotive industry has witnessed remarkable advancements in occupant restraint systems over the years, with fatality from vehicular crashes reduced by 25% from 1990 to 2009 [1]. Advancements in occupant restraint systems, vehicle crashworthiness, and crash mitigation systems have also led to significant reductions in fatality and the severity of injuries sustained during crashes [2–5].

The optimization of occupant restraint systems is an important task to maximize the protection of an occupant while minimizing the risk of occupant injury due to a safety device. Occupant restraint systems, which consist of seatbelt, airbags, seat, and vehicle interior, have been developed to provide protection to an occupant during various crash scenarios via optimization. While an airbag is a widely used occupant protection system, an overly aggressive airbag can cause severe injuries during deployment [6]. At the same time, insufficient restraint force from an overly weak airbag will not be able to provide adequate protection to occupants, either during high-severity crashes or large occupants.

The difficulty of developing an occupant restraint system is increasing due to enhanced safety regulations and consumer rating testing protocols [7]. The reinforcement of safety requirements involves stringent thresholds for injury criteria, increased crash severity during testing, and the introduction of new anthropometric devices. The European new car assessment programme (Euro NCAP) introduced the THOR 50M (Test Device for

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Human Occupant Restraint 50th percentile male) dummy in the 2020 new frontal impact test with a mobile progressive deformable barrier (MPDB), as opposed to the previously used Hybrid III 50th percentile male dummy (H-III 50M) [7]. The THOR dummy is known to be more biofidelic than the H-III dummy during frontal crash conditions, holding promise for facilitating advancements in occupant restraint devices [8,9]. The thoracic region of the vehicle occupant is one of the most frequently injured body regions during frontal crashes [10]. While the H-III dummy measures chest deflection at one location, the THOR dummy measures chest deflection at four points, employing IR-TRACC (Infra-Red Telescoping Rod for the Assessment of Chest Compression) sensors. The THOR dummy has demonstrated higher sensitivity to changes in the restraint system design than the Hybrid III dummy, potentially due to its ability to capture the local deformation of the thoracic region of the dummy [11]. Therefore, it is necessary to investigate the influence of the restraint design system on occupant protection for the newly introduced THOR dummy.

Computational parametric studies are widely used to investigate the effect of restraint systems on occupant protection [12,13]. A large number of design parameters and dummy injury metrics make it complicated for a parametric study to employ conventional experimental method designs. Due to this reason, many studies have employed simplified computational models or focused on a few focused body regions. Wang et al. (2023) utilized analytical models to investigate the ways to improve occupant protection from vehicle pulse and restraint system characteristic standpoints [13]. They found that a combination of concave crash pulse and upward restraint stiffness was the best to maximize the restraining performance. Zhang et al. (2019) developed a two-degrees-of-freedom model for optimizing occupant restraint systems [14]. Although these findings can give vehicle safety engineers general guidelines, it is difficult to draw THOR dummy-specific new knowledge using this model due to the ignored design aspects of restraint systems, which include knee bolster, belt route, seat stiffness, and so on, for simplification.

A metamodel, often obtained through statistical or machine learning methods, has been developed to investigate the optimal settings of occupant safety systems by utilizing crash simulation results. Horii et al. (2017) constructed metamodels capable of predicting injury metrics for a given set of six design variables [15]. The authors utilized these metamodels in the optimization process to enhance the performance of occupant restraint systems. While the authors proposed a framework for metamodel-based optimization of occupant safety systems, the complexity of the considered occupant model and restraint systems was somewhat limited, hindering the application of the findings to actual systems. Joodaki et al. (2021) developed metamodels to predict the overall performance of occupant protection systems using more detailed models than those from Horii (2017) [16]. The authors demonstrated that there is a difference in the optimal settings of occupant safety systems for normal and obese occupants. Similarly, Du et al. (2021) optimized the vehicle front structure using metamodels [17]. While these studies highlighted that metamodels can predict the performance of occupant restraint systems, engineers find it challenging to quantitatively understand the impact of each design variable on the system's performance. It is necessary to understand the cause of the improvement to obtain insights from optimization studies on occupant safety systems.

## 1.2. Research Objectives

This paper seeks to bridge the gap between traditional occupant restraint system design and the transformative potential of machine learning. The goal is to optimize restraint systems for the THOR dummy and understand the effects of design variables on injury metric values of the THOR dummy using a machine learning framework in an automated manner. First, a crash simulation model was developed for a Euro NCAP MPDB frontal crash test condition. Second, a series of parametric simulations were performed using a THOR dummy model with varying occupant safety system designs, such as belt route, belt load limit, crash pulse, and so on. Third, metamodels were developed using a

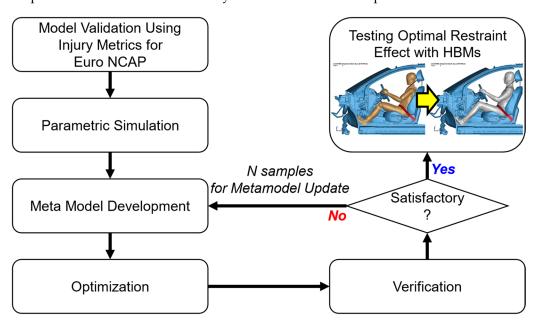
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neural network to predict injury criterion values for a given occupant safety system design. Fourth, the occupant safety system was optimized using metamodels, and the optimal design was verified using a crash simulation. Lastly, the effects of design variables on injury score were investigated using the SHAP method (Shapley additive explanations) [18–21].

#### 2. Materials and Methods

#### 2.1. Overview

This section describes the process of optimizing occupant restraint systems for the Euro NCAP MPDB offset frontal crash test condition using a neural network (Figure 1). First, a mid-sized sedan sled model with the THOR dummy was developed and validated with Euro NCAP MPDB frontal sled test results. Second, 500 parametric simulations were performed, randomly varying fourteen design variables of occupant restraint systems. Parametric crash simulations were performed using design variable combinations generated using the Latin hypercube sampling (LHS) method, a widely used random sampling technique [22]. Crash simulation results were used to develop a metamodel that could explain relationships between design variables of restraint systems and dummy injury values. Subsequently, a genetic algorithm was used to find the optimal restraint design setting, which could maximize the Euro NCAP score, using the metamodel. The optimal design identified by the optimization was verified via crash simulation. If there was a large discrepancy between predicted and actual Euro NCAP scores, the process of optimization was repeated by updating the metamodel with additional crash simulation results using newly generated design variables. This process was repeated until the optimal design from the metamodel was verified by crash simulation results. Lastly, the optimally derived THOR dummy restraint configuration was applied to a mid-size human body model to test the protective effect of the restraint system on the human occupant.



**Figure 1.** Overview of the occupant restraint optimization.

#### 2.2. Model Validation

The sled model for a crash simulation was validated against Euro NCAP MPDB frontal crash test results with the 50th percentile male THOR dummy using commercial finite element software, LS-Dyna (version R9.1.0, LSTC, Livermore, CA, USA). The THOR dummy utilized in the Euro NCAP MPDB frontal crash simulation used the retrofitted Hybrid III lower extremity. The THOR dummy was positioned in the driver's seat. The seat track position and the posture of the dummy were matched to those of an actual test. Restraint systems included frontal driver airbag, shoulder belt load limiter, D-ring and

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anchor pretensioners, and collapsible steering column (Figure 2). Lastly, the vehicle pulse from Euro NCAP MPBD test was applied to the sled model to evaluate the correlation between physical test and simulation results.

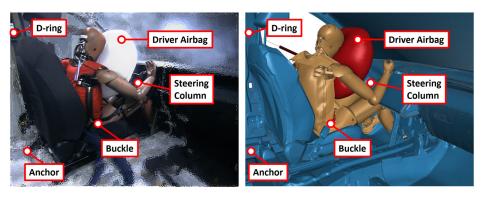


Figure 2. Configuration for frontal sled test and simulation.

Time histories of responses of the THOR dummy, such as accelerations, displacements, forces, and moments, were measured to calculate injury criteria specified in the Euro NCAP Protocol (Table 1) [7]. Euro NCAP rating is a scale used to assess vehicle ratings. It has a total of 16 points on a 4-point scale for each of four body regions: (1) head and neck, (2) chest and abdomen, (3) knee, femur, and pelvis, and (4) lower leg. Each injury criterion has upper and lower thresholds. If the injury criterion value exceeds the upper threshold, 0 is assigned. If it is below the lower threshold, 4 points are given. Values in between these two thresholds are linearly interpolated to calculate scores between 0 and 4 points. Furthermore, each body region is divided into specific segments, and the score of the segment with the lowest score is selected to calculate the final score. For instance, if HIC15 is 4 points and A Resultant 3 ms is 0 points, then the score for the head region would be calculated as 0 points. SUFEHM and BrIC are used for monitoring purposes. They are not employed in injury calculations. Also, abdominal compression was excluded from all simulations because all simulations demonstrated abdominal compression of less than 88 mm.

Body Region	Injury Criterion	Unit	Upper	Lower	Scoring	
	HIC15	-	700	500		
	SUFEHM	-	Moni	toring		
	BrIC	-	Moni	toring		
Head & Neck	A Resultant 3 ms	G	80	72	4 points	
	Fx	kN	3.1	1.9	_	
	Fz	kN	3.3	2.7		
	My	Nm	57	42		
Chest & Abdomen	Chest Compression/Rmax	mm	60	35	4 points	
	Abdominal Compression (ignored)	mm	88	N/A		
Knee, Femur, Pelvis	L/R Acetabulum	kN	4.1	3.28		
	L/R Femur Compression	kN	9.07	3.8	4 points	
	L/R Knee shear displacement	mm	15	6	-	
I avyam I aa	L/R Tibia index	-	1.4	0.4	1 mainta	
Lower Leg	L/R Tibia Compression	kN	8	2	4 points	

**Table 1.** Injury criteria of Euro NCAP.

#### 2.3. Parametric Simulation

Table 2 lists 14 restraint design variables and their ranges for parametric simulations. First, five design variables related to belt hardpoints were included to vary the belt path with respect to the dummy. Next, four design variables associated with characteristics of the belt system were included. The anchor pretensioner (APT) was set to be either on or off. A single- or dual-stage load limiter can be realized using three-load limiter-related parameters

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(LL1, LL2, and LL1 to 2 in Figure 3). Three design variables concerning driver's airbag were chosen to regulate pressure and volume of the airbag. Furthermore, the steering column collapsing load was adjusted to control the degree of collapse and the stroke of the column. Additionally, the vehicle pulse was adjusted between the front load and the rear load while keeping the Delta-V the same as the baseline pulse (Figure 4). Eleven injury metrics, which were used to calculate Euro NCAP scores, were calculated for 500 parametric simulations (Table 1). Head and neck, chest and abdomen, knee, femur, pelvis, and lower leg scores for the Euro NCAP rating were then calculated for each crash simulation.

Table 2.	List of	input	parameters	and	range.
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	Input	Range	
	1	D-ring X-position (DRX)	75 mm (+37.5, -37.5) (+: rearward)
	2	D-ring Z-position (DRZ)	107 mm (+107, 0) (+: upward)
Hard Point	3	Buckle X-position (BKX)	45 mm (+37.5, -7.5) (+: rearward)
	4	Buckle Z-position (BKZ)	40  mm  (0, -40)  (+: upward)
	5	Anchor X-position (ACX)	75 mm (+65, $-10$ ) (+: rearward)
	6	Anchor Pretensioner (APT)	on/off
Belt	7	Load limiter 1st level (LL1)	1.5–6 kN
Belt	8	Load limiter 2nd level (LL2)	1.5–6 kN
	9	Load limiter 1st to 2nd (LL1 to 2)	0–100 mm
	10	Mass flow rate scale (MFR)	0.7–4
Airbag	11	Vent area scale (VA)	$0.5-2 (245-980 \text{ mm}^2)$
	12	Leakage area scale (LA)	$0.5-2 (480-1820 \text{ mm}^2)$
Steering	13	Steering column load scale (SC)	0.5–2
Pulse	14	Pulse scale (PS)	0.9–1.1

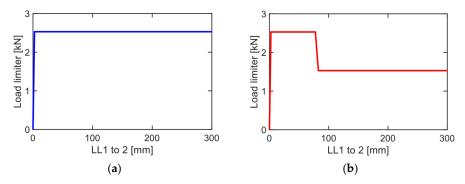


Figure 3. Load limiter type: (a) single-stage load limiter; (b) dual-stage load limiter.

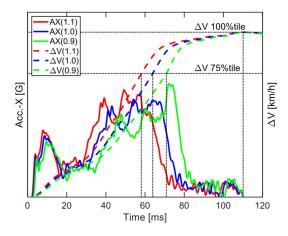
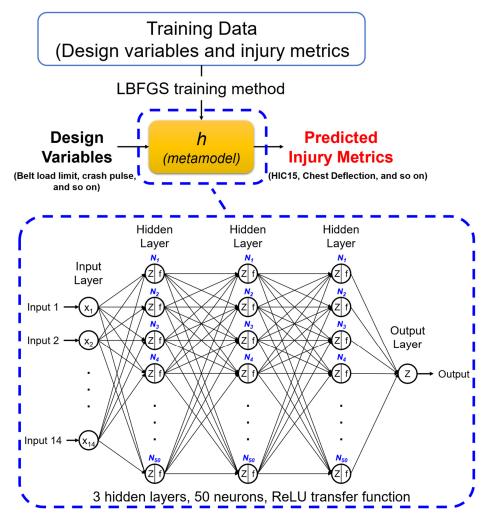


Figure 4. Vehicle deceleration pulse and velocity change.

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## 2.4. Metamodeling

Metamodels that could predict injury criteria for the Euro NCAP score for a given set of 14 design variables were developed using neural network (NN) framework. Instead of directly predicting the Euro NCAP rating score, individual metamodels were developed to predict injury criteria used to calculate the Euro NCAP rating score (Table 1). Euro NCAP rating was then simply calculated using these predicted injury metrics, such as HIC15, Rmax, and so on, following the Euro NCAP rating protocol. The prediction accuracy of this method was compared with that of using Euro NCAP rating as a single output value. To assess prediction performance accurately, the entire dataset was divided into training, validation, and test sets. The test set was then used to evaluate prediction errors once the model training was completed. Additionally, hyperparameters were tuned through a trial-and-error approach to avoid overfitting or underfitting (Figure 5). The training algorithm used was LBFGS (Limited-memory Broyden-Fletcher-Goldfarb-Shanno), a variant of BFGS (Broyden-Fletcher-Goldfarb-Shanno) [23]. LBFGS, when compared to BFGS, is more memory efficient while providing fast and stable convergence, making it suitable as a training algorithm for optimizing restraint systems. As a result, 11 NN models and one additional NN were developed to predict the 11 injury criteria and the Euro NCAP score, respectively (Table 1). These machine learning and optimization processes were conducted using MATLAB programming [24].

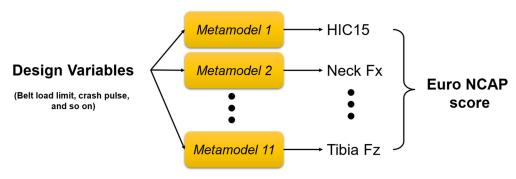


**Figure 5.** Neural network structure. Fully connected layers include hidden layers and neurons. The Transfer function is applied to every fully connected layer except the final fully connected layer. The final fully connect layer has one output. The final adopted neural network structure consists of 3 hidden layers, each containing 50 neurons, with the activation function being relu for all layers.

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## 2.5. Optimization

In this study, the Euro NCAP rating was chosen as the objective function of a singleobjective optimization problem (Figure 6, Table 3). The reason for multiplying by -1on the Euro NCAP rating is that a higher Euro NCAP score indicates a better restraint system (out of a maximum of 16 points). A genetic algorithm, which is effective for global optimization, was adopted as a mathematical algorithm for the optimization task [25]. The algorithm utilizes diverse initial solutions and maintains diversity through mutation and crossover, making it less prone to becoming stuck in local optima. The derived optimal design was validated through a verification simulation using the sled model (Figure 2). If the predicted Euro NCAP score was not realized from the simulation, then metamodels were re-trained with additional 57 crash simulation results, which were also parametric simulations based on Latin hypercube sampling. This process of adding additional simulation results into the training data set, retraining the metamodel, and optimizing the design variables was repeated until the predicted optimal design was validated with the verification sled simulation (Figure 1). Additional optimizations of design variables were performed by constraining vehicle pulse variables to certain levels to see the influence of vehicle deceleration pulse on the performance of the restraint system.



**Figure 6.** Overview of calculation of the cost function value. Eleven metamodels were utilized in the optimization to predict the Euro NCAP score.

Table 3. Statement of optimization problem for optimizing occupant restraint system (Table 2).

Minimize	$f(\overline{x}) = -[Euro\ NCAP\ score]$ where $\overline{x}$ : the design variables Euro\ NCAP\ score: function of eleven injury metric values of four body region scores such as HIC15, 3ms clip, Neck Force, and so on (Table 1)
with respect to	$\overline{x} \in \mathbb{R}^M$ where $M$ : the number of design variables
subject to	$x_{i,lower\ bound} \leq x_i \leq x_{i,upper\ bound}$ where $i:1,2,\ldots,14$

The optimal safety device design for a THOR model was also applied to the mid-sized male GHBM-O model to see if the design change also improved the safety performance for a human body model. All injury criteria considered for the THOR dummy were used for the GHBM-O model, except for chest deflection and knee shear displacement. While chest deformation of the THOR dummy was determined utilizing Rmax measured from four IR-TRACC sensors, chest compression of the GHBM-O model was measured from the outer surface of its thoracic regions. Three sets of nodal coordinates, which mimicked three chest bands, were located around the T10, T12, and L1 levels. The knee shear displacement for the GHBM-O model was ignored in the current study.

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## 2.6. Sensitivity Analysis

Even if a restraint device design for optimal solutions demonstrates an improvement in the extent of occupant injuries, it is still meaningful to understand factors driving such enhancements. Thus, trained metamodels were used not only for optimization but also for understanding effects of design variables on injury criteria of the THOR dummy in a frontal crash condition (Table 1). The Shapley additive explanation (SHAP) method [18] was used for this purpose. The SHAP analysis was conducted based on a metamodel predicting injury criterion values for each specific area used in Euro NCAP score prediction for a given set of design variables (Table 2). The Shapley value represents the effect of each feature, which was the restraint system design variable, on predicted output, which was the injury criteria values. The range of change in each feature was normalized to be between 0 and 1, which meant minimum and maximum values, respectively. A positive Shapley value for a given set of feature values implied an increase in the output value, and vice versa. One of the major advantages of the SHAP method is its ability to not only reveal effect of each feature on the output values but also indicate the direction of change of the output value due to the feature value. The feature importance value, which is the average absolute value of Shapley values of considered ranges of feature values, indicates the magnitude of the effect of a feature on the output. It allows for comprehension of overall effects, enabling numerical ranking of significant variables. Identified important variables can then serve as key tuning factors during the development of countermeasures.

#### 3. Results

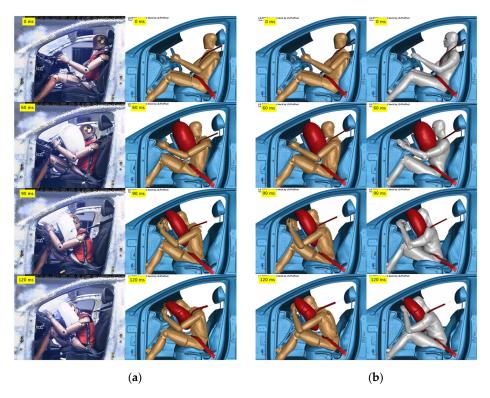
#### 3.1. Model Validation

The crash simulation model demonstrated similar responses to the test results in terms of dummy responses and injury criteria values (Figures 7 and 8). The simulation model demonstrated a 4% higher Euro NCAP score than that of the test result (Table 4). The maximum resultant chest deflection (Rmax) from the simulation was almost identical to the test data. The crucial injury parameter with the most pronounced impact in parametric simulation results, the maximum chest deflection, exhibited a remarkable accuracy, with an error rate of only 0.14%, showing a test result of 43.90 mm and an analytical result of 43.84 mm. The largest discrepancy between the model and test data was observed in the leg region. The model predicted a 17% higher lower leg score than that of test data. Based on these results, it could be concluded that the simulation model closely aligned with the test results.

Table 4	Injury n	netric va	lues and	Furo	NCAP score.
Table 4.	muu v n	ieu ii. va	iues anu	Dulo	INC.AL SCORE.

Body Region	0.11	Baseline	(Test)	Baseline (Simulation)			
	Criterion —	Value	Score	Value	Score	Error (%)	
	HIC15	122.06		135.75			
Head	A Resultant 3 ms	40.47		40.28			
	Fx	0.69	- 4 -	0.80	- 4	0.0	
Neck	Fz	0.93		1.01			
	My	25.73		20.17			
	Rmax	43.90	2.50	43.84	2.50	0.39	
Chest and Abdomen	Amax	N/A	2.58	N/A	2.59		
	Acetabulum	1.81		1.01			
Knee, Femur, Pelvis	Femur Compression	2.96	4	2.74	4	0.0	
,	Knee shear displacement	1.68		3.37			
I I	Tibia index	0.61		0.47	0.51	450	
Lower Leg	Tibia Compression	1.76	3.18	2.18	3.71	17.0	
Euro NCAP Score			13.76		14.3	4.0	

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**Figure 7.** Test and simulation: (a) baseline; (b) meta optimum. (a) shows the test (**left**) and simulation (**right**) of the THOR dummy with baseline restraint systems. (b) shows the simulation of the THOR dummy (**left**) and GHBM-O model (**right**) with the optimized restraint systems.

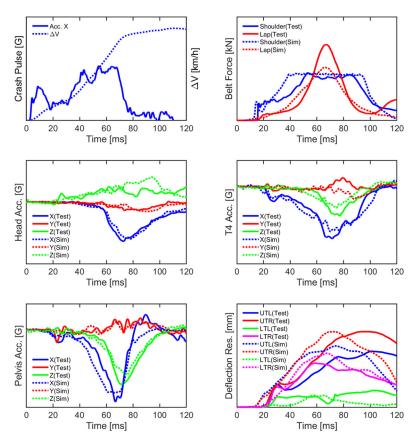


Figure 8. Comparison of frontal sled simulation results and Euro NCAP Test data.

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#### 3.2. Parametric Simulations

Figure 9 summarizes the distribution of calculated scores of four regions of the body obtained from a total of 500 crash simulation results. Overall, the risk was highest in the chest and abdomen area, followed by the lower leg area and the head and neck area. The KTH area had an average score of 3.99 points out of 4 points, indicating that this region would have little issue within the design domain. The best Euro NCAP score obtained among the 500 simulations was 15.6 points, which was 1.3 points higher than that of the baseline condition. Still, the full score of 16 points was not achieved due to the chest and abdomen region.

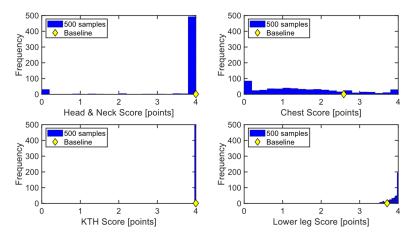
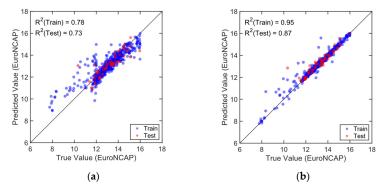


Figure 9. Parametric simulation results (histogram, bins = 20).

#### 3.3. Development of Metamodels

Utilizing the results from a total of 557 parametric crash simulation results, including the initial 500 designs and additional 57 designs, the final version of the metamodel was developed (see Table S1). Figure 10 displays the results of predicting Euro NCAP scores using two methods: one that predicts Euro NCAP scores directly and another that predicts the Euro NCAP scores based on the individually predicted injury criteria values. The X-axis represents Euro NCAP scores obtained from crash simulations (true value), while the Y-axis represents Euro NCAP scores predicted by the metamodel (predicted value). Points closer to the diagonal line, where y equals x, indicate more accurate predictions. When the Euro NCAP score was predicted using a single metamodel, the coefficient of determination for the test data set was 0.73. In contrast, when the Euro NCAP score was predicted using metamodels that could predict each injury metric value, the coefficient of determination for the test data set was 0.87. Figures 11 and 12 display the accuracy of the metamodels in predicting individual injury metrics and present selected learning curves for these metamodels, respectively. This result implies that predicting individual injury metric values is more effective for forecasting the Euro NCAP score than directly predicting it.



**Figure 10.** Euro NCAP score predicted results: (a) direct prediction of Euro NCAP score; (b) Euro NCAP score using individually predicted injury criterion values.

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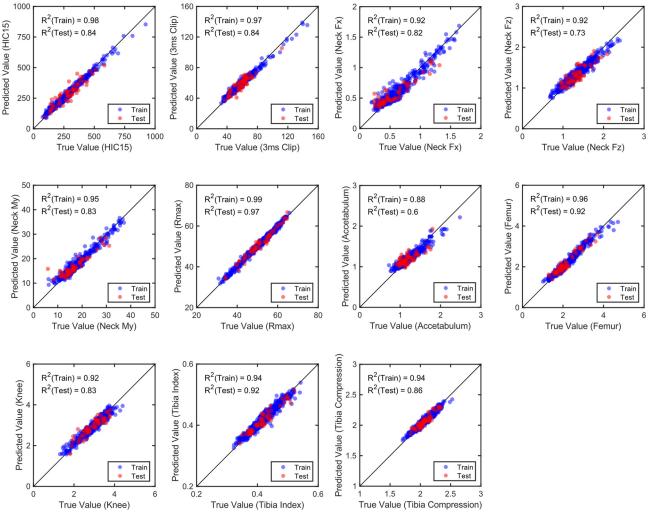


Figure 11. Training results of metamodels for injury criteria.

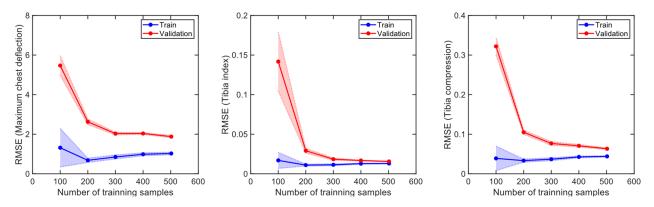


Figure 12. Examples of learning curves for prediction injury metric values.

## 3.4. Optimization and Verification

For optimizing design variables, the 11 metamodels, which predicted the 11 injury metrics individually, were used to predict the Euro NCAP score for a set of given design variable values (Figures 10b and 11, Table 5). The predicted optimal design condition was then verified through a crash simulation using the optimal condition (Table 6). According to the validation simulation result of the meta optimum, the Euro NCAP score, which was a composite injury index, was improved compared to both baseline design and the best sample design. In particular, the meta optimum achieved a perfect score, which was

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16 points. The meta optimum design resulted in lower injury criterion values across the board than those of the baseline, except for HIC15, head 3 ms clip, and acetabulum force. The meta optimum design significantly improved the chest area, where the baseline and sample best designs scored 2.6 and 3.6 points, respectively. When the meta optimum design was applied to a mid-size human body model (GHBM-O M50), the maximum chest deflection reduced from 34.6 mm to 30.9 mm compared to that of the baseline design.

**Table 5.** Design variables of baseline, sample best, and meta optimum.

Input Parameter	Baseline	Samp	le Best	Meta Optimum		
Vent area scale (VA)	1	1.6	High	0.5	Low	
Leakage area scale (LA)	1	1.5	High	0.56	Low	
Mass flow rate scale (MFR)	1	1.5	High	0.89	Low	
Pulse scale (PS)	1	0.9	Low	0.90	Low	
Steering column load scale (SC)	1	1.2	High	1.1	High	
Load limiter 1st level (LL1)	2.5	2.6	High	1.7	Low	
Load limiter 2nd level (LL2)	2.5	1.7	Low	1.5	Low	
Load limiter 1st to 2nd (LL1 to 2)	N/A	12.0	-	100	-	
D-ring X-position (DRX)	0	11.0	High	36.5	High	
D-ring Z-position (DRZ)	0	18.0	High	107	High	
Buckle X-position (BKX)	0	21.0	High	-7.5	Low	
Buckle Z-position (BKZ)	0	-5.6	Low	-40.0	Low	
Anchor X-position (ACX)	0	6.9	High	65	High	
Anchor Pretensioner (APT)	off	on	-	on	-	

Table 6. Injury metric values and Euro NCAP score.

Body Region	Criterion	Baseline (THOR)		Sample Best (THOR)		Meta Optimum (THOR)		Baseline (GHBM-O)		Meta Optimum (GHBM-O)	
	-	Value	Score	Value	Score	Value	Score	Value	Score	Value	Score
Head	HIC15 A Resultant 3 ms	135.8 40.3	220.9 69.3		160.1 43.6		124.1 38.3		116.2 38.7		
Neck	Fx Fz My	0.8 1.0 20.1	- 4 -	0.3 0.9 15.4	- 4 -	0.4 0.8 12.6	- 4	0.4 1.2 24.4	- 4	0.7 1.2 18.8	- 4
Chest & Abdomen	Rmax Amax	43.8 N/A	2.6	37.8 N/A	3.6	32.8 N/A	4	34.6 N/A	4	30.9 N/A	4
Knee, Femur, Pelvis	Acetabulum Femur Compression Knee shear displacement	1.0 2.7 3.4	4	0.9 1.8 2.6	4	1.6 1.0 1.6	4	2.7 1.0 N/A	4	2.7 0.5 N/A	4
Lower Leg	Tibia index Tibia Compression	0.5 2.2	3.7	0.4 1.9	4	0.4 1.8	4	0.5 1.2	3.7	0.5 1.2	3.8
Euro NCAP Score			14.3		15.6		16		15.7		15.8

Compared to the baseline design, the meta optimum design lowered the pulse scale from 1.0 to 0.9, resulting in a soft pulse occurrence (Figure 4). The first-stage load limit of the shoulder belt was lowered from 2.5 kN to 1.6 kN (Figure 3). Although there was a difference between the first- and second-stage load limits of the belt in the meta optimum design, the limiting loads for the two stages were similar to each other. The transition from the first stage to the second stage occurred at around 70 ms, which was close to the time of peak chest deflection. The steering column load scale was set to have a 10% higher value than that of the baseline. The position of the D-ring was moved upward and forward by 107 mm and 36.5 mm, respectively, compared to those of the baseline. Buckle Z was set to a low value, causing it to move downward. Anchor X was set to a high value, moving it forward. There were conflicting changes made for the driver's airbag characteristics from the baseline design to the meta optimum design. While the mass flow rate was reduced from 1.0 to 0.89, the leakage and the vent size were reduced from 1.6 to 0.5 and from 1.5 to 0.56, respectively. As a result, the airbag pressure of the meta optimum condition was comparable to that of the baseline condition until 75 ms. It became higher afterwards.

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## 3.5. Metamodel Interpretation

Figures 13–15 show the SHAP analysis results for the maximum chest deflection, tibia index, and maximum tibia compression values, respectively. The diamond and star symbols represent design variable values for baseline and meta optimum conditions, respectively. From these three exemplary results, it could be found that optimal design variables were changed to decrease the Shapley value. The upward and forward D-ring placement, lower shoulder belt load limits, lowered driver's airbag mass flow rate, reduced airbag gas leakage, and softened pulse contributed to lowering the chest deflection value (Figures 13 and 16). Considering the changes in design variables from baseline to meta optimum conditions, D-ring height and crash pulse changes were the two main contributors to the reduction in the chest deflection. Both the tibia index and the tibia compression were influenced mostly by the change in the crash pulse and the activation of the anchor pretensioner (Figures 14 and 15). Although a higher airbag mass flow rate and a higher D-ring height were preferred for improving these two tibial injury criteria, the metamodel chose not to utilize these changes due to conflict with lowering the maximum chest deflection.

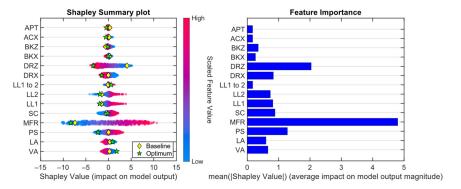
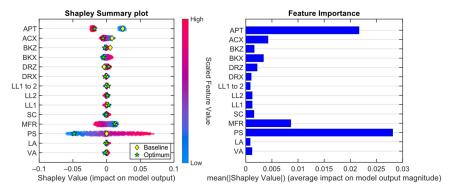


Figure 13. SHAP analysis results for maximum chest deflection (Rmax).



**Figure 14.** SHAP analysis results for tibia index.

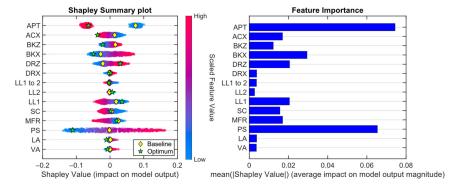


Figure 15. SHAP analysis results for tibia compression.

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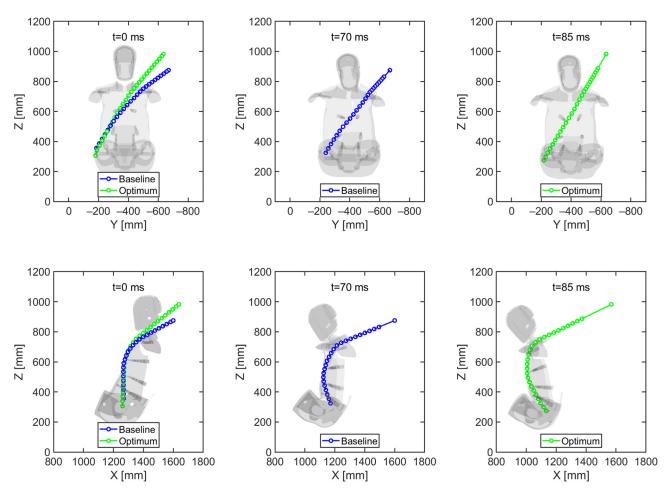


Figure 16. Comparison of belt routes and dummy kinematics of baseline and meta optimum designs.

Figure 17 shows the verification simulation results of the meta optimum design, constraining the pulse scale to 0.9, 0.95, 1.0, 1.05, and 1.1. Please note that the result for the pulse scale, 1.0, was the same as the meta optimum results shown in Table 6. It was found that the softer the pulse, the higher the Euro NCAP score for the considered vehicle crash pulse in the current study. It was also evident that the three injury criteria, for which the baseline design did not reach the lower-bound threshold, decreased as the vehicle crash pulse became softer (Figures 13–15).

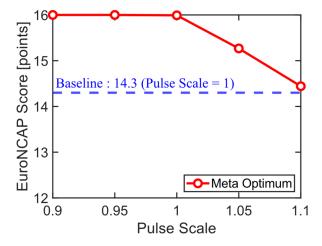


Figure 17. Optimization results by constraining vehicle deceleration pulses.

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#### 4. Discussion

The current study demonstrated that the performance of an occupant restraint system could be predicted and optimized using a machine learning framework. Previous studies have also shown that the overall performance of an occupant restraint system can be predicted using machine learning algorithms. One of the findings of the current study was that individually predicting injury criteria yielded higher accuracy in predicting the Euro NCAP score (R2 = 0.87) than directly predicting the overall score (R2 = 0.73) (Figure 10). Joodaki et al. (2021) predicted lost years of life (LYL), estimating the overall impact of injuries, similar to the Euro NCAP score, using machine learning methods [26]. Although the metrics differ from the Euro NCAP, the prediction accuracy (R2 = 0.72) of the LYL was lower than that of the current study (R2 = 0.87). Horii (2021) predicted individual injury metrics, such as HIC, chest acceleration, and femoral loads, but did not calculate the overall impact of injuries, such as the NCAP score [15]. The occupant response during a crash is highly non-linear due to potential hard contact between the occupant and vehicle interior. The relatively large errors from directly predicting the overall score often occur when there is hard contact between the dummy's head and the steering wheel. When there is hard contact between the head and the steering wheel, the point of the head and neck region has 0 points. If the hard contact is barely prevented, the head and neck region score will be 4 points. It seems challenging for the machine learning algorithm employed in the current study to predict such a non-linear phenomenon when attempting a direct prediction of the Euro NCAP score. In contrast, the algorithm successfully predicted this non-linearity when focused solely on the head 3 ms clip value (Figure 11). This result implies that a machine learning method can effectively predict a specific injury criterion from frontal crash simulations. Furthermore, it exhibited higher accuracy in predicting the overall score, specifically the Euro NCAP score in this study.

For the crash simulation, for which sophisticated models require substantial computation time, learning curve analysis was performed. The learning curve analysis from the current study indicated that around 300 frontal crash simulations were required to train a metamodel for predicting the injury criteria (Figure 12). Interestingly, Horii (2021) also demonstrated that the prediction accuracy of a response surface model for predicting HIC value starts to converge after the training sample size for simulations reaches 300 [15]. The author used multibody occupant and vehicle models, which were much simpler than the occupant and vehicle models used in the current study. Therefore, the 300-person sample size in a metamodel for predicting occupant behavior during a belted frontal crash condition might be applied to other frontal crash simulation models with various complexities. After the first round of the optimization trial, it is possible that the verification simulation of a meta optimum condition does not result in the predicted performance. A space-filling procedure is then required, which means that more training data can be generated by executing additional parametric crash simulations. Schneider et al. (2022) utilized the MaxPro method to maximize the contribution of each additional training sample to improve the prediction performance of metamodels [27,28].

The optimal design of restraint systems predicted by the metamodel resulted in 16 points of the Euro NCAP score, which was the full score, in the verification simulation. Please note that this meta optimum result was better than that of the sample best. The highest improvement stemmed from the reduction in the maximum chest deflection, which was improved by 1.4 points, followed by the lower leg region, which was improved by 0.3 points. In the optimization process, airbag mass flow rate, D-ring height, vehicle deceleration pulse, and the load limits were the most influential design variables for maximum chest deflection of the THOR dummy (Figure 13). Among these design variables, the D-ring height change was attributed to most of the reduction in the maximum chest deflection based on the Shapley analysis. The D-ring height was increased almost to its upper bound and moved forward (Table 5 and Figure 16). Although the belt route of the meta optimum resulted in a belt route that was closer to the upper-right IR-TRACC compared to that of the baseline, it resulted in better shoulder engagement and less yawing

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of the torso of the dummy. Eggers et al. (2014) performed a frontal crash sled test using a physical THOR dummy with two D-ring heights. The authors showed that the lower D-ring height was beneficial to reducing the chest compression of the THOR dummy [29]. It should be noted that the authors only considered the X-component of the chest deflection, while the resultant chest deflection was used in the current study. The contribution of the mass flow rate was lower than the D-ring height because the baseline airbag mass flow rate was close to that of the optimal value. For the lower leg regions, design variables of the meta optimum were selected to reduce pelvic forward excursion. Anchor pretensioner, rear-loaded crash pulse, and forward anchor placement are examples (Figures 14 and 15). Among these changes, the activation of the anchor pretensioner and the choice of the rear-loaded crash pulse were the most influential changes. Although the human surrogates between Joodaki et al. (2021) and the current study are different, Joodaki et al. (2021) showed that the anchor pretensioner was beneficial in lowering lost years of life based on the GHBMC human body model [26]. From the Shapley analysis, some design variables were chosen to increase the injury criteria, which could be understood as a consideration of a trade-off among counteracting the effects of variables on different injury criteria. The meta optimum derived using the THOR model was demonstrated to be effective for a mid-size male GHBM-O model. Although there was a small difference in Euro NCAP scores between the baseline and meta optimum for the GHBM-O model, the chest deflection value was reduced from 34.6 mm to 30.9 mm.

Improving the Euro NCAP crash performance by changing the vehicle crash pulse is more difficult than changing the occupant restraint system. Therefore, a constrained optimization of the occupant restraint system was performed by constraining the vehicle deceleration pulse to five specific conditions including the baseline condition. When the crash pulse was constrained to the level of the baseline deceleration pulse, the optimal condition, which was also verified by the verification simulation, demonstrated a full score of 16 points for the Euro NCAP score. A more rear-loaded pulse than the baseline pulse resulted in a higher Euro NCAP score (Figure 17). For a pulse harder than the baseline, the full score of the Euro NCAP was not achievable using the considered design space. Due to saturation in the current Euro NCAP scoring system at 16 points, it is unclear whether a pulse softer than the baseline vehicle deceleration pulse is beneficial to occupant protection. Similarly, Hu et al. (2017) demonstrated the benefit of a softer pulse for rearseated occupants using crash simulation results [30]. The proposed optimization method for restraint systems using a metamodel can be used to evaluate the necessity of a changing vehicle deceleration pulse. This approach of optimizing through constraint settings not only aids in understanding the effect of the pulse on human injuries but also serves as a useful method for deriving practical optimal safety solutions, considering variables such as D-ring height.

One of the limitations is the necessity of extensive computation time. In the current study, 500 simulations were completed in 3 days using around 2000 cores. The number of cores per simulation, which was 32 cores, was optimized to minimize the entire computation time for the 500 simulations. In contrast to the required relatively large number of simulations, the entire optimization process, which includes crash simulation model generation, execution of simulations, building meta models, and so on, can be automated once a parametric crash simulation model is built (Figure 1). At the cost of extensive simulation time, we can obtain clearer pictures of the effects of design variables on individual injury criteria and the overall performance in a much wider design space compared to the traditional design of experiment method (Figures 13–15). In addition, although the simulation model was validated against the experimental test data under the baseline condition, the findings from the current study need to be verified through an experimental study with a physical THOR dummy. Using a simplified vehicle buck and deceleration sled systems, it would be relatively easy to perform the verification tests.

As Joodaki et al. (2021) suggested and the current study demonstrated, the developed machine learning-based occupant protection performance prediction can be expanded to

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develop an adaptive restraint system, which adjusts its operation setting depending on the crash and occupant conditions [16]. As the proportion of the elderly occupant population grows and various seating configurations emerge with autonomous driving technologies, the adaptive capabilities of the restraint system will become important.

#### 5. Conclusions

The current study demonstrated an optimization process of an occupant restraint system, utilizing neural network-based metamodels trained using crash simulation results. During the metamodeling, individually predicting injury criteria yielded higher accuracy in predicting the Euro NCAP score than directly predicting the overall score. Also, the THOR dummy-specific information regarding the relation between the restraint system parameters, which includes belt anchorage locations, crash pulses, airbag characteristics, and so on, and THOR dummy responses was derived using detailed and validated simulation models. The performance of the occupant restraint system was improved following the proposed systematic process, which included parametric crash simulation, training of the metamodels for predicting injury criteria, optimization of the restraint system, and verification simulation. Shapley analysis of the developed metamodels was also used for interpreting the optimization results and for identifying underlying trade-off considerations on the selection of design variable values. Under the considered vehicle model and the crash condition, higher D-ring placement, rear-loaded crash pulse, and lower load limits than those of the baseline model were found to be beneficial for decreasing the maximum resultant chest deflections of the THOR dummy. For the lower leg region, anchor pretensioner, rearward anchor placement, and rear-loaded crash pulse were found to be beneficial for decreasing injury criteria. The optimal design obtained for the THOR dummy model was also beneficial for a mid-size male human body model. A higher front-loaded crash pulse than the baseline resulted in worse overall performance. In summary, this study applied a new dummy introduced to optimize restraint systems and proposed a machine learning framework to understand the impact of design variables on injury metrics. The SHAP method can serve as a valuable tool for visualization and knowledge acquisition for restraint system development engineers. The optimum conditions and the effects of the design parameters need to be verified through experimental studies. Lastly, the proposed method may be a useful tool in developing adaptive restraint systems by allowing for the identification of the optimum occupant restraint setting for various crash conditions.

**Supplementary Materials:** The following supporting information can be downloaded at: https://www.mdpi.com/article/10.3390/machines12010074/s1. Table S1. Euro NCAP MPDB frontal crash simulation results using THOR dummy model, Machine learning training data has been added in Excel format.

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