



Article The Effect of Deformation Temperature on the Yield Stress of Ultrafine-Grained Al-Cu-Zr Alloy Containing Grain Boundary Nanoprecipitates

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Abstract: A theoretical model is suggested that describes the effect of deformation temperature on the yield stress of an ultrafine-grained (UFG) Al-Cu-Zr alloy structured with severe plastic deformation. Within the model, nanoprecipitates (NPs) of Al₂Cu act as sources of lattice dislocations in the presence of a number of extrinsic grain-boundary dislocations (EGBDs) near the NPs. It is shown that the number of EGBDs near the NPs decreases with a drop in the deformation temperature that increases the yield stress of the Al-Cu-Zr alloy. The proposed model is in good quantitative agreement with available experimental results.

Keywords: ultrafine-grained aluminum alloys; yield stress; low deformation temperature; grain boundaries; dislocations; nanoprecipitates; theoretical modeling

1. Introduction

Aluminum and its alloys demonstrate high electrical conductivity, corrosion resistance, and low density and as a result are widely used as structural and conductive materials in many practical applications. The main disadvantage of coarse-grained aluminum alloys is their relatively low strength. In recent years, various methods of severe plastic deformation have been developed to increase the strength of aluminum alloys through the formation of ultrafine-grained (UFG) structures in them [1–5]. However, an increase in strength due to the UFG structure formation is commonly followed by a considerable decrease in ductility of UFG metals [6,7]. Nowadays, various methods for increasing the ductility of UFG aluminum alloys are suggested. These methods may include the formation of bimodal [7–9], defect-free [10], and gradient [11,12] structures, etc. However, these approaches are technically difficult and have a number of restrictions in use.

Recently, a new method of deformation-heat treatment of some UFG aluminum alloys structured with high-pressure torsion (HPT) deformation has been invented. Within this approach, the desired combination of high strength and ductility in Al-Cu-Zr alloy is achieved due to short-term low-temperature annealing and subsequent small additional HPT deformation [13,14]. According to experimental data [13], the UFG Al-Cu-Zr alloy structured with HPT demonstrates considerably high strength and dramatically low ductility after low-temperature annealing, and a high level of ductility while maintaining a high level of strength after subsequent small additional HPT deformation [13].

These characteristic features were associated with the role of Al₂Cu nanoprecipitates (NPs) which form at some grain boundaries (GBs) in the process of the alloy structuring [13]. Moreover, the authors [13] showed that the low-temperature annealing leads to the transformation of the initially smooth Al₂Cu NPs of 20–40 nm in size into faceted polyhedral Al₂Cu NPs with average size of ~60 nm and a decrease in the dislocation density related to GBs. The increase in ductility after annealing and subsequent small additional HPT deformation was supposed to be associated with the introduction of new dislocations into



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). the relaxed structure of high-angle GBs. The theoretical model [14] shows that the enlarged faceted NPs can act as sources of lattice dislocations in the presence of a large number of extrinsic grain-boundary dislocations (EGBDs) near these NPs. The capability of NPs to emit lattice dislocations was also proved using computer simulations [15,16]. According to the model [14], the level of the yield stress of the UFG Al-Cu-Zr alloy is defined as the minimal stress required for the emission of lattice dislocations from the Al₂Cu NPs. In this case, the number of EGBDs near a NP influences the yield stress level as well as the number of emitted lattice dislocations.

The study of the influence of deformation temperature on the effect of deformationinduced ductility after low-temperature annealing is very important from the point of view of the fundamental physics of the mechanical behavior of UFG metals and alloys. Decreasing the temperature of mechanical test can significantly suppress the thermally activated component in the micromechanisms of plastic deformation and, as a result, can modify the macroscopic mechanical behavior of the UFG alloy [17]. Moreover, such studies are also important from the practical point of view, since aluminum alloys are considered as promising materials for applications at decreased and cryogenic temperatures [18–20].

The authors of the experimental work [21] studied the effect of the deformation temperature in the range of 77–293 K on mechanical properties of the HPT-processed UFG Al-Cu-Zr alloy in three different states: the as-HPT-processed state, the state after annealing, and the state after annealing and additional HPT deformation. They showed that the yield stress of the UFG Al-Cu-Zr alloy increases with a decrease in the deformation temperature; however, in the temperature range of 223–293 K, the temperature sensitivity of the yield stress differs in these three states, which was assumed to be related with the differences in the GB structure (the dislocation density, the size, and the shape of Al₂Cu nanoprecipitates). At lower temperatures (77–223 K), the temperature sensitivity of the yield stress did not depend on the state of GBs.

Earlier, the effect of decreased deformation temperature T_{def} on the mechanical behavior of technically pure UFG Al was studied experimentally and discussed theoretically in Ref. [17]. The experimental results were discussed with the assumption of thermally activated glide of EGBDs forming pile-ups at triple junctions of GBs that emit lattice dislocations into grains. The authors [17] suggested that, when T_{def} decreases, the mobility of EGBDs exponentially drops and they do not have enough time *t* to form the EGBD pile-ups responsible for emission of the lattice dislocations. Therefore, the lattice dislocations have to be emitted from various random individual imperfections of GBs that need higher levels of applied stress than that for lattice dislocation emission from EGBD pile-ups, and hence the overall yield stress must increase.

With theoretical results [14,17] and experimental data [21] on hand, one can assume that, when the deformation temperature drops, the number of EGBDs near NPs decreases too, thus providing an increase in the yield stress and a decrease in ductility of the UFG Al-Cu-Zr alloy.

At the moment, the studies of the effect of the deformation temperature on the mechanical properties of materials are represented by experimental work [17,21,22] and computer modeling [23–25], with the practical absence of analytical theoretical models (with the exception of short theoretical discussion in Ref. [17]). For example, the authors of the work [25] suggested a theoretical model that combined analytical and computer analysis of the effect of the deformation temperature on the mechanical properties of materials. This model [25] was built upon the 3IVM+ model [26], incorporated a solute strengthening model developed by Leyson et al. [27], and introduced a new particle strengthening model which captured the Orowan looping at low temperatures and the dislocation climb at high temperatures. Although the model [25] was based on an analytical solute strengthening model [27], computer simulation data was used as an input for calculations.

The main aim of this work is to develop an analytical theoretical model that would describe the dependence of the yield stress of the HPT-processed UFG Al-Cu-Zr alloy after annealing and subsequent additional HPT deformation on the deformation temperature.

To the best of our knowledge, such a model is proposed for the first time in this field. It has no analogues, which determines its importance for the development of the theory of the deformation behavior of aluminum alloys.

2. Model

Consider a single NP in a GB that is modeled by a long dilatational inclusion with cross section of rectangular shape ABCD, the dimensions of which are given by the diagonal h and the angle α (Figure 1a). It is well known [28–32] that the larger faces of Al₂Cu NPs in aluminum-based alloys lie along {111} planes of the aluminum matrix. This can be explained by the growth kinetics of the Al₂Cu intermetallic compound, whose {110} faces grow faster than the others [28], and by a relatively low lattice misfit f at such boundary [32]. It is assumed that the boundaries of the NP are initially in a coherent state, that is, they do not contain misfit dislocations.

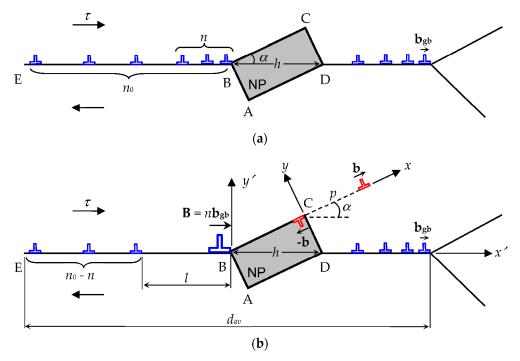


Figure 1. Model of stress-stimulated transformation of a defect system in a non-equilibrium GB with a single NP ABCD and pile-ups of EGBDs at the NP and at the triple junction with adjacent GBs. (a) The initial state of the defect structure. To the right of the NP, there is a pile-up of some EGBDs pressed to the triple junction with adjacent GBs. To the left of the NP, there are n_0 EGBDs, n of which have had enough time to form a pile-up pressed to the NP, while the others have had not the time to join the pile-up. (b) The emission of a lattice dislocation from the NP. The emission event is represented as the nucleation of a dipole of edge lattice dislocations at apex C of the NP. One dislocation with the Burgers vector b glides to the bulk of the grain, while the other one with the Burgers vector –b glides along the NP/grain interface and plays the role of a misfit dislocation. The pile-up of n EGBDs pressed to the NP is represented as an edge superdislocation with the Burgers vector B = nb_{gb} to simplify calculations.

The elastic fields of a dilatational inclusion in the form of a long parallelepiped are determined by its shape and its own uniform three-dimensional dilatation ε^* (see, for example, the review [33]). The value of dilatation ε^* is given by the misfit f between the lattice parameters of the NP and the surrounding alloy, the difference in their thermal expansion coefficients, and the difference in the annealing and mechanical test temperatures.

The difference in the elastic moduli of the NP and the surrounding alloy is neglected here, with the assumption of the alloy to be an elastically isotropic homogeneous solid. In

this case, the influence of the applied shear stress τ concentration at the NP edge can be neglected too, which allows us to remain in the framework of the analytical model.

According to the model [14], the Al₂Cu NPs act as obstacles for the slip of the EGBDs along the GBs in the UFG Al-Cu-Zr alloy. Thus, the appearance of the NPs in the GBs leads to the formation of a GB defect structure which is characterized by pile-ups of the EGBDs pressed by an external shear stress τ to the NPs and to the triple junctions of adjacent GBs (Figure 1a). However, at low temperatures, the dislocation slip within GBs is slow, and not all EGBDs have enough time to approach the NP during the mechanical test. As a result, only a part of the EGBDs forms a pile-up at the NP, while the rest of the EGBDs remain distributed along the GB far from the NP (Figure 1b). In the model, the dislocation pile-up at the NP is modeled by an edge superdislocation with Burgers vector B (hereinafter, we call it *B*-superdislocation). The magnitude of the superdislocation Burgers vector is $B = nb_{gb}$, where *n* is the number of the EGBDs in the dislocation pile-up at the NP (Figure 1b) and b_{gb} is the magnitude of the Burgers vector of an EGBD.

Within the model, the combined action of the external shear stress τ , the shear stress field created by the NP, and the shear stress field created by the *B*-superdislocation at the NP leads to the emission of a lattice dislocation with Burgers vector b (*b*-LD) from apex C of the NP into the adjacent grain. The emission event can be modeled as the formation of the corresponding $\pm b$ -LD dipole (Figure 1b).

Within the model, let us calculate the energy characteristics of such an emission of a *b*-LD from the edge of the NP (Figure 1b). To calculate the energy characteristics, a semi-analytical energetic approach that is based on calculation of the total energy difference, which characterizes the defect structure after and before the lattice dislocation emission, is calculated. The lattice dislocation emission is considered to be energetically favorable if the total energy of the defect system decreases and energetically unfavorable if this emission leads to an increase in the total energy of the defect system. To simplify the calculations, the influence of the stress fields of the pile-up of the EGBDs near the GB triple junction and the EGBDs that locate far from the NP on the lattice dislocation emission is neglected. This approach seems reasonable enough because the main part of the EGBDs under consideration is located at a considerable distance from the point of the lattice dislocation emission, while the critical conditions for this emission are determined just at a small (on the order of 1 nm) displacement of the emitted lattice dislocations from point C.

The dislocation emission process is specified by the energy difference, $\Delta W = W_2 - W_1$, where W_1 and W_2 are the energies of the system in the initial state, before the *b*-LD emission (Figure 1a), and in its current state, after the lattice *b*-dislocation emission (Figure 1b), respectively. Such a transformation of the defect system is energetically favorable if $\Delta W < 0$.

$$\Delta W = E_b + E_{B-b} + E_{NP-b} + E_{\tau},\tag{1}$$

where E_b is the self-energy of the $\pm b$ -LD dipole, E_{B-b} is the interaction energy between the *B*-superdislocation and the $\pm b$ -LD dipole, E_{NP-b} is the interaction energy between NP ABCD and the $\pm b$ -LD dipole, and E_{τ} denotes the interaction energy of the applied shear stress τ with the $\pm b$ -LD dipole.

Let us calculate the self-energy E_b of the $\pm b$ -LD dipole in the coordinate system (x, y) associated with it (Figure 1b). According to the Mura method [34], the energy E_b can be expressed through the dislocation dipole stress tensor σ_{xy}^b component as follows:

$$E_{b} = \frac{b}{2} \int_{r_{c}}^{p-r_{c}} \sigma_{xy}^{b}(x, y = 0) dx,$$
(2)

where $r_c \approx b$ is the cut-off radius of the stress fields of the $\pm b$ -LDs on their lines.

The expression for the σ_{xy}^b component of the $\pm b$ -LD dipole in the coordinate system (x, y) (Figure 1b) is given by the well-known formula [35]:

$$\sigma_{xy}^{b} = Db\left(\frac{(x-p)[(x-p)^{2}-y^{2}]}{\left[(x-p)^{2}+y^{2}\right]^{2}} - \frac{x(x^{2}-y^{2})}{\left(x^{2}+y^{2}\right)^{2}}\right),$$
(3)

With Formula (3) substituted to Formula (2), we have the following:

$$E_b = Db^2 \left(\ln \frac{p - r_c}{r_c} + 1 \right),\tag{4}$$

where $D = G/[2\pi(1-\nu)]$, *G* is the shear modulus, ν is the Poisson ratio, *p* is the path moved by the emitted *b*-LD (Figure 1b), and the term "1" in the brackets accounts for the core energies of the *b*-LD and –*b*-LD consisting of the lattice dislocation dipole.

For analyzing the interaction energies between different defects, a standard method of calculating the work spent to generate one defect in the stress field of another defect (or a group of defects) was used. In doing so, the interaction energy E_{B-b} between the *B*-superdislocation and the $\pm b$ -LD dipole can be introduced as a work for the generation of the dipole of the $\pm b$ -LDs in the stress field of the *B*-superdislocation. It is given by the formula [34]:

$$E_{B-b} = -b \int_{0}^{p} \tau_{b}^{B}(x, y = 0) dx,$$
(5)

where τ_b^B is the shear stress field that is created by the *B*-superdislocation and acts in the plane y = 0 (the gliding plane for the *b*-LD) of the coordinate system (x, y). Following [35], in the coordinate system (x', y') presented in Figure 1b, the components of the *B*-superdislocation stress tensor that contribute to the shear stress τ_b^B can be written as follows:

$$\sigma_{x'x'}^{B} = -DBy' \frac{3x'^{2} + y'^{2}}{(x'^{2} + y'^{2})^{2}},$$

$$\sigma_{y'y'}^{B} = DBy' \frac{x'^{2} - y'^{2}}{(x'^{2} + y'^{2})^{2}},$$

$$\sigma_{x'y'}^{B} = DBx' \frac{x'^{2} - y'^{2}}{(x'^{2} + y'^{2})^{2}}.$$
(6)

These components of the *B*-superdislocation stress tensor cause the shear stress τ_b^B that acts in the gliding plane of the *b*-LD along the *x*-axis of the (x, y) coordinate system. The shear stress τ_b^B is expressed through the stress field components σ_{ij}^B as follows:

$$\tau_b^B(x',y') = \sigma_{x'x'}^B \alpha_1 \alpha_2 + \sigma_{y'y'}^B \beta_1 \beta_2 + \sigma_{x'y'}^B (\alpha_1 \beta_2 + \alpha_2 \beta_1), \tag{7}$$

where α_1 , α_2 , β_1 , and β_2 are given by $\alpha_1 = \cos(x, x') = \cos\alpha$, $\beta_1 = \cos(x, y') = -\sin\alpha$, $\alpha_2 = \cos(y, x') = \sin\alpha$, and $\beta_2 = \cos(y, y') = \cos\alpha$.

As integration in Formula (5) is made along the *x*-axis of the coordinate system (x, y), one needs to rewrite the shear stress τ_b^B in this coordinate system with the help of the following relationships:

$$x' = x_0 + x \cos \alpha - y \sin \alpha$$

$$y' = y_0 + x \sin \alpha + y \cos \alpha '$$
(8)

where $x_0 = h \cos^2 \alpha$ and $y_0 = h \cos \alpha \sin \alpha$ are as follows from the geometry in Figure 1b.

With the help of Formulas (7) and (8), in the new coordinate system (x, y), the shear stress $\tau_h^B(x, y = 0)$ acting along the plane y = 0 is given by the following formula:

$$\tau_b^B(x) = DB \frac{(x_0 + x\cos\alpha)[\cos\alpha(x_0 - y_0)(x_0 + y_0) + x(x + 2y_0\sin\alpha) + 2x_0\cos\alpha(x + 2y_0\sin\alpha)]}{x^2 + x_0^2 + y_0^2 + 2xx_0\cos\alpha + 2xy_0\sin\alpha}.$$
(9)

With Formula (9) substituted into Formula (5), after integration, we obtain the expression for the interaction energy E_{B-b} :

$$E_{B-b} = -\frac{DBb}{2} \left(\cos \alpha \ln \frac{p^2 + x_0^2 + y_0^2 + 2px_0 \cos \alpha + 2py_0 \sin \alpha}{x_0^2 + y_0^2} - \frac{2y_0(y_0 \cos \alpha - x_0 \sin \alpha)}{x_0^2 + y_0^2} + \frac{2(y_0 + p \sin \alpha)(y_0 \cos \alpha - x_0 \sin \alpha)}{p^2 + x_0^2 + y_0^2 + 2px_0 \cos \alpha + 2py_0 \sin \alpha} \right).$$
(10)

The energy E_{NP-b} that characterizes the elastic interaction between the NP and the $\pm b$ -LD dipole can be written in an integral form as follows [34]:

$$E_{NP-b} = b \int_{0}^{p} \sigma_{xy}^{NP}(x, y = 0) dx,$$
 (11)

where σ_{xy}^{NP} is the shear component of the NP stress tensor, which acts on the *b*-LD during their motion along its slip plane y = 0 (Figure 1b). This shear stress σ_{xy}^{NP} in the coordinate system (x, y) reads as follows [33]:

$$\sigma_{xy}^{NP} = D\varepsilon^* (1+v) \ln \frac{[(x+q)^2 + y^2][x^2 + (y+c)^2]}{(x^2 + y^2)[(x+q)^2 + (y+c)^2]},$$
(12)

where $q = h \cos \alpha$ and $c = h \sin \alpha$.

With Formula (12) substituted to Formula (11), after integration and some algebra, the interaction energy E_{NP-b} can be written as follows:

$$E_{NP-b} = Db\varepsilon^* (1+\nu) \left((p-q) \ln\left(1 + \frac{c^2}{(p-q)^2}\right) + q \ln\left(1 + \frac{c^2}{q^2}\right) + p \ln\left(1 + \frac{p^2}{c^2 + p^2}\right) + 2c \left(\arctan\frac{q}{c} - \arctan\frac{q-p}{c} - \arctan\frac{p}{c}\right) \right).$$
(13)

The energy E_{τ} that specifies the elastic interaction of the external shear stress τ with the dipole of the $\pm b$ -LDs is given by the following standard formula:

$$E_{\tau} = -b\tau p \cos 2\alpha, \tag{14}$$

With Formulas (1)–(14), one can calculate the energy difference ΔW and find a critical stress τ_c that is the minimum stress required for the emission of a lattice dislocation from the NP. This stress is calculated from the conditions $\Delta W = 0$ at p = 1 nm and $\partial \Delta W / \partial p < 0$ at p > 1 nm that guarantee the barrier-less generation of the lattice dislocation. In the framework of the model, it is suggested that the maximum external shear stress τ acts along the considered GB with the NP (Figure 1). Taking this assumption into account, the critical level τ_c of the shear stress τ can be related to the yield stress σ_y as follows: $\sigma_y = 2\tau_c$.

3. Results

Based on the aforementioned theoretical model, we calculated a dependence of the yield stress σ_y on the number n of the EGBDs in the dislocation pile-up at the NP. The calculations were carried out for the following typical values of the system parameters [35,36]: G = 27 GPa, $\nu = 0.33$, a = 0.405 nm, $b = a\sqrt{2}/2$, and $b_{gb} = 0.1$ nm. The emission angle α was chosen as the average angle $\alpha = 22^{\circ}$ between 0° and 45° that correspond to the maximum and minimum levels of the external shear stress τ , respectively. The dilatation eigenstrain ε^* was taken as a mean lattice misfit of aluminium matrix and Al₂Cu NP in two orthogonal orientations at interface $(110)_{Al2Cu} \mid \mid (111)_{Al} \mid 21 \mid : \varepsilon^* = f \approx (0.0123 + 0.0171)/2 = 0.0147$. The thermal expansion coefficient difference was neglected due to its relative smallness. Indeed, the corresponding contribution to the dilatation eigenstrain ε^* is about of $\Delta \alpha \Delta T = (\alpha_{Al} - \alpha_{Al_2Cu})(T_{AN} - T_{room}) \approx (27 - 20) \cdot 10^{-6} (398 - 100)$

300) $\approx 6.86 \cdot 10^{-4}$, where α_{Al} and α_{Al_2Cu} are the thermal expansion coefficients of Al and Al₂Cu, T_{AN} is the annealing temperature, and T_{room} is room temperature.

The results of calculations of the dependence $\sigma_y(n)$ are presented in Figure 2 for different values of the NP size: h = 60 nm, 70 nm, and 80 nm. As follows from Figure 2, the yield stress σ_y decreases with increasing number n of the EGBDs in the dislocation pile-up at the NP and with an increase in the NP size h.

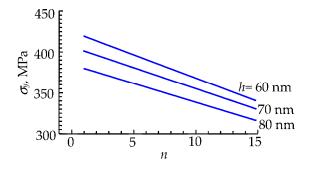


Figure 2. Dependence of the yield stress σ_y on the number *n* of the EGBDs at the NPs for different values of the NP size, *h* = 60 nm, 70 nm, and 80 nm, at the following typical values of the system parameters: *G* = 27 GPa, $\nu = 0.33$, *a* = 0.405 nm, *b* = $a\sqrt{2/2}$, $b_{cb} = 0.1$ nm, *f* = 0.0147, and $\alpha = 22^{\circ}$.

To obtain the temperature dependences $\sigma_y(T_{def})$, we calculated the dependences of the number *n* of the EGBDs in the dislocation pile-up at the NP (which is modeled by a *B*superdislocation, see Figure 1) on the deformation temperature T_{def} for the HPT-processed UFG Al-Cu-Zr alloy after annealing (in the HPT-AN state) and for the HPT-processed UFG Al-Cu-Zr alloy after annealing and additional HPT deformation (in the HPT-AN-0.25HPT state). The calculations were carried out in the range of the deformation temperatures from 250 K to 293 K, since at lower temperatures (<250 K), the experimental curves change their slope [21], which indicates a change in the deformation mechanism controlling the thermally activated component of the yield stress in the UFG Al-Cu-Zr alloy.

The initial number n_0 of the EGBDs on the left of the NP at room deformation temperature ($T_{def} = 293$ K) was assumed as $n'_0 = 10$ for the HPT-AN state and $n''_0 = 15$ for the HPT-AN-0.25HPT state. Within the model, these EGBDs are distributed along the segment EB of the GB, which is assumed to be equal to half of the average GB length, $d_{av}/2$ (Figure 1). The initial number $n''_0 = 15$ of the EGBDs for the HPT-AN-0.25HPT state approximately corresponds to the case of a single NP in a GB, described in theoretical work [14]. The numbers 10 and 15 were selected due to the following reasons. Based on the total dislocation density $L_{dis} = 3 \times 10^{13} \text{ m}^{-2}$ and the average grain size $D_{av} = 315 \text{ nm}$, experimentally measured in the HPT-AN-0.25HPT state of the alloy [13,21], one can estimate a number of dislocations per one grain: $n \approx L_{dis} \times (D_{av})^2 \approx 30 \ \mu m^{-2} \times 0.099 \ \mu m^2 \approx 3$. Since the experiments have shown almost no lattice dislocations in the bulk of the grains [13,21], one can believe that they had been accepted by GBs in the process of HPT treatment and split in them into EGBDs with Burgers vectors of magnitude $b_{gb} \approx b/3 \approx 0.1$ nm, where b is the Burgers vector magnitude of a perfect lattice dislocation. Therefore, one can estimate on average $n_{gb} \approx 3 \times 3 = 9$ EGBDs per GB. However, in reality, some GBs can contain a larger number of EGBDs while others have a small number of them, and those with the larger number of EGBDs should always play the most active role as sources for lattice dislocations emitted into the bulk of surrounding grains. Thus, we have assumed that the GBs mostly enriched with EGBDs can contain $n_{gb,max} \approx 3 \times 9 = 27 \approx 30$ EGBDs. Since, in our model, the nanoprecipitate subdivides a GB into approximately equal parts, we finally took $n''_0 = 15$.

In a similar way, with $L_{dis} = 1.7 \times 10^{13} \text{ m}^{-2}$ and $D_{av} = 360 \text{ nm}$, experimentally measured in the HPT-AN state of the alloy [13,21], one can estimate $n \approx L_{dis} \times (D_{av})^2 \approx 17 \text{ }\mu\text{m}^{-2} \times 0.13 \text{ }\mu\text{m}^2 \approx 2$. Due to the same reasons as in the previous case, on average,

 $n_{gb} \approx 2 \times 3 = 6$ EGBDs per GB. Therefore, the GBs most enriched with EGBDs can contain $n_{gb,max} \approx 3 \times 6 = 18 \approx 20$ EGBDs, and the one half gives $n_0' = 10$ in this case.

According to the model, the number *n* of the EGBDs in the dislocation pile-up at the NP decreases with a decrease in the deformation temperature (below 293 K) because as the deformation temperature drops, the dislocation activity decreases and fewer EGBDs are concentrated in the dislocation pile-up at the NP. At the same time, the total number of the EGBDs (for our case, $n'_0 = 10$ in the HPT-AN state and $n''_0 = 15$ in the HPT-AN-0.25HPT state) in the GB segment EB remains constant. Thus, each number n' and n'' of the EGBDs for both the states of the HPT-processed UFG Al-Cu-Zr can be associated with a certain deformation temperature.

To construct the dependences $n'(T_{def})$ and $n''(T_{def})$, let us estimate a characteristic distance l (see Figure 1) that determines the part of the GB segment EB along which are located the EGBDs that are able to effectively participate at a given deformation temperature in the formation of the pile-up at the NP. The distance l is given by the following:

$$l = t_{def} V, \tag{15}$$

where t_{def} is the deformation time required to reach the experimental value of the yield stress and *V* is the velocity of thermally activated dislocation glide.

Following the approach in [37], the velocity of thermally activated dislocation glide can be expressed by the standard formula:

$$V = A(T_{def})\tau \exp(-E/kT_{def}),$$
(16)

where $A(T_{def}) = 2b_{gb}^3 s_v^2 v_d / s_s k T_{def}$ is the temperature-dependent factor [37], *E* is the energy of kink formation and migration along the EGBD line, $s_v \approx 5b_{gb}$ is the distance between adjacent Peierls valleys within the GB [37], $s_s \approx 10b_{gb}$ is the distance between the stable positions of a kink along the EGBD line [37], $v_d = 10^{12}s^{-1}$ is the Debye frequency, and $k = 1.38 \cdot 10^{-23}$ J/K is the Boltzmann constant. According to the experimental work [21], the deformation time t_{def} is equal to 4 s. Following the work [17], the energy *E* of kink formation and migration along the EGBD line can be estimated as E = 0.5 eV.

Then, the numbers n' and n'' of the EGBDs for both the states of the HPT-processed UFG Al-Cu-Zr are given by the following expressions:

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$$n' = n'_0 \frac{2l}{d_{av}},$$
(17)

$$u'' = n''_0 \frac{2l}{d_{av}},$$
(18)

where $d_{av} \approx 300$ nm [21].

Using Formulas (15)–(18), we calculated the dependences $n'(T_{def})$ and $n''(T_{def})$ at various values of the external shear stress τ . These dependences allow us to determine the numbers n' and n'' of the EGBDs in the pile-up at the NP for both the states of the HPT-processed UFG Al-Cu-Zr for certain deformation temperatures. The curves $n'(T_{def})$ and $n''(T_{def})$ are shown in Figure 3 for the HPT-AN state at different values of the external shear stress of $\tau = 150, 175, 200, 225, and 250$ MPa (Figure 3a), and for the HPT-AN-0.25HPT state at $\tau = 125, 150, 175, 200, and 225$ MPa (Figure 3b), respectively, of the HPT-processed UFG Al-Cu-Zr alloy. The ranges of the external shear stress τ were chosen to correspond to the ranges of the experimental values [21] of the yield stress $\sigma_{0.2}$ that is estimated as $\sigma_{0.2} = 2\tau$ in our model.

As is seen from Figure 3, the numbers n' and n'' of the EGBDs increase with the deformation temperature T_{def} in both the states of the HPT-processed UFG Al-Cu-Zr alloy. The dependences $n'(T_{def})$ and $n''(T_{def})$ that correspond to the different values of the external shear stress τ are close to each other. For convenience, they are approximated by the average red dashed lines (Figure 3). These red dashed lines correspond to the

average experimental values of the yield stress $\sigma_{0.2}$ in the deformation temperature range 250 K < T_{def} < 293 K. In the framework of the model, for each value of the deformation temperature, the numbers n' and n'' of the EGBDs in the pile-up at the NP are determined for the level of the external shear stress τ that corresponds to these average experimental values of the yield stress.

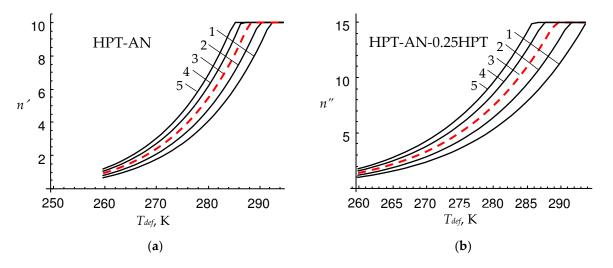


Figure 3. Dependences of the numbers n' and n'' of the EGBDs at the NPs on the deformation temperature T_{def} for the (**a**) HPT-AN and (**b**) HPT-AN-0.25HPT states of the HPT-processed UFG Al-Cu-Zr alloy at different values of the external shear stress: (**a**) $\tau = 150$ (curve 1), 175 (2), 200 (3), 225 (4), and 250 MPa (5), and (**b**) $\tau = 125$ (curve 1), 150 (2), 175 (3), 200 (4), and 225 MPa (5). Red dashed lines correspond to the average values of the external shear stress.

As follows from Figure 3, the dependences $n'(T_{def})$ and $n''(T_{def})$ reach saturation at certain deformation temperatures (close to the room temperature) as the number of the EGBDs in the GB segment EB is limited by $n'_0 = 10$ for the HPT-AN state (Figure 3a) and $n''_0 = 15$ for the HPT-AN-0.25HPT state (Figure 3b). Also, it follows from the dependences in Figure 3 that the numbers n' and n'' of the EGBDs, which can glide along the GB segment EB and form the pile-up of the EGBDs at the NP, turn to zero at the deformation temperatures $T_{def} < 250$ K. In this case, ($T_{def} < 250$ K), our model stops working because the deformation of the HPT-processed UFG Al-Cu-Zr alloy occurs due to the action of other mechanisms.

Further, with the help of the dependences $\sigma_y(n)$ (Figure 2), $n'(T_{def})$ (Figure 3a), and $n''(T_{def})$ (Figure 3b), we calculated the dependences $\sigma'_y(T_{def})$ and $\sigma''_y(T_{def})$ of the theoretical yield stress σ_y on the deformation temperature T_{def} for the HPT-AN and HPT-AN-0.25HPT states of the HPT-processed UFG Al-Cu-Zr alloy, respectively. These dependences were obtained by relating the deformation temperature T_{def} from Figure 3 to the yield stresses σ_y from Figure 2 through each value of the numbers n' and n'' of the EGBDs.

The dependences $\sigma'_y(T_{def})$ and $\sigma''_y(T_{def})$ are shown in Figure 4 for the HPT-AN (Figure 4a) and HPT-AN-0.25HPT (Figure 4b) states at various values of the NP size h = 60 nm (curve 1), 70 nm (curves 2), and 80 nm (curves 3) in comparison with experimental data [21] (dashed curves). As is seen from Figure 4, the yield stress decreases with the increases in both the deformation temperature T_{def} and NP size h. These theoretical results demonstrate that an increase in the yield stress with a drop in the deformation temperature is associated with a decrease in the number n of the EGBDs near the NPs. Thus, the deformation temperature has a significant effect on the yield stress of HPT-proceed Al-Cu-Zr alloy due to its influence on the dislocation activity in GBs.

It is also seen that our theoretical results (solid curves) demonstrate reasonable agreement with experimental curves of work [21] for both states of the HPT-proceed Al-Cu-Zr alloy.

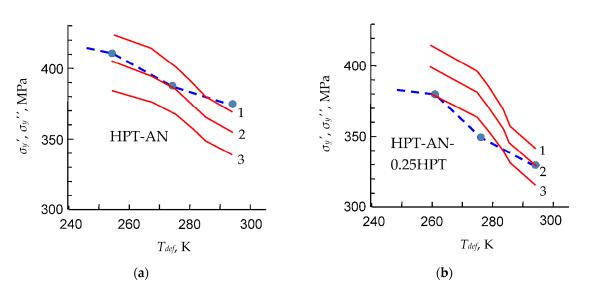


Figure 4. Dependences of the yield stresses σ'_y and σ''_y on the deformation temperature T_{def} for different values of the NP size h = 60 nm (curve 1), 70 nm (2), and 80 nm (3) for the (a) HPT-AN and (b) HPT-AN-0.25HPT states of the HPT-processed UFG Al-Cu-Zr alloy. For comparison, the theoretical (red solid curves) and experimental (blue dashed curves with circles taken from Ref. [21]) dependences $\sigma_y(T_{def})$ are shown.

4. Conclusions

Thus, a theoretical model that describes the effect of the deformation temperature on the yield stress in the high-pressure torsion (HPT)-processed Al-Cu-Zr alloy after annealing and after annealing and additional HPT deformation is developed. Within the model, the plastic deformation occurs due to the emission of lattice dislocations from the edges of faceted nanoprecipitates at grain boundaries in the presence of a number of extrinsic grain boundary dislocations in the pile-ups at the nanoprecipitates. It is assumed that the number of these dislocations in the pile-ups at the nanoprecipitates decreases with a decrease in the deformation temperature. As a result, a decrease in the dislocations number provides an increase in the contribution of the external shear stress τ to the critical shear stress τ_c , thus leading to an increase in the yield strength of the Al-Cu-Zr alloy. Thus, a decrease in the deformation temperature results in an increase in the yield stress of the HPT-processed Al-Cu-Zr in the case of both states of the deformation-heat treatment. These theoretical results well correspond to experimental data in Ref. [21].

We hope that our model will be applicable for other ultrafine-grained alloys processed with severe plastic deformation methods that have similar structural characteristics such as low density of lattice dislocations inside grains and non-equilibrium grain boundaries containing mobile extrinsic grain boundary dislocations and faceted nanoprecipitates able to be sources of lattice dislocations.

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