Supporting information

Bio-based aromatic compounds production by catalytic lignin depolymerization: Assessment of technical and downstream biorefinery lignins as raw material.

Alfonso Cornejo ^{1*}, Fernando Bimbela ^{1*}, Rui Moreira ², Karina Hablich ¹, Íñigo García-Yoldi ¹, Maitane Maisterra ¹, António Portugal ², Luis M. Gandía ¹, Víctor Martínez-Merino ¹

- ¹ Institute for Advanced Materials and Mathematics (InaMat²) and Department of Sciences, Universidad Pública de Navarra, Pamplona, Spain
- ² CIEPQPF, FCTUC, Department of Chemical Engineering, University of Coimbra, Rua Sílvio Lima, Pólo II Pinhal de Marrocos, 3030-790 Coimbra, Portugal
- * Correspondence: <u>alfonso.cornejo@unavarra.es</u>, <u>fernando.bimbela@unavarra.es</u>; Tel.:(+34-948-166304 (A.C.); +34-948-166259 (F.B.))

Corresponding author:

alfonso.cornejo@unavarra.es,	fernando.bimbela@unavarra.es

Total number of pages: 25

Total number of Figures: 15

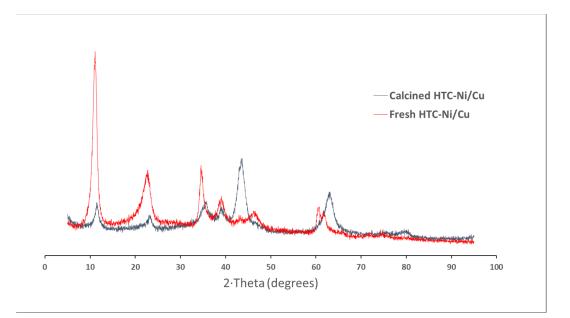
Total number of Tables: 3

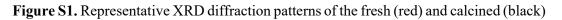
Table of contents

SI. 1 XRD analyses
SI. 2 Chemical characterization Lignin and reaction mixtures analysis
SI. 3. Experimental details for NMR characterization
Integration regions used in the determination of averaged diffusion coefficients. R ² correspond to the correlation between experimental and calculated values for I using the Stejskal-Tanner equation
Table S2. Integration regions used in the determination of averaged diffusion coefficients. R^2 correspond to the correlation between experimental and calculated values for I using the Stejskal-Tanner equation
Table S3. Integration regions used in the determination of aromatic –OH contents and G/S ratio
 SI. 4. SEC and DOSY spectra
Figure S3. DOSY spectra for BioB a) in the aromatic region and b) in the aliphatic region. DOSY spectra for BioA c) in the aromatic region and d) in the aliphatic region
Figure S4. a) Raw DOSY spectra for SeolA, SeolB and OrgB lignins 13

Figure S5 DOSY spectra for BioB473 in the aliphatic region
Figure S6. Aliphatic region for the DOSY spectra of B OrgB, OrgB ₄₆₃ and OrgB _{HTC2.5}
Figure S7. DOSY in the aliphatic region for a) SeolA, SeolA493) and SeolA493-Ru. b) DOSY i in the aromatic region for SeolA, SeolA523 and SeolA523-Ru
Figure S8. a) DOSY spectra and b) SEC for OrgB obtained using ethanol and isopropyl alcohol
Figure S9. SEC chromatograms for the depolymerization of OrgB with NaOH in ethanol at 463 K and HTC-2.5 in ethanol at 543 K 18
SI. 5. HSQC and HSQC-TOCSY spectra
Figure S11. ¹ H- ¹³ C HSQC spectra for OrgB 20
Figure S12. ¹ H- ¹³ C HSQC spectra for SeolB
Figure S13. ¹ H- ¹³ C HSQC spectra for a) acetylated BioB, b) BioA and HSQC- TOCSY for BioA
Figure S14. Aliphatic region in the ¹ H- ¹³ C HSQC spectra for SeolA ₅₂₃ and SeolA ₅₂₃ .
Figure S15. ¹ H- ¹³ C HSQC spectra for in the aliphatic region for OrgB _{HTC2.5} 24

SI. 1 XRD analyses





NiCu-HTC samples.

SI. 2 Chemical characterization Lignin and reaction mixtures analysis

Lignin content in solids was determined according to NREL/TP 510-42618. The ratio of syringyl (S) and guaiacyl (G) units, S/G ratio, was determined as described elsewhere [1,2].

The yield of monomeric phenols was quantified by GC-FID on an Agilent 6890 equipped with a capillary column HP-5MS [(5%-phenyl)-methylpolysiloxane, 60 m × 0.32 mm] with helium as carrier gas. The temperature program started at 50 °C and followed by heating to 120, 280, and 300 °C at 10 °C min⁻¹. During the program, heating was held at 120 °C for 5 min, at 280 °C (8 min), and at 300 °C (2 min). Monomer yields gathers the yield of compounds showed in Table S1, whose response in FID were calibrated using bromobenzene as internal standard. Weight yield, wt.%, is referred to the weight of known monomers in the actual amount of lignin in the solid. The identity of the monomeric phenols was confirmed by GC-MS on a Shimadzu GC-2010 equipped with Shimadzu QP-2010 detector with the same analysis conditions. Although retention time was not the same in GC-FID and GC-MS the order of elution was the same.

SEC measurements were done on an Agilent 1100 equipped with RID detector. **BioA** and **BioB** were analyzed using two coupled PolarGel-M columns (300 x 7.5 mm) and PolarGel-M guard (50 x 7.5 mm) as stationary phase and 0.1 % LiBr in DMF at 40 °C at 0.7 mL·min -¹ as eluent. **OrgB**, **SelA** and **SelB** lignins and the oily lignin products from depolymerization reactions were analyzed using Coupled HR-5 and HR-1 Styragel columns (Waters) at 30 °C as stationary phase , THF as mobile phase at 1 mL·min⁻¹ flow and an injection volume of 25 μ L was used. 5 mg of lignin or oily reaction mixture was dried in a vacuum desiccator at 50°C overnight and then solubilized in a 1 % v/v solution of methanol in THF before SEC analysis. Retention time was converted in molecular weight by applying a calibration curve using polystyrene. Some drops of N,N-dimethylformamide were added to **SelA** to achieve complete solubility.

number	Compound	Retention time (min)		
Internal	Bromobenzene	8.67		
Standard	Dromobelizene			
1	Phenol	9.22		
2	2-ethylphenol	13.22		
3	o-cresol	11.06		
4-5	m-cresol/p-cresol	11.55/11.88		
6	Catechol	14.89		
7	4-methylcatechol	17.33		
8	4-ethylcatechol	19.57		
10	Guaiacol	12.26		
11	4-methylguaiacol	14.95		
12	4-ethylguaiacol	17.18		
13	4-Propylguaiacol	19.33		
14	Vanillin	20.04		
15	4-Hydroxy-3-methoxyphenylacetone	22.40		
16	Homovanillyl alckohol	23.17		
17	Methyl-homovanillate	22.75		
18	Acetovanillone	21.79		
19	Gigantol	30.60		
20	2,6 dimethoxyphenol (Syringol)	18.88		
21	4-methylsyringol 20.18			
22	4-ethylsyringol -			
23	4-propylsyringol	-		
24	Syringaldehyde 24.50			
25	Acetosyringone	25.60		
26	Homosyringaldehyde	23.60		

Table S1. Aromatic monomers and retention times.

SI. 3. Experimental details for NMR characterization

Experimental details

NMR measurements were made at 300 K on a Bruker Ascend III spectrometer equipped with a PABBO 5 probe, at 400 MHz and 101 MHz for ¹H and ¹³C respectively, and were processed using Bruker Topspin 3.6.2 software. NMR samples were prepared at 1 % w/v in DMSO- d_6 and referenced using residual signal at 2.50 ppm and 39.52 ppm for ¹H and ¹³C measurements [3].

BioA and **BioB** were acetylated for NMR measurements as described elsewhere [4]. ¹H experiments were run using the zg30 pulse program at 16 scans. Two-dimensional ¹H-¹³C correlation was carried out using HSQC and HSQC-TOCSY that run *hsqcetgpsi2* and *hsqcdietgpsisp.2* pulse program in an echo-antiecho acquisition mode with D1 = 1.48 s and D1= 2.0 s respectively. Assignment of ¹H-¹³C correlation signals was made according to those provided by Hu et *al.* [4] and Rencoret *et al.* [5].

DOSY was run using *stebpgp1s* pulse program in QF acquisition mode. Diffusion delay (d20) in *stebpgp1s* was optimized using residual DMSO signal keeping gradient pulse length (p30) constant at 1000 µs, resulting in 160-170 ms. Each pseudo-2D experiment consisted on a series of 16 spectra. DOSY experiments were made in DMSO-*d*₆ at 300 K and at fixed low concentrations, 1 % w/v. The accuracy of the gradient was checked by the determination of the diffusion coefficient of residual DMSO in DMSO-*d*₆. DOSY analysis were processed using TOPSPIN 3.6.2 software from Bruker. Once F2 were phased, automatic baseline correction was run using a 5th grade polynomial function. Using the T1/T2 relaxation module installed in the module permitted FID for the first spectrum (2 % gradient) to be extracted and to perform manual integration. The integration regions were exported to the relaxation module where decay values were fitted by area using the *vargrad* preinstalled function using a 5.35 G/mm as gradient calibration constant. Graphical processing was run using 'Dynamic Center v. 2.6.1' software from

Bruker. Unless otherwise stated, Stejskal-Tanner equation was fitted using the areas for the integration corresponding to the regions in Table S2 of the first spectrum corresponding to 2% gradient.

³¹P measures were made using a proton decoupled experiment running the *zgpg30* pulse program.

Integration regions used in the determination of averaged diffusion coefficients. R² correspond to the correlation between experimental and calculated values for I using the Stejskal-Tanner equation.

$$ln\left(\frac{I}{I_0}\right) = -\gamma^2 \delta^2 G_z^2 D\left[\Delta + \left(\frac{4\delta}{3} + 3\tau/2\right)\right]$$

- I₀: intensity at very low gradient value
- I: intensity at a given gradient value
- γ : gyromagnetic ratio
- **δ**: length of the bipolar gradient pulse
- Gz: gradient strength
- Δ : time between pulses (d20)
- τ : gradient ringdown delay

Table S2. Integration regions used in the determination of averaged diffusioncoefficients. R^2 correspond to the correlation between experimental and calculated valuesfor I using the Stejskal-Tanner equation.

	Aromatic Methoxy		Aliphatic 1		Aliphatic 2			
Sample	Chemical shift (ppm)	R ²			Chemical shift (ppm)	R ²	Chemical shift (ppm)	R ²
BioA	8.134 - 6.424	0.9910			4.714 - 3.730	0.9900	2.249 - 0.647	0.9870
BioA ₄₇₃	7.717 - 6.31	0.9990			2.411 - 1.966	0.9970	1.832 - 0.74	0.9990
BioB	7.474 - 6.357	0.5100			3.946 - 3.609	0.7960	2.384 - 1.104	0.9900
BioB ₄₇₃	7.690 - 6.842	0.9970			2.435 - 2.017	0.9970	1.617 - 0.946	0.9980
Orgb	7.262 - 6.465	0.9996	3.909 - 3.508	0.9997	2.391 - 1.852	0.9896	1.124 - 0.704	
Blank	7.262 - 6.465	0.9988	3.909 - 3.508	0.9990	2.391 - 1.852	0.9946	1.124 - 0.704	0.9985
OrgB _{HTC0}	7.262 - 6.465	0.9998	3.909 - 3.509	0.9998	2.391 - 1.853	0.9976	1.124 - 0.705	0.9992
OrgB _{HTC1}	7.262 - 6.465	0.9987	3.909 - 3.510	0.9987	2.391 - 1.854	0.9967	1.124 - 0.706	0.9950
OrgB _{HTC2.5}	7.262 - 6.465	0.9994	3.909 - 3.511	0.9961	2.391 - 1.855	0.9973	1.124 - 0.707	0.9984
OrgB _{HTC4}	7.262 - 6.465	0.9996	3.909 - 3.512	0.9999	2.391 - 1.856	0.9991	1.124 - 0.708	0.9993
OrgB _{HTC5}	7.262 - 6.465	0.9996	3.909 - 3.513	1.0000	2.391 - 1.857	0.9981	1.124 - 0.709	0.9992
OrgB ₅₂₃	7.262 - 6.465	0.9991	3.909 - 3.514	0.4499	2.391 - 1.858	0.9960	1.124 - 0.710	0.9969
OrgB _{523-Ru}	7.262 - 6.465	0.9995	3.909 - 3.515	0.9998	2.391 - 1.859	0.9989	1.124 - 0.711	0.9993
SeolA	7.262 - 6.465	0.9686	3.909 - 3.508	0.9897	2.391 - 1.852	0.9338	1.124 - 0.704	0.9623
SeolA ₄₉₃	7.262 - 6.465	0.9995	3.909 - 3.508	0.9985	2.391 - 1.852	0.9916	1.124 - 0.704	0.9948
SeolA _{493-Ru}	7.262 - 6.465	0.9997	3.909 - 3.508	0.9996	2.391 - 1.852	0.9968	1.124 - 0.704	0.9981
SeolA ₅₂₃	7.262 - 6.465	0.9992	3.909 - 3.508	0.9996	2.391 - 1.852	0.9912	1.124 - 0.704	0.9978
SeolA _{523-Ru}	7.262 - 6.465	0.9974	3.909 - 3.508	0.9834	2.391 - 1.852	0.9966	1.124 - 0.704	0.9948
SeolA _{Ru}	7.262 - 6.465	0.9996	3.909 - 3.508	0.9996	2.391 - 1.852	0.9974	1.124 - 0.704	0.9980
SeolB	7.346 - 6.239	0.9965	3.965 - 3.562	0.9960	2.456 - 1.972	0.9778	1.832 - 0.564	0.9910
SeolB ₅₂₃	7.346 - 6.239	0.9993	3.965 - 3.562	0.9967	2.456 - 1.972	0.9883	1.832 - 0.564	0.9975
SeolB _{523-Ru}	7.346 - 6.239	0.9995	3.965 - 3.562	0.9979	2.456 - 1.972	0.9940	1.832 - 0.564	0.9975
SeolB _{Ru}	7.346 - 6.239	0.9979	3.965 - 3.562	0.9996	2.456 - 1.972	0.9953	1.832 - 0.564	0.9984

Determination of the amount of aromatic –OH contents and G/S ratio

A known amount of lignin or reaction mixture was derivatized according to the protocol described by Pu [6]. The derivatized sample was measured by ³¹P NMR and the *spectrum* was integrated in the 155-130 ppm region. Signals were assigned according to Table S3.

 Table S3. Integration regions used in the determination of aromatic –OH contents and
 G/S ratio

Chemical shift (ppm)	Assignment
151.58-150.91	Internal standard
148.25-145.47	Aliphatic –OH
143.27-140.98	Syringyl –OH
139.89-136.75	Guaiacyl-OH
135.16-133.41	Carboxylic acid -OH

The percent of aromatic carbon atoms in the reaction mixtures were calculated from DOSY experiments using the reaction mixtures. Positive integration was carried from the aromatic and aliphatic regions that corresponded to the ¹H integration corresponding to these regions.

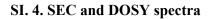
This integration was corrected considering that, as an average, two aliphatic hydrogen atoms may correspond to only one carbon atom. In the aromatic region, one hydrogen atom corresponded to two or three carbon atoms in guaiacol and syringol respectively. A correction factor was calculated using the guaiacol/syringol (G/S) ratio estimated by ³¹P NMR that provided the average number of aromatic carbon atoms per aromatic hydrogen atom.

$$ArC_{factor} = \frac{(2*(^{G}/_{S})+3*1)}{(^{G}/_{S})+1}$$
 (SEc. 1)

And the percernt of aromatic carbon atoms was calculated as follows:

$$\% ArC = \frac{(I_{Ar}*ArC_{factor})}{(I_{Ar}*ArC_{factor}) + (\Sigma^{I_{Al}}/2)}$$
(Sec.2)

where G/S is the guaiacol/syringol ratio, I_{Ar} corresponds to the positive integration of the aromatic region and I_{Al} correspond to the integration of the aliphatic region, excluding methoxy groups region and solvents



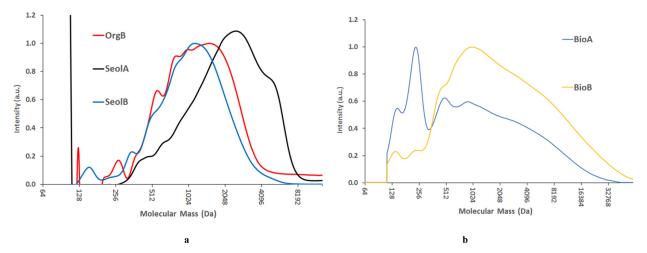


Figure S2. Normalized SEC chromatograms for isolated lignins. a) **BioB**, **SeolB** and **OrgB**; b) **BioA** and **SeolA**.

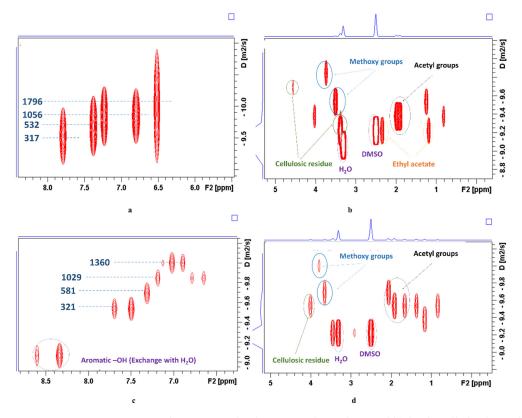


Figure S3. DOSY spectra for **BioB** a) in the aromatic region and b) in the aliphatic region. DOSY spectra for **BioA** c) in the aromatic region and d) in the aliphatic region. Figuress corresponded to the apparent masses in Da according to PS calibration.

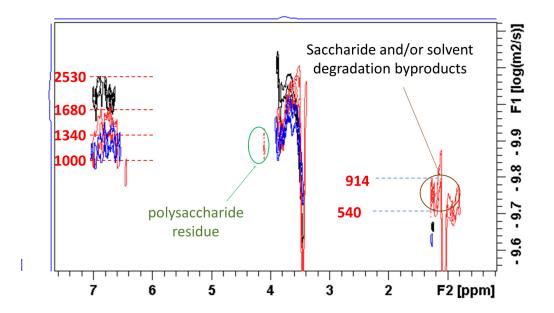


Figure S4. a) Raw DOSY spectra for **SeolA** (black), **SeolB** (blue) and **OrgB** (red) lignins. Red figures correspond to apparent masses according to PS calibration of log MW vs log D.

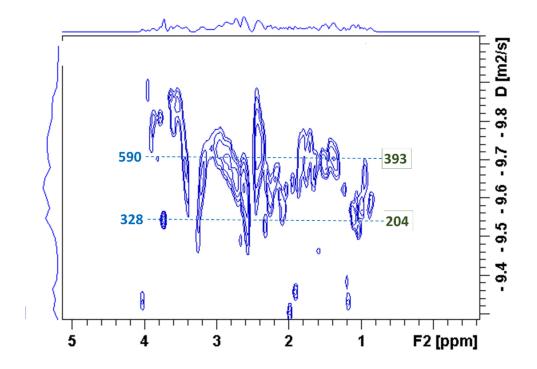


Figure S5. DOSY spectra for $BioB_{473}$ in the aliphatic region. Figures in blue correspond to PS calibration Green figures correspond to PEG calibration.

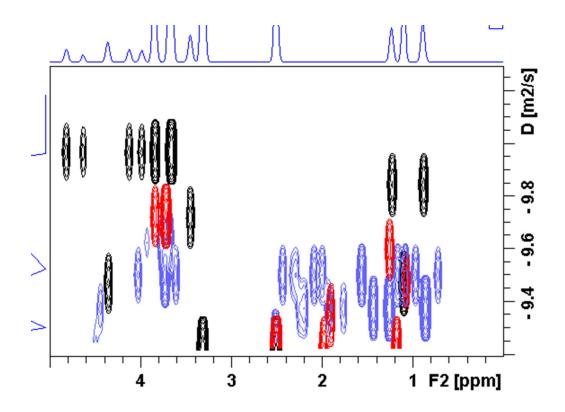


Figure S6. Aliphatic region for the DOSY spectra of B **OrgB** (black), **OrgB**₄₆₃ (red) and **OrgB**_{HTC2.5} (blue).

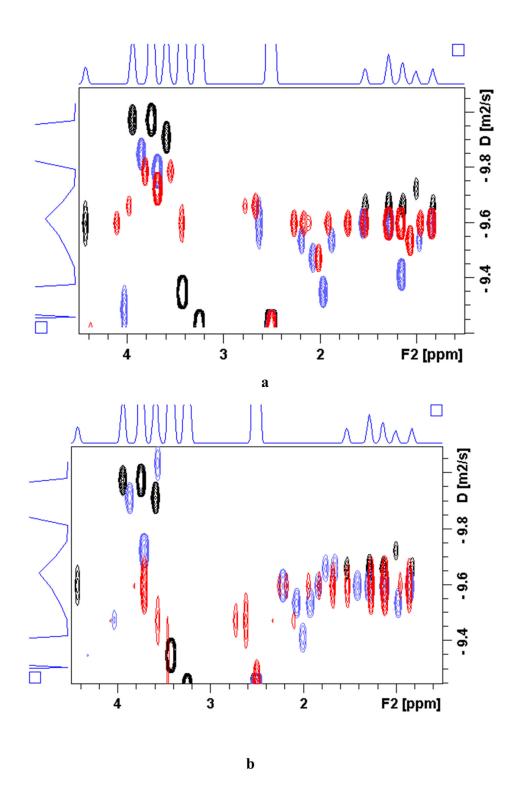


Figure S7. DOSY in the aliphatic region for a) SeolA (black), SeolA₄₉₃ (blue) and SeolA_{493-Ru}. b) DOSY in the aromatic region for SeolA (black), SeolA₅₂₃ (blue) and SeolA_{523-Ru} (red).

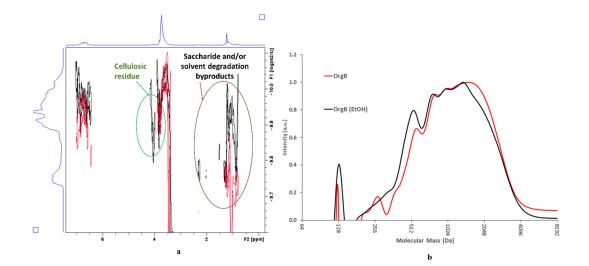


Figure S8. a) DOSY spectra and b) SEC for OrgB obtained using ethanol (black) and isopropyl alcohol (red).

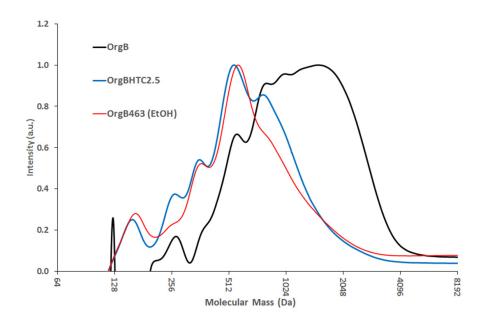


Figure S9. SEC chromatograms for the depolymerization of **OrgB** with NaOH in ethanol at 463 K(red) and **HTC-2.5** in ethanol at 543 K

SI. 5. HSQC and HSQC-TOCSY spectra

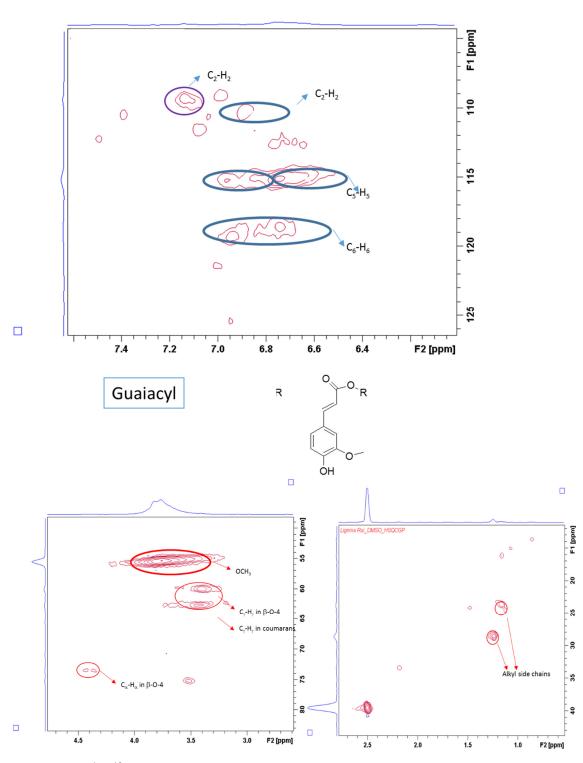


Figure S10. ¹H-¹³C HSQC spectra for **SeolA**

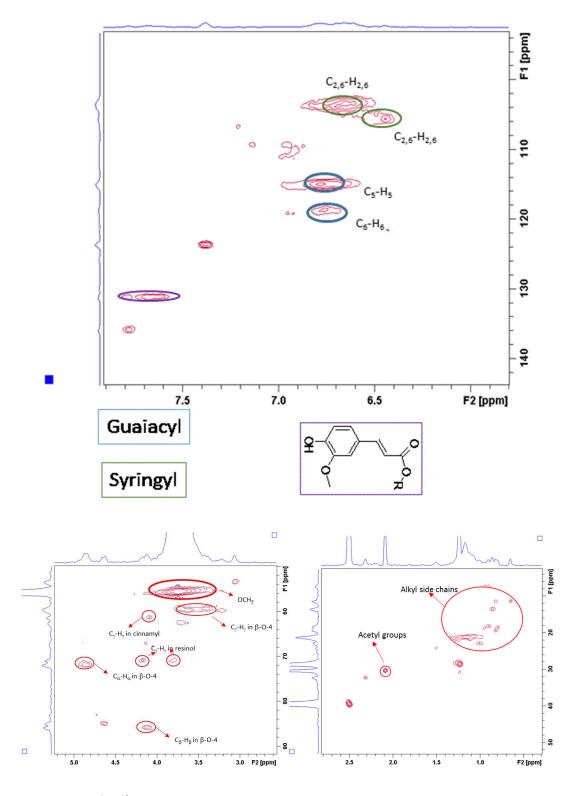


Figure S11. ¹H-¹³C HSQC spectra for OrgB

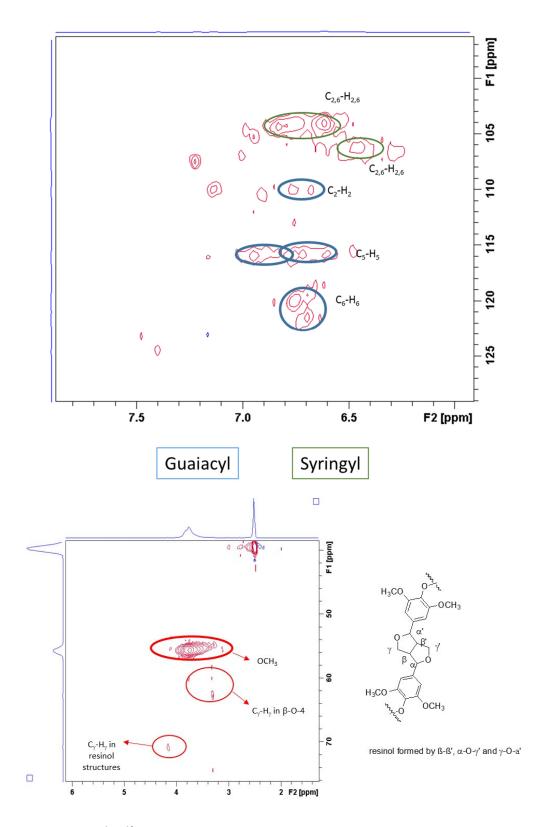


Figure S12. ¹H-¹³C HSQC spectra for SeolB

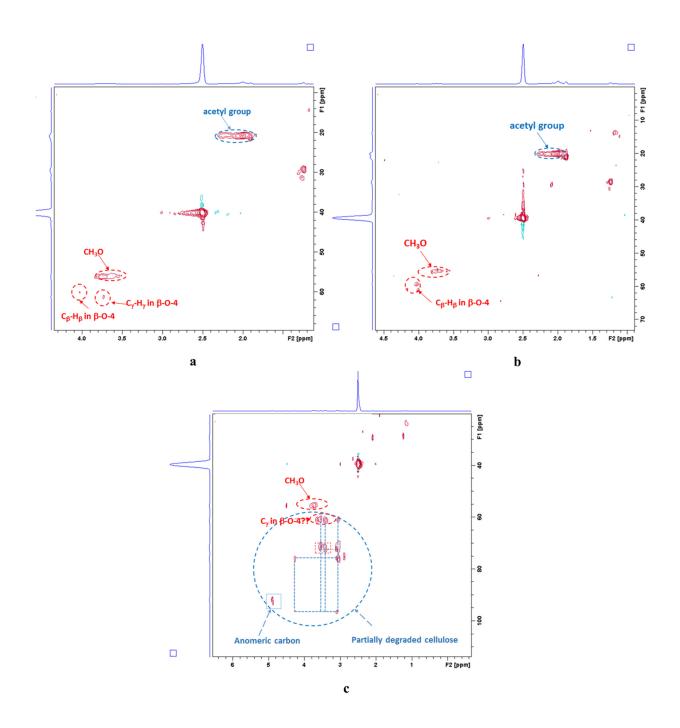


Figure S13. ¹H-¹³C HSQC spectra for a) acetylated **BioB**, b) **BioA** and HSQC-TOCSY for **BioA**

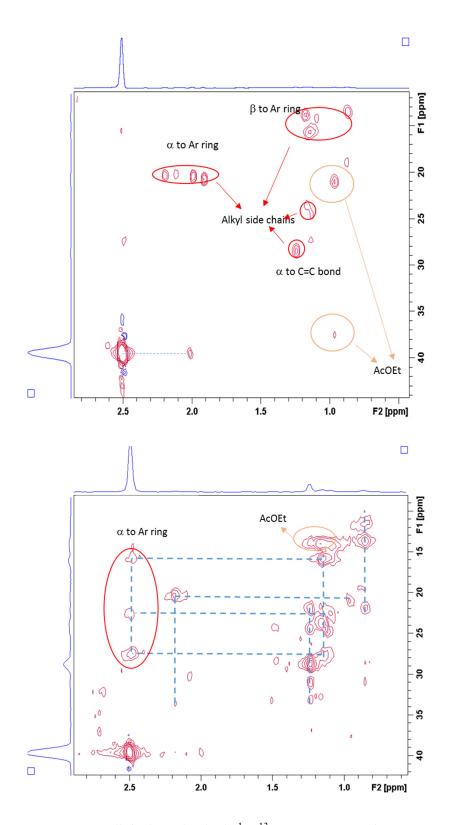


Figure S14. Aliphatic region in the¹H-¹³C HSQC spectra for **SeolA₅₂₃** (top) and **SeolA₅₂₃**. _{**Ru**} (bottom)

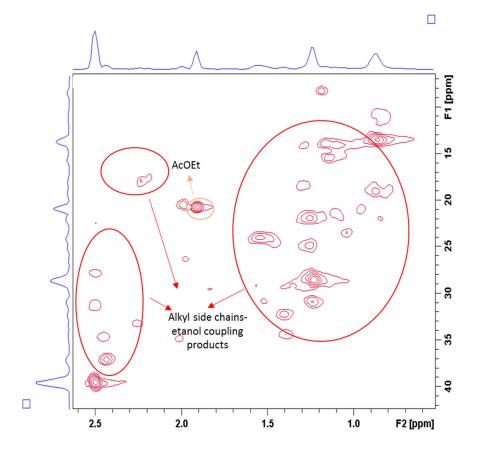


Figure S15. ¹H-¹³C HSQC spectra for in the aliphatic region for OrgB_{HTC2.5}

References and notes

- Ohra-Aho, T.; Gomes, F.J.B.; Colodette, J.L.; Tamminen, T. S/G ratio and lignin structure among Eucalyptus hybrids determined by Py-GC/MS and nitrobenzene oxidation. J. Anal. Appl. Pyrolysis 2013, 101, 166–171, doi:10.1016/j.jaap.2013.01.015.
- Pinto, P.C.R.; Da Silva, E.A.B.; Rodrigues, A.E. Comparative study of solid-phase extraction and liquid-liquid extraction for the reliable quantification of high value added compounds from oxidation processes of wood-derived lignin. *Ind. Eng. Chem. Res.* 2010, 49, 12311–12318, doi:10.1021/ie101680s.
- Fulmer, G.R.; Miller, A.J.M.; Sherden, N.H.; Gottlieb, H.E.; Nudelman, A.; Stoltz, B.M.; Bercaw, J.E.; Goldberg, K.I. NMR chemical shifts of trace impurities: Common laboratory solvents, organics, and gases in deuterated solvents relevant to the organometallic chemist. *Organometallics* 2010, *29*, 2176–2179, doi:10.1021/om100106e.
- Hu, G.; Cateto, C.; Pu, Y.; Samuel, R.; Ragauskas, A.J. Structural characterization of switchgrass lignin after ethanol organosolv pretreatment. *Energy and Fuels* 2012, 26, 740–745, doi:10.1021/ef201477p.
- Rencoret, J.; Marques, G.; Gutiérrez, A.; Nieto, L.; Santos, J.I.; Jiménez-Barbero, J.; Martínez, Á.T.; Del Río, J.C. HSQC-NMR analysis of lignin in woody (Eucalyptus globulus and Picea abies) and non-woody (Agave sisalana) ball-milled plant materials at the gel state. *Holzforschung* 2009, *63*, 691–698, doi:10.1515/HF.2009.070.
- 6. Pu, Y.; Cao, S.; Ragauskas, A.J. Application of quantitative 31 P NMR in biomass lignin and biofuel precursors characterization. *Energy Environ. Sci.* **2011**, *4*, 3154–3166, doi:10.1039/c1ee01201k.