

The Space Phasors Theory and the Conditions for the Correct Decoupling of Multiphase Machines

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Abstract: This paper first analyzes the general statements accepted in the technical literature concerning the complete dynamic decoupling of constant air-gap multiphase machines with space harmonics (usually resorting to the instantaneous symmetrical components, ISCs) and shows that they are not correct, since they only hold (and only with good approximation) for the particular case of converter-controlled machines. It then deduces in a rigorous theoretical way the correct conditions in all cases for both a precise and an approximate decoupling of multiphase machines and thereupon verifies them through numerous simulations. To do that, the Space Phasors Theory (SPhTh) is applied, whose true core, often unknown or misunderstood, is clearly explained. Preceding this point, the concept of the dynamic phasor of g sequence, which is a fundamental tool in the SPhTh, is introduced, and a necessary historical and critical review of the ISCs is undertaken.

Keywords: AC machines; instantaneous symmetrical components; space phasors theory; multiphase machines

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1. Introduction

The physical meaning and history of the instantaneous symmetrical components (ISCs) in their application to the analysis of electrical machine transients have been detailed in [1], which together with [2], is the base of this work. As indicated there, the theory of symmetrical components (SCs) originated with Fortescue, who published it in a paper [3] which, including the discussion, is 113 pages long, with more than 300 formulae. Although Fortescue pointed out that his formulae could be applied to electrical quantities of arbitrary time variation, he only applied them in [3] to the analysis of many practical industrial cases of rotating machines in the asymmetrical *steady* state.

In 1954, Lyon published his book [4] on analyzing transients in three-phase machines, using as an essential tool the SCs formulae in [3], but this was applied to the instantaneous values of the electrical quantities (an application field of the SCs unexplored until then, but mathematically correct, as Fortescue had already pointed out). Thus, the instantaneous symmetrical components (ISCs) for three-phase machines were “rediscovered” for the electrical engineering world in their most powerful version and presented as excellent, but they were mere analytic tools for the study of transients. Their use within this context led Lyon to no longer call them a decomposition but rather a transformation. (For the sake of completeness, it is interesting to add that mathematicians already knew

of the ISCs in the 18th and 19th centuries under the name of trigonometric interpolation polynomials. A detailed exposition is in the valuable, although highly mathematical, paper [5], where its author wrote (page 356) that electrical engineers seem to be unaware that (the ISCs method) is an old method, known in mathematics as trigonometric interpolation. Three years later, Hochrainer, in his book [6], introduced for the German-speaking area the ISCs and also presented them as a mathematical transformation without any underlying physical meaning ([6], p. 279). Later on, White and Woodson extended the transform to multiphase machines considering no saturation and only the case of the sinusoidal distribution of the air-gap induction ([7], pp. 546 and 569).

The ISCs of currents (analogously for voltages and flux linkages) of an m -phase symmetrical winding are as follows ([7]):

$$\begin{bmatrix} \overline{i_0} \\ \overline{i_1} \\ \overline{i_2} \\ \dots \\ \overline{i_{m-1}} \end{bmatrix} = \frac{1}{m} \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 1 & a^1 & a^2 & \dots & a^{m-1} \\ 1 & a^2 & a^{2 \cdot 2} & \dots & a^{2 \cdot (m-1)} \\ 1 & \dots & \dots & \dots & \dots \\ 1 & a^{(m-1)} & a^{(m-1) \cdot 2} & \dots & a^{(m-1) \cdot (m-1)} \end{bmatrix} \begin{bmatrix} i_1(t) \\ i_2(t) \\ i_3(t) \\ \dots \\ i_m(t) \end{bmatrix} \quad (1)$$

where $i_1(t), i_2(t), \dots, i_m(t)$ are each of the currents that circulate in each of the phases of the multiphase machine and $a = e^{j\gamma}$ with $\gamma = 2\pi / m$. From (1), the expression for the general ISCs, $\overline{i_k}$, (with $k = 0, 1, 2, \dots, m-1$) is as follows:

$$\overline{i_k} = \frac{1}{m} \sum_{x=1}^m i_x(t) e^{j(x-1)k\gamma} \quad (2)$$

It is apparent that the ISCs $\overline{i_1}, \overline{i_2}$ etc. and $\overline{i_{m-1}}, \overline{i_{m-2}}$ etc. in (1) are conjugate complex quantities. In spite of that, when analyzing in [4] the three-phase machines, a pair of conjugate complex equations systematically appears, although one of these equations is simply superfluous. This fact was rightly criticized in the long paper [8], whose title, which takes the form of a question, clearly anticipated the debate contained therein and underlined the remarkable advantages of the method proposed one year earlier in [9].

In their book [9], Kovacs and Racz (who also assume the hypothesis of no space harmonics), instead of starting from abstract mathematical transformations, analyzed first in all detail ([9], pp. 61–67), from a physical perspective, the dynamic m.m.f. *space* wave produced by a *three-phase* winding and deduced its expression, which has a direct correlation with the *time* phase currents. In addition to its formula and its physical meaning, they characterized this space wave by a graphical tool they called “current space vector”, I (in German: Stromraumvektor). Later on, as they could not find *space* quantities related to the phase flux linkage and voltage *time* quantities, they introduced very briefly and only mathematically, by a mere formal analogy to I , the so-called voltage (U) and flux linkage (Ψ) “space vectors” ([9], p. 75). Yet, although there was no physical meaning for them in terms of space waves, the authors in [9] profusely used them together with I in a graphical manner to explain the three-phase machine equations. This new graphical viewpoint of approaching and illustrating the transients, in contrast to the abstract matrix transformation perspective, provided a much better insight into the phenomena, in addition to the reduction in the number of equations. As to this last point, notice that, mathematically, the “space vectors” I , U , and Ψ in [9] are the first ISCs of the machine currents, voltages, and flux linkages, which are sufficient (no need for the second ISCs, as discussed in [8]), to characterize the three-phase machine dynamic equations, provided there are no homopolar components.

On the other hand, many years earlier, following a quite different approach, Park had introduced [10] his complex quantities for the sole purpose of simplifying the dynamic three-phase machine equations. And as it turned out that the expression of the “space vectors” in [9] coincided with their homologous complex quantities in [10], Park’s complex quantities were then renamed in quite a few cases as “Park vectors”, although it was not uncommon to ignore the process and the physical reality behind this change in name.

In any case, it should be noted that in the discussion to [10], Kron already indicated in passing that Park’s *current* complex quantity could be considered as a “current linear density wave” ([10], p. 354). However, in his later highly abstract publications, *Kron* does not seem to have been interested in defining or searching for similar correlations between other (if at all existing) machine space waves and Park’s voltage and flux linkage complex quantities.

So far, with the exception of [7], all of the above references [4,6–10] refer to ISCs or to “space vectors” (a conceptually *incorrect* name, since they are not vectors as understood in physics but complex quantities that symbolize space waves) in three-phase machines. In [11], Stepina stated that any of the *current* ISCs in a multiphase machine with space harmonics were associated with a specific group of m.m.f. (or of current linear density) space waves. He gave their mathematical relationship and, as a practical and useful example, he detailed the groups of current sheet space waves corresponding to each one of the current ISCs in m -phase windings ranging from $m = 2$ to 7 ([11], Table 1, p. 392).

Stepina’s contribution was a very valuable contribution in that it linked space waves (“space vectors”) and the ISCs of the *currents* in the very general case of multiphase machines, including their current sheet harmonic waves. Nevertheless, as to the ISCs of Ψ and \mathbf{U} , it continued to be impossible to make any physical sense of them, even in the simplest case introduced in [9] of three-phase machines without space harmonics. Yet, just these two “space vectors” are by far the two most important ones, and understanding and deducing their relationships to both, their machine space waves and the time values of the corresponding phase quantities in the general case (multiphase machines with space harmonics), are essential for understanding how the machine actually works and how to “untangle” its intricate structure. This “untangling” is precisely the base for the decoupling of the multiphase machine, as shown in this paper.

This paper is organized as follows: Section 2 analyzes the general statements accepted in the literature as to the complete dynamic decoupling of constant air-gap multiphase machines with space harmonics, shows their starting inconsistency, and rejects them. The decoupling problem and its correct answer are addressed in Sections 6 and 7 by means of the SPhTh. Before this, it is necessary to become familiar with the suitable analytical tools and the true core of the SPhTh, often unknown or clearly misunderstood. This previous task is carried out in Sections 3–5. Section 3 introduces the concepts of the dynamical m -phase system ($DmPhS$) of the g sequence and its dynamic time phasor. Through that, Kapp’s time phasor concept is extended from steady to transient states, whereby the new notion of a “ g ” sequence of a phasor must be introduced. The next section determines the formulae of all of the dynamic time phasors necessary to characterize the corresponding electrical quantity of a symmetrical multiphase system in the most general case (this, moreover, gives a first physical interpretation of the ISCs). Section 5 shows in a precise way the true core of the Space Phasor Theory (SPhTh). It defines first the Ψ and \mathbf{U} space phasors as symbolical representations of the two most important machine internal (space waves) quantities and calculates thereafter their correlation with their homologous time phase quantities. Relying directly on the results of Sections 3–5, Section 6 shows how to “untangle” the intricate structure of the multiphase machine and decompose it into several simpler and independent machines. This section also gives a second

and deeper physical interpretation of the ISCs. Finally, Section 7, which is by far the longest one, discusses theoretically and establishes thereafter the conditions in all cases for both a precise and an approximate machine decoupling, which is then confirmed by numerous simulations.

This paper also includes an appendix, entitled “On the Space Phasors Theory and its relationship to the Park, Clarke and Instantaneous Symmetrical Component Transformations”. It is specially intended for users of the mentioned transformations who wish to connect and compare them with the SPhTh.

2. On the Incorrect Statements as to the Method to Obtain Complete Dynamic Decoupling of Multiphase Machines with Space Harmonics in the General Case

The authors totally agree with the statement ([12], p. 492) that “Probably, the most comprehensive treatment of the modelling procedure (for multiphase machines) at a general level is available in [7]”.

In chapter 10 (“General Analysis of the n - m Winding Machine”) of the above book [7], resorting to the ISCs and assuming that phase windings are “cosinusoidally distributed in space on a smooth magnetic structure and that the mutual flux density between rotor and stator produced by them is a sinusoidal function of space” (p. 569), its authors, after a rigorous mathematical process, conclude that “Therefore, the only quantities which must be considered to determine electromechanical energy conversion properties are the positive- and negative-sequence currents of an equivalent two-phase machine... Since all the other $(n + m - 4)$ symmetrical component volt-ampere equations except the $+$ - components for stator and rotor are linear and non-torque producing, it follows that the symmetrical component transformation reduces the $n - m$ machine to an equivalent two-phase energy converter plus a set of independent networks $(n + m - 4)$ in number” (pages 588 and 590).

The above conclusions, formulated in a very descriptive, clear, and precise way, are usually expressed in today’s literature in an equivalent but more abstract and mathematical language. To put it simply, leaving aside the homopolar components, in the m -phase machine there are $(m - 1)/2$ so-called mutually orthogonal bidimensional subspaces (m odd), of which only one is involved in the torque generation. Alternatively, there are $(m - 1)/2$ pairs of current and voltage components. Only one of them (α - β components) produces torque, with each one of the remaining non-torque producing pairs (x - y components) completely decoupled from all the others.

Relying on these conclusions, deduced and only valid under the assumptions very clearly and precisely indicated in [7], a very audacious and qualitative leap was made; namely, it was stated that one can use the additional x - y components of the machine to increase the torque density by utilizing its harmonic fields, with the dynamic torque produced by each $x - y$ component independent from all the others ([12], p. 495; [13], p. 637; [14], p. 1896). The stator and rotor phase number may be different, which is usual in most squirrel-cage motors.

It would be unfair and a sign of ignorance not to recognize the important contribution of this idea in developing multiphase machines for useful and practical applications.

Yet, this idea or statement, which is about twenty years old, has been accepted worldwide in the technical literature, but, surprisingly, *it has never been mathematically proven. This, on the other hand, could hardly have been achieved, simply because it lacks a correct theoretical base.*

Indeed, the statement above requires that there are air-gap harmonic fields. Yet, at the same time, the results and formulae in [7], on which it fully relies, apply and have

and (4) that in this case, the quantity of any phase is obtained by simply projecting the phasor onto the phase axis.

Now let us fictitiously exchange the phase positions in a cyclic manner according to the g -value, that is, to go from phase y to the next phase $y + 1$, one has to traverse the angle $g\gamma$, instead of γ (see Figure 2). Keeping this in mind, it is clear that in a $DmPhS$ of g sequence, the quantity of any phase with its axis placed at its actual position, y , is obtained by simply projecting the phasor onto the axis that would belong to this phase after having performed with g sequence the cyclic exchange of phases. Mathematically (\Re stands for “real part of”):

$$x_y(t) = \Re \left[\bar{X} e^{-jg(y-1)\gamma} \right] \quad (5)$$

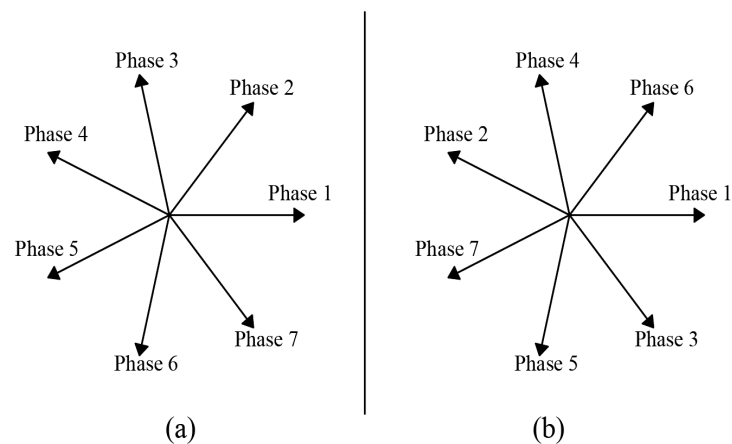


Figure 2. Phase positions of a 7-phase winding: (a) actual positions; (b) fictitious positions for the projections of a dynamic time phasor with sequence $g = 3$.

The sum of currents, voltages, etc., of several $DmPhSs$, $S1$, $S2$, etc., of the same sequence, g , produces a $DmPhS$ which also has the g sequence. The dynamic time phasor of the resultant $DmPhS$ equals the vectorial sum of the dynamic time phasors associated with $S1$, $S2$, etc. Notice that although functions $x(t)$ and $\varepsilon(t)$ in (3) may be arbitrary and very different for the different systems $S1$, $S2$, etc., the statement above on the vectorial sum of dynamic time phasors always holds.

The g and $(m - g)$ sequences are called complementary sequences. Any $DmPhS$ of g sequence can be converted ([1], p. 336) into a $DmPhS$ of $(m - g)$ sequence according to the formula (* stands for conjugate complex):

$$\left[\bar{X} \right]_{g,[m]} = \left[\bar{X}^* \right]_{m-g,[m]} \quad (6)$$

These formulae show that $DmPhSs$ with equal or complementary sequences can be added up, and the result can be characterized by just one dynamic time phasor.

The dynamic time phasors can be regarded as a powerful extension of Kapp® steady state time phasors. They can be dealt with mathematically (complex time-varying quantities) and graphically (vectorial addition), just as with Kapp® classical phasors.

With regard to this point, notice that any $DmPhS$ of g sequence is the result of projecting with g sequence into the system phases a phasor whose amplitude and speed may vary in an arbitrary manner. In contrast, Kapp® phasor turns with constant amplitude and speed (see Figure 1). The $DmPhS$ is fully characterized by the phasor. This fact provides, when operating with transients, a powerful tool, both for their mathematical as well as their graphical and visual analysis.

Notice finally that the classical sinusoidal three-phase system is as follows:

$$\begin{aligned}
 x_1(t) &= X \cos(\omega t + \eta) \\
 x_2(t) &= X \cos\left(\omega t + \eta - \frac{2\pi}{3}\right) \\
 x_3(t) &= X \cos\left(\omega t + \eta - 2 \cdot \frac{2\pi}{3}\right)
 \end{aligned} \tag{7}$$

where this belongs to the most simple family of *DmPhSs*, characterized by $g = 1$ and a phasor that turns at constant speed with constant amplitude. In accordance with (4), such a three-phase system is defined by the following expression:

$$X_{1,[3]} = \left[X \cdot e^{j\boldsymbol{\varepsilon}(t)} \right]_{1,[3]} = \left[X \cdot e^{j(\omega t + \eta)} \right]_{1,[3]} \tag{8}$$

4. Dynamic Time Phasors of Symmetrical Multiphase Systems with Arbitrary Currents

Since the essential features of dynamic time and space phasors can be best illustrated with m -phase systems with an odd number of phases, in this paper, m will always be assumed odd. The phase 1 axis always coincides with the real axis.

Let it be a three-phase symmetrical winding with three arbitrary currents (or voltages, flux linkages, etc.) without homopolar components, $i_1(t)$, $i_2(t)$, and $i_3(t)$. It *must be possible* to obtain these currents through the projection of a dynamic time phasor onto the phase axes, that is ($\gamma = 2\pi/m$):

$$i_1(t) = \Re e \left[\overline{I_A} \right]; \quad i_2(t) = \Re e \left[\overline{I_A} e^{-j\gamma} \right]; \quad i_3(t) = \Re e \left[\overline{I_A} e^{-j2\gamma} \right] \tag{9}$$

Indeed, since the sum of the phase currents is zero, there are only two independent variables and equations in (9), which just determine magnitude and angle of I_A . After a simple calculation, there is the following:

$$\overline{I_A} = i_A(t) e^{j\boldsymbol{\varepsilon}(t)} = \frac{2}{3} \left[i_1(t) + i_2(t) e^{j\gamma} + i_3(t) e^{j2\gamma} \right] \tag{10}$$

Let it be now a five-phase winding with arbitrary currents, but again without homopolar components. Since there are four independent currents, it seems at first sight that the two following independent phasors:

$$\overline{I_A} = i_A(t) e^{j\boldsymbol{\varepsilon}_A(t)}; \quad \overline{I_B} = i_B(t) e^{j\boldsymbol{\varepsilon}_B(t)} \tag{11}$$

suffice to determine the current of any phase (sum of the two phasor projections onto the phase). Yet, this way, all the currents would actually be derived from the projections of one only effective phasor (sum of I_A and I_B). Therefore, and for the purpose of specifying the phase currents, it is necessary to impose the additional condition that I_A and I_B also differ from one another as to the way in which their projections on the winding phases take place. This could be achieved in many ways. The most direct one is to imagine that the phase positions are exchanged in a cyclic manner, depending on the phasor considered, e.g., for phasor I_A , the phases are placed in their actual sequence, 1, 2, 3, 4, 5 (that is, $g = 1$), whereas for I_B , they are assumed to be in the sequence 1, 4, 2, 5, 3 (that is, $g = 2$). Again, after a rather simple calculation, there is the following (more details in [1], p. 337, and [2]):

$$\begin{aligned}\bar{I}_A &= i_A(t) e^{j \varepsilon_A(t)} = \frac{2}{5} \sum_{x=1}^5 i_x(t) e^{j(x-1)\gamma} \\ \bar{I}_B &= i_B(t) e^{j \varepsilon_B(t)} = \frac{2}{5} \sum_{x=1}^5 i_x(t) e^{j(x-1)2\gamma}\end{aligned}\quad (12)$$

Notice that, according to the developments in the previous section, I_A and I_B are, simply, the dynamic current phasors of sequence 1 and 2 of the *five*-phase winding. In other words, through the phasors of sequence 1 and 2, the original five arbitrary currents system without homopolar components has been decomposed into two independent systems, with each of them characterized by one only dynamic time phasor. Obviously, only dynamic phasors of the same sequence can be vectorially combined and added up.

This process can be easily generalized to symmetrical windings with an arbitrary number of phases, m , and without homopolar components. In that case, $(m-1)/2$ phasors of sequence $g = 1, 2, \dots, (m-1)/2$ are needed. The formula for the general dynamic time phasor of g sequence is given by the following (details in [1,2]):

$$[\bar{I}]_{g,[m]} = \frac{2}{m} \sum_{x=1}^m i_x(t) e^{j(x-1)g\gamma} \quad (13)$$

As seen in a previous section, the dynamic currents (or voltages, flux linkages, etc.) in all of the phases of a symmetrical m -phase winding can be characterized by just one dynamic time phasor if, and only if, these phase currents constitute a *DmPhS* of g sequence. Yet, even in the most general case of arbitrary currents, the set of the phase currents can always be characterized by $(m-1)/2$ dynamic time phasors of sequences $g = 1, 2, \dots, (m-1)/2$ plus, if necessary, a homopolar dynamic time phasor. In other words, the overall system can always be decoupled, in the most general case, into a homopolar system and $(m-1)/2$ *completely independent DmPhSs*, each of which is defined by its dynamic time phasor, whose formula is given in (13).

Except for factor 2, Formula (13) coincides with (2) of the ISCs. Thus, the ISCs have a first important physical meaning: they are the set of dynamic time phasors that decouple and fully describe, mathematically and graphically, the time evolution, in the most general case, of the phase quantities in an m -phase symmetrical winding.

5. The Hard Core of the Space Phasors Theory and the Essential Ψ and U Space Phasors

The approach overwhelmingly used today for machines transient analysis is the magnetic coupling circuit approach (MCCA), which regards the machine as a network made up of resistances and inductances, many of which vary with the rotor position. This network is dealt with by means of abstract and complex matrix transformations.

By contrast to the MCCA, the SPhTh introduced in [2] states that a machine can also be regarded as an electromechanical device that produces electromagnetic (field) waves with a restricted propagation capacity, namely they are forced to turn inside its air-gap. These space waves should have the following properties:

- Be the most important and dominant ones.
- Be characterized by dynamic *space* phasors.
- Be easily correlated with their corresponding machine *time* phase quantities.

These requirements are fully met by the space waves of the magnetic vector potential, A , and of the scalar electric potential difference, φ .

Thus, the SPhTh in [2] completely rejects space phasors to be mere mathematical entities without physical meaning (an idea always found for phasors Ψ and U in papers on

three-phase machines, let alone in the case of multiphase machines). Its starting point is just the opposite: *space phasors are always to be introduced representing fundamental and clearly defined machine internal (space) quantities.*

As to these quantities, the extraordinary importance of A and φ was already strongly stressed by the Nobel laureate Feynman, who wrote “the vector potential A (together with the scalar electric potential, φ that goes with it) appears to give the most direct description of the physics.” ([16], pp. 15–14). The key role played by A and φ in electromagnetics can also be seen very clearly in this, in our opinion, excellent and extraordinary book [17].

To put into practice in our case Feynman’s fundamental idea, let it be a long (negligible end effects) salient pole machine with axial conductors at the cylindrical stator surface. From Maxwell’s equation,

$$\vec{B} = \text{rot}(\vec{A}) \quad (14)$$

it follows that the total flux linkages of an arbitrary stator single turn, “ab” (Figure 3), of any stator phase is given by the following:

$$\Psi_{ab}(t) = \iint_S \vec{B} \cdot d\vec{S} = \iint_S \text{rot}(\vec{A}) \cdot d\vec{S} = \oint \vec{A} \cdot d\vec{l} = (A_{za} - A_{zb})l = l \sum_{y=a,b} \pm A_{z,y} \quad (15)$$

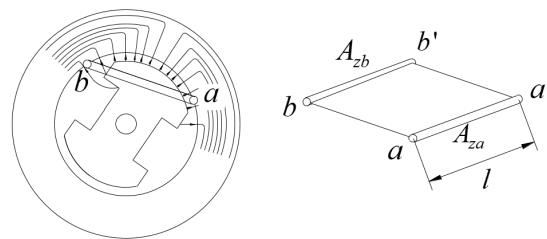


Figure 3. Flux linking an arbitrary stator coil of a salient pole machine.

That is, Ψ_{ab} is the sum of the vector potential values, A_z , at the positions of the two turn conductors, multiplied by the machine length, l (notice that A and B are constant in the axial direction, z , since, as usual, the magnetic field distribution in the machine is assumed to be bidimensional). Thus, the flux linkages of the whole phase are simply the algebraic sum of the A values at their conductor positions.

Notice that (15) always holds, no matter the rotor shape or whether the magnetic circuit be saturated or not. Thus, if the magnetic vector potential *space wave* at the stator surface is known, the phase flux linkages are obtained immediately from this space wave in the most general case.

Analogously, if the *space wave* of the stator electric potential difference in axial direction, φ_z , is known (potential difference between the two ends of an axial straight conductor), the total voltage of any stator phase K is obtained by simply adding up the electric potential difference values at all of the conductor positions:

$$u_K(t) = \sum_{y=a,b,c,d,\dots} \pm \varphi_{z,y} \quad (16)$$

Dynamic space phasors are excellent tools to operate with the space waves in (15) and (16). By definition, a space phasor is an oriented segment in the complex plane that symbolically represents the spatial sinusoidal distribution of an internal machine quantity. The phasor always points to the positive maximum of the wave (case of bipolar waves), and its modulus is equal to the wave amplitude. Both the wave amplitude and speed may vary arbitrarily.

Usually, the internal quantity is not sinusoidal. Then, a harmonic space phasor is assigned to each space harmonic of its Fourier expansion. To this end, a domain transformation is defined in such a manner that any angle, α , in the machine domain becomes an angle $\nu\alpha$ (ν = absolute harmonic order) in its corresponding phasorial domain. Notice that through this transformation (which boils down to transforming the mechanical angles into electrical ones), *any* multipolar wave is characterized by one only space phasor (the same coordinate in the phasorial domain corresponds to all its positive crests in the machine (see Figure 4)).

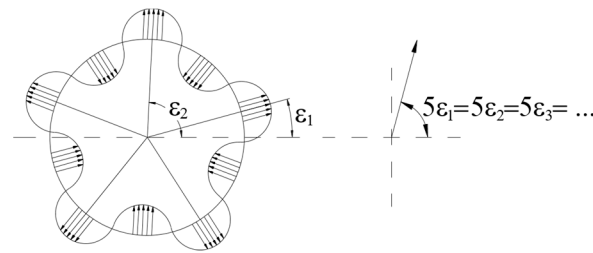


Figure 4. Space wave of five pole pairs in the machine domain (left) and its space phasor in the corresponding phasorial domain (right).

Let it be a sinusoidal wave with $\nu = hp$ pole pairs distributed over the stator cylindrical surface of a salient pole machine (an induction wave, a wave of electric potential difference, etc.). If $x_{hp}(t)$ is the instantaneous amplitude of the space wave and $\varepsilon(t)$ defines any of its instantaneous positive crests in the machine, the wave expression becomes

$$x_{hp}(\alpha, t) = x_{hp}(t) \cos\{hp[\varepsilon(t) - \alpha]\} \tag{17}$$

On the other hand, this space wave of hp pole pairs, as just stated, is fully characterized in its phasorial domain by its space phasor, whose expression in the most general case is as follows:

$$\overline{X}_{hp} = x_{hp}(t) e^{jhp\varepsilon(t)} \tag{18}$$

From (17) and (18), it follows immediately that

$$x_{hp}(\alpha, t) = \Re\left(\overline{X}_{hp} e^{-jhp\alpha}\right) \tag{19}$$

That is, the stator quantity (e.g., induction, electric potential difference, etc.) at an axial straight line of the stator surface specified in the machine domain by the angular coordinate α is given by the projection of its space phasor on the straight line defined by $hp\alpha$ in the phasorial domain (see Figure 5).

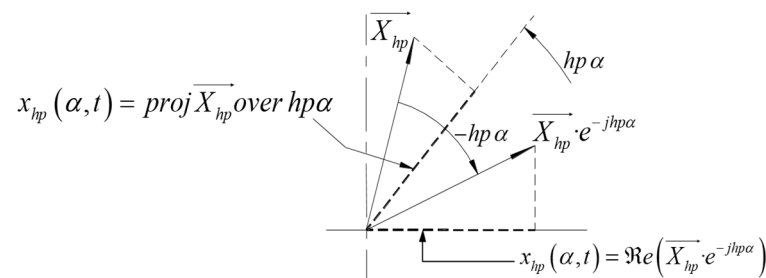


Figure 5. Geometric interpretation of Equation (19).

Thus, according to (19), the voltage of one conductor produced by the electric potential difference wave (the “voltage wave”) with hp pole pairs is equal to the projection in

the phasorial domain of its voltage space phasor onto the conductor. Therefore, the voltage of any stator phase of an m -phase symmetrical winding due exclusively to this voltage wave is given by the sum of each one of the projections of its voltage space phasor, \mathbf{U}_{hp} , onto the phase conductors. This sum turns out to be equal to the projection of the phasor \mathbf{U}_{hp} onto the phase axis multiplied by $Z\xi_h$, where Z is the number of phase conductors connected in series and ξ_h is the winding factor for the harmonic of relative order h . Finally, the *total* voltage in (16) of any of the m -winding phases is easily obtained through the sum of the projections of all of the voltage phasors onto the phase axis, multiplying each projection by its corresponding $Z\xi_h$.

The analogous process of sums of projections holds for the Ψ phasors and their associated phase flux linkages.

6. Untangling the Intricate Structure of the Multiphase Machine

All of the sums in the previous section (a rather simple calculation) have been carried out in [2]. The main results are as follows (see, among other formulae, the mathematical deduction, implications, and interpretation of Equation (23) in [2]): let it be, e.g., a seven-phase symmetrical winding with p pole pairs placed at the stator of a salient pole machine. Each of the voltage waves (that is, electric potential difference space waves in axial direction) with $p, 8p, 15p$, etc., pole pairs (in other words, each of the harmonic waves of relative order $h = 7q + 1$ with $q = 0, 1, 2, 3$, etc.) *only* produce in the phases of the 7-phase winding a voltage *DmPhS* of $g = 1$. Likewise, each of the waves of order $h = 7q + 2; h = 7q + 3$, etc., produce in the phases a voltage *DmPhS* of $g = 2, 3$, etc., respectively.

Therefore, for a *seven*-phase winding, all of the electric potential difference waves can be classified into seven *independent* families. The instantaneous amplitudes and positions of the different waves belonging to the same family are, in the general case, quite different from one another. However, all of them originate *DmPhSs* of the same sequence, and therefore, as explained in Sections 3 and 4, can be added up to give a resultant *DmPhS* of this same sequence. Thus, the combined action of all of the waves of a family on the voltages of the winding phases can be characterized by just one effective voltage space phasor of the family. Or alternatively, the resultant voltage *DmPhS* in the polyphase winding produced by a whole family of waves can be imagined to be produced by one only effective voltage space wave, the phasor of which is just the effective space phasor of the family of waves.

Moreover, the two voltage *DmPhSs* produced by the families with $h = 7q \pm 1$ are *DmPhSs* of complementary sequence. Hence, they can be added up so that just one space phasor suffices to characterize the combined action of this group of two families. The same statement applies to the families with $h = 7q \pm 2$ and $h = 7q \pm 3$. Thus, the combined effect of *all* of the electric potential difference waves on the voltages of the seven phases in any dynamic state is fully characterized by just three effective voltage space phasors (plus one homopolar phasor, if necessary). These conclusions apply too to the magnetic vector potential space waves (space phasors Ψ) and can be extended to a symmetrical winding with an arbitrary number of phases [2]. It should be underlined that these groups of \mathbf{U} and Ψ space waves, mathematically established in [2] for the first time, coincide with the groups determined by Stepina for the \mathbf{I} space waves following a quite different path, which can only be applied to the currents [11].

In summary, the phase voltages of an m -phase symmetrical winding placed on a cylindrical stator or rotor are completely defined by the projections, in their phasorial domains, of the $(m - 1)/2$ stator or rotor effective voltage space phasors (plus a homopolar phasor, if necessary) on the axes of these phases. This also applies to the flux linkages and currents, no matter how arbitrary their time variations may be. Yet, this is exactly the same

as with the $(m - 1)/2$ dynamic time phasors calculated in Section 4. Thus, *mathematically, the expressions of the effective space phasors must coincide with that of the dynamic time phasors in Section 4, which, in turn, coincide with the formulae of the ISCs multiplied by two.* This, in addition, gives a deeper physical meaning of the ISCs: they symbolize the current linear density, the electric potential difference, and the magnetic vector potential space waves in the m -phase machine (more details in [1]).

If we apply a current to a phase placed on a cylindrical stator or rotor and we know the spatial distribution of its conductors, we can determine any space harmonic (and its space phasor) of the current sheet wave produced by the phase. This is not the case with the voltage space wave. Indeed, if we know the phase current, we know the current in each one of its conductors (which makes it much simpler to cope with \mathbf{I} than with \mathbf{U} waves), whereas in the case of an applied voltage, we do not know the individual conductor voltages, only their sum. This is the reason why only the effective voltage space phasors of an m -phase winding can be determined from layout and voltages of its phases. On the contrary, under similar circumstances, we can calculate not only the effective current space phasors but also the individual space phasors of all of the space harmonics of the current sheet produced by the m -phase winding. Moreover, once these formulae ([2], p. 87) are calculated, it is easy to prove ([2], p. 92) that if the currents applied to an m -phase winding constitute a $DmPhS$ of g sequence, then all the current sheet space waves produced by them are, *exclusively*, of relative order $h = qm \pm g$.

It is now convenient to summarize (more details in [2]) the main results that apply to *constant air-gap* multiphase machines (either permanent magnet synchronous machines PMSM, induction IM, or doubly fed asynchronous machines DFAM) with m -phases in the stator and rotor and without homopolar components (always to be avoided):

- (a) For a three-phase machine, there is *only one* space phasor \mathbf{U} in the stator (all of the corresponding harmonic space waves belong to the same group, $h = 3q \pm 1$ with h odd) and one in the rotor. The same applies to the $\mathbf{\Psi}$ and \mathbf{I} effective space phasors. These two $(\mathbf{U}, \mathbf{\Psi}, \mathbf{I})$ triads fully describe the machine behavior.
- (b) Each of the $(m - 1)/2$ \mathbf{U} space phasors in the stator (or rotor) characterize an effective voltage space wave in the stator (or rotor). More precisely, they synthesize through an equivalent wave the contribution to the voltages of the stator (or rotor) phases due to a specific group of electric potential difference space waves existing in the stator (or in rotor). Analogous considerations apply to the $\mathbf{\Psi}$ and \mathbf{I} space phasors.
- (c) The mentioned *total contribution* to a phase of any of the wave groups is obtained through a very simple procedure: by projecting its effective space phasor onto the phase axis.
- (d) Each one of the independent groups of space waves in stator, together with its homologous group in rotor, can be associated with a fictitious machine which, therefore, has one only set of phasors $(\mathbf{U}, \mathbf{\Psi}, \mathbf{I})$ in the stator and one in the rotor, *just as in three-phase machines*.

In summary, each one of the different triads $(\mathbf{U}, \mathbf{\Psi}, \mathbf{I})$ of effective dynamic space phasors in the multiphase machine is related to one specific and *independent* group of space harmonics. This physical reality, analyzed in detail in [2], constitutes the base that enables to “untangle” the intricate structure of a constant air-gap symmetrical multiphase machine and to split it into a equivalent set of $(m - 1)/2$ fictitious machines, mechanically coupled but electrically independent.

A possibility that is usually the first that comes to mind to describe any one of these fictitious machines is that of a machine whose winding factors are all zero, except for those corresponding to the harmonics belonging to the wave group associated with the machine (e.g., $\xi_h = 0 \forall h \neq mq \pm g$). There is also a second and more interesting possibility: indeed, in the most general case, an m -phase induction machine (IM) without homopolar

components can be fed by a voltage system, for the definition of which $(m - 1)/2$ $DmPhSs$ (or $(m-1)/2$ dynamic time phasors) are required. Within this context, each of the fictitious and mechanically coupled machines can be considered to be equal to the actual machine but imposing the condition that it is fed by only one of these $DmPhSs$ of voltages. Obviously, both pictures or approaches lead to the same set of air-gap space harmonics, which are equivalent and can be easily implemented in a program.

It should be pointed out that the authors in [18] dealt with the simpler case (neither phases number nor windings in the rotor) of PMSM. Following a completely different approach, they arrived for the mentioned particular case of PMSM at analogous results compared to the ones in this paper. Indeed, with the additional simplifying assumption (unnecessary in the SPhTh) that the stator currents do not modify the wave of the EMF induced in the stator coils by the rotor magnets, they proved in a mathematically elegant manner that a PMSM can be decomposed into a set of simpler independent fictitious machines.

As is well known, only the interaction of stator and rotor space waves with the same pole number (that is, with the same relative order, h) produces torque. Assume a seven-phase DFAM. If one applies, exclusively, e.g., a current $DmPhS$ of $g = 2$ (or $g = 5$) to the stator and another one to the rotor, the space waves (and their associated torques) of the stator and rotor space wave groups with $h = 7q \pm 2$ can be controlled in any dynamic state without modifying the waves of the remaining groups, since each group is independent of all the others. This is the physical base underlying the decoupled control of the multiphase machine. See Figure 6 (machine with $m_{str} = m_{rot} = 5$), where each vertical line is a symbolic representation of the interaction between a stator harmonic field and a rotor harmonic field with the same number of poles that produces a harmonic torque (for the sake of simplicity, the number of vertical lines is chosen arbitrarily and set to 6).

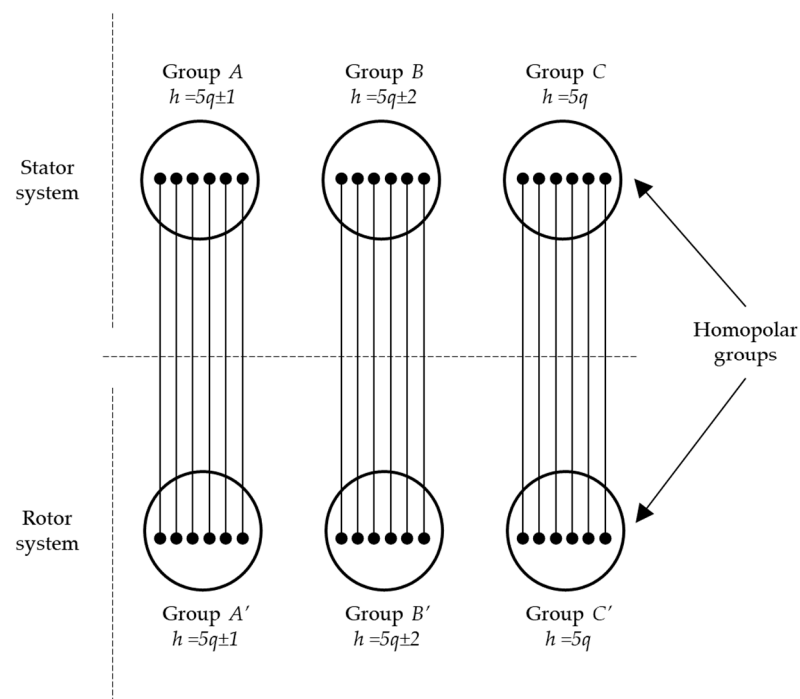


Figure 6. Schematic diagram of the harmonic fields interactions with regard to the total torque production in a 5-phase machine with $m_{str} = m_{rot}$. All stator or rotor harmonic waves of relative order $h = 5q \pm 1$ belong to the same group, A or A', respectively. An analogous statement applies to the space waves with $h = 5q \pm 2$ (groups B and B') and with $h = 5q$ (homopolar waves, groups C and C').

7. Establishing and Verifying the Decoupling Conditions for Constant Air-Gap Multiphase Machines with Space Harmonics

7.1. Precise Decoupling

Let it be a constant air-gap machine with a multiphase winding in the stator and another in the rotor. The theoretical assumptions are the same as in chapter 10 of [7] but eliminate the hard restriction of sinusoidal air-gap induction, that is, the windings do not have, as postulated in [7], an unreal and ideally sinusoidal distribution in space; on the contrary, all the air-gap induction harmonics produced by them are taken into account. (note in passing that, consistent with this fact, the analysis field of the SPhTh goes far beyond the one in [7]). The phases of each winding are, as in [7], equal and symmetrically and evenly spaced (the angle between any two consecutive is $2\pi/m$).

In a symmetrical machine without homopolar components and $m_{str} = m_{rot} = 3$, there is in the stator winding (the same applies to rotor) only one *DmPhS* of flux linkages and another one of voltages, with the sequence $g = 1$ (or its equivalent complementary sequence $g = 2$). Therefore, all the individual magnetic vector potential and electric potential difference space waves in stator, no matter their changes in amplitude and speed (arbitrary transients), *must* produce *DmPhSs* of this only sequence. In a m -phase winding, however, there are several *DmPhSs* of Ψ and U , mathematically and graphically fully characterized by their corresponding dynamic phasors. Since these *DmPhSs* are independent of each other, as emphasized at the end of Section 4 (see conclusions after Formula (13)), there *must be* groups of space waves, which are also independent of each other, and which produce the existing *DmPhSs*. This is one of the main physical ideas underlying paper [2] to untangle the intricate structure of the multiphase machine and address the problem of its possible decoupling.

Applying the key concept of a *DmPhS* of g sequence, or, better expressed, in keeping with the *DmPhSs* of flux linkages and voltages existing in the stator of a multiphase IM and produced by its stator harmonic fields (the same applies to rotor), these harmonic fields *must* necessarily be distributed among several *independent* wave groups. And this, indeed, has been mathematically proven in the SPhTh ([2], p. 85). The relative order, h , of the harmonics belonging to the same group meet the equation $h = qm \pm g$. According to this fact, it is evident that, if $m_{str} \neq m_{rot}$, the decoupling of the multiphase machine by pairs of homologous groups of stator and rotor space waves is mathematically impossible. The reason is, simply, that in contrast to what happens in Figure 6, the harmonic fields belonging to one only stator wave group have their rotor counterparts distributed among several rotor groups (see Figure 7 and text immediately below Figure 8).

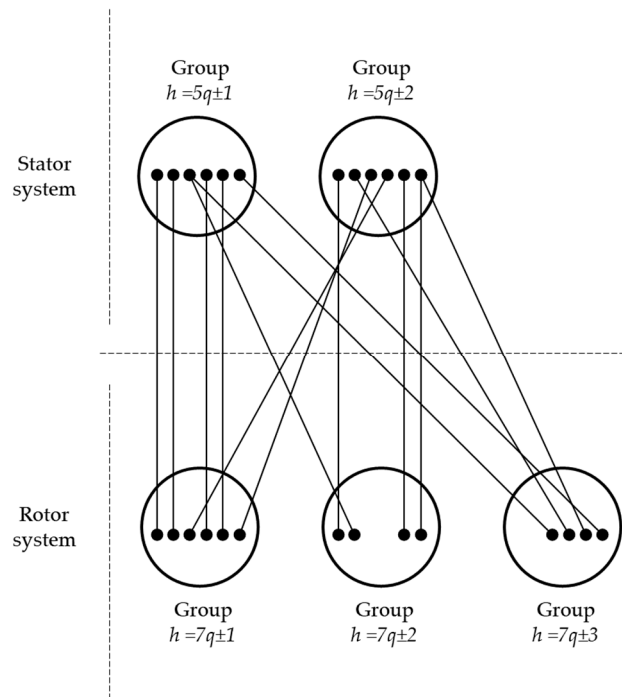


Figure 7. Cross-coupling between harmonic fields of stator and rotor in a multiphase machine with $m_{str} = 5 \neq m_{rot} = 7$ and without homopolar fields.

The condition $m_{str} = m_{rot}$, required for a precise machine decoupling, theoretically deduced in the preceding paragraphs, has also been checked with the help of a very complete program. This program starts from the groundwork of the one used in [2], based on the SPhTh, but it is more powerful and much more versatile and faster. It can simulate transients of multiphase IMs not only in healthy conditions, as was the case in [2], but also with any kind of rotor failures. In fact, the program has been applied in [19] to end-ring failures in induction motors, and its simulations have been tested and confirmed by failure measurements in real industrial installations. In this work, the simpler program variant of the healthy motor is the one applied and consists of a dynamic model of an IM with a constant air-gap, ideal magnetic circuit, and an arbitrary number of air-gap harmonics produced by the windings. The program has been implemented using MATLAB 2021 in a PC with an Intel Core i7-8700 processor and solved using a fourth-order Runge–Kutta method with a simulation step size of 10^{-4} .

The verification procedure is based on the second approach described near the end of the previous section. Thus, first, the model is input with a voltage system sum of $(m_{str} - 1)/2$ $DmPhSs$ of different g sequences, giving as the output the total motor torque. Next, the same model is fed with each of these $DmPhSs$ separately, giving as the output the motor torque for each case. Finally, these torques are summed and compared to the total torque. If both results overlap, it means that both systems are equivalent, or in other words, that the m -phase machine can be decoupled into a set of $(m - 1)/2$ electrically independent but mechanically coupled machines. Notice too that each of these machines can be treated as a three-phase machine in terms of the SPhTh, since only one effective space phasor is sufficient to characterize the action of all the waves of a given space quantity (e.g., Ψ for magnetic vector potential waves, \mathbf{U} for scalar electric potential difference waves, and \mathbf{I} for current linear density waves).

Figure 8 shows the application of this procedure to the direct start-up under constant load torque of a wound induction motor (data in Appendix B) with $p = 2$ and $m_{str} = m_{rot} = 5$, making the voltage $DmPhSs$

$$\begin{aligned} [\overline{U}_A]_{1,[5]} &= [230\sqrt{2} e^{j2\pi 50t}]_{1,[5]} \\ [\overline{U}_B]_{3,[5]} &= [150\sqrt{2} e^{j2\pi 150t}]_{3,[5]} \end{aligned} \quad (20)$$

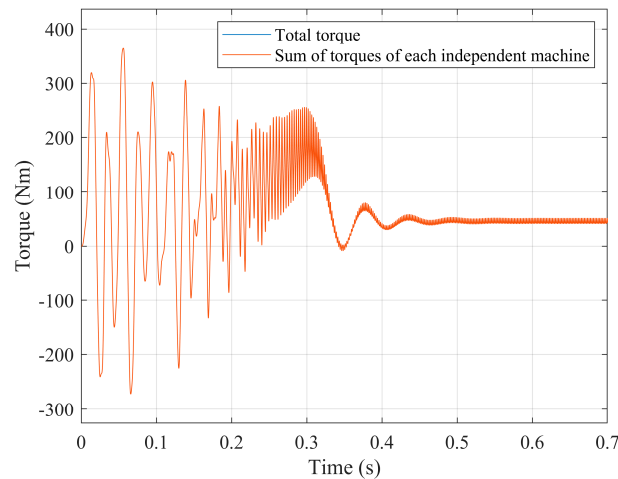


Figure 8. Direct start-up of a wound induction motor with $m_{str} = m_{rot} = 5$.

As can be seen, the electromagnetic torque is exactly equal in both cases (red graph overlapping the blue one). However, if the number of rotor phases is changed to seven, then Figure 9 shows that both torques clearly differ, meaning that the machine cannot be decoupled by pairs of homologous groups of stator and rotor space waves. As mentioned before, the reason is that the harmonic fields belonging to a given stator group have their rotor counterparts distributed among several rotor groups. For example, in this case, the harmonic field of relative order $h = 9$ belongs to the group of $g = \pm 1$ in the stator (group of the main wave) while in the rotor, it appears in the group of $g = \pm 2$. Similar discrepancies occur with many other harmonics as well.

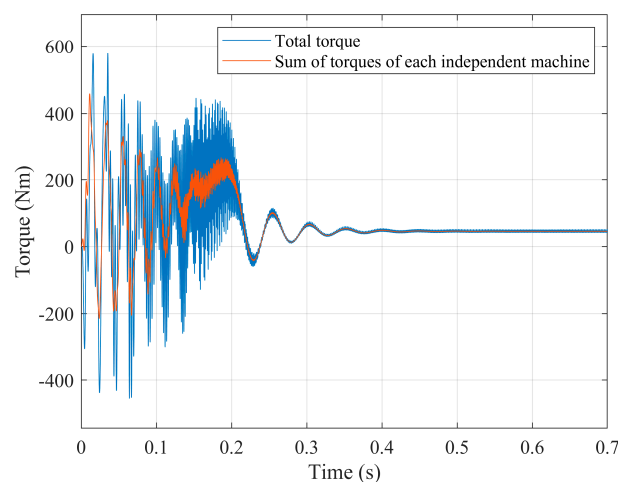


Figure 9. Direct start-up of a wound induction motor with $m_{str} = 5 \neq m_{rot} = 7$.

The previous conclusions also apply, of course, to squirrel-cage motors. In this type of machine, assuming the almost universal case of the B/p integer, the rotor can be regarded as a system of B/p phases, where B is the number of rotor bars and p is the number of pole pairs. Figure 10 shows the application of the mentioned procedure to a squirrel-

cage motor with 14 bars, $p = 2$, $m_{str} = 7$, and $m_{rot} = 14/2 = 7$ (remaining data in Appendix B), making the *DmPhSs*

$$\begin{aligned} [\overline{U}_A]_{1,[7]} &= [230\sqrt{2} e^{j2\pi 50t}]_{1,[7]} \\ [\overline{U}_B]_{3,[7]} &= [150\sqrt{2} e^{j2\pi 150t}]_{3,[7]} \\ [\overline{U}_C]_{5,[7]} &= [100\sqrt{2} e^{j2\pi 250t}]_{5,[7]} \end{aligned} \quad (21)$$

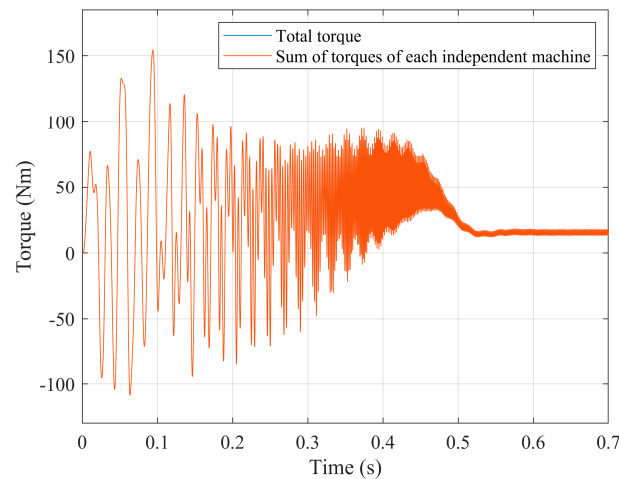


Figure 10. Direct start-up of a squirrel-cage motor $m_{str} = m_{rot} = 7$.

As in the case of the wound induction motor, when the condition of $m_{str} = m_{rot}$ holds, there is also a perfect match between the torque resulting of feeding the machine with all the *DmPhSs* simultaneously, and that obtained as the sum of torques when the machine is fed with each of the *DmPhSs* separately. Likewise, when the condition is not satisfied, for example, if the number of rotor bars is increased to 22, the decoupling of the machine is mathematically impossible, as shown in Figure 11.

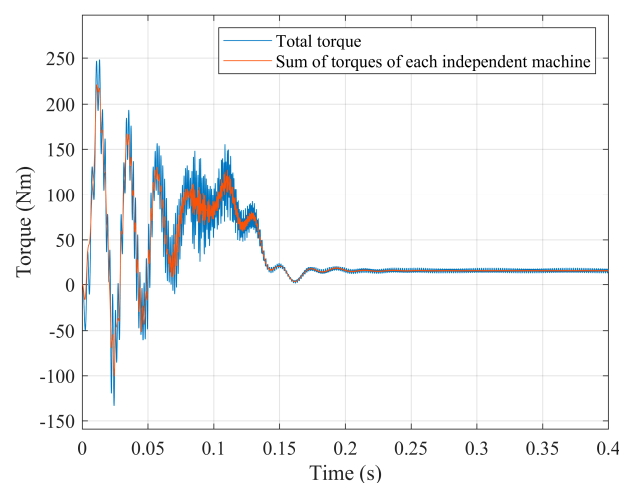


Figure 11. Direct start-up of a squirrel-cage motor $m_{str} = 7 \neq m_{rot} = 11$.

Thanks to the fast computation time of the program (typically around 25 s for a 0.5 s simulation), tens of simulations have been conducted with very different machines. Moreover, the input phase voltages had not only a sinusoidal shape but also very different time

evolutions expressed as sums of functions that meet (3). In all cases, the simulations confirmed the aforementioned conclusions.

In summary, as first deduced theoretically and then confirmed by tens of simulations (and shown for the first time in this paper, as far as the authors know), *the condition for a machine decoupling both precise and valid for the whole working region (arbitrary slips) is that the equality $m_{str} = m_{rot}$ is fulfilled.*

Since in almost all squirrel-cage motors, $m_{str} \neq m_{rot}$, their decoupling, if at all possible, can only be approximate and, most probably, only within a restricted working region. This issue is addressed in the next section.

7.2. Approximate Decoupling Within a Narrow Working Region. Equations of the Multiphase Machine

Let us start, in the first step, from a machine with m -phases in stator and rotor. For each one of its fictitious machines, related to each group “ g ” of harmonic space waves, the voltage of any of its stator or rotor phases, K , must be equal to its resistive voltage drop plus the time derivative of its flux linkages, that is ($x =$ stator or rotor)

$$u_{g,K,x}(t) = R_x \cdot i_{g,K,x}(t) + \frac{d\Psi_{g,K,x}(t)}{dt} \quad (22)$$

Expressing these three *time* quantities by means of the projections of their corresponding *space* phasors onto the phase axis (see Section 6, especially the third bullet point (c) of that section), (22) turns into the following:

$$\begin{aligned} \Re e \left[\overline{U_{g,x}} \cdot e^{-j h_g (K-1) \gamma} \right] &= R_x \Re e \left[\overline{I_{g,x}} \cdot e^{-j h_g (K-1) \gamma} \right] + \\ &+ \Re e \left[\left(d\overline{\Psi_{g,x}} / dt \right) e^{-j h_g (K-1) \gamma} \right] \end{aligned} \quad (23)$$

Since (23) is valid for any instant of time, any phase, and for any number of phases, it follows for each one of the fictitious machines that

$$\overline{U_{g,x}} = R \cdot \overline{I_{g,x}} + d\overline{\Psi_{g,x}} / dt \quad (24)$$

Equations (23) and (24) highlight two key advantages of the SPPhTh:

- (a) Space phasors provide a deep physical insight into the machine's behavior. This enables us to “untangle” its complex structure, and it is just this previous knowledge that allows us to directly write the equations of the machine with space harmonics as the ones of a set of electrically independent machines. Without this knowledge, the machine has to be analyzed as a single global system with a very intricate structure.
- (b) The very powerful step from (22) to Equations (23) and (24) is extremely simple and straightforward.

In [20], very precise measurements of transient harmonic torques in three-phase *IMs* connected to the mains were carried out. In addition to the measurements, it was also theoretically justified and confirmed by numerous simulations that the field harmonics effect on the machine torque may be very important in several industrial transients, like start-up, unplugging, drop out, injection braking, etc. Yet, it was also proven that this effect is always negligible at low slips of the machine. This conclusion is also deduced in ([21], p. 208), using a quite different reasoning, and can be extended, with even more reason, to each wave group of the multiphase machine. This implies that *in converter-controlled multiphase machines* (always small slips, even in transients), *it is acceptable to assume that only the space harmonics which are head members of their groups, that is, the harmonics with*

the lowest pole number, need to be considered (e.g., for a five-phase machine—which only has two groups—harmonics 1 and 3. For a seven-phase machine, harmonics 1, 3, and 5, etc.).

To reinforce and check the above conclusion from a different perspective, it is very useful to have a look at the, in the author's opinion, valuable paper in [22]. In it, the authors present a theoretical framework of analysis using matrix transformations. Relying on it, and in order to show through a practical example that in any induction (or more precisely, in any constant air-gap) multiphase machine decoupling is always possible, they choose a machine with seven phases in the stator. They start then from the assumption that in this case, "the flux produced by the rotor in the stator winding has a fundamental, a third and a fifth harmonic component" ([22], p. 336), but without giving any mathematical proof or physical explanation that justify it. So, one has the right to ask: why choose just and only these three harmonics? What is the reason for not choosing, e.g., the first seven machine harmonics or other combinations? (In these other cases, one can verify that there is no longer any decoupling.) Likewise, when applying their procedure to a five-phase machine, only the first and third harmonics may be present in order to achieve decoupling. And so on.

The useful and correctly proven decoupling of induction machines in the valuable paper in [22] is actually based on the unstated assumption that only the space harmonics, which are precisely the head members of the groups established in the SPhTh, produce torque. Yet, this assumption is only acceptable for the narrow region of small slips ([20], p. 74, [21], p. 208). Thus, it is only this assumption that allows for the approximate, but very practical, decoupling of converter-controlled multiphase induction machines (always small slips, even in transients) by means of $(m - 1)/2$ pairs of independent space harmonics. Moreover, in contrast to Section 7.1, in the particular case now under consideration, decoupling does also apply to the structure $m_{str} \neq m_{rot}$. Indeed, even when $m_{str} \neq m_{rot}$, any pair of homologous stator and rotor *head* members is independent of all other analogous pairs, and it is *only* these pairs that produce torque in this case (see Figure 12). Notice that the validity of an approximate decoupling at low slips is also clearly confirmed by Figs. 9 and 11: when the motor is close to steady state, the blue and red graphs practically overlap each other. Yet, out of this small region, if $m_{str} \neq m_{rot}$, as is the case with virtually all the squirrel-cage motors, there is an important cross-coupling between the stator and rotor space waves that belong to different groups, as proven in the SPhTh [2] and as seen by comparing Figures 8 and 9 or Figures 10 and 11.

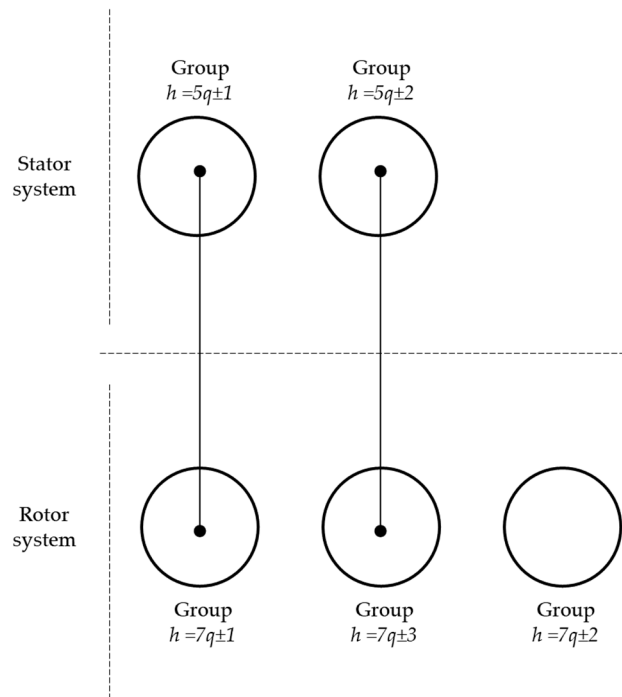


Figure 12. Machine with $m_{str} = 5$, $m_{rot} = 7$ and without homopolar fields. Schematic diagram as to the total torque production under the simplified assumption that the stator–rotor magnetic coupling takes place only through the head members of each wave group. This assumption is only acceptable in the narrow region of very small slips.

Now, instead of using, as carried out in previous paragraphs, the straightforward and very “visual language” of groups of space waves, let us resort to the abstract language of “mathematical subspaces”. Precisely formulated in this language, the second and most important contribution or thesis of this paper is the following: the claim or the statements that the machine structure is always equivalent to a set of mutually orthogonal bidimensional subspaces with only one harmonic per subspace must be rejected. These statements, although accepted without objection in the literature for more than 20 years, have never been mathematically proven. This could hardly have been achieved since, as shown in previous paragraphs, they only hold, and only approximately (although with very good approximation), in the narrow region of very small slips. (see Figure 12).

In spite of that, and as already written in Section 2, it would be unfair not to recognize the positive impact of the above statements on valuable applications of multiphase machines. Yet, one should be aware that it has been a lucky coincidence that, so far, the decoupling procedure based on them has been applied and verified only in the field of converter-controlled machines. Certainly, this is, at least nowadays, their overwhelmingly used and most important industrial field. Yet, a technological circumstance is no reason in science to present theoretical principles as having general validity when they only apply to a particular case.

Moreover, such an approach also makes it impossible to physically understand and explain what are the true reasons why, despite the fact that those general statements are incorrect, there is nevertheless a particular field in which they do hold up. Likewise, it prevents a deep physical insight into the intricate machine structure and how to correctly untangle it.

Equation (24) fully describes the electric behavior of any one of the fictitious machines *with all* its winding space harmonics. As the input data in (24) are usually the phase voltages, the space phasor \mathbf{U} of each fictitious machine is known, since \mathbf{U} is, simply, one

of the ISCs of the phase voltages of the real machine. Thus, solving (24) requires determining the relationship between the space phasors \mathbf{I} and $\mathbf{\Psi}$ (between currents and flux linkages, if we refer to their homologous time quantities). This is a very complicated task if the whole group of space harmonics of each fictitious machine has to be taken into account. Yet, in converter-controlled machines, since *only* the head harmonic of each group is considered, the mentioned relationship can be easily established for each fictitious machine through its inductances.

Indeed, in this case, the mathematical content and structure of the stator (or rotor) electrical Equation (24) of any of the fictitious m -phase machines, expressed in space phasors, are the same as that of the electrical stator (or rotor) equation of a three-phase machine under analogous conditions (in both cases it is, simply, the very-well-known IM in which there is stator–rotor magnetic coupling only through its main space waves. The influence of the remaining fields is included in the leakage inductances). But for three-phase machines, that have been, by far, the most usual and important industrial ones, Equation (24) and the relationship between the “space vectors” \mathbf{I} and $\mathbf{\Psi}$, under the above simplified assumptions, have been known in the literature for a very long time. Therefore, there is no point in repeating here for each fictitious machine the well-known mathematical process leading to correlate phasors $\mathbf{\Psi}$ and \mathbf{I} , a process that, for three-phase machines, can be seen in detail in ([9], pp. 80–82), a pioneering and classic work on “space vectors”. In line with [9], in a multiphase machine, the $\mathbf{\Psi}$ – \mathbf{I} phasorial formulae for each one of its fictitious machines become the following:

$$\overrightarrow{\Psi}_{g, \text{str}} = L_{g, \text{str}} \overrightarrow{I}_{g, \text{str}} + \frac{m_{\text{rot}}}{2} L_{g, M} \overrightarrow{I}_{g, \text{rot}} e^{jh_g p \lambda} \quad (25)$$

$$\overrightarrow{\Psi}_{g, \text{rot}} = L_{g, \text{rot}} \overrightarrow{I}_{g, \text{rot}} + \frac{m_{\text{str}}}{2} L_{g, M} \overrightarrow{I}_{g, \text{str}} e^{-jh_g p \lambda} \quad (26)$$

with:

$$L_{g, \text{str}} = \frac{m_{\text{str}}}{2} L_{g, \mu\text{-str}} + L_{g, \sigma\text{-str}} ; \quad L_{g, \text{rot}} = \frac{m_{\text{rot}}}{2} L_{g, \mu\text{-rot}} + L_{g, \sigma\text{-rot}} \quad (27)$$

where $L_{g, \mu\text{-}x}$ and $L_{g, \sigma\text{-}x}$ are ($x = \text{stator or rotor}$) the magnetizing and leakage inductance of one phase, $L_{g, M}$ the maximum mutual inductance between stator and rotor phases, h_g is the relative order of the head harmonic of the g group of space waves, and λ is the rotor mechanical angle.

Replacing (25) and (26) in (24), the final stator and rotor electrical equations, expressed in space phasors, for any of these fictitious machines (formulae completely analogous to the ones of their equivalent three-phase machines) are as follows:

$$\overrightarrow{U}_{g, \text{str}} = R_{\text{str}} \overrightarrow{I}_{g, \text{str}} + L_{g, \text{str}} \frac{d\overrightarrow{I}_{g, \text{str}}}{dt} + \frac{m_{\text{rot}}}{2} L_{g, M} \frac{d\left(\overrightarrow{I}_{g, \text{rot}} e^{jh_g p \lambda}\right)}{dt} \quad (28)$$

$$0 = R_{\text{rot}} \overrightarrow{I}_{g, \text{rot}} + L_{g, \text{rot}} \frac{d\overrightarrow{I}_{g, \text{rot}}}{dt} + \frac{m_{\text{str}}}{2} L_{g, M} \frac{d\left(\overrightarrow{I}_{g, \text{str}} e^{-jh_g p \lambda}\right)}{dt}$$

The terms \mathbf{U} and \mathbf{I} in each fictitious machine are, simply, one of the space phasors or, what is mathematically the same, one of the ISCs of the voltages and currents of the real machine. The *space waves*, that is, the space phasors in (28), are given in their own system.

Rotor (or stator) phasors are translated into the stator (or rotor) system by simply multiplying them with (i.e., applying to them) the rotation $e^{+jh_g p \lambda}$ (or $e^{-jh_g p \lambda}$). In this connection, and with regard to the interpretation of (28), it is probably not superfluous to call our attention to the fact that, from a rigorous physical viewpoint (and thus for a deep insight into the machine phenomena too), only space quantities can be subjected to changes in their space coordinates.

The torque of each fictitious machine is given by

$$T_g = \frac{m_{str} m_{rot}}{4} h_g p L_{g,M} \left(\overline{I_{g,rot}} e^{jh_g p \lambda} \times \overline{I_{g,str}} \right) \quad (29)$$

where symbol \times stands here for a vectorial product.

The mechanical equation of the real machine can then be expressed as follows:

$$\sum_g T_g - T_{load} = J \frac{d^2 \lambda}{dt^2} \quad (30)$$

Equation (29) is very enlightening and intuitive; it states that the torque in a machine is, simply, the tendency to alignment of two magnets (more precisely, of their equivalent stator and rotor current sheet waves expressed in a common reference frame).

Equations (28) and (29) also show another essential advantage of the SPhTh. Indeed, notice that no matter how high the stator and rotor phase number of each fictitious machine may be, its equation system has only three unknowns, two of which (the space phasors $I_{g,str}$ and $I_{g,rot}$) belong to the bidimensional complex domain and the third one, λ , is a real variable. Thus, there is no need for abstract and long m -dimensional matrix transformations that, moreover, are usually introduced without any physical explanation or interpretation.

Obviously, through mere mathematical manipulations, one can express Equations (28) and (29) using as variables I_{str} and Ψ_{str} instead of I_{str} and I_{rot} . In particular, for the torque, after referring the rotor quantities to the stator, we obtain the following well-known expression of general use:

$$T = \frac{m_{str}}{2} p \left(\overline{\Psi_{str}} \times \overline{I_{str}} \right) \quad (31)$$

Certainly, (31) is not as visual and didactic as (29). Yet, on the other hand, it has two key advantages:

- (a) In line with Feynman's ideas, the space phasors Ψ that appear in (31) are, by far, the most important ones, especially for control studies. In fact, virtually all schemes for very-high-precision torque control are based on them, as commented on in [2].
- (b) Ψ_{str} can be easily obtained online by measuring the stator voltages and currents. Indeed, from the general Equation (24), it follows that

$$\overline{\Psi_{str}} = \int \left(\overline{U_{str}} - R_{str} \overline{I_{str}} \right) dt \quad (32)$$

Once the phasorial equations of the fictitious machines have been solved, the current in any stator (or rotor) phase of the real multiphase machine is obtained by adding the projections of the stator (or rotor) I phasors of all fictitious machines onto their corresponding axes. Since these I phasors (as well as the torques of the fictitious or of their equivalent three-phase machines) are decoupled, each of them can be controlled in an independent manner. This enables developing schemes for the control of the multiphase machines as a mere extension of those already known for three-phase machines, like field-

oriented or direct torque control, which are particular cases of a much more general principle, as explained in [2].

As to this point, practical implementations of multiphase machines with symmetrical windings that use the harmonic fields in addition to the fundamental wave for improving the torque production capability have been known for a very long time, with m odd always being the case ([14], p. 494). The structure of machine control is usually deduced and explained by means of rather complex and abstract matrix transformations. This is, of course, a fully legitimate method. Yet, the authors believe that perhaps today's exacerbated mathematical formalism may sometimes become a process in which the deep physical insight into the phenomena is often almost completely lost. Thus, as an alternative procedure, in ([2], pp. 90–91), a typical field-oriented control structure of the multiphase IM is deduced and explained without resorting to any phase transformation or reduction matrices. It is shown that the usual mathematical blocks of the control structure (whose presence is generally justified and interpreted as abstract matrix transformations) are actually operations with amplitudes, positions, space coordinate changes, and projections (to obtain time quantities) of suitable space phasors.

8. Conclusions

This paper reviews the general statements accepted in the technical literature concerning the complete dynamic decoupling of constant air-gap multiphase machines with space harmonics, showing that they are not correct, since they only hold (and only with good approximation) for converter-controlled machines. Thereafter, it establishes and verifies the correct conditions in all cases for both a precise and approximate decoupling.

To do that, instead of the overwhelmingly used “magnetic coupling circuits approach” (MCCA: machine as a network made up of resistances and inductances, many of which vary with the rotor position), this paper presents and makes use of the *SPhTh*, that states that a machine can also be regarded as a device that produces electromagnetic waves that are confined and forced to turn inside its air-gap. These space waves are characterized by *space phasors* that have the following properties:

- (a) Always have a clear physical meaning and are not just mere mathematical tools, as usually introduced in the literature.
- (b) Easily correlate the machine *space* waves and their homologous *time* quantities.
- (c) Provide a deep physical insight into the intricate machine structure and how to untangle it.

The *SPhTh* proves that the different harmonic space waves with relative order, h , in the m -phase cylindrical stator (or rotor) of a multiphase machine can be classified into *independent* groups, the waves of which meet $h = qm \pm g$. All of the waves in the stator (or rotor) that belong to the same group originate in the winding *DmPhSs* of the same g sequence. Conversely, if a current *DmPhS* of g sequence is applied to a stator (or rotor) m -phase winding, all the current sheet space waves produced by it are of order $h = qm \pm g$.

Therefore, operating with current *DmPhSs* of different g (or with their dynamic time phasors), a *precise decoupling* of the constant air-gap multiphase machine that *includes all* its harmonic fields produced by the windings is possible (real machine equivalent to a set of simpler machines, mechanically coupled, but electrically independent). However, this requires that $m_{str} = m_{rot}$, as theoretically proven and confirmed by simulation (see Figures 8–11). The stator (or rotor) electric equation of each independent fictitious machine with all its harmonic fields and valid for any dynamic state is given in this case by the general Equation (24) in which determining the relationship between phasors I and Ψ is quite a difficult task.

Yet, in the particular case of restricting the machine behavior to *the region of very small slips* (as in converter-controlled machines), it is acceptable to assume that only the head harmonics of the different fictitious machines (i.e., of each group of space waves) are involved in the torque production. This way it is possible to achieve *an approximate but simple and very effective decoupling*, even if $m_{str} \neq m_{rot}$. Notice that under this assumption, (24) turns into the much simpler and well-known Equation (28). In it, the terms \mathbf{U} and \mathbf{I} of each fictitious machine are, simply, one of the space phasors or, what is mathematically the same, one of the ISCs of the voltages and currents of the real multiphase machine.

To put it another way, let us consider a multiphase IM fed with arbitrary voltages in which the necessary conditions for its decoupling are met, either a precise and complete decoupling (Section 7.1) or an approximate decoupling, valid only for a narrow working region (Section 7.2). In both cases, the machine is equivalent to a set of simpler fictitious machines, mechanically coupled, but electrically independent. Also, in both cases, each of these fictitious machines is equal to the real machine but fed only with one of its voltage ISCs, i.e., equal to the real machine but with only one of its voltage space phasors. The difference between the two cases lies in the way the space phasors $\mathbf{I}-\Psi$ are correlated (in the more practical second case—converter-controlled machines—this correlation in each fictitious machine is given by Equations (25) and (26)).

Regarding the use of space phasors to formulate dynamic equations, notice that they are simpler and much more intuitive than abstract matrix transformations and, in addition, as already said, easily correlate space waves with time quantities. Thus, they also allow us to deduce the machine control schemes in a simpler way and understand them from a much more physical perspective.

Finally, there are two further important points that are worth underlying, which have already been dealt with in previous works ([1,2]) of the authors. Nevertheless, since they are essential to understanding the theoretical frame and development of this paper, they have been included in it so that the reader has in a single publication a complete and integrated perspective of the problem analyzed. These two points are as follows:

- (a) Introducing and explaining the key concept of a *DmPhS* of g sequence and its dynamic time phasor, which represents a powerful extension, mathematically and graphically, of the Kapp® time phasor, which is valid only for sinusoidal steady states and does not include the concept of g sequence.
- (b) Presenting an extensive historical and critical review of the ISCs, which makes clear their close relationship to the *SPhTh* and their two deep physical meanings: (1) They symbolize the linear current density, the electric potential difference, and the magnetic vector potential space waves in the m -phase machine (electric machines viewpoint). (2) They are the set of dynamic time phasors that decouple and fully describe, mathematically and graphically, the time evolution, in the most general case, of the phase quantities in an m -phase symmetrical winding (electric circuits viewpoint).

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Appendix A. On the Space Phasors Theory and Its Relationship to the Park, Clarke, and Instantaneous Symmetrical Components Transformations

The equation system that describes the transient states of a three-phase or m -phase induction machine in the so-called real phase-variable domain is very complex. Although nowadays it is possible to solve it rather easily with a personal computer, this was not so in the past century. For this reason, and with the sole purpose of simplifying the system solution, one resorted to a very usual procedure in mathematics: the change in variables.

Over time, different changes in variables were used that led to the well-known Park, Clarke, and Symmetrical Components Transformations. Common to all these transformations is the starting assertion that the real variables of the m -phase machine can be considered as elements belonging to an m -dimensional vectorial space and that “transformations are functions that operate on vectors” ([23], p. 2073).

Obviously, since the three mentioned transformations are but mere mathematical manipulations of the same original variables, they are clearly interrelated and can be easily passed from one to the other. This exclusively mathematical relationship between transformations or, more precisely, the transition from one to another can also be interpreted from an enriching geometrical perspective, as recently performed in [23]. Of course, it is beyond the scope of this paper to compare these three transformations and their particular advantages and application fields. This is a very-well-known subject in the technical literature and has been dealt with in numerous publications.

Let us now have a look at the machine from a clearly different perspective (the SPhTh) and address the problem to solve its equations from this different perspective.

Consider a very long (negligible end effect) ideal induction machine. From the Maxwell field theory, it follows that the electromagnetic field within the machine is bidimensional and, therefore, the corresponding space waves are the same in any machine cross-section. This applies, in particular, to the space waves of the magnetic vector potential and the electric potential difference, the two most important quantities in electromagnetics, according to R. Feynmann [16] and K. Simonyi [17]. Therefore, regardless of the machine phase number, we can physically describe in a plane and mathematically analyze in a bidimensional space all these space waves. Both the plane and bidimensional space represent the same reality: the machine cross-section. This provides an immediate and direct connection between the mathematical result and the modeled physical phenomenon.

After applying, if necessary, the Fourier expansion, any of the sinusoidal space waves in the machine, no matter its changes in amplitude and speed, can be fully characterized by a space phasor. That is just what the authors in [9] achieved when they analyzed the current sheet in an ideal machine without space harmonics and named the result “current space vector” I (Raumvektor I , in German). As it was already indicated ([2], p. 80), it is to Stepina’s credit to have pointed out that the name “space vector” was erroneous and misleading ([24], p. 584), since I in [9] is not a physical vector, like a force, an electric field, etc., but a phasor (a space phasor, Raumzeiger in German) that symbolically represents a sinusoidal space wave. Nevertheless, the term space vector was already widely spread, and many people (especially after [9] became known in English literature) continued to use it. To add still more confusion to this subject, the term space vector or space phasor (voltage space vector, flux linkages space vector, etc.) is also very often used nowadays to designate the result of applying mathematically in a vectorial domain any of the above-mentioned transformations to the homologous machine phase quantities (voltages, flux linkages, etc.).

In this last case, the term space phasor is used in a purely formal manner and it is, therefore, not uncommon for the original and actual meaning associated with the space phasor concept and the physical reality underlying it to be completely unknown or even misunderstood. In other words, under the same name, different researchers are referring to two clearly different things (a mathematical result obtained in a vectorial space; a phasorial representation of a well-defined space wave). On the other hand, introducing and handling as vectors of an m -dimensional vectorial space quantities that are scalar (currents, flux linkages, etc.), although not objectionable from a mathematical viewpoint, seems not very suitable from a physical perspective. It is very dubious that such a procedure facilitates a deep insight into the intricate structure of the m -phase machine and the suitable physical interpretation of its dynamic behavior. Finally, just with regard to this point of the physical interpretation, it is not superfluous to remember that, from a rigorous physical perspective, only space quantities, like space waves, can be subjected to changes in their space coordinates, as underlined in the paragraphs following Equation (28).

After having pointed out the above important differences, which is a necessary previous step for comparisons without misunderstandings, let us now very briefly return to the SPhTh approach.

The $DmPhS$ of g sequence is a fundamental concept in the SPhTh. After it has been precisely defined, the SPhTh, as set out in detail in this paper, starts from the following fact easy to prove: the stator (or rotor) I , U , and Ψ space waves that meet the equation $h = qm \pm g$ (and *only* these waves) generate $DmPhSs$ of g sequence on the stator (or rotor) polyphase winding. In keeping with that, the SPhTh classifies the waves into stator and rotor groups that are therefore independent. It then determines for each group a single equivalent or effective space wave that gives the same $DmPhS$ as the sum of all the $DmPhSs$ produced by all the waves in the group, assigns to that wave its corresponding equivalent or effective space phasor, and calculates its mathematical expression. The voltage, current, or flux linkages of a stator or rotor phase due to all the waves of a group are obtained by simply projecting the effective space phasor of the group onto the phase axis.

Since the groups are independent, the machine, with all its harmonic fields, can immediately be decomposed into a set of simpler fictitious machines that are electrically independent, provided that $m_{str} = m_{rot}$ (this condition is required to avoid cross-coupling between stator and rotor harmonic groups that do not contain the same set of waves (see Figure 7)).

The electrical equation in the real phase variable domain of a phase of any of these fictitious machines is given by (22). This equation can be translated *immediately* into its corresponding “phasorial domain”, resulting in Equation (24), which is much easier to solve. Of course, to do that, it is necessary to know the relationships between the I , U , and Ψ effective space phasors and the real phase quantities (physical perspective: the relationships between the I , U , and Ψ effective space waves of a group and the machine real phase quantities). This task has been carried out in one of the intermediate stages of the process (Sections 5 and 6 of this paper), and the result is unexpectedly striking and surprisingly simple: the mathematical expressions of the space phasors coincide with the instantaneous symmetrical component ISCs, multiplied by two.

In sharp contrast to the above, the ISCs (and also the Park and Clarke) transformation matrices, as far as the authors know, are introduced and presented to the reader as the almost mandatory and unavoidable starting points for transients studies, but without giving any physical explanation or underlying meaning of these matrices. Or, more precisely, the only justification given is purely mathematical, i.e., that they simplify the solution of the equation system. Thus, in many cases, the reader ends up accepting or stating, implicitly or explicitly, that the matrices were probably just a happy idea but without which it would be impossible to effectively address the analytical study of transients. The SPhTh

proves that such a viewpoint or statement is not correct. It should be underlined here again that the procedure followed in the SPhTh, summarized in the above paragraphs and explained in detail in the text, takes into account *all of the harmonic fields*. In this regard notice that in contrast to the three-phase machines, in which all the harmonic fields are detrimental, in the m -phase machines, they may play a positive role, since some of them can produce a useful torque and increase the machine torque density. This is especially of interest in big and very big machines.

There is a second and more important point. It is perhaps too often forgotten or even ignored that the great mathematical simplification introduced by the transformations is *only* true in machines without space harmonics or, more precisely, it is true in machines in which the stator–rotor magnetic coupling only takes place through their main space waves. In this case, as is well known, the transformation removes the stator–rotor angle dependence of the mutual inductances associated with these main waves, but it does not remove the angle dependence of the mutual inductances associated with all other harmonic fields. No wonder at all, since the mathematical function of this angle dependence is, in general, very different, in keeping with the harmonics considered).

This also follows very clearly from chapter 10 of [7], one of the most complete books on m -phase machines. In it, as already commented in Section 2 of this paper, the main hypothesis for modeling the machine as a set of independent circuits is that the windings are sinusoidally distributed in space. Likewise, one may also refer to the so-called vector space decomposition method, published in [25], considered by tens of papers to be the basis for modeling the machine as a set of “two-dimensional mutually orthogonal subspaces”. In the above publication the assumptions are, concretely ([25], p. 1102), the following ones: windings sinusoidally distributed, linear flux path, and negligible mutual leakage inductance. These are the same as the ones in chapter 10 of [7], so that the so-called “two-dimensional mutually orthogonal subspaces” can easily be correlated with what [7] simply calls independent circuits (see Section 2 of this paper). This is only logical since when two approaches with the same simplifying hypotheses analyze the same process, they lead to results that must be either equal or formally equivalent).

Obviously, if the windings are sinusoidally distributed, they only produce fundamental waves, no matter the number of phases and the input currents. And it would make little sense to address the problem of the decoupling of harmonic fields on the basis of an approach that starts from the non-existence of these fields (see also end of Section 2 of this paper).

In summary, the precise analysis of the machine by means of the Clarke, Park, or ISC transformations, claiming that, although these transformations are very abstract, they provide nevertheless a great mathematical simplification of the system, can hardly be accepted *in the case* of machines with harmonic fields, as the ones dealt with in this paper. Other approaches are required to this task. The SPhTh is one of them. Notice that the SPhTh is not a theory based on abstract matrix transformations. Its hardcore is very different from that (see Section 5 of the paper). With regard to a precise and detailed analysis of the m -phase machine, the presence of harmonic fields makes useless the mathematical methods relying on a change in variables (matrix transformations). However, the presence of harmonic fields does not invalidate the procedure of the SPhTh that also, in this case, can be used with good theoretical results with an insightful look into the structure and behavior of the machine

Despite these facts, it is still not so uncommon to find electrical engineers raising a last objection: since the mathematical expressions of the ISCs and the space phasors coincide, they would ultimately have to characterize two equivalent approaches (matrix transformations and SPhTh) that would therefore have the same potential for modeling the m -phase machine. The mistake here lies essentially, as already mentioned, in not being aware

that in the technical literature the *same name* (space phasor) is being used for two clearly *different concepts* (a mathematical result obtained in a m -dimensional vectorial space; a phasorial representation of a well-defined space wave).

As explained in the paper, the SPhTh starts from the individual magnetic vector potential and electric potential difference space waves in the machine and characterizes them by space phasors. In machines with harmonic fields, the SPhTh determines for each independent group of stator (or rotor) space waves the equivalent space wave of the whole group. The mathematical expression of this equivalent space wave coincides with the one of the corresponding ISC multiplied by 2. Yet, to claim that this mere mathematical coincidence must imply the equivalence of both approaches is clearly wrong. Indeed, for instance, the SPhTh establishes, among others, the three following theoretical principles in m -phase machines with harmonic fields:

- a) If $m_{str}=m_{rot}$, the machine can be decoupled by means of independent groups of space waves (Fig. 6)
- b) If $m_{str} \neq m_{rot}$, the machine decoupling is not possible because of the cross-coupling between harmonic groups (Fig. 7).
- c) If the machine working region is restricted to the narrow region of very small slips (e. g., converter-controlled machines), it is possible a practical and approximate machine decoupling even if $m_{str} \neq m_{rot}$ (Fig. 12).

Obviously, it is impossible to deduce these principles from approaches that rely on the absence of harmonic fields (sinusoidally distributed windings).

Appendix B

Table A1. Wound rotor induction motor data.

Stator	$R = 0.41 \Omega$	$L_{\sigma} = 3.85 \text{ mH}$	$L_{\mu} = 144.44 \text{ mH}$
Rotor	$R = 0.36 \Omega$	$L_{\sigma} = 2.93 \text{ mH}$	$L_{\mu} = 93.62 \text{ mH}$

Table A2. Squirrel cage induction motor data.

Stator	$R = 1.25 \Omega$	$L_{\sigma} = 3.57 \text{ mH}$	$L_{\mu} = 191.4 \text{ mH}$
Rotor	$R_{bar} = 70 \mu\Omega$	$L_{\sigma,bar} = 0.28 \mu\text{H}$	$L_{\mu,bar} = 5.63 \mu\text{H}$
	$R_{end-ring} = 50 \mu\Omega$	$L_{\sigma,end-ring} = 0.05 \mu\text{H}$	

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