



Article Assemblage Distribution of the Larval and Juvenile Myctophid Fish in the Kuroshio Extension Region: Winter 2020

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Abstract: Myctophids are an ecologically important mesopelagic species in the eastern Pacific Ocean. Due to the wide distribution, great diversity and variable biological characteristics of the species, there is a lack of reliable information regarding their spawning and nursery grounds in the Kuroshio extension region. In this study, we identified a total of 110 myctophid individuals using DNA barcoding and morphological techniques, among which, the dominant genus was *Ceratoscopelus*, comprising 37.27% of the entire catch. The abundance of each sampling station was calculated, and its relationship with environmental variables was analyzed. Tweedie-Generalized Additive Model (GAM) analysis showed that copepod density, oceanic dynamic processes, and sea surface temperature were the primary factors influencing the distribution pattern of larvae and juvenile myctophid fish. The results further indicate that the Kuroshio extension plays a dominant role in the biological processes of these fish in this region. These findings provide crucial dynamic information for the scientific conservation and exploitation of myctophids, which could have significant implications for the management of these fish populations.

Keywords: early life stage of myctophid fish; Kuroshio extension; Tweedie-GAM; distribution pattern

1. Introduction

Myctophidae (Myctophiformes), commonly known as lantern fishes, are the most abundant species of mesopelagic fish found in oceans [1]. The Myctophidae family comprises about 252 species in 34 genera [2]. Due to their large biomass and availability, lantern fishes are considered as a potentially important economic species and have been a target of exploratory fisheries for several years [3,4]. More importantly, they occupy a vital position in the energy transfer within the mesopelagic ecosystem, linking low-trophic-level species to higher marine predators such as fishes, squids and marine mammals [5]. More importantly, myctophids contribute to the matter and energy cycle through their metabolic behavior during vertical migration and the top-down control of zooplankton [6,7]. Their larvae and juveniles have also been reported as the dominant species in the ichthyoplankton assemblage in epipelagic waters [8]. Most lantern fishes spawn all year in mesopelagic waters, and their eggs hatch during the rise to the surface [9]. Larvae develop in epipelagic waters, and their swimming ability gradually increases [10]. It has been reported that the myctophid larvae are primarily distributed in the vertical direction between 0 and 200 m.

The larval and juvenile stage is the most vulnerable and sensitive period during the life history of fish, with external environmental factors having a significant impact on their growth and survival rates [11,12], and the mortality rate of larval and juvenile fish largely



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). determines their recruitment and population dynamic [13]. Larvae and juvenile fish play an important role in the stability and resilience of marine ecosystems, and their assemblage structure is an essential indicator of community succession and fishery resources' statuses. Physical and biological environmental processes, such as mesoscale hydrodynamic features, upwelling [14], surface currents [15], climate model [16], frontal regimes [17,18] and the distribution of the prey and predator [19–21], can largely determine their spatial distribution and transport.

The Kuroshio merges with a portion of flows of the Oyashio current near southern Japan (about 35° N), then turns to the east and extends to 160° E, forming what is known as the Kuroshio extension (KE) region. Due to the opposite characteristics of the Kuroshio and Oyashio in terms of temperature, salinity and nutrients, the spatial and temporal differences in the distribution of environmental conditions in the KE region are significant. The interactions of the two currents promote mesoscale eddy activity in the KE region, temporarily affecting the structure of physical and chemical features in the upwelling waters [22]. Furthermore, the exchanges of heat between the ocean and atmosphere in the KE region are the most extensive in the extratropical North Pacific, and the long-timescale sea surface temperature (SST) and subsurface temperature in this region exhibit strong interannual variability [23]. These complex hydrodynamic processes are known to impact the primary productivity changes and fuel regional phytoplankton blooms in the open sea [24–26]. In the winter and spring, the Kuroshio–Oyashio transition region, KE and its adjacent regions serve as important spawning and nursery grounds for pelagic species, such as Japanese sardines (Sardinops melanostictus), Pacific saury (Cololabis saira), the Pacific stock of chub mackerel (Scomber japonicus) and Neo flying squids (Ommastrephes bartramii). Previous studies have suggested that environmental variables in the KE region may influence the dynamics of these species [27]. It has been reported that the winter SST in the KE and its southern recirculation area is correlated with the recruitment of Pacific saury [28,29], and the population dynamics of Japanese sardine were strongly associated with changes in the KE Front and the Oyashio Front [30].

Numerous investigations have revealed that there are more than 40 species or types of larval and juvenile myctophid fish distributed in the Kuroshio and Oyashio currents, as well as their transition region [8,31,32]. However, due to incomplete data of larval and juvenile taxonomy, it has often been challenging to accurately identify myctophid fish larvae and juveniles at the species level using morphological characteristics alone. Fortunately, the development of DNA barcoding has provided a powerful tool for the precise identification of fish at any developmental stage [13,33,34]. The Barcode of Life Data System (BOLD) currently contains DNA barcodes for more than 25,000 fish species (including Actinopterytidae, Chondrichthidae, Hagprey and Cephalocrustaceans) (http://www.barcodinglife.org, accessed on 30 March 2023), while the Fish Barcode of Life Campaign (FISH-BOL, http://www.Fishbol.org/, accessed on 30 March 2023) has been designed to collect fish DNA barcodes. Moreover, local BLAST Databases of DNA Barcode libraries can be constructed by adult fish samples. For example, Paola et al. [35] compared the morphological and molecular identification results of 28 specimens of larval myctophids in the Gulf of Mexico, improving the accuracy of identification and facilitating the accumulation of morphological characteristics in early larval stages of Myctophidae. Pappalardo et al. [36] applied cytochrome c oxidase subunit I (COI) as DNA barcode sequences to delimit 45 Myctophidae larvae samples which were ambiguous or impossible to be identified to 5 species. Ayala et al. [37] identified at least 32 myctophid species in approximately 3500 specimens collected in the Subtropical Convergence Zone of the Sargasso Sea using morphological and DNA barcoding identification techniques.

To comprehend the spatial distributions of larval and juvenile myctophid fish and their relationship with environmental heterogeneity in the KE region in greater detail, we collected ichthyoplankton samples in the winter of 2020. Our study utilized both DNA barcodes and morphologies to identify fish larvae and juveniles, with a focus on describing the distribution pattern of myctophid specimens during the winter season. Additionally, our study analyzed the linkages between abundances and corresponding hydrographical and biological information using the generalized additive model (GAM) [38]. Given the ecological importance and potential economic value of this fish family, our study aimed to enrich our knowledge of resource situations and ultimately enhance our understanding of the dynamics and biogeographical distributions of myctophids.

2. Materials and Methods

2.1. Sample Collection

Myctophid larvae and juveniles were obtained from ichthyoplankton and zooplankton samples collected during the investigation trip by the Shanghai Ocean University's oceanic fisheries survey cruise of "SongHang" in the KE region (146.00–156.00° E, 29.00–35.00° N) from 6 to 19 December 2020 (Figure 1). Horizontal ichthyoplankton samples were collected with zooplankton nets (0.8 m in diameter, 2.7 m long, and 505 mm mesh, with a cod-end container mesh of 400 mm) at the surface (20 min at about 2 knts), and vertical samples were collected with Bongo nets (0.57 m in diameter, 2.8 m long, and 200 mm mesh, with a cod-end container mesh of 200 mm) towed vertically for about 10 min from a maximum depth of 200 m up to the surface; both nets were equipped with General Oceanic flow meters. Half of the specimens were quickly moved into sea water with 75% ethanol–solution and then preserved in <–20 °C for molecular identification; the other half of the samples was stored in 4% buffered formaldehyde for morphological identification.

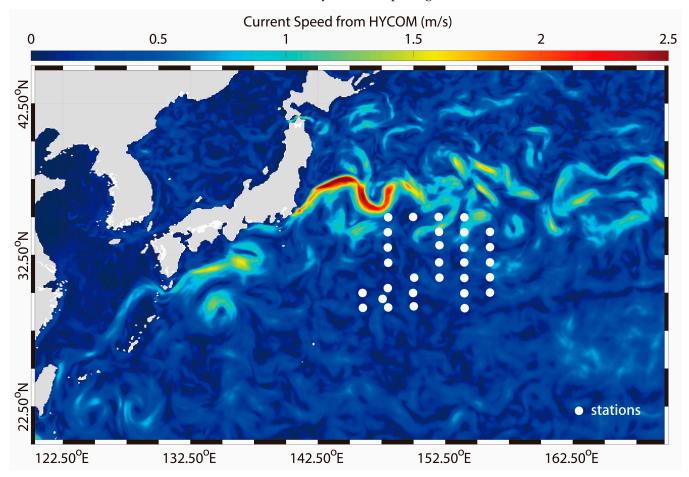


Figure 1. Sampling stations of ichthyoplankton and zooplankton in KE region.

2.2. Laboratory Analysis

Sample individuals of ichthyoplankton from each station were picked out using a stereomicroscope, and each individual was photographed using a Zeiss microscope (Axioplan 2 imaging E). Copepods in zooplankton specimens were selected and counted with a stereomicroscope for further analysis. Fish larvae and juveniles were identified through the combined use of the morphological identification and DNA barcodes. DNA extraction, primer selection and PCR processes were conducted as Hou et al. did in their study [39]. Cytochrome c oxidase subunit I (COI) was used to identify specimens to the lowest taxonomic level based on the Barcode of Life Data (BOLD) system, and the blast search criteria followed the initial proposal of Hebert [40].

2.3. Data Analysis

The abundance of larval and juvenile myctophid fish was standardized in the following formula [41]:

$$G = N/(S \cdot L \cdot C) \tag{1}$$

where *G* is the number of individuals per unit volume of water (ind./1000 m³), *N* is the number of individuals collected per tow (ind.), *S* is the net mouth area (m²), *L* is the revolution of flow meter, and *C* is the number of flow meter correction (C = 0.3).

Due to the large number of low values, true zeroes and occasional large values in the abundance data, Tweedie-GAM was used to statistically evaluate the effect of environmental factors [42,43]. Previous studies have shown the sensitivity of larval and juvenile myctophid fish to a variety of environmental factors [8,44,45]. This study selected sea surface temperature, sea surface salinity (*SSS*), sea level anomaly (*SLA*), concentration of chlorophyll a (*Chl*-*a*), eddy kinetic energy (EKE) and copepod density (*D_c*) as key environmental factors. *SST*, *SSS*, *SLA* and *Chl*-*a* data corresponding to the sampling stations were from OceanWatch (https://oceanwatch.pifsc.noaa.gov/, accessed on 1 January 2023); velocities data of the current (*U* and *V*) were obtained from Copernicus Marine Service (https://data.marine.copernicus.eu/products, accessed on 1 January 2023). The EKE value was estimated as follows [46]:

$$EKE = \frac{1}{2} \left(U^2 + V^2 \right)$$
 (2)

where *U* and *V* represent the horizontal and vertical velocities of the current, respectively.

The variance inflation factor (*VIF*) was used to exclude collinearity variables for the five environmental factors to avoid the co-curvilinear problem in model fitting. It is generally believed that when $\sqrt{VIF} < 2$, there is a multicollinearity problem between variables.

The probability density function of the Tweedie distribution is expressed in the following formula [47]:

$$f(y:\mu,\sigma^{2},p) = a(y:\sigma^{2},p) \exp\{-\frac{1}{2\sigma^{2}}d(y:\mu,p)\}$$
(3)

where μ is the location parameter; σ^2 is the diffusion parameter; p is the power parameter. Different power parameters p correspond to different types of distribution. When the power parameter p is 0, 1, 2 and 3, it corresponds to Normal, Poisson, Gamma and inverse Gaussian distributions, respectively. When 1 , the distribution is expressed as theGamma–Poisson distribution.

$$\begin{cases} X \sim TWP (\theta, \phi) \\ M = E(X) \\ \ln(\mu) = X \cdot \alpha + s (F) \end{cases}$$
(4)

where *X* is the abundance of larval and juvenile myctophid fish (ind./1000 m³); θ is the specification parameter; φ is the dispersion parameter; *p* is the power parameter; μ is the expected value of *X*; ln(μ) represents the contact function using natural logarithms; α is the estimated parameter in model; *s* is the natural smooth spline; *F* is the variable factor.

The Tweedie-GAM expression in this study is as follows:

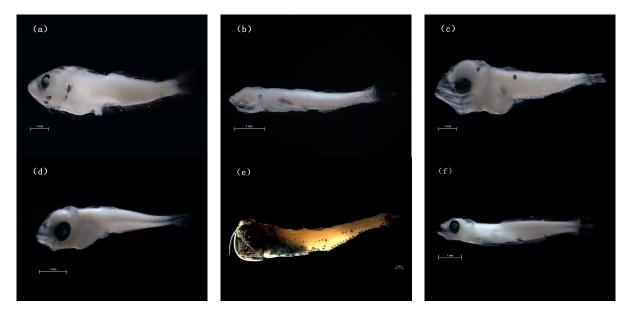
$$X = s(SST) + s(SSS) + s(SLA) + s(Chl - a) + s(EKE) + s(D_c)$$
(5)

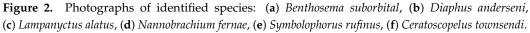
The "vif" function in the "car" package was used to test for the multicollinearity of environmental factors. The "tweedie.profile" in "Tweedie" package was used to determine power parameter *p*, and then, we built the model using the "mgcv" package. The restricted maximum likelihood (REML) was calculated using the smoothing parameter of model, and the Akaike Information Criterion (AIC) was used to determine the best-fitting model.

3. Results

3.1. Species Identification and Abundance

A total of 110 larval and juvenile myctophid fishes were identified from ichthyoplankton specimens: 27 individuals were identified to six species (*Benthosema suborbital, Diaphus anderseni, Lampanyctus alatus, Nannobrachium fernae, Symbolophorus rufinus* and *Ceratoscopelus townsendi*) (Figure 2), 40 individuals were identified to two genera (*Ceratoscopelus* and *Lobianchia*), and 43 individuals were identified to myctophidae, including four taxa; the neighbor-joining tree based on COI is shown in Appendix A Figure A1 (*Ceratoscopelus townsendi* was identified via its morphology). *Ceratoscopelus* contributed 37.27% to the total abundance. In vertical and horizontal tows, the mean total abundance of larval and juvenile myctophid fish was 0.85, 0.96 ind./1000 m³, and the max abundance was 17.75, 5.78 ind./1000 m³, respectively. The region with high abundance was concentrated in the western part of the sampling area.





3.2. Model Performance

The multicollinearity tests showed that the \sqrt{VIF} values of all six predictor factors were less than 2. There were no significant multicollinearity problems, so all of them were adopted for further analysis (Table 1). Log-likelihood function values were calculated with changing power parameters; when p = 1.431 and 1.780, the log-likelihood function values were maximized in horizontally and vertically hauled net tows, respectively. Hence, the data distribution type of *X* of both tows were Gamma–Poisson distributions, and *p* values were used to build Tweedie-GAM.

The best combination of factors in horizontal tows was the copepod density and *EKE* (Table 2), and the deviation explanation rate of each factor was 45.1% and 24.3%, respectively, while both factors were significant (p < 0.05), and the copepod density contributed the most explanation (45.1%). The best combination of factors in vertical tows was the copepod density, *SST* and *Chl*–*a* The deviation explanation rate of each factor was 22.1%,

50.3% and 8.8%, respectively, all three factors were significant (p < 0.05), and the *SST* contributed the most explanation (50.3%). For brevity, the best model based on horizontal tows was called Horizontal-GAM, and another was called Vertical-GAM.

Table 1. Variance inflation factor among explanatory variables.

Explanatory Variable	SST	SLA	SSS	Chl-a	EKE	Density
VIF	2.20	1.15	1.11	1.96	1.30	1.16

 Table 2. Results of the optimal Tweedie-GAM model fitting and parameter analysis of influencing factors.

Model	Explanatory Variable	AIC Value	Cumulative Deviance Explained	Deviance Explanation of Each Factor	p
Horizontal- GAM	+density +EKE	99.42 80.69	45.1% 69.4%	45.1% 24.3%	<0.001 *** 0.005 **
Vertical- GAM	+density +SST +Chl-a	60.54 38.75 32.48	22.1% 72.4% 81.2%	22.1% 50.3% 8.8%	<0.001 *** <0.001 *** 0.04 *

Note: * means *p* < 0.05, ** means *p* < 0.01, *** means *p* < 0.001.

3.3. Results of Model

In the results of Horizontal-GAM, the abundance of larval and juvenile myctophid fish (*X*) increased and then decreased with the increasing copepod density, with the maximum of abundance of copepod density at 17.5 ind/m³, but the data points were concentrated in 0–14 ind/m³, and the positive correlation was significant in this range (Figure 3a). In the relationship between abundance and *EKE*, the abundance increased and then decreased when *EKE* was between 0 and 0.1 m²/s², with one peak occurring when *EKE* was 0.03 m²/s², and the other one occurring when *EKE* was 0.4 m²/s² (Figure 3b). However, there were only three data points when *EKE* was larger than 0.1 m²/s², and the fitting of the curve may be affected by extreme values.

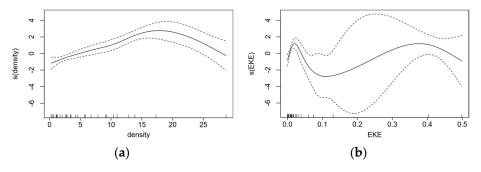


Figure 3. Effects of environmental factors on abundance of larval and juvenile myctophid fish in KE region based on horizontal tows: (**a**) effect of copepod density; (**b**) effect of *EKE*. The solid line in the figure represents the effect, and the dotted line represents the 95% confidence interval of the effect.

In the results of Vertical-GAM, ranging from 0 to 25.7 ind/m³ of copepod density, the relationship between the copepod density and *X* was linearly positive (Figure 4a). In the relationship between *X* and SST, ranging from 20 to 25.3 °C, *X* showed a fluctuating decrease with two peaks occurring at 22 °C and 24.7 °C (Figure 4b). In the range of 0.1–0.41 mg/m³, the *X* increased and then decreased with the increase in copepod density (Figure 4c).

3.4. Relationship between Abundance and Environmental Factors

The copepod density had significant gravity of distribution, with the highest density occurring at around 148° E, 33° N and gradually decreasing in regions far away from the

center (Figure 5a). The suitable copepod density range was 15–20 ind/m³. There were three obvious mesoscale eddies and a part of the KE (Figure 5b). The highest abundance station was located on the edge of the KE, and the highest abundance was distributed in the low *EKE* region. In vertical tows, the highest abundance was distributed in the region with the high copepod density (Figure 6a). As shown in Figure 6b,c, overall, the *Chl*–*a* was significantly higher in the north than in the south of the sampling region, while SST in the north was lower than that in the south, and the highest abundance was distributed in the transition zone of *Chl*–*a* and SST.

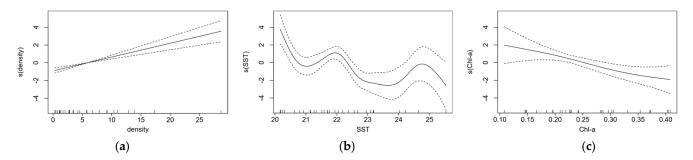


Figure 4. Effects of environmental factors on abundance of larval and juvenile myctophid fish in KE region based on vertical tows: (a) effect of copepod density; (b) effect of SST; (c) effect of Chl-a. The solid line in the figure represents the effect, and the dotted line represents the 95% confidence interval of the effect.

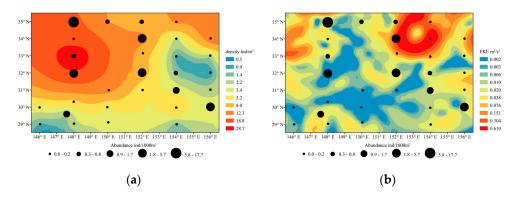


Figure 5. Relationship between the abundance of larval and juvenile myctophid fish and environmental factors in KE region based on horizontal tows: (**a**) effect of copepod density; (**b**) effect of *EKE*. The solid line in the figure represents the effect, and the dotted line represents the 95% confidence interval of the effect.

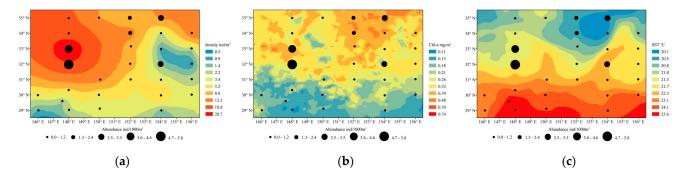


Figure 6. Relationship between the abundance of larval and juvenile myctophid fish and environmental factors in KE region based on vertical tows: (**a**) effect of copepod density; (**b**) effect of Chl-a; (**c**) effect of *SST*. The solid line in the figure represents the effect, and the dotted line represents the 95% confidence interval of the effect.

4. Discussion

4.1. Species Composition and Abundance

Myctophids are one of the most diverse families in the northwest Pacific Ocean, with over 80 species [8]. Due to their ecological importance and potential economic value, their life history has been the subject of contemporary research. However, the migration and reproduction strategies of myctophids, particularly those found in open oceans, have been little studied due to the sampling challenges posed by their long-distance horizontal and vertical migration. In previous research, myctophids were found to be abundant in the Kuroshio and transition region [48,49], and their spawning grounds were determined by the investigation of mature adults, eggs, larvae or juveniles [14,50,51]. These findings suggest significant spatial and temporal differences in the composition of myctophid ichthyoplankton assemblages. The present study reports the distribution and abundance of larval and juvenile myctophid fish in the KE and its adjacent regions in the winter for the first time. We identified *Ceratoscopelus* as the dominant genus, present at the majority of sampling stations. As a typical Myctophid species, Ceratoscopelus accounted for almost 30% of larval myctophid fish specimens in the Kuroshio Countercurrent region, with a few individuals occurring on the onshore side of the Kuroshio and Kuroshio–Oyashio transition region [32,52,53]. In addition, the mean total abundance of vertical tows was slightly larger than that of horizontal, and the distribution patterns of their abundance were not synchronized, regardless of sampling errors and extreme values, which may be due to the enhanced swimming ability of the larval and juvenile myctophid fish for vertical migration and responding to environmental factors.

4.2. Effect of Biological Factors

In this study, the abundance of fish larvae and juveniles was positively correlated with the density of copepods but negatively correlated with Chl-a. Although high primary productivity in the KE is essential to maintain the energy intake of larval and juvenile fish, considering the lagging effect of Chl-a on the ecosystem, the relationship between Chl-aand abundance in this study still needs further study. Intriguingly, fish in the early life history stages did not rely on phytoplankton to receive the energy required for growth and development; instead, they primarily fed on copepods of varying sizes and developmental periods, depending on the size of the fish mouth [54–56]. For example, Bernal et al. [57] indicated that myctophid larvae off the coast of western Australia feed predominantly on nauplii and copepodites. Contreras et al. [58] showed that copepods dominated the larval diet of Diaphus vanhoeffeni, Hygophum macrochir and Myctophum affine in the equatorial and tropical Atlantic. The feeding pattern of larvae and juvenile fishes regulated their energy intake and consumption during feeding, which directly affected their growth and development. Thus, larvae and juvenile fishes employed numerous strategies to optimize their feeding efficiency, which led to their unique spatial and temporal distribution adaption patterns. For instance, trends in the abundance of larvae and juvenile fishes were consistent with the changes in zooplankton [20,59], but competition for prey between species was also an important factor for their distribution, especially in the KE region, an important spawning ground for a variety of species. Actually, different larvae and juvenile fish species reduced the overlap of trophic niches through staggered vertical migration patterns, distribution patterns, predation times and the differential selection of feeding targets [54,60].

4.3. Effect of Physical Factors

In addition to biological factors, ocean dynamic processes and environmental factors were critical drivers of the distribution of larvae and juvenile myctophid fish. Based on *EKE*, the ocean dynamic processes that were the focus of our study were the Kuroshio and mesoscale eddies in this study. Firstly, the abundance at the sampling stations near the KE was much higher than others, and considering the role of the Kuroshio in the transport of fish eggs and larvae, myctophid larvae and juveniles may enter the slow flow area at the Kuroshio bend after being transported by the Kuroshio. Secondly, the effect of mesoscale eddies on

the early life history stages of fish was primarily reflected in the influence of environmental factors such as temperature, salinity and nutrients in the area controlled by eddies, as well as the accumulation and transportation of eggs, larvae and juvenile fish by water flows. With the growth of fish, the distribution patterns of fish larvae and juveniles of different species in mesoscale eddies were significantly biased [55,61,62]. On the sea surface, the myctophid larvae and juveniles preferred to be distributed in the low *EKE* areas that were remote from eddies. However, there was no significant correlation between distribution patterns and EKE in vertical tows, mainly due to the significant structure of the physical properties of the mesoscale eddy in the vertical direction, and sea surface EKE was insufficiently representative of the flow characteristics of all the water. Moreover, temperature influences the distribution of larvae and juveniles mainly through its effects on the metabolism and locomotion of fish [63,64]. This study revealed a non-linear negative correlation between abundance and temperature at 20–25.5 °C, which confirmed that prolonged high temperature may be detrimental to the growth of juvenile fish and may cause increased mortality. The Kuroshio and its extension region were transitional regions for sea surface temperature [65]. Due to the sensitivity of ichthyoplankton to temperature, larvae and juvenile myctophid fishes were predominantly distributed in the northern region where the water temperature was relatively low.

5. Conclusions and Future Work

The fluctuation of community structure and the spatial and temporal distribution of larval and juvenile fish is a comprehensive response to biological and physical factors. Under the background of climate anomaly, habitat degradation and fishery resource exploitation, the survival conditions of potentially economically important fish species in the early life history stage have attracted more and more attention. Although our investigation region and density of sampling station were limited, we addressed the issue of model fitting ability with more zero-valued data by using Tweedie-GAM. This allowed us to explore the influence of environmental factors in the Kuroshio extension on the abundance of larval and juvenile myctophid fish during the winter season. According to our findings, copepod density, ocean dynamic processes and SST were the most influential determinants on the distribution pattern of larvae and juvenile myctophid fish, and the dominant role of the Kuroshio extension in this process was described. However, the environmental conditions in the KE region are known to be variable, with significant interannual and inter-monthly fluctuations, and the role of the Kuroshio in the transport of ichthyoplankton needs to be further verified by expanding the sampling area. Therefore, the objective of future research is to investigate long time series and to expand survey stations to the Kuroshio flow region. In addition, considering the prey selection of larval and juvenile fish and the dietary shift during development, as well as the potential food sources, feeding ecology research could be used to support how biological factors affect larval and juvenile fish.

Author Contributions: Data analysis was performed by H.X., B.L. and Y.C.; H.X. wrote the first draft of the manuscript; B.L. designed the survey; B.L. revised the manuscript and approved it for submission. All authors have read and agreed to the published version of the manuscript.

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Conflicts of Interest: The authors declare no conflict of interest.

Appendix A

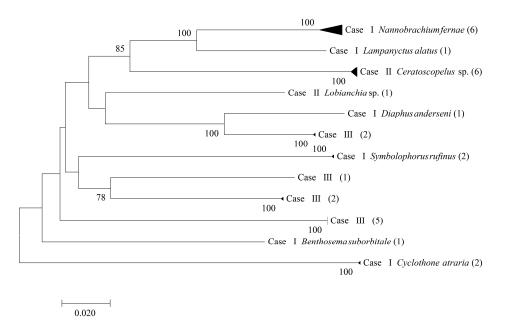


Figure A1. The neighbor-joining tree based on COI sequences of larval and juvenile myctophid fish.

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