

## Article

# Structural and Electrochemical Properties of Scandia Alumina Stabilized Zirconia Thin Films

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**Abstract:** This work presents a systematic investigation of scandia alumina stabilized zirconia (ScAlSZ, composition:  $\text{ZrO}_2\text{:Sc}_2\text{O}_3\text{:Al}_2\text{O}_3$  93:6:1 wt.%) thin films ( $\sim 2 \mu\text{m}$ ). Thin films were formed by the e-beam evaporation method on  $450^\circ\text{C}$  substrates. The influence of Al concentration on thin film microstructure, structure, and electrochemical properties was characterized by EDS, XRD, Raman, and EIS methods. It was found that the aluminum concentration in the deposited thin films decreased with an increase in the deposition rate. The concentration of Al changed from 15.9 to 3.8 at.% when the deposition rates were 0.2 and 1.6 nm/s, respectively. The crystallinity of the thin films depended strongly on the concentration of Al, resulting in an amorphous phase when Al concentration was 22.2 at.% and a crystalline phase when Al concentration was lower. ScAlSZ thin films containing 15.9 at.% of Al had monoclinic and tetragonal phases, while thin films with 1.6 and 3.8 at.% of Al had a mixture of cubic, tetragonal, and monoclinic phases. The phase transition was observed during the thermal annealing process. Cubic and rhombohedral phases formed in addition to monoclinic and tetragonal phases appeared after annealing ScAlSZ thin films containing 15.9 and 22.2 at.% of aluminum. The highest total ionic conductivity ( $\sigma_{\text{bulk}} = 2.89 \text{ Sm}^{-1}$  at  $800^\circ\text{C}$ ) was achieved for ScAlSZ thin films containing 3.8 at.% of Al. However, thin films containing a higher concentration of aluminum had more than 10 times lower total conductivity and demonstrated changes in activation energy at high temperatures ( $>560^\circ\text{C}$ ). Activation energies changed from  $\sim 1.10$  to  $\sim 1.85$  eV.

**Keywords:** electron beam deposition; scandia alumina stabilized zirconia (ScAlSZ); solid oxide fuel cells (SOFC); ionic conductivity



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## 1. Introduction

Doped  $\text{ZrO}_2$  is one of the most widely investigated fluorite-structured electrolytes. Pure  $\text{ZrO}_2$  does not exhibit high oxygen ion conductivity due to a low number of oxygen vacancies and polymorphic nature.  $\text{ZrO}_2$  has a monoclinic structure below  $1170^\circ\text{C}$ , a tetragonal structure below  $2370^\circ\text{C}$ , and a cubic structure above  $2370^\circ\text{C}$ . The presence of aliovalent dopants such as Sc, Y, Gd, Yb, Er, Dy, and Eu may help to overcome both issues. However, not all aliovalent dopants are equally suitable. The ionic conductivity of electrolytes depends on dopant atomic radii, dopant concentration, electrostatic interactions between dopants and oxygen vacancies, and phase composition [1,2]. It was found that the optimal concentration of dopants is around 8–10 mol.% [3]. The conductivity decreases at higher or lower dopant concentrations due to dopant clustering or a low number of oxygen vacancies [4]. Zirconia doped with 10 mol.% of Sc (10ScSZ) exhibit the highest conductivity at  $1000^\circ\text{C}$  among all  $\text{ZrO}_2$  based electrolytes due to low association enthalpy of the defect reactions and similar ionic radii ( $0.075 \text{ nm—Sc}^{3+}$ ,  $0.072 \text{ nm—Zr}^{4+}$ ) [2,5]. However, the conductivity becomes equal or lower to the conductivity of YSZ at  $500^\circ\text{C}$  due to increased activation energy [6]. Therefore, the oxygen ion conductivity and cubic phase stability should be increased even more. The 10ScSZ can be co-doped with small amounts (0.5–2 mol.%) of  $\text{CeO}_2$ ,  $\text{Gd}_2\text{O}_3$ ,  $\text{Sm}_2\text{O}_3$ ,  $\text{Bi}_2\text{O}_3$ ,  $\text{Yb}_2\text{O}_3$ , and  $\text{Al}_2\text{O}_3$  [7–12]. The cubic phase is

mixed with other phases of  $ZrO_2$  using most co-dopants. The exception is 10ScSZ co-doped with 0.5 mol.% of  $Al_2O_3$ . Authors suggest that a low concentration of alumina co-dopant can stabilize the cubic phase [13,14]. Yet, co-doping with alumina gives contradictive results. Other authors found that 5.5 mol.% and higher alumina concentrations do not fully stabilize the cubic phase [15–17]. The additional phases exist, reducing the ionic conductivity. Being an aliovalent dopant, alumina co-dopants influence the ionic conductivity of zirconia-based ceramics by increasing the concentration of oxygen vacancies after the co-doping. Despite that, the bulk conductivity decreases due to defect association and even more due to higher association energy of  $Al_{Zr'} - V_O^{**}$  defect in comparison to association energy of  $Y_{Zr'} - V_O^{**}$  defect [18]. The solubility of Al in zirconia lattice is low at ~1.2 mol.% [18]. The solubility limit was determined by measuring the influence of Al concentration on the size of the YSZ lattice, which size decreased by about 0.12% due to the smaller  $Al^{3+}$  ion that replaced  $Zr^{4+}$  and  $Y^{3+}$  in the crystalline lattice.  $Al_2O_3$  forms its phase in the grain boundaries above 1.2 mol.%, and does not influence the size of the YSZ lattice. Alumina acts as a scavenger for  $SiO_2$  impurities at the grain boundaries [19,20]. It was also proposed that the alumina phase in grain boundaries influences the properties of the space charge region in the vicinity of the grain boundary core [21]. The space charge region is usually depleted of oxygen vacancies and enriched with electrons which act as an energy barrier for oxygen diffusion. It is believed that  $Al_2O_3$  existence in the grain boundaries reduces the concentration of electrons and increases the concentration of oxygen vacancies in the space charge layer. Therefore, it is expected that  $Al_2O_3$  co-dopants increase the grain boundary conductivity.

Most research articles focus on synthesizing zirconia-based pellets from powders and their ionic conductivity measurements. The pellet electrolytes usually are 1–2 mm thick, porous, and the manufacturing process uses additional chemicals [11,22]. These reasons lead to quality and conductivity issues. In comparison, thin film technology has advantages, i.e., low electrolyte thickness, controllable structure and composition, high purity, and high density [23,24]. One of the best methods for the deposition of ceramic thin films is the e-beam evaporation technique because the temperature in the crucible is sufficiently high to melt and evaporate high melting temperature materials.

## 2. Materials and Methods

### 2.1. Formation of Thin Films

The ScAlSZ thin ceramic films were deposited using the electron beam technique, “Kurt J. Lesker EB-PVD 75” (Kurt J. Lesker Company, Pittsburg, PA, USA). The initial powder was pressed into pallets and evaporated on Alloy 600 (Fe–Ni–Cr) and  $Al_2O_3$  substrates. Alloy 600 (Fe–Ni–Cr) substrates were used for X-ray diffraction, EDS, and Raman spectroscopy measurements, while  $Al_2O_3$  substrates were used for EIS measurements due to a high melting temperature (2345 K) and low electrical conductivity. Substrates were cleaned before the deposition process by conventional methods [25]. All ScAlSZ thin films were deposited on 450 °C substrates using 0.2, 0.4, 1.2, and 1.6 nm/s deposition rates, which were controlled with a crystal sensor (Inficon, Bad Ragaz, Switzerland). The thickness of ceramic films (~2 µm) was estimated with profilometer (Ambios Technology XP-200, Keep Looking Ahead (KLA), San Jose, CA, USA).

### 2.2. X-ray Diffraction

The crystalline structure characterization of the samples was carried out using an X-ray diffractometer “Bruker D8 Discover” (XRD, Bruker, Billerica, MA, USA). The measurements were performed at room temperature and in situ during the annealing procedure using  $Cu K\alpha_1$  ( $\lambda = 0.154059$  nm) radiation and a typical Bragg–Brentano focusing geometry in a 20°–70° scan range and a step size of 0.01°. The in situ measurements of X-ray diffraction were carried out in the Mri TC-basic chamber. The samples were placed on a Pt:Rh heating foil strip with an S-type thermocouple and heated at the following temperatures: 30, 300, 400, 500, 600, 700, 800, and 900 °C. The heating rate was 1 °C/min and temperature stabilization time was 20 min. The crystalline phase and crystallite size ( $\langle d \rangle$ ) of ScAlSZ

thin films were estimated using “Slewe” (PDF-4 database) and “TOPAS 4.1” software, respectively.

### 2.3. Atomic Force Microscopy

The 3D images ( $3.0 \mu\text{m} \times 3.0 \mu\text{m}$ ) of thin films were obtained by employing an atomic force microscope “AFM NT-206” (Microtestmachines, Gomel, Belarus). The tapping mode with a step size of 12 nm was used for the measurements. The Si tip used during the scans was pyramidal shape, 16  $\mu\text{m}$  high, and 6 nm diameter. The roughness values ( $R_q$ ) were calculated using “Gwyddion 2.59” software.

### 2.4. Raman Spectroscopy

The phase identification was supported by Raman scattering spectroscopy. The Raman spectra were obtained using an inVia spectrometer (Renishaw, Gloucestershire, UK). The 532 nm wavelength diode laser and 4  $\mu\text{m}$  laser diameter were used during the measurements. The laser spot was focused on a sample using a 50 $\times$  objective (NA = 0.75, Leica, Wetzlar, Germany). The integration time of signals was 15–30 s. The signals were accumulated 5 times and averaged. The diffraction gratings (2400 grooves/mm) provided a spectral resolution of  $1 \text{ cm}^{-1}$ . A Peltier cooled charge-coupled device (CCD) camera detector was used to record the data (Renishaw, Gloucestershire, UK) ( $1024 \times 256$  pixels). The overlapped background-corrected Raman spectral bands were fitted with Lorentz contours using least-squares fitting software. RS were recorded in the Raman shift range from 100 to  $800 \text{ cm}^{-1}$ . The phase ratio was calculated using the formula:

$$\vartheta_c = \frac{I_c}{k(I_m + I_t) + I_c} \quad (1)$$

where coefficient  $k = 0.97$  is the factor required to convert the Raman intensities to the XRD intensities of the reference material,  $I_c$ ,  $I_m$ , and  $I_t$  are the scattering intensity of cubic ( $\sim 620 \text{ cm}^{-1}$ ), monoclinic ( $\sim 150 \text{ cm}^{-1}$ ), and tetragonal ( $\sim 200 \text{ cm}^{-1}$ ) Raman modes [26,27].

### 2.5. Determination of Chemical Composition

The energy-dispersive X-ray spectroscope “BrukerXFlash QUAD 5040” (EDS, Bruker, Billerica, MA, USA) was used to examine the elemental composition of formed ceramic thin films. The energy-dispersive X-ray spectroscope “BrukerXFlash QUAD 5040” (EDS) was used to examine the elemental composition of formed ceramic thin films. Chemical analysis was estimated using an X-ray photoelectron spectrometer (XPS, PHI Versaprobe 5000, ULVAC-PHI, Chigasaki, Kanagawa, Japan). The following parameters were used: Al  $K\alpha$  (1486.6 eV) radiation, 25 W power, 100  $\mu\text{m}$  beam size, 45 $^\circ$  measurement angle, 187.580 eV pass energy, 1 eV resolution for Survey Spectrum, and 0.1 eV for detailed chemical analysis.

### 2.6. Electrochemical Impedance Spectroscopy

The electrical properties of thin ceramic films were investigated using a Probostat<sup>®</sup> (Norecs AS, Oslo, Norway) measurement cell. The impedance spectrum was measured in parallel (in-plane) to the coating surface. Two Pt electrodes were overlaid on top of the thin films using a mask reproducing the geometry of the electrodes (a two-probe method). The size of rectangular geometry electrodes was 1 cm  $\times$  0.5 cm, and separation between electrodes was 1 cm. Impedance measurements were carried out in the air in a temperature range between 400 and 1000  $^\circ\text{C}$  and over a frequency range of 0.1 Hz to 1 MHz (13 points per decade). The impedance spectra were analyzed, and the modeling of Nyquist plots was done using “Z-view 2” software. The total conductivity was calculated according to:

$$\sigma = \frac{L_e}{R_s A} = \frac{L_e}{R_s h l_e} \quad (2)$$

where  $L_e$  is the distance between the Pt electrodes,  $R_s$  is the resistance obtained from the impedance spectra,  $A$  is the cross-sectional area,  $h$  is the thickness of the thin films, and  $l_e$  is the length of the electrodes.

The activation energy was determined from the Arrhenius equation [28]:

$$\sigma T = \sigma_0 e^{\frac{-E_a}{kT}}, \quad (3)$$

where  $\sigma$  is ionic conductivity,  $T$  is temperature of measurement,  $\sigma_0$  is exponential prefactor,  $E_a$  is the activation energy, and  $k$  is the Boltzmann constant.

### 3. Results

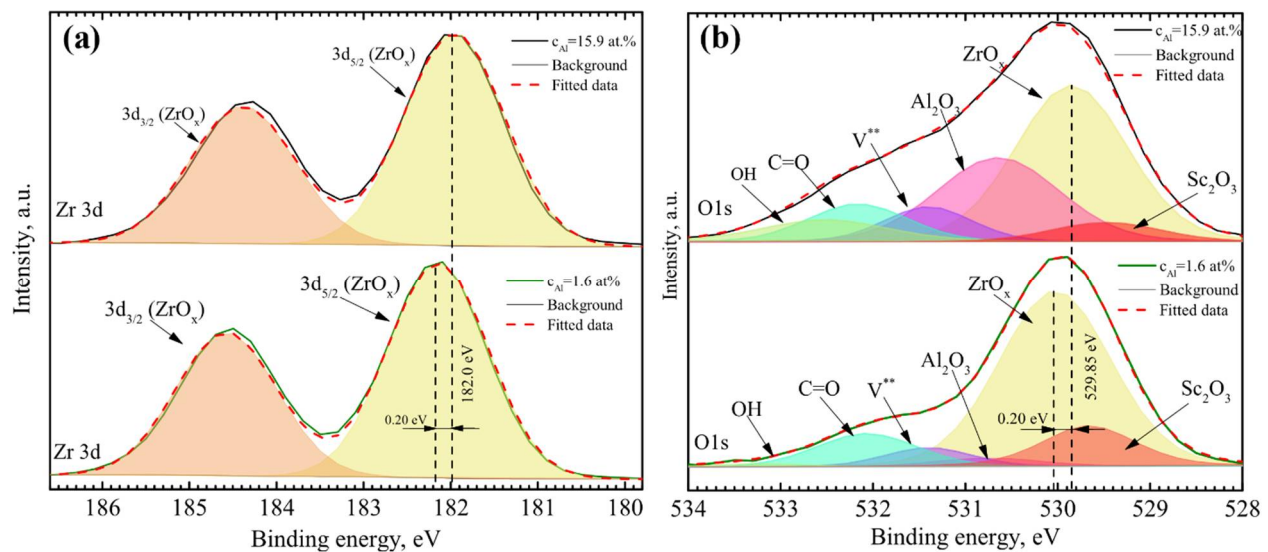
The EDS analysis of ScAlSZ thin films was performed after deposition (Table 1), and it was determined that the chemical composition of thin films depended on the deposition rate. The aluminum concentration decreased using a higher deposition rate. The concentration of Al was 15.9 and 3.8 at.% when the deposition rates were 0.2 and 1.6 nm/s, respectively. The concentrations of Sc and Zr were inversely proportional to the concentration of aluminum. The concentration of Sc was from 3.4 to 7.7 at.%, and the concentration of Zr was from 27.4 to 34.4 at.% when the deposition rate was changed from 0.2 to 1.6 nm/s, respectively.

**Table 1.** EDS analysis results of ScAlSZ thin films deposited on 450 °C substrates ( $v_d$ —deposition rate, and c—atomic concentration).

$v_d$ , nm/s	$c_O$ , at.%	$c_{Al}$ , at.%	$c_{Al3+}$ , at.%	$c_{Sc}$ , at.%	$c_{Zr}$ , at.%
0.2	53.3	15.9	11.8	3.4	27.4
0.4	50.2	22.2	20.1	3.4	24.2
1.2	55.6	1.6	1.4	7.4	35.4
1.6	54.1	3.8	3.5	7.7	34.4
Powder	52.0	12.6	-	3.9	32.5

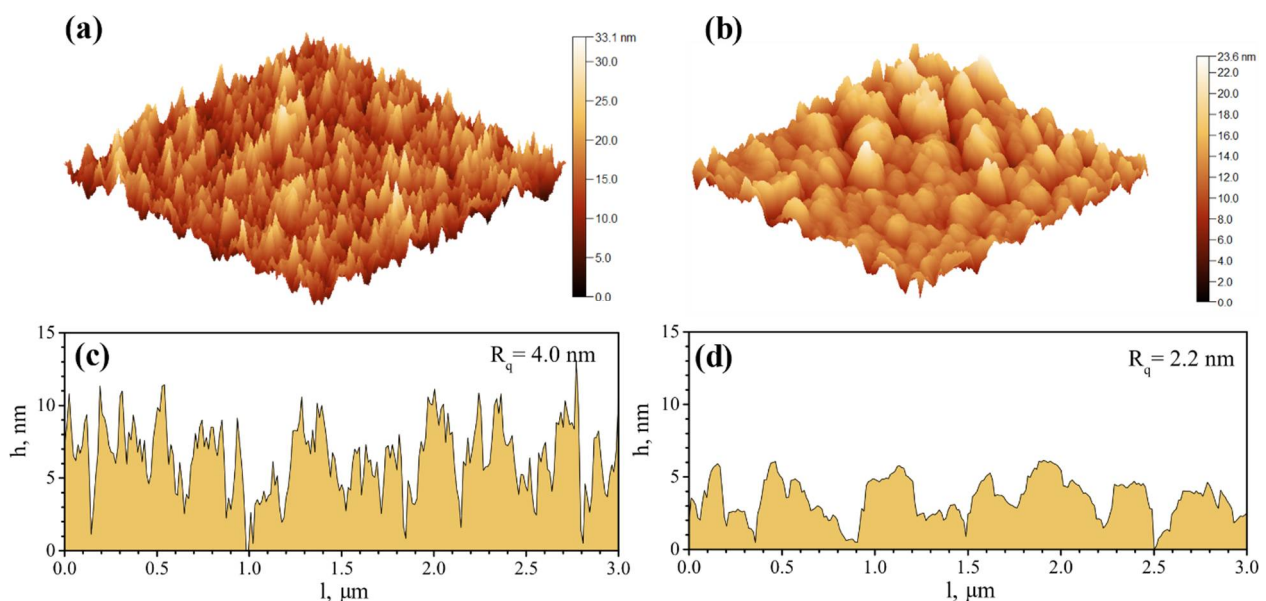
In addition to the EDS measurements, XPS measurements were performed, and  $Al^{3+}$  cation concentration was calculated using Al2p spectra and survey results (Table 1). Al2p spectra consisted of AlO(OH) (~75.3 eV),  $Al_2O_3$  ( $Al^{3+}$ ) (~73.8 eV), and Al (~72.5 eV) peaks.  $Al_2O_3$  ( $Al^{3+}$ ) fraction was calculated from the peak area ratio and multiplied by Al concentration from the survey results. It was found that the concentration of Al was 11.8 and 3.5 at.% when the deposition rates were 0.2 and 1.6 nm/s, respectively. It decreased using a higher deposition rate.

To fully understand the chemical composition of ScAlSZ thin films, analysis of Zr3d, and O1s spectra was performed (Figure 1). It was found that the Zr3d<sub>5/2</sub> peak is positioned at ~182.0 eV, indicating its belonging to  $Zr^{3+}$  suboxide. The presence of  $Zr^{3+}$  ions suggested the existence of oxygen vacancies. The spectra of O1s core level consisted of peaks belonging to OH groups, C=O groups, V\*\* ( $O^{2-}$  ions located in oxygen-vacancy region  $ZrO_x$  lattice),  $Al_2O_3$  ( $Al^{3+}$ ), and ZrOx ( $O^{2-}$  ion) [29–35]. The most important part of O1s core level spectra were areas of  $Al_2O_3$  and V\*\* peaks. A higher concentration of oxygen vacancies is represented by the enlarged areas with the increase of Al concentration.



**Figure 1.** XPS spectra of ScAlSZ thin films: (a) Zr 3d and (b) O 1s.

The AFM measurements were done to investigate the influence of Al concentration on the microstructure. Thin films containing low Al concentration (e.g., 1.6 at.%) have a fiber-like structure and roughness of 4.0 nm (Figure 2a and Figure 5). The transition from fiber-like to grained structure occurs at higher Al concentrations (e.g., 15.9 at.%). In these cases, columns of ScAlSZ thin films consist of small grains (globular) instead of fibers (Figure 2b) due to the inhibiting effect of Al or Al<sub>2</sub>O<sub>3</sub> phases in grain boundaries. The surface roughness also decreased from 4.0 to 1.9 nm with increasing Al concentration (Figure 5).

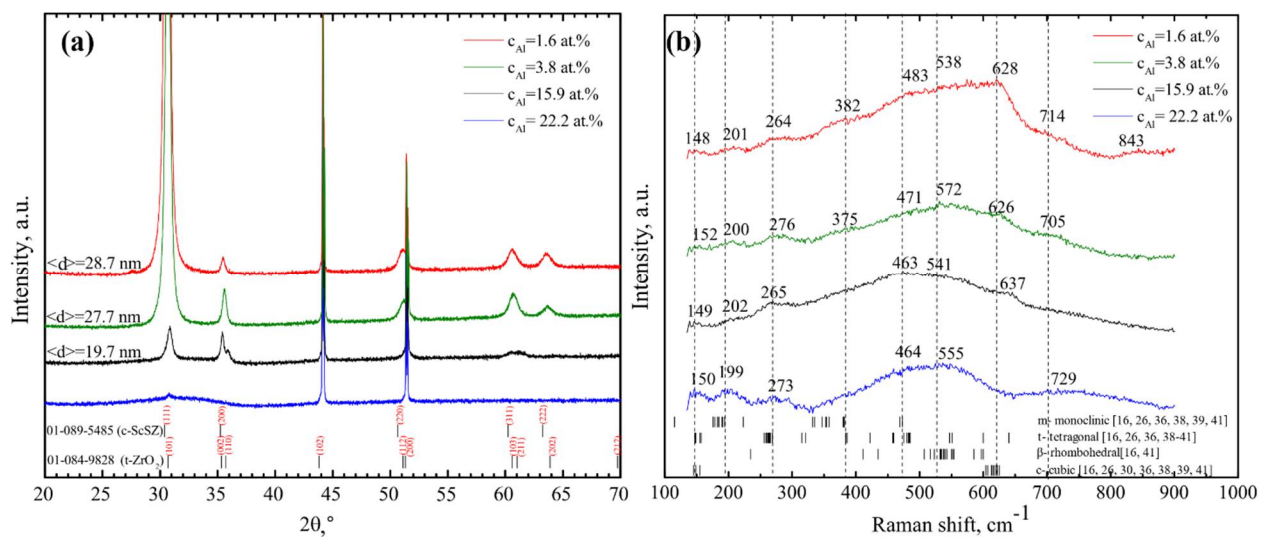


**Figure 2.** AFM images of ScAlSZ thin films: (a,c)  $c_{Al} = 1.6$  at.% and (b,c)  $c_{Al} = 15.9$  at.%.

XRD identified that ScAlSZ thin films were amorphous when Al concentration in thin films was 22.2 at.% and crystalline when Al concentration was lower (Figure 3a). However, the crystalline phases were different depending on the concentration of aluminum. The XRD pattern of ScAlSZ thin films containing 15.9 at.% of Al consisted of peaks belonging to the tetragonal phase of zirconia (JCPSD No. 01-084-9828). The XRD patterns of ScAlSZ thin films with low (1.6 and 3.8 at.%) Al concentration had peaks belonging to the cubic



phase of scandia stabilized zirconia (JCPDS No. 01-089-5485) and the tetragonal phase of zirconia (JCPDS No. 01-084-9828).



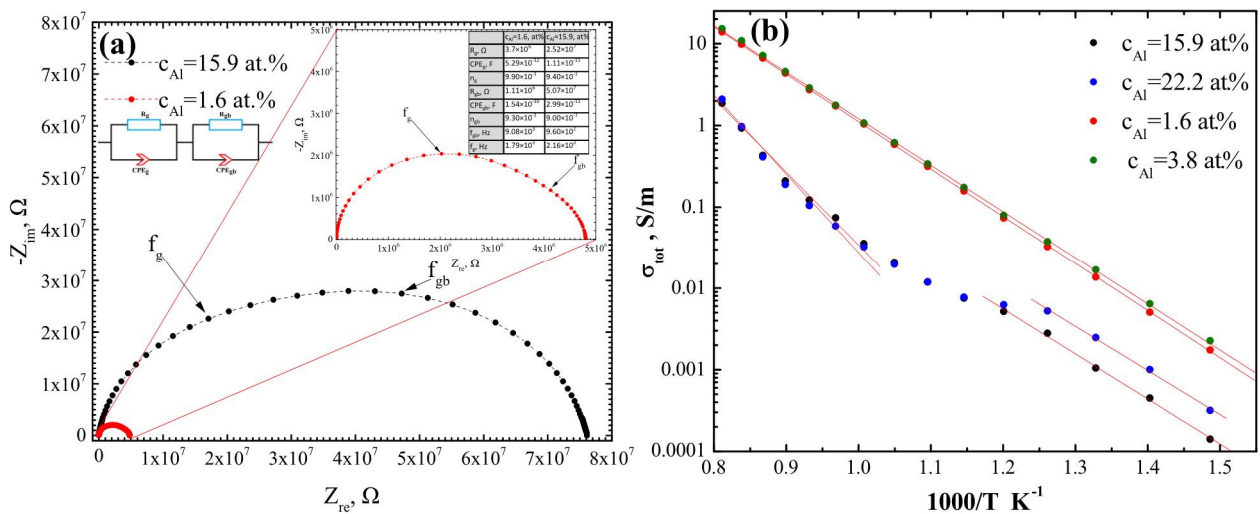
**Figure 3.** (a) XRD patterns and (b) Raman spectra of ScAlSZ thin films.

The Raman spectroscopy measurements of ScAlSZ supplemented the XRD analysis. Additionally, the monoclinic phase was found in all thin films. Even more, thin films had formed tetragonal and monoclinic phases at 22.2 at.% concentration of aluminum, although an amorphous phase was identified after XRD measurements. The Raman measurements show that ScAlSZ peaks belong to monoclinic (m-ZrO<sub>2</sub>), tetragonal (t-ZrO<sub>2</sub>), and cubic (c-ZrO<sub>2</sub>) phases (Figure 3b, Table 2). The detected peaks at 626 and 628 cm<sup>-1</sup> are assigned to the cubic phase. The peaks at 148, 149, 150, 152, 264, 265, 273, 278, 337, 464, 463, 471, and 483 cm<sup>-1</sup> are presence of tetragonal phase, and 199, 200, 201, 202, 375, and 382 cm<sup>-1</sup> belong to monoclinic phase [16,26,36–43].

**Table 2.** The ratio of monoclinic, tetragonal, and cubic phases of deposited ScAlSZ thin films.

ScAlSZ Phase	c <sub>Al</sub> = 1.6 at. %	c <sub>Al</sub> = 3.8 at. %	c <sub>Al</sub> = 15.9 at. %	c <sub>Al</sub> = 22.2 at. %
Monoclinic	22%	18%	18%	31%
Tetragonal	42%	40%	82%	69%
Cubic	36%	42%	0%	0%

The electrical properties of ScAlSZ thin films were investigated using EIS method, and the Nyquist plots (Figure 4a) were obtained from measurement data. The shape of the Nyquist plot was irregular, indicating the existence of two paths of oxygen diffusion, i.e., grain and grain boundary. The equivalent circuit modeling proved it. The best results were obtained using a model of R<sub>g</sub> | CPE<sub>g</sub> - R<sub>gb</sub> | CPE<sub>gb</sub>. Simulation results gave information that grain impedance was higher than grain boundary impedance for thin films with low concentrations (<3.8 at.%) of Al. On the other hand, grain impedance was lower than grain boundary impedance for thin films with high concentrations of (>3.8 at.%) Al. The R<sub>g</sub> and R<sub>gb</sub> were ~3.70 × 10<sup>6</sup> and 1.11 × 10<sup>6</sup> Ω when Al concentration was 1.6 at.%, and R<sub>g</sub> and R<sub>gb</sub> were ~2.52 × 10<sup>7</sup> and 5.07 × 10<sup>7</sup> Ω when Al concentration was 15.9 at.%. Moreover, the critical frequency of grain boundaries (f<sub>g</sub>) decreased from 9.08 to 0.96 kHz, and the critical frequency of grains (f<sub>gb</sub>) decreased from 1.79 to 0.22 kHz for ScAlSZ thin films with 1.6 and 15.9 at.% Al concentration, respectively.



**Figure 4.** (a) Nyquist plots of ScAlSZ thin films with 15.9 and 1.6 at.% of Al at 560 °C and (b) Arrhenius’ plots of ScAlSZ thin films with different Al concentrations.

Moreover, Arrhenius plots show linear dependences when Al concentrations in ScAlSZ thin films are 1.6 and 3.8 at.%. The nonlinear dependences appear when Al concentrations in ScAlSZ thin films are 15.9 and 22.2 at.%. The activation energy is higher at higher temperatures for ScAlSZ thin films with 15.9 and 22.2 at.% of Al. Activation energies are ~1.10 eV in range 1 (400–560 °C and ~1.85 eV in range 2 (720–1000 °C) (Table 3).

**Table 3.** Bulk ionic conductivity ( $\sigma_{bulk}$ ) at 520 and 800 °C and their activation energy ( $E_a$ ) of 6ScAlSZ thin ceramic films formed at the different aluminum concentration ( $c_{Al}$ ).

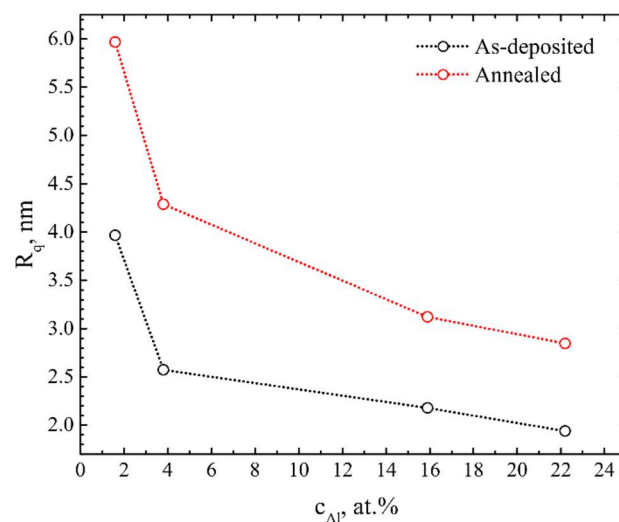
$c_{Al}$ , at. %	Temperature Range			
	Range 1		Range 2	
	$\sigma$ , S/m	$E_a$ , eV	$\sigma$ , S/m	$E_a$ , eV
1.6	0.033	1.16	2.74	1.16
3.8	0.038	1.14	2.89	1.14
15.9	0.0028	1.10	0.123	1.79
22.2	0.0025	1.08	0.105	1.93

The highest total conductivity values were found for thin films containing 3.8 at.% of Al. It varied from 1.1 to 19.2 S/m in the temperature interval 700–1000 °C (Figure 4b and Table 3). In comparison, the total ionic conductivity was lower more than 10 times when thin films contained 15.9 and 22.2 at.% of aluminum (Figure 4b). A summary of Zr-based ceramic ionic conductivity found in the literature was also made (Table 4). Conductivities of  $ZrO_2$ ,  $Sc_xZr_{1-x}O_2$ ,  $Y_xZr_{1-x}O_2$ ,  $Al_xSc_yZr_{1-x-y}O_2$ ,  $Al_xSc_yZr_{1-x-y}O_2$ ,  $Ga_xSc_yZr_{1-x-y}O_2$ ,  $Yb_xSc_yZr_{1-x-y}O_2$ ,  $Ce_xSc_yZr_{1-x-y}O_2$ , and  $Mg_xSc_yZr_{1-x-y}O_2$  ceramics at various temperatures were compared with the results obtained in our study. The values found in the literature varied from 1 to 31 S/m in the temperature interval of 700–1000 °C (Table 4). Total conductivity obtained in our study was lower  $\sigma$  than found in the literature. However, it was in the same order of magnitude.

**Table 4.** Total conductivities of ZrO<sub>2</sub> based compounds ( $\sigma_{\text{tot}}$ ), highest total conductivities in our study ( $\sigma_{3.8 \text{ at.}\%}$ ).

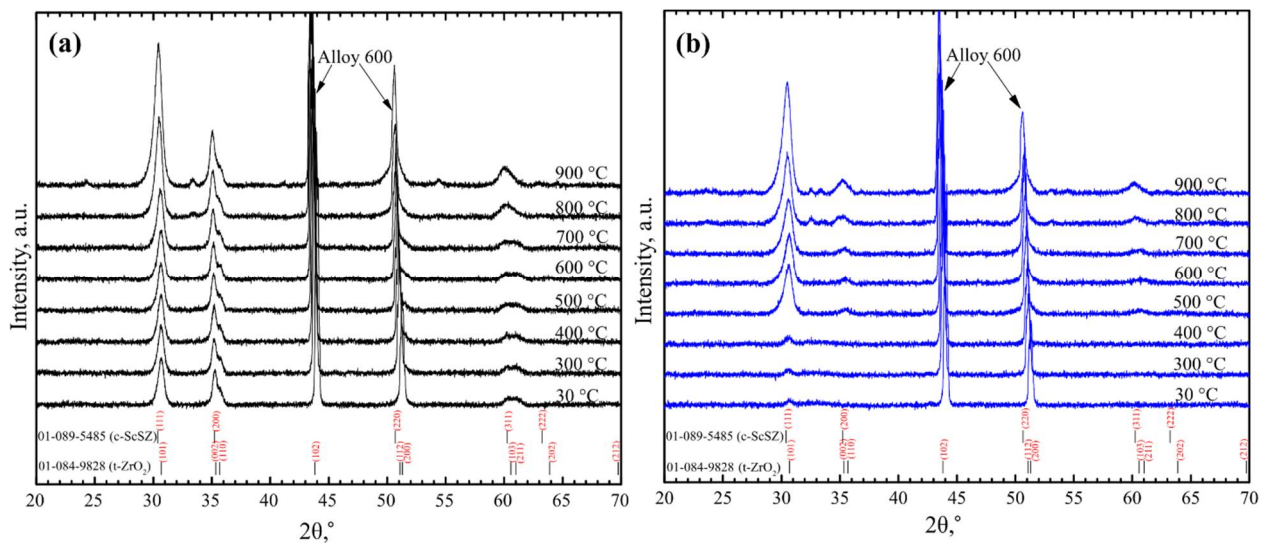
Compound	Phase	$\sigma_{\text{tot}}$ , S/m	$\sigma_{3.8 \text{ at.}\%}$ , S/m	$T_m$ , °C	Formation Method	Ref.
ZrO <sub>2</sub>	tetragonal	3	1.8	750	caustic fusion method	[44]
<b>Doped ZrO<sub>2</sub></b>						
Sc <sub>0.7</sub> Zr <sub>0.93</sub> O <sub>2</sub>	tetragonal + cubic	25	19.2	1000	solid-state reaction	[45]
Sc <sub>0.08</sub> Zr <sub>0.92</sub> O <sub>2</sub>	cubic	25.8	15.2	950	sol-gel	[46]
Sc <sub>0.09</sub> Zr <sub>0.91</sub> O <sub>2</sub>	cubic + tetragonal + rhombohedral	31	19.2	1000	solid-state reaction	[45]
Sc <sub>0.10</sub> Zr <sub>0.90</sub> O <sub>2</sub>	cubic	6	1.8	750	solid-state reaction	[15]
Sc <sub>0.11</sub> Zr <sub>0.89</sub> O <sub>2</sub>	rhombohedral	9.3	2.9	800	co-precipitation combined with supercritical ethanol drying route	[47]
Y <sub>0.7</sub> Zr <sub>0.93</sub> O <sub>2</sub>	tetragonal + cubic	5.5	10.9	900	skull melting	[48]
Y <sub>0.08</sub> Zr <sub>0.92</sub> O <sub>2</sub>	monoclinic +cubic	1	1.1	700	sol-gel	[49]
Y <sub>0.09</sub> Zr <sub>0.91</sub> O <sub>2</sub>	monoclinic +cubic	1.5	2.9	800		[8]
Y <sub>0.10</sub> Zr <sub>0.90</sub> O <sub>2</sub>	cubic	6.2	10.9	900	skull melting	[48]
Y <sub>0.11</sub> Zr <sub>0.89</sub> O <sub>2</sub>	cubic	6.5	16.2	950	crystalline sample oxide-mixing method	[50]
<b>Co-doped ZrO<sub>2</sub></b>						
Al <sub>0.01</sub> Sc <sub>0.10</sub> Zr <sub>0.89</sub> O <sub>2</sub>	cubic	9.2	2.9	800	conventional tape-casting method	[9]
Al <sub>0.001</sub> Sc <sub>0.12</sub> Zr <sub>0.88</sub> O <sub>2</sub>	rhombohedral	10	4.6	850	solid-state reaction	[13]
Al <sub>0.005</sub> Sc <sub>0.12</sub> Zr <sub>0.88</sub> O <sub>2</sub>	cubic	12	4.6	850	solid-state reaction	[13]
Ga <sub>0.01</sub> Sc <sub>0.10</sub> Zr <sub>0.89</sub> O <sub>2</sub>	cubic	13	10.9	900	Sol-gel	[51]
Ga <sub>0.07</sub> Sc <sub>0.04</sub> Zr <sub>0.89</sub> O <sub>2</sub>	monoclinic + tetragonal	1.6	10.9	900	Sol-gel	[51]
Yb <sub>0.01</sub> Sc <sub>0.10</sub> Zr <sub>0.89</sub> O <sub>2</sub>	cubic	31	19.2	1000	solid-state reaction	[45]
Yb <sub>0.02</sub> Sc <sub>0.10</sub> Zr <sub>0.88</sub> O <sub>2</sub>	cubic	24	19.2	1000	solid-state reaction	[45]
Ce <sub>0.01</sub> Sc <sub>0.10</sub> Zr <sub>0.89</sub> O <sub>2</sub>	cubic	7.9	10.9	900	self combustion synthesis	[36]
Mg <sub>0.03</sub> Sc <sub>0.08</sub> Zr <sub>0.89</sub> O <sub>2</sub>	cubic	10	10.9	900	Sol-gel	[52]

The microstructure of ScAlSZ thin films was also investigated after annealing. According to AFM measurements, the surface roughness of ScAlSZ thin films increased ~1.5 times for all thin films (Figure 5). Surface roughness decreased from 6.0 to 2.8 nm with increasing Al concentration from 1.6 to 22.2 at.%. No other changes in microstructure were observed. Thin films remained uniform, without cracks and visible pores.

**Figure 5.** Surface roughness of ScAlSZ thin films: ○ as-deposited and ○ after annealing.

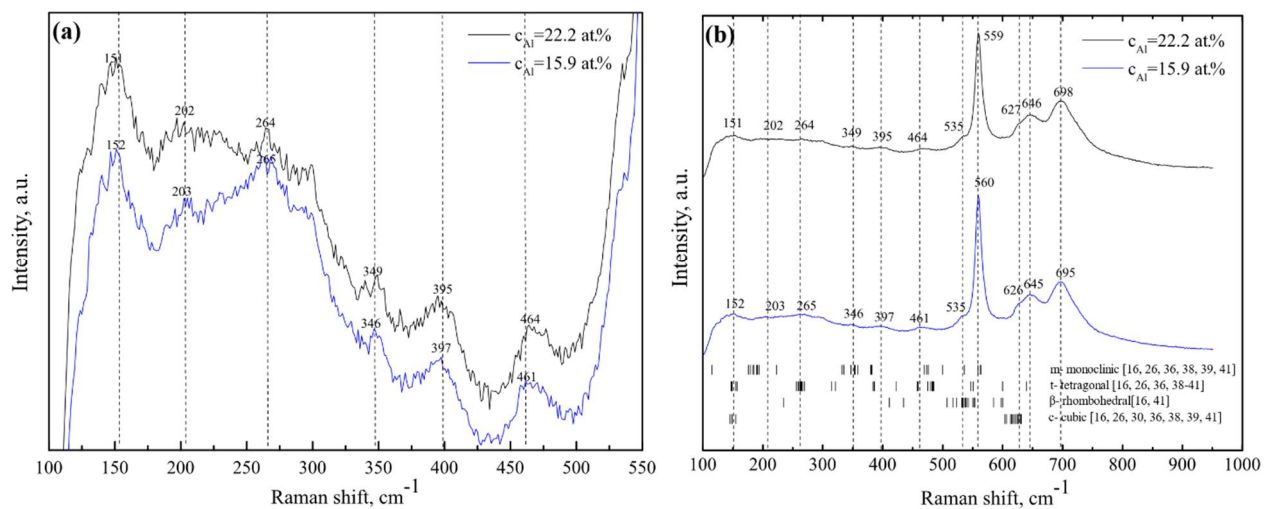


Thin films were annealed, and in situ XRD measurements were taken at different temperatures from 30 to 900 °C to investigate changes in crystalline structure (Figure 6). The phase transitions and increase of crystallinity occurred above ~500 °C, i.e., the peaks in the XRD patterns appeared and/or the intensity of the peaks increased. However, it was not possible to determine the exact phase transition nature due to overlapping peaks of cubic, tetragonal, monoclinic, and rhombohedral phases from XRD measurements. It is only possible to presume that the amount of tetragonal phase increases when Al concentration is 15.9 at.%, and the amorphous phase transforms to cubic, tetragonal, and rhombohedral phase mixture when Al concentration is 22.2 at.%.



**Figure 6.** XRD patterns of ScAlSZ thin films during annealing process containing different Al concentration: (a) 15.9 at.% and (b) 22.2 at.%.

Phase transitions were also investigated by employing Raman spectroscopy after the annealing process. The shape of Raman spectra (Figure 7) of annealed ScAlSZ thin films containing 15.9 and 22.2 at.% of Al were different compared to as-deposited. Raman peaks about  $150\text{ cm}^{-1}$  ( $A_{1g}$ ),  $265\text{ cm}^{-1}$  ( $E_g$ ),  $460\text{ cm}^{-1}$  ( $A_{1g}$ ), and  $645\text{ cm}^{-1}$  ( $A_{1g}$ ) belong to the tetragonal ( $t\text{-ZrO}_2$ ) phase [17,37,41,42,53]. Peaks about  $202\text{ cm}^{-1}$  and  $346\text{ cm}^{-1}$  belong to the monoclinic ( $m\text{-ZrO}_2$ ) phase [26,36,37]. A weak peak around  $535\text{ cm}^{-1}$  ( $B_g$ ) and a strong, sharp peak centered around  $560\text{ cm}^{-1}$  ( $A_g$ ) belong to the rhombohedral ( $\beta\text{-ZrO}_2$ ) phase [16,36,42]. One Raman active vibration  $F_{2g}$  of the cubic ( $c\text{-ZrO}_2$ ) phase should be expressed as a broad line peak between  $600$  and  $630\text{ cm}^{-1}$  [16,17,43]. The peaks indicating the cubic (c) phase of  $ZrO_2$  are not prominently expressed, and the Raman peaks at  $621\text{ cm}^{-1}$  ( $F_{2g}$ ) and  $645\text{ cm}^{-1}$  ( $A_{1g}$ ) are merged into one broad peak, possibly due to impurities at the grain boundaries [53]. Finally, peaks  $695\text{ cm}^{-1}$  and  $698\text{ cm}^{-1}$  could be explained as a Raman active lattice phonon and might belong to mixed phases.



**Figure 7.** Raman spectra measurements of 6ScAlSZ thin ceramic films deposited on Alloy600 in annealing process (30–900 °C) containing 15.9 and 22.2 at.% of Al: (a) range of 100 to 550  $\text{cm}^{-1}$  and (b) range of 100 to 900  $\text{cm}^{-1}$ .

The phase composition obtained from Raman spectra is given in Table 5. According to the calculations, tetragonal and rhombohedral phases dominate (~61%), whereas the amounts of cubic (~24%) and monoclinic (~16%) phases are lower than before the annealing procedure. The decrease of monoclinic phase by –4% and –13% was observed for annealed ScAlSZ thin films with 15.8 and 22.2 at.% of Al, respectively. On the other hand, the amount of cubic phase increased by +24%, and the rhombohedral phase formed. However, it was not possible to determine the amount of rhombohedral phase due to overlapped peaks of tetragonal and rhombohedral phases in Raman spectra. Based on the measurements, it is possible to conclude that cubic and rhombohedral phases formed in addition to monoclinic and tetragonal phases after annealing ScAlSZ thin films containing 15.9 and 22.2 at.% of aluminum.

**Table 5.** Ratio of monoclinic, tetragonal and rhombohedral, and cubic phases of annealed ScAlSZ thin films.

ScAlSZ Phase	$c_{\text{Al}} = 15.9 \text{ at.}\%$	$c_{\text{Al}} = 22.2 \text{ at.}\%$
Monoclinic	16%	15%
Tetragonal + Rhombohedral	61%	61%
Cubic	23%	24%

#### 4. Discussion

The elemental composition analysis revealed that the concentration of aluminum was lower using higher deposition rates. A decrease in Al concentration appeared due to the higher evaporation rate of  $\text{Al}_2\text{O}_3$  (the lowest melting temperature) in comparison to  $\text{Sc}_2\text{O}_3$  and  $\text{ZrO}_2$ . The thin-film (~2  $\mu\text{m}$ ) formation process took longer at low deposition rates than higher deposition rates. Therefore, a smaller amount of Al evaporated using a higher deposition rate for the same thickness of the thin film. The influence of deposition rate on the chemical composition was similar to the published results [17]. Moreover, the metallic Al or its compound phases in ScAlSZ thin films could form due to high Al concentrations exceeding the solubility limit (~7 at.%) [54]. XPS analysis of Zr3d, Al2p, and O1s regions supplemented EDS analysis. It was found from Al2p spectra that  $\text{Al}^{3+}$  concentration was slightly lower than Al in thin films. Moreover, the presence of  $\text{Zr}^{3+}$  suboxide (Zr3d peak positions) indicated oxygen vacancies in the  $\text{ZrO}_x$  lattice. The larger area of  $\text{Al}_2\text{O}_3$  and  $\text{V}^{**}$  peaks (O1s spectra) indicated an increased concentration of oxygen vacancies in ScAlSZ thin films with higher Al concentration.

Thin ScAlSZ films with different Al concentration had different crystalline structure and surface morphology. According to the structure zone models, thin ScAlSZ films grow at the upper edge of zone I [55]. Neither the bulk diffusion nor the self-surface diffusion in this temperature zone should have a remarkable effect. Therefore, the columns should consist of small diameter (1–10 nm) fibers. On the other hand, increased concentration of impurities should suppress the grain growth, and columns should be composed of three-dimensional equiaxial (globular) grains. The same behavior was observed in this research as well. The amorphous phases of Al or Al<sub>2</sub>O<sub>3</sub> in the grain boundaries of ScAlSZ crystallites acted as impurities, suppressed the formation of grains, and influenced microstructure evolution. It was noticed that surface roughness decreased, and column structure changed from fiber-like to grainy for thin films with higher Al concentration. Moreover, the crystallinity and phase composition were different for ScAlSZ thin films with higher Al concentration: smaller crystallite size and no cubic phase.

It was found that grain impedance was higher than grain boundary impedance for thin films with low concentrations (<3.8 at.%) of Al. On the other hand, grain impedance was lower than grain boundary impedance for thin films with high concentrations (>3.8 at.%) Al.  $Z_{re}$  also increased at higher Al concentrations, resulting in a reduced total ionic conductivity (~10 times). The dominance of  $R_{gb}$  and  $Z_{re}$  at high Al concentration could be explained by the presence of a large number of grain boundaries (small crystallite size) and an amorphous phase of Al and Al<sub>2</sub>O<sub>3</sub> in the grain boundaries, which acted as impurities suppressing oxygen ions diffusion. Moreover, dopant vacancy complexes (vacancy dopant cation dipoles or tripoles) with high association energy formed in ScAlSZ thin films due to high Al<sup>3+</sup> concentration [15,56,57]. The increase of activation energy above 700 °C was also observed for thin films with high Al concentrations. The post-annealing procedure was conducted to repeat conditions of EIS measurements and perform in situ XRD measurements. The AFM measurements were also done for thin films after EIS measurements. Changes in crystalline structure were expected that could help understand the changes in activation energy when high Al concentrations of Al in thin films exist. It was found that the roughness increased about 1.5 times, and no other changes were observed in the microstructure. On the other hand, XRD analysis revealed that the crystallinity of thin films increased during the annealing procedure. However, it was not possible to identify crystalline phases accurately from XRD patterns. Therefore, Raman measurements were done later to find differences in the structure of ScAlSZ thin films with high and low Al concentrations. It was found that cubic and rhombohedral phases formed in addition to monoclinic and tetragonal phases after annealing ScAlSZ thin films with high Al concentration. Soo et al. and Fabris et al. found that a large number of oxygen vacancies promote cubic phase formation [58,59]. Thus, the existence of the cubic phase indicated a high concentration of oxygen vacancies (correlated with XPS analysis) and the presence of oxygen vacancy complexes. The change in activation energy could appear due to various reasons: trapping of oxygen vacancy, defect concentration, vacancy clusters, space charge layers, an association of the defects with the dopant cations, and coexistence of several phases [60]. The most probable reason for changes in activation energy in our case could be the dissociation of oxygen vacancy complexes. Vacancy-dopant cation dimers or trimers were formed at high concentrations of oxygen vacancies [61]. At higher temperatures (~700 °C in our experiments), those dimers and trimers dissociated entirely, and vacancies became free [3]. In this situation, the activation energy contained only the migration energy part, while in low temperatures, activation energy consisted of association and migration energy parts. Therefore, the slope of activation energy became steeper at high temperatures. At low Al concentration, vacancy concentration was also lower. Therefore, dimers and trimers did not form, and the Arrhenius plots had only one slope. In general, the total ionic conductivity achieved was similar to other authors [8,9,13,15,36,44–52]. The highest total conductivity values were found for thin films containing 3.8 at.% of Al. It varied from 1.1 to 19.2 S/m, and the values found in the literature vary from 1 to 31 S/m in the

temperature interval 700–1000 °C. Thus, the total conductivity measured in our study was slightly lower than those reported in the literature.

## 5. Conclusions

This work analyzed the influence of Al concentration on chemical, structural, and electrochemical properties of ScAlSZ thin films (~2 µm). Analysis of XPS spectra (Al2p, Zr3d, and O1s) indicated the increase of oxygen vacancy concentration with a higher Al concentration. It was also determined that the microstructure and crystallinity of the ScAlSZ thin films depended on the Al concentration. The crystallinity of ScAlSZ thin films decreased with increasing Al concentration. Moreover, at low Al concentrations (1.6 and 3.8 at.%), the structure of thin films were composed of cubic, monoclinic, and tetragonal phases, while at high Al concentrations (15.9 and 22.2 at.%), the thin films formed had monoclinic and tetragonal phases. However, the cubic phase formed after annealing thin films with high Al, indicating a high oxygen vacancy concentration. AFM measurements revealed that thin films were uniform, without cracks or visible pores, and with lower roughness at higher Al concentrations.

Electrochemical analysis revealed that the presence of a large number of grain boundaries (low crystallinity), an amorphous phase of Al and Al<sub>2</sub>O<sub>3</sub> in the grain boundaries, and dopant vacancy complexes (vacancy dopant cation dipoles or tripoles) with high association energy suppressed diffusion of oxygen ions when Al concentration was high. Therefore, the grain boundary impedance was higher than grain impedance, and total ionic conductivity was lower by more than 10 times for thin films with higher concentrations of Al (>3.8 at.%). Moreover, Arrhenius plots showed nonlinear dependences when Al concentration in ScAlSZ thin films was 15.9 and 22.2 at.%. Activation energies were ~1.10 eV in the 400–560 °C range and ~1.85 eV in the 720–1000 °C range. The dissociation of oxygen vacancy complexes (vacancy-dopant cation dimers or trimers) could explain a jump in conductivity and increase of activation energy. The highest total ionic conductivity ( $\sigma_{bulk} = 2.89 \text{ Sm}^{-1}$  at 800 °C) was for annealed ScAlSZ thin films containing 3.8 at.% of Al.

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