

## Article

# Validation of Dynamic Natural Ventilation Protocols for Optimal Indoor Air Quality and Thermal Adaptive Comfort during the Winter Season in Subtropical-Climate School Buildings

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**Featured Application:** The introduction of effective natural ventilation controls can provide acceptable indoor air quality and thermal comfort for school buildings in a subtropical climate.

**Abstract:** The need for energy-efficient buildings must be based on strong effective passive-design techniques, which coordinate indoor air quality and thermal comfort. This research describes the principles, simulation, implementation, and monitoring of two different natural cross-ventilation algorithm scenarios applied to a school-building case study affected by a subtropical climate during the winter season. These ventilation protocols, the steady and dynamic versions, can control the carbon dioxide concentration and actuate the window openings according to pre-defined window-to-wall ratios. The implementation of the monitoring process during three non-consecutive days in the winter of 2021 validates the opening strategy to maintain carbon dioxide below 800 ppm, described by the protocol Hygiene Measures Against COVID-19, and the temperature within the comfort ranges suggested by the adaptive UNE-EN 16798. The study shows that a steady opening of 2.16% window-to-wall equivalent ratio can be enough to maintain the requested comfort and carbon dioxide conditions. The use of the dynamic window ratios, from 0.23% to 2.16%, modified according to the measured carbon dioxide concentration, can partially maintain the carbon dioxide below the required limits for ASHRAE 62.1, Hygiene Measures Against COVID-19 and UNE-EN 16798 between 48.28% to 74.14% of the time. However, the carbon dioxide limit proposed by RITE, 500 ppm, is only achieved for 15.52% of the time, which demonstrates the inadequacy of the natural ventilation to fulfil the standard. Further improvements in the dynamic control of the openings in these buildings could lead to lower carbon dioxide concentrations while maintaining the thermal comfort in mild winter climates.

**Keywords:** indoor air quality; thermal adaptive comfort; Designbuilder<sup>TM</sup>; monitoring; school buildings; natural ventilation



**Citation:** Cordero, A.S.; Melgar, S.G.; Márquez, J.M.A. Validation of Dynamic Natural Ventilation Protocols for Optimal Indoor Air Quality and Thermal Adaptive Comfort during the Winter Season in Subtropical-Climate School Buildings. *Appl. Sci.* **2024**, *14*, 4651. <https://doi.org/10.3390/app14114651>

Academic Editors: Daniel Sánchez-García and David Bienvenido Huertas

Received: 1 May 2024

Revised: 23 May 2024

Accepted: 24 May 2024

Published: 28 May 2024



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## 1. Introduction

### 1.1. Energy Efficiency, Thermal Comfort and Indoor Air Quality

The built environment is responsible for considerable impacts on our present and future lives, considering both construction and the in-use stage. For example, in the year 2009, the construction sector was responsible for 23% of the total CO<sub>2</sub> emissions produced by the global economic activities [1], and so on, up to the present date.

Energy efficiency (EE), thermal comfort (TC) and indoor air quality (IAQ) are related key performance indicators to measure the sustainability assessment of buildings. This has been considered as the EE-TC-IAQ Dilemma [2]. The most recognized sustainability assessment tools, like Level(s), include them in their most relevant criteria [3]. Extensive

research has been carried out lately to demonstrate the relationship and the proper balance between them [4,5].

A successful IAQ requires an adequate rate of air renovation, which necessarily implies a partial substitution of the indoor air [2]. Since it depends on the season and the local climatic conditions [6], this air substitution can strongly influence the TC of any building type [7]. Temperature, relative humidity, and air movement are the basic indicators that affect TC. The proper correction of these hygrothermal conditions provides, by definition, additional heating/cooling potential energy demand from mechanical systems. Recent research has focused on this issue by considering the use of setpoint temperatures based on adaptive TC models [8]. Furthermore, the expected climate change scenarios will provide a certain increase in the cooling loads, as well as an uncertain reduction in the heating loads [9].

In addition, the improvement of mechanical systems and the introduction of renewable energies play an important role in energy reduction with a proper energy production-demand management [10]. However, the introduction of passive-design principles is considered to be a more effective method to cut down energy demand [11,12].

### 1.2. Indoor Air Quality

Buildings are the places where humans spend almost 90% of our lives, including home, leisure and work [13]. If time at home is not considered, adults spent most of their time at work, whilst children and youngsters spent it in school buildings.

Recent trends in social sustainability assessment, such as Level(s), are boosting the development of better strategies to improve the IAQ of buildings [3]. However, the pace of implementing these improvements in buildings is slow, especially in existing ones, due to their age and lack of investment [14]. These buildings usually have deficiencies in TC, IAQ and EE. For a robust and transparent assessment, IAQ can be defined by the World Health Organization as the absence of air pollutants [15]. The most common pollutants in buildings are the following: biological, contaminants, off-gassing emissions, carcinogens, and particulate matter. Among these, CO<sub>2</sub> is the most relevant for most authors [16].

Among all types of buildings, the study of IAQ in school buildings is particularly relevant because their occupants have specific needs. Children can be more affected by pollutants than adults [17]: their lungs are not fully developed, due their low weight they can suffer greater exposures than adults, and those exposures remain for longer in their lungs. Specifically, CO<sub>2</sub>, affects human cognition and concentration capacity when it exceeds established limits [18].

Considering that schools have regular occupancy and design typology, it is convenient to anticipate design strategies that can be applied to buildings with similar conditions [19,20]. Previous studies have described experimental approaches to identify the most suitable window opening configuration, and their application in schools worldwide to achieve a good IAQ, TC and EE. Furthermore, urban air-quality climatic conditions are extremely important in describing an effective natural ventilation strategy.

Schools located in polluted areas have restrictions in applying outdoor air renovations. In such locations, the forecast of air quality can be monitored through real-time meteorological stations, or through interpolation models [21].

Field measurement and building energy simulation can be used to suggest optimal strategies for balancing energy use and indoor environment quality, simultaneously. For those schools in hot climates with mild winters the strategies must be oriented to summer overheating risks [14]. Although the performance of the envelope is not as important as the ventilation rate, when natural ventilation is applied, it still needs to be included in a deep analysis of the IAQ-TC-EE Dilemma [2]. Then, thermal transmittance of the envelope is the most relevant indicator to be considered [6]. For schools in warm and moderate climates, the design strategies must be oriented both to the summer and winter season, based on improved ventilation schemes and well-designed energy-conscious building [2]. Empowering occupants as agents actively engaging in their own comfort can be an interesting solution

when mechanical systems are not available [22]. Finally, studies in schools comparing both natural and mechanical ventilation have demonstrated a better performance for IAQ, but worst results for TC with natural ventilation for all the teaching hours [20].

### 1.3. Recent Evolution of the Indoor Air Quality Standards

Since the SARS-CoV-2 airborne transmission was demonstrated [23], IAQ indicators have occupied a major role in overcoming the disease. For those enclosed environments, the CO<sub>2</sub> concentration became the only indicator for providing effective advice on the airborne transmission [24]. With the need to maintain school buildings open, after the declaration of the disease, the national government established a set of protocols to reduce the infection risk [25]. A maximum CO<sub>2</sub> concentration of 800 ppm was established as a reference safety guide, which must be addressed by natural ventilation. Unfortunately, most of the school buildings did not have CO<sub>2</sub> monitoring devices at the beginning, and those with them failed to address the TC criteria [7]. Since then, the monitoring of CO<sub>2</sub> has become recently a very popular easy-to-use tool for IAQ evaluation [26].

Several ventilation standards, such as EN 16798-1 [27], ASHRAE 62.1 [28], RITE [29], and some others like WELL [30], have established limits for the most relevant IAQ indicators. These include CO<sub>2</sub>, particulate matter, and volatile organic compounds, but CO<sub>2</sub> is the most relevant of them because of its usability as an airborne infection indicator. Their limits for CO<sub>2</sub> concentrations (between 500 and 1000) are shown in Table 1.

**Table 1.** Most relevant IAQ indicators by standard.

Indicator	Protocol <sup>2</sup>	EN 16798-1	ASHRAE 62.1	WELL [30]	RITE [29]
CO <sub>2</sub>	800 ppm	900 ppm	1000 <sup>1</sup> ppm	900 ppm	500 ppm

<sup>1</sup> recommend considering assumption [31], <sup>2</sup> hygiene measures against COVID-19 [25].

In the case of RITE, buildings are classified into categories of buildings from 1 to 4. There, school buildings are included in category IDA 2, in which the CO<sub>2</sub> limit is 500 ppm. Table 2 summarizes the IAQ levels with the corresponding CO<sub>2</sub> concentrations applied in this research.

**Table 2.** Classroom simulation parameters.

Main Parameters		Thermal Transmittance		
		Element	Components	U (W/(m <sup>2</sup> K))
Area	49.60 m <sup>2</sup>	Roof boards	Asbestos + air cavity	3.077
Height	2.85 m	Ceiling	Concrete slab	1.505
Volume	141.36 m <sup>3</sup>	Flooring	Concrete slab	1.505
Occupancy	26 pupils + teacher	External wall	2 brick leaves	1.390
Occupancy ratio	0428 p/m <sup>2</sup>	Openings	Aluminum frame + 2 panes of glass (3 + 6 + 3)	1.960
Area	49.60 m <sup>2</sup>	Interior partition	2 brick leaves	1.425

### 1.4. Minimum Natural Ventilation to Ensure Indoor Air Quality

There is a vast body of literature that recognizes how natural ventilation plays a vital role in IAQ, TC and EE [4,5,32–34]. On sites where urban pollution is not an issue, IAQ can be considered as a result of the balance between the indoor pollutants produced against the outdoor renovation rate introduced [32]. The first element of the balance is provided by the number, the type of activity and the age of the occupants, while the second element of the balance depends mostly on natural/mechanical ventilation. In most of the cases, the infiltration through the building's envelope can be avoided due to its lack of relevance in comparison with the other elements [33]. Polluted sites are not considered adequate for air renovation without previous filtration.

Natural ventilation is driven by different thermodynamic airflow issues. That airflow is provided due to different air pressures and temperatures or by wind flows [34]. The basic classification describes two kinds of natural ventilation: single or cross [35]. Then, the effectiveness of the natural ventilation depends on the size, the proportions, the shape,

and the position of the openings and the window-to-wall ratio (WWR) of each facade [36]. Traditionally, the WWR has been extensively used in research due to the fact that it is easy to use and understand, and influences the way of combining different building performance objectives: daylighting, thermal, and acoustic comfort, as well as cooling/heating energy demand [37]. Elements for defining a cross-ventilation system are graphically described in Figure 1 below: occupancy (O), window inlet (Wi), window outlet (Wo), and room size in depth (D), length (L), and height (H).

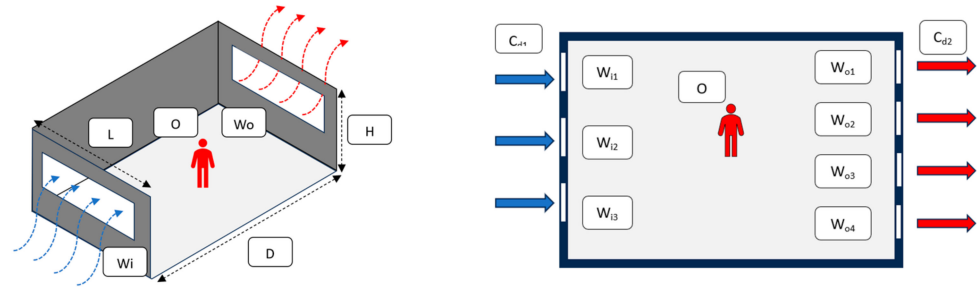


Figure 1. Main parameters affecting cross ventilation.

According to the literature, cross ventilation works when local winds pass through the Wi in the windward side, cross the room and are expelled out through the Wo in the leeward façade [36,38]. For most of the airflow studies, the Venturi effect cannot be fully presumed, and an additional computational fluid dynamic must be considered to obtain the assumptions. If the window is the most relevant factor to manage, then there are several options to consider, such as size, wide-to-height ratio, height position, and the number of single/multi windows per façade [39–41]. As described by these studies, different configurations in the Wi-to-Wo ratio provide an internal pressure rise when the Wi/Wo is bigger than 1. If it is smaller, then the internal pressure decreases, providing better airflow conditions. Therefore, it results in a more effective ventilation configuration for reducing the Wi-to-Wo ratio [36,39]. For those rooms with a different window size at the windward and leeward façades, an average combined-window area can be defined, as described in Equation 1 [36]. The equation includes a summation of areas at each of the opposite sides of the room. The result is expressed in Aeq as window-to-wall equivalent ratio (WW<sub>eq</sub>R), useful for rooms with a different opening size at each side of the room.

$$A_{eq} = \frac{\sum_i W_{ii} * \sum_i W_{oi}}{\sqrt{(\sum_i W_{ii})^2 + (\sum_i W_{oi})^2}} \quad \begin{matrix} A_{eq} = \text{Equivalent opening area} \\ \sum W_{ii} = \text{Windward opening summation} \\ \sum W_{oi} = \text{Leeward opening summation} \end{matrix} \quad (1)$$

### 1.5. Adaptive Thermal Comfort Model

The adaptive comfort model was firstly described by De Dear and Brager in 1998 [40]. The model describes the influence of local and behavioral factors on the perception of TC. The model is based on TC field studies in countries worldwide, and established the foundations of the thermal models for the ASHRAE 55 [41]. Since then, several authors have introduced variations in the original comfort algorithm to better approximate particular local conditions. The *Smart Controls and Thermal Comfort* project from the European Union has been considered for the development of the UNE-EN 16798-1 [27], with both passive and mechanical operations. The passive UNE-EN model equation described in the Standard, Section A.2.2 [27], is used in this research to validate the TC.

### 1.6. Aims and Novelty of This Research

Based on the results obtained in previous works [7], the aim of this research is to demonstrate the validity of a proper WW<sub>eq</sub>R schedule to better achieve both IAQ and TC, using different ventilation protocols. As described by other authors, there are interesting

possibilities for achieving IAQ without mechanical ventilation in school buildings [6,14,20], but they may compromise TC and EE. This depends on the climatic conditions [6,14,20], the envelope performance [6], set-point temperatures [14], the consideration of adaptive thermal comfort [42] and the efficiency of the mechanical systems [20]. It is necessary to elaborate window-opening management protocols that are based on natural ventilation and low-cost sensors in schools where mechanical ventilation is not an option. Then, the validation of these protocols is necessary to understand how to solve the IAQ-TC-EE Dilemma within specific climatic conditions and building configurations.

The research aims to carry out the following:

- Using Designbuilder simulations of this case study, obtain the  $WW_{eqR}$  that provides enough air renovation to fulfill the  $CO_2$  levels under the required limits:
  - For the steady natural ventilation protocols (SNVPs), it describes a  $WW_{eqR}$  that allows the achievement of the required  $CO_2$  all the time.
  - For the dynamic natural ventilation protocol (DNVP), it describes the functioning of the dynamic  $WW_{eqR}$  according to the indoor  $CO_2$  sensors, to minimize the outdoor air infiltration.
- Using the monitoring data from this case study, validate the proper functioning of the  $WW_{eqR}$  in buildings with manual operation, providing enough air renovation to fulfill the  $CO_2$  levels requested.
- Considering adaptive models, anticipate the TC outcomes that may arise due to the introduction of outdoor air without heat-recovery units.
- Provide a summary of design-and-management rules of thumb for scholar buildings in a subtropical climate to achieve acceptable IAQ during the operational time as an alternative to installing mechanical ventilation systems.

The paper contains the following: Section 1 introduces the problem and the perspective of the research, with the objective and aims to be achieved. Section 2 describes the materials and methodology used in the research. It includes the simulation, the case study description and the proceedings and materials for the data monitoring. Section 3 shows the results for the different scenarios described in Section 2. Thus, Section 4 discusses the outcomes from the data of the preceding section. Finally, Section 5 provides the main conclusions obtained and suggests future works. Some relevant material is included in Appendices A and B.

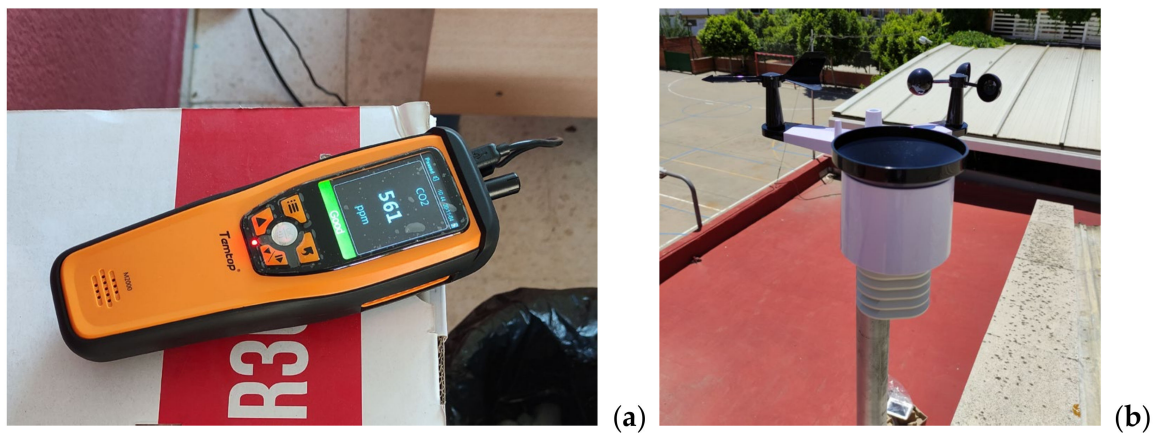
## 2. Materials and Methods

This section contains the materials and methods used within this research to cover the different ventilation protocols proposed to improve the IAQ and TC of a case study in the city of Sevilla, Spain. The study includes two research stages: first, a software simulation, and second, an experimental installation and the measuring equipment for real-time monitoring devices.

### 2.1. Monitoring Materials

The real-time monitoring systems are shown in Figure 2, and their specifications in Table 3:

- A TEMTOP M2000C 2nd  $CO_2$  Air Quality Monitor (orange). This includes a Sensair nondispersive infrared  $CO_2$  sensor, a sensirion SHT31-ARP sensor for temperature and relative humidity, and an NDIR  $CO_2$  sensor.
- A meteorological station, Froggit HP 1000SE PRO (white), includes a set of sensors to provide a full description of weather data in real time, as well as an indoor hydrothermal sensor able to measure humidity and temperature, and a central console. All of them are connected via radio at 868 MHz.



**Figure 2.** Digital monitoring systems: TEMTOP M2000C 2nd air-quality monitor on the left (a) and the Froggit HP1000 SE wireless meteorological station on the right (b).

**Table 3.** TEMTOP M2000C 2, most relevant specifications.

Specifications	TEMTOP M2000C 2nd	Froggit HP 1000SE PRO	
	Indoor	Meteo station	Indoor sensor
Operation frequency		868 Mhz	868 Mhz
Temperature range	0–50 °C	–40–60 °C,	–40–60 °C,
Temperature accuracy	±0.3 °C	±1 °C	±1 °C
Relative humidity range	0–90%	1–99%	1–99%
Relative humidity accuracy	±3%	±5%	±5%
CO <sub>2</sub> measuring range	400–5000 ppm		
CO <sub>2</sub> accuracy	±40 ppm		

## 2.2. Simulation Software

The software chosen for the building simulation is *DesignBuilder<sup>TM</sup>* V7.0.2.006 (DB) [43], which runs *EnergyPlus<sup>TM</sup>* as the data calculation engine. It can simulate current and improved conditions to compare different scenarios in terms of CO<sub>2</sub> emissions, indoor hydrothermal conditions, and energy consumption, among others. The software is recognized by several of the most influent standards, like the ANSI/ASHRAE, and it is commonly used in academia [44,45].

## 2.3. Methodology

The methodology proposed in this paper is based on two different approaches: a CO<sub>2</sub> concentration *DesignBuilder<sup>TM</sup>* simulation and onsite IAQ data monitoring to evaluate the validity of the ventilation protocols, the SNVP and DNVP. Both focus on a real case study in a school building in the city of Sevilla, Spain. All the windows have been scheduled with the same opening, whether they are windward or leeward.

The study considers  $WW_{eqR}$  in measuring the average ratio, including all the windows, in all facades, instead of the traditional WWR. The *DesignBuilder<sup>TM</sup>* simulation is used to obtain the most appropriate  $WW_{eqR}$  to be used in the opening protocol to be followed by the school staff during the on-site monitoring process.

The duration of the monitoring process was reduced to a few days, due to the restrictions imposed by the school principal and the COVID-19 protocols. The authors decided to choose a set of non-consecutive days to evaluate different weather conditions, covering different wind and temperature conditions.

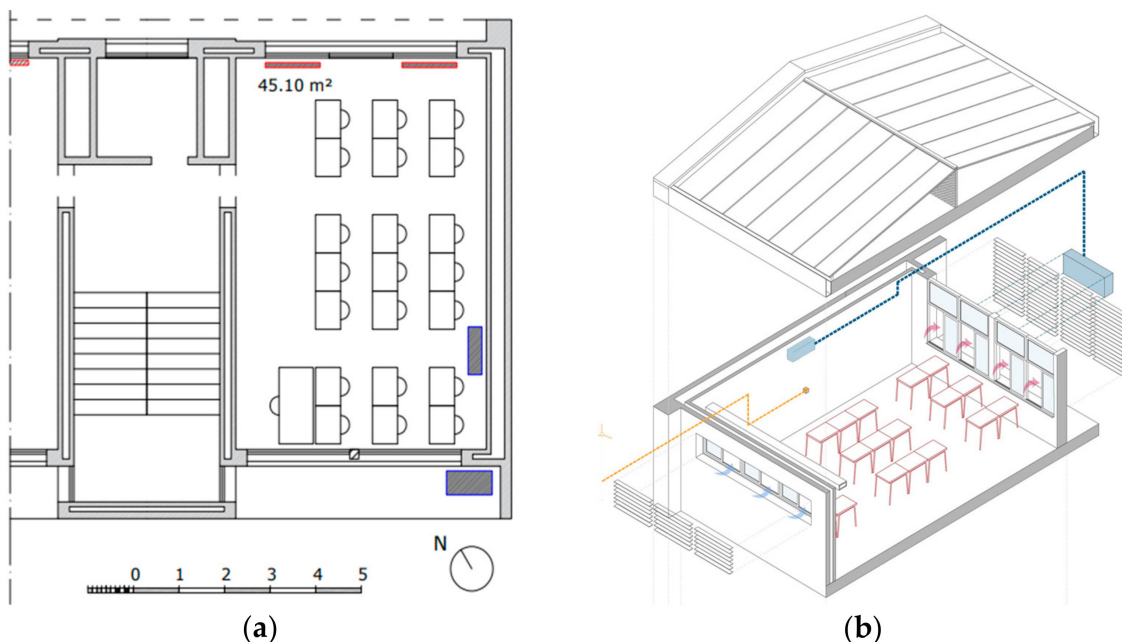
Considering the monitoring devices described in Table 3, the following experimental error is expected: ±0.3 °C indoor temperature, ±3% indoor relative humidity, ±40 ppm indoor CO<sub>2</sub>, ±1.0 °C outdoor temperature, and ±5% outdoor relative humidity.

### 2.3.1. Case Study

The study focuses on a classroom in a school building in the city center of Sevilla (Spain). It is placed at 37.39 north latitude, and 5.98 east longitude, and influenced by a subtropical climate, Csa in the Köppen–Geiger classification [46]. The average temperatures are 11.3 °C in December, 25.7 °C in June, and 18.2 °C for the whole year. The average humidities are 75% in December and 42% in June. The prevailing winds are southwest in summer and northeast in winter.

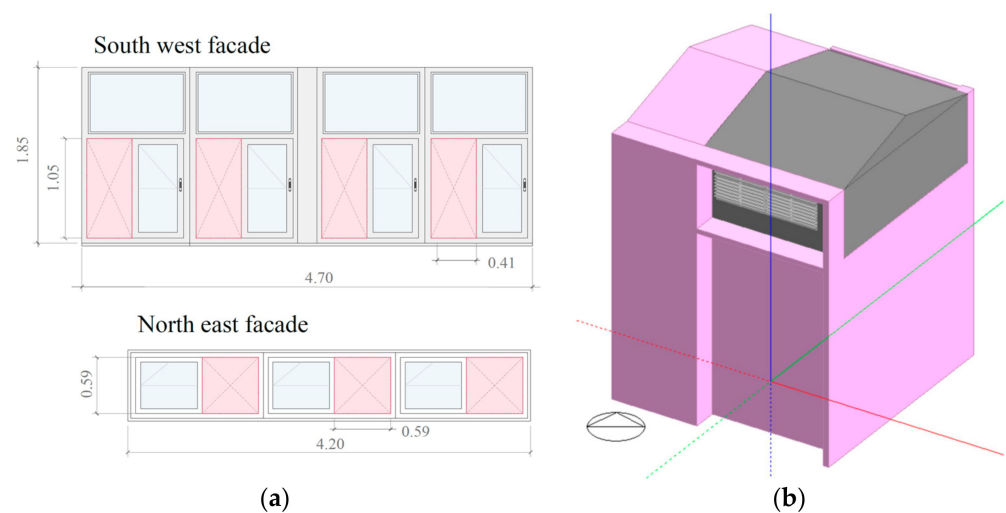
This classroom has been selected because it is representative of the average school buildings in this region, but also because it has already been used in previous studies of IAQ and TC [7]. The distribution and position of the different elements within the classroom are presented in Figure 3.

- Figure 3a provides a layout with the position of the openings and the seats for the occupants. It also contains the position of the radiators (in red) and the heat pump (in blue). There is only 1 entrance to the classroom, which was always closed during the experimentations. The graphic scale and the north compass sign are included to classify the window openings as north/south. The north façade can be described as windward, while the south façade is considered leeward for the purposes of cross-ventilation analysis.
- Figure 3b provides an axonometric 3D view of the room, which adds extra information. Both windward and leeward openings include a set of solar-protection louvres, but during winter they are always set at a horizontal position. Therefore, it is considered that they have no influence on the ventilation process. Blue boxes describe the split heat-pump system. The yellow dot describes the approximate position of the IAQ monitoring devices (Figure 4a), while the yellow line describes the wireless connection with the central console (Figure 4b).



**Figure 3.** Opening elevation layout (a) and *DesignBuilder™* model 3D view (b).

The classroom volume is 141.36 m<sup>3</sup>. It is usually occupied by 25 pupils of about 11 years old and a teacher, which makes one person every 1.90 m<sup>2</sup> (see Table 2), for the main parameters. The classroom activity schedule is organized from Monday to Friday. The attendance hours are from 9:10 to 12:05 and from 12:30 to 13:55. Students have a playtime out of the room between 12:05 and 12:30.



**Figure 4.** Classroom layout (a) and north 3D axonometric view of classroom (b).

Construction elements were reviewed on-site and their thermal transmittance and other physical properties were calculated using *DesignBuilder<sup>TM</sup>*. No insulation materials were found during the visual inspection, as would be expected for a 1970s building. The roof is composed of two separate layers with a non-ventilated interior air cavity of 0.60 m average thickness. Ceiling and flooring both include a concrete slab. The external walls are composed of two bricks sheets with an interior variable-thickness air cavity (cavity thickness from 5 to 15 cm). The windows in the south and north façades include aluminum frames plus double-glazing panes with air-gap insulation, as described in Table 2 (thermal transmittance), which gives a thermal summary of these construction elements.

The classroom is cross-ventilated through the windows in both the windward and leeward façades, as shown in Figure 4a. Both show, in red, the maximum effective opening to be considered for natural ventilation following the definition of the  $WW_{eqR}$ .

### 2.3.2. Natural-Ventilation Protocols

After an intense debate in academia, described in Section 1, the worldwide scientific community established natural ventilation as the most reliable source of improvement of the IAQ and the consequence reduction in the airborne transmission of several infections such as COVID-19. In the case of the Andalucía region (Spain), the regional Government prescribed the need to provide windows which open fully to guarantee natural ventilation. However, this was a temporary solution that causes additional heating/cooling demands, which must be addressed at some point. To solve this issue, in a second step, the authors proposed a natural ventilation protocol that seeks the minimum air renovation to guarantee a maximum  $CO_2$  concentration of 800 ppm. Ideally this will help to maximize the comfort periods while reducing the heating/cooling energy demand. This was called the COVID-19 natural ventilation protocol, as it mainly addressed the infection issue. The protocol described ideal periods to provide constant air renovation through manual window operation, but without any control of its effectivity.

As an improvement of these early natural ventilation protocols, this paper suggests different approaches, based on the following:

- $CO_2$  concentration that can be obtained through  $CO_2$  sensors. They are cheap and easy to find and allow any worker in an educational building to have a real-time IAQ assessment. Even more, they can be connected remotely to cloud control via an app, so anyone can access the IAQ data report. In *DesignBuilder<sup>TM</sup>* the  $CO_2$  is obtained through virtual sensors, as described in Section 3.1.

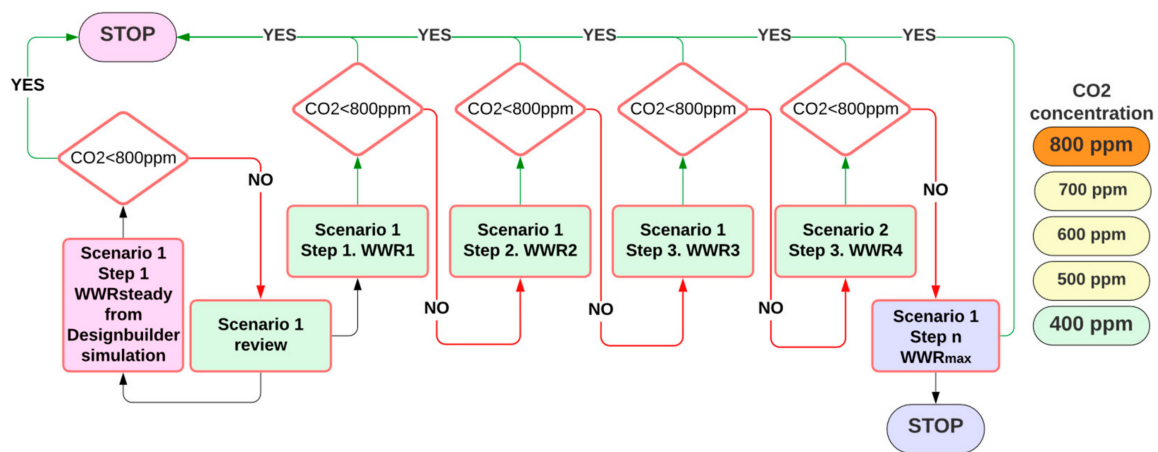


- The opening factor described as a  $WW_{eq}R$ , which can be manually or mechanically operated on site, following any of the suggested algorithm rules. It is also possible to model it in *DesignBuilder*<sup>TM</sup> through EMS scripting, as described in Section 3.1.

#### The Steady Natural-Ventilation Protocol

The SNVP can increase the natural ventilation while being easily implemented in the building. It describes an ideal steady-state opening WWR for all the operational time, according to the classroom configuration. See Figure 5 for details. For scenarios where the outdoor  $CO_2$  is circa 400 ppm, the SNVP can be described as follows:

- The  $WW_{eq}R$  is calculated via *Designbuilder*<sup>TM</sup> simulations to maintain the  $CO_2$  concentration under 800 ppm.
- The  $WW_{eq}R$  obtained is then applied on-site to the case study in scenario 1. If the  $CO_2$  concentration is below 800 ppm during this time, then the objective is accomplished and the  $WW_{eq}R$  can be maintained while the rest of the conditions remain the same.
- On the contrary, if the  $CO_2$  concentration is not below 800 ppm, then the  $WW_{eq}R$  must be revised in several steps, until it finally decreases to under 800 ppm.



**Figure 5.** SNVP for optimal IAQ during winter season.

#### The Dynamic Natural-Ventilation Protocol

The DNVP has been designed as an improvement on the SNVP to increase air renovation control while minimizing the negative effect of thermal loads in extreme seasons. The ease of the DNVP will provide the opportunity for a successful implementation of natural ventilation policies in those school buildings where complex management systems are not available, for some reason. The DNVP can be seen in Figure 6. For scenarios where the outdoor  $CO_2$  is circa 400 ppm, the summary of the DNVP can be described as follows:

- In the first step, if the  $CO_2$  concentration is above 800 ppm, then windows must be fully opened to maintain the  $CO_2$  as low as possible.
- In the next step, when  $CO_2$  is below 800 ppm, the windows on both sides of the room will be opened according to a rising scale of  $WW_{eq}R$ . The  $WW_{eq}R$  is the average number of the WWR in the case of cross ventilation with different window sizes in the windward and leeward facade. For each of these openings, the  $CO_2$  concentration is measured. If the value remains below 800 ppm, then the process is stopped.
- If the  $CO_2$  rises above 800 ppm, then a full opening must be applied to quickly remove pollutants until the  $CO_2$  approaches the  $CO_2$  min. The  $CO_2$  is the result of the natural ventilation according to the maximum  $WW_{eq}R$  of each case. Then, the  $CO_2$  concentration can be considered as around 550 ppm. The  $WW_{eq}R$  is then set to the next higher value from the previous step. Again, if the value remains below 800 ppm, then the process is stopped. On the contrary, if the  $CO_2$  rises above 800 ppm, then the full opening scenario must be applied to repeat the flush process and the  $WW_{eq}R$  applied for the next corresponding value.

- The process must be repeated in several steps until the CO<sub>2</sub> remains below 800 ppm or, alternatively, the CO<sub>2</sub> is above 800 ppm, but the windows remain fully open.
- In the next step, when CO<sub>2</sub> is below 800 ppm, the windows in both sides are opened according to the increasing scale of WW<sub>eq</sub>R. The WW<sub>eq</sub>R is the average number of the WWR in the case of cross ventilation with different window sizes in the windward and leeward side. To ease the process for the school buildings management team, the WW<sub>eq</sub>R used came from an easy-to-remember opening width: 2-4-8-10-15-22 cm in each of the windows at the same time.

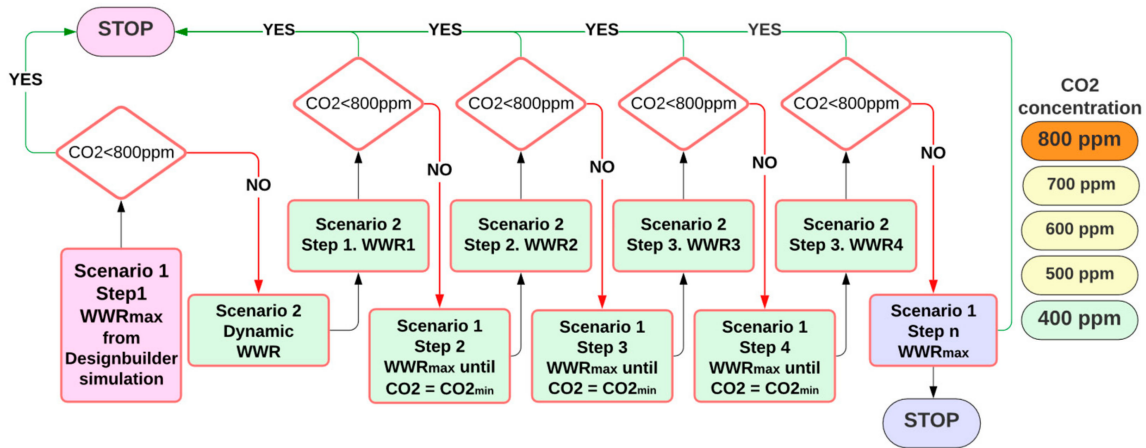


Figure 6. DNVP for optimal IAQ during the winter season.

### 2.3.3. Opening Considerations for This Case Study

According to previous experiments [36], if the size of the windows on the leeward and windward side are different, then the most effective opening configurations include the smaller windows in the windward side, which is the configuration of this case study. The equivalent opening area is calculated through Equation (1) and shown in Table 4.

Table 4. WWR and WW<sub>eq</sub>R depending on opening configuration.

Windward/Northeast Windows 59 cm Height × 134 cm Wide			WW <sub>eq</sub> R <sup>1</sup> as Equation (1)	Leeward/Southwest Windows 105 cm Height × 107.5 cm Wide		
Opening Conditions				Opening Conditions		
Wide (cm)	Wide (%)	WWR (%)		WWR (%)	Wide (%)	Wide (cm)
2	1.5%	0.23%	0.23%	0.54%	1.9%	2
4	3.0%	0.46%	0.45%	1.07%	3.0%	4
8	6.0%	0.92%	0.87%	2.14%	6.0%	8
15	7.5%	1.72%	1.54%	4.02%	11.2%	15
22	11.2%	2.53%	2.16%	5.90%	16.4%	22
30	15.2%	3.45%	2.80%	8.04%	22.3%	30
51	47.7%	6.78%	4.10%	10.99%	44.0%	41

<sup>1</sup> equivalent ratio calculated with Equation (1) considering windows at both sides (wind and lee).

### 2.3.4. Calculation of Indoor Carbon Dioxide Concentration

This research uses the calculated natural ventilation mode in *Designbuilder*<sup>TM</sup> with a discharge coefficient of 0.65. The CO<sub>2</sub> concentration equations are described in the EnergyPlus<sup>TM</sup> Engineering Reference [47]. *The Carbon Dioxide Predictor-Corrector* is based on the following Transient Mass Balance Equation (see Equation (3)). Each element of Equation (2) is detailed in Appendix A.

$$\rho_{air} V_Z C_{CO2} \frac{dC_Z^t}{dt} = \sum_{i=1}^{N_{si}} kg_{mass} sched load * 1.0^6 + \sum_{i=1}^{N_{zones}} m_i (C_{zi} - C_z^t) + (C_{\infty} - C_z^t) + m_{sys}(C_{SUP} - C_z^t) \quad (2)$$

As described in Appendix A, the CO<sub>2</sub> concentration depends on internal CO<sub>2</sub> loads, the zone air density and volume, CO<sub>2</sub> due to outdoor infiltration and ventilation, which

are present in this simulation, and also on CO<sub>2</sub> transferred from other zones and CO<sub>2</sub> from the air supply stream, which are not present.

The standard *EnergyPlus*<sup>TM</sup> *epw weather file* [48] has been modified to establish the average wind speed and direction for a typical morning in December, with direction 260°, speed 2.6 m/s.

The *DesignBuilder*<sup>TM</sup> simulation includes a scheduled ventilation protocol which is not within the current options of the software capabilities. To ensure the proper function of the DNVP, the authors used the EMS runtime scripting tools described in *The Application Guide for EMS* [47]. The scripting code is presented in Appendix B. The EMS script is acting over the openings to ensure the CO<sub>2</sub> remains under 800 ppm, as described in the DNVP in Figure 4. The EMS window-opening actuator is connected to two variables/sensors: *Air\_CO2\_Concentration* and *TrendDirection*. *TrendDirection* evaluates whether the CO<sub>2</sub> concentration is rising or decreasing.

It is expected that slight variations will be found between the simulation forecast and the real-time monitoring data. This is provided by the expected delay between the software simulation and the human management of the windows.

Finally, the monitoring process introduces an evaluation TC according to the method described in the UNE-EN 16798-1:2020 [27], Section A.2.2. UNE. The adaptive comfort is based on the following Equation (3):

$$\theta_{rm} = (1 - \alpha) \times \left\{ \theta_{ed-1} + \alpha \cdot \theta_{ed-2} + \alpha^2 \cdot \theta_{ed-3} \right\} \quad (3)$$

$\theta_{rm}$  Outdoor running mean temperature for the considered day

$\theta_{ed-1}$  Daily mean outdoor air temperature for the i-th previous day

### 3. Results

This section provides a comprehensive review of IAQ in two different scenarios, according to the methodology explained in Section 2.

#### 3.1. Simulation Stage

At the simulation stage, the validity of the DNVP is evaluated for each WW<sub>eq</sub>R against the SNVP, from 0.23% to 2.16% WW<sub>eq</sub>R.

The first set of simulations were run in *DesignBuilder*<sup>TM</sup> with a constant wind speed of 2.6 m/s, direction 260°, and a constant WW<sub>eq</sub>R. These simulations are plotted in Figure 7. Additionally, the figure includes the occupancy level and the recommended CO<sub>2</sub> concentration limit defined by the World Health Organization and the RITE [29]. The occupancy defined is 21 people and the CO<sub>2</sub> limit is 800 ppm.

The results shown in Figure 7 describe how a 2.16% WW<sub>eq</sub>R is the only simulation remaining below the established CO<sub>2</sub> limit of 800 ppm. All the other configurations of WW<sub>eq</sub>R provide simulation results between 800 and 2600 CO<sub>2</sub> ppm. In all run simulations, the CO<sub>2</sub> increases exponentially until it reaches a flat line, which remains constant, except in the simulations with the WW<sub>eq</sub>R under 0.45%. In these simulations, the CO<sub>2</sub> is still rising until the occupancy is zero, between 12:05 and 12:30. The simulations show the WW<sub>eq</sub>Rmax for this case study, which is 4.10%. For the WW<sub>eq</sub>Rmax the average CO<sub>2</sub> is circa 544 ppm.

For the next set of simulations run in *DesignBuilder*<sup>TM</sup>, all the parameters remain the same except the WW<sub>eq</sub>R, which is controlled by the DNVP EMS script, as explained in Appendix B. The WW<sub>eq</sub>Rs that provided a CO<sub>2</sub> concentration below 800 ppm are not considered in this simulation, following the DNVP algorithm. The results of the CO<sub>2</sub> concentration obtained through the calculations via Equation (2), explained in Appendix A, are shown in Figure 8. CO<sub>2</sub> limits and wind conditions remain the same as those in Section 3.1.

The results shown in Figure 8 describe the running loops of the different WW<sub>eq</sub>Rs. Each of the WW<sub>eq</sub>R loops rises until 800 ppm, then they change to 4.10% WW<sub>eq</sub>R until

the CO<sub>2</sub> concentration decrease to 400 ppm or as low as possible. As expected, for those opening configurations with a lower WW<sub>eq</sub>R, the number of loops is higher.

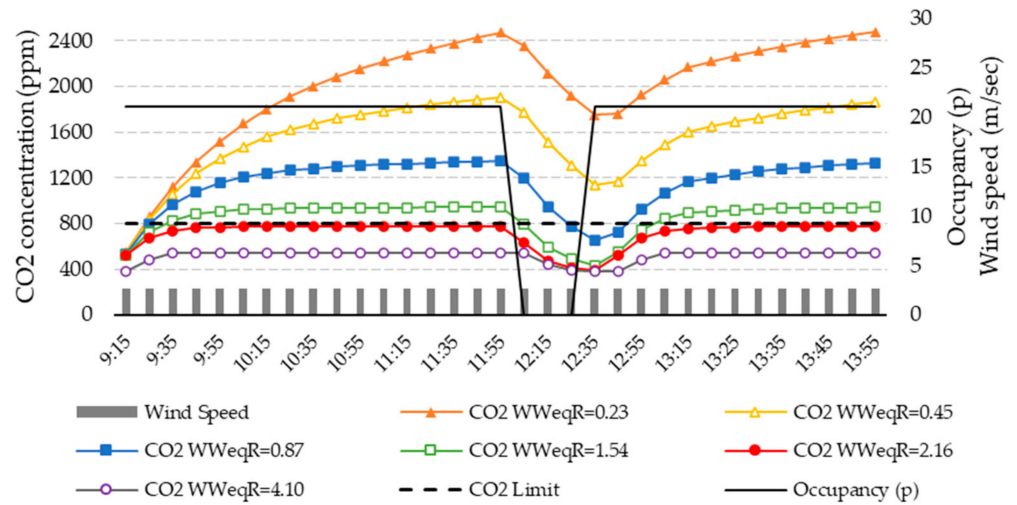


Figure 7. CO<sub>2</sub> concentration for different WW<sub>eq</sub>R<sub>s</sub> with SNVP.

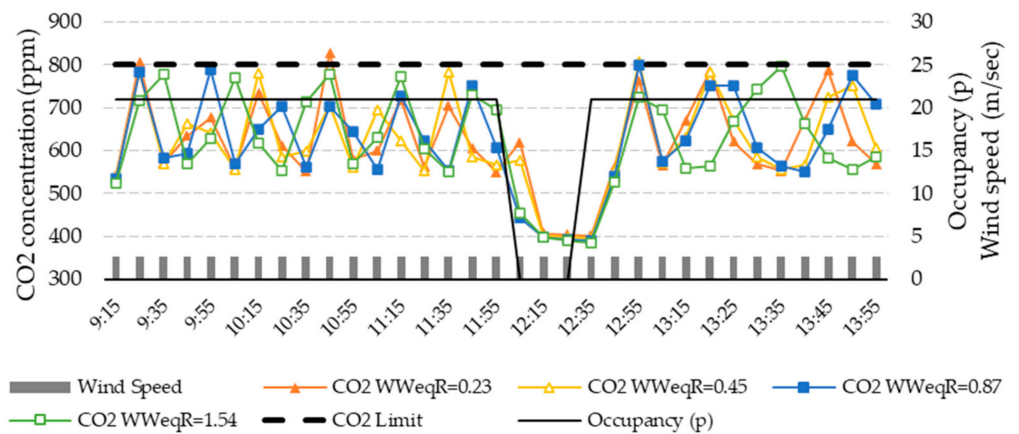


Figure 8. CO<sub>2</sub> concentration for different WW<sub>eq</sub>R<sub>s</sub> with DNVP activated.

Figure 9 summarize the results of simulations for 0.23% WW<sub>eq</sub>R, but comparing both the SNVP and the DNVP. Regarding the selected 0.23% WW<sub>eq</sub>R, the results of CO<sub>2</sub> concentration, when the DNVP is on, are below 800 ppm, while they achieve circa 2500 when the SNVP is on.

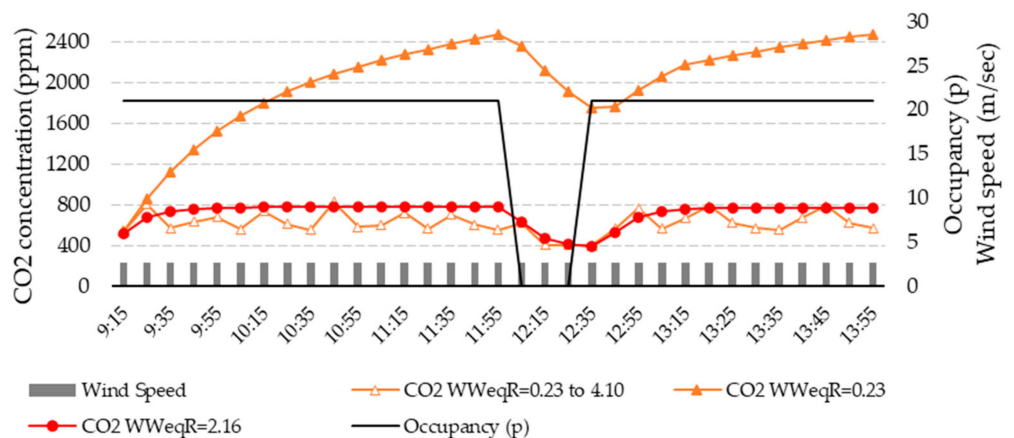


Figure 9. CO<sub>2</sub> concentration at 0.23% WW<sub>eq</sub>R for simulation with DNVP vs. SNVP.

Data shown in Figures 7–9 are organized and presented in Table 5.

**Table 5.** Simulation summary of average CO<sub>2</sub> concentration in ppm for several WW<sub>eq</sub>Rs.

WW <sub>eq</sub> R	Protocol	09:10 09:30	09:30 10:00	10:00 10:30	10:30 11:00	11:00 11:30	11:30 12:00	12:00 12:30	12:30 13:00	13:00 13:30	13:30 14:00	Peak
0.23 (%)	DNVP	608	643	637	641	634	620	485	579	627	640	641
	*SNVP	623	1274 *	1764 *	2063 *	2261 *	2414 *	2136 *	1827 *	2191 *	2416 *	2416 *
0.45 (%)	DNVP	599	642	632	628	630	632	477	581	632	642	642
	*SNVP	613	1180 *	1532 *	1707 *	1807 *	1880 *	1539 *	1236 *	1618 *	1813 *	1880 *
0.87 (%)	DNVP	593	652	645	647	644	642	427	573	644	649	649
	*SNVP	596	1037 *	1229 *	1292 *	1320 *	1340 *	984 *	790 *	1173 *	1302 *	1340 *
1.54 (%)	DNVP	565	653	667	680	668	662	431	574	636	636	680
	*SNVP	569	861 *	927 *	937 *	940 *	943 *	641 *	602 *	893 *	937 *	940 *
2.16 (%)	DNVP	543	729	750	752	755	757	469	544	745	757	757
	SNVP	547	749	773	774	774	774	525	551	758	771	774
4.10 (%)	DNVP	-	-	-	-	-	-	-	-	-	-	-
	SNVP	544	544	544	544	544	544	481	418	532	544	544

The results of CO<sub>2</sub> concentration are grouped by a set of rows for the same WW<sub>eq</sub>R. Each column describes a 30 min time frame to show the average CO<sub>2</sub> concentration simulated every 30 min period. Values over the 800 ppm CO<sub>2</sub> limit are shown in red \*.

The results shown in Table 5 confirm that all WW<sub>eq</sub>R configurations maintain the CO<sub>2</sub> concentration under the limit when the DNVP is active, while only a 2.16% WW<sub>eq</sub>R configuration maintains the CO<sub>2</sub> concentration objective when the SNVP is active.

### 3.2. Monitoring Results

At the monitoring stage, the most efficient WW<sub>eq</sub>Rs for the DNVP are validated during several days in 2021: 18th and 26th November and 17th December. There, real variable occupancy and weather applies instead of the constant ones considered in Section 3.1.

#### 3.2.1. Monitoring of CO<sub>2</sub> Concentration through Different WW<sub>eq</sub>Rs with DNVP

The WW<sub>eq</sub>R applied on site did not match totally with the WW<sub>eq</sub>R described in the DNVP algorithm. The school staff suggested reducing some WW<sub>eq</sub>Rs to avoid unwanted wind gusts from strong winds from 4.10% to 2.80%, and from 1.54% to 1.07%.

The results gathered after several weeks of IAQ data monitoring during November to December 2021 can be seen in Figures 10–12. Figures 10 and 11 shows the evolution of the CO<sub>2</sub> concentration in ppm during the DNVP on-site monitoring, from the lowest (0.23%) to the highest (1.07%) WW<sub>eq</sub>R, including the maximum ventilation flux at 2.80% WW<sub>eq</sub>R. Figure 11 shows the CO<sub>2</sub> concentrations when WW<sub>eq</sub>R is 2.16% with the SNVP. Figure 10 shows the CO<sub>2</sub> concentration evolution for different WW<sub>eq</sub>Rs, from 0.23% to 1.07%. At each CO<sub>2</sub> concentration peak, the school building staff modify the WW<sub>eq</sub>R to 2.80%, until the CO<sub>2</sub> falls below 400 ppm or to the lowest possible CO<sub>2</sub> concentration. The wind speed shown in Figure 10 indicates a great deviation from the average statistical conditions used in Section 3.1, which has a notable effect on the CO<sub>2</sub> concentration. In accordance with the strong wind and the promising results of the lower WW<sub>eq</sub>R, the school building staff decided to slightly increase the WW<sub>eq</sub>R to 1.07%, to reduce the TC unsatisfaction of the occupants. Therefore, in the last monitoring stint, between 12:30 and 13:55, the CO<sub>2</sub> remains between 800 and 1000 ppm.

Figure 11 shows the CO<sub>2</sub> concentration evolution for different WW<sub>eq</sub>Rs, from 1.07% to 1.82%, with additional variations up to 2.80%, according to the DNVP algorithm.

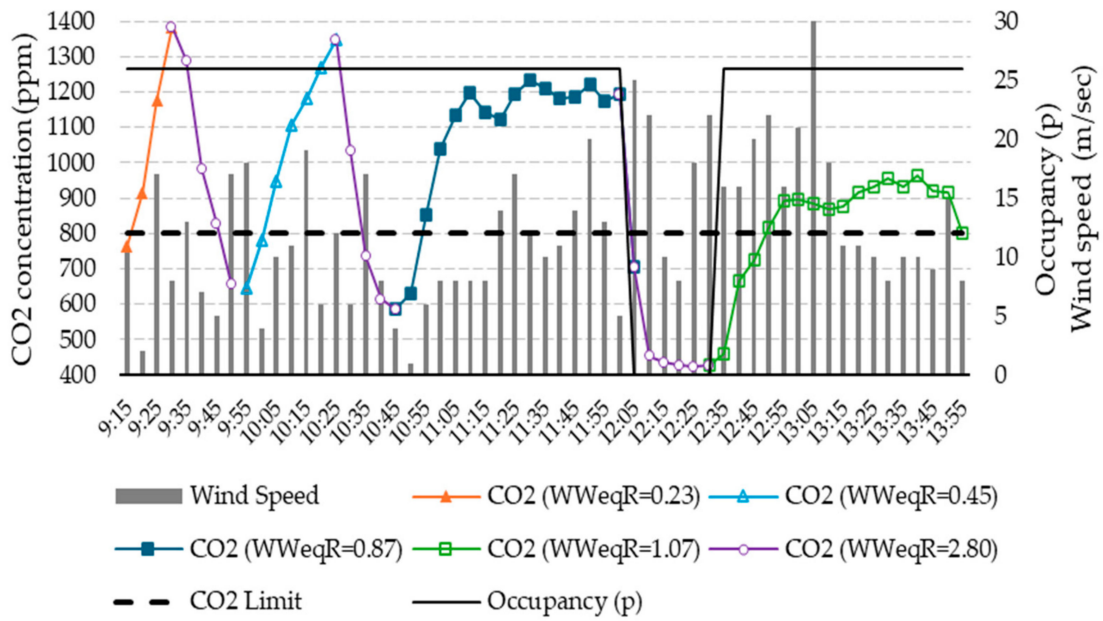


Figure 10. CO<sub>2</sub> concentration for different WW<sub>eq</sub>R<sub>s</sub> with DNVP on the 18th of November 2021.

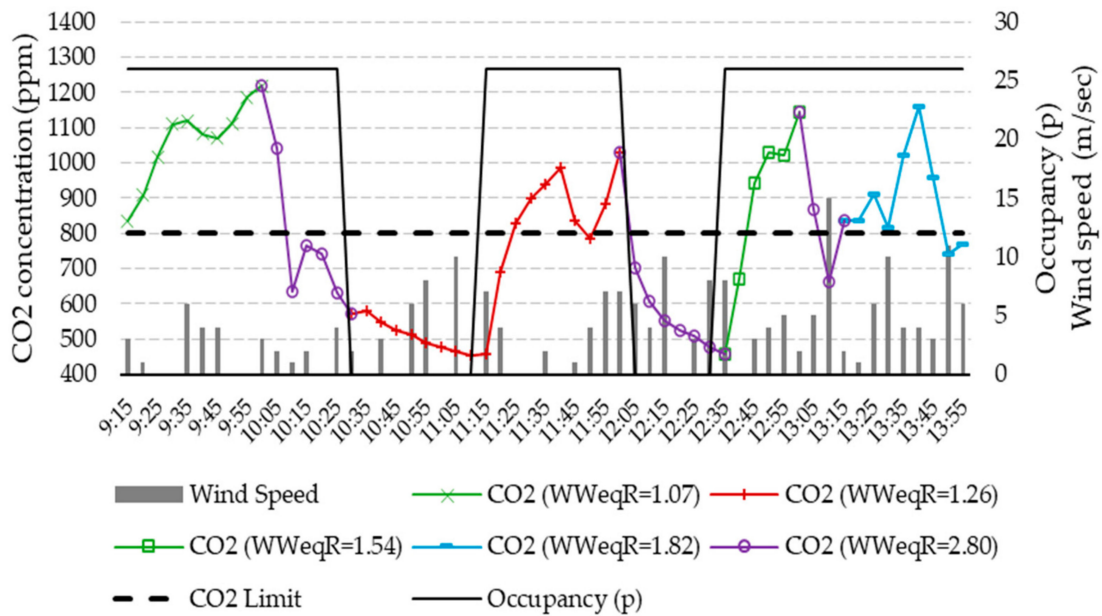


Figure 11. CO<sub>2</sub> concentration for different WW<sub>eq</sub>R<sub>s</sub> with DNVP on the 26th of November 2021.

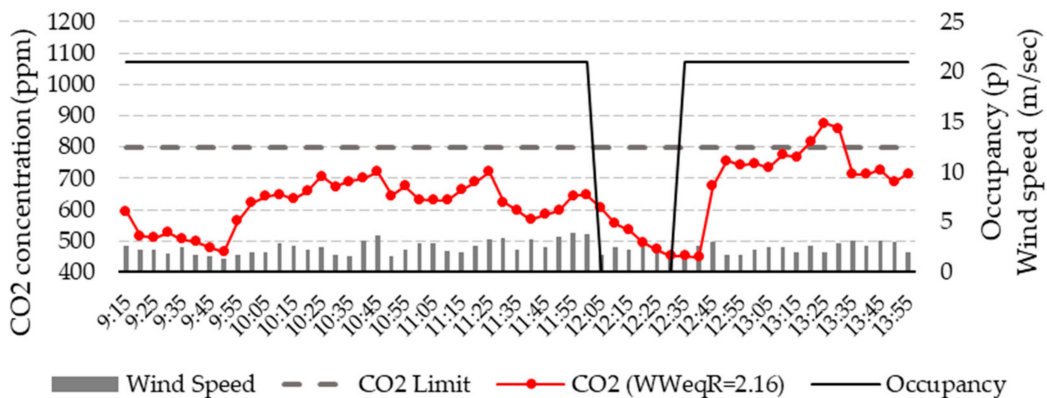


Figure 12. CO<sub>2</sub> concentration for 2.16% WW<sub>eq</sub>R<sub>s</sub> with SNVP on the 17th of December 2021.

The wind speed decreases from Figure 10 to Figure 11, which seems to affect the CO<sub>2</sub> concentration. Although the WW<sub>eqR</sub> was changed between 1.54% and 1.82%, the CO<sub>2</sub> concentration rises quickly, circa 1200 ppm, as it did with the 0.87% WW<sub>eqR</sub> in Figure 10. In both cases, in Figures 10 and 11, the occupancy remained the same and there were no other incidents during the experiment.

### 3.2.2. Monitoring of CO<sub>2</sub> Concentration through 2.16% WW<sub>eqR</sub> with SNVP

A new monitoring was carried out on the 17th of December using a 2.16% WW<sub>eqR</sub>, as suggested by the simulations and the previous monitoring processes. The results are shown in Figure 12.

During the whole process, the SNVP was not activated because the CO<sub>2</sub> concentration remained all the time below 800 ppm, except for a 10 min period from 13:20 to 13:30. Therefore the WW<sub>eqR</sub> selected (2.16%), achieved the maintaining of adequate levels of CO<sub>2</sub> concentration. There were some modifications in the key environmental parameters: the occupancy was 21 instead of 26 and the wind speed remain constant, between 2 and 3 m/s. Both parameters have a major influence on CO<sub>2</sub> concentration, as described in Equation (2).

Figure 13 shows a comparison of occupancy and wind conditions between the 18th and 26th of November and the 17th of December 2021. In all of them, the wind direction trend is quite similar, a northwest direction, but the wind speed is quite different. The first graph in Figure 13a shows peak speed values over 20 m/s, while the graph in Figure 13b shows lower wind speeds. Results in Figure 13c are similar to those average values described in Section 2.3.4., as used in the simulations. Figure 13a–c also includes the outdoor temperature, which is also relevant in comparing the TC with the climatic conditions. Figure 13a,c show outdoor temperatures from 10 °C to 20 °C, while Figure 13b shows a 5 °C-lower outdoor temperature.

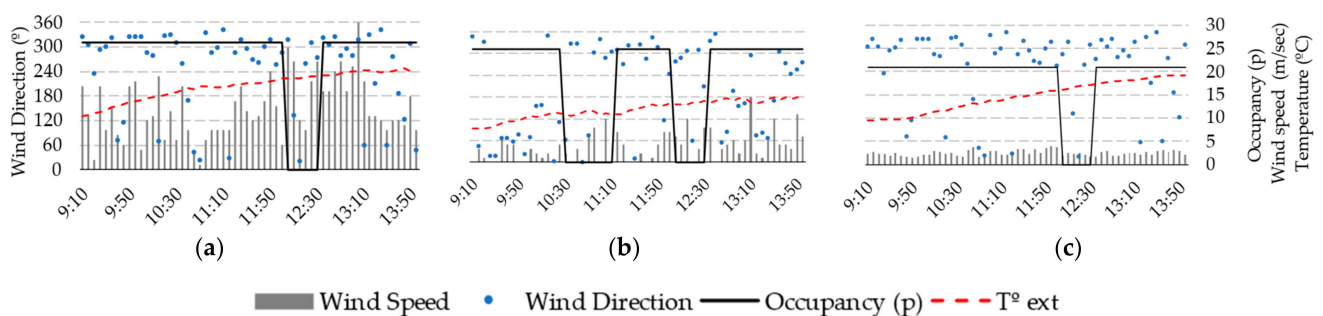


Figure 13. Wind conditions on 18th and 26th of November (a,b) and 17th of December 2021 (c).

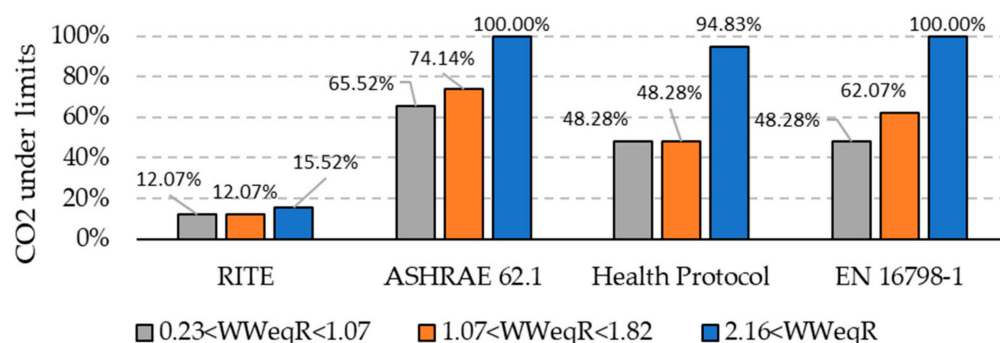
Table 6 includes a summary of the average values for each 30 min time frame for the three different days monitored. Each day starts with a specific WW<sub>eqR</sub>, from 0.23% to 2.16%. The table also includes the peak value for 2.16%. The table also includes the peak value for each period. During all the time frames, the concentrations of CO<sub>2</sub> remain below the suggested limits when the DNVP activates a WW<sub>eqR</sub> bigger than 2.16%, for a typical windy winter day in Seville.

Table 6. Monitorization summary of average CO<sub>2</sub> concentration in ppm for several WW<sub>eqR</sub>s.

WW <sub>eqR</sub>	Protocol	09:10 09:30	09:30 10:00	10:00 10:30	10:30 11:00	11:00 11:30	11:30 12:00	12:00 12:30	12:30 13:00	13:00 13:30	13:30 14:00	Peak
0.23–1.07 18th-Nov.	DNVP	964 *	880 *	1106 *	570	1139 *	1201 *	607	666	896 *	915 *	1201 *
1.07–1.82 26th-Nov.	DNVP	880 *	1114 *	839 *	538	562	888 *	655	767	877 *	912 *	1114 *
2.16 17th-Dec.	DNVP	529	503	652	684	661	603	552	588	787	711	787

### 3.2.3. Summary of CO<sub>2</sub> Assessment for DNVP and SNVP

Figure 14 shows the time during monitoring when CO<sub>2</sub> concentration is below the recommended limits according to the most relevant IAQ standards.



**Figure 14.** Percentage of time with CO<sub>2</sub> under limits described in Section 1 for several standards.

Figure 14 plots the results obtained in the three monitored days and groups them into color bars (gray, brown, and blue) to present a summary of time when the CO<sub>2</sub> is below the required limits: the 18th and the 26th of November, and the 17th of December.

When the WW<sub>eqR</sub> is 2.16%, the 17th of December (blue bar), the CO<sub>2</sub> concentration is adequate during 100% of the time according to the EN 16798-1 and ASHRAE 62.1, and during 94.83% of the time according to the Health Protocol, but only during 15.52% of the time according to the RITE. However, the RITE is mandatory for new buildings and/or thermal facilities' major renovations, but not for existing buildings.

When the WW<sub>eqR</sub> is between 0.23% and 1.82%, the 18th and the 26th of November (gray and brown bars), then the time within an adequate CO<sub>2</sub> concentration is reduced. During the time of data monitored on the 18th of November, with a WW<sub>eqR</sub> between 0.23 and 1.07 (gray bar), the IAQ was adequate for the standards between 12.07% and 65.52% of the time. During the time of the data monitored on the 26th of November, with a WW<sub>eqR</sub> between 1.07 and 1.82 (brown bar), the IAQ was adequate for the standards only between 12.07% and 74.14% of the time.

According to the IAQ monitoring realized, if RITE is not considered in the analysis, the CO<sub>2</sub> concentration was appropriate during all the time when the WW<sub>eqR</sub> was at least 2.16%, on the 17th of December. If the WW<sub>eqR</sub> used is between 0.23% and 1.82%, then the IAQ can be considered appropriate between 48.28% and 74.14% of the time.

### 3.2.4. Temperature Monitoring during the Activation of the DNVP

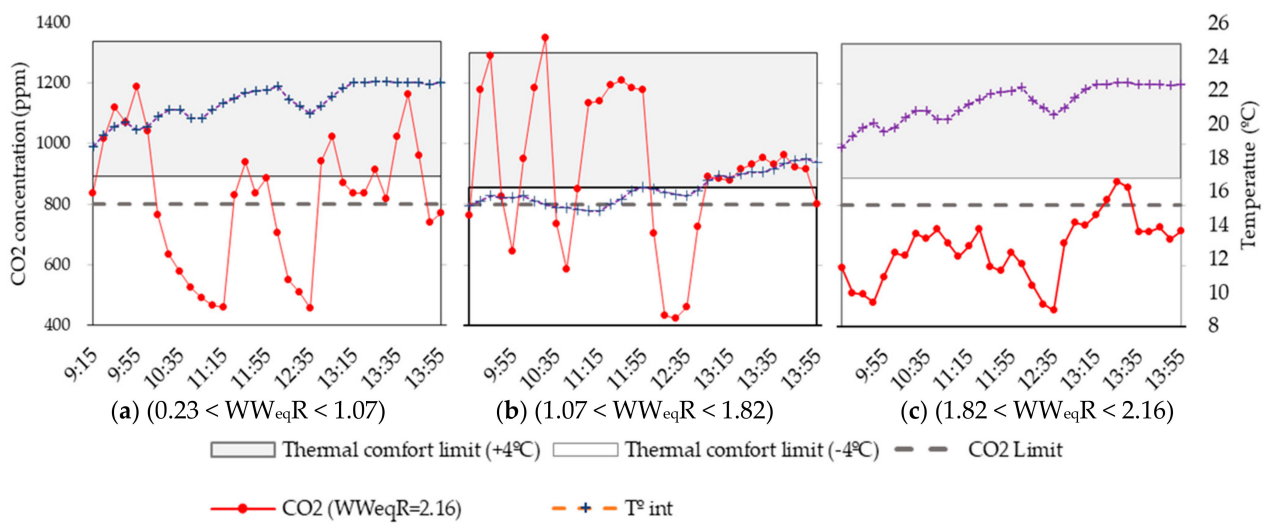
The TC has also been monitored during this experiment, to achieve the necessary balance with IAQ. In Figure 15, the temperatures and CO<sub>2</sub> concentration have been plotted with their respective limit levels. The CO<sub>2</sub> concentration limits are set at 800 ppm, while the temperature limits are set as described in the UNE-EN 16798 for the adaptive model of passive operation buildings.

Figure 15a includes the data obtained on the 18th of November, with WW<sub>eqR</sub> from 0.23% to 1.07% according to the DVNP algorithm. The interior temperature remains for all the monitored time within the requested limits for adaptive comfort.

Figure 15b includes the data obtained on the 26th of November, with WW<sub>eqR</sub> from 1.07% to 1.82% according to the DVNP algorithm. The interior temperature achieves the TC band only at the end of the monitored time (from 12:35).

Figure 15c includes the data obtained on the 17th of December, with WW<sub>eqR</sub> at 2.16% according to the DVNP. The interior temperature remains for all the monitored time within the requested limits for adaptive comfort.





**Figure 15.** CO<sub>2</sub> concentration and TC for a DNVP with WW<sub>eqR</sub> from 0.23% to 2.16%.

## 4. Discussion

### 4.1. The Convenience of Natural vs. Mechanical Ventilation in Achieving Adequate IAQ

The results shown in Section 3 describe how a natural ventilation protocol can provide acceptable IAQ in cross-ventilated school buildings. The adequate management of the WW<sub>eqR</sub> as proposed by the DNVP described in Figure 6 helps to achieve IAQ and TC. The alternative implementation of the SNVP can be equally successful in achieving the IAQ objective, but it may bring unwanted effects in TC.

Different IAQ standards have been considered in this study. If the standard applied is RITE [29], considering category IDA 2 with a 500 ppm CO<sub>2</sub> concentration limit, then the period below the CO<sub>2</sub> objective will be small. However, the RITE standard is only applicable for new construction buildings and major renovations of thermal facilities, which is not the focus of this research. The rest of the buildings that are not affected by the RITE, mostly in-use buildings, are focused on achieving IAQ with the objectives described in ASHRAE 62.1 [28], EN 16798 [27] and The COVID-19 Health Protocol [25]. For these standards, the effectiveness of the natural ventilation strategies can be achieved for circa 100% of the classroom period for buildings with cross ventilation in a subtropical climate with the proper WW<sub>eqR</sub> implementation regarding the DNVP.

Results shown in Section 3.1 are based on the hypothesis of an outdoor CO<sub>2</sub> concentration of 400 ppm. The results shown in Section 3.2, for periods with no occupancy, demonstrate the validity of the outdoor CO<sub>2</sub> concentration close to 400 ppm. Future studies may include additional analysis comparing the effectiveness of natural ventilation when the outdoor air quality is above 400 ppm. For those buildings, in cities with high pollution levels, the DNVP should include connection to outdoor sensors [21].

This study is focused on those indicators that affect exclusively thermal comfort. However, high-noise urban areas may be inadequate for natural ventilation. Future versions of the DNVP should include noise-level outdoor sensors to prevent unwanted effects.

### 4.2. Dynamic vs. Steady Ventilation Controls

The results provided in Section 3.1. show interesting results for maintaining the CO<sub>2</sub> concentration below 800 ppm with constant modifications of the WW<sub>eqR</sub>. The design and implementation of the DNVP seeks to minimize the discomfort provided by the air renovations but maintaining the requested IAQ objective. However, the results shown in Section 3.2 demonstrate no great difference between the application of the SNVP and DNVP algorithms. Figure 15a–c indicates no benefit with the implementation of a DNVP, but according to Figure 13a–c there was a significant modification to the weather conditions compared with those considered as average in the simulations in Section 3.1. Each scenario

shown in Section 3 provides comparable, but not identical, results about the convenience of using the DNVP or the SNVP to achieve 800 ppm as the maximum CO<sub>2</sub> concentration.

The results provided by other authors [20], in similar climate conditions, indicate 60% hours with no TC when natural ventilation is the only source for obtaining IAQ. However, the results, provided in Figure 15a–c demonstrate a higher period of TC. Figure 15c, with 2.26% WW<sub>eqR</sub>, achieves 94.38% of IAQ according to the Health Protocol CO<sub>2</sub> requirement, and a 100% TC according to the adaptive comfort model [40]. Future studies should focus on the need to extend the monitoring period to include the effects of climate change [42].

#### 4.2.1. Simulation Results

Most of the authors describe the IAQ with natural ventilation as a discussion on the need to maintain a constant or variable WW<sub>eqR</sub> [6,14]. The results shown in Section 3.1 indicate that a variable WW<sub>eqR</sub>, alternating a higher and lower WW<sub>eqR</sub>, can maintain an acceptable IAQ while reducing periods with high WW<sub>eqR</sub>. That is useful to avoid constant unwanted wind gusts and potential thermal discomfort.

When the SNVP is active, it is necessary to have a minimum WW<sub>eqR</sub> of 2.16% to achieve the CO<sub>2</sub> objective. The use of the maximum WW<sub>eqR</sub> available for this classroom may provide a 545 CO<sub>2</sub> concentration, which does not achieve the requirements of RITE (IDA 2 category) [29]. However, the requirements from all the other standards could be fulfilled.

When the DNVP is applied to the simulation (Appendix B), each of the WW<sub>eqR</sub> considered, from 0.23% to 1.54%, achieves the CO<sub>2</sub> concentration objective. However, this anticipates the need to maintain a constant control on the openings and the WW<sub>eqR</sub>.

The results are based on a 0.65-discharge coefficient as standard value for *Designbuilder*<sup>TM</sup> simulations, and an average 2.6 m/s wind speed, in the northeast direction. It is not expected that climate conditions can be included in the DNVP algorithm, but future simulation works may include different discharge coefficients according to different school shapes and orientations [6,14].

#### 4.2.2. Monitoring Results

The results shown in Section 3.2 verify the simulation data obtained in Section 3.1 in many ways. However, due to physical restrictions, some parameters had to be changed during the monitoring process: people occupancy, and wind conditions. The monitoring described in Section 3.2 applies the DNVP to a real scenario. In both cases, the occupancy was 26 instead of the 21 used in Section 3.1, which provided an increase in the CO<sub>2</sub> concentration. Due to mechanical restrictions in opening the windows, caused by a lack of maintenance, the staff in charge of the experiment had to adapt different WW<sub>eqR</sub> from the ones used in the simulations.

The research achieves the CO<sub>2</sub> objective requested by the ASHRAE 62.1, the Health Protocol and EN 16798-1 when the WW<sub>eqR</sub> is 2.16%, but its success is reduced to 50% when the WW<sub>eqR</sub> is reduced. This confirms the strategies described in previous experiments [7], and the adequacy of this WW<sub>eqR</sub> for this case study, as adequate for the SNVP. The results in Figure 14 demonstrate the difficulties in achieving the CO<sub>2</sub> required by RITE for natural ventilation, due to its extreme request.

However, the monitoring results for validating the DNVP provide some slight differences from those obtained in Section 3.1, due several factors:

First, the variations steps of WW<sub>eqR</sub> for adjusting the IAQ were smaller than expected in the simulation results in Figure 8. Human management of the opening process cannot be fully followed by the school staff. Future versions of the DNVP must consider human limitations or introduce a mechanical control.

Second, wind and occupancy changes and variations could not be anticipated from the simulation to the monitoring process. Future versions of the DNVP could include low-cost outdoor air-quality sensors and a meteorological station to anticipate changes in the trends of CO<sub>2</sub> concentration.

#### 4.3. Adaptive Comfort with Natural Ventilation

The improvement in the IAQ of the buildings by adding natural ventilation [7] may have some comfort flaws [48]. IAQ can potentially affect TC and consequently the EE of the buildings [12,33]. However, there are passive-design measures that may combine good IAQ with TC to maintain a low energy demand. Some of these measures are based on adaptive TC [9], and they seek the combination of natural ventilation with climatization in a mix-mode approach. As shown in Figure 15a,c, for subtropical climates with mild winters, the TC can be achieved for 100% of the time if the adaptive comfort approach [27] is considered. However, the results shown in Figure 15b demonstrate that significant variations in outdoor temperatures produce a reduction in the time within the TC limits. It has been demonstrated that the introduction of control patterns in existing buildings with natural ventilation such as the DNVP, whether they are manual or mechanical, can improve the IAQ while maintaining adaptive TC on mild winter days.

The DNVP must be revised to be used in school buildings in different climatic regions, to demonstrate its convenience. Other authors suggest overheating as the main barrier for TC introducing natural ventilation to achieve IAQ in a hot, humid climate [22]. There, the DNVP may introduce an additional input temperature sensor.

#### 5. Conclusions

The research describes the principles, simulation, implementation, and monitoring of two different scenarios of natural cross ventilation applied to a school building affected by a subtropical climate. The findings are summarized in the following points:

- Two different ventilation control algorithms, the SNVP and DNVP, were developed by the contribution of the software simulation to guarantee an acceptable IAQ, according to the most-accepted standards.
- For this case study simulation, the SNVP provides a 2.16%  $WW_{eq}R$  to maintain  $CO_2$  below 800 ppm for a typical winter day in a subtropical climate, with 2.6 m/s wind and a northeast direction, when the occupancy is twenty-one students.
- The simulation of the DNVP anticipates that any  $WW_{eq}R$  below 2.16% can maintain  $CO_2$  below 800 ppm if it increases the  $WW_{eq}R$  to remove the unwanted  $CO_2$ .
- The simulations, run in *Designbuilder<sup>TM</sup>*, obtain a different grade of validation for the SNVP. The results, with a steady 2.16%  $WW_{eq}R$ , shown in Figure 9, are similar to the monitored results in Figure 12. However, the accuracy of the simulated DNVP is reduced because of a lack of accuracy in the opening management. The DNVP simulation describes double the  $WW_{eq}R$  modifications compared to the DNVP monitoring. The implementation of manual proceedings applied by the building staff seem to be less effective than mechanical controls. This may be considered in future studies.
- According to the results obtained in the monitoring process, the application of the SNVP maintains the  $CO_2$  concentration under 800 ppm during 100% of the operational time when the  $WW_{eq}R$  was 2.16%, but failed during part of the study when the DNVP was applied. That suggests the need to implement better  $WW_{eq}R$  controls to increase the efficiency of the protocols, as described in the simulation process.
- The  $CO_2$  limits proposed by RITE, 500 ppm, were only achieved for 15.52% of the time with the maximum  $WW_{eq}R$  applied, which demonstrates the inadequacy of the natural ventilation to fulfil the standard.
- When the DNVP is used with a  $WW_{eq}R$  below 2.16%, it can partially maintain  $CO_2$  under the required limits for those standards different to RITE. The analysis demonstrates compliance for 48.28% to 65.52% of the monitored time if the  $WW_{eq}R$  is between 0.23 and 1.07, and a compliance for 48.28% to 74.14% of the monitored time if the  $WW_{eq}R$  is between 1.07 and 1.82.
- During the monitoring process, the TC was also monitored to demonstrate the adequacy of the natural ventilation protocols with the adaptive TC described in the UNE-EN 16798 [27], for category III with a  $\pm 4$  °C of adaptation. The application of the DNVP on a mild winter day achieved 100% TC during the full monitoring period.

For schools in colder climatic zones, the TC could be compromised, if the rest of the conditions remain the same. However, passive-design principles could be used.

- Future versions of these ventilation protocols may include temperature sensors to improve the  $WW_{eq}R$  control. Therefore, it could lead to an increase in energy efficiency to become nearly zero-energy buildings by means of passive-design techniques.

**Author Contributions:** Conceptualization, methodology, software, validation, formal analysis, investigation, data curation, writing—original draft preparation, and visualization, A.S.C.; supervision, project administration, writing—review and editing, S.G.M. and J.M.A.M. All authors have read and agreed to the published version of the manuscript.

**Funding:** This work has been funded by the Research Center for Technology, Energy and Sustainability (CITES) at the University of Huelva (Spain).

**Institutional Review Board Statement:** Not applicable.

**Informed Consent Statement:** Not applicable.

**Data Availability Statement:** Data are contained within the article.

**Conflicts of Interest:** The authors declare no conflicts of interest.

## Appendix A

The Transient Mass Balance Equation. As briefly described in Section 2.3, the *DesignBuilder*<sup>TM</sup> CO<sub>2</sub> prediction is based on the calculation provided by *EnergyPlus*<sup>TM</sup> in the Engineering Reference, Section 2.5 [47]. The full equation and all its elements are described here:

$$\rho_{air} V_Z C_{CO2} \frac{dC_Z^t}{dt} = \sum_{i=1}^{N_{si}} kg_{mass_{sched\ load}} * 1.0^6 + \sum_{i=1}^{N_{zones}} m_i (C_{zi} - C_z^t) + (C_{\infty} - C_z^t) + m_{sys}(C_{SUP} - C_z^t) \quad (A1)$$

where

$\sum_{i=1}^{N_{si}} kg_{mass_{sched\ load}}$  = sum of each sum of scheduled internal carbon dioxide loads.  
The zone air density is used to convert the volumetric rate of carbon dioxide generation from user input into mass generation rate [kg/s]. The coefficient of 10<sup>6</sup> is used to convert the units of carbon dioxide to ppm.

$\sum_{i=1}^{N_{zones}} m_i (C_{zi} - C_z^t)$  = carbon dioxide transfer due to interzone air mixing [ppm·kg/s]  
 $C_{zi}$  = carbon dioxide concentration in the zone air being transferred into this zone [ppm]  
 $m_{inf}(C_{a??} - C_z^t)$  = carbon dioxide transfer due to infiltration and ventilation of outdoor air [ppm·kg/s]

$C_{a??}$  = carbon dioxide concentration in outdoor air [ppm]

$m_{inf}(C_{sup} - C_z^t)$  = carbon dioxide transfer due to system supply [ppm·kg/s]

$C_{SUP}$  = carbon dioxide concentration in the system supply airstream [ppm]

$m_{sys}$  = air system-supply mass flow rate [kg/s]

$\rho_{air} V_Z C_{CO2} \frac{dC_Z^t}{dt}$  = carbon dioxide storage term in zone air [kg/s]

$C_Z^t$  = zone air carbon dioxide concentration at the current time step [ppm]

$\rho_{air}$  = zone air density [kg/m<sup>3</sup>],  $V_Z$  = zone volume [m<sup>3</sup>]

$C_{CO2}$  = carbon dioxide capacity multiplier [dimensionless]

## Appendix B

*EMS script for DNVP.* The *DesignBuilder*<sup>TM</sup> simulation includes a ventilation protocol schedule, which is not within the current options of the software capabilities. To ensure the proper function of the DNVP, the authors used the EMS runtime scripting tools. The scripting code for north windward windows is presented here (the same has been used for the south leeward side, but with the corresponding opening factors):

```

<ForAllExternalWindows> {Tag=north}
EnergyManagementSystem:Sensor,
  <LoopWindowVariableName>Air_CO2_Concentration,
  <LoopWindowZoneIDFName>,
  Zone Air CO2 Concentration;
EnergyManagementSystem:Actuator,
  Venting_Opening_Factor_<LoopWindowVariableName>,
  <LoopWindowIDFName>,
  AirFlow Network Window/Door Opening,
  Venting Opening Factor;
EnergyManagementSystem:TrendVariable,
CO2_trend_log_<LoopWindowVariableName>, !- Name
<LoopWindowVariableName>Air_CO2_Concentration, !- EMS Variable Name
120; !- Number of Timesteps to be Logged
EnergyManagementSystem:GlobalVariable,
CO2_previous_state_dir_<LoopWindowVariableName>;
EnergyManagementSystem:OutputVariable,
CO2 2step dir <LoopWindowVariableName>, ! Name
CO2_previous_state_dir_<LoopWindowVariableName>, ! EMS Variable Name
Averaged, ! Type of Data in Variable
ZoneTimeStep; ! Update Frequency
Output:Variable, *, CO2 2step dir <LoopWindowVariableName>, Timestep;
Output:Variable, *, Window_current_state_<LoopWindowVariableName>, Timestep;
<LoopNextWindow>
! extra outputs for viewing in the results viewer
<If BuildingAttribute HourlyOutput = 1 Then>
Output:Variable, *, Zone Air CO2 Concentration, hourly;
<Endif>
<If BuildingAttribute TimesteplyOutput = 1 Then>
Output:Variable, *, Zone Air CO2 Concentration, timestep;
<Endif>
EnergyManagementSystem:ProgramCallingManager,
  CO2 Window Control,
  InsideHVACSystemIterationLoop,
  CO2WindowControl;
EnergyManagementSystem:Program,
  CO2WindowControl,
<ForAllExternalWindows> {Tag=north}
  ! on/off control of window opening factor
Set CO2_previous_state_dir_<LoopWindowVariableName> = @TrendDirection CO2_trend_log_<LoopWindowVariableName>
2,
if <LoopWindowVariableName>Air_CO2_Concentration < 400,
  Set Venting_Opening_Factor_<LoopWindowVariableName> = 0.015,
Elseif <LoopWindowVariableName>Air_CO2_Concentration < 800,
  if CO2_previous_state_dir_<LoopWindowVariableName> > -100,
    Set Venting_Opening_Factor_<LoopWindowVariableName> = 0.015,   else,
    Set Venting_Opening_Factor_<LoopWindowVariableName> = 0.477,   endif, else,
    Set Venting_Opening_Factor_<LoopWindowVariableName> = 0.477,   endif,
  Set Venting_Opening_Factor_<LoopWindowVariableName> = 0.477,   endif,
<LoopNextWindow>;

Output:Variable, *, AFN Node CO2 Concentration, Timestep;
Output:Variable, *, Zone Air CO2 Concentration, Timestep;

```

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