

Article



# Using Post-Harvest Waste to Improve Shearing Behaviour of Loess and Its Validation by Multiscale Direct Shear Tests

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# Featured Application: The research work highlights the great potential of application of loess-PHW mixtures to loess erosion control and slope stabilization.

Abstract: Loess and PHW (post-harvest waste) are easily accessible in the Chinese Loess Plateau and have been widely applied to construction of residential houses that have been inhabited for decades under the effect of freeze-thaw cycles. Although many researchers have recognised that the addition of fibers to loess soil is effective in preventing soil erosion and stabilising slopes, a consensus on this claim has not been reached yet. This study investigates the shearing behaviour of the loess-PHW mixture using small-scale and large-scale direct shear (SSDS and LSDS) tests. Four typical shear stress versus horizontal displacement curves from the multiscale direct shear tests are recognised where one is featured with strain-softening shape and the other three with a strain-hardening shape. Two out of the three curves with strain-hardening shape show a gradual increase in the shear stress at additional and larger displacements, respectively, in which some factor starts to have an influence on the shearing behaviour. Comparisons of the shear strength measured in SSDS and LSDS are made, indicating that there are differences between SSDS and LSDS. The effect of PHW addition on shear strength is assessed in order to determine the optimal dosage. The improvement of shear strength is attributed to the effect of particle inter-locking, resulting from the addition of PHW to loess specimens, and takes effect as the water content surpassed a threshold, i.e., >14%, that facilitates particle rearrangement. Particle-box interaction behaviour is assessed at the same time, and the findings satisfactorily address the main cause of the gradual increase in shear stress following the curve inflection point. The improved shearing behaviour proves the ability of the loess-PHW mixture to resist the seepage force and consequently stratum erosion.

Keywords: direct shear test; post-harvest waste; Chinese Loess Plateau; optimal dosage

# 1. Introduction

Since rapid urbanisation has significantly impacted surrounding environments [1–7], environment-friendly construction material may ease the impacts despite many advanced technologies available for environmental protection [8–12]. The strength and microstructure properties of spent coffee grounds (CG) stabilised with rice husk ash (RHA) were investigated, which are organic wastes derived from agricultural products [13–15]. The results have shown that elevated temperature curing of up to 90 days was deemed the key to secure the strength development of CG-RHA geopolymers. Belhadj et al. [16] performed an experimental work to assess the influence of the addition of barley straw

on the physico-mechanical properties and the microstructure of a concrete consisting only of sand as the main aggregate. The addition of barley straw to the sand concrete greatly improved its thermo-physical properties. On the other hand, significant improvements have also been measured in other properties, such as flexural strength, lightness, deformability, ductility, and toughness, notwithstanding that a decrease in the mechanical strength and an increase in the dimensional variations have been recorded. To solve the problems raised, several treatments were tested for improving the properties of the optimal composition of the studied lightweight concrete, and the barley straws treated with hot water showed good improvements in the flexural strength of the composite. Additionally, the hot water treatment led to acceptable results in the thermal characteristics although the density of the composite did not increase much compared to the concrete containing the untreated barley straws [17].

Loess soils are aeolian deposits containing primarily silt-sized soil particles. The wind-blown depositional process of loess formation, however, promotes development of a relatively loose soil structure prone to changes in hydro-mechanical load conditions [18]. The silt particles are thus easily eroded by seepage or wind. Tabarsa et al. (2018) [19] investigated the effectiveness of the loess stabilisation using nanoclay both in the laboratory and in the field at the Gonbad dam site, considering various fractions of nanoclay ranging from 0.2% to 3% by mass. The field test section with 2% nanoclay showed the highest erosion resistance, while the laboratory specimens exhibited the same general trends in behaviour. The cost for the use of nanoclay, however, may be an issue as it is subjected to a limited budget, which also indicates restricted applicability. More and more natural hazards were associated with the loess soil in northwest of China because of its metastable structure and wetting-induced collapse deformation [20–25]. Most natural hazards were initiated by soil erosion caused by expansion of agricultural activities resulting from rapid population growth in the region [26,27]. This widespread engineering problem led to various scales of catastrophic slope sliding including shallow and deep landslides [28,29]. Recently, plant roots were used for preventing soil erosion [30–33] and stabilising slopes [34–36]. A similar idea was also applied to residential houses built with straw bale containing post-harvest waste (termed PHW hereafter), such as wheat straw and corn cob, and loess soil on the Chinese Loess Plateau. Field investigations have shown that the residential houses were utilised over decades under the effect of freeze-thaw cycles (Figure 1) [37]. The phenomena motivate this study to investigate how the addition of PHW to the slightly cemented loess improves its shear strength properties. The direct shear (DS) method has been deemed to be a quick and economic manner for estimating soil shear strength. Previous studies on direct shear have shown that shear boxes of different size may not lead to similar shear stress-horizontal displacement curve, but shear strength [38–40]. In spite of the fact that recently the effects of straw and biochar amendments on chemical activities in the loess plateau of China have been studied [41,42], to the authors' knowledge no studies have been performed to assess the significance of test size and PHW dosage to the shear strength of the slightly cemented loess soils. One study objective is to compare the shearing behaviour of the loess-PHW mixture specimens measured in large-scale and small-scale direct shear (LSDS and SSDS) tests with the loess specimens. The other objective is to determine the optimal dosage of PHW that matters most to the ability of the loess-PHW mixture to resist the seepage force and consequently stratum erosion.



**Figure 1.** Residential house built from loess-PHW mixture: (**a**) location of Chinese Loess Plateau, (**b**) house wall and (**c**) enlargement of house wall.

## 2. Materials and Methods

## 2.1. Loess-Post-Harvest Waste (PHW) Mixture Specimens

Approximately 1 m<sup>3</sup> of loess soil material from one sampling spot, Lantian, located in the Chinese Loess Plateau was retrieved for specimen preparation. The retrieved material passing the No. 4 sieve (4.75-mm opening) was used ensuring that the ratio of box length to maximum particle size was at least 10 and that the ratio of box thickness to maximum particle size was at least 6 [43]. The dry unit weight  $\gamma_d$  of 13.72 kN/m<sup>3</sup> from the Standard Proctor test result was used for controlling compaction of specimens. The physical properties are summarised in Table 1. The particle-size distribution curves are shown in Figure 2. The material classifies as low plasticity silt (ML). The grading characteristics vary greatly with the uniformity coefficient C<sub>u</sub> ranging from 9.26 to 15.26 although the majority of the material shares a common USCS (Unified Soil Classification System) designation. There were three block samples prepared at the designed water contents  $\omega$ , i.e., 14%, 18% and 22%, respectively. Subsequently each sample was blended with the PHW treated with hot water (Figure 3) [44] and placed in a sealed container. The %PHWs by weight, while preparing the loess-PHW specimens, were equal to 0.3, 0.45, 0.6 and 0.75 respectively in SSDS and LSDS.

Sample	Uniformity Coefficient C <sub>u</sub>	Coefficient of Gradation C <sub>c</sub>	% Silt and Clay	% Sand	% Gravel	Unit Weight γ <sub>d</sub> (kN/m <sup>3</sup> )	USCS Symbol
S1a	9.26	1.94	74.46	6.57	0	13.72	ML
S1b	10.48	2.13	73.79	7.88	0	13.60	ML
S1c	15.26	2.79	71.68	5.95	0	13.83	ML

Table 1. Physical properties of tested loess soils.

Note: USCS represents the abbreviation of the Unified Soil Classification System.



Figure 2. Particle-size distribution curves for material used in direct shear tests.



**Figure 3.** Loess sampling and hot water treatment: (**a**) sampling location, (**b**) water bath apparatus, (**c**) water bath tank, (**d**) four sub-tanks and (**e**) post-harvest waste (PHW) before (right-hand side) and after (left-hand side) treatment.

# 2.2. Small-Scale Direct Shear Tests

We sheared 45 loess-PHW mixture specimens in the SSDS tests using a circular shear box of 61.8 mm in diameter and 20 mm in height. The SSDS tests were performed under normal pressures 100 kPa, 200 kPa and 300 kPa, respectively. The loess-PHW mixtures were compacted in the shear box in one single lift by tamping the top with a steel tamper. All the tests were conducted at a constant shearing rate of 0.8 mm/min to a maximum horizontal displacement (HD) of 7 mm. Measurements of HD and shear force were recorded by an industrial computer.

# 2.3. Large-Scale Direct Shear Tests

We sheared 27 loess-PHW mixture specimens in the LSDS tests using a square shear box (Figure 4). The shear box of 300 mm in width contains a 100-mm thick specimen. The loess-PHW mixtures were compacted following the same procedure as described for SSDS. Each mixture was sheared under normal pressures of 100 kPa, 200 kPa and 300 kPa, respectively. The applied normal pressures were manipulated by introducing a feedback-controlled pressure regulator. The prepared specimens were sheared at a rate of 0.8 mm/min to a maximum HD of 50 mm. Since the lower half of the shear box was bolted to the external box, a stepper motor that displaces the external box was used to control the HD. Measurements were also recorded for all the LSDS tests.



differential transformer.

**Figure 4.** Schematic illustration of direct shear method: (**a**) large-scale direct shear (LSDS) apparatus and (**b**) enlargement of shear box.

#### 2.4. Repeatability of Direct Shear Methods

Test repeatability has been deemed as the key to verify the effectiveness of the testing method adopted. Repeatability of the direct shear test was verified by performing six replicate tests. The six replicate tests on loess with %PHW equal to 0 were performed using the SSDS apparatus. The failure envelopes shown in Figure 5 from the replicate tests are similar. Shear strength parameters and coefficients of determination are summarised in Table 2. The  $\phi'$  value varies from 16.3° to 22.3°, with an average of 19.5° and a standard deviation of 2.2°. The c value varies from 51.61 to 83.80 kPa, with an average of 67.94 kPa and a standard deviation of 11.93.



**Figure 5.** Results of repeatability tests for the small-scale direct shear (SSDS) test: (**a**) specimen at the water content of 14% and (**b**) specimen at the water content of 18%.

Specimenno.	Cohesion c' (kPa)	Friction Angle φ' (°)	Coefficient of Determination R <sup>2</sup>
SS14-1	75.64	22.0	0.996
SS14-2	78.54	22.3	0.997
SS14-3	83.80	20.5	0.987
SS18-1	51.61	18.5	0.999

Table 2. Shear strength parameters for six replicate tests in SSDS.

Specimenno.	Cohesion c' (kPa)	Friction Angle φ' (°)	Coefficient of Determination R <sup>2</sup>
SS18-2	60.59	16.3	0.996
SS18-3	57.45	17.5	0.999
Average	67.94	19.5	0.996
Standard deviation	11.93	2.2	0.004

Table 2. Cont.

#### 3. Results and Discussion

#### 3.1. Definition of Failure

Due to limited space, only some of the SSDS and LSDS results are presented. The HD in DS tests was normalized to specimen diameter, termed the relative horizontal displacement (RHD) hereafter, for the sake of easy comparison. Figure 6 shows the relationships between the shear stress and the RHD for the SSDS tests, while Figure 7 shows the relationships between the shear stress and the RHD for the LSDS tests. Generally, the shear stress versus the RHD relationships for the SSDS tests can be categorised into strain-softening curve (Type 1) and strain-hardening curve (Types 2 and 3) (Figure 8). Shear stress increasing to a peak value and then gradually decreasing with increasing horizontal displacement was categorised into Type 1 (Figure 6a). In the case shear stress increasing to an ultimate value and then remaining essentially constant with increasing horizontal displacement was categorised into Type 2 (Figure 6b). Type 3 comprised two sub-types. Type 3a can be described as shear stress increasing to the curve inflection point where some factor starts to have influence on the shearing behaviour and then gradually increasing at a constant rate with additional horizontal displacement. Type 3b was similar to Type 3a, but followed by a gradual increase in shear stress at another constant rate with larger horizontal displacement. The gradual increases in shear stress observed in Type 3 were most likely attributed to the effect of particle-box interaction, as discussed subsequently. It is evident that Type 1, Type 2 and Type 3a were characterised by two distinguished curve slopes, initial slope and additional-displacement slope and that Type 3b was characterised by three distinguished slopes; that are, initial slope, additional-displacement slope and larger-displacement slope. In Type 3a and Type 3b for which no distinct peak stress was available, a suggested criterion of 4 mm HD (i.e., 6.5% RHD) [44] to determine the failure stress still had some distance from the onset of the tangent line, which also indicated an inappropriate criterion for determining the failure stress. Thus, the failure stress for Type 1 and Type 2 was defined using the peak stress and the horizontal tangent line, respectively, and from the onset of the tangent line, resulting from the additional-displacement slope, for Type 3a and Type 3b.



Figure 6. Cont.



**Figure 6.** Shear stress-relative horizontal displacement relationships from SSDS tests on the loess-PHW specimens at  $\omega = 14\%$ : (a) %PHW = 0 and (b) %PHW = 0.3.



**Figure 7.** Shear stress-relative horizontal displacement relationships from LSDS tests on the loess-PHW specimens at  $\omega = 14\%$ : (a) %PHW = 0 and (b) %PHW = 0.45.



Figure 8. Typical shear stress-horizontal displacement curves.

The shear stress versus the RHD curves from the LSDS tests exhibited two out of the four relationships observed from the SSDS tests, which are Type 2 (not shown) and Type 3a. The gradual increase in shear stress observed in Type 3a was believed to be related to the effect of particle-box interaction. Similarly, the failure stress for Type 2 and Type 3a was defined using the horizontal tangent line and the onset of the tangent line, respectively. Additionally, the suggested criterion of 4 mm HD was redefined by regarding the specimen size and applied to the shear stress versus the RHD curves for evaluation of its applicability. It was also found that the redefined criterion of 19.4 mm HD (i.e.,  $6.5\% \times 300$  mm) differed considerably from the onset of the tangent line, indicating that the 19.4 mm horizontal displacement might not be appropriate for determining the failure stress.

#### 3.2. Comparison of Shear Strength between Small-Scale Direct Shear and Large-Scale Direct Shear

A failure envelope of Mohr–Coulomb failure criterion was established through three DS tests that involved three different normal pressures using the linear least squares regression. The coefficient of determination R<sup>2</sup> for the failure envelopes in SSDS varied from 0.914 to 0.999, while in LSDS it varied from 0.906 to 0.999. Shear strength parameters for which the box friction and the possible non-linear nature of the failure envelopes near the origin were omitted are summarised in Table 3. Except the loess specimens with the %PHW equal to 0, the  $\phi'$  values were typically 16.3–30.4° in SSDS and 15.3–29.3° in LSDS. In most cases the  $\phi'$  value was the highest as the %PHW was equal to 0.60 and the effect of the PHW on the improvement of the  $\phi'$  value was more significant in SSDS than in LSDS. A comparison of the  $\phi'$  values from the SSDS tests and those from the LSDS tests is shown in Figure 9. It was noted that the difference between SSDS and LSDS was typically 0.7–8.8° except the difference of 17.8° for which the specimen prepared at  $\omega = 22\%$  with the %PHW being equal to 0. The PHW was deemed to be effective in achieving the effect of particle inter-locking and thus impeded the development of shear bands near the shear plane as subjected to shear force. The more PHW added, the greater the effect of particle inter-locking and the lesser the significance of the scale effect.

In addition to the  $\phi'$  value, the RHD at failure was compared between SSDS and LSDS as well. The RHD varied within the range of 1.9–5.4% in SSDS, while it varied within the range of 0.2%–6.7% in LSDS (Figure 10). The difference in the RHD at failure between SSDS and LSDS averaged 1.6%, with a maximum of 4.8%. It is evident that scatter existed in the data although in most cases the difference was generally limited to less than 2.0%. On the other hand, the difference in the RHD in fact was found to be governed by the added water, not by the added amount of PHW. The effect of particle inter-locking restrained the development of shear bands in the vicinity of the shear plane and became distinct when the water content was >14%. The lesser the amount of water added to loess-PHW mixture specimen, the larger the difference in the RHD and the greater the significance of the scale effect.

	SSDS				LSDS		
Specimen no.	c (kPa)	Φ′ (°)	R <sup>2</sup>	Specimen no.	c (kPa)	Φ′ (°)	R <sup>2</sup>
SS14-0	79.4	22.1	0.997	LS14-0	48.5	18.4	0.965
SS14-0.3	73.6	21.6	0.998	LS14-0.30	59.6	24.3	0.995
SS14-0.45	59.6	30.4	0.972	LS14-0.45	68.5	22.0	0.942
SS14-0.6	73.2	23.9	0.914	LS14-0.60	44.8	29.3	0.999
SS14-0.75	60.9	16.3	0.986	LS14-0.75	65.8	20.3	0.961
SS18-0	66.1	11.7	0.974	LS18-0	40.7	20.1	0.977
SS18-0.3	46.3	23.0	0.962	LS18-0.30	54.3	23.7	0.999
SS18-0.45	60.7	21.7	0.936	LS18-0.45	36.9	22.4	0.906
SS18-0.6	63.0	21.0	0.968	LS18-0.60	40.3	28.1	0.966
SS18-0.75	60.9	16.3	0.986	LS18-0.75	28.2	22.2	0.976
SS22-0	79.7	4.9	0.997	LS22-0	16.4	21.4	0.987
SS22-0.3	41.2	15.2	0.968	LS22-0.30	39.8	21.1	0.986
SS22-0.45	27.4	22.8	0.999	LS22-0.45	39.2	16.3	0.930
SS22-0.6	43.4	24.1	0.997	LS22-0.60	30.2	22.2	0.999
SS22-0.75	60.9	16.3	0.986	LS22-0.75	31.7	15.3	0.990

Table 3. Shear strength parameters of loess-PHW mixture specimens measured in direct shear tests.



Figure 9. Comparison of friction angle between SSDS and LSDS.



**Figure 10.** Comparison of relative horizontal displacement (RHD) at failure between SSDS and LSDS for three different water contents.

#### 3.3. Determination of Optimal PHW Dosage

Additional LSDS tests were conducted for the sake of determining the optimal %PHW to be added, in which the %PHW of 1.0 was considered while preparing the loess-PHW mixture specimens at  $\omega$  equal to 14%, 18% and 22%, respectively. The results of the LSDS tests are summarised in Table 4. The  $\phi'$  value was the highest as the %PHW was 0.6. Higher  $\phi'$  value was found as well at the %PHW of 1.0. It is noted that the  $\phi'$  value for the specimens at  $\omega = 14\%$  increased from 22° to 29.3° as the %PHW increased from 0.45 to 0.6. Then the  $\phi'$  value decreased to 20.3° as the %PHW further increased to 0.75. The  $\phi'$  value, however, increased to 25.1° as the %PHW was ultimately increased to 1.0. Similar tendency could also be observed for the specimens at  $\omega = 18\%$  and 22%, respectively. It is evident that the optimal PHW dosage derived from this study was within a range of 0.55–0.65 considering specimen homogenisation and testing reliability. The main cause to as well lead to the increase in the  $\phi'$  value at the %PHW > 0.8 was investigated by means of a simple test where the height of PHW ( $h_{PHW}$ ) in the shear box was measured under different normal pressures, hence allowing a back-analysis of the height of loess (hloess) in the shear box. Figure 11 shows the variation of  $h_{loess}$ ,  $\gamma_d$  and  $\phi'$  as the function of %PHWs added (i.e., 0.45, 0.6, 0.75 and 1.0) for the loess-PHW mixture specimens prepared at  $\omega = 14\%$ , 18% and 22%, respectively. The  $\gamma_d$  values of loess were very close to their maximum of 15.6 kN/m<sup>3</sup> from the Proctor curve (not shown) at %PHW of 0.8–1.0. This phenomenon was most likely ascribed to the densified loess resulting from a high %PHW added. It seemed that the shearing behaviour was governed by the amount of added PHW at %PHW <0.8 and that it, in turn, was governed by the densification effect as the %PHW was >0.8. To sum it up, the densification effect led to higher  $\phi'$  value at %PHW of 0.8–1.0, and this study identified the optimal PHW dosage to be 0.55–0.65 taking into account specimen homogenisation and testing reliability. The impact of the amount of water added would be discussed later in this paper.

		LSDS	
Specimen no.	φ' (°)	R <sup>2</sup>	γ <sub>d</sub> (kN/m <sup>3</sup> )
LS14-0	31.0	0.965	13.72
LS14-0.45	22.0	0.942	14.07
LS14-0.6	29.3	0.999	14.20
LS14-0.75	20.3	0.961	14.32
LS14-1	25.1	0.995	14.53
LS18-0	20.1	0.977	13.72
LS18-0.45	22.4	0.906	14.09
LS18-0.6	28.1	0.966	14.21
LS18-0.75	22.2	0.976	14.35
LS18-1	27.1	0.995	14.56
LS22-0	22.7	0.987	13.72
LS22-0.45	16.3	0.930	14.10
LS22-0.6	22.2	0.999	14.23
LS22-0.75	15.3	0.990	14.37
LS22-1	17.8	0.807	14.59

**Table 4.** Effect of PHW dosage on the improvement of friction angle  $\phi'$  measured in LSDS tests.



Figure 11. Cont.



**Figure 11.** Variation of loess height, unit weight and friction angle from LSDS at %PHW of 0.45, 0.6, 0.75 and 1.0: (**a**) specimen at  $\omega = 14\%$ , (**b**) specimen at  $\omega = 18\%$  and (**c**) specimen at  $\omega = 22\%$ .

#### 3.4. Effect of Particle–Box Interaction

As discussed, the gradual increase in shear stress following the curve inflection point was observed both in Type 3a and Type 3b and its main cause was still not clear. This phenomenon was further studied and elaborated upon. In this regard, two more displacement transducers attached to front and rear of the shear box were introduced for assessing particle movement while shearing in LSDS mode. Figure 12 shows the vertical displacement at front and rear of the shear box versus the RHD for the loess-PHW mixture specimen at  $\omega = 18\%$  and 22%, respectively, as subjected to the normal pressures of 100, 200 and 300 kPa. The vertical upwards displacement at front of the shear box was at most 5.3 mm, while the vertical downwards displacement at rear was typically 6–12 mm. The magnitude of the vertical displacements was more distinct at the rear of the shear box than at the front. Additionally, the greater the normal pressure applied, the larger the vertical displacement. The above results indicate that the particles, during shearing, tended to move to front and rear of the shear box and that the greater normal pressure largely promoted the movement of particles. The observed movement of particles was deemed as the main cause to lead to the further gradual increase in the shear stress observed both in Type 3a and Type 3b.



**Figure 12.** Vertical displacement at front and rear of the shear box versus relative horizontal displacement (RHD) considering three normal pressures of 100, 200 and 300 kPa: (**a**) specimen at  $\omega = 18\%$  and (**b**) specimen at  $\omega = 22\%$ .

#### 3.5. Mechanism Leading to Improved Shearing Behaviour

Addition of PHW hampered particle dislocation due to particle inter-locking. This effect thus impeded the development of shear bands in the vicinity of the shearing plane as the HD increased. The difference in the  $\phi'$  value between SSDS and LSDS was decreased for this reason. It was also found that the RHD rather was a function of the water content than of the amount of PHW added. In spite that addition of PHW hampered particle dislocation, this could not take effect with the small amount of water contained in the specimen. A more accurate way to describe the mechanism leading to the improved shearing behaviour of the loess-PHW mixture is that the improvement was attributed to the effect of particle inter-locking resulting from the added PHW and this effect took effect as the water content reached a threshold, i.e., >14%, that facilitates particle rearrangement. The findings prove not only the reduced environmental impacts while introducing the loess-PHW mixture as compared with other ordinary materials, but also a great potential of its application to sustainable development of urban areas [45–47].

#### 4. Conclusions

This study investigated the shearing behaviour of the loess-PHW mixture specimens with various water contents and different PHW dosages in SSDS and LSDS. Some main conclusions can be drawn as follows:

- (1) The test results showed that the difference in the φ' value between SSDS and LSDS was typically between 0.7–8.8°, and that the RHD at failure was generally limited to <1.5%, with an average of 1.2%. The improved shearing behaviour of the loess-PHW mixture was attributed to the effect of particle inter-locking and this effect took effect as the water content reached a threshold, i.e., >14%, that facilitates particle rearrangement. The improvement highlighted the great potential of application of loess-PHW mixtures to loess erosion control and slope stabilisation.
- (2) The φ' value was the highest as the %PHW was equal to 0.6. The observed higher φ' value, while the %PHW being equal to 0.8–1.0, was most likely because of the densification effect. The optimal PHW dosage derived this study was considered to be 0.55–0.65, taking into account specimen homogenisation and testing reliability.
- (3) In the LSDS tests, the vertical displacement, while shearing, was observed at front and rear of the shear box. This movement was more distinct at rear than at front. Additionally, the vertical displacement was increased with the increasing normal pressure. This phenomenon was deemed as the main cause leading to the gradual increase in shear stress following the curve inflection point.

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