



# Article Underwater Pipe and Valve 3D Recognition Using Deep Learning Segmentation

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**Abstract:** During the past few decades, the need to intervene in underwater scenarios has grown due to the increasing necessity to perform tasks like underwater infrastructure inspection and maintenance or archaeology and geology exploration. In the last few years, the usage of Autonomous Underwater Vehicles (AUVs) has eased the workload and risks of such interventions. To automate these tasks, the AUVs have to gather the information of their surroundings, interpret it and make decisions based on it. The two main perception modalities used at close range are laser and video. In this paper, we propose the usage of a deep neural network to recognise pipes and valves in multiple underwater scenarios, using 3D RGB point cloud information provided by a stereo camera. We generate a diverse and rich dataset for the network training and testing, assessing the effect of a broad selection of hyperparameters and values. Results show *F1-scores* of up to 97.2% for a test set containing images taken at different environments and with distinct characteristics from the training set. This work demonstrates the validity and robust training of the PointNet neural in underwater scenarios and its applicability for AUV intervention tasks.

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**Keywords:** point cloud segmentation; deep learning; pipe and valve recognition; underwater perception; computer vision

# 1. Introduction

During the past few decades, the interest in underwater intervention has grown exponentially as more often it is necessary to perform underwater tasks like surveying, sampling, archaeology exploration or industrial infrastructure inspection and maintenance of offshore oil and gas structures, submerged oil wells or pipeline networks, among others [1–5].

Historically, scuba diving has been the prevailing method of conducting the aforementioned tasks. However, performing these missions in a harsh environment like open water scenarios is slow, dangerous, and resource consuming. More recently, thanks to technological advances such as Remotely Operated Vehicles (*ROVs*) equipped with manipulators, more deep and complex underwater scenarios are accessible for scientific and industrial activities.

Nonetheless, these *ROVs* have complex dynamics that make their piloting a difficult and error-prone task, requiring trained operators. In addition, these vehicles require a support vessel, which leads to expensive operational costs. To mitigate that, some research centres have started working towards intervention Autonomous Underwater Vehicles (*AUVs*) [6–8]. In addition, due to the complexity of the Underwater Vehicle Manipulator Systems (UVMS), recent studies have been published towards its control [9,10].

Traditionally, when operating in unknown underwater environments, acoustic bathymetric maps are used to get a first identification of the environment. Once the bathymetric information is available, *ROVs* or *AUVs* can be sent to obtain more detailed information using short distance sensors with higher resolution. The two main perception modalities used at close range are laser and video, thanks to their high resolution. They are used during the approach, object recognition and intervention phases. Existing solutions for all perception modalities are reviewed in Section 2.1.

The underwater environment is one of the most problematic in terms of sensing in general and in terms of object perception in particular. The main challenges of underwater perception include distortion in signals, light propagation artefacts like absorption and scattering, water turbidity changes or depth-depending colour distortion.

Accurate and robust object detection, identification of target objects in different experimental conditions and pose estimation are essential requirements for the execution of manipulation tasks.

In this work, we propose a deep learning based approach to recognise pipes and valves in multiple underwater scenarios, using the 3D RGB point cloud information provided by a stereo camera, for real-time *AUV* inspection and manipulation tasks.

The remainder of this paper is structured as follows: Section 2 reviews related work on underwater perception and pipe and valve identification and highlights the main contributions of this work. Section 3 describes the adopted methodology and materials used in this study. The experimental results are presented and discussed in Section 4. Finally, Section 5 outlines the main conclusions and future work.

## 2. Related Work and Contributions

# 2.1. State of the Art

Even though computer vision is one of the most complete and used perception modalities in robotics and object recognition tasks, it has not been widely used in underwater scenarios. Light transmission problems and water turbidity affect the images clarity, colouring and produce distortions; these factors have favoured the usage of other perception techniques.

Sonar sensing has been largely used for object localisation or environment identification in underwater scenarios [11,12]. In [13], Kim et al. present an AdaBoost based method for underwater object detection, while Wang et al. [14] propose a combination of non-local spatial information and frog leaping algorithm to detect underwater objects in sonar images. More recently, object detection deep learning techniques have started to apply over sonar imaging in applications such as detection of underwater bodies in [15,16] or underwater mine detection in [17]. Sonar imaging also presents some drawbacks as it tends to generate noisy images, losing texture information; and are not capable of gathering colour information, which is useful in object recognition tasks.

Underwater laser scans are another perception technique used for object recognition, providing accurate 3D data. In [18], Palomer et al. present the calibration and integration of a laser scanner on an AUV for object manipulation. Himri et al. [19,20] use the same system to detect objects using a recognition and pose estimation pipeline based on point cloud matching. Inzartsev et al. [21] simulate the use of a single beam laser paired with a camera to capture its deformation and track an underwater pipeline. Laser scans are also affected by light transmission problems, have a very high initial cost and can only provide colourless point clouds.

The only perception modality that allows gathering of colour information for the scene is computer vision. Furthermore, some of its aforementioned weaknesses can be mitigated by adapting to the environmental conditions, adjusting the operation range, calibrating the cameras or colour correcting the obtained images.

Traditional computer vision approaches have been used to detect and track submerged artifacts [22–25], cables [26–28] and even pipelines [28–31]. Some works are based on shape and texture descriptors [28,31] or template matching [32,33], while others exploit colour segmentation to find regions of interest in the images, which are later further processed [25,34].

On pipeline detection, Kallasi et al. in [35] and Razzini et al. in [7,36] present traditional computer vision methods combining shape and colouring information to detect pipes in underwater scenarios and later project them into point clouds obtained from stereo vision. In these works, the point cloud information is not used to assist the pipe recognition process.

The first found trainable system to detect pipelines is presented in [37] by Rekik et al. using the objects structure and content features along a Support Vector Machine to classify between positive and negative underwater pipe images samples. Later, Nunes et. al introduced the application of a Convolutional Neural Network in [38] to classify up to five underwater objects, including a pipeline. In both of these works, no position of the object is given, but simply a binary output on the object's presence.

The application of computer vision approaches based on deep learning in underwater scenarios has been limited to the detection and pose estimation of 3D-printed objects in [39] or for living organisms detection like fishes [40] or jellyfishes [41]. Few research studies involving pipelines are restricted to damage evaluation [42,43] or valve detection for navigation [44] working with images taken from inside the pipelines. The only known work addressing pipeline recognition using deep learning is from Guerra et al. in [45], where a camera-equipped drone is used to detect pipelines in industrial environments.

To the best knowledge of the authors, there are not works applying deep learning techniques in underwater computer vision pipeline and valve recognition, nor implementing the usage of point cloud information on the detection process itself.

## 2.2. Main Contributions

The main contributions of this paper are composed of:

- 1. Generation of a novel point cloud dataset containing pipes and different types of valves in varied underwater scenarios, providing enough data to perform a robust training and testing of the selected deep neural network.
- 2. Implementation and testing of the PointNet architecture in underwater environments to detect pipes and valves.
- Studying the suitability of the PointNet network on real-time autonomous underwater recognition tasks in terms of detection performance and inference time by tuning diverse hyperparameter values.
- 4. The datasets (point clouds and corresponding ground truths) along with a trained model are provided to the scientific community.

## 3. Materials and Methods

This section presents an overview of the selected network; explains the acquisition, labelling and organisation of the data; and details the studied network hyperparameters, the validation process and the evaluation metrics.

## 3.1. Deep Learning Network

To perform the pipe and valve 3D recognition from point cloud segmentation, we selected the PointNet deep neural network [46]. This is a unified architecture for applications ranging from object classification and part segmentation to scene semantic segmentation. PointNet is a highly efficient and effective network, obtaining great metrics in both object classification and segmentation tasks in indoor and outdoor scenarios [46]. However, it has never been tested in underwater scenarios. The whole PointNet architecture is shown in Figure 1.



Figure 1. PointNet architecture. Reproduced from [46], with permission from publisher Hao Su, 2020.

In this paper, we use the *Segmentation Network* of PointNet. This network is an extension to the *Classification Network*, as it can be seen in Figure 1. Some of its key features include:

- The integration of max pooling layers as symmetric function to aggregate the information from each point, making the model invariant to input permutations.
- Being able to predict per point features that rely both on local structures from nearby points and global information which makes the prediction invariant to object transformations such as translations or rotations. This combination of local and global information is obtained by concatenating the global point cloud feature vector with the local per point features.
- Making the semantic labeling of a point cloud invariant to the point cloud geometric transformations by aligning all input set to a canonical space before feature extraction. To achieve this, an affine transformation matrix is predicted using a mini-network (T-net in Figure 1) and directly applied to the coordinates of input points.

The PointNet architecture takes as input point clouds and it outputs a class label for each point. During the training, the network is also fed with ground truth point clouds, where each point is labelled with its pertaining class. The labelling process is further detailed in Section 3.2.2.

As the original PointNet implementation, we used a softmax cross-entropy loss along an Adam optimiser. The decay rate for batch normalisation starts with 0.5 and is gradually increased to 0.99. In addition, we applied a dropout with keep ratio 0.7 on the last fully connected layer, before class score prediction. Other hyperparameters values such as learning rate or batch size are discussed, along other parameters, on Section 3.3.

Furthermore, to improve the network performance, we implemented an early stopping strategy based on the work of Prechelt in [47], assuring that the network training process stops at an epoch that ensures minimum divergence between validation and training losses. This technique allows for obtaining a more general and broad training, avoiding overfitting.

#### 3.2. Data

This subsection explains the acquisition, labelling and organisation of the data used to train and test the PointNet neural network.

#### 3.2.1. Acquisition

As mentioned in Section 3.1, the PointNet uses pointclouds for its training and inference. To obtain the point clouds, we set up a Bumblebee2 Firewire stereo rig [48] on an Autonomous Surface Vehicle (ASV) through a *Robot Operating System* (ROS) framework.

First, we calibrated the stereo rig both on fresh and salt water using the ROS package *image\_pipeline/camera\_calibration* [49,50]. It uses a chessboard pattern to obtain the camera, rectification and projection matrices along the distortion coefficients for both cameras.

The acquired synchronised pairs of left-right images (resolution:  $1024 \times 768$  pixels) are

processed as follows by the *image\_pipeline/stere\_image\_proc* ROS package [51] to calculate the disparity between pairs of images based on epipolar matching [52], obtaining the corresponding depth of each pixel from the stereo rig.

Finally, combining this depth information with the RGB colouring from the original images, we generate the point clouds. An example of the acquisition is pictured in Figure 2.



Figure 2. Data acquisition process. (a) left and right stereo images, (b) disparity image, (c) point cloud.

## 3.2.2. Ground Truth Labelling

Ground truth annotations are manually built from the point clouds, where the pixels corresponding to each class are marked with a different label. The studied classes and their RGB labels are: *Pipe* (0, 255, 0), *Valve* (0, 0, 255) and *Background* (0, 0, 0). Figure 3 shows a couple of point clouds along with their corresponding ground truth annotations.



**Figure 3.** (a) Original point cloud; (b) ground truth annotations, points corresponding to pipes are marked in green; to valves, in blue; and to background, in black.

#### 3.2.3. Dataset Managing

Following the steps described in the previous section, we generated two datasets. The first one includes a total of 262 point clouds along with their ground truths. It was obtained on an artificial pool and contains diverse connections between pipes of different diameters and 2/3 way valves. It also contains other objects such as cement blocks and ceramic vessels, always over a plastic sheeting simulating different textures. This dataset is split into a train-validation set (90% of the data, 236 point clouds) and a test set (10% of the data, 26 point clouds). The different combinations of elements and textures increase its diversity, helping to assure the robustness in the training and reduce overfitting. From now on, we will refer to this dataset as the *Pool* dataset.

The second dataset includes a total of 22 point clouds and their corresponding ground truths. It was obtained in the sea and contains different pipe connections and valves positions. In addition, these 22 point clouds were obtained over diverse types of seabed, such as sand, rocks, algae, or a combination of them. This dataset is used to perform a secondary test, as it contains point clouds with different characteristics of the ones used to train and validate the network, allowing us to assess how well the network generalises its training to new conditions. From now on, we will refer to this dataset as the Sea dataset.

Figure 4 illustrates the dataset managing, while in Figure 5 some examples of point clouds from both datasets are shown.





#### 3.3. Hyperparameter Study

When training a neural network, there are hyperparameters which can be tuned, changing some of the features of the network or the training process itself. We selected some of these hyperparameters and trained the network using different values to study their effect over its performance in underwater scenarios. The considered hyperparameters were:

- Batch size: number of training samples utilised in one iteration before backpropagating.
- Learning rate: affects the size of the matrix changes that the network takes when searching for an optimal solution.
- Block (B) and stride (S) size: to prepare the network input, the point clouds are sampled into blocks of *BxB* meters, with a sliding window of stride *S* meters.
- Number of points: maximum number of allowed points per block. If it exceeds, random points are deleted. Used to control the point cloud density.

The tested values for each hyperparameter are shown in Table 1. In total, 13 experiments are conducted, one using the hyperparameter values used in the original PointNet implementation [46] (marked in bold in Table 1); and 12 more, each one fixing three of the aforementioned hyperparameters to their original values and using one of the other tested

values for the fourth hyperparameter. This way, the effect of each hyperparameter and its value over the performance is isolated.

Hyperparameter	Tested Values					
Batch size	16	24	32			
Learning rate	0.005	0.001	0.0002			
Block-stride	2-2	2-1	1-1	1-0.75		
Num. points	4096	2048	1024	512	256	128

Table 1. Tested hyperparameter values. Original values are marked in bold.

#### 3.4. Validation

3.4.1. Validation Process

To ensure the robustness of the results generated for the 13 experiments, we used the 10 k-fold cross-validation method [53]. Using this method, the train-validation set of the *Pool* dataset is split into ten equally sized subsets. The network is trained ten times as follows, each one using a different subset as validation (23 point clouds) and the nine remaining as training (213 point clouds), generating ten models which are tested against both *Pool* and *Sea* test sets. Finally, each experiment performance is computed as the mean of the results of its 10 cross-validation models. This method reduces the variability of the results, as these are less dependent on the selected training and validation subsets, therefore obtaining a more accurate performance estimation. Figure 6 depicts the k-fold cross-validation technique applied to the dataset managing described in Section 3.2.3



Figure 6. Implementation of the 10k-fold cross-validation method.

#### 3.4.2. Evaluation Metrics

To evaluate a model performance, we make a point-wise comparison between its predictions and their corresponding ground truth annotations, generating a multi-class confusion matrix. This confusion matrix indicates, for each class: the number of points correctly identified belonging to that class, *True Positives* (TP) and not belonging to it, *True Negatives* (TN); the number of points misclassified as the studied class, *False Positives* (FP); and the number of points belonging to that class misclassified as another one, *False Negatives* (FN). Finally, the TP, FP and FN values are used to calculate the *Precision*, *Recall* and *F1-score* for each class, following Equations (1)–(3):

$$Precision = \frac{TP}{TP + FP} \quad , \tag{1}$$

$$Recall = \frac{TP}{TP + FN} \quad , \tag{2}$$

$$F1-score = 2 \times \frac{Recall \times Precision}{Recall + Precision}$$
(3)

Additionally, the mean time that a model takes to perform the inference of a point cloud is calculated. This metric is very important, as it defines the frequency that information is provided to the system. In underwater applications, it would directly affect the agility and responsiveness of the AUV that this network could be integrated in, having an impact over the final operation time.

## 4. Experimental Results and Discussion

This section reports the performance obtained for each experiment over the *Pool* and *Sea* test sets and discusses the effect of each hyperparameter over it. The notation used to name each experiment corresponds as follows: "Base" for the experiment conducted using the original hyperparameter values, marked in bold in Table 1; the other experiments are notated as an abbreviation of the modified hyperparameter for that experiment ("Batch" for batch size, "Lr" for learning rate, "BS" for block-stride and "Np" for number of points) followed by the actual value of the hyperparameter for that experiment. For instance, experiment *Batch 24* uses all original hyperparameter values except for the batch size, which in this case is 24.

## 4.1. Pool Dataset Results

Table 2 shows the *F1-scores* obtained for the studied classes and its mean for all experiments when evaluated over the *Pool* test set. The mean inference time for each experiment is showcased in Figure 7 as follows.

Experiment	F1_Pipe	F1_Valve	F1_Background	F1_Mean
Base	97.0%	93.1%	99.8%	96.6%
Batch 24	96.8%	92.7%	99.8%	96.4%
Batch 16	96.7%	92.3%	99.8%	96.2%
Lr 0005	96.4%	91.0%	99.7%	95.7%
Lr 00002	96.5%	92.5%	99.7%	96.2%
BS 2_2	96.0%	90.8%	99.7%	95.5%
BS 2_1	96.9%	93.3%	<b>99.8</b> %	96.7%
BS 1_075	97.1%	94.9%	99.7%	97.2%
Np 2048	96.7%	92.2%	99.8%	96.2%
Np 1024	96.9%	93.2%	99.8%	96.6%
Np 512	96.8%	92.6%	99.8%	96.4%
Np 256	96.9%	93.4%	99.8%	96.7%
Np 128	96.7%	92.8%	99.8%	96.4%

Table 2. Pool test set F1-scores.



Figure 7. Pool test set mean inference time.

The results presented in Table 2 show that all experiments achieved a mean *F1-score* greater than 95.5%, with the highest value of 97.2% for the experiment *BS* 1\_075, which has a smaller block stride than its size, overlapping information. Considering the figures of mean *F1-score* for all experiments, it is safe to say that no hyperparameter seemed to represent a major shift in the network behaviour.

Looking at the metrics presented by the best performing experiment for each class, it can be seen that the *Pipe* class achieved an *F1-score* of 97.1%, outperforming other state-of-the-art methods for underwater pipe segmentation: [35]—traditional computer vision algorithms over 2D underwater images achieving an *F1-score* of 94.1%, [7]—traditional computer vision algorithms over 2D underwater images achieving a mean *F1-score* over three datasets of 88.0% and [45]—deep leaning approach for 2D drone imagery achieving a pixel-wise accuracy of 73.1%. For the valve class, the *BS* 1\_075 experiment achieved a *F1-score* of 94.9%, being a more challenging class due to its complex geometry. As far as the authors know, no comparable work on underwater valve detection has been identified. Finally, for the more prevailing *Background* class, the best performing experiment achieved an *F1-score* of 99.7%.

The results on mean inference time for each experiment presented in Figure 7 shows that the batch size and learning rate hyperparameter values do not influence the inference time or have little impact, as their value is very similar to the one obtained in the *Base* experiment. On the contrary, the block and stride size highly affect the inference time, the bigger the information block or the stride between blocks, the faster the network can analyse a point cloud, and vice versa. Finally, the maximum number of allowed points per block also has a direct impact over the inference time, the lower it is, the faster the network can analyse a point cloud, as it becomes less dense. The time analysis was carried out in a computer with the following specs—processor: Intel i7-7700, RAM: 16 GB, GPU: NVIDIA GeForce GTX 1080.

Taking into account both metrics, *BS* 1\_075 presented the best *F1-score* and has the highest inference time. In this experiment, the network uses a small block size and stride, being able to analyse the data and extract its features better, at the cost of taking longer. The hyperparameter values of this experiment are a good fit for a system in which quick responsiveness to changes and high frequency of information are not a priority, allowing for maximising the recognition performance.

On the other hand, experiments such as *BS* 2\_2 or *Np* 1024, 512, 256, 128 were able to maintain very high *F1-scores* while significantly reducing the inference time. The hyperparameter values tested in these experiments are a good fit for more agile systems that need a higher frequency of information and responsiveness to changes.

Figure 8 shows some examples of original point clouds from the *Pool* test set along with their corresponding ground truth annotations and network predictions.



**Figure 8.** Qualitative results for the *Pool* test set. (**a**) original point cloud, (**b**) ground truth annotations, (**c**) network prediction.

#### 4.2. Sea Dataset Results

Table 3 shows the *F1-scores* obtained for the studied classes and its mean, for all experiments when evaluated over the *Sea* test set. The mean inference time for each experiment is showcased in Figure 9 as follows.

<b>Table 3.</b> Sea te	est set F1-scores.
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Experiment	F1_Pipe	F1_Valve	F1_Background	F1_Mean
Base	85.9%	79.5%	98.8%	88.1%
Batch 24	87.2%	79.9%	98.9%	88.7%
Batch 16	88.1%	80.9%	99.0%	89.3%
Lr 0005	86.2%	81.2%	98.8%	88.7%
Lr 00002	85.2%	76.3%	98.7%	86.8%
BS 2_2	80.7%	77.2%	97.9%	85.3%
BS 2_1	80.2%	79.7%	97.6%	85.8%
BS 1_075	86.7%	73.9%	99.0%	86.5%
Np 2048	85.2%	80.1%	98.5%	87.9%
Np 1024	86.1%	77.8%	98.8%	87.6%
Np 512	85.4%	70.7%	98.8%	85.0%
Np 256	87.1%	80.2%	98.9%	88.8%
Np 128	84.5%	71.5%	98.7%	84.9%



Figure 9. Sea test set mean inference time.

The results presented in Table 3 show that all experiments achieved a mean *F1-score* greater than 84.9% with the highest value of 89.3% for the experiment *Batch* 16. On average, the mean *F1-score* was around 9% lower than for the *Pool* test set. Even so, all experiments maintained high *F1-scores*. Again, the *F1-scores* of the *Pipe* and *Valve* classes are relatively lower than for the *Background* class. Even though the *Sea* test set is more challenging, as it contains unseen pipe and valve connections and environment conditions, the network was able to generalise its training and avoid overfitting.

The results on mean inference time for each experiment presented in Figure 9 shows that the mean inference times for the *Sea* test set are proportionally lower than the *Pool* test set for all experiments. This occurs because the *Sea* test set contains smaller point clouds with fewer points.

Figure 10 shows some examples of original point clouds from the *Sea* test set along with their corresponding ground truth annotations and network predictions.



**Figure 10.** Qualitative results for the *Sea* test set. (**a**) original point cloud; (**b**) ground truth annotations; (**c**) network prediction.

## 5. Conclusions and Future Work

This work studied the implementation of the PointNet deep neural network in underwater scenarios to recognise pipes and valves from point clouds. First, two datasets of point clouds were gathered, providing enough data for the training and testing of the network. From these, a train-validation set and two test sets were generated, a primary test set with similar characteristics as the training data and a secondary one containing unseen pipe and valve links and environment conditions to test the network training generalisation and overfitting. Then, diverse hyperparameter values were tested to study their effect over the network performance, both in the recognition task and inference time.

Results from the recognition task concluded that the network was able to identify pipes and valves with high accuracy for all experiments in both *Pool* and *Sea* test sets, reaching *F1-scores* of 97.2% and 89.3%, respectively. Regarding the network inference time, results showed that it is highly dependent on the size of information block and its stride; and to the point clouds density.

From the performed experiments, we obtained a range of models covering different trade-offs between detection performance and inference time, enabling the network implementation into a wider spectrum of systems, adapting to its detection and computational cost requirements. The *BS* 1\_075 experiment presented metrics that fitted a slower, more still system, while experiments like *BS* 2\_2 or *Np* 1024, 512, 256, 128 are a good fit for more agile and dynamic systems.

The implementation of the PointNet network in underwater scenarios presented some challenges, like ensuring its recognition performance when trained with point clouds obtained from underwater images, and its suitability to be integrated on an AUV due to its computational cost. With the results obtained in this work, we have demonstrated the validity of the PointNet deep neural network to detect pipes and valves in underwater scenarios for AUV manipulation and inspection tasks.

The datasets and code, along with one of the *Base* experiment trained models, are publicly available at http://srv.uib.es/3d-pipes-1/ (UIB-SRV-3D-pipes) for the scientific community to test or replicate our experiments.

Further steps need to be taken in order to achieve an underwater object localisation and positioning for ROV and AUV intervention using the object recognition presented in this work. We propose the following future work:

- 1. Performing an instance-based detection from the presented pixel-based one, allowing for recognition of pipes and valves as a whole object and to classify them by type (two or three way) or status (opened or closed).
- 2. Using the depth information provided by the stereo cameras along with the instance detection to achieve a spatial 3D positioning of each object. Once the network is implemented in an AUV, this would provide the vehicle with the information to manipulate and intervene with the recognised objects.

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**Data Availability Statement:** Publicly available datasets were analysed in this study. This data can be found here: http://srv.uib.es/3d-pipes-1/.

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