



Article

Three-Dimensional (3D) Modeling of Cultural Heritage Site Using UAV Imagery: A Case Study of the Pagodas in Wat Maha That, Thailand

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Featured Application: UAV-SfM was applied for generating 3D pagoda models in Thailand.

Abstract: As a novel innovative technology, unmanned aerial vehicles (UAVs) are increasingly being used in archaeological studies owing to their cost-effective, simple photogrammetric tool that can produce high-resolution scaled models. This study focuses on the three-dimensional (3D) modeling of the pagoda at Wat Maha That, an archaeological site in the Ayutthaya province of Thailand, which was declared a UNESCO World Heritage Site of notable cultural and historical significance in 1991. This paper presents the application of UAV imagery to generate an accurate 3D model using two pagodas at Wat Maha That as case studies: Chedi and Prang. The methodology described in the paper provides an effective, economical manner of semi-automatic mapping and contributes to the high-quality modeling of cultural heritage sites. The unmanned aerial vehicle structure-from-motion (UAV-SfM) method was used to generate a 3D Wat Mahathat pagoda model. Its accuracy was compared with a model obtained using terrestrial laser scanning and check points. The findings indicated that the 3D UAV-SfM pagoda model was sufficiently accurate to support pagoda conservation management in Thailand.

Keywords: UAV; terrestrial; photogrammetry; pagoda; heritage

1. Introduction

During the last decade, UAV-imaging has developed rapidly, enabling advancements in three-dimensional (3D) modeling for preservation, documentation, and management of cultural heritage sites [1,2]. Terrestrial surveying using terrestrial laser scanners is accepted for producing high-quality cultural heritage images, while the advent of robotic total stations has enabled researchers to gather large quantities of data more easily than ever before [3–6]. Unfortunately, the use of such geomatics-based approaches requires considerable expertise and a large surveying budget [2]. Alternatively, unmanned aerial vehicles (UAVs) can be used to easily and feasibly acquire 3D models. Furthermore, imaging using UAVs can be conducted economically and offers an ideal means for surveying complex archaeological sites [7–9]. There are different forms of UAVs, including fixed-wing [10], multi-rotor [11], and gyro. Furthermore, current platforms such as quadrotor [12] are more robust than their previous forms, due to their vertical take-off and landing capability, insensitivity to varying environments, high mobility and stability, and ease of operation [13,14]. The combination of computer vision and photogrammetry present in [15] has the following advantages: (1) Remote control systems, permitting the UAV to be perfectly positioned to collect images at varying heights and angles; (2) different sensors, ensuring that different image types can be used, including infrared,

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visible-spectrum, and thermal images captured from both calibrated and non-calibrated cameras, and (3) high-quality outcomes, enabling a researcher to control the reliability and accuracy of the results.

This study focuses on the low cost, portability, and completeness provided by the unmanned aerial vehicle structure-from-motion (UAV-SfM) method for cultural heritage site management. Two pagodas in Wat Maha That, Thailand (Chedi and Prang) are considered as a case study. The results demonstrate the accuracy of 3D models obtained using ground control points (GCPs), checkpoints (CPs), and terrestrial laser scanning (TLS). The study aims to apply the UAV-SfM method, which is cost-effective, convenient, and provides acceptable accuracy for building the 3D models compared with traditional techniques, such as TLS. The constructed 3D model could be employed in different applications in the future, such as tourism and generating the rapid mapping of cultural heritage in a short period, while ensuring acceptable quality.

2. Previous Work

Several previous studies have sought to use UAV technology for archaeological projects. Among these, Brutto [16] used the microdrone MD4-200 and the Sensefly Swinglet CAM UAV to photogrammetrically record the Temple of Isis. The equipment used in this case consisted of two UAV systems with different performance and characteristics fitted using a global station. Nadhirah and Khairul [17] proposed the use of UAV photogrammetry as a tool to capture and generate a 3D model in Negeri Sembilan, Malaysia.

Several other authors have used UAVs with certain geotechnological approaches; for example, Ebolese [18] used UAVs to produce a high-resolution 3D model of Lilybaeum, the ancient city of Marsala in Southern Italy. Chiabrando [19] studied an archaeological site in Hierapolis, Phrygia, Turkey, using UAV photogrammetry to collect aerial images of the site. Stek [20] used an inexpensive method involving drones to collect particularly high-quality spatial geoinformation, which could be employed to study the landscape archaeology at Le Pianelle in the Tappino Valley of Molise, Italy. Bolognesi [21] used a remotely piloted aircraft system for aerial photogrammetry at the Delizia del Verginese Castle of Italy. This was combined with a terrestrial laser scanner to generate a 3D archaeological model.

Research indicates that UAVs can be used for low-altitude imaging and remote sensing of spatial data [7,22–24]. UAVs are used for exploring cultural heritage sites because they are reliable and easy to use [25,26]. The latest developments in photogrammetry technology provide a simple, cost-effective manner to create a relatively accurate 3D model from 2D images [27,28]. These techniques provide a new set of tools for cultural heritage professionals to capture, store, process, share, and display images and annotate 3D models in the field. A review by Colomina and Molina [7] showed that the use of UAVs in the exploration of cultural heritage is increasing, owing to the ease of use and quality of processed measurements.

3. Materials and Methods

3.1. Cultural Heritage Sites: Wat Maha That, Thailand

The Wat Maha That site, including the site of the studied pagoda, is located at Ayutthaya, which was historically one of Thailand's capitals and is now a UNESCO World Heritage site. The city is located 85 km directly north of Bangkok. A historical park forms an important part of the city and is home to several culturally significant ancient temples. The historical park was granted UNESCO World Heritage status in 1991. This was justified under criteria III, which held that the site could be deemed an excellent witness to a period in which a true national form of Thai art was developed [29].

As shown in Figure 1, Wat Maha That is situated east of the Ayutthaya Island. It was a temple that held royal status and was considered the most sacred during the Ayutthaya period. The main Mahathat Chedi (bell-shaped pagoda) and Mahathat Prang (towering corn-cob pagoda) pagodas (Figure 2) contain relics from Buddha. At one point in history, these were temples where the Supreme Patriarch (head of the order of Buddhist monks in Thailand) resided.

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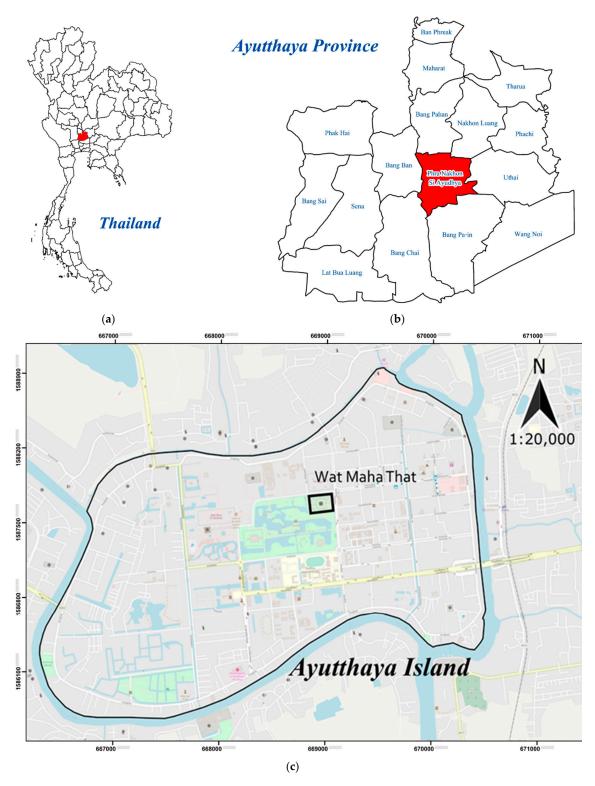


Figure 1. Aerial overview of the study area, Wat Maha That, Ayutthaya Island, Ayutthaya Province. (a) Map of Thailand. (b) Map of Ayutthaya Province. (c) Study area in Wat Maha That.

The Ayutthaya Chronicle [29] reported that the construction of the Mahathat Chedi commenced in 1374 during the reign of Phra Borom Rajathirat I and was completed under the reign of King Ramesuan. The foundation of the pagoda collapsed during the reign of King Song Tham, but was later reconstructed under King Prasat Thong's reign. Finally, the temple was destroyed and burned during the Burmese invasion in 1767 and has since been left in ruins.

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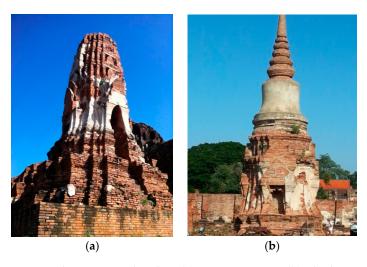


Figure 2. Pagodas at Wat Maha That. (a) Prang structure. (b) Chedi structure.

3.2. Image Acquisition Using UAVs

In the study, the DJI Inspire 1 Pro UAV platform (Figure 3), a Zenmuse X5 digital camera fitted with a global navigation satellite system (GNSS) and an interchangeable lens that can be operated in the real-time kinematic (RTK) mode was used to take 417 images of the Wat Maha That.



Figure 3. DJI Inspire 1 Pro and Inspire 1 RAW platforms designed to assist in aerial imaging.

3.3. Flight Planning and Control

To collect high-quality data, it is necessary to carefully plan the UAV flight according to the technical limitations and attributes of the platform, its equipment, and sensors. To create the plan, it is first necessary to conduct visits to the site or gain access to detailed maps. A plan can then be created in stages:

- 1. A photogrammetric block is designed;
- 2. The flight path of the strips, along with their forward and side overlaps, are established;
- 3. The theoretical scale is determined using ground sample distance (GSD) calculations.

The photogrammetric block is determined by the size and location of the archaeological site and comprises take-off and landing points, along with the initial flight direction. From a theoretical

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perspective, the plan includes external orientation parameters (spatial and angular positions: X_0 , Y_0 , Z_0 , ω , φ , and κ) and internal camera parameters (principal point and principal distance: X_0 , Y_0 , and c). The latter are set by the manufacturer of the camera. Once these parameters have been determined, it is possible to calculate the scale, flight altitude, side and forward image overlaps, number of strips, and number of images to be collected for each strip. If the platform does not feature gyro-stabilization, incorrect positioning will likely occur, necessitating the use of GNSS observations, i.e., GCPs (Figure 4). The final step is to ensure that the flight adheres to the original flight plan. This is accomplished using geometric controls, which ensure that the thresholds do not exceed certain criteria:

- 1. The vertical deviation of the image must be controlled by monitoring the angle between the optical axis and the nadir direction at the projection center.
- 2. Changes in direction and the drift effect must be controlled by monitoring the difference in the image coordinate system between the flight axis and the *x*-axis directions. In a planned flight, the theoretical value is 0° because the *x*-axis and the flight axis are in alignment.
- 3. The scale must be controlled. During digital photogrammetric flights, the definition of theoretical scale depends on the pixel size that is projected to the ground. Maintaining a constant GSD requires the ground to be a plane, but this is rarely the case in practice. The GSD is therefore dependent on the flight altitude and ground elevation, and can be calculated at any given point using a digital elevation model. The main aim is therefore to obtain an estimate for the GSD for each image and for each strip, which will eventually provide the mean GSD for the entire photogrammetric block upon completion of the flight.
- 4. The extent of the overlap must also be controlled. After calculating the scale and GSD, it is necessary to verify the forward and side overlaps that rise between images and between strips (Figure 5). This is essential, owing to the high degree of correlation between the altitude, GSD, and overlap values. The control of overlap depends on the verification of the side overlap between images and strips, and the forward overlap found between sequential images and strips.





Figure 4. Ground control points (GCPs) designed in a white and pink pattern.

As this particular platform type has no gyro-stabilizers and the GNSS is not highly accurate, it is necessary to use relatively wide limits to control the capture of images geometrically. Forward and side overlap limits are set at a minimum of 80% and 40%, respectively, while the error of the camera position is 3 m, and errors for the angular deviations are 0.2° (ϕ), 2° (ϕ), and 0.2° (κ). The greatest variation is 10% over the mean GSD size. Flight directional changes are restricted to a maximum of 2° .

The flight was planned at an approximate altitude of 50 m, over an area of approximately 1 ha. The flight captured a total of 417 images in three west–east strips, with ten images per strip, a minimum forward overlap of 80%, and a minimum side overlap of 40% (Table 1).

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Parameter	Value	Parameter	Value
Area of flight	1 ha	Baseline, b	32 m
Scale, S	1:50	Overlap, p	80%
GSD	20 mm	Sidelap, q	40%
Footprint	$80 \times 60 \text{ m}$	Spacing between strips, t	36 m
Flight height, H	50 m	Number of strips	3
Orientation of string	West-Fast	Image per strip	10

Table 1. Specifications of unmanned aerial vehicle (UAV) flight planning.

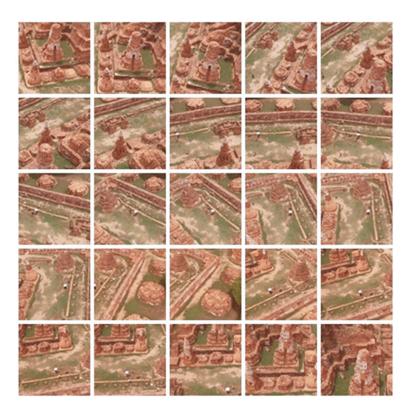


Figure 5. Overlap and sidelap images established from flight planning and control.

3.4. Reference Measurements

3.4.1. GCPs Measurements

GCPs were placed on natural features, such as the corner of the pagoda, and several on the interior of the interested area to assess the accuracy of the UAV-SfM method. Checkpoints (CPs) were used to check the pagoda accuracy in detail, and points were placed on the nature features of the pagoda façade.

3.4.2. Terrestrial Laser Scanning

The accuracy of a UAV-derived model was compared with that of the TLS data model, using a Riegl LMS-Z210 scanner recorded from multiple stations with high and medium resolution. TLS is a reference data model—its point cloud referred to known control points received from the RTK total station survey from benchmarks given by the Royal Thai Survey Department. The TLS device was set up at first at known XYZ coordinates, which were initiated from the total station. Finally, the result was applied as a reference for the UAV model. The accuracy of TLS is 3–5 mm. The 3D pagoda model was developed using the standard procedure implemented by CloudCompare software.

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3.5. Image Processing

3.5.1. UAV Image Processing

A series of UAV images depicting an archaeological site were obtained, and these were then matched to specific points of interest. The hierarchical orientation of the images was the methodology adopted to produce an approximate orientation of the image, using the form of an arbitrary coordinate system through a computer vision technique. To automate image-based modeling and produce high-quality 3D point clouds, a structure-from-motion (SfM) algorithm was employed to process using Pix4D software. A total of 12 GCPs measured using a real-time kinematic GNSS were manually assigned to the corresponding locations on the textured model (Figure 6). The georeferenced data from the bundle adjustment between the recovered image blocks and the 3D model were optimized using the GCPs coordinates. We analyzed the quality of 3D models, using the coordinates that were measured independently of the ground truth point, and compared them with the photogrammetric coordinates. The 3D point clouds, textured meshes, and orthoimages were created to provide useful and accurate information for archaeological purposes (Figure 7).

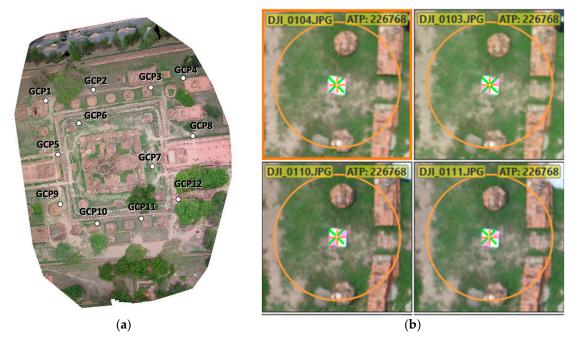


Figure 6. GCPs measured with a real-time kinematic global navigation satellite system (GNSS). (a) The locations of 12 GCPs. (b) GCPs marking.

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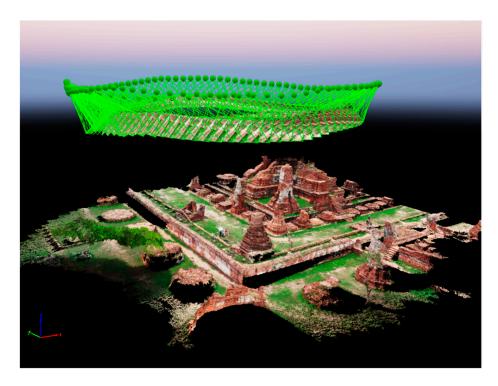


Figure 7. Camera position of the area of Wat Maha That, Ayutthaya flight.

3.5.2. 3D Pagoda Models Comparison

There were two datasets: One from TLS, which was the reference model, and the point cloud-produced nadir images from Pix4D. In order to compare the 3D pagoda model, point clouds were used to generate the 3D models using CloudCompare software. The 20 checking points scattered on the façade were mainly defined by using total stations to the physical structure of the pagoda. Obviously, the pagoda is a man-made structure, so some identical points can be measured and visually identified by the human eye; e.g., the pagoda's corners, windows, etc. However, the limitation of this study was not being allowed to place the checkpoint on the pagoda structure, due to the regulations and legislation governing historic structures with their conservative approaches, and the official suggestions were to declare it unsafe to climb the ruins of Wat Maha That.

4. Results

The initial UAV image processing of 417 images (0.016 m/pixel ground resolution at a flying height of 50 m) through the feature matching process, implemented in the SfM algorithm, produced a point cloud comprising 915,832 features over an area of 2020 m². The 3D UAV-SfM's model accuracy was evaluated by 12 GCPs, uniformly distributed over the study area using the following equations (Equations (1) to (3)):

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (x_{RTK,i} - x_{computed,i})^{2}}{n}}$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (y_{RTK,i} - y_{computed,i})^{2}}{n}}$$
(2)

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (y_{RTK,i} - y_{computed,i})^{2}}{n}}$$
 (2)

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (z_{RTK,i} - z_{computed,i})^{2}}{n}}$$
(3)

where

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RMSE is the root–mean–square error

 $x,y,z_{computed,i}$ is the point coordinates in the UAV images. $x,y,z_{RTK,i}$ is the point coordinate measured from RTK.

n is the number of GCPs

After bundle adjustment processing, the reported horizontal RMSE value was 0.028 m, and vertical RMSE was 0.230 m. The result is given in Table 2.

Table 2. Unmanned aerial vehicle structure-from-motion's (UAV-SfM) model accuracy assessment.

GCP	Field Survey Data		Diff			
GCI .	X (m)	Y (m)	Z (m)	dX (m)	dY (m)	Z (m)
1	669082.4191	1587840.2374	17.9260	0.021	-0.009	0.043
2	669064.9475	1587827.1337	19.0470	-0.012	0.012	-0.036
3	669010.3487	1587839.8734	18.5760	0.028	-0.029	-0.049
4	668978.6815	1587827.1337	18.1510	0.008	0.009	-0.086
5	668983.0494	1587790.0066	18.5300	0	-0.001	0.021
6	668996.8810	1587807.1142	18.2430	0	0.001	-0.081
7	669056.5757	1587778.3588	18.2350	0.047	0.003	0.026
8	669086.0590	1587798.7424	19.3790	-0.027	0	0.068
9	668984.1413	1587740.1397	20.1880	0.051	-0.040	-0.012
10	669018.7205	1587733.2239	19.8580	0.001	0	-0.057
11	669052.2078	1587737.2278	19.2190	0	0.001	0.021
12	669089.3349	1587739.7757	17.0110	0	0.001	-0.062
	RMSE H = sqrt $(sum(dX)^2 + (sum(dY)^2/n)$		0.028 m			
	RMSE V= sqrt $(sum(dZ)^2/n)$		0.052 m			

Furthermore, TLS and total station were performed to acquire reference data in this study for the independent coordinate checkpoints. To evaluate the overall quality positional accuracy of UAV-SfM results in a 3D pagoda model reconstruction, the 20 checkpoints were spread across the pagoda façade (bricks, window corner, etc.). Figures 8 and 9 show the distribution of these checkpoints on the pagoda façade.

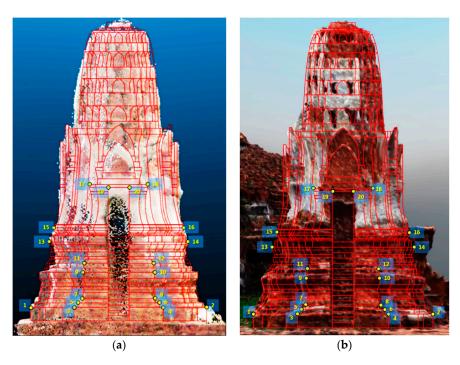


Figure 8. Comparison of the terrestrial and UAVs point cloud computed on 20 checkpoints for the Prang structure. (a) Point clouds from terrestrial laser scanning (TLS). (b) Point clouds from UAV.

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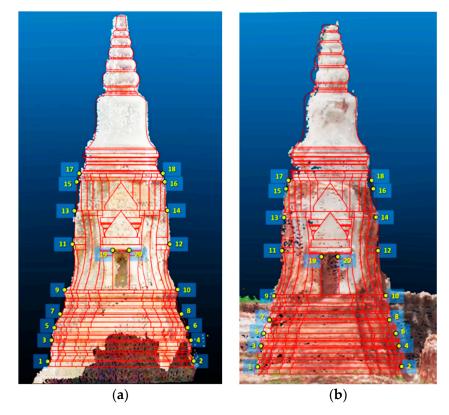


Figure 9. Comparison of the terrestrial and UAV point clouds, computed on 20 checkpoints for Chedi structure. (a) Point clouds from TLS. (b) Point clouds from UAV.

4.1. First Case Study: Prang Structure

The models derived from the UAV and models obtained from TLS were compared with total station data to make more detailed assessments. The results listed in Table 3 indicate that the RMSE horizontal of the Prang structure for TLS-calculated data from 20 checkpoints of ground control is 0.068 m, and that of the UAV is 0.066 m. The RMSEs of the vertical Prang structure were 0.030 and 0.054 m for TLS and UAV, respectively.

Table 3. RMSE of Prang, computed on 20 checkpoints for the terrestrial and UAV point clouds.

	Terrestrial	UAV
RMSE H (m)	0.068	0.066
RMSE V (m)	0.030	0.054

4.2. Second Case Study: Chedi Structure

The results for the Chedi structure can be seen in Table 4. The RMSE horizontal for TLS was 0.069 m, and for UAV was 0.069 m. The RMSE verticals were 0.022 and 0.021 m for TLS and UAV, respectively.

Table 4. RMSE of Chedi, computed on 20 checkpoints for the terrestrial and UAV point cloud.

	Terrestrial	UAV
RMSE H (m)	0.069	0.069
RMSE V (m)	0.022	0.021

As shown in Figures 8 and 9, to evaluate the quality of positional accuracy on the pagoda façade, in this study, the selected checkpoints could be clearly seen in a field survey, such as natural features,

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the corner of the pagoda, and brick, and also clearly seen in UAV images and TLS data. The red line is a 2D representation obtained from the Fine Arts Department of Thailand's footprint to help assist in determining the checkpoint positions.

The reason why checkpoints are not selected at the top of the pagoda is that the edge or corner of the pagoda in the UAV and TLS point clouds cannot be clearly seen, as shown in Figures 10 and 11.

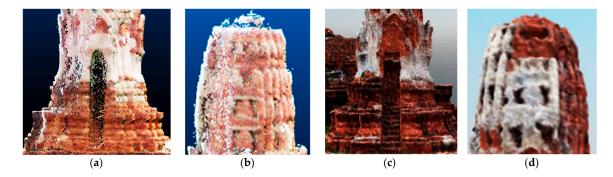


Figure 10. Comparison of the terrestrial and UAVs point cloud of the Prang structure: (a) Base of the Prang from TLS. (b) Top of the Prang from TLS. (c) Base of the Prang from UAV. (d) Top of the Prang from UAV.

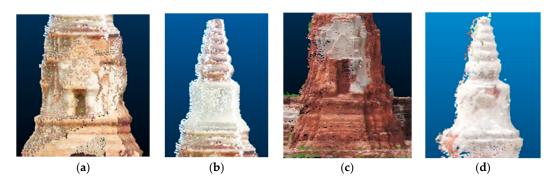


Figure 11. Comparison of the terrestrial and UAVs point cloud of the Chedi structure: (a) Base of the Chedi from TLS. (b) Top of the Chedi from TLS. (c) Base of the Chedi from UAV. (d) Top of the Chedi from UAV.

5. Discussion

The UAV-SfM approach can generate orthoimages in a short time, with good quality and accuracy, and they are simple in nature, such that non-expert users can also use them. In this study, it was confirmed that SfM performances from UAV imageries could be implemented for modeling 3D cultural heritage. The UAV-SfM's application for pagoda patterns in Thailand provides archaeologists and local authorities with high-resolution geoinformation that can be used to support tools for rapid mapping of cultural heritage buildings.

The quality of GCPs for verifying and checking accuracy-based georeferencing depends on the number of GCPs and their distribution over the study area [30–32]. Our results showed that the calculated RMSE values of 12 GCPs were 0.028 m in the horizontal direction and 0.230 m in the vertical direction, which are acceptable results for georeferencing.

The accuracy comparison between the point clouds produced from UAV imagery and the terrestrial laser scanning data uses the mean error of 20 check points. The output of UAV on the pagoda compared with the TLS point clouds found that the calculated RMSE value of TLS and UAV point clouds were almost the same, owing to the comparable size of both the Prang and Chedi patterns. In addition, the distribution of checkpoints were only on the bottom base of the pagoda.

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6. Conclusions

Developments in laser scanning technology were believed to have rendered the archaeological applications of photogrammetry obsolete; however, in reality, the techniques of digital image matching and image-based modeling are now considered a valid alternative to laser scanning. In this study, a highly effective and inexpensive tool is presented, which can create 3D models and orthoimages through the application of an image-based approach to modeling in the absence of other geotechnological equipment. This tool is flexible and easy to use, and employs proprietary software to generate images and models of complex scenes and objects, such as the archaeological site for the current demonstration at Wat Maha That.

This study evaluates the accuracy of the UAV-SfM method for pagoda exploration at Wat Mahathat, which helped determine the RMSE value as 0.028 and 0.052 m in horizontal and vertical directions, respectively. In addition, the results could be possibly applied in further analysis and for historical heritage management. The recording of these changes is particularly important for the architectural heritage of the Lord Buddha, where the relationship between personal architecture and the neighboring geographic environment has been maintained for hundreds of years.

Further work can investigate the integration of oblique images to enable the recording of historical objects in order to detect and record small details with high accuracy and completeness.

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