

Article **Analysis of Sequential Pretreatments to Enhance the Early-Stage Biorefinery Designs**

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Featured Application: In the last decade, pretreatment has been considered the core stage in the design of biorefineries. Different schemes could be proposed depending on the design objective, either pretreatments for the feedstock fractionation or pretreatments to obtain a platform product. Nevertheless, many have been described as single-step pretreatments specific to a substream, decreasing the integral valorization performance. This work demonstrates the possibility of sequential pretreatment schemes to maximize the fractionation of lignocellulosic biomass. Through different operational, technical, and economic assessment indicators, the best schemes that would help increase the overall process yields and, certainly, the economic viability are described. Therefore, a good pretreatment design will affect the upstream and downstream performance of the biorefineries.

Abstract: Pretreatment technologies are proposed to break the crosslinked biomass matrix and facilitate bioconversion processes or chemical agent attacks in reaction schemes. However, most of the pretreatments are studied in single-step schemes, limiting the integral valorization of the feedstock composition. Therefore, sequential pretreatments could maximize this valorization by isolating more biomass fractions or removing unwanted compounds. This work focuses on proposing and assessing different sequential pretreatments for the isolation of lignocellulosic fractions. After a pretreatment screening, ten technical and economic indicators were assessed through a heuristic analysis. Data from the literature were used to evaluate five operational indicators and as the specification of processing units in simulation schemes to also evaluate five techno-energetic and economic indicators. As a main result, it was concluded that the sequential pretreatments of dilute acid (DA) with wet air oxidation (WAO) could be the most optimal for cellulose isolation, steam explosion (SE) with DA for hemicellulose fractionation, and DA with kraft process for lignin solubilization. Additionally, the DA and WAO sequence may be the most efficient in biorefinery designs since it maximizes biomass fractionation, producing two hydrolyzed liquors, one rich in sugars and the other in soluble lignin, as well as a cellulose-rich solid.

Keywords: biomass fractionation; biorefinery; lignocellulosic feedstock; platform products; pretreatment; sustainability

1. Introduction

As the world shifts from relying on fossil fuels to natural resources and with a growing population, it becomes necessary to suggest strategies that encourage the effective utilization and conversion of biomass to fulfill global demands. Biorefineries are alternatives that could be applied for the economic and social development of regions where biodiversity coexists as a source of feedstocks with the demand for bioproducts constituted by energy, food, and chemicals. Some authors suggest that biorefineries promote biodiversity through a sociotechnical transition toward bioeconomy, improving energy security, and industrial development [\[1\]](#page-16-0). Indeed, the valorization of exotic and wild fruit residues from tropical

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forests for small communities has been studied through small-scale biorefineries [\[2\]](#page-16-1) or the implementation of biorefinery schemes involving multi-feedstock analysis using plantain and avocado residues [\[3\]](#page-16-2). Thus, second-generation biorefineries could be an industrialscale processing strategy since they use raw material residues, most of which are poorly managed. Recent biorefinery assessments involve a sustainability analysis through economic, environmental, and social pillars [\[4\]](#page-16-3). Therefore, designs are critical for the overall biorefinery performance, as the pretreatment stage can contribute at least 20% of the total investment costs [\[5\]](#page-16-4). Although reaction has been identified as the first stage of biorefinery design through the onion diagram [\[6\]](#page-16-5), pretreatments are key for upstream and downstream processes. Pretreatment will allow easy future access to primary platforms during the reaction stages, subsequently affecting conversion, selectivity, and reaction rates.

Lignocellulosic residues are considered the future of sustainable energy sources and for the manufacture of biomaterials, as they are economical and the most abundant world-wide [\[7,](#page-16-6)[8\]](#page-16-7). Moreover, lignocellulosic material is a renewable resource from agricultural waste, forestry residues, and dedicated energy crops, being an environmentally friendly alternative to non-biodegradable materials, such as plastics. Lignocellulosic biomass can be converted into biofuels or other chemicals, but highlight again the reduction of climate change impact. Lignocellulosics consist of cellulose, hemicellulose, and lignin through a three-dimensional configuration that is difficult to access and exerts recalcitrance for decomposition. Therefore, treatments are important prior to transformation. Pretreatments hydrolyze complex organic molecule bonds into simpler molecules, which are more easily further transformed in bioconversions. The efficacy of pretreatments has been discussed and described when they meet a series of sustainable indicators related to operational, economic, environmental, and social considerations [\[9\]](#page-16-8). Pretreatments should also involve structural analyses toward the cellulose cleanliness, as it is the main source of biofuels, described as specific surface area, crystallinity, degree of polymerization, hemicellulose, and lignin coating, and the influence of acetyl groups [\[10\]](#page-16-9). Consequently, the physical and chemical changes of the biomass following pretreatment will affect subsequent processes, and their variation will increase through process scale-up.

The constant increase of publications related to biomass pretreatment suggests a need to be realized for full scale-up in biorefineries. Schemes should be proposed depending on the industrial application of the platform product or the commercial bioproduct. Most pretreatment studies focus on single-step schemes, especially the valorization of a lignocellulosic fraction, as it minimizes technological complexity and total costs compared to full-scale valorization. However, biorefineries should be proposed based on maximum feedstock utilization to enhance economic viability while minimizing environmental impact by reducing waste streams [\[11\]](#page-16-10). Therefore, combinations or sequences could be an alternative to improve the pretreatment stage by finding more suitable designs for better biomass fractionation, which will decrease the loss of valuable fractions. For example, a second pretreatment stage is proposed to maximize lignin removal after a recycled aqueous ammonia expansion process since the post-hydrolysis solid will have almost 30% of the initial lignin [\[12\]](#page-16-11). It has been shown that the sequencing of liquid hot water (LHW) and dilute acid (DA) substantially increases hemicellulose and lignin removal compared to single pretreatment, or the DA and LHW sequence maximizes glucose recovery [\[13\]](#page-16-12). Other authors concluded that the organosolv and alkali sequence achieves a cellulose purity of 78%, as they were able to remove high hemicellulose, lignin, and silica content from rice straw [\[14\]](#page-16-13). Tang and co-authors demonstrated that presoaking with Fe^{2+} helps to considerably decrease the acid buffering of rice straw ash on LHW pretreatments [\[15\]](#page-16-14). Consequently, sequential pretreatments could not only improve feedstock fractionation but also involve overcoming operational barriers.

To date, there is no pretreatment systematization to maximize biomass fractionation, which involves a techno-economical description of process scale-up. This assessment is crucial to finding suitable production methods and overcoming possible technical and economic hurdles. Although pretreatments are selective to the type of process and feedstock,

this work gives an overview of the best pretreatment schemes to maximize lignocellulosic components upgrading. Different sequential pretreatments were analyzed through a heuristic analysis involving the assessment of operational and techno-economic indicators. It was proposed to evaluate indicators through compositions and removals reported in the literature, as well as simulation results for process scale-up. The objective was to analyze the removal or isolation of lignocellulosic fractions to improve biorefinery design proposals. It was concluded that to maximize the integral valorization of lignocellulosics, hemicellulose fractionation must first be followed by lignin solubilization, obtaining the three lignocellulosic fractions as platform products.

2. Materials and Methods 2. Materials and Methods

The pretreatment assessment was carried out based on the heuristic analysis methodology. After a pretreatment screening, different sequential schemes were proposed to odology. After a pretreatment screening, different sequential schemes were proposed to isolate lignocellulosic fractions individually. Data from the literature were used to estimate indicator scores and as input data in the simulation of pretreatment schemes. The heuristic analysis assessed ten technical and economic indicators, weighted according to weight factors, to determine the best pretreatment schemes for designing lignocellulosic biorefineries. Figure 1 summarizes the working methodology for the heuristic assessment.

Figure 1. Structural scheme for the heuristic assessment of sequential pretreatments. **Figure 1.** Structural scheme for the heuristic assessment of sequential pretreatments.

2.1. Pretreatment Screening 2.1. Pretreatment Screening

lignocellulosic fraction previously summarized [\[9\]](#page-16-8). The isolation can be considered in the hydrolyzed liquor or the water-insoluble solid (WIS) form. Pretreatments with hemicellulose and lignin removals greater than 60% or cellulose losses less than 30% were proposed as possible candidates for combination or sequencing. Each sequential pretreatment was aimed at the best overall lignocellulosic recovery, which can be reflected in the indicators of cumulative removal (I_R ; see Equation (1)) or cellulose recovery (I_{CR} ; see Equation (2)), where *i* represents each pretreatment in the sequencing system; *n* the total Different pretreatments were reviewed based on the best individual isolation of each

number of pretreatments applied; and *j* the lignocellulosic fraction of interest, such as cellulose, hemicellulose, or lignin.

$$
I_{R}(\%) = \left(\frac{\sum_{i=1}^{n} \text{Pretreated fraction}_{i,j}}{\text{Raw fraction}_{j}}\right) \times 100
$$
 (1)

$$
I_{CR}(\%) = \left[\frac{(Cellulose fraction_{WIS})_n}{(Solid_{WIS})_n} \right] \times 100
$$
 (2)

2.2. Heuristic Analysis

2.2.1. Indicators' Description

Ten techno-economic indicators were considered for the heuristic assessment. Indicators (i)–(v) were calculated based on lignocellulosic removals or concentrations from the literature, while Indicators (vi)–(ix) were determined through computational tools for scaling up biomass pretreatment. The following section briefly describes the indicators, including calculation and relevance for scoring. (i) Total lignocellulosic removal (I_R) associates the isolated or fractionated amount of biomass at the end of the sequence regarding the initial composition. For further valorization, I_R should be maximum for hemicellulose and lignin, as well as the minimum for cellulose. Note that throughout the sequences, there are more than two pretreatment schemes; therefore, there are mass losses or partial lignocellulosic removals during each scheme. (ii) There needs to be accessibility to the product of interest (I_A) . Given the structure of the biomass and the operational strength of the pretreatment, the isolation is not selective toward one fraction. Therefore, the liquor and WIS will have small-to-medium unwanted fractions. The I_A (see Equation (3) for cellulose, Equation (4) for hemicellulose and Equation (5)) was calculated considering the composition of unwanted lignocellulosic compounds (UF) either in the liquors or in the final WIS of the sequence. In valorization schemes toward reactions or bioconversions, the I_A should be highly reflected as the fraction purity. (iii) The next indicator is cellulose recovery (I_{CR}) . Most biotechnological processes seek to maximize sugars for biofuel production. The I_{CR} relates the cellulose composition in the final WIS. High I_{CR} values are mandatory to increase overall yields and process profits as a viable platform product for obtaining high-value-added products. (iv) The operational strength of pretreatment is directly related to severity (I_S) . High severity results in a higher process energy demand and equipment resistance to operational stress, thus increasing overall costs. Therefore, the severity should be as low as possible. The I_S was calculated by considering the severity factors (Log (R_0)) of each pretreatment scheme in the sequential scheme, as shown in Equation (6), where R_0 was already reported elsewhere [\[16\]](#page-16-15). (v) The next indicator is the valorization potential of sub-streams (I_V) . Biorefinery designs are framed toward the integral valorization of biomass. Therefore, it is not feasible to consider the fractionation or isolation of a lignocellulosic fraction. I_V refers to the possibility of recovering the substreams of the sequential scheme, either hydrolyzed products such as five-carbon sugars, soluble lignin, or solid cellulose. The I_V multiplies the composition to be recovered (VF) by its accessibility at pretreatment *i*, as shown in Equation (7) for cellulose, Equation (8) for hemicellulose and Equation (9) for lignin. For example, in a sequential dilute acid (DA) and kraft scheme for cellulose isolation, the first pretreatment (*i* = 1) will hydrolyze much of the hemicellulose (VF_{Hemi}), whereas in the second pretreatment $(i = 2)$, the lignin will be solubilized (VF_{lig}). Likewise, I_V describes that sequential schemes based on hemicellulose valorization should consider the composition of lignin in each obtained liquor and the cellulose in the WIS, while in lignin schemes, the composition of hydrolyzed five-sugars should be included. (vi) The mass yield (I_Y) relates the amount of platform product possible to be valorized post-sequencing, correlating cellulose in the WIS, soluble lignin, and the five-carbon oligomers and monomers hydrolyzed from hemicellulose (see Equation (10)). The maximization of I_Y is crucial. (vii) The next indicator is the water demand (I_{WD}). This indicator correlates the volume of processing water since its consumption is one of

the main drawbacks of biotechnological processes [\[17\]](#page-16-16), whose values must be kept to a minimum. (viii) The energy yield (I_E) refers to energy demand for utilities such as steam, cooling water, and electricity regarding the raw feedstock. A lower energy demand leads to lower operating costs. (ix) The next indicator is the capital (I_{CapEx}) and (x) operating (I_{ODEX}) expenditures. Capital investment helps to determine different economic feasibilities involving operational costs; therefore, their values should be minimized.

Cellulose:

$$
I_{A_{\text{Cell}}}(\%) = 100 - \frac{1}{n} \left[\left(UF_{\text{Lig}} \right)_n + \left(UF_{\text{Hemi}} \right)_n \right] \tag{3}
$$

Hemicellulose:

$$
I_{A_{\text{Hemi}}}(\%) = \frac{1}{n} \sum_{i=1}^{n} \left\{ 100 - \frac{1}{n} \left[\left(\text{UF}_{\text{Lig}} \right)_i + \left(\text{UF}_{\text{Cell}} \right)_i \right] \right\} \tag{4}
$$

Lignin:

$$
I_{A_{Lig}}(\%) = \frac{1}{n} \sum_{i=1}^{n} \left\{ 100 - \frac{1}{n} [(UF_{Cell})_i + (UF_{Hemi})_i] \right\}
$$
(5)

$$
I_S = \sum_{i}^{n} Log(R_o)_i
$$
 (6)

Cellulose:

$$
I_V(\%) = \frac{1}{n} \sum_{i=1}^n \left[\frac{VF_i \times (I_A)_i}{100} \right]
$$
 (7)

Hemicellulose:

$$
I_V(\%) = \frac{1}{n} \left[\frac{\text{(Cellulose fraction}_{WIS})_n \times (I_A)_{\text{Cell}}}{100} \right] + \frac{1}{n^2} \sum_{i=1}^n \left[\left(\frac{\text{(Lignin fraction)}_i \times (I_{\text{ALig}})_i}{100} \right) \right]
$$
(8)

Lignin:

$$
I_V(\%) = \frac{1}{n} \left[\frac{(\text{Cellulose fraction}_{\text{WIS}})_n \times (I_A)_{\text{Cell}}}{100} \right] + \sum_{i=1}^n \left[\frac{1}{n^2} \left(\frac{(\text{Hemicellulose fraction})_i \times (I_{\text{AHemi}})_i}{100} \right) \right] \tag{9}
$$

$$
I_{Y}(\%) = \left(\frac{\text{Cellulose}_{WIS} + (\text{oligo} + \text{mono})_{C5} + \text{Lignin}_{\text{soluble}}}{\text{Raw feedback}}\right) \times 100\tag{10}
$$

2.2.2. Simulation Procedure

For the scoring of Indicators (vi) – (x) , sequential schemes were designed and simulated using Aspen Plus v9.0 software (Aspen Technology Inc., Bedford, MA, USA). Two raw materials were proposed for the simulations: non-centrifugal sugarcane (NCSC) bagasse and rice husk. However, after a feedstock selection analysis through eight preliminary indicators, only one feedstock was used for the pretreatment designs. The indicators considered were based on agronomic stage data (i.e., cultivated area, yield and cultivation time, agricultural production, and production costs), residue generation, and lignocellulosic composition of potential residues. After the raw material selection, the processing of 50 tons day−¹ of the lignocellulosic biomass was assumed for simulation purposes. The schemes were simulated with the operational conditions reported in the literature and considering the fractionation removals or yields. The scope of the simulations corresponds to a control volume from the feedstock reception to the final filtration of the sequential scheme (separation of the last WIS and the hydrolyzed liquor), as shown in Figure [2.](#page-5-0) The physicochemical properties of the lignocellulosic compounds were taken from the National Renewable Energy Laboratory (NREL) report [\[18\]](#page-17-0). Additionally, the Non-Random Two Liquids (NRTL) thermodynamic method and the Soave–Redlich–Kwong equation of state were specified for the thermodynamic and chemical properties of the simulations.

Figure 2. Schematic diagram of the sequential pretreatments for lignocellulosic fraction isolation. **Figure 2.** Schematic diagram of the sequential pretreatments for lignocellulosic fraction isolation.

state were specified for the thermodynamic and chemical properties of the simulations.

For the economic indicators, the Aspen Process Economic Analyzer v9.0 software For the economic indicators, the Aspen Process Economic Analyzer v9.0 software (Aspen Technology Inc., Bedford, MA 01730, USA) was used for the mapping and direct (Aspen Technology Inc., Bedford, MA 01730, USA) was used for the mapping and direct equipment costing. The analysis considered the US dollar (USD) as the economic unit and a market exchange rate of 4660 COP per USD. The CapEx was estimated as the sum of the direct costs, as well as the civil work for assembly and installation, electrical, piping, mechanical, and instrumentation, among others. On the other hand, the OpEx was simplified as the cost of raw materials, utilities, depreciation, maintenance, and labor. The cost of raw materials and utilities is summarized in Table 1. Pro[ce](#page-5-1)ssing and cooling water was estimated through the Chemical Engineering Plant Cost Index (CEPCI) of 808.2 for 2022. For labor costs, four workers were assumed (two in the plant, one in the control room, and one in the raw material reception) with wages of 31.1 USD d $^{\rm -1}$ (2.5 legal minimum wages by 2023 in Colombia). Finally, the depreciation considered a constant interest rate of (straight line method), while maintenance was determined as 6% of the CapEx. 9.34% (straight line method), while maintenance was determined as 6% of the CapEx.

Table 1. Raw material and utility costs. **Table 1.** Raw material and utility costs.

* Calculated as a mean of [www.alibaba.com.](www.alibaba.com) Accessed on 15 January 2023.

2.2.3. Integral Assessment

The heuristic analysis involves a quantitative assessment of the indicators, giving a score depending on the relevance in the final estimation. Each indicator was scored with values from 1 to 10, where 1 is the lowest score and 10 is the highest. For Indicators (i) – (iii) , (v) , and (vi) , the values were normalized to a scale of ten. For Indicator (iv) , the severity factor was calculated with the operating conditions of the pretreatment screening, being the best case (score of 10) when the temperature and time are minimum (150 °C for

alkali and 7 min for steam explosion), and the worst case (score of 1) when the conditions are maximum in the pretreatments (197.6 ◦C for SE and 60 min for LHW). The score for Indicator (vii) was determined by comparing the water demand with the usable water capacity in Colombia of 21.45 \times 10¹¹ m³ [\[20\]](#page-17-2). Indicators (viii)–(x) were compared with the pretreatment stage of large-scale biorefineries reported in the literature for wood [\[8\]](#page-16-7), sugarcane bagasse [\[21\]](#page-17-3), and cocoyam [\[22\]](#page-17-4). The final heuristic assessment (see Equation (11)) contemplates the weighting between the indicator score (rating, I*^k*) and the weight factor (ω*^k*) for each lignocellulosic indicator (*k*) until the total of heuristic indicators (*m*). Moreover, ω_k represents the division of the maximum and minimum regional value range (R_{max} , R_{min}) by the maximum scale value (S_{max}) [\[23\]](#page-17-5), as shown in Equation (12). The feedstock selection analysis for simulation purposes was performed similarly to the heuristic assessment, as the weighting between the score for each indicator and the weight factor.

Heuristic assessment_j =
$$
\frac{1}{100} \sum_{k=1}^{m} \omega_k \times I_k
$$
 (11)

$$
\omega_k = \frac{R_{\text{max}} - R_{\text{min}}}{S_{\text{max}}}
$$
(12)

3. Results

3.1. Pretreatment Screening

The review of the different pretreatments contemplated sequencing at least two pretreatment schemes to maximize the lignocellulosic fraction of interest. It was observed that single-step processes cannot completely fractionate the biomass with maximum accessibility for platform products [\[17\]](#page-16-16). Consequently, the performance of further processes could be reduced, as in saccharification, where some studies report the lignin inhibition on enzyme activity due to steric hindrance, the non-accessibility of the enzyme on cellulose, and the non-performance of the enzyme on lignin, leading to its deactivation [\[24\]](#page-17-6). Therefore, proposing different sequential schemes to remove unwanted lignocellulosic fractions is needed. Table [2](#page-7-0) summarizes the sequential schemes proposed for isolating lignocellulosic compounds. The selection of the schemes was particular to each fraction. Considering the partial removals after each pretreatment, the sequence must have a specific order guided by the technological readiness level (TRL) or the possibility of removing unwanted fractions. For example, sequences were proposed for cellulose isolation that maximized hemicellulose hydrolysis and lignin solubilization through minimal loss of hexoses. It was expected that DA and kraft should be present in cellulose isolation since they are the main pretreatments for biofuel production and pulping of lignocellulosic materials, respectively. Both schemes have high TRLs; however, kraft processes are widely industrialized, enabling their use in the first sequencing pretreatment for the kraft and SE scheme. On the other hand, DA is characterized by high hemicellulose fractionation compared to lignin. Thus, the use of DA as a first step, and a pretreatment with high lignin removal as a second step can be proposed to facilitate the total biomass fractionation in biorefinery schemes, such as the sequence of DA and wet air oxidation (WAO). However, it has been reported that the hydrolysate may contain glucose according to the pretreatment severity and the structural biomass configuration. Li and co-authors showed that the content of hydrolyzed pentoses could vary between 42% and 66% of the quantified monosaccharides based on the feedstock type [\[25\]](#page-17-7). Concerning hemicellulose-based schemes, the sequential order relates the acidic character of the pretreatments in an upward pH to avoid the formation of inhibitory compounds toward the bioconversion processes [\[26\]](#page-17-8). Therefore, DA was never the first-step pretreatment for hemicellulose hydrolysis. Although ammonia-recycled percolation (ARP) could be an option in these schemes, there are large fractionations of cellulose [\[27\]](#page-17-9). Finally, pretreatments were first performed for the lignin sequences with greater biomass cleaning by removing hemicellulose or those schemes where lignin solubilization is minimal.

Fraction	Sequential Scheme	I_R (%)	I_A (%)	I_{CR} (%)	I_{S}	I_V (%)
Cellulose	DA + alkali	15.90	86.69	84.11	7.08	69.60
	$DA + WAO$	16.27	98.62	83.73	7.63	71.51
	Kraft + DA	18.89	93.04	81.11	6.93	63.39
	$Kraft + SE$	12.10	91.07	87.90	6.19	72.26
	Kraft + WAO	16.38	95.64	83.62	6.89	51.90
	$SE + WAO$	9.25	97.57	90.75	7.52	72.34
Hemicellulose	$LHW + DA$	99.87	66.48	63.25	7.67	34.07
	LHW + organosolv	99.26	62.67	56.42	7.21	48.69
	Organosolv + DA	99.90	64.01	72.46	7.20	44.59
	$SE + DA$	99.80	75.55	88.02	6.82	32.18
	$SE + LHW$	97.90	71.70	68.54	7.56	31.86
	$SE +$ organosolv	98.80	71.27	78.53	7.09	46.85
Lignin	$DA + alkali$	69.70	77.72	84.11	7.08	59.04
	$DA + kraft$	87.20	77.44	81.11	6.93	67.28
	$DA + WAO$	97.80	78.40	83.73	7.63	75.19
	$SE + alkali$	68.00	76.66	91.16	6.97	62.56
	$SE + kraft$	86.50	76.50	87.90	6.82	69.86
	$SE + WAO$	97.60	76.81	87.9	7.52	78.87

Table 2. Proposed sequential schemes and their operational indicators.

DA, dilute acid; WAO, wet air oxidation; SE, steam explosion; LHW, liquid hot water.

Table [2](#page-7-0) also shows the results of the operational indicators (Indicators $(i)-(v)$). It was identified that, for the cellulose sequences, the overall removals or losses were less than 19%. Likewise, the accessibility of cellulose in the WIS was more than 90%, except for the DA and alkali sequential pretreatment, indicating a remaining lignin composition that has not been solubilized. However, proposing a third pretreatment step for this sequence leads to higher capital and operating costs, as well as a possible increase in cellulose removal. Accessibility is directly related to I_{CR} , demonstrating a higher potential for processes requiring cleaner cellulose, as for SE and WAO sequential pretreatment. However, this sequence is the second most severe, followed by DA and WAO, since they are the most energy-intensive heating schemes. In addition, this sequence implements high-pressure processes using saturated steam. Regarding the possibility of valorization of sub-streams (I_V indicator), those sequences involving WAO showed the best results due to their high lignin removal capacity, which was proposed after a selective pretreatment mainly for hemicellulose-based schemes.

For schemes based on hemicellulose fractionation, large overall removals were obtained. However, it is crucial to control operational conditions to avoid the degradation of both monomers and oligomers toward furans and aliphatic compounds. Even with low acidic characteristics, such as LHW or SE sequential pretreatment, there is a decrease in the pH of the medium given to the formation of aliphatic compounds such as acetic acid, favoring catalyzed hydrolysis for the dehydration of pentoses toward furfural and hydroxymethylfurfural [\[28\]](#page-17-10). Similar overall removals were also observed for lignin sequences contemplating WAO pretreatment; however, there was no complete removal for the other schemes, such as in DA with alkali or SE with alkali. Therefore, there is a remaining lignin fraction between 14% and 32% in the final WIS. Given the structural character of hemicellulose and lignin, both biopolymers are usually removed together, decreasing the accessibility in the WIS compared to cellulose sequences (see the I_{CR} indicator). However, the average accessibility for schemes based on both lignocellulosic fractions was 74.4%. Finally, the possibilities of sub-stream valorization were lower for pentose isolation due to the accessibility of lignin in the liquors and low cellulose recovery. For the lignin sequences, it was observed that the first hydrolysate contains a large part of the initial five-carbon sugars of the biomass, so the valorization of the second liquor may be negligible.

3.2. Feedstock Analysis

A heuristic analysis for pretreatment in biorefinery designs can involve the use of operational indicators, as well as technical and economic approaches. Therefore, process simulation is relevant when it is desired to scale up sequential schemes. As a lignocellulosic raw material, it was proposed to work with two important residues in Colombia which are directly used in the food agroindustry. Table [3](#page-8-0) shows the information collected for the indicators of raw material selection analysis. The agricultural crop data were considered since the processing scale of the sequential schemes depends on the agricultural capacity, determining the production and area of the crop. Additionally, harvesting times should be considered, as it would allow for establishing the continuity of the process or if it should be performed in batches based on crop productivity. Likewise, the generation of residues was considered, which can be a potential for valorization proposals in biorefinery schemes. High production and composition of residues will provide a greater flow to the proposed biorefinery. For NCSC, the residues were bagasse and sprout, while for rice, they were husk and straw. However, this work compares the NCSC-bagasse and rice husk, whose lignocellulosic composition is also described. The scoring of the indicators referring to NCSC and rice crops was performed based on the area cultivated in Colombia for traditional tropical crops and grains, with values of 1.63×10^6 and 1.25×10^6 Ha, respectively [\[29\]](#page-17-11). The total weighting results in Table [3](#page-8-0) show that the rice husk has a slightly higher potential. Although both raw materials are agro-industrial residues, the market for NCSC-bagasse is limited because its main use is as fuel in heating systems for evaporation or juice concentration. In contrast, rice husks do not have a main market because they are usually incinerated on the field. Furthermore, few husk fractions are used in gardening due to water drainage; other studies have focused on producing functional materials and value-added products [\[30\]](#page-17-12). Both feedstocks showed potential for valorization in biorefinery schemes, differing considerably in production rates and harvesting time. Despite a higher quantity of NCSC-bagasse, rice husk may have a more continuous production due to its shorter annual harvesting time. On the other hand, it can be observed that NCSC bagasse may be more susceptible to bioconversion processes due to its higher sugar content, while rice husk for lignin valorization or as a precursor material due to its ash composition. After feedstock selection, different sequential pretreatment schemes were designed and evaluated considering the lignocellulosic composition of rice husk.

Table 3. Heuristic analysis for feedstock selection.

* Data were taken from [www.agronet.gov.co.](www.agronet.gov.co) Accessed on 12 April 2023. ** Cost related to tillage, sowing, labor, supplies, and harvesting [\[31\]](#page-17-13). *** Production related to agricultural residues. Units in kg of total residues per 100 kg of agricultural product. **** Composition determined through international standards and expressed on a dry basis.

3.3. Techno-Economic Analysis

After analyzing operating indicators and raw materials, the mass yield and the water and energy requirements of the pretreatment sequences, were discussed as shown in Table [4.](#page-9-0) The I_Y indicates the potential of all the valorizable streams in terms of cellulose content in the WIS, hydrolyzed pentoses, and soluble lignin in the liquors since they will be used as future platform products. High I_Y values are desired in biorefinery schemes to improve the overall economic performance. It was observed that, for the cellulosebased schemes, all sequences had an I_Y in the 50–55% range, except for the sequential pretreatment of DA and alkali, due to the low lignin removal compared to other schemes, which would gradually decrease the valorization yields of this heteropolymer. However, as expected, these values were higher than all hemicellulose-based schemes due to the small and medium hexose removals, as in the pretreatments involving organosolv and LHW with cellulose removals of 19.6% and 29.8%, respectively. Meanwhile, for lignin sequences, the SE and WAO scheme showed the highest yields since cellulose isolations were higher than 90%, and fractionations of 95% for hemicellulose and 97% for lignin were achieved. Indeed, those schemes where WAO was implemented showed the best I_Y for both cellulose and lignin schemes. The mass and energy yields depend on the operating conditions (i.e., temperature, pressure, and feed ratio) of each pretreatment, as well as the removal yield. Therefore, energy demand must be minimized and not reflected in operating costs. A high energy demand leads to a higher requirement for either steam or electricity. Regarding water consumption, its volume will depend on feed ratios, dilutions performed, and WIS washings after each pretreatment. Biotechnological processes demand high water ratios. Some studies have shown that, in large-scale wood-based biorefineries, processing water represents more than 95% of the raw materials [\[8\]](#page-16-7), given its extensive use in pretreatments for dilution rates and reaction and separation schemes. In general, there was not much difference for all sequences, with an average demand of 27.9 m^3 ton⁻¹ of feedstock.

Table 4. Mass and energy yields of the proposed sequential pretreatments.

* See Equation (10). ** Process water demand per ton of feedstock. *** Total steam requirement as the sum of low-, medium-, and high-pressure steam.

The analysis of the utility requirement is crucial, as it will affect the operating costs of the processes. Regarding steam demands, their use will increase as the pretreatment thermal conditions increase. However, this work involved an energy integration analysis to decrease these demands. Therefore, thermal energy from the hot process streams was considered. It was evidenced that the schemes involving kraft as the first pretreatment demanded more steam consumption, especially the medium-pressure steam (48.8–59.9 tons per ton of raw material). Similarly, the organosolv processes demand steam by also involving solvent recovery through a distillation system, such as in the sequential scheme of organosolv and DA for hemicellulose. In fact, this was the scheme that most demanded cooling water both for the exchanger downstream of each reactor and for the condenser of the separation columns, as well as electricity for the reflux pumps. A low I_{WD} was identified for the DA sequences as the first pretreatment. On the other hand, the electrical consumption was variable as the volume of the reactors increased due to the power demand. Although the WAO pretreatment requires an air compressor, it did not show a significant electrical increase compared to the other processes.

Table [5](#page-11-0) summarizes the investment and operating costs of the sequential pretreatments. The CapEx may increase or decrease depending on the equipment sizing regarding capacities, transfer areas, and residence time. Additionally, the direct cost will depend on the type of equipment used, which must be specified within the Aspen Process Economic Analyzer computational tool. For example, the pretreatment reactors were mapped with stirring, heating jacket, and enclosed, while the heat exchangers were shell and tube with TEMA-type design (see Supplementary Materials Table S1). Regarding CapEx, all schemes were within the range of 1.3–3.0 M-USD, which mainly involved the use of a threshing machine, sieving, a reagent mixing tank for both the first and second pretreatment, hydrolysis or pretreatment reactors, two heat exchangers downstream of each reactor, two filters for the separation of the WIS and hydrolyzed liquors, and a washing filter for the first WIS. The organosolv and DA sequence showed the highest investment costs due to the high capacity of the organic solvent separation equipment since it requires the use of the column, reboiler, condenser, pump, and collector tank for reflux. Although solvent recovery and recirculation, ethanol drastically increases the raw material costs and operating costs. In general, the average OpEx of the cellulose schemes was the lowest compared to hemicellulose and lignin. The labor cost for all the schemes was 59,057 USD year⁻¹ since they involved the same number of workers. Steam as raw material for pretreatments involving SE was also identified as a key factor in increasing the total OpEx of the process. It was mentioned previously that, in the cellulose pretreatments, on the other hand, the accessibility decreased because the WIS has remaining lignin fractions, as in the DA and alkali sequence, with a content of 26.3%. Therefore, an alternative would be to include a third pretreatment stage where much of the lignin is removed but with limited cellulose fractionations, especially for bioprocesses selective to hexoses only. WAO could be a favorable option based on operational indicators, whose removals have been reported to be 7.1% cellulose, 75.5% hemicellulose, and 97.3% lignin [\[32\]](#page-17-14). However, including this third step in the sequence would increase CapEx and OpEx costs by 92.3% and 42.6% (3.08 M-USD and 10.9 M-USD year−¹). These cost increases would prevent the inclusion of a third step from the biorefinery design concept since its aim considers technical but also economic aspects. The CapEx and OpEx may vary in economic feasibility depending on the technological complexity and processing scale. Based on some economic methodologies, the feasibility can be calculated through the OpEx, contrasting it with the process revenues [\[33\]](#page-17-15). The CapEx becomes relevant since some OpEx costs are calculated through the CapEx as a mathematical equation. For example, maintenance equals 6% of CapEx, or insurance and taxes as 2% of CapEx. Therefore, CapEx and OpEx should be minimized.

Table 5. Capital and operating cost of the sequential schemes.

* Maintenance, labor, and depreciation.

3.4. Heuristic Analysis

After evaluating each indicator based on the literature and simulation data, the scoring and weighting for the heuristic analysis were performed, as shown in Table [6.](#page-12-0) The heuristic analysis concluded that DA with WAO and DA with alkali sequences are the most theoretically optimal for cellulose isolation, with total scores of 7.8 and 7.5, respectively. Therefore, sequencing should first involve a hemicellulose fractionation step through acid-catalyzed pretreatments followed by lignin removal pretreatments. Indeed, this trend was also observed for the sequence of SE and WAO. In contrast, performing alkaline pretreatments first for lignin solubilization leads to a small fractionation of pentoses, significantly decreasing operational indicators such as I_Y , I_V , and I_A . These results agree with the literature, showing a decrease in cellulose recovery when alkali is used as the first step in a pretreatment sequence [\[13\]](#page-16-12). Similar results were also observed when using weaker acids, such as peracetic acid, best used for delignification processes [\[34\]](#page-17-16). Based on the results in Table [6,](#page-12-0) it was concluded that I_E contributes largely to the discussion of the heuristic analysis (ω_i of 17.8%), gradually decreasing the total score when performing kraft processes.

Table 6. Total heuristic analysis of the proposed sequential pretreatments for isolating or removing lignocellulosic fractions.

Table 6. *Cont.*

Based on the sequential pretreatments for hemicellulose fractionation, the final scores were lower than the cellulose sequences. Despite the high I_R , these pretreatments usually removed hexose fractions from cellulose or hemicellulose (i.e., β-d-glucose, β-d-mannose, α-l-rhamnose, α-d-galactose, and α-l-fucose) and lignin [\[35\]](#page-17-17), decreasing I_A, I_{CR}, I_Y, and I_V. However, the best sequential schemes for hemicellulose were SE with DA and LHW with DA. DA was identified as the second pretreatment stage, leading to better fractionation of the remaining hemicellulose due to the incomplete breakdown of the lignin–carbohydrate matrix or hydrolysis of pentose oligomers formed in LHW [\[36\]](#page-17-18) or SE [\[37\]](#page-17-19). Similar results were reported in the literature, where hemicellulose removals greater than 93% were achieved following the LHW and DA sequence, explained by the partial removal of lignin as a physical barrier to further pentose hydrolysis [\[13\]](#page-16-12). The results in Table [6](#page-12-0) indicate that the proposed sequences are driven toward maximizing pentose removal from hemicellulose, largely achieved during the first pretreatment stage. A second stage should be proposed to isolate lignin instead of removing the remaining hemicellulose, as it decreases the possibility of valorization of the second liquor, which may be contaminated with an excess reagent or unwanted fractions that prevent good processing. For example, following the SE and LHW sequential pretreatment, the second liquor would have a theoretical composition of 43.5% cellulose, 12.5% hemicellulose, and 44.0% lignin, demonstrating the lower composition of pentoses. Therefore, the cellulose-based sequences demonstrate a higher I_V , as the first liquor is rich in pentoses, and the second liquor is rich in soluble lignin, along with high I_{CR} . This analysis was also demonstrated in the sequences for lignin isolation, where pretreatments were proposed for pentose fractionation first, and a subsequent process was emphasized for delignifications. Different results were observed when comparing sequential pretreatments for hemicellulose and lignin valorization. (i) Hemicellulose-based schemes did not show high lignin removals; in contrast, liquors would be abundant in either hydrolyzed sugars or inhibitor formation. Therefore, either low composition or high hydrolyzed pentoses could affect soluble lignin valorization after a second pretreatment. (ii) Despite low lignin removal, acid-catalyzed pretreatments fractionate this heteropolymer, and small amounts affect future bioconversions [\[1\]](#page-16-0). Therefore, proposing schemes where lignin isolation is prioritized first is advisable. (iii) Lignin-based schemes could obtain a first hydrolysate rich in pentoses and a second one with soluble lignin. (iv) During the process scale-up, a high energy demand was observed in hemicellulose schemes, impacting operating costs.

For lignin valorization processes, the sequences DA with kraft and SE with kraft obtained a better total score. The removal of hemicellulose decreases the integrity of the biomass, leading to a better attack of chemical reagents on the structure and a faster delignification. Some studies have shown complete hemicellulose removal and delignification

of up to 68% for SE and kraft sequential pretreatments [\[38\]](#page-17-20). A second pretreatment step also increased lignin removals due to eliminating physicochemical barriers exerted by the
... lignocellulosic matrix [\[14\]](#page-16-13). However, sequences considerably impact the overall biomass removals. Unlike acid-catalyzed pretreatments such as DA, hydrothermal pretreatments
described by the second pretreatments with WAO, the sol-the sol-the sol-the soldecrease the overall sequence delignification. At temperatures of 100–170 \degree C, lignin liquefies into small coalesced droplets that migrate out of the cell wall due to their hydrophobic
diagrams of the pretreatcharacter, and these droplets eventually attach to the biomass structure during the cooling
ment sequence could be used to platform during developed the platform chemicals. In contrast, the platform che steps (relocation of coalesced lignin droplets), decreasing delignifications [\[39\]](#page-17-21). However, pretreatments such as DA do not tend to form these coalesced droplets, as they are easily pretreatments such as DA do not tend to form these coalesced droplets, as they are easily removed, together with hemicellulose solubilization [\[13\]](#page-16-12). Additionally, carrying out future alkaline pretreatments fractionates β -O-4 bonds within the lignin matrix, overcoming ture alkaline pretreatments fractionates β -O-4 bonds within the lignin matrix, overcoming condensation issues [\[40\]](#page-17-22). for the pentos platform as the pentos platform as the LHW and DA sequence would have been perfected to μ cesses such as xylone fermentation. Moreover, and the second pretreatment with details and dependence ferment with DA, the second present with DA, the second present with DA, the second present with DA, the second with DA,

The results of the heuristic analysis of sequential pretreatments are in accordance with Γ the efficacy results of single-stage pretreatments, where technical, economic, environmental, and social indicators were assessed [\[9\]](#page-16-8). It was identified that the DA and WAO pretreatments correspond to a proposed sequence for cellulose isolation. In contrast, organosolv is not feasible due to hexose losses, as well as high costs and energy demands that will increase with additional pretreatment steps. The sequential schemes also included the most effective pretreatments (LHW, SE, and DA) for hemicellulose valorization. Meanwhile, for the removal and future use of lignin, only the kraft pretreatment was agreed to be the most effective one included in a sequence where a previous acid treatment is performed. Considering the results of the heuristic analysis and single-step efficacy, the DA with WAO sequential pretreatment seems to be the best overall scheme in biorefinery approaches since it would result in two hydrolysates for pentose and soluble lignin, as well as a WIS rich in cellulose. Figure 3 shows a schematic diagram of the interaction between the lignocellulosics and the proposed sequential scheme for a biorefinery. After DA pretreatment, a hydrolyzed liquor with 82.9% pentose accessibility would be obtained, removing more than 98% of hemicellulose. Likewise, the pretreated solid subjected to WAO would yield a second hydrolyzed liquor rich in soluble lignin (92.2% composition) with accessibility of 96.1%. Finally, the WIS rich in hexoses would have a composition of 97.2% cellulose, achieving a recovery of 83.7%.

Figure 3. Schematic mass balance of DA and WAO sequential pretreatment of rice husk. **Figure 3.** Schematic mass balance of DA and WAO sequential pretreatment of rice husk.

4. Conclusions Different authors have proposed biorefineries based on the fractionation of lignocellulosic biomass for catalytic products, biochemical pathway products, platform molecules, and energy products [\[41\]](#page-17-23). However, increasing the rate of commercial products will also increase the complexity of the processing structure. For example, it is possible to propose different products in a biorefinery based on wood biomass. By performing a DA pretreatment, the hydrolyzed liquor can be considered for the production of furfural, which has been widely used as a solvent or pesticide precursor. After detoxification, this first hydrolyzed liquor can also produce xylitol from xylose, whose nutritional properties of the sugar alcohol have been described [\[42\]](#page-17-24). After the second pretreatment with WAO, the soluble lignin can be used as a raw material for producing phenolic compounds or a precursor for lignin-based materials [\[43\]](#page-17-25). Meanwhile, the cellulose remaining from the pretreatment sequence could be used to produce biofuels or platform chemicals. In contrast, the complexity of the biorefinery can be decreased by contemplating the sequential scheme for the pentose platform as the LHW and DA sequence. The first hydrolysate would have a lower composition of inhibitory compounds [\[44\]](#page-18-0), allowing its use in biochemical processes such as xylose fermentation. Moreover, after the second pretreatment with DA, the cellulose could be used to manufacture chemical products [\[45\]](#page-18-1). Although sequential pretreatments show promise in biorefinery schemes, some implications must be discussed. After a pretreatment, there is not only the lignocellulosic removal or formation of simpler molecules but also structural changes in the biomass that will affect the performance of a second pretreatment. Some authors have shown that after hydrothermal treatment, there are changes in the cell wall or the ultrastructure of the biomass, even degrading it significantly with increasing severity [\[46\]](#page-18-2). This phenomenon is also reflected in lignin, whose dispersity, molecular weight, structural organization, and properties change according to the pretreatment performed [\[24\]](#page-17-6). On the other hand, the formation of unwanted compounds or by-products could interact in the second pretreatment, decreasing the performance of the process. These undesired compounds will also interfere in future valorizations of the obtained undercurrents or hydrolysates. Therefore, studying the influence of by-products and biomass structural change could be a future work to improve biomass fractionation in sequential schemes. Moreover, this work focused on the techno-economic discussion, leaving aside the environmental assessment. Considering an integral sustainability analysis, environmental and social aspects must be adressed. Therefore, a study on the influence of the toxicity of reagents, the environmental impact of waste streams such as washing streams, and the transport of raw materials would help to complement the sustainability analysis.

4. Conclusions

Sequential pretreatment schemes were proposed given the growing interest in maximizing lignocellulosic fraction isolation and the integral biomass valorization. The heuristic analysis of ten techno-economic indicators framed to the pretreatment stage concluded that it is possible to improve the overall performance by combining two sequences of steps. The indicators were proposed based on a literature review considering those aspects that would influence preliminary biorefinery designs and pretreatment efficacy indicators. This work offers a conceptual tool of potential sequential schemes for fractionating lignocellulosic biomass and producing platform chemicals or final products. The DA sequence with WAO could be the most optimal for pretreatments based on cellulose isolation in the post-hydrolysis solid. Meanwhile, for biorefineries based on hemicellulose and lignin valorization, SE sequences with DA and DA with kraft could help a better fractionation, respectively. Likewise, considering the valorization of all sub-streams, the DA sequence with WAO could be a potential alternative in biorefinery schemes since an acid pretreatment is performed first to obtain pentose-rich hydrolyzates and a second subsequent pretreatment for lignin solubilization, obtaining a final solid that is rich in hexoses. This sequence showed the best results of isolation of platform products that can be further valorized to commercial products since it has easy accessibility and low severity, gradually decreasing the investment and operation expenses. The results of this work would help to understand the importance of pretreatment design in lignocellulosic biorefineries, showing that depending on the objective, the pretreatment will affect the upstream and downstream of the process. However, structural biomass aspects and inhibitor formation must be considered to complement the performance of sequential schemes. This work gives a partial view of

the sustainability of biorefineries since only techno-economic aspects were discussed. It is the first approximation of early lignocellulosic biorefinery designs.

Supplementary Materials: The following supporting information can be downloaded at [https://www.](https://www.mdpi.com/article/10.3390/app13116758/s1) [mdpi.com/article/10.3390/app13116758/s1,](https://www.mdpi.com/article/10.3390/app13116758/s1) Table S1: Equipment mapping of sequential pretreatment schemes.

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Nomenclature

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