

Article

Influence of Oxygen Contents on the Microstructure, High Temperature Oxidation and Corrosion Resistance Properties of Cr–Si–O–N Coatings

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Received: 8 November 2017; Accepted: 25 December 2017; Published: 3 January 2018

Abstract: Cr–Si–O–N coatings with different oxygen contents were deposited by multi-arc ion plating, where various $O_2/(N_2 + O_2)$ reactive gas rates were adopted. The XRD and XPS results showed that the CrN crystals disappeared with the increasing of the oxygen flux ratio to 10 at.%. The microhardness of all the Cr–Si–O–N coatings was approximately 2000 Hv_{0.05}, which were dramatically plummeted compared to that of the Cr–Si–N coatings (≈ 3300 Hv_{0.05}). The Cr–Si–O–N coatings were annealed under 800 °C and 1200 °C in the air atmosphere for 2 h to study the high-temperature oxidation resistance of the coatings. Meanwhile, Cr–Si–O–N coatings with different $O_2/(N_2 + O_2)$ rates were also used to carry out the corrosion resistance testing using the electrochemical working station in 3.5% NaCl solution under free air condition at room temperature. The results indicated that the coatings containing oxygen were more vulnerable to the high-temperature destruction and more easily corroded in the NaCl electrolyte.

Keywords: Cr–Si–O–N; oxygen contents; microhardness; high-temperature oxidation resistance; corrosion resistance

1. Introduction

CrN has been one of the most extensively used hard coatings for various forming and casting applications [1,2], due to its excellent high-temperature oxidation resistance and good corrosion resistance [3,4]. In recent years, efforts have been continuously devoted in order to further improve the properties of CrN coatings. One of the most promising approaches is to synthesize the Cr–Si–N nanocomposite coatings, which follows the design concept of superhard nc-MeN/a-Si₃N₄ proposed by Veprek et al. [5].

In the nanocomposites coatings, the oxygen has a great impact on the properties. On one hand, it has been reported that the oxygen impurity could be critically harmful to the superhard nanocomposite coatings [6,7]. Veprek et al. [8] synthesized the Ti–Si–N superhard nanocomposites coatings with a hardness of ≥ 50 GPa, which was difficult for some other groups to achieve [9–11]. Veprek et al. [8] figured that one of the important reasons for the lack of reproducibility was the oxygen impurity incorporated into the coatings during the deposition process. It was impossible to achieve the hardness of 40 GPa when the oxygen impurity was larger than 0.4 at.%. Ma et al. [12] also reported that oxygen impurity of 1–1.5 at.% could lead to a sharp decrease in hardness to 30 GPa, while they could obtain 45–55 GPa in the nc-TiN/a-Si₃N₄ coatings by controlling the oxygen impurity below 0.2 at.%. On the other hand, however, Lee et al. [13] reported that the mechanical and tribological

properties of the Cr–Si–O–N nanocomposite coatings with appropriate oxygen contents were much better than that of the Cr–Si–N coatings. Therefore, it is really important to investigate the influence of the oxygen and the oxygen contents on the properties of Cr–Si–N coatings.

In this work, the quaternary Cr–Si–O–N coatings with different oxygen contents were deposited by a multi-arc ion plating process in a $N_2 + O_2$ atmosphere. The microstructure, mechanical, high-temperature oxidation and electrochemical corrosion resistance properties of the Cr–Si–O–N coatings, as a function of oxygen contents, were systematically investigated. Although scant information about the deposition of the Cr–Si–O–N coatings has been reported [14], the influence of varying the oxygen content to the microstructure and properties of the Cr–Si–O–N coatings is still relatively unclear.

2. Experimental Details

Cr–Si–O–N coatings were deposited by the multi-arc ion plating technique (supplied by company of DongGuan HuiCheng Mechanical Engineering, Dongguan, China) under a deposition temperature of approximately 100 °C, where the CrSi target (Cr 85 at.% and Si 15 at.%, cylindrical in shape and with a diameter of 95 mm) was used as the cathode. During all experiments, the Cr–Si–O–N coatings with different oxygen contents were obtained by varying the $O_2/(N_2 + O_2)$ flow rate ratio. In this work, the working pressure was stabilized at 1 Pa, and the reactive gas flow rate ratio (O_2 flow: N_2 flow) was set at 0% ($O_2:N_2 = 0$ sccm:45 sccm), 2% ($O_2:N_2 = 0.9$ sccm:44.1 sccm), 5% ($O_2:N_2 = 2.25$ sccm:42.75 sccm), 10% ($O_2:N_2 = 4.5$ sccm:40.5 sccm), 15% ($O_2:N_2 = 6.75$ sccm:38.25 sccm) and 20% ($O_2:N_2 = 9$ sccm:36 sccm), respectively. Prior to deposition, the WC–Co cemented carbide (16 mm in diameter, 5 mm in thickness) and silicon wafer (111) (10 mm in diameter, 1 mm in thickness) substrates were ultrasonically cleaned by acetone and alcohol in sequence for 20 min, followed by a rapid Ar drying. Then, the substrates were placed on the sample holder in the middle of the vacuum chamber for the following deposition, and the whole chamber was heated at 300 °C for 0.5 h. The substrates were DC (direction current) biased at –100 V and the current of the CrSi target was fixed at 70 A. The deposition was performed for 50 min to obtain the Cr–Si–O–N coatings, whose thickness was about 1.5 μ m under a working pressure of 1.0 Pa. The parameters of the deposition are summarized in Table 1.

Table 1. The parameters for the deposition of Cr–Si–O–N coatings.

Deposition Parameters	Cr Adhesive Layer	CrN Inter Layer	Cr–Si–O–N
Ar flow rates (sccm)	9	–	–
N_2 flow rates (sccm)	–	60	45
$O_2/(N_2 + O_2)$ ratio	–	–	0–20%
Working pressure (Pa)	1.0	1.0	1.0
Deposition time (s)	300	300	3000
Cr cathode arc current (A)	80	80	–
CrSi cathode arc current (A)	–	–	70
Negative bias voltage (V)	–160	–140	–100

The coatings deposited on Si wafer were used for oxidation experiment, and the high-temperature oxidation resistance ability was evaluated by comparison of the change of coatings phase using XRD (X-ray diffraction, X'Pert-MPD System, PHILIPS, Amsterdam, The Netherlands) and observation of separation extent between Cr–Si–O–N coatings and substrates using SEM (scanning electron microscope, MERLIN, ZEISS, Jena, Germany). The experiments were carried out at a heating rate of 10 °C/min and the coatings were annealed at 800 °C and 1200 °C in the air for 2 h. The electrochemical corrosion test resistance of the deposited Cr–Si–O–N coatings and WC–Co cemented carbide substrate were tested by an electrochemical working station (CS350, Wuhan Kesite Instrument Co., Ltd., Wuhan, China) in 3.5% NaCl solution. The crevice corrosion was carried out under free air condition at room temperature, with scanning range from –0.5 to 1.5 V versus open circuit potential, and the

mercury/mercury chloride combined with luggin probe as the reference electrode, and the platinum electrode (1 cm^2) as the counter electrode. To obtain accurate curve, Lujin capillary was as close as possible to the study electrode for decreasing liquid potential and the scan rate of 0.5 mV/s was used. The corrosion potential, corrosion current and polarization resistance obtained from the Tafel plots were used to evaluate the corrosion rate of deposited coatings. In addition, Electrochemical Impedance Spectroscopy (EIS, CS350, Wuhan Kesite Instrument Co., Ltd., Wuhan, China) measurements were recorded at open circuit potential in the frequency range of 10^{-2} – 10^{-5} Hz with a signal amplitude of 10 mV in $3.5\% \text{ NaCl}$ solution. The experiment of Potentiodynamic Polarization Curves, Electrochemical Impedance Spectroscopy and high-temperature oxidation test were repeated for 3 times.

The structure and phases of the Cr–Si–O–N coatings deposited on Si wafer were analyzed by X-ray diffraction (XRD, X'Pert-MPD System, PHILIPS, Amsterdam, The Netherlands) with 0.04° using $\text{Cu K}\alpha$ radiation. The bonding status of Cr–Si–O–N coatings was studied by X-ray photoelectron spectra (XPS, PHI X-tool, ULVAC-PHI, Inc., Chigasaki, Japan) with $\text{Al K}\alpha$ X-ray at 15 kV and 50 W . The chemical compositions of coatings were investigated by the electron probe microanalyzer (EPMA, EPMA 1600, Shimadzu, Kyoto, Japan). The field emission scanning electron microscope was used to obtain the surface morphology and the cross sectional images of the coatings before and after annealing. The microhardness of Cr–Si–O–N coatings deposited on cemented carbide substrate were measured by using an HX-1000 micro-hardness tester (ShangHai TaiMing Optical Instrument Co., Ltd., Shanghai, China) with a load of 50 gf (0.49 N) and the final values are taken from average values of 10 measurements.

3. Results and Discussion

3.1. Composition and Microstructure

Figure 1 shows the chemical composition of the Cr–Si–O–N coatings with different flux rates of $\text{O}_2/(\text{N}_2 + \text{O}_2)$. Since the current of the CrSi target was fixed at 70 A during all of the deposition processes, the Cr and Si contents of the as-deposited coatings were almost constant, being about $51 \text{ at.}\%$ and $9 \text{ at.}\%$, respectively. The oxygen contents of the deposited coatings significantly increased from 0 to $40 \text{ at.}\%$ and the N contents sharply decreased from $31.5 \text{ at.}\%$ to $5 \text{ at.}\%$ when the $\text{O}_2/(\text{N}_2 + \text{O}_2)$ flux rate increased from 0% to 10% . The Gibbs free energy of Cr–O is lower than that of Cr–N [15], so the residual oxygen is more likely to remain in the coatings in the form of Cr_xO_y . However, both the O and N contents remained the same with the further increase of the $\text{O}_2/(\text{N}_2 + \text{O}_2)$ flux rate, which might result from the saturation of the O_2 in the chamber.

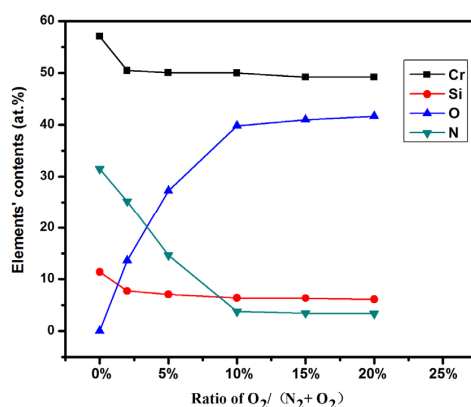


Figure 1. Chemical composition (obtained by EPMA) of Cr–Si–O–N coatings as a function of $\text{O}_2/(\text{N}_2 + \text{O}_2)$ flux rate.

Figure 2 shows the XRD spectra of Cr–Si–O–N coatings as a function of $\text{O}_2/(\text{N}_2 + \text{O}_2)$ flux rate. It can be seen that only the diffraction peaks of CrN (111) and CrN (200) were detected for the

Cr–Si–N coatings. However, with the addition of oxygen, the diffraction peaks became weaker and the diffraction peaks of crystalline phase were no longer recognizable when the $O_2/(N_2 + O_2)$ flux rate above 10%. The more oxygen in the chamber, the more Cr from the CrN was despoiled to form the Cr_xO_y . Unlike the results from other researchers [13], the formed Cr_xO_y in our as-deposited coatings existed in the amorphous phase, which is because the deposition temperature in our system is not high enough to form the crystalline phase. In addition, no crystalline peaks of Si_3N_4 and SiO_2 were detected in the XRD spectra, which can also be attributed to the low temperature deposition process.

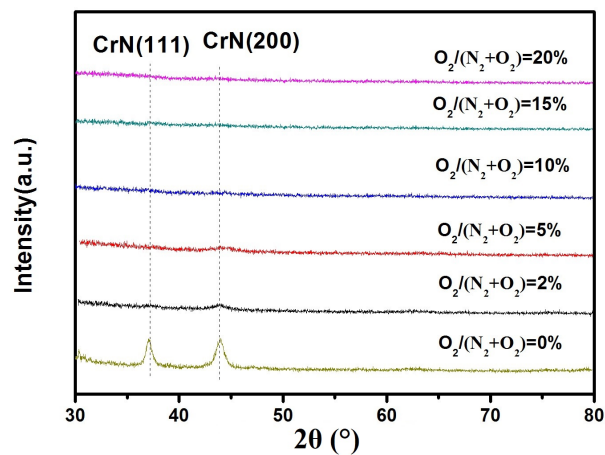


Figure 2. The XRD spectra of Cr–Si–O–N coatings as a function of $O_2/(N_2 + O_2)$ flux rate.

Figure 3 presents the Si 2*p* and N 1*s* spectra of Cr–Si–O–N coatings deposited under the $O_2/(N_2 + O_2)$ flux rate of 2% and 20%. The binding energy of Si for both coatings appeared at 101.5 eV (Figure 3a), which is in good agreement with that of Si_3N_4 phase [14]. In addition, little peaks of SiO_2 phase appeared at 102.9 eV [13]. In the N 1*s* spectra, we can find peaks at 396.7 and 397.9 eV, which correspond to CrN [16] and Si_3N_4 [17], respectively, in the coating with lower $O_2/(N_2 + O_2)$ flux rate. However, when the flux rate increased to 20%, the peak corresponding to CrN disappeared, indicating that there was no crystalline CrN phase existing in the coatings anymore, and the left N in the coatings was all in the amorphous form of Si_3N_4 . This also implied that crystal phase of CrN was present at lower $O_2/(N_2 + O_2)$ flux rate in accordance with XRD results.

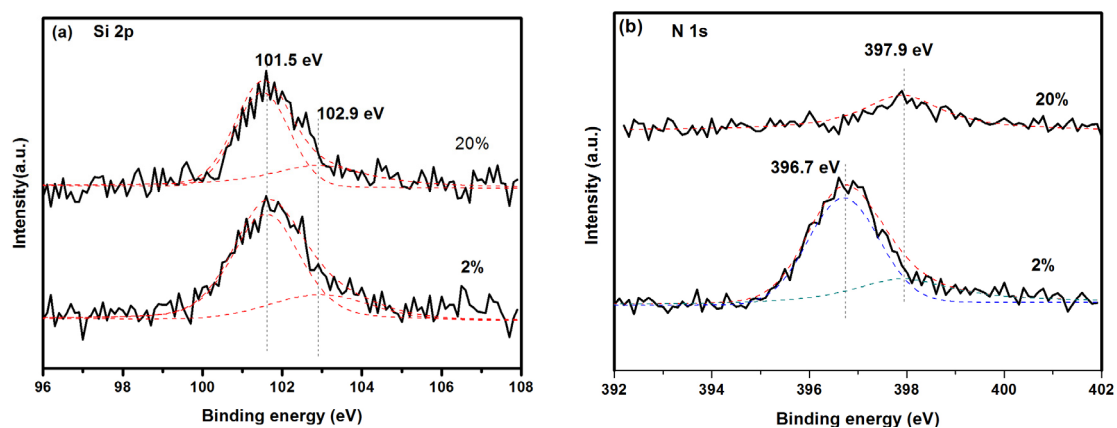


Figure 3. The XPS spectra of Cr–Si–O–N coatings deposited under the $O_2/(N_2 + O_2)$ flux rate of 2% and 20%: (a) Si 2*p*; (b) N 1*s*.

The surface and cross-section SEM images of Cr–Si–O–N coatings deposited under different $O_2/(N_2 + O_2)$ flux rates are shown in Figure 4. Many micro-particles can be seen on the surface of both coatings, which were introduced by the multi-arc ion plating during experiments [18].

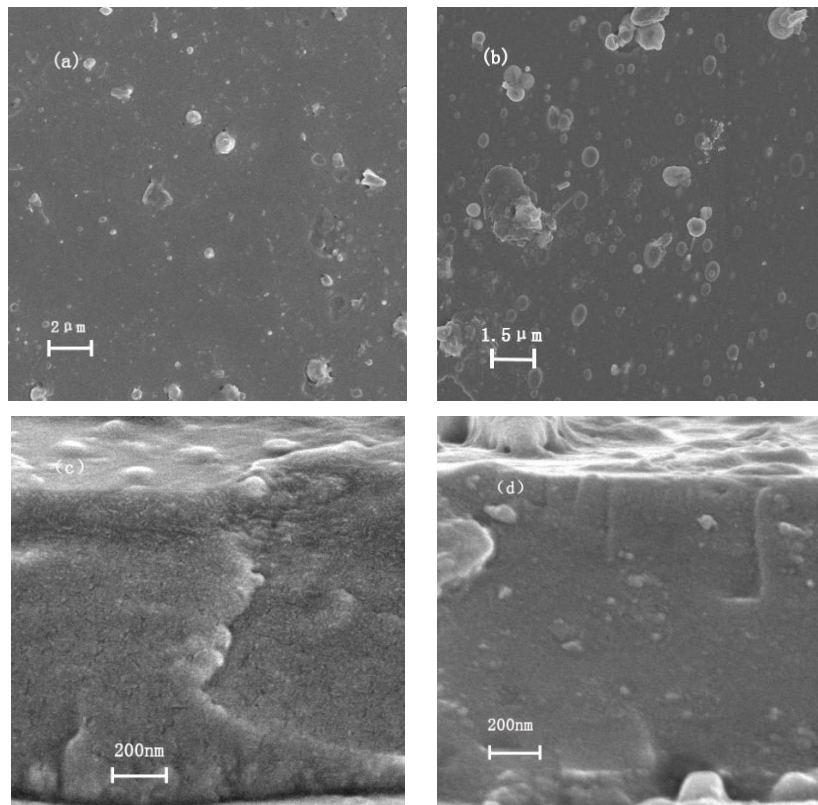


Figure 4. The surface and cross-section SEM images of Cr–Si–O–N coatings deposited as a function of $O_2/(N_2 + O_2)$ flux rate: (a,b) surface images, $O_2/(N_2 + O_2) = 2\%$; (c,d) cross-section images, $O_2/(N_2 + O_2) = 10\%$.

3.2. Microhardness, High Temperature Test and Corrosion Test

Figure 5 shows the hardness value of Cr–Si–O–N coating as a function of $O_2/(N_2 + O_2)$ flux rate. It can be found clearly that the hardness sharply decreased with the addition of the oxygen from 0 to 2 at.% and slightly decreased with the further increase of the oxygen above 5 at.%. The hardness value of cemented carbide substrate is about 1260 $Hv_{0.05}$, and the hardness of all the Cr–Si–O–N coatings is ranging around 2000 $Hv_{0.05}$ which is far less than the Cr–Si–N coatings. This phenomenon might be attributed to the formation of a large proportion of the Cr_xO_y amorphous phase in the as-deposited coatings which disrupted the formation of the nanocomposite structure of amorphous matrix and crystalline [19].

The Cr–Si–O–N coatings deposited under $O_2/(N_2 + O_2)$ flux rate of 2% and 10% were chosen to do the high-temperature oxidation treatments. The XRD spectra of the coatings before and after oxidation under 800 °C and 1200 °C in the air were shown in Figure 6. After the coatings were annealed under 800 °C in the air for 2 h, some diffraction patterns of Cr_2O_3 and CrO_2 were detected in both coatings, which suggested that small extent of oxidation behavior might occur on the surface. The number of oxide diffraction patterns in the coatings with lower oxygen was much less than that in the higher one, indicating that the high-temperature oxidation ability of the former might be better. As the oxidation temperature rose to 1200 °C, the diffraction peaks, corresponding to Cr_2O_3 and CrO_2 , became stronger, and their number increased, indicating that the oxidation progressed. The crystalline Cr_2O_3 and CrO_2 appear due to oxidation of the coatings and crystallisation of amorphous

Cr_xO_y phase during annealing. Owing to SiO_2 presents in terms of amorphous [20], it was noted that no diffraction pattern of SiO_2 was detected in all the XRD spectra. Meanwhile, Kim et al. proposed that the crystal CrN phase of Cr–Si–N coatings did not disappear thoroughly and maintained good oxidation resistance even the annealing temperature up to 1000 °C [21], which implied that CrN phase included in the coatings was reasonable, as shown in Figure 6a.

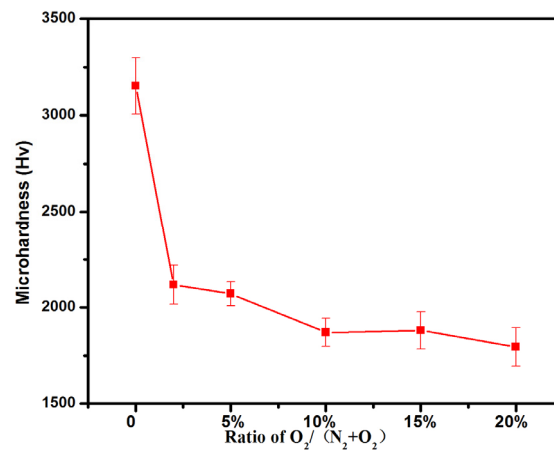


Figure 5. The microhardness values of Cr–Si–O–N coating as a function of $\text{O}_2/(\text{N}_2 + \text{O}_2)$ flux rate.

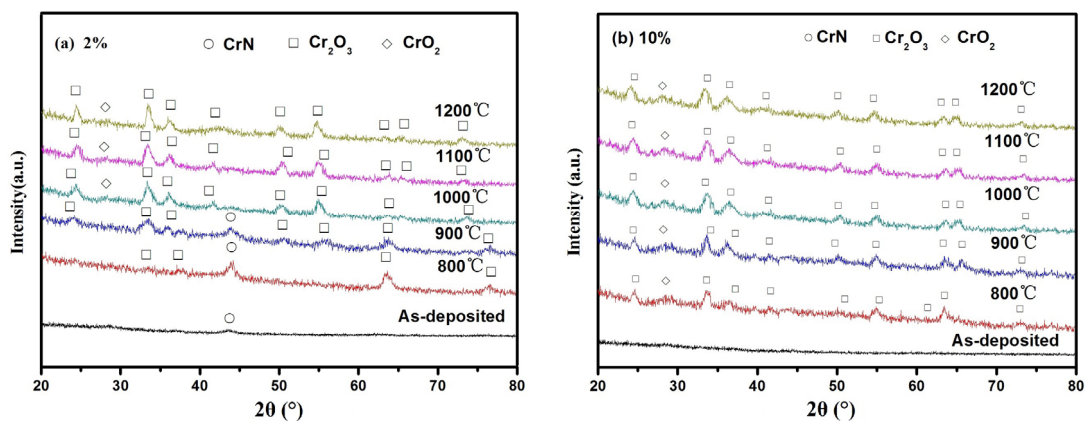


Figure 6. The XRD spectra of Cr–Si–O–N coatings deposited under various oxygen contents before and after annealing from 800 to 1200 °C in the air for 2 h: (a) $\text{O}_2/(\text{N}_2 + \text{O}_2) = 2\%$; (b) $\text{O}_2/(\text{N}_2 + \text{O}_2) = 10\%$.

The SEM images of the coatings after oxidation are illustrated in Figure 7. There are some chromium oxide crystallites (confirmed by EDS test) covering the surface of the coatings and those oxide crystallites may derive from the outward diffusion of the chromium. The higher the temperature is, the more oxide crystallites there are. The cross-sectional images in Figure 8 show that both of the coatings deposited under $\text{O}_2/(\text{N}_2 + \text{O}_2)$ flux rate of 2% and 10% keep intact after the 800 °C oxidation. However, after the higher temperature oxidation, the destruction occurred. It can be observed clearly that the extent of oxidation destruction are severer in the coatings with higher oxygen content and the coatings tend to be peel off from the substrate. The strong detriment of the high-temperature oxidation resistance of the as-deposited coatings may attribute to the lack of the nanocomposite structure [10,22,23]. Figure 8 shows the SEM images and EDS spectra of Cr–Si–O–N coatings with the oxygen flow ratio of 2% and 10% after annealing. Although a large number of fluffy macroparticles that were chromium oxide detected by EDS spectra were present on the surface of the samples, Figure 8c,f still showed that the coatings have not been damaged completely. Figure 8f also confirmed that the concentration of Si was not large. In other words, the coatings can resist to destruction at 1100 °C.

The cross-sectional chemical compositions of the coatings deposited under both the oxygen contents after annealing at 800, 1100 and 1200 °C have been recited in Table 2. It was obvious that the Cr contents reduced, Si and O contents increased when annealing temperature ranged from 800 to 1200 °C, and N contents calculated by subtracting the sum of Cr, Si and O contents from 100% had a decreasing tendency. This revealed that the CrN phase degraded as the annealing temperature rose, which can be in agreement with XRD results.

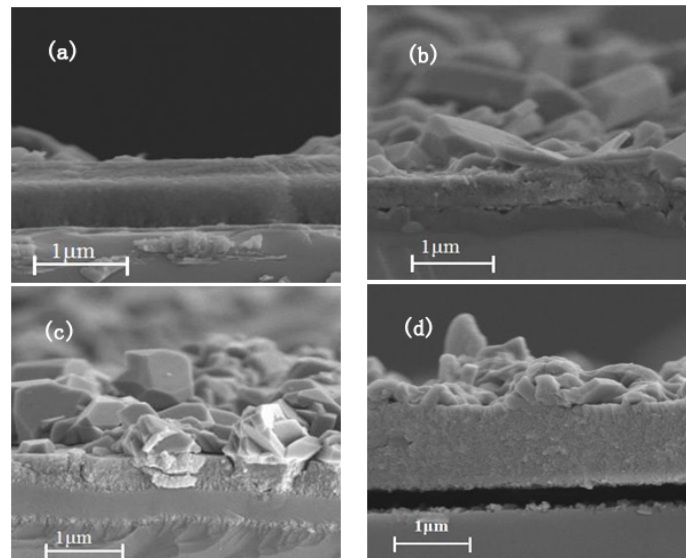


Figure 7. The cross-sectional images of Cr-Si-O-N coatings after annealing in the air for 2 h: (a) $O_2/(N_2 + O_2) = 2\%$, 800 °C; (b) $O_2/(N_2 + O_2) = 2\%$, 1200 °C; (c) $O_2/(N_2 + O_2) = 10\%$, 800 °C; (d) $O_2/(N_2 + O_2) = 10\%$, 1200 °C.

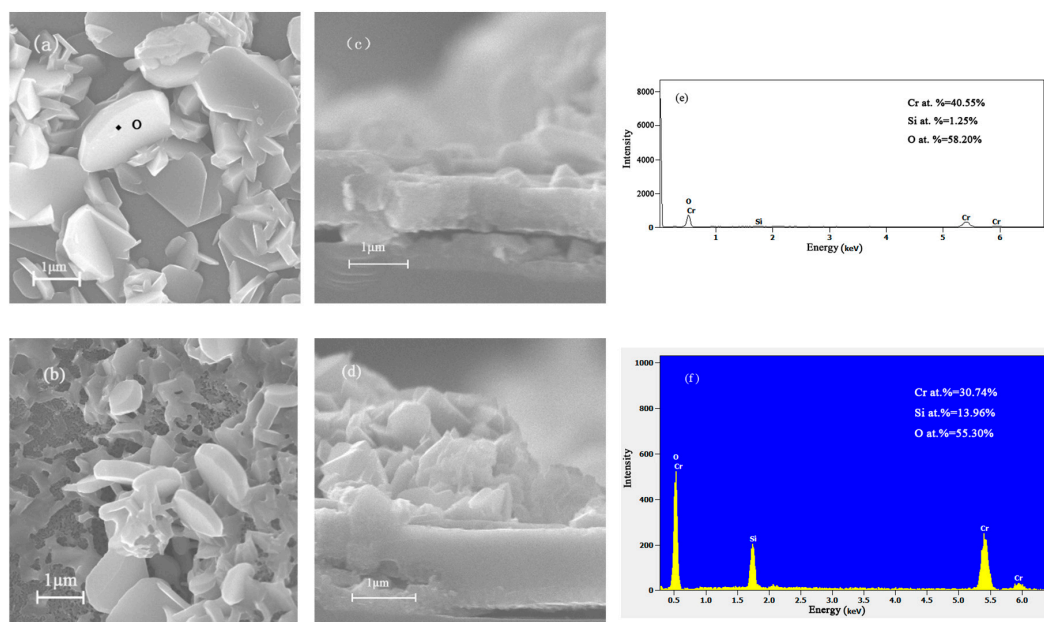
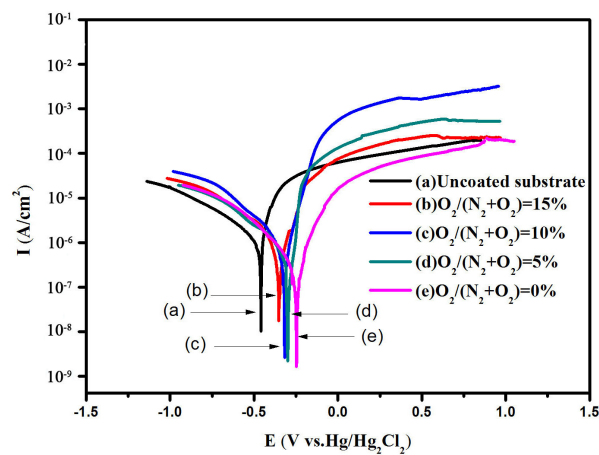


Figure 8. The SEM images of Cr-Si-O-N coatings after annealing in the air for 2 h and EDS spectra: (a,c) $O_2/(N_2 + O_2) = 2\%$, 1100 °C; (b,d) $O_2/(N_2 + O_2) = 10\%$, 1100 °C; (e) The EDS spectrum at point “O” in Figure 8a; (f) The EDS spectrum of Figure 8b.

Table 2. The cross-sectional chemical composition of the coatings after annealing at 800 °C, 1100 °C, 1200 °C.

Samples	Cr-Si-O-N (2%)			Cr-Si-O-N (10%)		
	800 °C	1100 °C	1200 °C	800 °C	1100 °C	1200 °C
Cr	39.05%	29.70%	25.92%	34.45%	25.01%	22.47%
Si	9.31%	14.05%	18.81%	13.49%	17.23%	19.10%
O	43.10%	54.3%	53.84%	47.08%	56.52%	57.33%
N	8.54%	1.86%	1.43%	4.98%	1.24%	1.10%

The electrochemical behavior of corrosion resistance of the deposited Cr-Si-O-N films and cemented carbide substrate was tested by Electrochemical Working Station (CS350) in 3.5% NaCl solution under free air condition at room temperature. The Tafel plots of the base cemented carbide substrate and Cr-Si-O-N coatings with different oxygen contents are shown in Figure 9. The results such as corrosion potential, corrosion current, and polarization resistance fitted from the Tafel plots are listed in Table 3. It can be found that the corrosion potential (E_{corr}) of uncoated cemented carbide substrate is about -0.4650 V and the E_{corr} of the coated samples shows a shift towards cathodic side compared to the substrate. Moreover, the corrosion current (I_{corr}) is decreased while the polarization resistance (R_p) is increased with the oxygen content increasing, which indicates the better corrosion resistance of the coated samples. However, the Cr-Si-N coatings have better corrosion rate than that of the Cr-Si-O-N coatings. The Nyquist plots can also be used to evaluate the corrosion resistance performance of the coatings, and the results are shown in Figure 10.

**Figure 9.** Potentiodynamic polarization curves of bare steel substrate and Cr-Si-O-N coatings with difference $O_2/(N_2 + O_2)$ flux rate.**Table 3.** Corrosion potential, corrosion current, polarization resistance and corrosion rate properties got from the Tafel plots.

Samples	E_{corr} (V)	I_{corr} ($\mu\text{A}/\text{cm}^2$)	R_p ($\text{k}\Omega \cdot \text{cm}^2$)	$C_{\text{orr rate}}$ (mm/A)
Substrate	-0.4650	2.65	14.95	13.46×10^{-3}
Cr-Si-N	-0.2541	0.35	123.39	4.02×10^{-3}
Cr-Si-O-N (5%)	-0.2645	0.41	98.63	5.94×10^{-3}
Cr-Si-O-N (10%)	-0.3099	0.72	49.92	6.25×10^{-3}
Cr-Si-O-N (15%)	-0.3489	0.96	49.69	7.01×10^{-3}

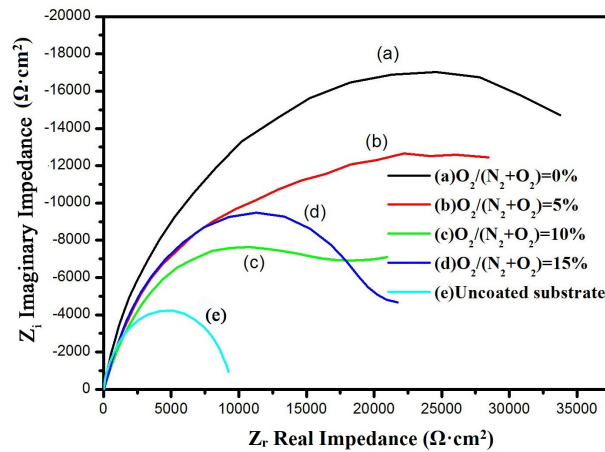


Figure 10. Nyquist plots of bare steel substrate and Cr–Si–O–N coatings with difference $O_2/(N_2 + O_2)$ flux rate.

The equivalent circuit for substrate is shown in Figure 11A, and the proposed equivalent circuit for Cr–Si–O–N coatings on the WC–Co cemented carbide substrate with defects (large particles and pinholes) is shown in Figure 11B [24]. Here, R_s is the solution resistance, CPE_c represents the constant phase element related to the coatings, CPE_{dl} represents the constant phase element of the electrical double layer, WE is the working electrode, and R_c is the coating resistance [25]. Table 4 shows the fitting results of the equivalent electrical circuit of Figure 11. The fit errors of the values presented in the table are less than 10%. From the table, it can be seen that the R_{ct} which is the charge transfer resistance decreased from 50.15 to 23.48 $k\Omega\cdot cm^2$ with the increasing of oxygen contents, which also provides the evidence that the Cr–Si–N coatings have better corrosion resistance than that of Cr–Si–O–N coatings.

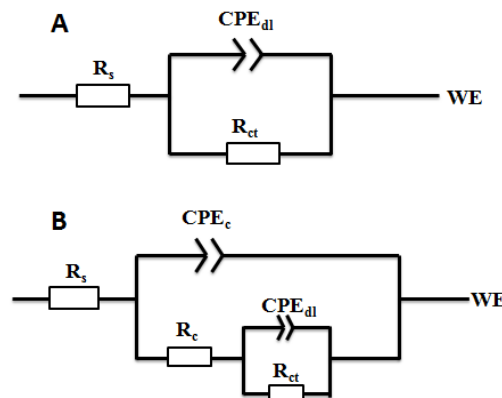


Figure 11. (A) Equivalent circuit to fit the electrochemical impedance data of the WC–Co cemented carbide substrate; (B) General Equivalent circuit to fit the electrochemical impedance data of the coated samples.

Table 4. Fitting results of EIS plots in Figure 11.

Samples	R_s ($\Omega\cdot cm^2$)	$CPE_c\text{-}Y_0$ ($\mu F\cdot cm^2$)	R_c ($\Omega\cdot cm^2$)	$CPE_{dl}\text{-}Y_0$ ($\mu F\cdot cm^2$)	R_{ct} ($k\Omega\cdot cm^2$)
Substrate	19.11	–	–	95.55	9.46
Cr–Si–N	19.91	25.60	2573	52.34	50.15
Cr–Si–O–N (5%)	20.23	85.61	1970	46.39	30.15
Cr–Si–O–N (10%)	22.60	89.83	1898	62.33	22.57
Cr–Si–O–N (15%)	20.82	87.62	1851	53.01	23.48

4. Conclusions

Cr–Si–O–N coatings with different oxygen contents were deposited by multi-arc ion plating. The oxygen contents of the deposited coatings significantly increased from 0 to 40 at.% and the N contents sharply decreased from 31.5 to 5 at.% when the $O_2/(N_2 + O_2)$ flux rate increased from 0% to 10%. With the addition of oxygen, the diffraction peaks of CrN (111) and CrN (200) became weaker and the diffraction peaks of CrN phases were no longer recognizable when the $O_2/(N_2 + O_2)$ flux rate above 10%. The Cr–Si–O–N coatings remained stable after annealed at 800 °C in the air for 2 h but break appeared in the inner structure after annealing at 1200 °C. The XRD, SEM and electrochemical corrosion resistance results showed that the Cr–Si–N coatings have better oxidation and corrosion resistance properties than that of Cr–Si–O–N coatings with various oxygen contents.

Acknowledgments: This work was supported by the Natural Science Foundation of Guangdong Province (No. 2014A030307008, 2016A030313670), Project for training high-level talents of “Yangfan Plan” in Guangdong Province, Innovation project of universities in Guangdong Province (2015KTSCX086) and Natural Science Foundation of Lingnan Normal University (LZL1506, QL1512, and ZL1503).

Author Contributions: Jinjue Liang and Changwei Zou conceived and designed the experiments; Jinjue Liang and Shimin Chen performed the experiments; Jinjue Liang; Zesong Wang; Canxin Tian; Changwei Zou and Shijun Liao and analyzed the data; Jinjue Liang and Changwei Zou wrote the paper.

Conflicts of Interest: The authors declare no conflict of interest.

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