



Article Small Punch Test on Jominy Bars for High-Throughput Characterization of Quenched and Tempered Steel

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Abstract: Studying the effect of quench and tempering heat treatments on steel, more specifically screening the effect of the austenitizing, quenching, and tempering conditions on mechanical properties, can be extremely material- and time-consuming when standard tensile testing specimens are employed. Jominy bar end quench testing has been used as a standard method to reduce the resources that are required for this type of screening. Jominy bar testing by itself shows, though, the limitation of yielding only hardness and microstructure as a result. In the last few years, the small punch test (SPT) standard has been developed. This technique can obtain an estimation of tensile mechanical properties with miniaturized specimens, which can be dissected from Jominy bars. The paper proposes a new testing methodology for screening the outcome of heat treatment conditions by combining the Jominy bar testing and SPT. Quench and tempering of API 5L X65Q pipe steel is used as a case study to describe the proposed methodology. The ability of the Jominy with SPT to detect variations in the mechanical properties produced by heat treatments is shown. This methodology can be directly applied as a high-throughput testing approach in the optimization of heat treatments.

Keywords: small punch test; quenched and tempered steel; Jominy test; heat treatment; mechanical properties; high-throughput testing

1. Introduction

High-throughput testing is employed in materials research for screening a high number of experimental conditions, which involves minimal sample preparation, reduced sample volumes, high automatization, parallelization of measurements, and miniature specimens [1]. Regarding miniaturized techniques, several have been reported for mechanical property characterization [2,3], though not all of them are standardized. This lack of standardization causes difficulties when comparing and interpreting the results in the literature. The small punch test (SPT) is a good example of the variations that can be found in testing conditions prior to standardization [4]. The SPT shows the advantages of being standardized both by the American Society for Testing Materials (ASTM E3205 test method) and the European Committee for Standardization (EN 10371 test method), in 2020 and 2021, respectively. Both standards fully describe the testing methodology, involved tooling, load carrying and transfer mechanism, and specimen features.

The SPT is a very versatile technique as it allows for the characterization of a broad spectrum of materials, testing conditions, and mechanical properties. The estimation of tensile properties such as yield strength, tensile strength, elongation, and the ductile-to-brittle transition temperature is standardized in both ASTM E3205 and EN 10371. Many other properties have been explored in terms of the coverage of both standards, such as the elastic modulus, fracture toughness, creep response, and fatigue behavior [4,5]. In terms of testing conditions, the SPT has been used for characterizing the mechanical response



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). of materials submitted to magnetic fields [6], at cryogenic temperatures [6–8], at elevated temperatures [9–12], and immersed in embrittling media [13].

Regarding material conditioning and processing, the SPT has been reportedly used for studying the effects of neutron-ion irradiation [14], exposure to hydrogen embrittlement [15], thermal ageing [16,17], annealing [18], hot isostatic pressing [19], and thermal cutting [20]. These thermal ageing, annealing, hot isostatic pressing, and thermal cutting studies are related to the field of heat treatment. In this field, a high-throughput test known as the Jominy test is standardized in ASTM A255 and ISO 642 and allows the generation of materials that have been quenched at different cooling rates from a minimum material amount whose dimensions are \emptyset 25 × 100 mm. The ability of screening quenching rates has proved to be valid for the traditional application of high-throughput tests, such as for alloy design [21]. The material characteristics that the Jominy test allows one to assess are hardness and microstructure for a broad range of cooling rates at a given temperature [22–24], which explain why this technique has been used not only for steels but also for non-ferrous alloys [23,24].

In this scenario, a combination of the Jominy test with SPT offers the possibility to increase the throughput of the testing even further, as the standard diameter of Jominy bars allows one to obtain at least three SPT specimens from any desired quenching rate present in the bar. Following the quenching rate, sampling positions of ASTM A255 and ISO 642, SPT specimens could be used to explore the mechanical properties generated by three tempering conditions for at least 22 quenching rate on mechanical properties by employing the SPT, including research on the heat affected zones (HAZs) on thermal cutting and welds [20,25] and on pressure tubes combining dilatometry and the SPT [26], we have not found any instances in the literature regarding the combination of the Jominy test and SPT for the same purposes.

This study proposes a new methodology that combines the Jominy test with the SPT to overcome the drawbacks of the approaches mentioned above: the lack of control on the cooling rate and tempering in previous HAZ studies and the high resource demand for performing a dilatometric test for each thermal condition of interest on SPT specimens. With the new methodology proposed here, a Jominy bar easily yields over 60 heat treatment conditions with a continuous distribution of controlled cooling rates from which to choose. This means extremely reduced material needs and lower specimen manufacturing effort. Furthermore, the new testing methodology presented here can be used to screen across a continuous range of cooling rates with a high spatial resolution along the Jominy bar (0.5 mm). In order to show the feasibility of employing the methodology, a case study is presented where the influence on the mechanical properties of a pipe steel grade of the austenitizing temperature, quenching rate, and three tempering conditions is characterized. Beyond the case study selected for this manuscript, this new experimental approach can also be applied to study other phenomena of interest for heat-treating technology, such as solution annealing and ageing behaviors or temper embrittlement.

2. Materials and Methods

The work in this study has been carried out using API 5L X65Q pipe steel. Its chemical composition was analyzed using an automatic combustion analyzer (Leco CS744, Leco Corporation, St. Joseph, MI, USA) and spark emission spectrometry (Spectrolab M10, Spectro, Kleve, Germany) to determine any elements therein in addition to carbon and sulfur. The results of the analyses are shown in Table 1 together with the API 5L X65Q specifications.

API 5L X65Q	С	Mn	Si	Р	S	Cr	Ni	Mo	Fe
Standard specification	<0.18	<1.70	< 0.45	< 0.025	< 0.015	< 0.50	< 0.50	< 0.50	Bal.
Sample	0.17	1.22	0.17	0.014	0.001	0.07	0.09	0.02	Bal.
Uncertainty (K = 2)	± 0.01	± 0.02	± 0.01	± 0.001	± 0.001	± 0.01	± 0.01	± 0.01	-

Table 1. Chemical composition of the steel sample employed for the experimental tests.

2.1. Jominy Test

The Jominy test consists of heating the specimen above the austenitizing temperature and quenching with a controlled flow of water applied on one of the ends of the sample. This cools the specimen from one end and allows one to obtain the hardenability of the steel sample under a set of given conditions. For this work, two Jominy test specimens were machined following the Jominy testing standard UNE EN ISO 642. The specimens were 25 mm in diameter and 100 mm in length. In this work, the Jominy test temperatures for each specimen were 890 and 920 °C. The two specimens were heated and maintained at the corresponding temperatures for 30 min to ensure heating of the core. The tests were performed in a Jominy testing machine (Remet S.A.S., Bologna, Italy). During the test, a water jet at 20 °C was applied to one of the ends of the specimen and maintained for 10 min, and after that time the specimen was submerged completely in cold water. After quenching both Jominy samples and before extracting the SPT samples, hardness tests at different positions were performed with a semi-automatic Rockwell durometer (Emco-test DJ10G5, Emco-test, Kuchl, Austria) following the distances given in the Jominy testing standard UNE EN ISO 642. The obtained results are summarized in Figure 1, with 26 trials in total.



Figure 1. Hardness test for the Jominy samples at different distances from the quenched face.

The quenching at 890 $^{\circ}$ C is slightly harder in the millimeters closest to the quenched face. For 9 mm and greater, the hardness of both quenching temperatures is the same and below the regular Rockwell C scale. The first 10 mm is where the drop in mechanical properties is most clearly observed.

2.2. SPT Specimen Extraction

The SPT samples were extracted from the tested Jominy specimens with the following methodology (Figure 2). The quenched end of the Jominy sample was marked for traceability. From each Jominy sample, three cylinders with a small "shoulder" along all the length were extracted with electrical discharge machining (EDM). The cylinders were 8 mm in diameter, with a shoulder of 1×3 mm and length of 100 mm. The shoulder was used to stop the SPT specimens from detaching from the cylinders, one was processed in quenched conditions, one was tempered at 620 °C, and the last one was tempered at 420 °C. The heat treatments for the total of six cylinders are summarized in Table 2. They have been coded in the table for reference in the results section.



Figure 2. Sketch of the SPT samples extracted from the Jominy samples.

Heat Treatment Code	Description
Q890	Jominy quenching from 890 °C
Q890-T420	Jominy quenching from 890 °C + tempering at 420 °C for 5 h
Q890-T620	Jominy quenching from 890 $^\circ$ C + tempering at 620 $^\circ$ C for 5 h
Q920	Jominy quenching from 920 °C
Q920-T420	Jominy quenching from 920 $^\circ\mathrm{C}$ + tempering at 420 $^\circ\mathrm{C}$ for 5 h
Q920-T620	Jominy quenching from 920 $^\circ\mathrm{C}$ + tempering at 620 $^\circ\mathrm{C}$ for 5 h

After the corresponding heat treatments, the SPT specimens were pre-machined from each cylinder using EDM to a thickness of 0.6 mm, leaving 1 mm of shoulder uncut. Thus, 10 SPT samples per cylinder were obtained, yielding 60 in total. As the first 10 mm is where the strongest hardness drop is observed, ten SPT samples were extracted from this area close to the quenched face. Specimens in each cylinder were extracted with approximately 1 mm distance of separation from each other.

2.3. SPT Specimen Conditioning

The samples were identified for traceability according to their corresponding position on the Jominy bar. They were ground and polished to a final thickness of 0.5 mm. First, one of the faces was ground with abrasive paper, starting with P240, followed by P600, and finishing with P1200. Second, the sample was turned over, and the process was repeated until a thickness of 0.500 ± 0.005 mm was obtained. Before the test, the diameter, thickness, and roughness (Ra) of samples were measured. For the diameter, two measurements were taken at 90° from each other, and the thickness was measured at four positions around the perimeter at 90° intervals from each other and in the middle.

In total, 60 samples were tested with a universal testing machine (Zwick All Round Z100, Zwick, Ulm, Germany), coupling a load cell of 5 kN and a SPT tool with the dimensions in accordance with the standard ASTM E3205-20. The characteristic geometrical dimensions of the SPT tool are described as follows; the diameter of the receiving die is 32 mm, the diameter of the receiving die bore is 4 mm, the punch diameter is 2.5 mm, the corner radius of the receiving die is 0.2 mm, and a ball of 2.5 mm with a hardness of 55 HRC is employed to force the central portion of the specimen through the hole in the receiving die.

All specimens processed with this conditioning methodology comply with the requirements of the SPT testing standard ASTM E3205-20.

3. Results

All the samples analyzed in this study were extracted from a known distance to the quenched end of the Jominy bar, so with this distance and the data shown in Figure 3, obtained from UNE EN ISO 642, the cooling rates corresponding to each sample were obtained; see Table 3.



Figure 3. Correlation between distance to the quenched end and the cooling rate adapted from UNE EN ISO 642.

Table 3. Corresponding cooling rates for each distance to the quenched face.

Distance to Quenched Face (mm)	1.1	2.0	2.9	3.8	4.7	5.6	6.5	7.4	8.3	9.2
Cooling Rate (°C/s)	370	195	93	74	60	46	37	30	26	20

After performing the SPT, a force–displacement curve for each specimen was obtained. The data processing was performed with a Microsoft Excel, macro-enabled spreadsheet as employed by National Institute of Standards and Technology (NIST) in [27], which provides the characteristic points of the curve (F_e , F_m , u_m , u_f , E_{SP} , E_m , and E_{PL}). The F_e value used in this study is based on the calculation of the vertical projection of the point of intersection of the two tangents on the curve test, F_m is the maximum force of the curve, and u_m is the displacement corresponding to the maximum force F_m . These three values obtained from the SPT curve are used for estimating the mechanical properties.

Even though there are approximations for the mechanical property estimation of the yield strength ($R_{P0.2}$) and ultimate tensile strength (R_m) prior to the ASTM E3205-20 [28], the ASTM E3205-20 proposed expressions for $R_{P0.2}$ R_m , are, respectively:

$$R_{P0.2} = \beta_{R_{p0.2}} \cdot \frac{F_e}{h_0^2},\tag{1}$$

$$R_m = \beta_{R_m} \cdot \frac{F_m}{h_0 \cdot u_m},\tag{2}$$

where $\beta_{R_{p0,2}}$ and β_{R_m} are material-dependent empirical constants. The empirical constant $\beta_{R_{p0,2}}$ used to estimate the yield strength is obtained from the recommended value given by EN 10371, which for steels with $R_{P0,2}$ values in the range of 200 MPa to 1000 MPa is $\beta_{R_{p0,2}} = 0.479$, as is the case in this study. For the empirical constant β_{R_m} , the values of the Vickers hardness number (HV) were obtained in each specimen with a Vickers microdurometer (FM-700, Future Tech Corp., Tokyo, Japan), and with the ASTM E140 hardness conversion table, the tensile strength was obtained (see Table 4). The values of the tensile strength and $F_m/(h_0 \cdot u_m)$ are correlated, allowing us to obtain an equation to estimate the tensile strength from the SPT.

Cooling Rate (°C/s)	Q920		Q920-T620		Q920-T420		Q890		Q890-T620		Q890-T420	
	HV	Rm (MPa)	HV	Rm (MPa)	HV	Rm (MPa)	HV	Rm (MPa)	HV	Rm (MPa)	HV	Rm (MPa)
370	421	1359	257	824	336	1078	372	1196	223	716	321	1030
195	415	1339	252	808	310	994	372	1196	239	767	333	1069
93	365	1173	238	764	303	972	353	1133	241	773	297	952
74	333	1069	242	776	276	885	295	946	217	696	297	952
60	288	923	243	780	266	853	284	910	237	760	266	853
46	281	901	242	776	255	818	243	780	230	738	262	840
37	264	847	244	783	238	764	256	821	210	674	235	754
30	248	796	235	770	234	751	247	792	212	680	232	744
26	242	776	226	725	226	725	246	789	204	655	228	732
20	237	760	230	738	235	770	238	764	206	661	237	760

Table 4. Obtained results from the ASTM E140 conversion table to convert HV to Rm.

In Figure 4, the correlation for the tensile strength is presented. The data have been fitted with a straight line that passes through the origin, following the recommendations of ASTM E3205-20. This correlation, where β_{R_m} represents the slope, is:



Figure 4. Relationship between Rm and $Fm/h_0 \cdot um$, which passes through the origin.

In addition, we also fit the data with a linear function where the y-intercept was allowed to vary freely during the fit; see Figure 5. In this case, Equation (4) is obtained:

$$y = -122.36 + 0.44 x \left(R^2 = 0.81 \right). \tag{4}$$

Comparing the two fittings for mechanical resistance, a better correlation is obtained by making the linear fit pass through the origin. Consequently, the tensile strength estimation in this study was carried out using $\beta_{R_m} = 0.39$. The tensile and yield strengths for all the samples were estimated, and the obtained values are presented in Tables 5 and 6.

With the mechanical properties obtained by means of the SPT, along the length of the Jominy bar and for different heat treatments, the mechanical properties as a function of the cooling speed were plotted, as shown in Figure 6. The results of the tensile and yield strength estimation, compared between different tempering temperatures for each quenching temperature, can be seen in Figure 6.



Figure 5. Relationship between Rm and $Fm/h_0 \cdot um$ where the y-intercept is allowed to vary during the fit.

Table	5.	Rm	estimation.
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			R _m (MPa)			
Cooling Rate (°C/s)	Q920	Q920-T420	Q920-T620	Q890	Q890-T420	Q890-T620
370	1182	1026	776	1339	989	825
195	1118	1007	802	1149	988	822
93	1007	928	770	1096	921	775
74	946	945	777	999	908	815
60	875	862	740	917	890	782
46	814	827	751	909	842	748
37	838	824	728	858	806	728
30	802	812	711	865	820	731
26	808	767	733	813	773	745
20	762	793	718	820	781	706

Tał	ole	6.	R _{P0.2}	estim	ation.
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R _{p0.2} (MPa)									
Cooling Rate (°C/s)	Q920	Q920-T420	Q920-T620	Q890	Q890-T420	Q890-T620			
370	1085	956	628	1383	959	771			
195	1002	907	647	1229	976	774			
93	867	816	640	1130	919	749			
74	728	761	574	957	814	693			
60	767	690	625	811	769	648			
46	729	646	576	751	704	617			
37	666	617	492	669	674	624			
30	643	601	459	670	664	598			
26	604	577	488	619	663	579			
20	629	588	441	543	639	557			

For the specimens quenched from 890 °C and their different tempering conditions (Figure 6a,b), differences in the mechanical properties, both Rm and $R_{P0.2}$, can be observed for each cooling rate. This difference becomes greater as the cooling rate increases and decreases to almost equal the mechanical properties at slow cooling rates. The specimens quenched from 920 °C and their corresponding tempering conditions (Figure 6c,d) show a similar behavior to the specimens quenched from 890 °C. The mechanical properties of the samples subjected to the different cooling rates from 920 °C and those quenched and tempered at 420 °C are similar, and only small differences can be observed at high cooling



rates. Also, the results compared between the different quenching temperatures for the same tempering conditions are shown in Figure 7.

Figure 6. SPT estimated strength vs. the cooling rate grouped by the austenitizing temperature. (a) R_m for quenching at 890 °C and all tempering conditions. (b) $R_{P0.2}$ for quenching at 890 °C and all tempering conditions. (c) R_m for quenching at 920 °C and all tempering conditions. (d) $R_{P0.2}$ for quenching at 920 °C and all tempering conditions.

Figure 7a,c,e show that there is only a small difference in Rm between the different quenching temperatures studied, provided that tempering is subsequently applied at 420 °C or 620 °C. On the other hand, for $R_{P0.2}$ (Figure 7b,d,f), greater differences can be observed between the quenching temperatures applied, which remain constant for the different cooling rates. From Figures 1 and 7, it can be observed that in both cases, i.e., the SPT and the hardness test, the quenching at 890 °C yields higher mechanical properties. In summary, the SPT technique shows its capability for studying the mechanical properties of steels with different heat treatment conditions by employing only a very small amount of material.

For each heat treatment condition, the microstructure of SPT specimens was studied at two different cooling rates, which are representative of the boundaries of study, see Figures 8 and 9 (magnification bar dimension corresponds to the length of the white rectangle). The selected cooling temperatures correspond to the quenched end face of the Jominy (370 °C/s), where the greatest difference in mechanical properties for each heat treatment temperature is found, and to specimens far from the quench zone (37 °C/s), an area where mechanical properties between heat treatments temperatures are more similar.



Figure 7. Quenching temperature comparison. (a) R_m for Q920 vs. Q890. (b) R_{P0.2} for Q920 vs. Q890. (c) R_m for Q920—T420 vs. Q890—T420. (d) R_{P0.2} for Q920—T420 vs. Q890—T420. (e) R_m for Q920—T620 vs. Q890—T620. (f) R_{P0.2} for Q920—T620 vs. Q890—T620.

Figure 8 shows the microstructures of the quenching at 920 °C and their respective temperings at 420 °C and 620 °C for two different cooling rates, 37 °C/s on the right side and 370 °C/s on the left side.

The quenched and tempered microstructures for the specimens with high cooling rate (370 °C/s) show sharper differences in the evolution of the microstructure from the asquenched condition to the tempered conditions, when compared to the specimens with low cooling rate (37 °C/s). The quenched sample for high cooling rate (Figure 8a) is composed entirely of martensite and traces of bainite. When the sample is tempered at 420 °C (Figure 8b), tempered martensite and tempered bainite are observed in the microstructure. On the other hand, when tempered at 620 °C (Figure 8c), the grains recrystallize into ferrite.



Figure 8. Microstructures of SPT samples quenched at 920 °C. (a) Q920—370 °C/s. (b) Q920— T420—370 °C/s. (c) Q920—T620—370 °C/s. (d) Q920—37 °C/s. (e) Q920—T420—37 °C/s. (f) Q920—T620—37 °C/s.

For the slower cooling rate (37 $^{\circ}$ C/s), the quenched sample (Figure 8d) shows mostly bainite. The samples tempered at 420 $^{\circ}$ C and 620 $^{\circ}$ C (Figure 8e,f) show a similar structure, composed of tempered martensite and bainite.



Figure 9. Microstructures of SPT samples quenched at 890 °C. (**a**) Q890—370 °C/s. (**b**) Q890— T420—370 °C/s. (**c**) Q890—T620—370 °C/s. (**d**) Q890—37 °C/s. (**e**) Q890—T420—37 °C/s. (**f**) Q890—T620—37 °C/s.

Figure 9 shows the microstructures of the quenching from 890 °C and the respective temperings at 420 °C and 620 °C for the two selected cooling rates, 37 °C/s on the right side and 370 °C/s on the left side. The observed behavior shows the same pattern as the one in Figure 8, but in this case the recrystallization is less appreciable.

4. Discussion

The SPT results show that this miniaturized technique can be used to estimate and distinguish the mechanical properties of X65Q steel under different heat treatment conditions. Also, the mechanical properties were compared with the microstructures, and good correlation was obtained. The specimens with high cooling rates ($370 \,^{\circ}C/s$) show a clear evolution in the microstructure, where martensite was obtained for the specimens with higher mechanical properties. With the tempering at 420 $\,^{\circ}C$, the mechanical properties decrease, and this can also be observed with the appearance of tempered martensite and tempered bainite. When tempering at 620 $\,^{\circ}C$, the mechanical properties are the lowest, and the microstructure shows ferrite, which has low hardness.

For the specimens with lower cooling rates of $37 \,^{\circ}$ C/s, the mechanical properties of the different heat treatment temperatures show similar behavior, and there are no major differences in the microstructures either.

The main advantage of the small sample size is the low volume of material needed to carry out studies where a wide variety of conditions are analyzed. A study like the one presented here, using traditional methods such as tensile tests, would require larger volumes of material, tests, and time. To achieve these results, 60 tensile specimens would be required, which, with a pre-machining dimension of $Ø17 \times 20$ mm, would result in a total of 12.7 kg of steel. While using the methodology presented in this paper, the materials used were two Jominy specimens, with a total of 800 g of steel. This methodology, in addition to reducing the volume of material used by 94%, simplifies the heat treatments to be carried out. Each tensile specimen would need an independent heat treatment, and obtaining the cooling rates obtained with the Jominy specimen is not easy. For this, different media are needed, such as water, oil, or air at different temperatures. With the presented methodology, the number of HTs is also reduced, from 20 quenchings and two temperings to two quenchings and two temperings.

These results also provide relevant information about the mechanical properties of thick-walled tubes, showing how the properties of the tube can change as a function of thickness due to cooling rate gradients. With this methodology, the heat treatment conditions of tubes, flanges, and components of high thickness can be optimized according to the required mechanical properties for each application.

An example of a practical application where this methodology can be applied is in the manufacturing process of very thick pipes. During the manufacturing process, the tubes are heat-treated in furnaces, where they are heated to high temperatures and then introduced into water to quench them to improve their mechanical properties. The problem of these heat treatments is controlling the cooling of the tube inward from the outer surface since the outer surface of the tube is permanently surrounded by water and quenches faster than the inner surface. When water is introduced inside the tube, steam is generated and is not readily evacuated from the tube cavity, leading to a steam cushion in some areas that impedes contact of the inner surface with the quenching water. All these factors generate inhomogeneous mechanical properties in the tube.

Using the method of the present study combined with heat treatment simulations, the manufacturing process can be optimized to generate tubes with more homogeneous mechanical properties. By means of finite elements, is possible to calculate the temperature of any point in the tube's thickness after leaving the furnace. Knowing these temperatures and armed with graphs such as those shown in Figure 7, it is possible to predict the cooling rates necessary for each zone of the tube, thus allowing one to perform the quenching in optimal conditions to homogenize the tube.

5. Conclusions

With the obtained results, it can be concluded that:

• A very good correlation was obtained for the tensile strength in the SPT versus tensile data obtained from the conversion of the HV test ($R^2 = 0.99$).

- Estimation of mechanical properties with the SPT technique allows one to distinguish between changes in different steel heat treatment conditions.
- This methodology allows one to obtain a lot of information about the material with very little quantity of material being used in the test.
- Optimization of heat treatment processes can be carried out with the results obtained from this methodology.
- This methodology achieves a 94% reduction in the volume of material used compared to traditional methods, such as tensile test.
- The number of HTs employed is also reduced and simplified compared to the tensile test at a ratio of over 10 to 1.

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