

*Article*



# **The Electronic Structure and Optical Properties of CdGeAs<sup>2</sup> Crystal: A DFT and HSE06 Study**

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Abstract: The electronic structural and optical properties of CdGeAs<sub>2</sub> crystals are calculated by using the Perdew–Burke–Ernzerhof (PBE) functional within generalized gradient approximation (GGA) and the Heyd–Scuseria–Ernzerhof (HSE06) functional. The results show that CdGeAs<sub>2</sub> is an optical crystal with a direct bandgap of 0.71 eV by using the HSE06 functional method, which is closer to the experimental value. The Mulliken population and differential charge density analysis indicate that the Ge–As and Cd–As bonds have covalent properties, and that the covalent bond of Cd–As is visibly stronger than that of the Ge–As bond. The optical properties show that the CdGeAs<sub>2</sub> crystal has strong absorption and reflection in the ultraviolet region and strong transmittance in the infrared region. The average static refractive index of  $CdGeAs_2$  is 2.96, and the static birefractive index is 0.08. The results show that  $\mathrm{CdGeAs}_2$  is an excellent optical material of potential applications in the middle and far infrared.

**Keywords:** CdGeAs<sub>2</sub>; density functional theory; electronic structure; optical properties

### **1. Introduction**

The CdGeAs<sub>2</sub> crystal is a typical II-IV-V<sub>2</sub> ternary chalcopyrite semiconductor compound, which has a wide transparent range  $(2.3~\times~18~\mu m)$  [\[1\]](#page-10-0), the highest nonlinear optical coefficient ( $d_{36}$  = 236 pm/V) [\[2\]](#page-10-1), a large birefringence ( $n_e - n_o \approx 0.09$ ) [\[3\]](#page-10-2), and high thermal conductivity (0.04 W/(cm·K)) [\[4\]](#page-10-3). Therefore, the CdGeAs<sub>2</sub> crystal can be widely used in the production of frequency-doubling and frequency-mixing infrared parametric oscillators [\[5\]](#page-10-4). It has broad application prospects in the military and civil fields such as optical devices [\[6\]](#page-10-5), laser technology [\[7](#page-10-6)[–9\]](#page-10-7), infrared medical instruments [\[10\]](#page-10-8), and so on. It is a middle and far infrared nonlinear optical crystal with great development prospects, which has attracted much attention at home and abroad.

Since the late 1960s, there have been many experimental studies on  $CdGeAs<sub>2</sub>$  crystals, including photoluminescence [\[11\]](#page-10-9), optical parametric oscillator [\[12\]](#page-10-10), p-type CdGeAs<sub>2</sub> lumi-nescence and optical absorption [\[13\]](#page-10-11), CdGeAs<sub>2</sub> defects and doping [\[14,](#page-10-12)[15\]](#page-10-13), etc. However, compared to the experimental research, there are few theoretical studies. Most researchers focus on the electronic structure of the CdGeAs<sub>2</sub> crystal, and the studies on the optical properties are relatively simple and incomplete. For example, Yu et al. [\[16\]](#page-10-14) calculated the band structure, density of states, charge density, the dielectric function, and other properties of the CdGeAs<sub>2</sub> crystal by using a pseudopotential plane wave method. The bandgap value calculated by the local density approximation (LDA) method was 0.16 eV, and the GW approximation (GWA, where G is the Green' s function and W is the screened coulomb interaction) method was 0.35 eV. In addition, only the dielectric function is calculated in the linear optical response. Ma et al. [\[17\]](#page-10-15) calculated the electronic structure, optical



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properties, and elastic properties of the CdGeAs<sub>2</sub> crystal using the GGA–PBE and LDA methods, in which the calculated bandgap values were 0.23 eV (GGA–PBE) and 0.41 eV (LDA), and only the dielectric function and refractive index were studied in the study of optical properties. Yu et al. [\[18\]](#page-10-16) studied the structure, electronic, and optical properties of the CdGeAs<sub>2</sub> crystal by the HSE06 method, and the calculated bandgap value was 0.548 eV, which indeed effectively reduced the theoretical error of the bandgap. However, the optical properties of the CdGeAs<sub>2</sub> crystal were rarely studied and analyzed. According to a significant portion of the literature, it is found that the bandgap of the crystal can be seriously underestimated by the theoretical calculation based on the GGA–PBE and LDA methods. Which is quite different from the bandgap value obtained by the experimental research (0.67 eV [\[19\]](#page-10-17)). However, we can use some accurate hybrid functionals of exchange potentials to obtain accurate values of bandgap. The experimental studies show that optical properties are very important factors affecting the practical application of the CdGeAs<sub>2</sub> crystal, so it is very necessary to conduct a comprehensive theoretical study on the optical properties of the CdGeAs<sub>2</sub> crystal, which can provide an important theoretical reference for the improvement of crystal optical quality, experimental preparation, and application.

In this work, the electronic and optical properties of the CdGeAs<sub>2</sub> crystal are calculated by the GGA–PBE [\[20\]](#page-10-18) and HSE06 [\[21\]](#page-10-19) methods, respectively. According to the calculated results, we can obtain more accurate electronic structures and compare them with experimental values. On the basis of calculating the dielectric function of CdGeAs<sub>2</sub>, the reflectance spectrum, the absorption spectrum, the complex refractive index, the birefringence, and the energy loss function of CdGeAs<sub>2</sub> was calculated, and the relationship between electronic structure and optical properties were systematically studied. It has important guiding significance for the improvement of the experimental preparation and practical application of CdGeAs<sub>2</sub>.

#### **2. Theoretical Model and Calculation Method**

#### *2.1. Theoretical Model*

The CdGeAs<sub>2</sub> crystal belongs to the II-IV-V<sub>2</sub> chalcopyrite structure semiconductor, and its crystal structure can be regarded as the superposition of two binary cubic sphalerite crystal cells, which is equivalent to expanding the c-axis direction of sphalerite by two times. In fact, there is only one cation in the sphalerite structure, while there are two different types of cations in the ternary chalcopyrite structure, which causes the decrease in the symmetry of the chalcopyrite structure system. In general, the II-V atomic bond lengths (*dII-V*) and the IV-V atomic bond lengths (*dIV-V*) are not equal, resulting in two different structural deformations: The first deformation is a change in the occupation of anions, with the anion having an inner coordinate  $u = 0.25$  for sphalerite and  $u = 0.25 + (d^2_{II-V} - d^2_{IV-V})/a^2$ for chalcopyrite, where a is the lattice constant along the *x* or *y* directions [\[22\]](#page-10-20). The second deformation is that the lattice constant (*c*) along the *z* direction is not equal to 2*a*, which means that *c/a* is not equal to 2. For the II-IV-V<sub>2</sub> chalcopyrite structure,  $u = 0.214 \sim 0.304$ ,  $c/a = 1.769 \sim 2.016$  in most cases, and the structure parameters of the CdGeAs<sub>2</sub> crystal are also within this boundary range. In the crystal structure of CdGeAs<sub>2</sub>, the Cd, Ge, and As atoms form a tetrahedron, the anion  $As^{3-}$  is located in the center of the tetrahedron, and the cations Cd and Ge occupy the four top angles of the tetrahedron. In each layer, the cations Cd and Ge are arranged in a certain order  $[23]$ . The structural model of CdGeAs<sub>2</sub> is shown in Figure [1.](#page-2-0)

<span id="page-2-0"></span>

**Figure 1.** The structural model of CdGeAs<sub>2</sub> (orange sphere is Cd atom, blue sphere is Ge atom, pink sphere is As atom). sphere is As atom).

# 2*.*2*. Calculation Method 2.2. Calculation Method*

In this work, we calculated the structural optimization and performance of the crystal In this work, we calculated the structural optimization and performance of the crystal using the Vienna ab-initio Simulation Package (VASP) [\[24\]](#page-10-22). The GGA–PBE method is used to accurately calculate the total energy and exchange-related interactions. We use the HSE06 method to avoid the underestimation of bandgap values and the overestimation HSE06 method to avoid the underestimation of bandgap values and the overestimation of optical responses in standard density functional theory (DFT) [\[25\]](#page-10-23) calculations. The valence electrons of Cd, Ge, and As are  $4d^{10}5s^2$ ,  $4s^24p^2$ , and  $4s^24p^2$ , respectively. In the electron self-consistent iterative cycle, the criterion for convergence is  $1 \times 10^{-5}$  eV/Å for the total energy and  $1 \times 10^{-6}$  eV for the atomic relaxation. The truncation energy is set to 520 eV. The k-points grid is set to  $6 \times 6 \times 4$  according to the Monkhorst–Pack scheme, which can can ensure that the system always converges well. ensure that the system always converges well.

### **3. Results and Discussion**

# **3. Results and Discussion** *3.1. Geometric Optimization Results*

In order to obtain reasonable calculation results, a stable crystal structure is necessary. The lattice parameters of the stable structure are obtained according to the principle of minimizing the total cell energy, and the obtained crystal cell parameters are shown in Table 1. The lattice constants of the stable structure of the CdGeAs<sub>2</sub> crystal are as follows:  $a = b = 6.041$  Å,  $c/a = 1.887$ ,  $u = 0.278$ . The optimized lattice constant *a* is close to the experimental value of 5.94 [\[26\]](#page-10-24), the relative error of both a and c values is  $\sim$ 1.70%, and the relative error of the *u* value is 0.4%. Due to the fact that the optimized crystal is an ideal crystal, the zero-point motion and thermal effect are not considered, so there is a certain error with the experimental value.  $\overline{\phantom{a}}$ 



<span id="page-2-1"></span>**Table 1.** The structural optimization results of CdGeAs<sub>2</sub>.

#### *3.2. Electronic Structure*

The band structure of CdGeAs<sub>2</sub>, calculated by the GGA–PBE and HSE06 methods, are shown in Figure 2, and the Fermi level  $(E_f)$  is set to zero. These particular points on the *x*-axis represent points of high symmetry in the Brillouin zone (BZ). For the quadrilateral structure of CdGeAs<sub>2</sub>, Z = (0.3, 0.3, 0.3),  $\Gamma$  = (0, 0, 0), X = (0.3, 0, 0), P = (0.3, -0.3, 0.3), and  $N = (0.3, -0.3, 0)$ . The characteristic energy values of the top of the valence band  $(E_V)$  and the bottom of the conduction ba[nd](#page-3-1)  $(E_C)$  in the BZ of CdGeAs<sub>2</sub> are shown in Table 2. It can be seen from the values in the table that the top of the valence band (VBM) and the

bottom of the conduction band (CBM) are both at the  $\Gamma$  point. Therefore, CdGeAs<sub>2</sub> is a direct bandgap semiconductor material. The bandgap values (E<sub>g</sub>) of CdGeAs<sub>2</sub> calculated using [th](#page-3-2)e GGA–PBE and HSE06 methods are shown in Table  $3$  and compared with the experimental value. The  $\rm E_g$  calculated by the GGA–PBE method is 0.079 eV, and the  $\rm E_g$ calculated using the HSE06 method is 0.710 eV. Compared with the experimental values (0.67 eV [\[18\]](#page-10-16) and 0.53 eV [\[27\]](#page-10-25)), the average relative error calculated by the GGA–PBE method is 86.65%, which seriously underestimates the bandgap value, while the average relative error calculated by the HSE06 method is 19.97%, which is closer to the experimental value. the GGA method, the self-interaction of electrons does not cancel completely in the The relevant values are listed in Table [3.](#page-3-2) When the  $E_g$  is calculated by the GGA method, the self-interaction of electrons does not cancel completely in the exchange-correlation does not include the spin–orbit potential, and its eigenfunction is discontinuous, so the GGA method will underestimate  $E_g$ . When the calculation does not include the spin–orbit interactions, the three lower conduction band (CB) states at the Γ point are  $\Gamma_1$ ,  $\Gamma_3$ , and  $\Gamma_2$ . The energy splitting value between  $\Gamma_2$  and  $\Gamma_3$  is 0.49 eV, which is very close to the 0.46 eV calculated by Limpijumnong<br>consists of two symmetric levels for the latter being a double degenerate level. et al. [\[28\]](#page-10-26). At point Γ, the top of the valence band (VB) consists of two symmetric levels Γ<sub>4</sub> and Γ<sub>5</sub>, the latter being a double degenerate level. Due to the crystal field (*CF*), the  $\Gamma_4$  and  $\Gamma_5$ , the latter being a double degenerate level. Due to the crystal field (*CF*), the  $T_4$  and  $T_5$ , the latter being a double degenerate  $Γ_5$  in the chalcopyrite structure originate from non-degenerate  $Γ_4$  and double-degenerate  $Γ_5$  in the chalcopyrite structure originate from The Γ<sub>15</sub> level of the zinc–blende structure [\[29\]](#page-11-0). Therefore, the crystal field splitting can be the Γ<sub>15</sub> level of the zinc–blende structure [29]. Therefore, the crystal field splitting can be  $\frac{d}{dz}$  as  $\Delta CF = ε$  (Γ<sub>5</sub>) – *ε* (Γ<sub>4</sub>). The calculated  $\Delta CF$  value of CdGeAs<sub>2</sub> is 0.227 eV, which is very close to the experimental value  $(0.21 \text{ eV})$  [\[30\]](#page-11-1).

<span id="page-3-0"></span>

Figure 2. The band structures of CdGeAs<sub>2</sub>. (a) GGA–PBE, (b) HSE06.

Method	Parameter					N
GGA-PBE	$E_V$ (eV)	1.400	0.079	0.650	1.390	1.730
	$E_C$ (eV)	$-0.875$		$-0.509$	$-0.891$	$-0.580$
HSE06	$E_V$ (eV)	2.120	0.710	1.450	2.040	2.480
	$E_C$ (eV)	$-1.110$		$-0.686$	$-1.050$	$-0.730$

<span id="page-3-1"></span>**Table 2.** The characteristic energy values of  $E_V$  and  $E_C$  in the BZ of CdGeAs<sub>2</sub>.

<span id="page-3-2"></span>**Table 3.** The E<sub>g</sub> of CdGeAs<sub>2</sub> calculated by GGA–PBE and HSE06 methods.



We analyze the distribution of electrons per atomic orbital by calculating the total density of states (TDOSs) and partial density of states (PDOSs). Figure 3 shows [th](#page-4-0)e TDOSs and PDOSs of CdGeAs<sub>2</sub> calculated using the GGA–PBE method and the HSE06 method. As can be seen from the figure, the VB of CdGeAs<sub>2</sub> can be divided into three regions: In the lower VB (−13~−11 eV), it is mainly composed of As-*4s* states, with a small amount of Ge-4*p* and Ge-4*s* orbital hybridization. In the intermediate VB (−10~−6 eV), it is mainly

composed of Ge-*4s* and Cd-*4d* electronic states. The higher VB (−5~0 eV) is mainly derived composed of Ge-*4s* and Cd-*4d* electronic states. The higher VB (−5~0 eV) is mainly derived from the As-*4p* and Ge-*4p* states. The CB is mainly composed of the As-*4s*, As-*4p*, Ge-*4p*, and Ge-*4s* orbitals. The density of states (DOSs) near the VBM and the CBM are mainly and Ge-*4s* orbitals. The density of states (DOSs) near the VBM and the CBM are mainly derived from As atoms, as shown in Figure [3.](#page-4-0) In the experiment, the change of As content derived from As atoms, as shown in Figure 3. In the experiment, the change of As content will change the bandgap of the single crystal, and then affect the optical transmittance. will change the bandgap of the single crystal, and then affect the optical transmittance. Therefore, the content of As can be increased appropriately when preparing a  $CdGeAs<sub>2</sub>$ single crystal. single crystal. from the As-*4p* and Ge-*4p* states. The CB is mainly composed of the As-*4s*, As-*4p*, Ge-*4p*,

<span id="page-4-0"></span>

Figure 3. The total and partial density of states of CdGeAs<sub>2</sub>. (a) GGA–PBE, (b) HSE06.

### *3.3. Mulliken Charge Population Analysis 3.3. Mulliken Charge Population Analysis*

We computed the Mulliken population analysis, which allows us to understand the We computed the Mulliken population analysis, which allows us to understand the interaction and bonding between atoms. The Mulliken charge, bond lengths, and bond interaction and bonding between atoms. The Mulliken charge, bond lengths, and bond lattice numbers of CdGeAs<sub>2</sub> crystals calculated using the GGA–PBE and HSE06 methods are shown in Table 4. From the calculation results, it can be seen that the charge transfer are shown in Table [4.](#page-4-1) From the calculation results, it can be seen that the charge transfer of the CdGeAs<sub>2</sub> compounds is from the Cd and Ge atoms into the As atoms. Taking the calculation results of the HSE06 method as an example: the Cd and Ge atoms carry positive charges of 0.19 and 0.13, respectively. The Cd and Ge atoms are very capable of positive charges of 0.19 and 0.13, respectively. The Cd and Ge atoms are very capable of losing electrons, while the As atoms carry negative charges of 0.16 and are very capable of gaining electrons. The bond characteristics (ionic bonds or covalent) can be assessed of gaining electrons. The bond characteristics (ionic bonds or covalent) can be assessed and determined using overlapping populations. In general, a zero number of the chemical and determined using overlapping populations. In general, a zero number of the chemical bond population indicates an ideal ionic bond, while a positive value is proportional to bond population indicates an ideal ionic bond, while a positive value is proportional to the covalency of the bond. In Table [4,](#page-4-1) the populations of As atoms with Cd atoms and Ge atoms  $\frac{1}{2}$ are 0.67 and 0.26, respectively, which confirmed that the covalency of the Cd–As bond and  $d_{\text{total}}$ that the Ge–As bond of CdGeAs<sub>2</sub> compounds is weak, as well as that the covalency of the  $GL_4$ , that the covalency of the Cd–As bond is higher than that of the Ge–As bond.

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<span id="page-4-1"></span>

#### *3.4. The Differential Charge Density 3.4. The Differential Charge Density*

properties. Figure [4a](#page-5-0) shows the 3D differential charge density of CdGeAs<sub>2</sub>, where green represents electron loss and yellow represents electron gain. It can be seen that electrons Figure  $4$  shows the differential charge density of  $CdGeAs<sub>2</sub>$  to visualize the bond accumulate near the As atom, and that the Cd atom is surrounded by green, indicating that it has a strong ability to lose electrons. The Cd-As atoms form strong chemical bonds with each other, while the Ge–As atoms form weak bonds with each other. Figure [4b](#page-5-0) shows the 2D plan differential charge density of CdGeAs<sub>2</sub>. [Fi](#page-4-0)gure 3 shows that the intermediate region (-10~-6 eV) is mainly derived from the Cd-4d states and is thus strongly localized around the Cd atom. As can be seen from Figure [4b](#page-5-0), there is an obvious electron density overlap between the As atom, the Ge atom, and the Cd–atom, which indicates that the  $\frac{1}{2}$ bonds Ge–As and Cd–As have covalent properties. The density lines in the 2D differential charge map indicate that the Cd–As bond has stronger covalent properties than the Ge–As bond. This result is consistent with the Mulliken population analysis.

<span id="page-5-0"></span>

**Figure 4.** The differential charge density of CdGeAs<sub>2</sub>. (**a**) 3D, (**b**) 2D.

# *3.5. Optical Properties 3.5. Optical Properties*

Generally, the complex dielectric function can be used to express the macroscopic optical properties of solids [31]: optical properties of solids [\[31\]](#page-11-2):

$$
\varepsilon(\omega) = \varepsilon_1(\omega) + i\varepsilon_2(\omega) \tag{1}
$$

where  $\varepsilon_1(\omega)$  is the real part, and  $\varepsilon_2(\omega)$  is the imaginary part. The imaginary part  $\varepsilon_2(\omega)$  can be calculated according to the following formula: be calculated according to the following formula:

$$
\varepsilon_2(\omega) = \frac{4\pi^2}{m^2 \omega^2} \sum_{V,C} \left\{ \int_{BZ} d^3k \frac{1}{\pi} |e \cdot M_{CV}(k)|^2 \times \delta[E_C(k) - E_V(k) - \hbar \omega] \right\}
$$
(2)

where C and V represent CB and VB, corresponding to the intrinsic energy  $E_C(k)$  and  $E_V(k)$  of the CB and VB, respectively.  $M_{CV}$  stands for transition matrix element,  $\omega$  is the electromagnetic wave frequency, and *k* is the electron wave vector. Real  $\varepsilon_1(\omega)$  is derived from imaginary 2(*ω*) using the Kramers–Krönig relation: from imaginary *ε*2(*ω*) using the Kramers–Krönig relation:

$$
\varepsilon_1(\omega) = 1 + \frac{2}{\pi} P \int_{0}^{\infty} \frac{\omega' \varepsilon_2(\omega')}{\omega'^2 - \omega^2} d\omega'
$$
 (3)

where *P* is the principal value of the integral. The imaginary and real parts of the dielectric function can be used to calculate other optical properties, such as refractive index  $n(\omega)$ , reflectivity *R*(*ω*), conductivity function *σ*(*ω*), and energy loss spectrum *L*(*ω*). The formula is as follows:

$$
n(\omega) = \left[\frac{\varepsilon_1(\omega)}{2} + \frac{\sqrt{\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega)}}{2}\right]^{1/2}
$$
 (4)

$$
R(\omega) = \left| \frac{\sqrt{\varepsilon(\omega)} - 1}{\sqrt{\varepsilon(\omega)} + 1} \right|^2 \tag{5}
$$

$$
\sigma(\omega) = \frac{\omega}{4\pi} \varepsilon_2(\omega) + i \left( \frac{\omega}{4\pi} - \frac{\omega}{4\pi} \varepsilon_1(\omega) \right) \tag{6}
$$

$$
L(\omega) = \frac{\varepsilon_2(\omega)}{\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega)}\tag{7}
$$

In this paper, the GGA–PBE and HSE06 methods are used to calculate the dielectric function of CdGeAs<sub>2</sub> material. Due to the symmetry of the crystal, the dielectric function is decomposed into two components. E⊥c is the electric field along the *x* and *y* directions (the electric field perpendicular to the optical axis), and E//c corresponds to the *z* direction (the electric field parallel to the optical axis). Figure [5a](#page-6-0),c show the real part  $\varepsilon_1(\omega)$  of the dielectric function calculated by the GGA–PBE and HSE06 methods, respectively. When  $\varepsilon_1(\omega)$  photon energy tends to 0, the average static permittivity  $(\epsilon_1^{ave}(0))$  of CdGeAs<sub>2</sub> calculated using the GGA–PBE and HSE06 methods are 18.42 and 16.57, respectively. The experimental value of the dielectric function is 15.4 [\[32\]](#page-11-3), and the relative errors are 19.61% (GGA–PBE) and 7.59% (HSE06). Therefore, the results calculated by the HSE06 method are closer to the experimental value.

calculated using the GGA–PBE and HSE06 methods are 18.42 and 16.57, respectively. The

<span id="page-6-0"></span>

Figure 5. (a) The real part and (b) the imaginary part of the dielectric function of CdGeAs2 of GGA-PBE; (c) the real part and (d) the imaginary part of the dielectric function of CdGeAs<sub>2</sub> of HSE06.

Figure [5b](#page-6-0),d show the imaginary part  $\varepsilon_2(\omega)$  of the dielectric function calculated by the GGA–PBE and HSE06 methods, respectively.  $\varepsilon_2(\omega)$  determines the linear response of the light field. The basic absorption edges, calculated by the GGA–PBE and HSE06 methods, are 0.09 eV and 0.72 eV, respectively, which is connected with the electron transition from the VBM to the CBM. The dielectric spectrum can be divided into four regions: 0~0.6 eV and >17 eV are the transparent areas,  $0.6 \sim 10$  eV is the absorption area,  $10 \sim 17$  eV is the reflex area. In the region above the absorption edge, there are two main dielectric peaks, namely  $P_1$  and  $P_2$  in  $\varepsilon_2(\omega)$ . The photon energies corresponding to the dielectric peaks calculated by GGA–PBE are 1.94 eV and 4.81 eV, respectively, while those calculated by HSE06 are 2.65 eV and 5.61 eV, respectively. As can be seen from Figure [3,](#page-4-0) peak  $P_1$  is mainly derived from the electronic transition of the As-*4p* states between the CB and VB, while peak P<sup>2</sup> is generated by the electronic transition of the As-*4p* and Ge-*4p* orbitals between the CB and VB.

In this paper, the GGA–PBE and HSE06 methods are used to calculate the reflection and absorption spectra of CdGeAs<sub>2</sub> crystals, as shown in Figure [6.](#page-7-0) The CdGeAs<sub>2</sub> reflectance spectrum obtained according to Equation (5) is shown in Figure [6a](#page-7-0),b. The reflectance shows obvious anisotropy along different directions. Due to the band transition of CdGeAs<sub>2</sub>, the <span id="page-7-0"></span>reflectance is proportional to the energy change. The reflectance reaches its maximum value around 10.0 eV. With increasing energy, the reflectance rapidly decreases to 0. In conclusion, around role of what increasing energy, the reflectance rapidly the CdGeAs<sub>2</sub> crystal has low reflectance in the infrared band. reflectance rapidly decreases to  $\alpha$  crystal has low reflecting to  $\alpha$  crystal has low reflecting to  $\alpha$ . In conclusion, the Case of  $\alpha$  is the conclusion, the conclusion, the conclusion, the conclusion of  $\alpha$  is the



Figure 6. (a,b) The reflectance spectrum and (c) the absorption spectrum of CdGeAs2.

The absorption spectrum of CdGeAs<sub>2</sub> obtained according to Equation (6) is shown in Figure [6c](#page-7-0). The absorption calculated by the PBE and HSE06 methods starts at about 0.09 Figure 6c. The absorption calculated by the PBE and HSE06 methods starts at about 0.09 eV and 0.72 eV, respectively, which corresponds to the bandgap value of the material. The strongest absorption peak calculated by the GGA–PBE method appears at 6.82 eV, and the strongest absorption peak calculated by the HSE06 method appears at 7.75 eV. strongest absorption peak calculated by the HSE06 method appears at 7.75 eV.

The refractive index and the extinction coefficient of CdGeAs<sub>2</sub>, calculated by the GGA– PBE and HSE06 methods, are shown in [F](#page-8-0)igure 7. The propagation speed of light wave in  $CdGeAs<sub>2</sub>$  determines the refractive index  $(n)$ , and the attenuation of light wave determines the extinction coefficient  $(k)$ . The average static refractive index calculated by the GGA–PBE method and the HSE06 method are 4.67 and 2.96, respectively. The extinction coefficients calculated by the GGA–PBE method have peaks at 2.07 eV and 4.95 eV. The peak values calculated by the HSE06 method were 2.79 eV and 5.93 eV, which is consistent with  $\varepsilon_2(\omega)$ .

<span id="page-8-0"></span>

Figure 7. The complex refractive index of CdGeAs<sub>2</sub>.

The birefringence (Δ*n*) of the CdGeAs<sub>2</sub> crystal calculated using the GGA–PBE and The birefringence  $(\Delta n)$  of the CdGeAs<sub>2</sub> crystal calculated using the GGA–PBE and HSE06 methods is shown [in](#page-8-1) Figure 8. The birefringence is expressed as the difference HSE06 methods is shown in Figure 8. The birefringence is expressed as the difference<br>between two or three main refractive indices ( $\Delta n = n_i - n_j$ ) in heterogeneous bodies. The birefringence is an important physical quantity in measuring nonlinear crystal properties. The most suitable birefringence range of mid-infrared nonlinear optical crystal is  $0.04 < \Delta n < 0.1$  [\[33\]](#page-11-4). According to Figure [8a](#page-8-1), the static birefringence of CdGeAs<sub>2</sub> calculated using the GGA-PBE method is  $0.11$ , which reaches the maximum value of  $0.54$  at  $4.09$  eV. As can be seen from Figure [8b](#page-8-1), the static birefringence of  $CdGeAs<sub>2</sub>$  calculated using the HSE06 method is 0.08, which reaches the maximum value of 0.33 at 7.59 eV. At the wavelength method is 0.08, which reaches the maximum value of 0.33 at 7.59 eV. At the wavelength of The models b.06, which reaches the maximum value of 0.55 at 7.59 ev. At the wavelength of<br>10 μm, the experimental value of birefringence is 0.0864 [34]. The relative errors are 27.31%<br>(GGA–PBE) and 7.41% (HSE06), so the r 27.31% (GGA–PBE) and 7.41% (HSE06), so the result calculated by the HSE06 method is (GGA–PBE) and 7.41% (HSE06), so the result calculated by the HSE06 method is closer to the experimental value. By comparing the results calculated using the GGA-PBE and HSE06 methods, it is found that the bandgap of the CdGeAs<sub>2</sub> crystal is underestimated by the GGA–PBE method, which leads to the increase in the average static refractive index and birefringence in the mid-far infrared region.

<span id="page-8-1"></span>

**Figure 8.** The birefringence of CdGeAs2. (**a**) GGA–PBE, (**b**) HSE06. **Figure 8.** The birefringence of CdGeAs<sup>2</sup> . (**a**) GGA–PBE, (**b**) HSE06.

describes the energy loss of the electrons when they pass through the medium. The energy loss spectrum of CdGeAs<sub>2</sub> is shown in Figur[e](#page-9-0) 9. The maximum energy loss peak at 16.36 eV According to Equation (7), the energy loss function of CdGeAs<sub>2</sub> is calculated, which is calculated by the GGA-PBE method, and its value is 8.09. The result obtained by the HSE06 shows that the maximum energy loss occurs at 14.06 eV, and its value is 4.08. In HSE06 shows that the maximum energy loss occurs at 14.06 eV, and its value is 4.08. In addition, it can be concluded from the band structure and the DOS analysis that the highest peak comes from the transition of the As-4s, Ge-4s, and Ge-4p orbitals from the VB to the CB.

<span id="page-9-0"></span>

**Figure 9.** The energy loss function of CdGeAs<sub>2</sub>.

# **4. Conclusions 4. Conclusions**

In this work, the electronic structure and optical properties of the CdGeAs<sup>2</sup> crystal calculated using GGA–PBE and HSE06 methods. The results show that the relative error are calculated using GGA–PBE and HSE06 methods. The results show that the relative of the bandgap value calculated by GGA–PBE method is large, while the result calculated by the HSE06 method is closer to the experimental value. The calculation results of the calculated by the HSE06 method is closer to the experimental value. The calculation DOSs show that the VBM is mainly composed of As-*4p* and Ge-*4p* states, and that the results of the DOSs show that the VBM is mainly composed of As-*4p* and Ge-*4p* states, and CBM is mainly composed of the orbitals of As-*4s*, As-*4p*, Ge-*4s*, and Ge-*4p*. The Mulliken that the CBM is mainly composed of the orbitals of As-*4s*, As-*4p*, Ge-*4s*, and Ge-*4p*. The population analysis and differential charge density results show that the bonds Ge–As and Mulliken population analysis and differential charge density results show that the bonds Cd–As are covalent. Moreover, the covalency of the Cd–As bond is higher than that of the Ge–As bond. In addition, we systematically calculate the optical properties of CdGeAs<sub>2</sub>. The relative errors of average static permittivity calculated using GGA–PBE and HSE06 are 19.61% and 7.59%, respectively, and the relative errors of static birefringence are 27.31% and 7.41%, respectively. The results of the optical properties show that the CdGeAs<sub>2</sub> crystal has strong absorption and reflection in the ultraviolet region, along with strong transmission and excellent birefringence in the infrared region. In summary, the HSE06 method is more suitable for calculating the electronic structure and optical properties of the CdGeAs2 crystal. Meanwhile, the theoretical calculation results prove that the CdGeAs2 crystal is an excellent medium and far infrared optical crystal material, and the calculation results provide an important theoretical reference for the improvement of crystal optical quality, experimental preparation, and its application. In this work, the electronic structure and optical properties of the  $CdGeAs<sub>2</sub>$  crystal are

properties of the material and wrote the first draft. B.Z. and Q.W. analyzed the data and revised the paper. All authors have read and agreed to the published version of the manuscript. **Author Contributions:** F.Z. and W.Z. supervised the research. J.N. and S.X. calculated a series of

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