



Article Effects of Substrate and Annealing Conditions on the Ferroelectric Properties of Non-Doped HfO₂ Deposited by RF Plasma Sputter

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Abstract: In this study, the effect of annealing and substrate conditions on the ferroelectricity of undoped hafnium oxide (HfO₂) was analyzed. Hafnium oxide was deposited on various substrates such as platinum, titanium nitride, and silicon (Pt, TiN, Si) through RF magnetron sputtering. Annealing was performed in a nitrogen atmosphere at temperatures ranging from 400 to 600 °C, and the process lasted anywhere from 1 to 30 min. As a result, it was confirmed that the orthorhombic phase, the main cause of ferroelectricity, was dominant after a post-anneal at 600 °C for 30 min. Additionally, it was observed that interface mixing between hafnium oxide and the substrate may degrade ferroelectricity. Accordingly, the highest remanent polarization, measured at 14.24 μ C/cm², was observed with the Pt electrode. This finding was further corroborated by piezo force microscopy and endurance tests, with the results being significant compared to previously reported values. This analysis demonstrates that optimizing substrate and annealing conditions, rather than doping, can enhance the ferroelectricity of hafnium oxide, laying the foundation for the future development of ferroelectric-based transistors.

Keywords: hafnium oxide; sputtering; orthorhombic; substrate; ferroelectricity

1. Introduction

Currently, commercially available memory is mainly divided into volatile and nonvolatile memory, represented by dynamic random-access memory (DRAM) and NAND flash memory, respectively. DRAM operates at fast write and read speeds as it stores volatile memory, while NAND flash memory is a non-volatile memory operating at slower write and read speeds and with lower power efficiency. Therefore, various next-generation memories, combining the advantages of both types, are being studied. Among them, the most representative is ferroelectric memory [1–3]. This type of memory uses ferroelectric material, which spontaneously separates positive and negative charges without an external electrical stimulus. Ferroelectric memory is well-known for its faster operation speed compared to conventional memory, low power consumption, and applicability to non-volatile memory due to spontaneous polarization [4,5]. There are various ferroelectric materials, with perovskite-structured oxide being the first studied [6,7]. Perovskite oxide, characterized by an ABX₃ crystal structure, has metal ions at the center of each unit cell. When an electric field is applied, the off-center motion of these metal ions causes spontaneous polarization, making this material initially significant in ferroelectric research [6,7]. However, applying thin perovskite oxide to state-of-the-art ferroelectric devices is challenging; its ferroelectricity degrades significantly at thicknesses below tens of nanometers [8,9], rendering complex perovskite oxide inadequate for scaling down to sub-nanometer dimensions



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Copyright: © 2024 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). of devices [10]. Therefore, identifying an alternative ferroelectric material to perovskite oxides is crucial. As a result, several ferroelectric materials for the two-component system have been proposed, including hafnium oxide [11–16]. Widely used in semiconductors, hafnium oxide is an insulator with a high dielectric constant and stable properties in thin films. Its characteristics vary depending on the crystal structure, which generally consists of monoclinic, tetragonal, and orthorhombic phases. Usually, the monoclinic and tetragonal structures typically exhibit dielectric properties. In contrast, the orthorhombic phase, with its non-centrosymmetric structure [11], allows for spontaneous polarization of the oxygen atoms in HfO₂ when an electric field is applied, resulting in ferroelectricity. Various deposition and post-treatment methods have been explored to induce this orthorhombic phase. Atomic layer deposition (ALD) of HfO₂ allows for thin film formation but struggles to induce the non-centrosymmetric orthorhombic phase due to limited process control variables and a stable chemical bonding mechanism. Studies have shown that reducing the thickness of ALD-deposited HfO2 films enhances their ferroelectricity [12]. However, this approach also increases the risk of dielectric breakdown and leakage current in the HfO₂ films. And pulsed laser deposition (PLD) also hard to induce the ferroelectricity of HfO_2 with any pre- and post-treatment, like annealing [13]. Consequently, the deposition of HfO_2 using physical vapor deposition (PVD), particularly sputtering, is being considered as an alternative method.

Compared to atomic layer deposition (ALD), sputtering offers a faster deposition rate and provides various process variables, including gas type, RF power, and working pressure. Sputtering is advantageous for controlling defect concentration, which is crucial for inducing the orthorhombic phase [15]. Due to these advantages, numerous studies have focused on fabricating ferroelectric HfO₂ through sputtering followed by post-annealing. However, post-annealing is necessary to induce ferroelectricity in HfO₂ deposited by sputtering, requiring a high thermal budget. For instance, a remanent polarization (2P_r) of 12 μ C/cm² in HfO₂ films is achieved after post-annealing at 1000 °C [12]. Because of these problems, co-sputtering has emerged. Co-sputtering requires a low thermal budget to induce ferroelectricity, but remanent polarization was likewise lower than that of HfO2 deposited by ALD. This is the reason for the limit on the use of ferroelectric HfO2 in advanced integrated circuit (IC) manufacturing due to its optimization with restricted thermal budgets [14].

In this study, HfO₂ was deposited on metal substrates such as Pt and TiN, as well as on a Si substrate, using RF sputtering and then annealed by rapid thermal annealing (RTA). The electrical and ferroelectric properties of the HfO_2 film were analyzed using a positiveup-negative-down (PUND) test, polarization hysteresis curve, piezo force microscopy (PFM), conductive atomic force microscopy (c-AFM), and endurance tests. As a result, the impact of substrate types and annealing conditions on optimizing ferroelectric properties was determined. The remanent polarization of HfO₂ deposited on a Pt substrate and annealed at 600 °C for 30 min was measured at 14.24 μ C/cm², meeting the low thermal budget requirements for ferroelectricity [15]. Additionally, various analyses, including grazing incidence X-ray diffraction (GIXRD), transmission electron microscopy (TEM), and X-ray photoelectron spectroscopy (XPS), were conducted to understand the effects of fabrication process conditions on the ferroelectric characteristics of HfO₂ thin films. These analyses confirmed that the Pt substrate had more oxygen vacancies associated with the orthorhombic phase of HfO_2 and exhibited less interface mixing between the substrate and the HfO2 film. Ultimately, this study provides valuable insights into optimizing ferroelectric HfO₂ for compatibility with conventional semiconductor devices, which could significantly impact the development of future ferroelectric devices.

2. Materials and Methods

First, 100 nm Pt and TiN were deposited on a 4-inch SiO₂ substrate by e-beam evaporation and the radio frequency magnetron sputtering technique. And then, 15 nm HfO₂ films were deposited on a Pt, TiN, low-resistive ($1 \times 10^{-3} \Omega$ cm) p-Si substrate in a large area (2 in.) by radio frequency magnetron sputtering technique (Scientific Eng & Tech, Suwon, Republic of Korea). An ultrapure commercially available 2-inch hafnium oxide target (HfO_2 , 99.999%, VTM, Incheon, Republic of Korea) was used to grow the thin films. The distance between the target and the substrate holder is 40 cm. The sputtering was performed with 100 W of rf power and a working pressure of 2 mTorr. Ultrapure argon gas with a flow rate of 30 sccm and oxygen gas with a flow rate of 10 sccm were used to maintain the working pressure. Following the HfO₂ deposition at room temperature, the rapid thermal annealing (Real RTP-100, Daegu, Republic of Korea) was performed under a nitrogen atmosphere with a flow rate of 30 sccm at 400~600 °C for 1~30 min, which led to the formation of the crystalline HfO₂. Finally, 50-nm-thick top Pt/Au electrodes were deposited by an E-beam evaporator using a Pt/Au source (99.99%, TFN, Seoul, Republic of Korea). The crystalline nature of HfO₂ was studied by grazing incidence X-ray diffraction (Ultima III, Rigaku, Tokyo, Japan). The piezo force microscopy (PFM) and conductive atomic force microscopy (c-AFM) measurements were performed by atomic force microscopy (MFP-3D, Oxford Instruments, Abingdon, UK) with a Pt/Ir-coated Si probe (AC240TM) probe. The PFM measurement was carried out by the PFM technique with an AC signal of 3 V/71 kHz. The c-AFM measurement was carried out by the c-AFM technique with a DC signal of 1 V. The P-V hysteresis curve and positive-up-negative-down (PUND) test measurements were performed by a probe station (SCS-4200, Keithley, Cleveland, OH, USA). The compositional analysis of HfO₂ films was carried out using X-ray photoelectron spectroscopy (K-Alpha⁺, Thermo Fisher Scientific, Waltham, MA, USA).

3. Results

Initially, the crystal structure of the HfO₂ thin film, as influenced by the substrate type, annealing temperature, and time, was analyzed using grazing incidence X-ray diffraction (GIXRD). Figure 1a shows the GIXRD data obtained after depositing HfO₂ on a Pt substrate and annealing it for different durations at 600 °C. In Figure 1a, peaks at $2\theta = 30.33^{\circ}$ and 31.44° were identified, corresponding to the orthorhombic and monoclinic phases of HfO_2 [17]. The peak at $2\theta = 39.82^{\circ}$ corresponds to Pt (111) [18,19]. Additionally, an increase in the intensity of the orthorhombic phase peak was observed with longer annealing times. Figure 1b presents the GIXRD data for HfO₂ deposited on a Pt substrate and annealed at various temperatures for 30 min, demonstrating that higher temperatures strengthen HfO_2 crystallization. Based on these findings, HfO₂ was deposited on Pt, TiN, and Si substrates and then annealed at 600 °C for 30 min, with the results evaluated by GIXRD. As shown in Figure 1c, HfO₂ deposited on Si formed the dominant monoclinic phase. However, when deposited on TiN and Pt, a mixture of orthorhombic and monoclinic phases was observed. We anticipated that different lattice parameters of the substrate crystal phase could affect the HfO₂ film phase. Also, the orthorhombic peak of HfO₂ can overlap with tetragonal or other non-ferroelectric phases [20]. Therefore, the impact of the substrate on the crystallinity and ferroelectricity of HfO2, even when deposited under the same conditions, is significant, highlighting the importance of interface-controlled growth for optimizing HfO2 ferroelectricity.

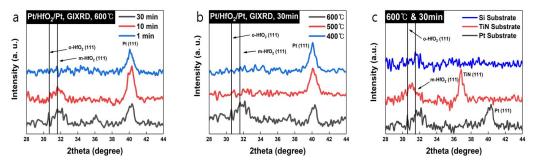


Figure 1. GIXRD data for (**a**) different annealing times, (**b**) different temperatures, and (**c**) different substrates with 1.5° of incidence.

The PUND test was initially conducted to assess the relationship between crystallinity and ferroelectricity in these thin films. As observed in Figure S3, the current in the first pulse is higher than in the second, which is attributed to the initial current required for polarization, thus confirming the ferroelectricity of the HfO₂ film [21]. Figure S3 also shows the results of the PUND test on a Pt/HfO₂/Pt structure device annealed at 600 °C for 30 min. It was observed that with each pulse, the difference in measured current increased.

The polarization current rose with an increasing pulse voltage. Furthermore, the remanent polarization of each device under identical annealing conditions was measured. Another voltage pulse model, shown in Figure 2a, was used to plot the polarization curve for HfO₂ films deposited on each substrate and annealed at 600 °C for 30 min. The polarization curves of the HfO₂ films linearly varied in the order of Pt, TiN, and Si substrates (Figure 2a,c). Similarly, the remanent polarization and coercive field at a 6 V sweep decreased in the order of Pt, TiN, and Si substrates, as shown in Table 1 and Figure S4. Additionally, for the Si substrate, the polarization loop hysteresis was notably smaller compared to other devices, which correlates with the absence of orthorhombic-phase HfO₂, as demonstrated in the XRD data (Figure 1c), due to the lack of initial crystallization on the amorphous SiO₂ on Si. To further explore the physicochemical relationship between the orthorhombic phase of HfO₂ and the substrate, transmission electron microscopy (TEM) analysis and X-ray photoelectron spectroscopy (XPS) studies were conducted.

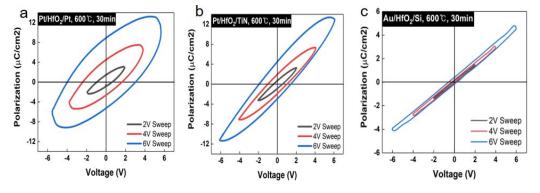


Figure 2. P-V hysteresis curves for HfO₂ deposited on (a) Pt, (b) TiN, and (c) Si substrate.

Table 1. In 6V voltage-sweeping remanent polarization ($2P_r$) and absolute coercive field (Ec) data of HfO₂ film deposited on Pt, TiN, and Si substrates after annealing at 600 °C for 30 min.

| Samples | Remanent Polarization (2 P_r) (μ C/cm ²) | Coercive Field (V) |
|---------|--|--------------------|
| Pt | 14.24 (±0.01) | 4.03 |
| TiN | 7.43 (±0.01) | 1.89 |
| Si | $0.88 \ (\pm 0.01)$ | 0.58 |

The structure of HfO₂ annealed on each substrate was analyzed using cross-sectional transmission electron microscopy (TEM). The thickness of the HfO₂ film was consistently ~15 nm for all samples. Initially, on the Pt substrate, local chemical mixing at the interface between HfO₂ and Pt was observed, with the amorphous structure of this interface confirmed by TEM imaging. In the bulk HfO₂ film, the orthorhombic phase was identified, with an interplanar spacing of 0.295 nm, matching the (111) orthorhombic HfO₂ phase [22,23]. Figure 3g showed oxygen atom diffusion beyond the HfO₂ layer into the topmost part of the Pt electrode, leading to the partial generation of oxygen vacancies in HfO₂, as evidenced in the supporting information (Figure S1). For the TiN substrate, the (111) orthorhombic phase of bulk HfO₂, with the same interplanar spacing as the Pt substrate, was confirmed. However, the interface displayed a clear crystal structure between HfO₂ and TiN. The measured interplanar distance at the interface was 0.271 nm, consistent with Ti₂O₃ [24]. Figure 3h revealed a wider distribution of oxygen atoms compared to Hf atoms in the HfO₂ film, indicating interface mixing between the HfO₂ film and TiN

substrate, corroborated by the presence of Ti_2O_3 as identified in TEM analysis. In contrast, when HfO_2 was deposited on the Si substrate, unlike in the other cases, interface mixing was not observed (see Figure 3c,i). The bulk HfO_2 demonstrated a clear crystal structure with an interplanar spacing of 0.281 nm, corresponding to monoclinic HfO_2 and aligning with the GIXRD results (see Figure 1c) [25]. TEM-EDS images confirmed that the substrate influences the bulk and interface crystal structures of HfO_2 after annealing. Notably, while bulk HfO_2 films on Pt and TiN substrates were primarily in the orthorhombic phase, the remanent polarization values differed by about threefold.

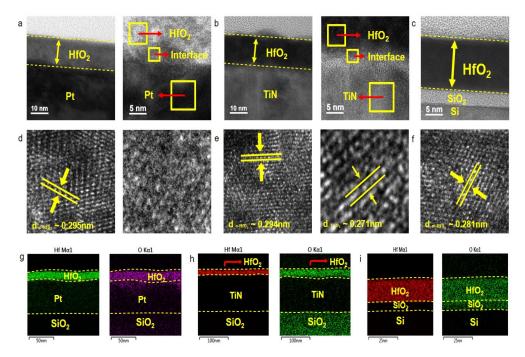


Figure 3. The low-resolution TEM images of the film were deposited on (**a**) Pt, (**b**) TiN and (**c**) Si and annealed at 600 °C for 30 min. The high-resolution TEM images of bulk HfO_2 and interface between film and electrode deposited on (**d**) Pt, (**e**) TiN, and (**f**) Si. TEM-EDS elemental mapping images of HfO_2 film deposited on (**g**) Pt, (**h**) TiN, and (**i**) Si.

X-ray photoelectron spectroscopy (XPS) analysis was conducted to investigate the bonds and defects in HfO₂, providing further evidence for the origin of different polarization properties. Figure 4 presents the O 1s and Hf 4f binding energy spectra of HfO₂ deposited on each substrate. The O 1s spectra reveal the presence of oxygen vacancies and sub-hafnium oxide (Hf₂O₃ or Hf³⁺), with peak area fractions ranging from 24 to 33%. Notably, the subpeak corresponding to Ti₂O₃ at 531.61 eV was identified in HfO₂ deposited on a TiN substrate. This finding suggests the formation of Ti₂O₃ (even with partial crystallization) at the interface between TiN and HfO₂, as shown in Figure 3e, resulting from oxygen supply to TiN during HfO₂ deposition. In the Hf 4f spectra, the presence of both Hf⁴⁺ and Hf³⁺ binding energy peaks was observed in all samples, aligning with the subphase O species bound to Hf⁴⁺ and Hf³⁺ in the O 1s spectra. The increased amount of Hf³⁺, indicative of oxygen vacancy formation, is attributed to the reduction of HfO₂ during post-deposition annealing in an N₂ gas ambience.

Table 2 summarizes the binding energy positions and relative bonding ratios from the O 1s spectra. The main peaks for all samples are positioned between 531.08 eV and 530.87 eV, corresponding to HfO₂ [26–30] (Figure 4a–c). Various dominant peaks were observed at 531.85 eV, 532.43 eV, and 532.03 eV, corresponding to oxygen vacancies on different substrates. Additionally, peaks at 532.78 eV, 533.02 eV, and 532.93 eV correspond to Hf₂O₃, and a peak at 531.61 eV corresponds to Ti₂O₃, varying with the substrate [26–30]. The oxygen bonding ratio was quantitatively calculated from the XPS of the O 1s spectra,

revealing that in all samples, the HfO_2 bonding ratio was the highest, followed by Hf_2O_3 and oxygen vacancies. Specifically, in the case of TiN, the HfO₂ bonding ratio was lower due to Ti_2O_3 . Additionally, the oxygen vacancy ratio was highest in the order of Pt, TiN, and Si. The previous crystal structure and remanent polarization value analyses (see Figures 1 and 2) confirmed that HfO_2 's ferroelectricity is significantly influenced by oxygen vacancies. Moreover, the interface between the substrate and HfO₂ also impacts ferroelectricity, as seen in Figure 3c, where the native SiO2 layer on a Si substrate may hinder the initial spontaneous polarization of ferroelectric HfO_2 . Table 2 shows that the oxygen vacancy ratio is very similar between Si and TiN substrates, yet the remanent polarization is about 5.5 times higher with the TiN substrate. Comparing the Pt and TiN substrates, a higher oxygen vacancy ratio was observed after annealing HfO_2 on the Pt substrate, accompanied by localized interface mixing with its amorphous crystal structure. Since Pt is less reactive, interface mixing and crystallization are less likely to occur, unlike TiN, which is more susceptible to oxidation, leading to interface mixing and the crystallization of Ti_2O_3 . Ti_2O_3 , having much higher resistivity than Pt, acts as an insulator similar to SiO₂. Also, oxygen migration by annealing can induce the metastable orthorhombic phase of the HfO₂ film. Overall, these findings indicate that interfacial properties significantly affect ferroelectricity.

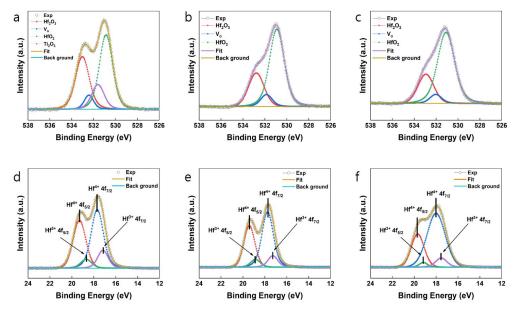


Figure 4. O 1s spectra for HfO₂ deposited on (**a**) Pt, (**b**) TiN, and (**c**) Si. Hf 4f spectra for HfO₂ deposited on (**d**) Pt, (**e**) TiN, and (**f**) Si.

Table 2. Binding energy and relative bond fraction in the O 1s spectra of HfO₂ deposited on different substrates.

| Samples - | Binding Energy (eV) | | | |
|-----------|--------------------------------|----------------|------------------|--------------------------------|
| | Hf ₂ O ₃ | Oxygen Vacancy | HfO ₂ | Ti ₂ O ₃ |
| Pt | 532.78 | 531.85 | 530.9 | - |
| TiN | 533.02 | 532.43 | 530.87 | 531.61 |
| Si | 532.93 | 532.03 | 531.08 | - |
| Samples - | Relative binding ratio (%) | | | |
| | Hf ₂ O ₃ | Oxygen vacancy | HfO ₂ | Ti ₂ O ₃ |
| Pt | 27.71 | 7.76 | 64.53 | - |
| TiN | 33.51 | 6.1 | 45.99 | 14.4 |
| Si | 24.9 | 6 | 69.1 | - |

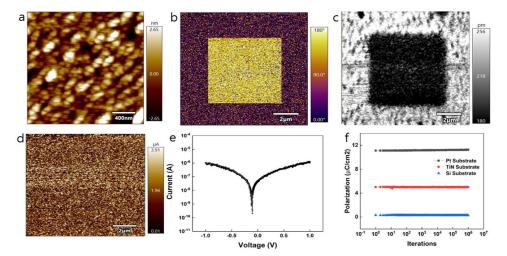
Furthermore, Table 3 provides information about binding energy positions and relative bonding ratios from the Hf 4f spectra. The main doublets of Hf⁴⁺ 4f_{5/2}–Hf⁴⁺ 4f_{7/2} and two sub-doublets of Hf³⁺ 4f_{5/2}–Hf³⁺ 4f_{7/2} were identified. The Hf⁴⁺ 4f_{5/2} peaks around 19.34 to 19.57 eV, separated by 1.6 eV from the Hf⁴⁺ 4f_{7/2} peak at 17.74 to 17.94 eV, originating from stoichiometric HfO₂ [31–33]. The Hf³⁺ 4f_{5/2} peaks around 18.76 to 18.98 eV, separated by 1.6 eV from the Hf³⁺ 4f_{7/2} peak at 17.14 to 17.34 eV, are indicative of HfO_x (x < 2) [33]. Tables 2 and 3 confirm that the bonding ratios of HfO₂ and Hf₂O₃ align with the calculated results from the O 1s and Hf 4f XPS spectra, allowing for analysis of the relationship between HfO₂'s ferroelectricity and its atomic bonding structure through XPS analysis.

Table 3. Binding energy and relative bond fraction in Hf 4f spectra of HfO₂ deposited on different substrates.

| Sample | Binding Energy (eV) | | | | |
|---------|------------------------------------|------------------------------------|------------------------------------|------------------------------------|--|
| | Hf ⁴⁺ 4f _{5/2} | Hf ⁴⁺ 4f _{7/2} | Hf ³⁺ 4f _{5/2} | Hf ³⁺ 4f _{7/2} | |
| Pt | 19.34 | 17.74 | 18.76 | 17.14 | |
| TiN | 19.55 | 17.87 | 18.98 | 17.34 | |
| Si | 19.57 | 17.94 | 18.78 | 17.18 | |
| 0 1 | Relative binding ratio (%) | | | | |
| Samples | Hf ⁴⁺ | | Hf ³⁺ | | |
| Pt | 69.67 (±0.01) | | 30.33 (±0.01) | | |
| TiN | 71.79 (±0.01) | | 28.21 (±0.01) | | |
| Si | 84.09 (±0.01) | | 15.91 (±0.01) | | |

In Figure 5, the physical characteristics of HfO₂, aimed at its suitability for nanoscale ferroelectric devices, were analyzed using atomic force microscopy (AFM), piezo force microscopy (PFM), and conductive atomic force microscopy (c-AFM). Additionally, an endurance test for polarization was conducted. Figure 5a presents an AFM image showing the surface roughness of HfO₂ deposited on Pt, scanned over a 2 \times 2 μ m² area. The root mean square (RMS) roughness, calculated from the AFM data, was 1.261 nm. Given that the average thickness of HfO_2 is 15 nm, this indicates a relatively low surface roughness, even for films deposited by RF sputtering. The ferroelectricity of the HfO₂ surface was then assessed with PFM. As depicted in Figure 5b,c, a $10 \times 10 \ \mu m^2$ area was initially scanned without applying a bias to the probe tip. And then a 6 \times 6 μm^2 area was scanned by applying a +3 V bias to confirm the phase change. Because polarization direction is expressed by the color in the scale bar near the PFM image, phase change can be confirmed by the color of the scanned area. This resulted in a 180° phase change, indicating a complete reversal in the polarization direction of the surface, thereby confirming the potential of HfO_2 in ferroelectric devices due to its effective polarization-switching capabilities [12,17,34]. Lastly, the I-V characteristics were measured using c-AFM. Figure 5d illustrates c-AFM scanning over a $10 \times 10 \ \mu\text{m}^2$ area with a +1 V tip bias.

We confirmed the leakage current map at the level of the μ A scale in the corresponding image. As a result of calculating the average scanning current based on the data of the corresponding image, 1.97 μ A was obtained, and the leakage current for 1 V sweeping at a specific point, the μ A level of current was also confirmed (Figure 5e). Finally, the endurance test of HfO₂ was conducted for each substrate. First, the charge is measured for each positive and negative pulse based on the voltage pulse model of the PUND test (Figure S3a). Next, the measured charge was calculated to obtain remanent polarization per 1 cycle, and then it was measured for each cycle. As a result, it was confirmed that the remanent polarization was maintained even after repeating 1 million cycles in all samples without noticeable degradation of polarization (Figure 5f). In addition, the magnitude of the remanent polarization depending on the substrate kind coincides with that in the P-V



hysteresis data. As a result, the applicability of HfO_2 to the ferroelectric device could be confirmed in various ways through a series of experiments, as described above.

Figure 5. (a) AFM image of the film surface, (b) PFM phase image with tip bias, (c) PFM amplitude image with tip bias, and (d) c-AFM image with tip bias of HfO_2 deposited on Pt substrate. (e) local I-V measured by c-AFM, and (f) endurance test for HfO_2 film deposited on each substrate.

4. Conclusions

We have deposited the ferroelectric HfO₂ thin film on various substrates using RF magnetron sputtering and post-anneal-induced crystallization. The ferroelectric characteristics were revealed by P-V hysteresis, PUND measurement, and local piezo force microscopy. The in-depth atomic structure and crystal analysis were performed by TEM, XPS, and GIXRD. The orthorhombic phase revealed the ferroelectricity of HfO₂ was dominant at 600 °C and the 30-min annealing condition was confirmed by GIXRD. Based on this result, the ferroelectricity of HfO₂ annealed at 600 $^{\circ}$ C, and 30 min was confirmed through the PUND test. The remanent polarization of HfO₂ was higher in the case of Pt (14.24 μ C/cm²) than in other substrates (TiN, Si) at the same annealing condition. As a result of TEM, the orthorhombic phase of HfO₂ deposited on Pt and TiN substrate was confirmed, but the monoclinic phase of HfO_2 deposited on Si was confirmed, and this was similar to the result of GIXRD. In addition, TEM analysis revealed that when HfO₂ was deposited on each substrate, local chemical mixing occurred at the interface between HfO2 and substrate, and then the HfO₂ phase was different depending on the substrates. The mixed phase of HfO₂ showed the inferior initial spontaneous polarization of ferroelectric HfO₂. From XPS measurements, the oxygen vacancy was highest in the order of Pt, TiN, and Si substrates. The orthorhombic phase of HfO_2 leading to the relatively higher oxygen vacancy was manifested by GIXRD and TEM. The overall observation of the data suggests that the initial growth of HfO_2 film was considerably affected by the different substrate effects to split its phase either orthorhombic-dominant or monoclinic-dominant, which is directly correlated to the degree of oxygen vacancy formation. This study therefore represents not only a process control and mechanism for ultra-thin ferroelectric HfO₂ films requiring a low thermal budget to anneal, but also a cornerstone for applications including polarization-based memory and ferroelectric-based transistors.

Supplementary Materials: The following supporting information can be downloaded at: https://www.mdpi.com/article/10.3390/nano14171386/s1, Figure S1. The method of electrical and ferroelectric properties measurement. Figure S2. TEM-EDS data. (a,b,c) EDS-area & line data of Pt, TiN, Si substrate for each element and atomic percent. Figure S3. PUND test and Polarization current for Pt/HfO₂/Pt device after annealed 600 °C and 30 min. (a) Bias pulse wave setup for PUND test. (b) Current vs. pulse time plot of PUND test for Pt/HfO₂/Pt device after annealed 600 °C for 30 min. Figure S4. Ferroelectric Properties for each device (a) Bias pulse wave setup for drawing

polarization curve. (b) Remanent polarization (2Pr) and (c) coercive field (Ec) data of HfO₂ film deposited on Pt, TiN, Si substrate at 6 V sweeping. Figure S5. FFT images The low-resolution FFT images of local point in bulk HfO₂ film deposited on (a) Pt, (b) TiN, (c) Si and annealed at 600 °C for 30 min. Figure S6. I–V Curve Representative switching current of HfO₂ film deposited on Pt Substrate and annealed at 600 °C for 30 min. Table S1. XPS information for HfO₂ film deposited on Pt, TiN, Si and annealed at 600 °C for 30 min.

Author Contributions: Conceptualization, S.L. (Seokwon Lim), M.K. and H.S.; Data curation, S.L. (Seokwon Lim), Y.A., B.W., H.P. and S.L. (Suwan Lee); Formal analysis, S.L. (Seokwon Lim), Y.A., B.W., H.P. and S.L. (Suwan Lee); Funding acquisition, H.S.; Methodology, S.L. (Seokwon Lim) and H.S.; Project administration, M.K. and H.S.; Supervision, M.K. and H.S.; Writing—original draft, S.L. (Seokwon Lim); Writing—review and editing, B.W., S.L. (Suwan Lee) and H.S. All authors have read and agreed to the published version of the manuscript.

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Data Availability Statement: Data are contained within the article and supporting information.

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