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# A Theoretical Comparative Study of CO<sub>2</sub> Cascade Refrigeration Systems

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**Abstract:** The objective of this work is the comparison of the different cascade refrigeration systems with CO<sub>2</sub> in the low-temperature circuit. A total of 18 different cascade refrigeration systems are examined including the CO<sub>2</sub>/CO<sub>2</sub> cascade system. The analysis is performed for four different evaporator temperatures (−35, −25, −15 and −5 °C), while the condenser temperature is ranged from 10 up to 45 °C. The systems are compared energetically, as well as using the total equivalent warming impact (TEWI) for yearly operation at the weather conditions of Athens (Greece). The final results show that all the examined cascade systems are more efficient than the CO<sub>2</sub>/CO<sub>2</sub> cascade system. The natural refrigerants (NH<sub>3</sub>, R290, R600, R600a and R1270) seem to be the most appropriate choices according to the energy and the TEWI criteria. Moreover, the refrigerant R152a is a promising choice for achieving high performance with a relatively low TEWI.

**Keywords:** CO<sub>2</sub> refrigerant; cascade; total equivalent warming impact; refrigerant comparison; yearly COP

## 1. Introduction

The last two decades, the use of CO<sub>2</sub> as refrigerant is a revisited idea in order to avoid the use of harmful working fluids [1,2]. CO<sub>2</sub> is a working fluid with crucial advantages such as high thermal conductivity, density, latent heat, specific heat capacity, and low dynamic viscosity [3]. Moreover, CO<sub>2</sub> presents low toxicity, and flammability, while its global warming potential is equal to 1 (itself). After the EU F-Gas Regulation 517/2014 [4], the usual refrigerants have to be substituted with natural refrigerants such as CO<sub>2</sub>, propane, and NH<sub>3</sub>. Moreover, the use of refrigerants with GWP100 lowers than 150 (e.g. R152a) is a choice for designing environmentally friendly systems [5].

CO<sub>2</sub> is a refrigerant with low critical temperature (~31 °C), which leads to transcritical refrigeration cycles, especially in warm climates during the summer period. The transcritical operation is associated with a reduced coefficient of performance (COP) and thus the CO<sub>2</sub> faces limitations on this domain. The technology of the CO<sub>2</sub>—only systems has performed huge steps in the last years and numerous configurations have been suggested and optimized. Systems with internal heat exchangers [6,7], two-stage compression [8,9], parallel compression [10,11], mechanical subcooling [12–15], as well the use of ejectors [16,17] and expanders [18] are some of the most-established techniques for increasing the performance of the CO<sub>2</sub> refrigeration systems.

Another promising configuration is the cascade system with CO<sub>2</sub> in the low-temperature circuit and other refrigerants in the high-temperature circuit. This system seems to be more efficient than the others, especial for the warm climates [19]. The use of CO<sub>2</sub> in the low stage solves the problem of the low critical point which leads to the transcritical operation and also makes the system to operate with lower pressure levels. In the high-temperature circuit, usually, natural refrigerants are used with NH<sub>3</sub> to be the most usual selection, as well as R290 and R1270 to be also interesting cases. However,

the NH<sub>3</sub> has high toxicity (B2L ASHRAE safety group), while the other natural refrigerants present high flammability (A3 ASHRAE safety group). These facts make the investigation of more refrigerants as possible candidates for the high-temperature circuit. For instance, R152a is a refrigerant with GWP = 124, lower than the limit of 150 [4]; but this fluid is flammable (A2 ASHRAE safety group). R1234yf and R1234ze(E) are also promising fluids but they face limitations such as the high cost and the stability issues, while they have relatively low flammability (A2L ASHRAE safety group). So, it can be said that there is no established choice for the utilization of any superior refrigerant for the high-temperature circuit. Table 1 includes the main information about the previously discussed working fluids, as well as information about other working fluids (with higher GWP on a 100 years basis or GWP100) which are usually used in refrigeration systems. The used values of the GWP100 regard the 4th assessment report [20].

**Table 1.** Refrigerants and their characteristics [20–22].

Working Fluids	Classification	GWP (100 Years)	ASHRAE Safety Group	Limitations
R744 (CO <sub>2</sub> )	Natural refrigerant	1	A1	Low COP
R717 (NH <sub>3</sub> )	Natural refrigerant	0	B2L	High Toxicity
R290 (propane)	HC (Natural refrigerant)	3.3	A3	High flammability
R600a (iso-butane)	HC (Natural refrigerant)	3	A3	High flammability
R600 (butane)	HC (Natural refrigerant)	4	A3	High flammability
R1270 (propylene)	HO (Natural refrigerant)	1.8	A3	High flammability
R1234yf	HFO	4	A2L	Low flammability, Stability issues
R1234ze(E)	HFO	6	A2L	Low flammability, Stability issues
R152a	HFC	124	A2	Intermediate Flammability, Low GWP
R450A	HFO	601	A1	High GWP > 150
R513A	HFO	630	A1	High GWP > 150
R32	HFC	675	A2L	Low flammability, Medium GWP
R448A	HFO	1273	A1	High GWP
R134a	HFC	1430	A1	High GWP
R407C	HFC	1774	A1	High GWP
R227ea	HFC	3200	A1	High GWP
R404A	HFC	3922	A1	High GWP
R507A	HFC	3985	A1	High GWP

In the literature, there is a significant part of studies that investigate the CO<sub>2</sub> cascade configurations. The majority of the studies investigate systems with CO<sub>2</sub> in the low-temperature circuit and NH<sub>3</sub> in the high-temperature circuit. Bingming et al. [23] compared the cascade NH<sub>3</sub>/CO<sub>2</sub> system with other NH<sub>3</sub> refrigeration systems (single stage, two-stage, with or without economizer) and they found the cascade system more efficient for evaporating temperatures lower than −40 °C. Lee et al. [24] examined a similar configuration and they developed equations about the optimum value of the medium CO<sub>2</sub> temperature which maximizes the system COP. Rezayan and Behbahaninia [25] performed a thermoeconomic optimization and they reduced the annual cost of about 9.34%. Yilmaz et al. [26] developed correlations for the performance of a cascade NH<sub>3</sub>/CO<sub>2</sub> system for a great range of operating conditions. Moreover, Gholamian et al. [27] performed an advanced exergy analysis in a cascade NH<sub>3</sub>/CO<sub>2</sub> system and they optimized the system performance about 42.13%. In another study, Dokandari et al. [28] found that the incorporation of an ejector in both circuits of a cascade NH<sub>3</sub>/CO<sub>2</sub> system leads to 8% lower exergy destruction.

The use of R290 in the high-temperature circuit has been examined by Bhattacharyya et al. [29]. They designed a system for refrigeration and heating production. Their system includes internal heat exchangers and it is able to produce refrigeration at −40 °C and heating at 120 °C. Also, they examined their system exergetically and they tried to maximize the exergy performance of this system. The use of another natural refrigerant (R1270) has been examined by Dubey et al. [30]. They applied the R1270 in the low circuit, while the CO<sub>2</sub> in the upper-temperature circuit and they proved that

this configuration is better than the reverse and better than the combination  $\text{N}_2\text{O}/\text{CO}_2$ . The  $\text{N}_2\text{O}$  is a refrigerant with relatively low critical points ( $\sim 36^\circ\text{C}$ ) and it has also studied by some researchers. More specifically, Megdouli et al. [31] studied it in a system with ejectors, while Bhattacharyya et al. [32] in a conventional system. It is found that the  $\text{N}_2\text{O}$  has similar performance compared to  $\text{CO}_2$  because of the similar critical point temperatures [32].

R134a is another examined working fluid in the upper circuit. Sanchez et al. [33] examined an R134a/ $\text{CO}_2$  cascade refrigeration system and they found that the direct cascade system is more efficient than the indirect system with a difference up to 11%. Moreover, Cabello et al. [34] found that the R134a can be replaced by R152a without any efficiency cost in a  $\text{CO}_2$ -based cascade refrigeration system. Sanz-Kock et al. [1] performed a detailed experimental investigation of an R134a/ $\text{CO}_2$  cascade refrigeration system for evaporating temperatures between  $-40$  up to  $-30^\circ\text{C}$  and condensing temperatures from  $30$  up to  $50^\circ\text{C}$ . Gullo et al. [3] studied various refrigeration systems for supermarkets. They compared all the configurations with the cascade system. According to their results, the cascade configuration is one of the most effective systems energetically with the conventional booster system to have 20% higher energy consumption. However, the environmental analysis indicates that the cascade system is not an attractive choice compared to the systems with parallel compression and mechanical subcooling.

The use of R404a/ $\text{CO}_2$  has been studied by da Silva et al. [35] and they found it to be more efficient than the system with the only R404a with a difference of 25%. Bryne et al. [36] examined the use of R407C/ $\text{CO}_2$  and they found it more efficient than the conventional heat pump and with a lower global warming impact. Catalan-Gil et al. [19] suggested the use of R513A/ $\text{CO}_2$  as a highly effective choice for refrigeration systems in supermarkets. Tsamos et al. [37] found the  $\text{CO}_2/\text{CO}_2$  to be a less efficient choice than designs with parallel compression and by-pass compressor. Other examined refrigerants in the high-temperature circuit are ethane [38], N40 [39], as well as  $\text{CO}_2$  blends [40].

The last part of the literature is devoted to comparative studies for cascade systems with  $\text{CO}_2$  in the low-temperature circuit. Colorado et al. [41] found that the R600 is more efficient than R290 which has similar performance with R134a, while  $\text{NH}_3$  is the less efficient choice. On the other hand, Cecchinato et al. [42] found the use of  $\text{NH}_3$  to be more efficient than R290 in various cascade configurations. Moreover, Getu and Bansal [43] found the  $\text{NH}_3$  to be more efficient than R290, R1270 and R404a with the respective efficiency order. Recently, Purohit et al. [44] found that the  $\text{NH}_3/\text{CO}_2$  is more efficient than the all  $\text{CO}_2$  system in the warmer climates, while both cases are more efficient than the conventional system with R404a in all circuits.

The previous analysis clearly indicates the high interest in the  $\text{CO}_2$ -based cascade systems. To our knowledge, there is no study which compares many refrigerants under the same examined conditions and there are no established results about the most efficient and the most environmentally friendly systems. In this direction, this study comes to complete the existing literature review by presenting a systematic comparative analysis of 18 different cascade refrigeration systems for different operating conditions. Practically, the novelty of this work is based on the clear and systematic investigation of numerous cascade configurations in steady-state conditions, as well as on a yearly basis. The results of this work can be used for the proper selection of the working fluids in  $\text{CO}_2$ -based cascade systems using energetic and environmental criteria. More specifically, 17 different refrigerants examined in the high-temperature circuit and they compared with the  $\text{CO}_2/\text{CO}_2$  case in subcritical or transcritical mode (depending on the ambient temperature). The evaporator temperature is studied parametrically for the values of  $-35$ ,  $-25$ ,  $-15$  and  $-5^\circ\text{C}$ , while the condenser temperature is studied from  $10$  to  $45^\circ\text{C}$ . The yearly evaluation of the system is performed for the climate conditions of Athens (Greece) which is a relatively warm climate, ideal for cascade systems with  $\text{CO}_2$ . The most important indexes of the present work are the COP and the total equivalent warming impact (TEWI) which are evaluated separately and together. The analysis is conducted with a developed theoretical model in Engineering Equation Solver (EES) which is validated using literature data.

## 2. Materials and Methods

### 2.1. The Examined System

The examined system in this work is a cascade configuration which has the CO<sub>2</sub> refrigerant in the low-temperature circuit and another refrigerant in the high-temperature circuit. Figure 1 gives a simple diagram of the examined configuration. The other examined refrigerants are listed in Table 1 with their details about GWP and ASHRAE safety group. Natural refrigerants (R290, R600a, R600, R1270, NH<sub>3</sub>), promising refrigerant with low GWP (R1234yf, R1234ze(E), R152a), refrigerants with medium GWP (R32, R448A, R513a, R450A) and high GWP refrigerants (R134a, R407C, R227ea, R404a, R507A). Moreover, the CO<sub>2</sub>/CO<sub>2</sub> cascade system is examined as a reference one. It is important to state that the examined configuration is a subcritical cycle and only for high ambient temperatures the CO<sub>2</sub>/CO<sub>2</sub> system operates in transcritical mode. At this point, it has to be said that another idea was the utilization of a CO<sub>2</sub> booster system as the reference one. However, the goal of this work is to evaluate all the working fluids under the same operating conditions and so the CO<sub>2</sub>/CO<sub>2</sub> cascade system was preferred in this work. Figure 2 shows the typical p-h depiction of the thermodynamic processes.

Figure 1 shows that there two systems, one with low-temperature levels where the CO<sub>2</sub> operates and one system with higher temperatures with another refrigerant. There is a cascade heat exchanger in order the heat from the low-temperature system to be given to the high-temperature system. The temperature difference in this heat exchanger is assumed to be 5 K. Also, in this work the state points 1, 3, 11 and 33 are assumed to be saturated points. Figure 2 makes these assumptions obvious by observing the location of the various points. It is also useful to state that the condenser temperature is assumed to be 5 K higher than the ambient temperature level.

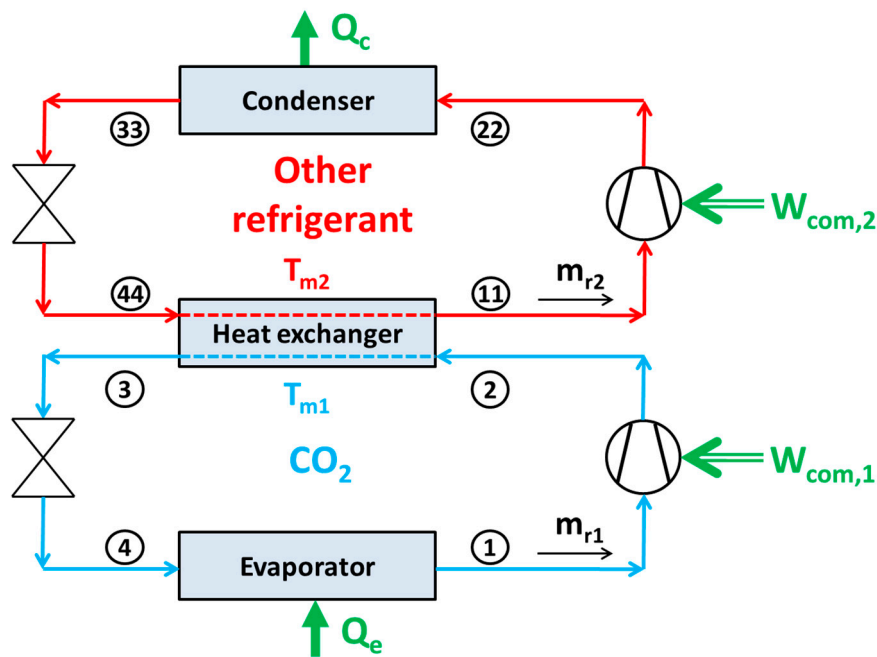


Figure 1. The examined cascade configuration.

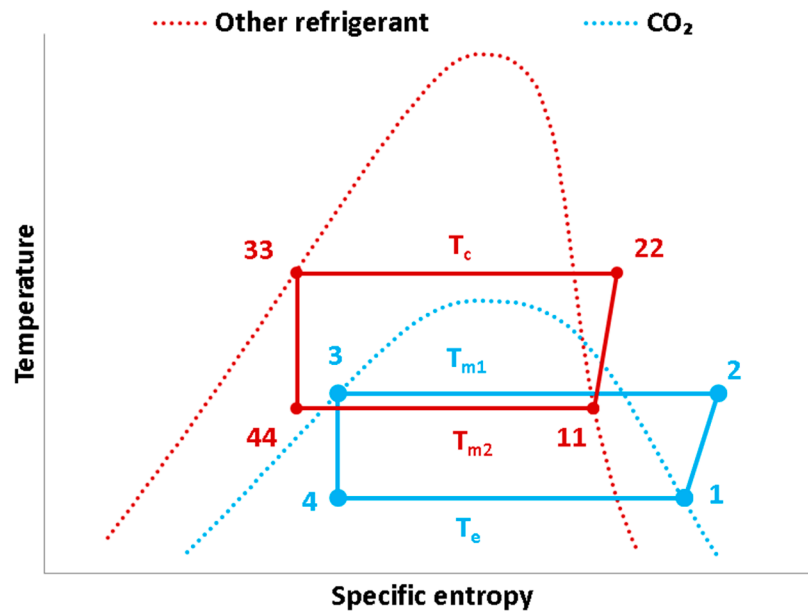


Figure 2. Typical temperature-specific entropy figure for the examined processes.

## 2.2. Mathematical Formulation

This subsection includes the main mathematical equations which have been used in the present work. These equations regard the modeling of the system and environmental evaluation.

### 2.2.1. System Modeling

The refrigeration production ( $Q_e$ ) in the evaporator can be written as below:

$$Q_e = m_{r1} \cdot (h_1 - h_2) \tag{1}$$

The energy rejection to the ambient ( $Q_c$ ) which is performed with the condenser is calculated as below:

$$Q_c = m_{r2} \cdot (h_{22} - h_{33}) \tag{2}$$

The work input in the low circuit compressor ( $W_{com,1}$ ) is calculated as below:

$$W_{com,1} = m_{r1} \cdot (h_2 - h_1) \tag{3}$$

The work input in the high circuit compressor ( $W_{com,2}$ ) is calculated as below:

$$W_{com,2} = m_{r2} \cdot (h_{22} - h_{11}) \tag{4}$$

The compressor performance is modeled using the isentropic efficiency ( $\eta_{is}$ ), which can be written as below for the two compressors:

$$\eta_{is,1} = \frac{h_{2is} - h_1}{h_2 - h_1} \tag{5}$$

$$\eta_{is,2} = \frac{h_{22is} - h_{11}}{h_{22} - h_{11}} \tag{6}$$

The isentropic efficiency is calculated using the respective pressure ratio ( $r$ ), as the following equation shows [45]:

$$\eta_{is} = 0.9343 - 0.04478 \cdot r \tag{7}$$

The processes in the throttling valves are assumed to be adiabatic and so the enthalpy is the same between the inlet and the outlet. More specifically:

$$h_3 = h_4 \quad (8)$$

$$h_{33} = h_{44} \quad (9)$$

The energy balance in the cascade heat exchanger can be written as below:

$$m_{r1} \cdot (h_2 - h_3) = m_{r2} \cdot (h_{11} - h_{44}) \quad (10)$$

The temperature of the CO<sub>2</sub> in the heat exchanger is ( $T_{m1}$ ), while of the other refrigerant ( $T_{m2}$ ), with the following equation to be applied for a proper heat transfer:

$$T_{m2} = T_{m1} - 5 \quad (11)$$

The parameter ( $T_{m1}$ ) is an optimization parameter for every case and it is always higher than ( $T_e$ ) and lower than ( $T_c$ ). About the ( $T_c$ ), it is assumed to about 5 K higher than the ambient temperature:

$$T_c = T_{am} + 5 \quad (12)$$

In the cases of the CO<sub>2</sub>/CO<sub>2</sub> system, when the  $T_{am}$  is over 25 °C, then the system is assumed to be transcritical in the upper stage. In this case, the gas cooler outlet temperature is assumed to be 5 K over the ambient temperature and of course over the critical temperature of the CO<sub>2</sub>. Moreover, in this case, the high pressure of the gas cooler is also an optimization parameter. Finally, the thermodynamic COP in this system can be defined as:

$$COP = \frac{Q_e}{W_{com,1} + W_{com,2}} \quad (13)$$

### 2.2.2. Environmental Evaluation of the System

Except for the energetic analysis, the environmental evaluation is crucial for the systems with CO<sub>2</sub> in order to examine their environmental imprint. The use of the total equivalent warming impact (TEWI) is a usual and useful index for this process. Details about the calculation of this index can be found in many studies such as [46,47]. The (TEWI) shows the amount of the equivalent CO<sub>2</sub> emissions in all the life cycle of the system by taking into account the direct ( $(TEWI)_{dir}$ ) and the indirect ( $(TEWI)_{ind}$ ) CO<sub>2</sub> emissions. So, it can be written:

$$(TEWI) = (TEWI)_{dir} + (TEWI)_{ind} \quad (14)$$

The direct ( $(TEWI)$ ) is separated into two parts. The first one regards the leakage and the other the recycling percentage of the working fluid:

$$(TEWI)_{dir} = GWP_1 \cdot [L_1 \cdot N + M_1 \cdot (1 - a_1)] + GWP_2 \cdot [L_2 \cdot N + M_2 \cdot (1 - a_2)] \quad (15)$$

The subscript "1" regards the low-temperature circuit and the subscript "2" the high-temperature circuit. The leakage ( $L$ ) is assumed to be 15% of the total mass of the refrigerant ( $M$ ) [3,37]:

$$L_i = 0.15 \cdot M_i \quad (16)$$

The mass of the refrigerant is assumed to be 1 kg kW<sub>ref</sub><sup>-1</sup> for the CO<sub>2</sub> and 2 kg kW<sub>ref</sub><sup>-1</sup> for the other refrigerants. In this work, the refrigeration capacity is assumed to be 50 kW<sub>ref</sub>, so the CO<sub>2</sub> is

50 kg and the other refrigerant 100 kg. The years of the analysis ( $N$ ) are assumed to be 10 and the recycling factors ( $a_1$  and  $a_2$ ) to be 95% [3,37].

The indirect ( $TEWI$ ) regards the  $CO_2$  emissions for the production of the consumed electrical energy. Assuming that the yearly electricity consumption is ( $E_{el}$ ) and an indirect emission factor ( $\beta$ ), it can be written:

$$(TEWI)_{ind} = E_{el} \cdot \beta \cdot N \quad (17)$$

For Athens (Greece), the parameter ( $\beta$ ) is assumed to be  $0.72 \text{ kg } CO_{2,eq} \text{ kWh}^{-1}$  [3,37]. The yearly electrical efficiency is calculated as below:

$$E_{el} = \int_{t=0}^{t=8760} P_{el} dt \quad (18)$$

The mean yearly COP is calculated as below:

$$COP_m = \frac{\int_{t=0}^{t=8760} Q_e dt}{\int_{t=0}^{t=8760} P_{el} dt} \quad (19)$$

### 2.3. Followed Methodology

The present work evaluates different cascade refrigeration systems with a developed model in Engineering Equation Solver (EES) [48] which is validated by literature data (see Section 2.4). The refrigeration production is 50 kW and the refrigeration temperature is examined parametrically for  $-35$ ,  $-25$ ,  $-15$  and  $-5$  °C. The condenser temperature is studied at various temperature levels from  $10$  °C to  $45$  °C in the parametric analysis, while in the yearly evaluation of Athens it is 5 K higher than the ambient temperature. In every study, the high-temperature level of the lower temperature circuit ( $T_{m1}$ ) is the optimization variable, while for the  $CO_2/CO_2$  system also the high transcritical pressure is optimized. The optimization is conducted using the conjugate directions method or “Powell’s method” which is supported by the simulation tool (EES) [48]. The relative convergence tolerance is chosen at  $10^{-8}$  and the maximum number of iterations (function calls) at 5000. About the yearly performance, the ambient temperature of Athens (Greece) is used. These data are given in Figure 3 and they have been taken by the TRNSYS libraries [49]. These weather data have been taken from past measurements and they correspond to the typical meteorological year for Athens. It can be said that the most usual value is  $14$  °C with 486 h, while the mean yearly temperature is around  $18.4$  °C. About the  $CO_2/CO_2$  system, the transcritical operation regards 19% of the year period.

In the end, it is useful to summarize the main assumptions of this work [13,14]:

- The system is examined in steady-state conditions.
- There is no pressure drop in the evaporator, condenser and heat exchanger.
- The throttling valve is assumed to be adiabatic and so the enthalpy is conserved.
- The outlet streams from the evaporator, condenser and cascade heat exchanger are assumed to be saturated state points.
- The temperature difference of the streams in the cascade heat exchanger is 5 K.
- The condenser temperature is 5 K higher than the ambient temperature and for the  $CO_2/CO_2$  system, the gas cooler outlet temperature is 5 K higher than the ambient.
- The cooling capacity is 50 kW in all the cases.
- All the compressor isentropic efficiencies are calculated according to Equation (7).
- In the yearly analysis, the system is assumed to operate during all the year period.

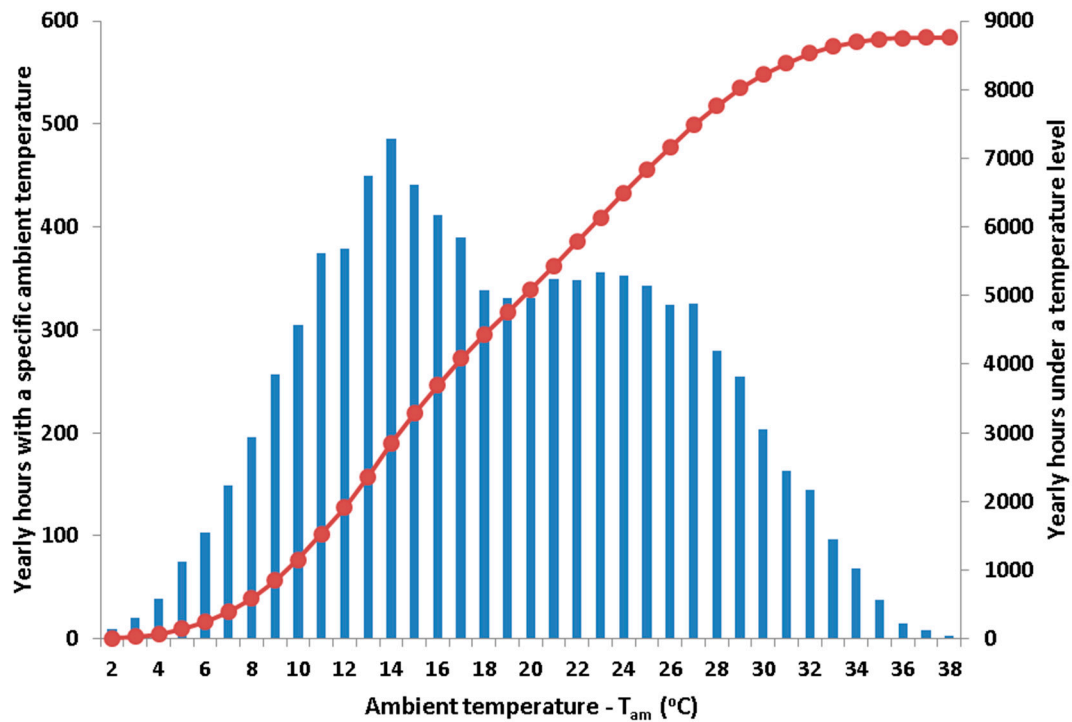


Figure 3. Data about the ambient temperature in Athens (Greece).

#### 2.4. Model Validation

The developed model is validated using literature data from reference [24]. The validation is conducted for the cascade system NH<sub>3</sub>/CO<sub>2</sub> for different operating conditions. The proper modifications are performed in the program in order to simulate the respective system as in the Reference [24]. Table 2 includes comparative results. This table includes the examined cases (evaporator temperature and condenser temperature), as well as the optimum temperature ( $T_{m1}$ ) and the respective COP. The found deviations are low and they are 0.37% for the ( $T_{m1}$ ) and 1.32% for the COP. These values indicate that the developed model can be assumed as a valid one. However, it has to be stated that the validation is presented only for one working fluids couple among the examined.

Table 2. Model validation with literature data [24].

Cases		Literature		This Study		Deviation	
$T_c$ (°C)	$T_e$ (°C)	$T_{m1,opt}$ (°C)	COP	$T_{m1,opt}$ (°C)	COP	$T_{m1,opt}$ (°C)	COP
30	−45	−15	1.44	−15.01	1.427	0.07%	0.90%
30	−50	−17	1.27	−17.12	1.250	0.71%	1.57%
30	−55	−19	1.10	−19.16	1.089	0.84%	1.00%
35	−45	−13	1.31	−13.00	1.298	0.00%	0.92%
35	−50	−15	1.15	−15.04	1.138	0.27%	1.04%
35	−55	−17	1.01	−17.01	0.991	0.06%	1.88%
40	−45	−11	1.20	−10.98	1.182	0.18%	1.50%
40	−50	−13	1.05	−12.96	1.037	0.31%	1.24%
40	−55	−15	0.92	−14.86	0.903	0.93%	1.85%

### 3. Results and Discussion

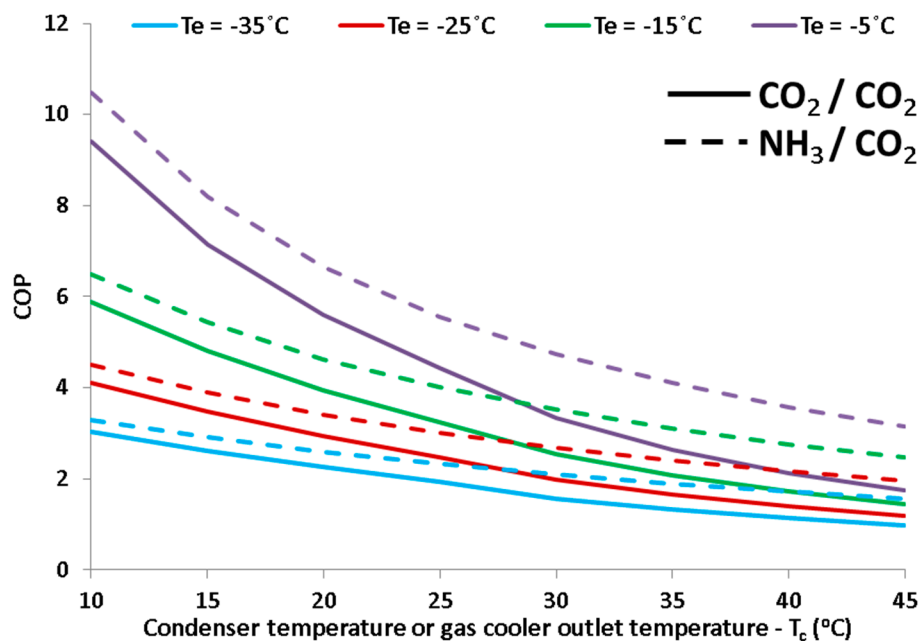
The results of this work are mainly presented in terms of COP and in terms of TEWI. The examined systems are compared to the CO<sub>2</sub>/CO<sub>2</sub> which can be assumed as the reference system. The emphasis is given in the natural refrigerants and generally in the refrigerants with low GWP. The refrigerants with high GWP are examined in order to present a complete comparative study.



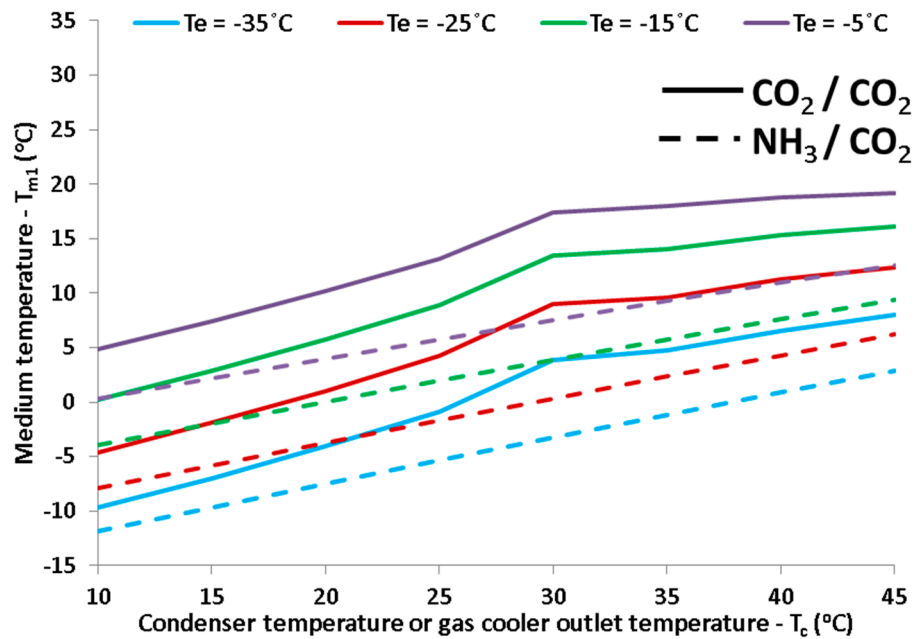
### 3.1. Energetic Analysis of Different Operating Scenarios

Figure 4 illustrates the COP of the systems  $\text{CO}_2/\text{CO}_2$  (reference system for the comparison) and of the conventional system  $\text{NH}_3/\text{CO}_2$  (it is usually examined in the literature). The results are presents for different condenser temperatures and evaporator temperatures. It is important to state again that for ( $T_c > 30^\circ\text{C}$ ), the condenser temperature regards the gas cooler outlet temperature and the  $\text{CO}_2/\text{CO}_2$  cycle is transcritical which operates at the respective optimum maximum pressure level in every case. It is obvious that the  $\text{NH}_3/\text{CO}_2$  system is more efficient than the  $\text{CO}_2/\text{CO}_2$  system for all the examined scenarios. The COP is decreased for higher condenser or gas cooler outlet temperatures and also it decreases for lower evaporate temperatures. These results are reasonable and they are in accordance with the Carnot efficiency [ $\text{COP}_{\text{car}} = T_e/(T_c - T_e)$ ].

Figure 5 depicts the values of the optimum medium temperature level ( $T_{m1}$ ) of the low-temperature  $\text{CO}_2$  cycle. The optimum values of the ( $T_{m1}$ ) increase with the increase of the evaporator and the condenser temperature. So, it can be said that the optimum ( $T_{m1}$ ) tries to follow temperatures levels of the ( $T_e$ ) and the ( $T_c$ ). The interesting result is that the optimum ( $T_{m1}$ ) is higher for the  $\text{CO}_2/\text{CO}_2$  system compared to the  $\text{NH}_3/\text{CO}_2$  system. It is remarkable to state that the slope of the curves about  $\text{CO}_2/\text{CO}_2$  system changes after  $T_c = 30^\circ\text{C}$  because the cycle becomes transcritical for higher values of  $T_c$ . This result indicates that the transcritical cycle does not need so high values for the ( $T_{m1}$ ).



**Figure 4.** Coefficient of performance (COP) at different operating conditions for  $\text{CO}_2/\text{CO}_2$  and  $\text{CO}_2/\text{NH}_3$  systems.



**Figure 5.** Optimum medium temperature ( $T_{m1}$ ) at different operating conditions for  $\text{CO}_2/\text{CO}_2$  and  $\text{CO}_2/\text{NH}_3$  systems.

The next step in this work is the comparison of the  $\text{CO}_2/\text{CO}_2$  system with other cascade configurations. Figure 6 includes the comparison results for different combinations of ( $T_e$ ) and ( $T_c$ ). The results are given for the systems  $\text{NH}_3/\text{CO}_2$ ,  $\text{R152a}/\text{CO}_2$ ,  $\text{R290}/\text{CO}_2$  and  $\text{R1270}/\text{CO}_2$ . The refrigerants  $\text{R290}$  and  $\text{R1270}$  are natural refrigerants, while  $\text{R152a}$  has a relatively low GWP. So, these candidates are promising choices for future cascade systems. Figure 6a,d indicate that the COP values are similar among the examined refrigerants.

The enhancement values compared to the  $\text{CO}_2/\text{CO}_2$  system are higher for greater ( $T_c$ ) and greater ( $T_e$ ). The COP enhancement curves have a different slope for ( $T_c > 30$  °C) because of the transcritical operation of the  $\text{CO}_2/\text{CO}_2$  system. Also, in low condenser temperatures, the COP enhancements are similar for all the evaporating temperatures, while for higher condenser temperatures the curves are not so close to each other. In low condenser temperatures, the COP enhancements are around 10%, while they can reach up to 80% in high condenser temperature levels. So, it can be said that the use of a refrigerant different from  $\text{CO}_2$  in the high-temperature circuit can be beneficial, especially in warmer climates. This is something in accordance with the general opinion about the utilization of cascade systems in warm climates such as in Mediterranean countries (for example Greece, Spain, Italy) [19].

Figure 7 shows the enhancement with 8 different refrigerants for the case of ( $T_e = -35$  °C). This evaporating temperature is typical, especially in refrigeration systems in supermarkets. This figure indicates that similar enhancements can be found with the examined refrigerants. The most promising choices seem to be  $\text{R152a}$ ,  $\text{NH}_3$ ,  $\text{R1270}$ ,  $\text{R290}$  and  $\text{R600a}$ .  $\text{R404a}$  is the less efficient case, with  $\text{R1234yf(E)}$  and  $\text{R1234ze}$  to be a bit more efficient. These results are very encouraging because the most efficient refrigerants are environmentally friendly and so choices such as  $\text{R404a}$  are eliminated due to performance and environmental reasons.

For the temperature level of ( $T_e = -35$  °C), the enhancements reach up to 60% for ( $T_c = 45$  °C) which indicate operation at the warmest summer days. On the other hand, the operation during the coldest days ( $T_c = 15$  °C) the enhancements are up to 10%. In low condenser temperatures, the best refrigerant is  $\text{R1270}$ , while in high condenser temperatures the  $\text{R152a}$  is the best option. However, the differences between the  $\text{R152a}$ ,  $\text{NH}_3$ ,  $\text{R1270}$ ,  $\text{R290}$  and  $\text{R600a}$  are very small. So, the selection of the working fluid cannot be determined only by this criterion, the COP value.

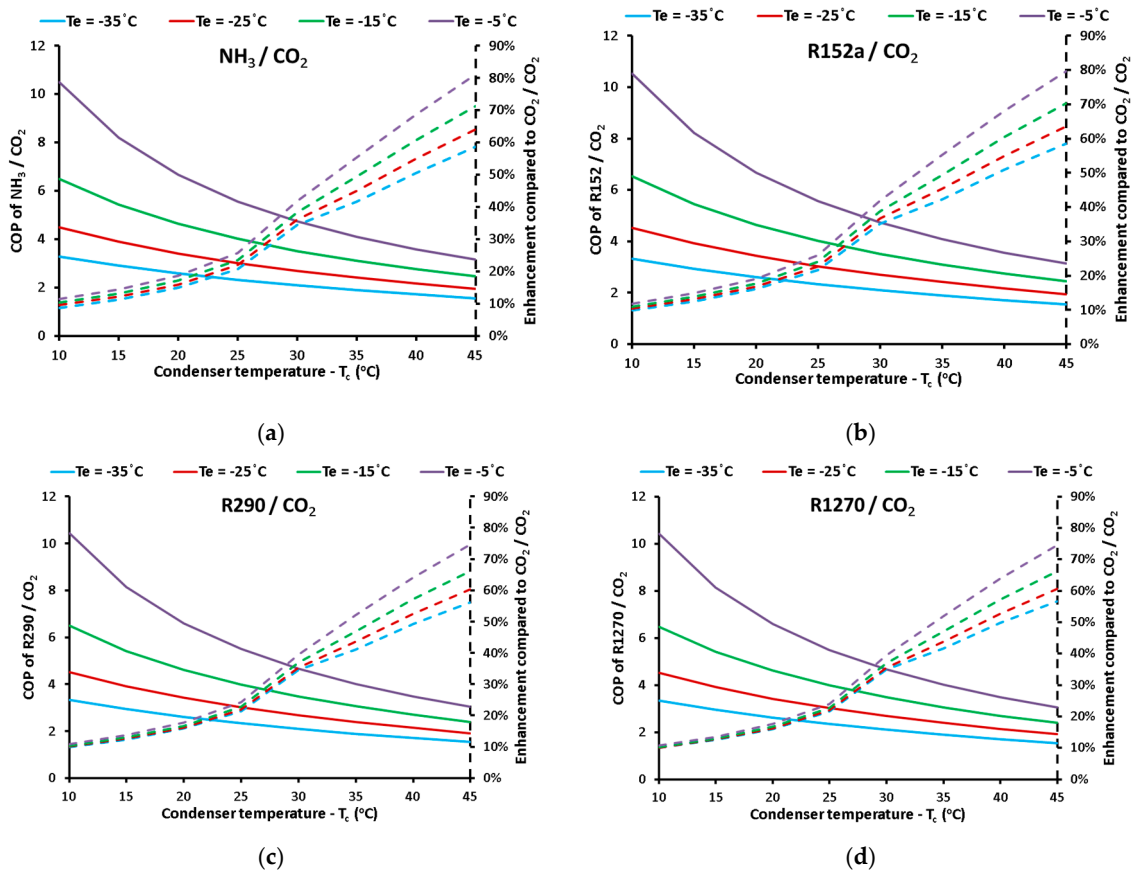


Figure 6. COP and the enhancements compared to CO<sub>2</sub>/CO<sub>2</sub> for the systems (a) NH<sub>3</sub>/CO<sub>2</sub>; (b) R152a/CO<sub>2</sub>; (c) R290/CO<sub>2</sub>; (d) R1270/CO<sub>2</sub>.

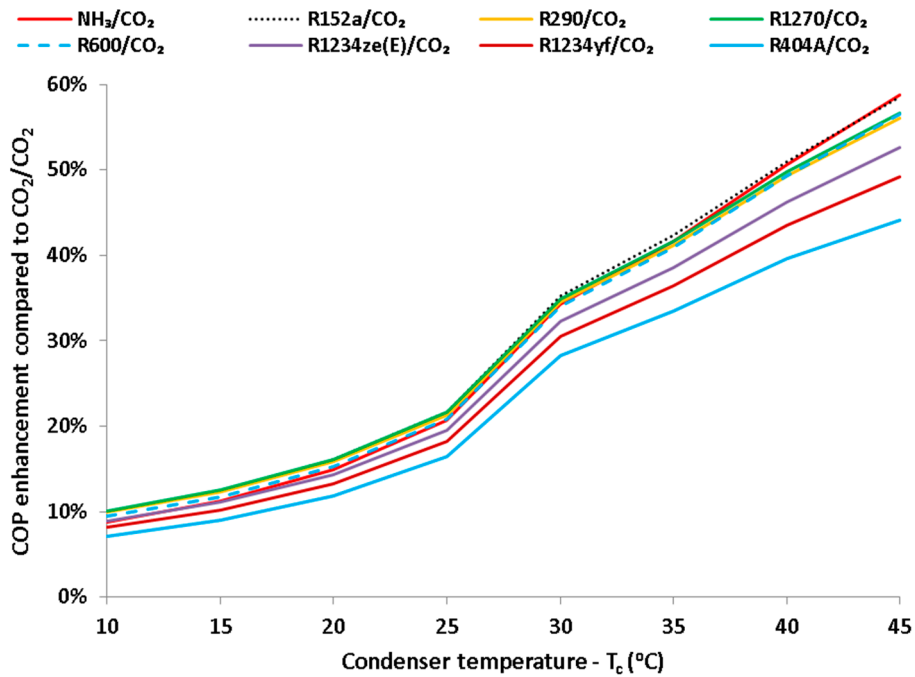


Figure 7. COP enhancement compared to the CO<sub>2</sub>/CO<sub>2</sub> system of various systems with T<sub>e</sub> = -35 °C.

### 3.2. Yearly Energetic and Environmental Analysis

The yearly analysis of the system is performed using the weather data for Athens (Greece) which is a warm location. The distribution of the ambient temperature level is given in Figure 3 with a step of 1 K. The model is applied for all the temperatures and the mean yearly COP is calculated according to equation 19. The results for the typical evaporator temperature level of  $-35\text{ }^{\circ}\text{C}$  are given in Figure 8. It is obvious that the  $\text{CO}_2/\text{CO}_2$  system has the lowest efficiency among the examined systems with a value of 1.901. The most efficient is the R152a/ $\text{CO}_2$  system with 2.381, while R1270/ $\text{CO}_2$  is the second one with 2.377. Also, highly efficient systems are R290/ $\text{CO}_2$  with 2.372, R600a/ $\text{CO}_2$  with 2.364, as well as R600/ $\text{CO}_2$  and  $\text{NH}_3/\text{CO}_2$  with 2.362.

The less efficient choices are R407C, R227ea, R404a, R507A and R448A. Generally, the refrigerants with the greater GWP present decreased efficiency. This is an important result which makes these harmful refrigerants to be not efficient and so they have no chances for application in the future refrigeration cascade configurations.

Figure 9 gives a detailed comparison for four evaporating temperatures by presenting results about  $\text{CO}_2/\text{CO}_2$ , R1270/ $\text{CO}_2$ , R152a/ $\text{CO}_2$  and  $\text{NH}_3/\text{CO}_2$ . It is obvious that in all the evaporating temperatures, the mean yearly COP is lower for the configurations with  $\text{CO}_2$  in the high-temperature circuit. The three cases (R1270, R152a and  $\text{NH}_3$ ) have similar mean COP with R152a to present a roughly increase which is not so important. However, the high efficiency of R152a in combination with the low GWP of 124, are two factors which indicate it as a possible choice for refrigeration systems. Moreover, it is non-toxic and less flammable than other natural refrigerants. So, the investigation and the application of R152a have a great interest. Lastly, it can be said that Table 3 includes all the data about the mean yearly COP of all the cases.

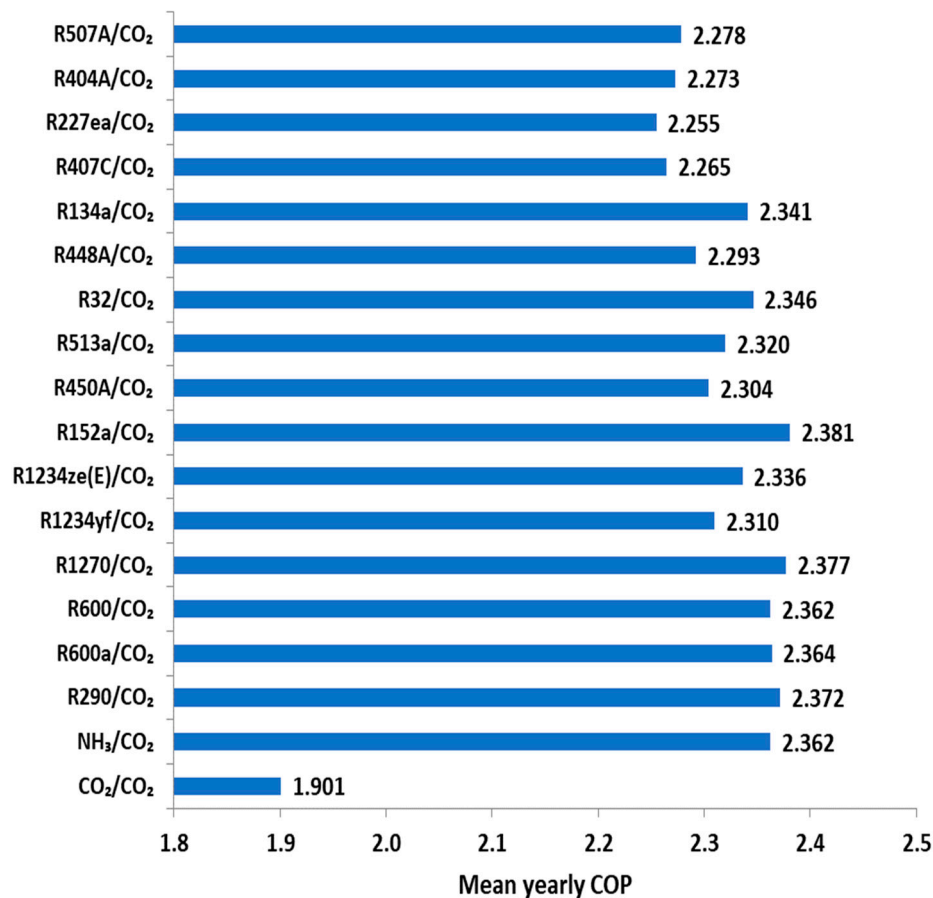


Figure 8. Mean yearly COP of the examined systems for  $T_e = -35\text{ }^{\circ}\text{C}$ .

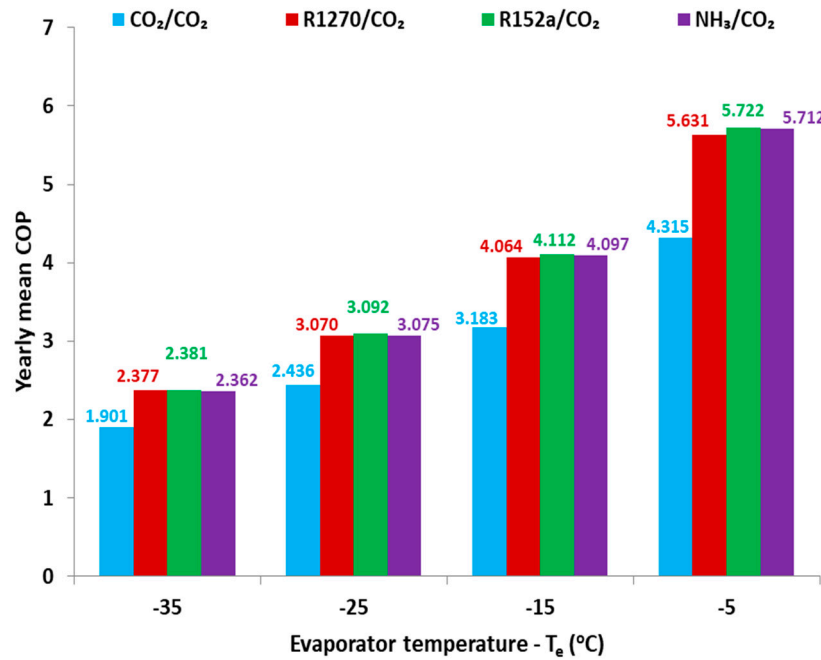


Figure 9. Mean yearly COP of four cases for different evaporator temperatures.

Table 3. Yearly values of the mean COP for the examined cases.

Refrigerants	Yearly Mean COP			
	$T_e = -35\text{ }^\circ\text{C}$	$T_e = -25\text{ }^\circ\text{C}$	$T_e = -15\text{ }^\circ\text{C}$	$T_e = -5\text{ }^\circ\text{C}$
CO <sub>2</sub> /CO <sub>2</sub>	1.901	2.436	3.183	4.315
NH <sub>3</sub> /CO <sub>2</sub>	2.362	3.075	4.097	5.712
R290/CO <sub>2</sub>	2.372	3.067	4.064	5.636
R600a/CO <sub>2</sub>	2.364	3.072	4.088	5.693
R600/CO <sub>2</sub>	2.362	3.075	4.097	5.712
R1270/CO <sub>2</sub>	2.377	3.070	4.064	5.631
R1234yf/CO <sub>2</sub>	2.310	3.001	3.991	5.552
R1234ze(E)/CO <sub>2</sub>	2.336	3.040	4.050	5.645
R152a/CO <sub>2</sub>	2.381	3.092	4.112	5.722
R450A/CO <sub>2</sub>	2.304	2.996	3.987	5.547
R513a/CO <sub>2</sub>	2.320	3.014	4.008	5.576
R32/CO <sub>2</sub>	2.346	3.036	4.023	5.578
R448A/CO <sub>2</sub>	2.293	2.973	3.947	5.477
R134a/CO <sub>2</sub>	2.341	3.043	4.049	5.637
R407C/CO <sub>2</sub>	2.265	2.937	3.895	5.399
R227ea/CO <sub>2</sub>	2.255	2.944	3.929	5.483
R404A/CO <sub>2</sub>	2.273	2.946	3.909	5.421
R507A/CO <sub>2</sub>	2.278	2.953	3.918	5.434

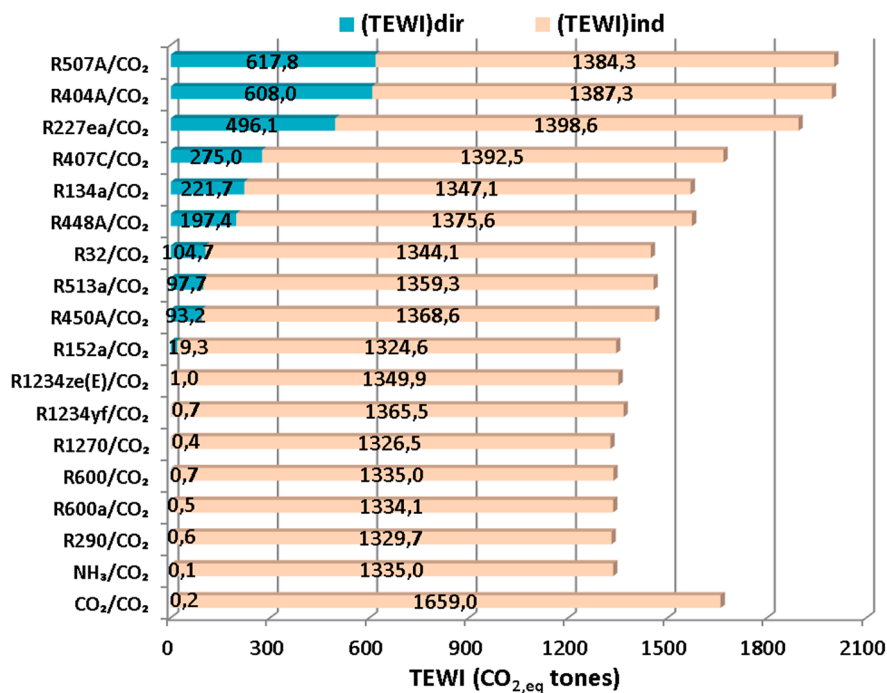
The next step is the environmental evaluation of the different refrigerants using the TEWI. This criterion needs the yearly electricity consumption and it regards a system lifetime of 10 years for this work. The results for all the systems are included in Table 4, while Figures 10 and 11 give important results. More specifically, Figure 10 shows the total TEWI for all the refrigerants with ( $T_e = -35\text{ }^\circ\text{C}$ ). Moreover, Figure 10 depicts the separation of the TEWI into the direct and indirect part. The most harmful fluids, which have the highest TEWI are the R507A, R404a, R227ea, R407c, R134a and R448A. The next category includes R32, R513A, R450A, while the lowest GWPI is found for the natural refrigerants, the R152a and the R1234yf, R1234ze(E). The system with CO<sub>2</sub>/CO<sub>2</sub> is not the most environmentally friendly choice because of the huge indirect CO<sub>2</sub> emissions. The low COP of this system makes the indirect TEWI be huge, the fact that makes this system to be among the most

harmful scenarios. This is an extremely important result which makes the use of another refrigerant in the high-temperature circuit, except the CO<sub>2</sub>, to be an environmental need.

Discussing some typical values, the TEWI for CO<sub>2</sub> is 1659.2, while the minimum value is 1326.8 for R1270 in the high-temperature circuit. The second choice is R290 with 1330.3, while R600a has 1334.6. NH<sub>3</sub>, R600, R152a, R1234yf and R1234ze(E) present similar values and they are also acceptable choices. The highest TEWI is 2002.0 for R507C, while R404a has 1995.3. Other usual refrigerants are R134a and R32 which has TEWI equal to 15.68.9 and 1448.8 respectively.

**Table 4.** Total equivalent warming impact for the examined cases.

Refrigerants	TEWI (CO <sub>2,eq</sub> Tones)			
	T <sub>e</sub> = −35 °C	T <sub>e</sub> = −25 °C	T <sub>e</sub> = −15 °C	T <sub>e</sub> = −5 °C
CO <sub>2</sub> /CO <sub>2</sub>	1659.2	1294.6	990.9	731.1
NH <sub>3</sub> /CO <sub>2</sub>	1335.1	1025.7	769.8	552.1
R290/CO <sub>2</sub>	1330.3	1028.9	776.7	560.1
R600a/CO <sub>2</sub>	1334.6	1027.1	772.0	554.5
R600/CO <sub>2</sub>	1335.7	1026.3	770.5	552.8
R1270/CO <sub>2</sub>	1326.9	1027.4	776.3	560.4
R1234yf/CO <sub>2</sub>	1366.2	1051.6	790.9	568.7
R1234ze(E)/CO <sub>2</sub>	1351.0	1038.2	779.6	559.7
R152a/CO <sub>2</sub>	1343.9	1039.2	786.3	570.5
R450A/CO <sub>2</sub>	1461.8	1145.7	884.3	661.8
R513a/CO <sub>2</sub>	1457.0	1144.0	884.5	663.3
R32/CO <sub>2</sub>	1448.8	1143.6	888.6	670.0
R448A/CO <sub>2</sub>	1573.0	1258.0	996.5	773.2
R134a/CO <sub>2</sub>	1568.9	1258.0	1000.6	781.2
R407C/CO <sub>2</sub>	1667.6	1348.9	1084.6	859.1
R227ea/CO <sub>2</sub>	1894.6	1567.4	1298.7	1071.3
R404A/CO <sub>2</sub>	1995.3	1678.3	1414.8	1189.7
R507A/CO <sub>2</sub>	2002.0	1685.7	1422.7	1198.1



**Figure 10.** TEWI of the examined systems for T<sub>e</sub> = −35 °C.

Figure 11 exhibits the TEWI for different evaporator temperatures. The values of the TEWI are lower for higher evaporator temperature because of the increase in the COP. The direct emissions are

the same for all the evaporator temperatures while the indirect TEWI has a decreasing rate with the evaporator temperature increase. For ( $T_e = -35$  °C), the best case is R1270, while for higher evaporator temperatures the system with NH<sub>3</sub> is the most environmental choice. However, the toxicity of NH<sub>3</sub> creates a limitation for its application and important safety measures have to be taken.

So, by taking into consideration all the found results about mean yearly COP and TEWI, the most promising refrigerants are NH<sub>3</sub>, R1270 and R152a, while R600a, R600 and R290 are also interesting choices. The R152a and the R1270 are the best cases energetically, while NH<sub>3</sub> and R1270 are the best cases environmentally. The NH<sub>3</sub> faces toxicity limitations, while R290, R600a, R600 and R1270 are flammable refrigerants (A3 ASHRAE safety group). The R152a has lower flammability (A2 ASHRAE safety group) but it is a GWP of 124. This value is lower than the value of 150 which is acceptable according to the recent regulations [4]. However, in the future, this value maybe would be not acceptable. So, it can be said that there is no overall optimum choice and extra parameters such as the cost, the availability of the working fluids and the legislation of every period (and of every location) have to be taken into consideration for the final selection. This conclusion about the lack of a global optimum case about refrigerants has been also discussed in a recent review paper of Ciconkov [50].

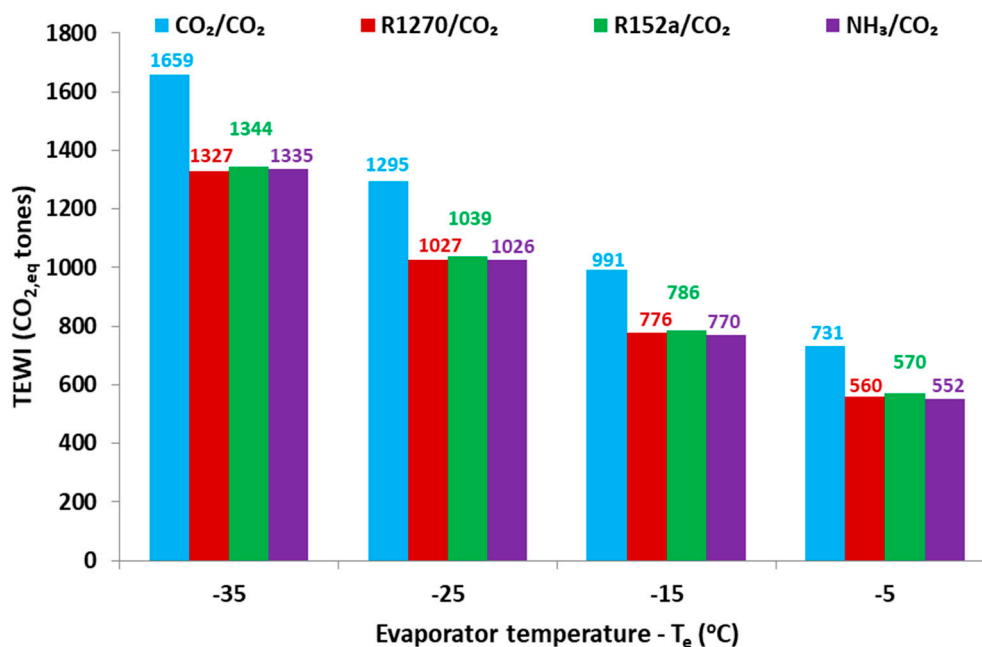


Figure 11. TEWI of four cases for different evaporator temperatures.

#### 4. Conclusions

The objective of this work is the investigation of different cascade configurations with CO<sub>2</sub> in the low-temperature circuit and other refrigerants in the high-temperature circuit. The analysis is conducted with a developed model in EES, which is validated with literature data. The most important conclusions of this study are summarized below:

- The COP of all the examined cascade systems is found to be higher than the reference scenario of the CO<sub>2</sub>/CO<sub>2</sub> cascade configuration. The enhancements with the other systems are found from 10% up to 80% and they are higher for higher heat rejection temperatures.
- The most efficient working fluids energetically, in the high-temperature circuit, are R152a, NH<sub>3</sub>, R1270, R600, R600a and R290. The less efficient systems, except CO<sub>2</sub>, are the refrigerants with high GWP such as R507A and R404a.
- The maximum mean yearly COP is found for R152a/CO<sub>2</sub> in all the evaporator temperatures and it is 2.381 for ( $T_e = -35$  °C), while the respective of CO<sub>2</sub>/CO<sub>2</sub> is 1.901.

- The environmental index TEWI shows that the CO<sub>2</sub>/CO<sub>2</sub> is not a so good choice with the value of 1659.2 for ( $T_e = -35$  °C), while the R1270 has 1326.9. The natural refrigerants, the R152a, R1234yf and R1234ze(E) have also relatively low TEWI. The reason for the high value in the CO<sub>2</sub>/CO<sub>2</sub> system is the high indirect TEWI.
- It can be said that there is an overall optimum case because the most efficient choices have flammability and toxicity issues. R152a seems to be a promising choice but has a GWP of 124. So, for the final selection of the high-temperature circuit refrigerant, extra parameters such as the refrigerant cost and the legislation have to be taken into consideration in every case.

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## Nomenclature

COP	Coefficient of performance, -
COP <sub>m</sub>	Mean yearly coefficient of performance, -
E <sub>el</sub>	Yearly electrical energy, kWh
h	Specific enthalpy, kJ kg <sup>-1</sup> K <sup>-1</sup>
L	Yearly leakage, kg
m	Mass flow rate, kg s <sup>-1</sup>
M	Refrigerant mass, kg
N	Lifetime of the system, years
Q	Heat rate, kW
r	Pressure ratio, -
T	Temperature, °C
t	Time, hours
TEWI	Total equivalent warming impact, kg CO <sub>2,eq</sub>
W	Work consumption in the compressor, kW

## Greek Symbols

α	Recycling factor, -
β	Indirect emission factor, kg CO <sub>2,eq</sub> kWh <sup>-1</sup> .
η <sub>is</sub>	Isentropic efficiency of the compressor, -

## Subscripts and Superscripts

am	Ambient
c	Condenser
car	Carnot
com	Compressor
e	Evaporator
is	Isentropic
m1	Medium in low circuit
m2	Medium in high circuit
opt	Optimum
r	Refrigerant

## Abbreviations

EES	Engineering Equation Solver
GWP	Global Warming Potential (100 years)
HC	Hydrocarbon
HFO	Hydrofluoroolefin
HO	Hydroolefin



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