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**Abstract:** AlCr-based hard nitride coatings with different chemical compositions and architectures have been successfully developed and applied over the last few decades. Coating properties are mainly influenced by deposition conditions and the Al/Cr content. The fcc structure is dominant for an Al-content up to Al0.7Cr0.3N and is preferred for most cutting applications. Different (AlCr*X*)N alloying concepts, including *X* = Si, W, B, V, have been investigated in order to enhance oxidation resistance and wear behaviour and to provide tribological properties. AlCr-based oxynitrides and even pure oxides  $(Al_{1-r}Cr_x)_{2}$ <sup>3</sup> with different crystalline structures have been explored. Multiand nanolayered coatings within the AlCr materials system, as well as in combination with (TiSi)N, for example, have also been implemented industrially. The dominant deposition technology is the vacuum arc process. Recently, advanced high-power impulse magnetron sputtering (HiPIMS) processes have also been successfully applied on an industrial scale. This paper describes basic coating properties and briefly addresses the main aspects of the coating processes as well as selected industrial applications.

**Keywords:** AlCr-based; CrAl-based; (AlCr*X*)N; (Al1−*x*Cr*x*)2O<sup>3</sup> ; arc; HiPIMS; nanolayers; nanocomposite; structure; properties

# **1. Introduction**

Surface solutions using PVD (Physical Vapor Deposition) coatings for the improvement of the wear resistance and tribological properties of tools and components started on an industrial scale around the middle of the 1970s in the Soviet Union. The first component coating was MoN [\[1\]](#page-35-0). The first successful industrial coating for tooling applications in Western Europe was the "golden" TiN coating applied by low-voltage arc evaporation developed at Oerlikon Balzers [\[2\]](#page-35-1). A modification of this coating with carbon followed, and the TiNC coating types were implemented in mass production at the end of the 1980s. In parallel, Cr-based coatings were also introduced, including modifications using oxygen and carbon [\[3\]](#page-35-2). Besides nitride, carbonitride and oxynitride coatings, hard amorphous carbon coatings, the DLC (Diamond Like Carbon) coatings, were developed and applied as well [\[4,](#page-35-3)[5\]](#page-35-4). These coatings were deposited using several coating architectures [\[6\]](#page-35-5). Many coating developments focused on alloying TiN using different additional elements [\[5\]](#page-35-4).

The most important industrial development after TiN, CrN and DLC was achieved in the Ti-Al-N system [\[7\]](#page-35-6). Around the year 2000, this coating family was the dominant coating, especially for cutting tools [\[8,](#page-35-7)[9\]](#page-35-8). However, in the Ti-Al-N system, only minor improvements of coating properties and the performance of cutting tool applications were possible, e.g., by alloying with Si, Y, Cr, C, O [\[10–](#page-35-9)[13\]](#page-35-10). A big step forward was achieved through the investigation of totally new coating compositions. This led to the development of a new coating generation based on Al-Cr-N. Coatings in the AlCrN system span the compositional range from Al-rich to Cr-rich. In this paper, the terminology CrAl-based is used for coatings with a Cr content that is higher than that of Al  $(Cr/A > 1$  in at.%), and conversely, AlCr-based is used for coatings in which Al/Cr > 1.



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Results dealing with coatings with a low Al content  $(AI/(A+Cr)$  ratio < 0.5) and (CrAl)N coatings, deposited by sputtering, were published for the first time in 1990 [\[14](#page-35-11)[,15\]](#page-35-12). In the same year, coatings with an  $Al/(Al + Cr)$  composition of about 0.5 deposited using a modified hollow cathode discharge process were also presented [\[16\]](#page-35-13). Arc evaporation was used to deposit (AlCr)N coatings with a high Al content with an  $(AI/(AI + Cr))$  ratio of >0.5 [\[17](#page-35-14)[,18\]](#page-36-0). The first multilayer coating with an Al content greater than the Cr content was a multilayer architecture with CrN [\[19\]](#page-36-1). Another big step was the development of (TiSi)N coatings [\[20\]](#page-36-2). Coating solutions were also developed which combined the two coating types in multilayer structures [\[21\]](#page-36-3).

A challenge for turning tools was, and still is in part, the development of alphaalumina using PVD. A PVD solution to add metallic doping elements to aluminium was patented in 1992 [\[22\]](#page-36-4). Processes to deposit oxides based on arc evaporation were successfully developed on the basis of Al-Cr cathodes [\[23\]](#page-36-5).

This paper will review the main industrial-oriented coating developments using CrAl- and AlCr-based coatings, covering a wide variety of chemical and structural fields as well as architectures. The main deposition methods used will be described briefly. The review will concentrate on arc deposition processes, although sputtering techniques, including high-power impulse magnetron sputtering (HiPIMS), will be discussed as well. The main focus is on arc deposition processes and nitride coatings with an Al content greater than the Cr content and on (AlCr)ON coatings. Coatings with a cubic structure and various multilayer coatings are used most commonly in industrial applications. Selected application examples will be presented in the last section.

### **2. Deposition Technologies and Coating Systems**

Various deposition technologies have been used to synthesise (CrAl)N and (AlCr)N coatings over a period of about 30 years. Basic information about the deposition technologies is beyond the scope of this review. The interested reader is invited to look at available publications [\[24–](#page-36-6)[31\]](#page-36-7). Some more exotic evaporation methods are hollow cathode arc evaporation [\[16\]](#page-35-13) and activated reactive evaporation [\[32\]](#page-36-8). The first application of AlCr-based coatings for cutting tools deposited by hollow cathode arc was mentioned very early [\[16](#page-35-13)[,33\]](#page-36-9). However, primarily sputtering processes and arc processes were used both for basic investigations as well as for applications.

The first reported (CrAl)N coatings were DC (Direct Current) magnetron sputtered [\[14,](#page-35-11)[15\]](#page-35-12). The first arc coatings were deposited using elemental cathodes of pure Al and pure Cr [\[17\]](#page-35-14). The first multilayer coating (AlCr)N was (AlCr)N/CrN deposited by arc [\[19\]](#page-36-1). Sometimes even hybrid methods, such as arc plus hollow cathode discharge [\[34\]](#page-36-10) or, more commonly, arc plus sputtering [\[35\]](#page-36-11), have been used. Alloyed CrAl-based and AlCr-based coatings are most commonly deposited either by magnetron sputtering or by arc evaporation. For sputtered coatings, the preferred methods are various HiPIMS processes in industrial applications, e.g., the S3p process [\[30\]](#page-36-12). The deposition of alpha alumina coating is based on a dedicated pulsed arc process, the P3e process [\[23\]](#page-36-5).

It should be mentioned that the main differences between arc deposition processes and sputtering are:

**Growth defects:** Arc-deposited coatings have more growth defects in the coating than sputtered coatings due to macroparticle generation in the arc evaporation process. Sputtered coatings are thus preferred in applications requiring particularly low surface roughness.

**Growth rate:** The arc process allows a higher growth rate to be achieved than sputtering, depending on the PVD system set-up.

**Degree of ionisation and ion energies:** Arc evaporation is known to produce plasmas with a high degree of ionisation. Multiple charge states are formed as well in arc evaporation [\[36\]](#page-36-13). Sputtering processes are characterised by lower degrees of ionisation [\[26\]](#page-36-14).

**Energy consumption:** The specific energy consumption of the arc process is lower than that of sputtered coatings for the same coating thickness [\[37\]](#page-36-15).

**Process stability:** Arc processes tend to exhibit higher process stability than sputtering processes.

**Larger coating volumes**: Arc processes can be more easily upscaled for coating long parts (several metres).

The different basic methods used for CrAl-based and AlCr-based coatings are listed here briefly.

#### *2.1. Arc Deposition Methods*

CrAl-based and AlCr-based coatings are deposited by reactive direct vacuum arc using either elemental cathodes or composite cathodes. The first (CrAl)N and (AlCr)N coatings were deposited by the reactive co-evaporation of elemental chromium and aluminium cathodes available at that time [\[17–](#page-35-14)[19\]](#page-36-1).

The first composite cathodes suitable for arc evaporation manufactured by a powder metallurgical process were produced by Plansee AG, Austria, and were used successfully for tool coatings in 1995 [\[38\]](#page-36-16). Later, composite cathodes became the standard, especially in industrial applications [\[39](#page-36-17)[,40\]](#page-36-18). A special pulsed process was developed for alpha (Al1−*x*Cr*x*)2O<sup>3</sup> coatings, the P3e process [\[23\]](#page-36-5). The main goal of the pulsing is to overcome process difficulties generated by the oxide process (cathode, anode reactions).

#### *2.2. Sputtering Methods*

### 2.2.1. DC and RF Sputtering

Several sputtering methods as well as combinations of different sputtering methods have been used to deposit both CrAl-based and AlCr-based coatings from elemental or composite cathodes. Even special processes based on the combination of composite targets combined with elemental targets have been realised [\[41\]](#page-36-19). The first CrAl-based coatings were deposited by reactive DC magnetron sputtering of a composite target ( $Cr<sub>75</sub>Al<sub>75</sub>$ ) [\[14,](#page-35-11)[15\]](#page-35-12). Additionally, newer research on a laboratory scale as well as industrial-scale PVD systems are using DC magnetron sputtering [\[41–](#page-36-19)[46\]](#page-37-0). It should be noted that special magnetic field configurations can be achieved within the PVD systems by means of the magnetron set-ups, e.g., the CFUBM (Closed Field Unbalanced Magnetron Sputtering) [\[47,](#page-37-1)[48\]](#page-37-2). RF (Radio Frequency) sputtering has been used for basic investigation [\[49](#page-37-3)[,50\]](#page-37-4). Even the combination of RF sputtering plus DC sputtering has been applied [\[51,](#page-37-5)[52\]](#page-37-6), though RF sputtering is typically not used for industrial applications.

#### 2.2.2. Standard Pulsed Sputtering and HiPIMS

Besides standard pulsed magnetron sputtering [\[41\]](#page-36-19), the mode of dual pulsed magnetrons has also been used [\[48\]](#page-37-2). The most advanced pulsed sputtering method is highpower impulse magnetron sputtering. Pulses with peak power densities in the range of several hundred W/cm<sup>2</sup> up to several thousand W/cm<sup>2</sup> are achieved in classic high-power impulse magnetron sputtering (HiPIMS), also referred to as HPPMS, MPP or HIPAC. The pulse duration is usually in the range of 25 to 250  $\mu s$  [\[41](#page-36-19)[,53–](#page-37-7)[56\]](#page-37-8). The longest pulse lengths of around 1000  $\mu$ s are used in the case of MPP [\[57\]](#page-37-9).

A dedicated HiPIMS process, known as the S3p process, is a process that runs with a constant pulse current and can operate using longer pulses than the classic HiPIMS process. The pulse duration often lies in the range of several milliseconds [\[30\]](#page-36-12).

#### *2.3. Industrial Coating Systems*

A brief description of selected aspects of industrial systems will be provided here. In-depth descriptions of deposition systems are available elsewhere [\[27](#page-36-20)[,30\]](#page-36-12). Both system configurations, set-ups with magnetrons and set-ups with arc evaporators, use particle sources with either a circular, rectangular or tubular geometry for the active evaporation surfaces, as shown in Figure [1.](#page-3-0) The circular form dominates for arc evaporation, whereas the rectangular and the cylindrical forms are used most commonly for sputtering. In

<span id="page-3-0"></span>

addition to arc and sputtering systems, hybrid systems, e.g., arc plus HiPIMS, are also in use [\[29\]](#page-36-21).

Figure 1. Schematic drawing of the circular, rectangular or tubular active evaporation surfaces, redrawn after [\[27\]](#page-36-20), original © Vulkan-Verlag, Germany.

For the deposition of AlCr-based coatings, powder metallurgically manufactured composite targets are used most commonly. Sputtering has the advantage that composite targets in the form of segmented targets or targets with plugs of a second type of material (e.g., Cr plugs in Al target plates) can also be used [\[48\]](#page-37-2).

Modern coating systems run in fully automatic operation. They must fulfil the following criteria with respect to high productivity and quality: high production reliability, short cycle times, high flexibility in coating types and substrate holders, easy maintenance. Additional aspects are CE (Conformité Européenne), conformity and high occupational safety standards. Environmental sustainability is also gaining importance, including the influence of factors such as energy consumption.

2 flexibility. Batch systems are predominant for industrial coating applications. The system Of course, the basic components of the coating systems must also be optimised, e.g., vacuum pumps, power supplies, particle sources, heaters, substrate holders. In addition, all process steps including loading, pumping, heating, ion cleaning, coating, cooling, unloading and maintenance must be optimised for short cycle times and efficient operation. The choice between batch systems or inline systems depends on the use and the required size is selected based on the expected batch size as determined by the dimensions and number of parts to be coated, but also by operating economy.

The usable volume of a batch system is defined by the interior size of the deposition chamber. Usually, coating systems are designed with a circular geometry along a central axis, allowing the rotation of substrates. The maximum useful volume therefore constitutes a cylindrical body. Small coating systems typically have a diameter of <0.5 m and a height of <0.5 m, which is adequate for small-scale series or research facilities. Medium size systems, with roughly a diameter of 1 m and a height of 1 m, are predominant in industrial production. Figure [2](#page-4-0) shows an arc system equipped with circular arc evaporators, and a coatable diameter of 0.7 m and a height of 0.9 m. Special-purpose systems are available for large-scale manufacturing, with roughly a diameter of 1.5 m and a height of 1.5 m. Systems for oversized parts, such as broaches or plastic extrusion screws, have a coating height of up to 4.5 m.

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<span id="page-4-0"></span>

**Figure 2.** Medium-sized arc system equipped with circular arc evaporators, diameter 0.7 m, height 0.9 m, courtesy of Oerlikon Balzers.

### **3. Basic Properties of CrAlN and AlCrN Coatings**

CrAl-based and AlCr-based coatings deposited by arc evaporation processes or by magnetron sputtering are widely used for cutting tools, moulds, dies and for various components. The success of these coatings with their predominantly fcc structure is due to their outstanding mechanical and tribological properties (such as high hot hardness, good abrasive and sliding wear resistance) combined with high oxidation and corrosion resistance. The present chapter highlights selected basic coating properties.

To begin with, an important remark must be made on the way the coating composition is reported in papers. A full compositional characterisation, including metallic and nonmetallic elements as well as impurities such as oxygen, is the most complete, but is not always reported. Several publications neglect the stoichiometric aspects, the deviation of coating composition from the cathode/target composition, and the incorporation of residual gas components. Sometimes, only the cathode/target composition or only one of the values  $Al/Cr$  or  $Al/(Al + Cr)$  are given, presumably because methods such as EDS are most suited for the characterisation of metallic and heavy elements. Many papers state that the coatings consist stoichiometrically of 50 at.% metallic and 50 at.% non-metallic elements, and they are simply described as (Al1−*x*Cr*x*)N.

One positive example is described for arc-deposited coatings using cathodes of Al<sub>70</sub>Cr<sub>30</sub>. The coating was characterised by XPS as having Al 33.1 at.%, Cr 15.8 at.%, N 48.1 at.%, and O 3.0 at.%. The metallic content is thus 48.9 at.% and the non-metallic content is 51.1 at.%. The ratio  $AI/(AI + Cr)$  is 0.68, meaning 68 at.% of the metallic content is Al. This corresponds to a deviation of 2 at.% from the cathode material. The Al/Cr ratio in the coating is 2.09. The coating contains oxygen from the residual gas. The coating is slightly over-stoichiometric [\[58\]](#page-37-10). The total formula has to be (Al1−*x*Cr*x*)(N1−*w*O*w*) plus the stochiometric ratio (Al1−*x*Cr*x*)/(N1−*w*O*w*). Unfortunately, however, detailed compositional data are not reported in many publications.

As a guide for the reading of coating compositions, the following terminology is used throughout this paper.

- 1. If only the cathode composition is given and the stoichiometry in the coating is assumed to be equivalent to the cathode composition, the coating is described as (Al<sub>(100−*x*)</sub>Cr<sub>*x*</sub>)N with 100 in at.%, e.g., (Al70Cr30)N.
- 2. If the metallic elements (and metalloids) were measured, but only a general statement about the stoichiometry is made, the coatings are described as  $(Al_{(100-x)}C_x)N$  with 100 in at.%, e.g.,  $(Al_{70}Cr_{30})N$ .
- 3. If both metallic elements (and metalloids) and the N, O (and C) content were measured, all values are given as  $\text{Al}_x\text{Cr}_y\text{N}_u\text{O}_w$ , where  $x + y + u + w = 100$  at.%, e.g.,  $\text{Al}_{33}\text{Cr}_{16}\text{N}_{48}\text{O}_3.$

# *3.1. The Influence of Al Content on Lattice Parameters, Phases and Microhardness*

A schematic diagram of different basic crystal structures as a function of the Al portion of the coating's metallic content in at.% is shown in Figure [3.](#page-5-0) It should be mentioned that in publications, the formula  $Cr_{1-x}Al_xN$  or  $Al_{1-x}Cr_xN$  is sometimes used for the same coating in dependence on the Al content. Nowadays, the most commonly used terminology in industrial applications is the short name (AlCr)N for Al-rich coatings. The authors suggest that coatings with a chemical composition in at.% of  $Cr > Al$  should be named (CrAl)N. If  $AI > Cr$ , then the coating should be named  $(AICr)N$ .  $(CrAI)N$  coatings always have an fcc crystal structure. This structure type is also referred to as B1, a NaCl structure or c in certain publications, depending on the convention. With increasing Al content, a phase evolution to an hcp crystal structure takes place, which is also referred to as B4, a ZnS-type structure, wurtzite, w, h or hcp in publications. In the following, fcc and hcp will be used.

<span id="page-5-0"></span>

**Figure 3.** Schematic diagram showing the basic crystal structure of (CrAl)N and (AlCr)N coatings as a function of the Al content.

XRD investigations of rf-sputtered coatings have shown that at 57 at.% Al, a pure fcc structure was obtained, while at 75 at.% Al, the hcp structure was observed. The transition range between fcc and fcc + hcp was in the range of 57 at.%  $\langle A_{\text{max}} \rangle$  < 67 at.% [\[49\]](#page-37-3). With pulsed closed-field magnetron sputtering, the formation of hcp phases was observed at an Al content of 64 at.%, whereas fcc phases were detected at up to 60.9 at.%, giving a transition range of 60.9 at.% <  $\text{Al}_{\text{max}}$  < 64 at.% [\[59\]](#page-37-11). A systematic experimental investigation of (CrAl)N and (AlCr)N coatings synthesised using the cathodic arc method showed that the crystal structure changed from a pure fcc structure to a mixed-phase structure of fcc and hcp at an Al content of about 60–70 at.% of the metal content in the cathodes [\[60\]](#page-37-12), whereas an fcc structure was observed at up to 71 at.% in the coating by the authors of  $[61]$ .

The reported different maximum Al contents for the X-ray-diffraction-measured pure fcc phase differ over a range of about 60–70 at.%, as shown in Table [1.](#page-6-0)

<span id="page-6-0"></span>

**Table 1.** Maximum Al content for pure fcc phase generation measured by X-ray diffraction for arc-deposited and sputtered coatings.

<sup>1</sup> source: no measurement of chemical composition in the coating, values from cathodes/targets.

The deposition process itself (source properties, parameters) has an influence at the maximum Al concentration on whether a pure fcc phase is obtained using X-ray diffraction, as will be shown for arc evaporation in Section [3.4.](#page-14-0)

Taking measurement uncertainties into account, a well-accepted maximum critical value of the transition is about 70 at.% Al [\[61](#page-37-13)[–66\]](#page-37-24). It should be mentioned that coatings with Al contents of 65–70 at.% might contain some traces of hcp phases, which may, however, be difficult to detect using XRD. For example, this effect was observed with SAED (Selected Area Electron Diffraction) measurement of (AlCr)N coatings deposited using arc evaporation from cathodes of  $Al_{70}Cr_{30}$  on sapphire [\[62\]](#page-37-25). The critical value of the transition from fcc to the mixed fcc plus hcp structure also depends slightly on the deposition conditions, influenced, for example, by the evaporator magnetic field set-up analogously to AlTiN [\[9\]](#page-35-8), but bias and deposition pressure also have an influence on the "fine" structure in the area of the transition  $[45]$ .

Figure [4](#page-7-0) shows experimental results for the hardness and lattice parameters of arcdeposited (CrAl)N and (AlCr)N coatings [\[60](#page-37-12)[,61\]](#page-37-13). Both studies show a hardness increase of about 60% compared to CrN for fcc-structured coatings in the range of Al 60–70 at.%. The differences in the absolute values are likely related to different deposition techniques and hardness measurement conditions. Furthermore, in the region of the mixed-phase structure of fcc + hcp at high Al-content, the hardness is equivalent to CrN. The lattice parameters decrease from 0.415–0.416 nm (CrN)) to 0.413 nm in the region of Al 60–70 at.%.

<span id="page-7-0"></span>

**Figure 4.** Hardness and lattice parameters of (CrAl)N and (AlCr)N coatings versus Al content deposited by cathodic vacuum arc, redrawn after [\[60](#page-37-12)[,61\]](#page-37-13), original © Elsevier.

Lattice parameters for (CrAl)N, (AlCr)N, CrN and AlN coatings calculated ab initio are shown in Figure [5](#page-8-0) and are compared with experimental XRD results [\[63\]](#page-37-26). The good fit of the calculated and the experimental data shows how well the basic properties of AlCr-based coatings can be calculated.

### *3.2. Mechanical and Physical Properties*

#### 3.2.1. Thermal Expansion

The thermal expansion coefficients (TECs) of fcc-structured (Cr,Al)N and (Al,Cr)N coatings deposited by sputtering were investigated using synchrotron X-ray diffraction at up to 600  $\degree$ C [\[65\]](#page-37-27). It was shown that the thermal expansion coefficient increases with an increasing Al content, from about  $7 \times 10^{-6}$ /K at room temperature to  $10 \times 10^{-6}$ /K at 600 °C, see Figure [6.](#page-8-1) Higher mean values of  $14.5 \times 10^{-6}$ /K were reported both for sputtered and arc-deposited  $(Al_{70}Cr_{30})N$  coatings at room temperature [\[43\]](#page-36-23). A fair general estimate is thus (10.5  $\pm$  3.5) × 10<sup>-6</sup>/K.

<span id="page-8-0"></span>

5 **Figure 5.** Lattice parameter calculated ab initio for CrN, (CrAl)N, (AlCr)N, and AlN coatings and experimentally measured XRD values, redrawn after [\[63\]](#page-37-26), original © Elsevier.

<span id="page-8-1"></span>

**Figure 6.** Temperature-dependent thermal expansion coefficients (TECs) of (CrAl)N and (AlCr)N coatings derived from synchrotron experiments, redrawn after [\[65\]](#page-37-27), original © Elsevier.

### 3.2.2. Thermal Conductivity

Figure [7](#page-9-0) shows the thermal conductivity of  $(T_{150}Al_{50})N$ ,  $(Al_{66}Ti_{34})N$ , and  $(Al_{70}Cr_{30})N$ coatings [\[67\]](#page-37-28). The thermal conductivity is temperature-dependent and it is interesting to note that the thermal conductivity of  $(Al_{70}Cr_{30})N$  drops at about 200 °C and, in the temperature range of 250–450 °C, is significantly lower than that of  $(Al_{66}Ti_{34})N$ . The thermal properties of  $Cr_2A_2O_1+Sn_3O_2$  coatings were measured using pulsed photothermal radiometry. A very low thermal conductivity of ca. 2.75 W/mK at room temperature and 3.5 W/mK at 400  $\degree$ C was found [\[68\]](#page-37-29). PVD coatings exhibit a certain anisotropy of thermal conductivity perpendicular and parallel to the direction of growth. This effect is particularly pronounced for multilayer coatings. When engineering the thermal properties of a coated part, not only the intrinsic thermal conductivity of the coatings, but also the concentration and dimension of different growth defects, e.g., holes and droplets, must be taken into account [\[69\]](#page-37-30).

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<span id="page-9-0"></span>

**Figure 7.** The temperature dependence of thermal conductivity for arc-deposited  $(T_{50}Al_{50})N$ ,  $(Al<sub>66</sub>T<sub>34</sub>)N$  and  $(Al<sub>70</sub>Cr<sub>30</sub>)N$  coatings, measured using the picosecond thermal reflection method, redrawn after [\[67\]](#page-37-28), original © Elsevier.

### 3.2.3. Electrical Resistivity

A two-probe measurement method was used to estimate the surface resistance as a function of the Al/(Al + Cr) ratio for arc-deposited coatings. It can be seen in Figure [8](#page-9-1) that the electrical resistivity increases sharply with the Al portion of the metallic content in at.% [\[19\]](#page-36-1).

<span id="page-9-1"></span>Surface resistance



8 deposited coatings, redrawn after [\[19\]](#page-36-1), original © Elsevier. Figure 8. Surface resistance as a function of the Al content of fcc-(Cr,Al)N and fcc-(Al,Cr)N arc-

3.2.4. Poisson's Ratio, Young's Moduli, Fracture Toughness

Ab initio calculations have shown that the Poisson's ratio drops from about 0.27 for low Al contents to about 0.2 for an Al content of 70 at.% [\[70\]](#page-37-31). Figure [9](#page-10-0) shows the ab initio calculated Young's moduli (E) as a function of the Al content for fcc (Al,Cr)N [\[70](#page-37-31)[,71\]](#page-37-32). Selected Young's moduli measured by nanoindentation from [\[64,](#page-37-33)[71\]](#page-37-32) have been added.

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<span id="page-10-0"></span>

**Figure 9.** Ab initio calculated Young's moduli E and experimentally obtained indentation moduli (black symbols) for different Al concentrations in fcc- $(Cr, A)$ )N and fcc- $(Al, Cr)N$  coatings, redrawn after [\[70\]](#page-37-31), original © AIP Publishing, data from [\[64](#page-37-33)[,71\]](#page-37-32).

 $\text{Al}_{33.4}\text{Cr}_{18.3}\text{Si}_{2.3}\text{N}_{46}\text{O}_{0.7}$  [\[73\]](#page-37-35). It should be noted that large variations of measured E values The Young's modulus increases with an increasing Al content. The same relative tendency, but with lower absolute values, was experimentally shown in [\[43\]](#page-36-23). The calculated values are in good agreement with measured nanoindentation moduli [\[64](#page-37-33)[,72\]](#page-37-34). The Young's modulus of (AlCr)N coatings drops significantly when the mixed-phase structure fcc + hcp is reached [\[43\]](#page-36-23), as well as for Si-alloyed (AlCr)N coatings of, for example, for fcc-(Al,Cr)N coatings have been published for coatings of nearly the same composition, for example, from 300 GPa (Al/(Al + Cr) content of 68 at.%) [\[73\]](#page-37-35) to 469 GPa (Al/(Al + Cr) content of 63 at.%) [\[71\]](#page-37-32). Different sample conditions (thickness and substrate) and measurement systems themselves will influence the measured value.

In addition to the chemical composition, the Young's modulus is also dependent on the grain size (grain boundary fraction), the compressive stress state, and the texture. A variation from 363 to 469 GPa was measured for  $Al_{28,4}Cr_{18,6}N_{55}$  in different coating states. The high value was measured for a highly 100-oriented coating deposited on MgO (100) substrates [\[71\]](#page-37-32). Thus, a relatively wide variation of experimental results, besides the measuring conditions, must be anticipated.

The fracture toughness  $K_{IC}$  of sputtered  $Al_{28.4}Cr_{18.6}N_{55}$  was measured by microme-The Hacture toughness  $R_C$  of sputtered  $A_{128,4}^{1}C_{118,6}^{1}N_{55}^{1}$  was measured by interometrical bending tests at  $1.3 \pm 0.1$  MPa  $\sqrt{m}$ , which is lower than that of TiN at  $2.0 \pm 0.1$ chancal behaing lests at 1.5  $\pm$  0.1 MPa  $\sqrt{ }$  m, which is lower than that of 1nv at 2.0  $\pm$  0.1 MPa  $\sqrt{ }$  m related to domain size effects, but it is possible to raise this in a superlattice combination of the two materials [\[71\]](#page-37-32).

3.2.5. Thermal Phase Stability and Hardness after Annealing

The thermal stability of arc-deposited (CrAl)N and (AlCr)N coatings has been investigated through heat treatment in an Ar atmosphere with a hold time of 2 h at temperatures between 600 and 1300 °C in combination with XRD analysis [\[61\]](#page-37-13). The fcc structure was found to be stable up to 800 °C. Three reactions were observed sequentially for the  $(AICr)N$ system:

- 1. Transformation of fcc (Al,Cr)N to hcp (Al,Cr)N at the grain boundaries first [\[74\]](#page-37-36),
- 2. Segregation of  $Cr_2N$ ,
- 3. Segregation of pure chromium.

Above 900  $\degree$ C, the entire coating transforms to a mixed-phase structure. No phase transformation occurred in the hcp-AlN coatings, as they were already in their thermodynamically stable state. This is shown schematically in Figure [10.](#page-11-0)

<span id="page-11-0"></span>

11 Separature and Superior primes substitute temperatures, redrawn after [\[61\]](#page-37-13), original © Elsevier. Figure 10. Schematic diagram of phase stability for (CrAl)N and (AlCr)N as determined by XRD

The effect of the annealing on the hardness of the same coatings was characterised as well, see Figure [11.](#page-11-1)

<span id="page-11-1"></span>

**Figure 11.** Microhardness for (CrAl)N, (AlCr)N, CrN and AlN coatings after annealing at different temperatures, redrawn after [\[61\]](#page-37-13), original © Elsevier.

The highest hardness was shown for  $(Cr_{54}Al_{46})N$  and  $(Al_{71}Cr_{29})N$ , and was retained up to 800 and 900  $\degree$ C, respectively. The subsequent drop in hardness at higher annealing temperatures is correlated with the phase decomposition [\[61\]](#page-37-13).

fcc- $(Al_{63.5}Cr_{36.5})N$  phase depends significantly on the stress level in the coatings. The The influence of the coating stress at the decomposition temperature was investigated by varying the deposition temperature. The coating deposition was performed by arc using  $\text{Al}_{70}\text{Cr}_{30}$  cathodes. It was shown that the decomposition temperatures of the metastable decomposition process starts at the same compressive stress level of around 4.3 GPa for all coatings that were investigated [\[75\]](#page-38-0).

Figure [12](#page-12-0) shows a comparison of the hardness after annealing at high temperatures for different commercial hard coatings, showing that the  $(A1<sub>70</sub>Cr<sub>30</sub>)N$  coating has the highest hot hardness for temperatures exceeding 950 ◦C.

<span id="page-12-0"></span>

**Figure 12.** Hardness after annealing of commercial TiCN,  $(T_{50}Al_{50})N$ ,  $(Al_{66}Ti_{34})N$  and  $(Al_{70}Cr_{30})N$ coatings on cemented carbide.

## 3.2.6. Oxidation Behaviour

The oxidation behaviour of PVD hard coatings is an important property for applications such as dry high speed cutting and high temperature stressed components such as turbochargers. In a study of Cr-rich (CrAl)N sputtered coatings (Cr/Al = 2.94), a relatively small Al content ( $Cr_{44}Al_{15}N_{40}$  at.%) improved the oxidation stability compared to CrN [\[15\]](#page-35-12). The first publication about the oxidation characteristics of arc-deposited coatings (AlCr)N with a Al/Cr ratio > 0.5 showed a lower oxidation rate in comparison with CrN [\[19\]](#page-36-1), as has been confirmed in further studies [\[51,](#page-37-5)[61,](#page-37-13)[76,](#page-38-1)[77\]](#page-38-2). Oxidation tests of (CrAl)N and (AlCr)N coatings deposited by activated reactive evaporation showed excellent behaviour [\[32\]](#page-36-8). The authors stated that the investigated (CrAl)N and (AlCr)N coatings exhibited significantly better oxidation behaviour than TiAlN coatings, which has been confirmed in further studies [\[40,](#page-36-18)[78\]](#page-38-3). A systematic comparison of the oxidation behaviour of arc-deposited (AlTi)N and (AlCr)N coatings, including long time exposure, was performed in [\[40\]](#page-36-18). It was shown that the oxidation resistance of both coatings improved with an increase in the Al content. The oxidation resistance of (AlCr)N coatings was significantly superior to that of (AlTi)N coatings. Figure [13](#page-13-0) shows the oxide layer thickness of CrN, (CrAl)N and (AlCr)N coatings after annealing in the temperature range of 800 to 1000 ◦C in an ambient atmosphere for 30 min in 50 and 100 ◦C intervals. The addition of 20 at.% of Al to CrN deferred the start of oxidation by 100  $\degree$ C and reduced the oxidation rate. The onset temperature for oxidation increased and the oxidation rate decreased with a further increase in the Al-content up to 71 at.% Al. At a higher Al content, significantly decreased oxidation resistance was detected for a coating with 83 at.% Al and for AlN. Oxidation then starts already at 800 ◦C. These coatings already have an hcp structure. The negative influence of the hcp structure on the oxidation resistance was confirmed by [\[79\]](#page-38-4).

rate depends on the coating composition and the related phase structure, as described A model of the oxidation behaviour for both the onset temperature and the oxidation above. However, it was observed in general that Cr and Al ions diffuse to the surface, forming a dense oxide layer acting as a diffusion barrier, thereby limiting the inward diffusion of oxygen [\[32](#page-36-8)[,76,](#page-38-1)[80\]](#page-38-5). The onset of oxidation of fcc  $(Cr, A)$ N and fcc  $(A)$ , $Cr$ )N always starts with the dissociation to h- $Cr<sub>2</sub>N$  and nitrogen in the coating. The presence of thermally stable Al–N bonding in the fcc-(Cr,Al)N structure can suppress the reduction of nitrogen in the coating. A dense  $(CrAl)_{2}O_{3}$  or  $(AICr)_{2}O_{3}$  oxide layer (either amorphous or crystalline) is formed at an early stage of oxidation [\[76\]](#page-38-1). This can act as an effective diffusion barrier hindering the inward diffusion of the oxygen. All further reactions are influenced by the Al content at a given temperature.

<span id="page-13-0"></span>

**Figure 13.** Thickness of oxide layer for CrN, (CrAl)N and (AlCr)N coatings after annealing at different temperatures in an air atmosphere, redrawn after [\[61\]](#page-37-13), original © Elsevier.

excellent oxidation resistance of  $\rm (Al_{70}Cr_{30})N$  coatings in comparison to industrial-standard It should be mentioned that there are several ways to increase the oxidation stability of (CrAl)N and (AlCr)N coatings, e.g., by means of synergistic alloying of the coatings with small amounts of Si [\[81\]](#page-38-6), see also Section [4.4.](#page-23-0) By way of illustration, Figure [14](#page-13-1) shows the PVD coatings.

<span id="page-13-1"></span>



### *3.3. Selected Tribological Properties*

The tribological properties of (CrAl)N and (AlCr)N depend not only on the coating properties, but also on the tribosystem itself (e.g., counterpart, lubrication, temperature, loads).

# 3.3.1. Dry Friction against Steel

The dry friction value of (AlCr)N coatings against steel is slightly higher than that of CrN at ca. 0.65 [\[19\]](#page-36-1), as measured using the pin–on-disc method against hardened bearing steel, 100Cr6. A friction value for (AlCr)N of about 0.5 was reported for AISI4340 as a pin  $[82]$ . The friction value at low load  $(5 N)$  was similar to that of  $(TiA)N$ , whereas at high load conditions (20 N), (AlCr)N displayed a significantly lower friction coefficient. The superior tribological behaviour, namely a low wear rate and low friction, of an (AlCr)N coating against AISI 4340 steel is associated with the higher proportion of distinct (dispersive-polar) interactions, as evidenced by the measured surface energies. (AlCr)N and (TiAl)N coatings have a high polar component of 19.8 and 15.4 mJ/m<sup>2</sup>, and a low dispersive component of 2.9 and 6.5 mJ/m<sup>2</sup>, respectively, while the AISI 4340 steel presented a similar proportion between the polar, 14.3 mJ/m<sup>2</sup> and dispersive 13.5 mJ/m<sup>2</sup> components [\[82\]](#page-38-7). Measurement of the dry friction of (AlCr)N coatings by pin-on-disc against austenitic stainless steel (DIN1.4301, AISI 304, hardness 274 HV1) showed a friction value of 0.85 at room temperature, which dropped to about 0.6 at higher temperatures [\[83\]](#page-38-8).

### 3.3.2. Dry Friction and Wear against Ceramics

The coefficient of friction measured by pin-on-disc tests against  $Al_2O_3$  balls for (CrAl)N and (AlCr)N coatings of different Al contents showed a friction coefficient of about 0.6 at room temperature, independent of the Al content [\[83\]](#page-38-8). The friction value increased for 500  $\degree$ C and dropped to 0.6 again at 700  $\degree$ C, and was also nearly independent of the Al content. Figure [15](#page-14-1) shows the decreasing abrasive wear rate with increasing Al content for all investigated temperatures [\[83\]](#page-38-8).

<span id="page-14-1"></span>

Coating wear coefficient [10<sup>-14</sup> m<sup>3</sup>/Nm]

**Figure 15.** Wear coefficient of (CrAl)N and (AlCr)N coatings with different Al contents by pin-on-disc tests compared to  $\text{Al}_2\text{O}_3$  balls for different test temperatures, redrawn after [\[83\]](#page-38-8), original © Springer Nature.

The dry tribological properties of arc-deposited  $(Al_{70}Cr_{30})N$ ,  $(Al_{67}Ti_{33})N$ , and CrN coatings against a  $Si<sub>3</sub>N<sub>4</sub>$  ball as the counterpart showed friction values of 0.73, 0.79, and 0.77 at the end of the test, respectively  $[84]$ . A special effect related to the wear rate was observed. The highest wear rate was measured for  $(Al<sub>67</sub>T<sub>33</sub>)N$ , but CrN had a slightly lower wear rate than  $(A_{70}Cr_{30})N$ . The authors claim this is caused by the tribological oxidation behaviour, which has a great influence on the wear mechanism and the debris removal behaviour of the coatings.

# <span id="page-14-0"></span>*3.4. Control of Coating Morphology, Stress and Texture*

(CrAl)N and (AlCr)N coatings synthesised using PVD processes display a rich variety of microstructures, from fine-grained morphology up to coarse columnar structures, which

make different (AlCr)N coatings suitable for many diverse application areas. This section focuses on (AlCr)N coatings, though similar effects are also valid for (CrAl)N coatings. The relationships between the deposition parameters and the coating morphology are summarised in generic structure-zone diagrams [\[85\]](#page-38-10) to provide guidelines that can be applied for (AlCr)N coatings in general. The deposition conditions can also be used to control the stress state of the coatings [\[86\]](#page-38-11), which often is an important part of engineering coatings and in adapting them to different use cases and requirements. For example, thick coating layers are at risk of peeling off if the stress state is too high, while high compressive stresses can be advantageous in interrupted cutting operations. The stress state has also been pointed out as a key determining factor for thermal stability and decomposition pathways [\[75\]](#page-38-0).

The effect of bias voltage on the stress state and coating properties of (AlCr)N coatings deposited using  $Al_{60}Cr_{40}$  targets has been investigated systematically [\[87\]](#page-38-12). Increasing the bias voltage led to higher levels of compressive residual stresses as a function of the increased ion bombardment, up to a threshold in the range of 100 V, see Figure [16.](#page-15-0) Further higher bias values reduced the overall stress state, which was attributed to the annihilation of defects and stress relaxation. A concurrent reduction in grain size, the lattice parameter and a modified preferential orientation in XRD from (200) to a mixture of (200) and (111) were also observed as an effect of increasing the bias [\[87\]](#page-38-12).

<span id="page-15-0"></span>

**Figure 16.** Compressive residual stress versus the bias voltage for arc-deposited  $(A|_{60}Cr_{40})N$  coatings, redrawn after [\[87\]](#page-38-12), original © Elsevier.

Similar trends for texture and increased hardness with bias were also reported for coatings deposited using  $Al_{70}Cr_{30}$  and  $Al_{75}Cr_{25}$  cathodes. Coatings with a higher Al content, deposited using  $\text{Alg}_5\text{Cr}_{15}$  and  $\text{Alg}_9\text{Cr}_{10}$ , formed dual-phase structures at bias voltages higher than 40 V [\[66\]](#page-37-24). The influence of phase structure on the stress state has been exemplified in the direct comparison of  $(Alg_0Cr_{20})N$  coatings, which had lower compressive stress values relative to  $(Al_{70}Cr_{30})N$ . This effect was attributed to stress relief through the presence of hexagonal phases in the former case [\[88\]](#page-38-13).

The stress state of (AlCr)N coatings can also be influenced by alloying elements. For example, B-alloyed coatings with 2.3–9.1 at.% of B have been demonstrated to have lower compressive state levels compared to unalloyed coatings. The B-alloying also caused grainsize refinement and an increase in hardness attributed to a combination of solid solution hardening and Hall-Petch hardening [\[89\]](#page-38-14). Further aspects of alloying will be discussed later in this review.

Surface morphology, and in particular the density of macroparticles in arc deposition processes, can be influenced by the deposition pressure where fewer macroparticles are generated and smoother coatings are obtained at higher pressure [\[90–](#page-38-15)[92\]](#page-38-16), see also the example in Figure [17.](#page-16-0) This effect can be rationalised through a higher degree of poisoning on the target surface at an elevated pressure. Columnar morphology is typically achieved over a large process window of deposition pressures, though with variation in the column width. This is illustrated in Figure [17a](#page-16-0)–d. Further reported effects of deposition pressure include increased hardness and an influence on the phase structure [\[91,](#page-38-17)[92\]](#page-38-16). 16

<span id="page-16-0"></span>

17 **Figure 17.** Surface and cross-sectional morphology of (AlCr)N monolayer coatings deposited for (**a**,**c**) at 2.5 Pa  $N_2$  and for (**b**,**d**) at 7.5 Pa  $N_2$ , for illustration of relative trends.

The structure of arc-deposited AlCr-based coatings depends not only on the cathode composition, process temperature, substrate bias potential, arc current and reactive gas pressure, but also on the arc source design, in a manner that is similar to the case of AlTi-based coatings [\[9\]](#page-35-8). The influence of the magnetic set-up was investigated in one coating process using two arc sources, both equipped with  $Al<sub>70</sub>Cr<sub>30</sub>$  cathodes, strong and weak magnetic fields, respectively  $[93]$ . Figure [18](#page-17-0) shows the differences between the two deposited coatings by means of XRD investigation, SEM cross-section and hardness measurement. The coating properties vary significantly. Although EPMA (Electron Probe Micro Analysis) measurements showed a lower  $\text{Al}/(\text{Al} + \text{Cr})$  content, a minor hcp face is visible in the coating deposited by the weak field, which explains the finer growth structure (smaller grains) of the coating. Furthermore, the coating deposited with the weak field contains less nitrogen and the hardness is about 30% lower compared to the coating deposited with strong magnetic fields.

# 8 *3.5. Features of (AlCr)N Coatings with Mixed fcc Plus hcp Structure*

Dual-phase coatings with Al concentrations of more than 70 at.% have been reported as monolayer coatings [\[88](#page-38-13)[,90](#page-38-15)[,94](#page-38-19)[,95\]](#page-38-20), as well as multilayers [\[66](#page-37-24)[,96\]](#page-38-21). The coatings are characterised by relatively low hardness compared with fcc (Al,Cr)N, lower oxidation resistance than fcc (Al,Cr)N with a high Al content, but also with the positive effect of exhibiting lower stress.

<span id="page-17-0"></span>

 $2 \theta$ <sup>°</sup>)

**Figure 18.** Phase formation of coatings deposited with pm cathodes  $Al_{70}Cr_{30}$  for two evaporators with different magnetic field set-ups [\[93\]](#page-38-18).

### **4. Alloying of AlCrN**

The alloying (doping) of (AlCr)N is amongst the most important approaches used to develop and adapt these coatings. The addition of at least one alloying element (such as Si, B, C, O, W, Y and others) into (AlCr)N coatings is used to further optimise properties and performance. Through alloying, the base coating may be improved in terms of increased hardness, higher oxidation resistance, extended thermal stability, higher wear resistance and lower friction values. The main directions in the development of alloyed (AlCr)N coatings will be reviewed in this section. Figure [19](#page-17-1) shows an overview of alloying concepts. Metals, metalloids, and oxygen are added as single elements or in combination with others.

of O, C and Si seems to offer the most sustainable solutions. An overview of different Aspects of environmental sustainability have not yet been discussed in the scientific and engineering community until now. These important ecological aspects, such as selecting specific alloying elements to minimise environmental impacts in the production of the coating, the use of the coating and the recycling, were overlooked. In general, the addition alloying directions that have been reported is given in Table [2.](#page-18-0)

<span id="page-17-1"></span>

Figure 19. Directions of alloying for (CrAl)N and (AlCr)N designed to tune selected properties.

<span id="page-18-0"></span>

**Table 2.** Selected coatings alloyed with one metal and metalloid component.

<b>Alloying Element</b>	<b>Coating Composition</b>	Cathodes/Targets	<b>Deposition Method</b>	<b>Results</b>	Reference
Hf	e.g., $\text{Al}_{37}\text{Cr}_{11}\text{Hf}_2\text{N}_{50}$	AlCr <sub>20</sub> (Cr in Al) inserted, and Hf	DC sputter	Higher oxidation resistance	$[107]$
Nb	e.g., $Al_{27.5}Cr_{17.5}Nb_5N_{50}$	$Al_{55}Cr_{45}$ $\text{Al}_{60}\text{Cr}_{35}\text{Nb}_5$ , $\text{Al}_{60}\text{Cr}_{30}\text{Nb}_{10}$	Arc	Higher hardness, lower oxidation resistance	$[108]$
W	e.g., $(Al_{64}Cr_{31}W_5)N$	$Al_{70}Cr_{30}$ $\text{Al}_{70}\text{Cr}_{25}\text{W}_5$	Arc	Improved oxidation resistance at 1100 °C	[81]
Cu	e.g., $(Al_{47}Cr_{34}Cu_{19})N$	$Cr_{35}Al_{65}$ and Cr, Cu	DC sputter	Triboactive, hardness decrease	$[106]$
$\mathbf Y$	e.g., $Al_{26,5}Cr_{22,5}Y_1N_{50}$	$Al/Cr = 1.5$ with 0, 2, 4, 8 at.% Y	DC sputter	Structure, improved oxidation resistance	[109, 110]
	e.g., $Al_{23.8}Cr_{23.1}Y_{0.7}N_{50}O_{2.4}$	Al <sub>50</sub> Cr <sub>50</sub> , Y	DC sputter	Improved oxidation	$[111]$
	e.g., $Cr_{25.8}Al_{15.3}Y_{3.4}N_{55.5}$	$\mathrm{Al}_{90}\mathrm{Cr}_{10}$ $Al_{80}Cr_{20}$ and Y	DC sputter	Structure, improved oxidation	$[112]$
La	e.g., $Al_{28.1}Cr_{12.5}La_{1.4}N_{58}$	$Al_{70}Cr_{30}$ , and La	DC sputter	Wear, friction, structure	$[113]$
	e.g., $Cr_{44.4}Al_{12}C_{27.6}N_{16}$	Cr <sub>2</sub> AIC	DC sputter	Wear, friction, structure	$[114]$
$\mathsf{C}$	e.g., Cr <sub>24</sub> Al <sub>24</sub> N <sub>48</sub> C <sub>4</sub>	AlCr <sub>20</sub> AlCr <sub>24</sub> Cr inserts in Al, and Cr	DC sputter <b>HiPIMS</b>	Structure, hardness	$[115]$

**Table 2.** *Cont.*



DC sputter CXidation, structure [\[81\]](#page-38-28)



e.g.,  $(AI_{64.5}Cr_{32.9}B_{2.6})N$ 

### *4.1. Additional Metallic Elements*

Modifications of the coating properties are possible by the addition of one or more metallic elements, e.g., Ti, V, Mo, Hf, Nb, W, Cu.

### 4.1.1. Coatings with the Addition of Ti, V, Zr

# **Al-Cr-Ti-N**

Various quaternary cubic (AlCrTi)N coatings with a composition of about  $(Al_{60}(Cr, Ti)_{40})N$ and varying Ti content (0, 1, 2, 11 at.%) were deposited by cathodic arc evaporation. The hardness of the  $(A<sub>130.5</sub>Cr<sub>14</sub> Ti<sub>5.5</sub>N<sub>50</sub>)$  coating was retained after annealing up to 1100 °C. The coating also showed an age hardening process caused by spinodal decomposition similar to (AlTi)N [\[97\]](#page-38-30). However, the oxidation resistance was found to be slightly reduced compared to unalloyed (AlCr)N coatings. The age hardening effect was also discussed for AlCrTiN coatings with a higher Al content  $(A<sub>166</sub>Cr<sub>24</sub>Ti<sub>10</sub>)N$  [\[98\]](#page-38-31). It was shown that the oxidation resistance of  $(Al_{69}Cr_{20}Ti_{11})N$  was significantly increased as compared to  $(Ti_{50}Al_{50})N$  [\[99\]](#page-38-32).

# **Al-Cr-V-N**

 $\text{Al}_{26}\text{Cr}_{24}\text{N}_{50}$  and  $\text{Al}_{25}\text{Cr}_{22}\text{V}_{3}\text{N}_{50}$  coatings were deposited by arc evaporation. It was shown that V doping increased the hardness from ca. 31 to 33 GPa, but the oxidation resistance was decreased [\[100\]](#page-38-33). Systematic investigations of arc-deposited coatings using  $(\text{Al}_{70}\text{Cr}_{30})_{1-x}\text{V}_x$  cathodes with compositions of  $x = 0$ , 10, 5,20, 25, and 30 at.% showed that the incorporation of V into AlCrN coatings triggers a phase separation into fcc-CrN and hcp-AlN structured grains, even at a low content [\[101\]](#page-38-34). Higher bias voltages stabilise the fcc structure.

 $Cr_{29.5}Al_{10.5}V_{10}N_{50}$  sputtered coatings showed a decrease in the friction coefficient at higher temperatures (600 and 800 °C) due to phase transformation towards the Magnéli phase  $V_3O_7$  in pin-on-disc tribometer testing [\[102\]](#page-38-35).

(AlCrV)N coatings were deposited by arc using  $Al_{70}Cr_{30}$  and  $Cr_{70}V_{30}$  cathodes [\[103\]](#page-39-13). The (AlCrV)N coating had a higher hardness and a lower friction value and wear rate than the  $(Al_{70}Cr_{30})N$  coating. The coating containing V showed a higher service life in a milling cutting test. A reason might be the formation of lubricious  $VO<sub>x</sub>$  phases.

### **Cr-Al-Zr-N**

A sputtered Cr-rich (CrAlZr)N coating with the composition of  $Cr<sub>38.8</sub>Al<sub>4.5</sub>V<sub>2</sub>N<sub>54.8</sub>$  was shown to form a  $Cr_2O_3$ -rich tribolayer that decreases friction and wear [\[104\]](#page-39-14).

### 4.1.2. Coatings with the Addition of Refractory Metals

### **Al-Cr-Mo-N**

Basic investigations of sputtered coatings showed that the addition of Mo significantly increases the hardness in a comparison, for example, of  $Cr_{20}Al_{18.5}$  Mo<sub>7</sub>N<sub>54.5</sub> at 37 GPa to unalloyed  $\text{Al}_{23}\text{Cr}_{20}\text{N}_{57}$  at 29 GPa [\[105\]](#page-39-15). Other (AlCrMo)N coatings (e.g., (Al<sub>50</sub>Cr<sub>37</sub>Mo<sub>13</sub>)N) were deposited by means of cathodic arc evaporation. The addition of Mo was shown to have a high potential to act as a triboactive coating when lubricated with lubricants con-taining sulphur [\[106\]](#page-39-16). (AlCrMo)N coatings have also been deposited by arc using  $Al<sub>70</sub>Cr<sub>30</sub>$ and  $Cr<sub>70</sub>M<sub>030</sub>$  cathodes [\[103\]](#page-39-13). The (AlCrMo)N coating had slightly higher hardness and a lower friction value and wear rate than the  $(Al_{70}Cr_{30})N$  coating. The coating containing Mo showed a higher service life in a milling cutting test. A reason might be the formation of lubricious MoO*x* phases.

### **Al-Cr-Hf-N**

AlCrN (Al/Cr = 3.2) and AlCrHfN coatings with an Al/Cr ratio  $>$  3 and varying Hf content between 0 and 11.6 at.% have been synthetised by DC magnetron sputtering. The hardness was found to decrease slightly with an increasing Hf content, from 22 GPa to 19 GPa. The oxidation resistance of AlCrN increased by alloying it with a small amount

of Hf of about 2 at.% (e.g.,  $\text{Al}_3$ 7Cr<sub>11</sub>Hf<sub>2</sub>N<sub>50</sub>); however, it decreases again at the highest Hf content [\[107\]](#page-39-17).

# **Al-Cr-Nb-N**

Fcc-structured  $\text{Al}_{26}\text{Cr}_{24}\text{N}_{50}$ ,  $\text{Al}_{23.5}\text{Cr}_{19}\text{Nb}_{2.5}\text{N}_{50}$  and  $\text{Al}_{27.5}\text{Cr}_{17.5}\text{Nb}_{5}\text{N}_{50}$  coatings were deposited by cathodic arc evaporation. The hardness increased slightly with the addition of Nb from 31 to 32 GPa at room temperature, but the increase in hardness is more pronounced after annealing at a temperature of around 1000 °C (plus 3 GPa). The phase stability was shifted to include higher temperatures with the addition of Nb, but the oxidation resistance decreases [\[108\]](#page-39-18).

# **Al-Cr-W-N**

(AlCr)N and (AlCrW)N coatings have been deposited by the cathodic vacuum arc method using cathodes of  $\text{Al}_{70}\text{Cr}_{25}\text{W}_5$  and  $\text{Al}_{70}\text{Cr}_{30}$ . The coating had a W content that was about the same as in the cathode and an Al/Cr ratio of 2,  $(AI<sub>64</sub>Cr<sub>31</sub>W<sub>5</sub>)N$ . Oxidation tests showed that the (AlCrW)N coating had higher oxidation stability than the (AlCr)N coating at 1100 °C; however, it was worse than (AlCr)N at 900 °C [\[81\]](#page-38-6).

4.1.3. Coatings with the Addition of Non-Ferrous Metals

### **Al-Cr-Cu-N**

 $(Al_{53}Cr_{47})N$  and  $(Al_{47}Cr_{34}Cu_{19})N$  were deposited by means of cathodic arc evaporation. It was shown that the Cu is present mainly in metallic form. The alloying with Cu was found to decrease the hardness from 30 to 25 GPa [\[106\]](#page-39-16).

# *4.2. Coatings with the Addition of Rare Earth Elements*

The effect of Y and La has been studied in AlCrN-based coatings.

# **Al-Cr-Y-N**

The oxidation behaviour of  $\text{Al}_{27}\text{Cr}_{46}\text{N}_{50}$  and Y-doped coatings was investigated.  $\text{Al}_{26.5}\text{Cr}_{22.5}\text{Y}_1\text{N}_{50}$  and  $\text{Al}_{26.5}\text{Cr}_{21.5}\text{Y}_2\text{N}_{50}$  coatings showed improved oxidation resistance, whereas a further increase (4 at.% in the coating) in Y had a negative influence. Experimental and computational studies on the effect of yttrium on the phase formation of sputtered (AlCrY)N showed a decrease in the maximum Al content with retained fcc structure to 68 at.% at a Y content of 2 at.% of the total metal content. The authors concluded that, theoretically, the  $Al_{34}Cr_{15}Y_1N_{50}$  coatings exhibit the most promising oxidation resistance within the group of coatings containing Y as compared to (AlCr)N coatings [\[109](#page-39-19)[,110\]](#page-39-20). (CrAlY)N coatings with a Y content up to 2.3 at.% were co-sputtered using  $Cr_{50}Al_{50}$ -composite and pure Y targets. The hardness increased from ca. 16 to 24 GPa with increasing Y content. However, oxidation experiments (1100 $^{\circ}$ C) demonstrated a lower Y content of 0.3 to 0.7 at.% (e.g.,  $\text{Al}_{23.8}\text{Cr}_{23.1}\text{Y}_{0.7}\text{N}_{50}\text{O}_{2.4}$ ) to be beneficial for the oxidation resistance. In excess of 1.3 at.% Y, the oxidation resistance deteriorated as the result of the formation of porous and non-protective oxide scales [\[111\]](#page-39-21). A further study of co-sputtered coatings containing Y showed that excellent oxidation behaviour was achieved with a Y content of 3.4 at.% for Cr-rich Cr<sub>25.8</sub>Al<sub>15.3</sub>Y<sub>3.4</sub>N<sub>55.5</sub> coatings, whereas for Al-rich coatings, a lower Y content of 2.6 at.% was the best with  $Al_{24.9}Cr_{18.1}Y_{2.6}N_{54.4}$ . It was also found that higher Y contents promote the hcp phase formation [\[112\]](#page-39-22).

It can thus be concluded that a low Y content in the range of about 0.7 to 3.4 at.% of the total elemental composition of the coating can have a positive effect on the oxidation. However, the absolute value seems to be dependent on the Al/Cr ratio in the coating.

# **Al-Cr-La-N**

 $\text{Al}_{29.1}\text{Cr}_{13.5}\text{N}_{57.4}$  and (AlCrLa)N coatings were deposited by sputtering using  $\text{Al}_{70}\text{Cr}_{30}$ and La targets. The concentration of La was varied in the range of 1.39 to 7.73 at.% by adjusting the sputtering power for the La target. A small amount of La, e.g.,  $\text{Al}_{28.1}\text{Cr}_{12.5}\text{La}_{1.4}\text{N}_{58}$ , formed a solid solution in the (AlCr)N lattice, resulting in grain refinement. The hardness of (AlCrLa)N containing 1.4 at.% La was significantly higher than that of the undoped

(AlCr)N. This coating showed the lowest wear rate. An increased amount of La resulted in a grain boundary segregation, thus an nc-(AlCrLa)N coating consisting of an (AlCrLa)N matrix combined with a-La<sub>2</sub>O<sub>3</sub>/a-LaN segregations. The hardness decreased with an increase in La content at the same time as the friction value (against  $Si<sub>3</sub>N<sub>4</sub>$ ) was reduced [\[113\]](#page-39-23).

# <span id="page-23-1"></span>*4.3. Coatings with the Addition of Carbon*

# **Al-Cr-N-C**

 $Cr_{44.4}Al_{12}C_{27.6}N_{16}$  coatings have been deposited by reactive magnetron sputtering using a  $Cr<sub>2</sub>AIC$  target. The coatings showed a phase mixture of c-CrC, h-AlN and Cr with 23 GPa hardness. In addition, the structure started to change at 800 °C (h-Cr<sub>2</sub>AlC,  $Cr_3C_2$ ,  $Cr_7C_3$ , AlN) [\[114\]](#page-39-24). Better coating properties than those of the (CrAlC)N coating were described for the more complex (CrAlTi)CN coating.

DC/HiPIMS sputtered  $Cr_2SAl_2AN_{51}$  and (CrAl)NC coatings with a Cr/Al of ca. 1 and  $N > C$  were investigated with a carbon content in the range of 1–18 at.% [\[115\]](#page-39-25). Nanocomposite structured (CrAl)NC/a-C coatings are formed when a critical carbon content is exceeded (a few at.%). Crystalline (CrAl)NC phases are surrounded by an amorphous carbon phase. This effect was also detected for carbon-doped AlTi-based coatings, both for sputtering [\[116\]](#page-39-26) and arc deposition [\[13\]](#page-35-10).

It was shown that first the hardness significantly increases from 22 to 30 GPa for a carbon content of up to about 4 at.%, e.g.,  $Cr_{24}Al_{24}N_{48}C_4$ , then the hardness drops to about 15 GPa at a carbon content of 18 at.%. The hardness drop is enforced by two effects; by the continually growing amorphous phase—containing amorphous carbon and amorphous  $CrC<sub>x</sub>$ —and by the formation of hcp AlN. The carbon-doped (CrAl)NC coatings showed improved frictional characteristics in metal forming.

# <span id="page-23-0"></span>*4.4. Coatings with the Addition of Metalloids*

4.4.1. Coatings with the Addition of B

### **Al-Cr-B-N**

(AlCr)N and (AlCrB)N coatings were arc deposited using  $Al_{70}Cr_{30}$ ,  $Al_{60}Cr_{40}$  and B-doped cathodes with a constant Al/Cr atomic ratio of 1.8 and varying B content of 10, 20 and 30 at.% [\[89\]](#page-38-14). The resulting coatings had a B content between 2.3,  $Al_{26.7}Cr_{21.7}B_{2.3}N_{49.3}$ , and 9.1 at.%,  $Al_{22.7}Cr_{20.5}B_{9.1}N_{47.7}$ , corresponding to  $(Al + Cr)/B$  ratios in the range 0.04– 0.21. The authors stated that even at low B contents, B segregates to the grain boundaries where (AlCrB)N crystallites are at least partially covered by an a-BN<sub>*x*</sub> tissue phase. A nanocomposite structure was formed at compositions of  $\geq 5.7$  at.% B, consisting of fcc- $(A,C, B)$ N grains surrounded by an amorphous  $BN<sub>x</sub>$  phase dependent on the B content. The hardness was significantly increased from ca. 30 GPa for coatings containing no B to a maximum of about 40 GPa for a B content of 5.7 at.%. The addition of B acts as a grain refiner where the highest B content of 9.1 at.% reduced the grain size down to 5 nm. It was also shown that the addition of B decreases the coating stress.

A similar investigation of arc deposited coatings was carried out using cathodes of  $\text{Al}_{70}\text{Cr}_{30}$  and  $\text{Al}_{55}\text{Cr}_{35}\text{B}_{10}$  [\[58\]](#page-37-10). The (AlCrB)N coating contained 2.1 at.% of B for  $A1_{30.4}Cr_{16.3}B_{2.1}N_{48.1}O_{3.1}$ , with  $(A1+Cr)/B = 0.045$  and  $A1/Cr = 1.86$ , and was reported to be composed of an fcc solid solution when measured by XRD. XPS measurements showed peaks of B-N and Cr-B bondings. Thus, a  $BN_x$  tissue phase formation is highly probable. The B alloying significantly increased the hardness from the B-free  $\text{Al}_{33.1}\text{Cr}_{15.8}\text{N}_{48.1}\text{O}_3$  at 31 to 37 GPa. The grain size concurrently decreases from 16 to 5 nm. The friction value and the wear rate measured by pin-on-disc were lower than that of the coating with no B.

(AlCrB)N coatings have been deposited by the cathodic vacuum arc method using cathodes of  $Al_{70}Cr_{25}B_5$  and  $Al_{70}Cr_{30}$ . The (AlCrB)N coating had an Al/Cr ratio of 1.96 and a B/(Al + Cr)/ratio of 0.04. Oxidation tests at 900 °C showed that this particular  $(AI_{64.5}Cr_{32.9}B_{2.6})N$  coating had a lower oxidation stability than the AlCrN coating [\[81\]](#page-38-6).

In general, it can be concluded that the addition of B decreases the grain size in combination with an increase in hardness of around 30%.

### 4.4.2. Coatings with the Addition of Si

Si addition is the most investigated alloying element, with the goal of achieving an improvement of mechanical properties and oxidation resistance [\[72,](#page-37-34)[117–](#page-39-27)[121\]](#page-39-28). The properties are mainly dependent on the Si content at a given Al/Cr ratio, and also on the deposition technology. The Al/Cr ratio and the addition of Si have to be optimised to keep the results primarily in the structural zone of fcc-CrAlSiN or fcc-AlCrSiN so that suitable mechanical properties can be achieved, particularly for applications on cutting tools.

Figure [20](#page-24-0) shows a schematic representation of the structural evolution as a function of the Al/Cr ratio and Si content. In a first approximation, the limit of the Al content to obtain a coating dominated by the fcc phase is assumed to be about the same as for Si-free coatings (ca. 70 at.% Al).

<span id="page-24-0"></span>

20 **Figure 20.** Schematic diagram of the phase evolution for (CrAlSi)N and (AlCrSi)N for different Al and Si contents in the coating, nc = nanocomposite.

phase. Arc-deposited coatings use a constant Al content of 70 at.% in the cathode, but with However, it was shown that the addition of Si promotes the formation of the hcp a different Cr and Si content (0, 1, 2, 5 at.% Si) [\[72\]](#page-37-34). For coatings synthesised at low bias voltages (40 V), a phase separation into fcc  $(A,C, S<sub>i</sub>)N$  and hcp  $(A,C, S<sub>i</sub>)N$  for Si contents of around 1 at.% in the coating, e.g.,  $\text{Al}_{33}\text{Cr}_{16}\text{Si}_1\text{N}_{51}$ , was observed. This results in a congruent drop in mechanical properties. The hardness decreased from  $30 \pm 2$  GPa for  $\text{Al}_{32}\text{Cr}_{17}\text{N}_{51}$ coatings to 21 GPa for the coating  $Al_{32}Cr_{14.5}Si_{2.5}N_{51}$  deposited using  $Al_{70}Cr_{25}Si$  targets. Higher bias voltage favoured the fcc phase formation. However, it is unclear if this is due to growth effects or due to a decrease in the Al and Si contents as a secondary effect of the bias voltage [\[72\]](#page-37-34).

AlCrSi cathodes with a constant Cr content of 30 at.%, but with varying Al and Si silicon concentrations (0, 1, 2, 5, 10 at.% Si), were deposited by cathodic arc evaporation [\[73\]](#page-37-35). The  $Al/(Al + Cr)$  ratio of the coatings decreased from about 0.68 to about 0.64 at the highest Si content. Up to a Si-content of about 1 at.% (corresponding to cathodes with 2 at.% Si), the coatings showed an fcc structure,  $\text{Al}_{33.9}\text{Cr}_{18.1}\text{Si}_{1.1}\text{N}_{51}\text{O}_{0.9}$ . The coating with a composition of about  $Al_{33.4}Cr_{18.3}Si_{2.3}N_{46}O_{0.7}$  (5 at.% in the cathodes) displayed the formation of the hcp phase at an  $Al/(Al + Cr)$  ratio of only about 0.65 and a Si content of about 2 at.%.

Investigations of whether the addition of Si decreases the phase stability of the fcc phase at higher temperatures are lacking. In addition, it is also not clear how much Si can be substitutionally incorporated into (CrAl)N and (AlCr)N at a given Al content, and what the critical Si content is for the segregation of an amorphous  $\text{SiN}_x$  phase at the grain boundaries.

Above the solid solubility limit of Si, a nanocomposite (nc) structure is formed [\[35](#page-36-11)[,121](#page-39-28)[–127\]](#page-39-29). The Si segregates along the grain boundaries, thus an amorphous  $SiN<sub>x</sub>$  phase grows. This effect has been observed for both (CrSi)N and (AlSi)N. A schematic structural model for (CrSi)N was shown in [\[128\]](#page-39-30). It was determined that for (AlSi)N, the tissue phase is generated for (AlSi)N in a coating containing 4 at.% of Si,  $\text{Al}_{46}\text{Si}_{4}\text{N}_{50}$ . The authors showed that the nanocomposite was formed at 6 at.% Si in the coating for  $\text{Al}_{44}\text{Si}_{6}\text{N}_{50}$  [\[129\]](#page-40-0).

A nanocomposite structure was also detectable for (CrSi)N with a Si content of about 2 at.% or more [\[130\]](#page-40-1). Analogous phase evolution was shown for coatings with a low aluminium content (Cr/Al ca. 3) for (CrAlSi)N [\[35\]](#page-36-11). An (AlCrSi)N coating with a nanocomposite structure was demonstrated at a Si content of 3.5 at.% [\[124\]](#page-39-31).

Unfortunately, no systematic investigations of the minimum Si content for different Al contents in a (CrAlSi)N or (AlCrSi)N coating are available. Roughly, it can be deduced that a nanocomposite structure might be generated at a Si content of between 2 and 4 at.% of the total chemical composition  $(Al + Cr + Si + N$  content in at.% equal to 100 at.%). It was shown that the addition of Si not only has an influence on the thermal properties of (AlCr)N, but also acts as a grain-size refiner. Depending on the amount of Si, the grain size is in the range of less than 10 nm [\[124](#page-39-31)[,131\]](#page-40-2).

A comparison of the oxidation behaviour of  $(AICr)N (A/Cr = 1.25)$ ,  $(CrAISi)N$  $(Cr/AI = 1.16)$  and  $(AICrSi)N (A1/Cr = 1.89)$  coatings revealed that the coating  $(AICrSi)N$ with 3.3 at.% Si,  $\text{Al}_{32.7}\text{Cr}_{17.4}\text{Si}_{3.3}\text{N}_{44.3}\text{O}_{2.3}$ , showed the highest oxidation resistance [\[80\]](#page-38-5). Additional work was performed to investigate the oxidation of various Si-free and Sicontaining coatings [\[119\]](#page-39-32). Figure [21](#page-25-0) shows the specific weight gain of fcc-structured (CrAl)N, (CrAlSi)N and (AlCr)N, (AlCrSi)N coatings measured using TGA in synthetic air. The authors showed that the formation of a crystalline corundum type mixed and/or layered (Al*x*Cr1−*x*)2O<sup>3</sup> oxide scale, composed of Cr-rich and Al-rich areas, is typical for (CrAl)N, (AlCr)N and (CrAlSi)N and (AlCrSi)N coatings for coatings with low Si contents. The oxide layer formed prevents further oxidation. A special case was observed for high Si contents, e.g., a  $(Cr_{45}Alg/Si_{16})N$  coating, where the highest onset temperature for oxidation was observed accompanied with the lowest weight gain. The reason is that a crystalline SiO<sub>2</sub> phase grows in addition to  $(Al_xCr_{1-x})_2O_3$ . This effect might be used for some coating solutions requiring a low oxidation rate.

<span id="page-25-0"></span>

CrSi)N and (CrAl)N, (CrAlSi)N coatings up to 1440 ℃ in synthetic air, redrawn after [\[119\]](#page-39-32), original © **Figure 21.** Weight gain measured by dynamic TGA measurements of fcc-structured (AlCr)N, (Al-American Vacuum Society.

The (CrAlSi)N and (AlCrSi)N coatings can also be doped with one additional element to address modifications of various properties, e.g., O [\[132\]](#page-40-3), Y [\[133\]](#page-40-4) B [\[134\]](#page-40-5), W [\[135,](#page-40-6)[136\]](#page-40-7), Ni [\[137\]](#page-40-8), and others. Additionally, more than one element was added, e.g., Y and O [\[138\]](#page-40-9). Multilayer architectures were built using CrAlSiN and AlCrSiN combined, for example, with Si-free AlCrN layers [\[139\]](#page-40-10), with AlSiN [\[140\]](#page-40-11), or with coatings containing Si, such as Cr-doped AlSiN [\[127\]](#page-39-29), with MoN, NbN [\[139\]](#page-40-10), or with CrN [\[141\]](#page-40-12), and others.

### *4.5. Coatings with the Addition of Two or More Elements*

Quarternary and higher-order systems have been explored to further optimise coating properties. However, this has also been pursued from an industrial perspective dealing with patent issues to obtain unique selling points (USPs) or to supersede patents from competitors. However, the complexity quickly increases, and there is the potential for cross-reactions between the alloying elements. Even if the results achieved do not generally outperform the simpler coatings, a study of that research will reveal indicators of potential directions for coating development. A detailed discussion is beyond the scope of this paper; however, selected publications on Al-Cr based multinary coatings are listed below.

Si-Mo sputtered [\[142\]](#page-40-13), Si-Ni sputtered [\[143,](#page-40-14)[144\]](#page-40-15), Si-Ti arc [\[145,](#page-40-16)[146\]](#page-40-17), Si-W arc [\[78](#page-38-3)[,135\]](#page-40-6), Si-W sputtered [\[136\]](#page-40-7), Si-Ni sputtered [\[137\]](#page-40-8), Si-C sputtered [\[133,](#page-40-4)[147\]](#page-40-18), Si-B sputtered [\[134\]](#page-40-5), Si-Mg [\[148\]](#page-40-19), Ti-C sputtered [\[114\]](#page-39-24), MoCu sputtered [\[106\]](#page-39-16), Si-Y-O sputtered [\[138\]](#page-40-9), Ti-Si-Y arc [\[149\]](#page-40-20), and Nb-Ti-Si sputtered [\[150\]](#page-40-21).

In addition, even high-entropy coating modifications are the focus of R&D activities [\[150,](#page-40-21)[151\]](#page-40-22).

### **5. Complex Coating Architectures**

Coatings used in industrial applications are seldom homogeneous monolayers, but often comprise several coating layers or complex architectures. This allows several functional requirements to be met through coating layers serving different functions, for example, good adhesion to the substrate material through a bonding layer, mechanical stability through a main layer, and tribological properties through a top layer. Furthermore, in nanocomposite or multilayered designs, the additional interfaces created can help to enhance performance, for example, by providing increased fracture resistance, beyond the properties of the individual layers. A multitude of approaches have been demonstrated for AlCr*X*-based coatings.

A schematic drawing of the main coating architectures is shown in Figure [22.](#page-26-0) It must be noted that the bond coat which is often applied essentially produces a two-layer coating that has not been considered explicitly in this overview.

<span id="page-26-0"></span>

Figure 22. Main types of coating architectures.

It should be mentioned that in practical coating designs, different architecture types are often mixed in one and the same coating, e.g., gradient coatings are predominantly realised more or less stepwise. The nanocomposite coating shown might be a part of the multilayer coating or part of the gradient coating. A primary example of a nanocomposite structure is the system (AlCrSi)N/a-SiN*x*, as discussed in Section [4.4.](#page-23-0)

# *5.1. Multilayer and Nanomultilayer Coatings*

Multilayered coatings comprise at least two different layers, and most commonly this is a periodic repetition of two or more layers. The most frequently used is the classic . . .  $A/B/A/B/A$ ... type of layering, where the same two layers are sequentially repeated. When the periodicity is within the size scale of up to a few tenths of nanometers, the terms nanomultilayers or nanolayered coatings are often used. The sublayers can be synthesised using different strategies. Keeping the same (nominal) coating chemistry, a variation of the process parameters, such as bias or deposition pressure, can be used to create layers of different stress states, for example. Changing the reactive gas composition is a further approach to alternate nitride, oxynitride and oxide layers on the same metal base, for example. Perhaps most common though is the combination of heterogeneous coating materials obtained through the inclusion of targets of different composition in the PVD deposition system. Furthermore, particularly in industrial-scale deposition systems, codeposition from spatially separated deposition sources with different target materials may be combined with substrate rotation to create multilayered coatings. There are a variety of different approaches for the materials used in the second single layers. Some examples are shown below.

# - *CrAl- or AlCr-based structural multilayer*

The combination of fcc  $(AI,Cr)N$  with hcp  $(AI,Cr)N$  was investigated to address the potential for adapting stress, hardness and wear properties [\[88,](#page-38-13)[96\]](#page-38-21).

- *CrAl- or AlCr-based combined with a binary nitride coating*

The first publication dealt with (AlCr)N and CrN. One aim was to have a "ductile" coating similar to CrN combined with a high oxidation resistance. [\[19\]](#page-36-1). A combination with VN was utilised to improve the tribological behaviour at elevated temperatures [\[152](#page-40-23)[–154\]](#page-40-24). TiN/(AlCr)N superlattices were deposited by sputtering. The coatings showed both an improved fracture toughness and hardness as compared to the monolayers [\[71\]](#page-37-32).

### - *CrAl- or AlCr-based combined with a ternary nitride coating*

The coating properties of (AlCrX)N can be combined with AlTiN [\[155,](#page-40-25)[156\]](#page-41-0). There are some coating systems that contain V in a nitride coating to tailor tribological properties (formation of lubricant oxides), such as (TiV)N [\[157\]](#page-41-1), (CrV)N and (CrMo)N [\[103\]](#page-39-13). The combination with (TiSi)N is focused on high hardness and oxidation stability [\[158\]](#page-41-2).

# - *CrAl- or AlCr-based combined with a quaternary nitride coating*

The comination with quarternary nitride layers is a very complex approach. Many coating properties are influenced in parallel. The following systems have been explored: (AlTiSi)N [\[151](#page-40-22)[,159\]](#page-41-3), (AlCrSi)N [\[139,](#page-40-10)[160\]](#page-41-4), (TiTaAl)N [\[161\]](#page-41-5).

- *CrAl- or AlCr-based combined with an oxynitride or oxide coating*

The arc deposition of an fcc- $(AI,Cr)$ ON layer on top of an fcc- $(AI,Cr)$ N-based coating resulted in improved wear resistance [\[162\]](#page-41-6). The combination of alternating single layers consisting of a nitride fcc-( $AI_xCr_{1-x}$ )N layer followed by an oxide coating  $(AI_xCr_{1-x})_2O_3$ with a hardness of up to 22 GPa was demonstrated [\[163\]](#page-41-7).

#### *5.2. Gradient Coatings*

Coating designs with gradient layers imply that coating properties change sequentially in the direction of the coating thickness. Frequently, the primary factor that is varied is the composition of the coating. Both the sputtering and arc deposition methods are used to generate compositional gradients continuously or stepwise. Two examples of coatings with a Si gradient in which the layer containing less silicon is at the bottom and the layer with more silicon is at the top are shown to demonstrate this.

In the first example, CrAlSiN monolayers (0, 4, 1.8, 5.9, 8.5 at.% Si) and CrAlSiN coatings with a continuous Si gradient  $(Cr/A)$  ca. 1.6) were deposited by co-sputtering using elemental targets of Cr, Si, and Al with a Si content of 5 and 8 at.% in the top layer and were compared with (CrAlSi)N monolayers [\[122\]](#page-39-33). The authors described the formation of a nanocomposite (CrAlSi)N/a-SiN*x* structure for monolayer coatings with a Si content of 5.9,  $Cr_{23.4}Alr_{15.6}Si_{5.9}N_{53.8}O_{1.3}$ , or higher by means of an X-ray diffraction pattern and for 8.5 at.% Si as well using TEM examinations. The gradient coatings exhibited higher toughness in terms of scratch/crack propagation resistance relative to monolayers with comparable Si content.

In the second example, (AlCrSi)N coatings were arc deposited using cathodes of  $\text{Al}_{70}\text{Cr}_{30}$  and  $\text{Al}_{60}\text{Cr}_{30}\text{Si}_{10}$  as a gradient coating for the Si content [\[121](#page-39-28)[,125\]](#page-39-34). Different levels of Si content were achieved by adjusting the number of cathodes per coating deposition step. The coatings containing Si showed a nanocomposite structure (AlCrSi)N/a-SiN*x* in all compositional regions. Cutting tests showed that the gradient coating provided better performance than the pure  $(A1_{70}Cr_{30})N$  coating [\[121,](#page-39-28)[125\]](#page-39-34).

Coating designs with a gradient on the O content were realised by arc deposition in the top layer region of a part for solar energy thermal conversion in the form of (AlCr)N/(AlCr)NO/(AlCr)O. The functional coating properties indicate that this coating with its characteristic of selective absorption is a candidate for photo-thermal conversion at high temperatures [\[164\]](#page-41-8).

# **6. From (CrAl)N or (AlCr)N to Oxynitride and Oxide Coatings**

The special features of the Me $N_{1-x}O_x$  coating arise from the addition of oxygen to MeN, which results in coating properties between those of metal nitrides, MeN, and those of the insulating oxides, MeO [\[165\]](#page-41-9). Tuning the oxygen/nitrogen ratio allows the physical, mechanical and tribological characteristics to be tailored. For AlCr-based coatings, both oxynitrides as well as pure oxide coatings of AlCr are of interest. It was shown that oxynitride and oxide coatings (alpha and cubic crystal modifications) have a potential for use in various tribological applications and for oxidation protection [\[166–](#page-41-10)[170\]](#page-41-11), and moreover, even for use in solar absorbers [\[171\]](#page-41-12). Both vacuum arc deposition and various sputtering methods, including HiPIMS processes and hybrid processes (HiPIMS plus DC magnetron sputtering), were applied to investigate coatings of the types (AlCr)ON and (AlCr)O [\[23](#page-36-5)[,172](#page-41-13)[–175\]](#page-41-14).

In the context of oxynitrides, it should be noted that in many industrialised nitride deposition processes, residual amounts of oxygen (up to several at.%) are incorporated [\[111,](#page-39-21) [122,](#page-39-33)[146,](#page-40-17)[172,](#page-41-13)[175,](#page-41-14)[176\]](#page-41-15). The effects of substrate rotation additionally influence details of the structure due to the changing position in the chamber relative to the source [\[132\]](#page-40-3). These effects occur in industrial coating systems and are frequently not discussed. However, for example, it was observed that a small amount of oxygen can decrease the hardness of nanocomposites significantly [\[177\]](#page-41-16). A detailed discussion of these effects is beyond the scope of this paper. However, actively controlling oxygen content in the coatings is a potent modifier of structure and properties, as will be exemplified in this section.

Oxynitride coatings and oxide coatings have often been investigated in one and the same paper. Figure [23](#page-29-0) shows a schematic representation of the phase evolution in (CrAl)NO and (AlCr)NO systems dependent on  $Cr/(Al + Cr)$  and  $O/(O + N)$  at a typical deposition temperature of about 500–600 ◦C based on results from [\[166,](#page-41-10)[167,](#page-41-17)[172,](#page-41-13)[173,](#page-41-18)[178\]](#page-41-19). The differentiation between Cr-rich and Al-rich coating types is ignored here in the interest of facilitating visual perception.

<span id="page-29-0"></span>



Oxynitrides are formed up to an estimated oxygen content of about 40 at.%. Above this threshold, a mixed phase structure is formed, consisting of oxynitrides and oxide phases as well as sometimes a minor fraction of amorphous phases as well. At high oxygen contents, oxides are formed. A cubic oxide structure (gamma or fcc) grows at a low Cr/(Cr + Al) content, whereas a hexagonal oxide structure (alpha) is predominant at a higher Cr/(Cr + Al) content. The formation of an oxide coating structure with a dominating fcc phase has also been reported a number of times [\[166,](#page-41-10)[179,](#page-41-20)[180\]](#page-41-21). The lowest reported value to obtain a pure corundum phase was  $Cr/(Cr + Al) = 0.3$  [\[178\]](#page-41-19). There are also several reports on the existence of minor amorphous phases [\[175,](#page-41-14)[178,](#page-41-19)[179\]](#page-41-20).

Selected results will be presented in more detail for the two deposition methods, arc and sputtering.

#### *6.1. Arc Evaporation: Oxynitride and Oxide Coatings*

One of the earliest approaches to synthesising oxides by arc evaporation is reported in a patent application (1992) from Schulz and Bergmann for the deposition of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> type coatings by DC arc evaporation at a lower temperature than in CVD (Chemical Vapor Deposition) processes, when the Al is doped using Cr or other selected elements [\[22\]](#page-36-4). The field, however, remained relatively unexplored well into the 2000s.

Investigations of coatings containing Al, Cr, O and N deposited by the vacuum arc method using different cathode compositions  $(A//Cr)$  and different reactive gas compositions  $(N_2/O_2)$  are shown in Figure [24.](#page-30-0)

depending on the oxygen content in the reactive gas and the metal composition of the Upon increasing the oxygen fraction in the gas flow, first an oxynitride is formed, followed by an N-doped oxide and then a pure oxide [\[166\]](#page-41-10). Different phases are formed cathodes. In oxynitride coatings, the phase composition is first predominantly cubic, then a mixed zone is observable, comprising the solid solutions fcc (Cr,Al)N, and nitrogen-doped cubic (Cr,Al)<sub>2</sub>O<sub>3</sub> (gamma or fcc) or, finally,  $\alpha$  (Cr,Al)<sub>2</sub>O<sub>3</sub> at a pure oxygen flow. The oxide structures formed were either cubic or  $\alpha$  (Cr,Al)<sub>2</sub>O<sub>3</sub>. Figure [25](#page-30-1) shows the tendencies for the formation of either cubic or  $\alpha$  (Cr,Al)<sub>2</sub>O<sub>3</sub>.

<span id="page-30-0"></span>

**Figure 24.** (a) Al + Cr to N + O ratio (b) N and O content in Al-Cr-O-N coatings for different  $N_2/O_2$ reactive gas mixtures, redrawn after [166], original © Elsevier. reactive gas mixtures, redrawn after [\[166\]](#page-41-10), original © Elsevier.

<span id="page-30-1"></span>

25 **Figure 25.** Schematic representation of the influence the coating composition has on the trend of phase formation in (AlCr)<sub>2</sub>O<sub>3</sub>, redrawn after [\[166\]](#page-41-10), original © Elsevier.

A low level of O and a high Al content (e.g., using cathodes of  $\text{Al}_{66}\text{Cr}_{34}$ ) in the coatings results in the formation of cubic  $(Cr, A1)$ ,  $O_3$  layers. Higher levels of O and Cr (e.g., using cathodes of Cr<sub>75</sub>Al<sub>25</sub>) in the coatings increase the probability of the deposition of  $\alpha$  $(Cr,Al)<sub>2</sub>O<sub>3</sub>$  layers.

oxide. Fcc-(Cr,Al)<sub>2</sub>O<sub>3</sub> dominated coatings have also been shown to have wear properties The Cr-rich (CrAl)O coatings show the highest hardness values of 31–34 GPa, while the Al-rich coatings have lower values of 24–28 GPa. Metal cutting tests using cemented carbide inserts in turning operations showed good wear properties for mainly oxygen-rich coatings. These results were better than with the presence of the corundum phase of the similar to those of  $\alpha$ -(Cr,Al)<sub>2</sub>O<sub>3</sub> coatings [\[166\]](#page-41-10).

In conclusion, the ideal structure is a corundum-type solid solution, preferably with a high Al-content (>60 at.% on the metal sublattice). However, at a high Al-content, the tendency towards the formation of dual-phase compositions containing metastable phase fractions has been observed, which may negatively influence the mechanical properties and performance. The authors concluded that the cathodic arc evaporation of  $(A,I,Cr)_{2}O_{3}$ -based coatings is very complex when the microstructure of the arc cathode used is included as a factor [\[174\]](#page-41-22).

In combination with (AlCr)N, a small addition of O using  $Al_{70}Cr_{30}$  in the top layer of a double layer coating  $((AICr)N/(AICr)NO)$  has been reported to increase the service life of tools in milling applications [\[181\]](#page-41-23). The effect of the oxygen content in doped AlCr based coatings, e.g., (AlCrSi)N, has also been investigated [\[132\]](#page-40-3).

It should be mentioned that a special pulsed arc process, the  $P3e^{TM}$ , was developed to prevent oxide contaminations of the cathodes and increase the reactivity of the metal vapour and reactive gas [\[23\]](#page-36-5).

### *6.2. Sputtering: Oxynitride and Oxide Coatings*

The deposition of AlCr-based oxynitride and oxide coatings through sputtering has been approached using both RF-sputtering [\[173,](#page-41-18)[179,](#page-41-20)[182,](#page-41-24)[183\]](#page-41-25) as well as HiPIMS techniques [\[170](#page-41-11)[,175\]](#page-41-14). Systematic investigations of RF-sputtered Al-Cr-O-N coatings have shown that, depending on the gas composition (Ar,  $O_2$ ,  $N_2$ ), the total gas pressure and the Al/Cr ratio, coatings with a single phase or mixed phase are grown. These are a corundum-type α-(Al1−*x*,Cr*x*)2(O1−*y*,N*y*)<sup>3</sup> structure and a CrN-type fcc-(Al1−*x*,Cr*x*)(O1−*y*,N*y*) structure, as well as a mixed-phase composition of both single phases [\[173](#page-41-18)[,183\]](#page-41-25). The corundum phase can contain up to 3 at.% nitrogen [\[138,](#page-40-9)[183\]](#page-41-25).

The coating composition and structure with a given sputtering target composition are strongly dependent on the sputtering mode. Different Al/Cr ratios, deposition rates, and oxygen contents were reported for the comparison of DC magnetron, HiPIMS and a hybrid mode employing both  $[175]$ . Thick oxynitride coatings, thicker than 35  $\mu$ m, were deposited by gas-flow sputtering [\[170\]](#page-41-11).

### **7. Selected Industrial Coating Types and Main Applications**

A large number of commercial coating solutions involving AlCr-based coatings are offered by PVD system manufacturers, job coating service centres and by tool and part manufacturers worldwide. The chemical compositions and the coating architectures vary, as do the deposition technologies and coating processes used. In the dominant arc source technology, the magnetic field set-up is particularly important as it influences the plasma conditions and coating properties. Within the group of sputtering technologies, a a great many more parameters can be varied, particularly in HiPIMS processes, e.g., pulse form and length.

The functionality of the coating-substrate system results from the quality and reproducibility of the whole process chain. Proper selection of the pre-treatment processing of the parts, for example, the cutting edges of cutting tools, is essential and must be carried out outside the coating chamber prior to deposition. Ion etching processing of the parts is performed to remove surface contamination and obtain sufficient coating adhesion. Process parameters are selected to manage stress in the coating. For commercial reasons, the process details are typically protected by the companies offering CrAl- and AlCr-based coatings.

It must be pointed out that a new challenge, that of sustainable surface engineering, e.g., the minimisation of energy consumption, the selection of the coating material, reducing waste, and facilitating recycling, is attracting increasing interest. A comprehensive overview of all types of commercial AlCr-based coatings is beyond the scope of this review. However, a brief introduction to the application field of AlCr-based coatings will be provided through a characterisation of three different coating types.

### *7.1. Examples for Industrially Applied Coatings Types*

Within the authors' company, more than 10 variations of AlCr-based coatings have been or are in use (different coating thicknesses and other minor variations are not counted). As an example of the technology status, three coating types will be roughly characterised to highlight features of the deposition process and the coating property ranges. Selected coating properties are shown in Table [3.](#page-32-0) Two deposition methods are applied: arc and HiPIMS (S3p). The coatings have different alloying elements and various architectures.

<span id="page-32-0"></span>



The first coating type is a monolayer deposited by cathodic vacuum arc (e.g., BALINIT® ALCRONA PRO). The second coating type is a multilayer coating employing the layer sequence (AlCr)N/(TiSi)N (e.g., BALINIT<sup>®</sup> HELICA), also applied by arc deposition. The third coating type is a monolayer sputter coating deposited using an advanced HiPIMS process (S3p), which can also be employed for multilayers.

The coating morphologies are shown in the cross-sectional images obtained by SEM together with a ball crater. The fine columnar structure of the arc monolayer (AlCr)N is shown in Figure [26.](#page-33-0) 25

<span id="page-33-0"></span>

26 **Figure 26.** Cross-section and calotte of an industrially applied arc coating, BALINIT® ALCRONA PRO, courtesy of Oerlikon Balzers.

The fine-grained morphology of an arc multilayer (AlCr)N/(TiSi)N is demonstrated in Figure [27.](#page-33-1) This is a classical multilayer deposited by alternated switching on the sources with the different cathode materials. The added (TiSi)N sublayers increase the hardness of the coating.

<span id="page-33-1"></span>

Figure 27. Cross section and calotte of an industrially applied arc multilayer coating (AlCr)N/(TiSi)N, with top layer of (TiSi)N, BALINIT<sup>®</sup> HELICA, courtesy of Oerlikon Balzers.

The dense columnar structure with a low defect density and high smoothness of HiPIMS (AlCr)N monolayers is clearly seen in Figure [28.](#page-34-0) This coating is particularly advantageous for micro tools and other small parts that are sensitive to droplets in arcdeposited coatings.

<span id="page-34-0"></span>

Figure 28. Cross-section and calotte of an industrially applied HiPIMS (S3p) (AlCr)N coating, BALIQ® ALCRONOS, courtesy of Oerlikon Balzers.

# *7.2. Typical Application Fields of AlCr-Based Coatings*

Applications for AlCr-based coatings cover a wide range of cutting tools and forming tools, but also components. The dominant application is for tools [\[67,](#page-37-28)[149,](#page-40-20)[184](#page-42-0)[–188\]](#page-42-1). Figure [29](#page-34-1) shows examples of tool applications. Besides the standard applications, additional application potentials must be considered as well. Examples of this are: extremely thick coatings of more than 30  $\mu$ m for turbine blades [\[170\]](#page-41-11), or multilayer coatings with an oxygen gradient for solar absorbers [\[164\]](#page-41-8).

<span id="page-34-1"></span>

29 **Figure 29.** Selected tool applications for AlCr-based coatings, courtesy of Oerlikon Balzers.

# **8. Summary and Outlook**

(Cr,Al)N and (Al,Cr)N coatings are amongst the main PVD coatings in commercial applications and offer a high degree of freedom to tailor the coating structure and properties by controlling the chemical composition and tuning the deposition process parameters. The coating architectures which can be achieved range from simple monolayers to heterogenous multilayers combining AlCr-based layers with other material systems. The present status and current trends can be summarised briefly as follows:

- 1. Besides arc, HiPIMS deposition methods will also be used more and more in addition to arc evaporation.
- 2. Alloying to adapt coating properties makes use of at least one element from the metals, metalloids or rare earth elements.
- 3. The main alloying element presently in use is silicon.
- 4. The variety in alloying will continue to increase to optimise coatings for dedicated applications.
- 5. The addition of oxygen offers a possibility for tuning properties, e.g., tribological and optical.
- 6. Multilayer architectures, including nano multilayers, are increasingly being applied in combination with binary, ternary and quaternary hard coatings.
- 7. Besides AlTi-based coatings, CrAl- and AlCr-based coatings are the predominant coating type applied to tools.
- 8. More and more general engineering parts will be coated in addition to tools, also with thicker coatings.
- 9. Sustainability aspects (sustainable surface engineering) will be taken into account, for example, selecting a specific alloying element, minimising the environmental impact in the production of the coating, the use of the coating, and recycling.

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