

# *Article* **OBIA-Based Extraction of Artificial Terrace Damages in the Loess Plateau of China from UAV Photogrammetry**

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**Abstract:** Terraces, which are typical artificial landforms found around world, are of great importance for agricultural production and soil and water conservation. However, due to the lack of maintenance, terrace damages often occur and affect the local flow process, which will influence soil erosion. Automatic high-accuracy mapping of terrace damages is the basis of monitoring and related studies. Researchers have achieved artificial terrace damage mapping mainly via manual field investigation, but an automatic method is still lacking. In this study, given the success of high-resolution unmanned aerial vehicle (UAV) photogrammetry and object-based image analysis (OBIA) for image processing tasks, an integrated framework based on OBIA and UAV photogrammetry is proposed for terrace damage mapping. The Pujiawa terrace in the Loess Plateau of China was selected as the study area. Firstly, the segmentation process was optimised by considering the spectral features and the terrains and corresponding textures obtained from high-resolution images and digital surface models. The feature selection was implemented via correlation analysis, and the optimised segmentation parameter was achieved using the estimation of scale parameter algorithm. Then, a supervised k-nearest neighbourhood classifier was used to identify the terrace damages in the segmented objects, and additional geometric features at the object level were considered for classification. The comparison with the ground truth, as delineated by the image and field survey, showed that proposed classification can be adequately performed. The F-measures of extraction on three terrace damages were 92.07% (terrace sinkhole), 81.95% (ridge sinkhole), and 85.17% (collapse), and the Kappa coefficient was 85.34%. Finally, the potential application and spatial distribution of the terrace damages in this study were determined. We believe that this work can provide a credible framework for mapping terrace damages in the Loess Plateau of China.

**Keywords:** object-based image analysis (OBIA); terrace damages; Loess Plateau of China; UAV photogrammetry

## **1. Introduction**

Terraces, which are represented by a series of successively receding flat surfaces along contour lines on slopes [\[1\]](#page-13-0), are typical artificial landforms in hilly and mountainous areas [\[2\]](#page-13-1). Terracing can increase arable land and agricultural production [\[3,](#page-13-2)[4\]](#page-13-3) and contribute to soil and water conservation [\[5,](#page-13-4)[6\]](#page-13-5) by changing the local topography of slopes and their corresponding water flow process [\[7\]](#page-13-6) and soil properties [\[8\]](#page-13-7). The artificially terraced landscapes that have been developed widely around the world [\[2](#page-13-1)[,9\]](#page-13-8) can enhance biodiversity and landscape diversity [\[10,](#page-13-9)[11\]](#page-13-10) and provide aesthetic, cultural, and tourism landscape value [\[12–](#page-13-11)[14\]](#page-13-12). However, localised movement and erosion are likely to occur on terraced



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fields due to steep ridges, as manifested by the micro-landforms of terrace damages, includ-ing collapse and sinkholes [\[15](#page-13-13)[–18\]](#page-13-14). Around the world, terrace damages are closely related to farmland abandonment or lack of maintenance [\[9](#page-13-8)[,17](#page-13-15)[,19](#page-13-16)[–22\]](#page-13-17). According to the reviewed literature, terrace damage provides a major material source for hydrogeological hazards during intense rainfall  $\overline{[23]}$  $\overline{[23]}$  $\overline{[23]}$  and threatens food security and historical landscapes  $\overline{[24,25]}$  $\overline{[24,25]}$  $\overline{[24,25]}$  $\overline{[24,25]}$ .

raced fields due to steep ridges, as manifested by the micro-landforms of terrace damages,

The Loess Plateau of China is one of the areas with the most serious soil erosion in the world due to its broken terrain and loose loess [\[26\]](#page-13-21). Terracing has a long history, and the amount of terraces had greatly increased during the second half of the last century. The implementation of transforming slopes into cultivated terraces have helped to overcome poverty [\[27](#page-13-22)[,28\]](#page-14-0). Furthermore, terracing is an important strategic measure for soil conservation in the Loess Plateau of China [\[29](#page-14-1)[,30\]](#page-14-2). Since the late 1990s, the program called 'Grain for Green' has contributed to the conversion of steep cultivated land into forests and grasslands [\[31](#page-14-3)[,32\]](#page-14-4), and it has led to the ecological and economic migration of farmers [33-35]. A large number of farmlands, including terraced farmlands, on the Loess Plateau have been abandoned [36]. The impr[ove](#page-14-7)ment of the ecological environment of the Loess Plateau in recent years has proven the success of the 'Grain for Green' program, and it represents an important victory of soil and water conservation via vegetation restoration [26,37,38]. However, the loss of agricultural ecosystem services of the Loess Plateau caused by abandoned farmlands remains to be a concern, as it may threaten food security and rural social development [\[39\]](#page-14-10). **Example 2018** on  $\alpha$  serious rainstorm on  $\alpha$ 

Our research team conducted the investigation after a serious rainstorm on July 15, 2020 in Suide, Shaanxi, China. Figure 1 shows examples of comm[on](#page-1-0) problems related to terrace damages. An investigation of terrace damages is extremely important in understanding the processes involved in land degradation. Due to the presence of extremely complex and broken terrains, manual investigation alone is time-consuming, laborious, and dangerous. Moreover, terrace damage is a complex problem because it is influenced by slope gradients and heights of terrace steps, vegetation cover, and time of abandonment  $[9,40,41]$  $[9,40,41]$  $[9,40,41]$ . The efficient and accurate (semi-)automatic exploration of micro-topographical features and corresponding spatial distributions of loess terrace damages is an essential task in understanding the dynamics and trend of terrace degradation and the protection of terraced lands for sustainable ecological services. Therefore, large-scale terrace failure research is necessary to reveal the occurrence mechanism and spatial distribution law of terrace damages. bution law of terrace damages.

<span id="page-1-0"></span>

Figure 1. Terrace damages found by our field investigation. The damages generally include (a) collapse; (b) terrace sinkhole; and (**c**) ridge sinkhole.

Due to the small size of the micro-landform-scale terrace damages, high-resolution Due to the small size of the micro-landform-scale terrace damages, high-resolution imagery is necessary for terrace damage extraction. The development of unmanned aerial vehicle (UAV) photogrammetry and light detection and ranging (LiDAR) remote sensing vehicle (UAV) photogrammetry and light detection and ranging (LiDAR) remote sensing can guarantee the data accessibility of high-resolution images. Unlike satellite-based sensors, both aforementioned approaches can create high-resolution topographical data and orthophoto images [\[42\]](#page-14-13) and simultaneously realise field survey and remote sensing [\[43](#page-14-14)[,44\]](#page-14-15). Amongst both approaches, UAV photogrammetry is more flexible and entails a lower cost compared with LiDAR [\[45\]](#page-14-16). The recent studies about terraces degradation have

also shown that UAV photogrammetry is effective for damage identification and erosion evaluation [\[46\]](#page-14-17). The monitoring of terrace damages in these previous studies was achieved via accurate terrain modelling. Since the terraces are always covered by dense vegetation, the terrain model task should firstly remove all the vegetation points from the digital surface model (DSM) generated by UAV photogrammetry. This could be achieved by some proposed automatic filtering algorithm, which is mainly based on local surface filtering [\[47,](#page-14-18)[48\]](#page-14-19). However, the recurrent filtering algorithm for generating DEM is mainly suitable for flat areas but not for the Loess Plateau with broken terrain [\[49\]](#page-14-20). Therefore, it is necessary to explore the method of extracting terrace damages directly from DSMs and images generated by UAV photogrammetry.

Object-based image analysis (OBIA) is a relatively new remote sensing image processing approach with respect to the traditional pixel-based one. OBIA performs segmentation via a clustering method to determine the pixel groups belonging to a single meaningful object and then classifies the segmented objects [\[50\]](#page-14-21). Compared with pixel-based methods that do not use spatial concepts [\[51\]](#page-14-22), the object-based method is more advanced, as it exploits the spatial information of target features, such as spectral, shape, and textural features [\[52](#page-14-23)[–56\]](#page-14-24). Moreover, owing to the use of high-resolution data with high spectral variety between pixels, which often results in oversampling, the approach of OBIA of clustering pixels into objects is more effective than that of the pixel-based method [\[57\]](#page-15-0) in processing high-resolution images requiring terrace damage extraction. The recent studies have also proven that OBIA and UAV data can be successfully applied in many fields, such as building safety [\[58](#page-15-1)[–61\]](#page-15-2), crop production [\[62](#page-15-3)[,63\]](#page-15-4), landslide movement [\[64,](#page-15-5)[65\]](#page-15-6), and artificial terrace mapping [\[52](#page-14-23)[,66\]](#page-15-7).

Inspired by the above considerations, the present work focuses on the extraction of terrace damages. The objective of this study is to propose an OBIA workflow for the automatic extraction of terrace damages in the Loess Plateau of China. The proposed workflow is based on high-resolution imagery and digital surface model (DSM) from UAV photogrammetry.

## **2. Materials and Methods**

### *2.1. Study Area*

A terraced slope called Pujiawa (110°21′21″ E–110°21′32″ E, 37°34′18″ N–36°34′32″ N) was selected as the study area (Figure [2a](#page-3-0),b). It is located in the Jiuyuangou catchment, Suide County, Shaanxi Province, China. The Jiuyuangou catchment is in a loess hilly and gully area of the Loess Plateau, where terracing is widespread as an important measure for soil and water conservation since the 1950s [\[52\]](#page-14-23). The climate is semi-humid with an average annual temperature of 8  $°C$  and an average precipitation of 475.1 mm. Most of the annual precipitation is concentrated between June to September in the form of high-intensive precipitation that can cause severe soil erosion  $[67]$ . The study area has an elevation range of 1034.6–1143.9 m and an area of 8.102 ha. There are narrow man-made terraces in the north with a field width of about 3–6 m and wide machine-made terraces in other areas with a field width of about 10–30 m. The 'Grain for Green' program here was started in 1999. Most terraced farmlands had been converted into grasslands. The vegetation cover was first planted with artificial alfalfa in response to the 'Grain for Green' program and then was naturally restored due to no manual management. Moreover, the lack of manual maintenance had led to the frequent occurrence of terrace damages. At present, Pujiawa terraces have been abandoned for more than 20 years, and the terraces are obviously damaged, which is manifested in multiple collapses and sinkholes.

#### *2.2. Data Acquisition*

High-resolution orthophoto imagery and the corresponding DSMs were used to extract the terrace damages. Images with a ground sample distance of 3 cm was generated using UAV photogrammetry in August 2020 (Figure [2c](#page-3-0),d). Two main phases, namely, outdoor field survey and subsequent indoor image processing, were included in the data

generation. For the outdoor survey, a DJI Phantom 4 RTK drone was firstly used to capture the optical aerial photographs of the study area with WGS-84 coordinates. This drone system equipped RGB camera ( $5472 \times 3648$  resolution and 24 mm focal length) and Real-Time Kinematic (RTK) receivers with 1.5 cm vertical and 1 cm horizontal measurement accuracy. A total of 218 images were generated with a 70% flight overlapping rate and a 50% side overlapping rate. Secondly, the horizontal and vertical accuracies were maintained on the basis of 15 check points (CPs) obtained via Post-Processed Kinematic (PPK) GNSS measurements. The indoor image processing was mainly implemented in two steps, namely, data generation and ground truth generation. The Pix4D Mapper software was used for data generation. Aerial triangulation was initially performed on the basis of the bundle block adjustment. A total of 2,697,507 3D key points were eventually matched with the overlapped images. Subsequently, the dense point cloud was generated from the 3D triangulation network and then rasterised into an image and a DSM. According to the accuracy assessment with CPs, the root square mean error (RSME) of the final DSM was 0.075 m. Then, ground truth generation was performed by manually interpreting the generated image. Reference polygons (Figure [2d](#page-3-0)) were manually delineated from both the field investigation and the details of the terrace based on the generated data.

<span id="page-3-0"></span>

Figure 2. Study area and data: (a,b) Locations of the Loess Plateau, China and the study area; (c) DSM of the study area obtained from UAV photogrammetry; (d) Image obtained from UAV photogrammetry with reference polygons of terrace damages by artificial interpretation. damages by artificial interpretation.

## *2.2. Data Acquisition 2.3. OBIA-Based Terrace Damage Extraction*

The mapping task was started with image segmentation, which is an essential step that can affect the final mapping accuracy. Multiple resolution segmentation (MRS) [\[51,](#page-14-22)[68\]](#page-15-9) was utilised in this study. The spectra and topographies and their corresponding textures were used as the features for segmentation as a means of achieving the best result. Optical feature selection was conducted via correlation analysis, and the Estimation of Scale Parameter (ESP) Tool [\[69\]](#page-15-10) was used to optimise the segmentation parameters. Subsequently, a supervised classification strategy based on the k-nearest neighbour (kNN) was performed to identify the terrace damages, including the collapse and sinkholes. The spectrum and topography and their corresponding textures and the geometry of each segment were calculated as the features for classification. Then, the sinkholes were classified into two types, namely, ridge sinkhole and terrace sinkhole, by using a local terrain feature derived

<span id="page-4-0"></span>

from the DSM. Finally, the mapping results were validated and compared via an accuracy assessment analys[is.](#page-4-0) Figure 3 illustrates the entire workflow of this study.

**Figure 3.** Workflow of the proposed extraction method. **Figure 3.** Workflow of the proposed extraction method.

## 2.3.1. Image Segmentation 2.3.1. Image Segmentation

The commonly used MRS for images integrated in the eCognition software was implemented in this study. In MRS, an appropriate feature combination and optimal segmentation parameters should be selected. Then, segments are used as the basic processing mentation parameters should be selected. Then, segments are used as the basic processing units for terrace mapping. units for terrace mapping.

(1) Feature selection (1) Feature selection

Twenty-three features, including the spectra and topographies and their corresponding textures, were considered for object segmentation in this study. An overview of these features and the detailed description for each feature are listed in Table [1.](#page-5-0) As spectral and topographic features are widely used in the OBIA community [\[56,](#page-14-24)[66](#page-15-7)[,70,](#page-15-11)[71\]](#page-15-12), this information was firstly considered. For the spectral features, the R, G, and B bands from the images and a vegetation index based on the above-mentioned three features called EXG were considered. Three basic topographic factors, namely, elevation, hill shade, and slope, were considered. Three basic topographic factors, namely, elevation, hill shade, and slope, were utilised. The spectral and topographic textures, which are beneficial for extracting were utilised. The spectral and topographic textures, which are beneficial for extracting landform entities [\[72,](#page-15-13)[73\]](#page-15-14), were also adopted. Four terrain texture measures derived from the grey-level co-occurrence matrix (GLCM) [74] were calculated on the basis of all seven spectral and topographic features. landform entities [72,73], were also adopted. Four terrain texture measures derived from<br>the grey-level co-occurrence matrix (GLCM) [\[74\]](#page-15-15) were calculated on the basis of all seven<br>spectral and topographic features.<br>Pre-sele

perspectives. However, each pair of factors may also have high correlation, and it can **Type Features Abbreviation Description**  be optimised to reduce the time complexity of the segmentation process. Correlation analysis was used in cases in which a subset of lower-correlation features (coefficients less than  $(0.5)$  needed to be selected from 23 preselected features. introduce overlapping and redundant information. Thus, the pre-selected features should

 $\mathcal{S}$  $\cdot$ ,  $\circ$ (2) Segmentation parametric optimisation Excess green index by Woebbecke (1995) [75] pro-

MRS is a bottom–up region-based approach that begins with one pixel and merges the neighbouring pixels with minimum heterogeneity increment until the heterogeneity of the object exceeds a certain user-defined threshold. Recent studies have emphasised that certain segmentation parameters, particularly scale, shape, and compactness, will strongly affect the segmentation. Given that optimising the segmentation parameters typically rely on subjective trial-and-error methods, many studies have focused on automatic scale optimisation methods. ESP version 2.0 [\[69\]](#page-15-10) is widely adopted for exploring the scale parameter [\[77](#page-15-16)[–79\]](#page-15-17). Subsequently, the optimal shape and compactness parameters based on the heterogeneity criterion have been utilised [\[42,](#page-14-13)[55\]](#page-14-25), as shown in the literature review.

<span id="page-5-0"></span>

**Table 1.** Overview of the features used for segmentation.

## 2.3.2. Terrace Damage Classification

After achieving the optimal segmentation, the segment is classified via supervised classification. K nearest neighborhood (kNN) classification, a powerful tool used in many object-based procedures [\[80](#page-15-20)[,81\]](#page-15-21) because of its flexibility and simplicity, can be used for terrace damage mapping. However, certain features need to be determined to train the classifiers. As the generated segments require additional geometry information compared with the pixel-level image, all features to be used in classification require object-level calculation. Then, the combined features can be optimised via importance ranking. In this study, kNN was adopted using the eCognition software (Trimble Geospatial, Munich, Germany).

After performing kNN classification, the original segments are classified into three types: namely, collapse, sinkholes, and others. As a means of differentiating the sinkholes into subtypes (i.e., ridge and terrace) of terrain features, the terrain relief is introduced to the next-level classification. Terrain relief can be defined as the difference between the maximum and minimum elevations within a given area [\[82\]](#page-15-22). Considering that there would be a huge difference near the ridges for terrain relief, the sinkholes could be classified by a threshold. Field investigation indicates that terrace ridges in study area are normally higher than 0.5 m, therefore, it can be used for this threshold. The average terrain relief of terrain sinkhole classification result by kNN will be firstly calculated based on original DSM and then classified into two sub-types.

### 2.3.3. Accuracy Assessment

After completing the extraction of terrace damages, the predicted results can be validated on the basis of the reference data. In this study, the F1-score (*F1*) and Kappa coefficient (*k*) were adopted in the accuracy assessment. These metrics can be calculated as follows: follows: idated on the basis of the reference data. In this study, the F1-score (*F1*) and Kappa coefficient (*k*) were adopted in the accuracy assessment. These metrics can be calculated as

$$
F1 = \frac{2 \times Pr \times Re}{Pr + Re}
$$
, where  $Pr = \frac{TP}{TP + FP}$  and  $Re = \frac{TP}{TP + FN}$  (1)

$$
k = \frac{P_0 - P_e}{1 - P_e}, \text{ where } P_0 = \frac{TP + TN}{TP + FP + TN + FN} \text{ and } P_e = \frac{(TP + FP)(TP + FN) + (FN + TN)(TN + FP)}{(TP + FP + TN + FN)^2} \tag{2}
$$

where *TP, FP, TN,* and *FN* denote the true positive*,* false positive, true negative, and false negative amount with respect to the reference, respectively.

#### **3. Results 3. Results**

# *3.1. Segmentation Results 3.1. Segmentation Results*

2.3.3. Accuracy Assessment

3.1.1. Feature Selection Result from Correlation Analysis 3.1.1. Feature Selection Result from Correlation Analysis

The correlation of the pre-selected features for segmentation was calculated firstly. The correlation of the pre-selected features for segmentation was calculated firstly. The results are presented in Appendix [A.](#page-12-0) Then, the high-correlation features were removed to reduce the time complexity during segmentation. From the table, we can see that EXG has a strong correlation with R and B; thus, it was removed, and we kept R, G, and B. A similar situation also occurs for GLCM textures of R and B; therefore, they are all removed. As for GLCM textures of G, we kept G\_Mean and G\_Cor for segmentation due to their lower correlation to other features. For terrain texture features, we kept Ele\_Cor for the same reason. Finally, eight remaining features, namely, R, G, B, G\_Mean, G\_Cor, Ele, HSh, and Ele\_Cor were selected for the next step of MRS.

## 3.1.2. Segmentation Parametric Optimisation Result by ESP 3.1.2. Segmentation Parametric Optimisation Result by ESP

A preliminary experiment shows that range scale of 20 to 100 was close to the actual A preliminary experiment shows that range scale of 20 to 100 was close to the actual boundaries of the terrace damage. Hence, this range was considered to be a reasonable boundaries of the terrace damage. Hence, this range was considered to be a reasonable scale. Then, ESP was performed to obtain the best scale factor (Figure 4). On the basis of scale. Then, ESP was performed to obtain the best scale factor (Figure [4](#page-6-0)). On the basis of the optimal shape and compactness values and the range of a reasonable segmentation the optimal shape and compactness values and the range of a reasonable segmentation scale, the optimal segmentation scale was estimated using the ESP tools. Figure 4 shows scale, the optimal segmentation scale was estimated using the ESP tools. Figur[e 4](#page-6-0) shows that the four best scale parameters are 66, 79, 92, and 98 because their rates of changes that the four best scale parameters are 66, 79, 92, and 98 because their rates of changes achieve a local peak. achieve a local peak.

<span id="page-6-0"></span>

**Figure 4.** ESP result. **Figure 4.** ESP result.

Then, four candidate scales were used for segmentation (Figure [5\)](#page-7-0). Then, the scale of 98 was considered to be the optimal scale as a means of overcoming the problem of over-segmentation. Given a fixed scale of 98, different shape and compactness values were tested. The optimal shape and compactness values were 0.1 and 0.5, respectively.

<span id="page-7-0"></span>

**Figure 5.** Segmentation results with different candidate scale parameters. The three values under each figure represent the scale, shape, and compactness, respectively. The actual terrace damage areas are highlighted by red lines.

### 3.1.3. Final Segmentation Results by MRS

The original image was finally segmented into objects by MRS using the selected feature combination and the optimal parameters. A total of 16,838 objects were generated in the segmentation process.

#### $\frac{1}{2}$  segmentation process. *3.2. Classification Results*

## <span id="page-7-1"></span>*3.2. Classification Results*  3.2.1. Feature Selection Result via Importance Ranking

An overview of the features for classification and the detailed descriptions of each  $A_n = \frac{1}{n}$ feature are shown in Table [2.](#page-8-0)



Table 2. Overview of the features used for terrace damages classification.

<span id="page-8-0"></span>

**Table 2.** *Cont.*

The variable importance was assessed on the basis of the separation distance of the standard nearest neighbour feature space. An optimal feature subset for classification could be selected when feature combination achieves the maximum average distance in the feature space. Then, this feature combination will be used to generate the kNN classification model. As shown in Figure [6,](#page-8-1) the first 12 features amongst the total 19 features have a strong influence on accurate classification, namely G\_Mean\_Mean, G\_Mean\_Std, G\_Cor\_Mean, Ele\_Cor\_Std, EXG\_Std, Maxdiff, Brg, G\_Cor\_Std, Hsh\_Mean, Ele\_Cor\_Mean, EXG\_Mean, and Shp. Therefore, the top 12 features were used to construct the kNN classifier.

<span id="page-8-1"></span>

**Figure 6.** Feature ranking for kNN classification. **Figure 6.** Feature ranking for kNN classification.

## 3.2.2. Classification Result by kNN 3.2.2. Classification Result by kNN

Some samples from the segments were randomly and manually chosen as the training samples based on the images. The same number of training samples for each terrace damage type was ensured. Then, the remaining objects were used for kNN classification, damage type was ensured. Then, the remaining objects were used for kNN classification, after which twelve features (see Section [3.2.1\)](#page-7-1) were selected for classification by considering the variable importance ranks. Then, the obtained sinkholes from the kNN classification the variable importance ranks. results were classified into two subtypes by using the train relief. The final classification tion result is illustrated in Figure 7. result is illustrated in Figure [7.](#page-9-0)

<span id="page-9-0"></span>

**Figure 7.** Classification result. The left figure is the classification map of terrace damages, and the **Figure 7.** Classification result. The left figure is the classification map of terrace damages, and the three figures on the right show the images without overlapping classification results within the box area of the left figure. The red arrow shows the terrace damages are continuously distributed along area of the left figure. The red arrow shows the terrace damages are continuously distributed along the slope. the slope.

## *3.3. Accuracy Assessment Result 3.3. Accuracy Assessment Result*

Table [3 s](#page-9-1)ummarises the per-pixel confusion matrix and accuracy assessment statistics Table 3 summarises the per-pixel confusion matrix and accuracy assessment statistics with respect to the reference. The F-measure values of the three extracted terrace damages with respect to the reference. The F-measure values of the three extracted terrace damages were 92.07% (terrace sinkhole), 81.95% (ridge sinkhole), and 85.17% (collapse), and the were 92.07% (terrace sinkhole), 81.95% (ridge sinkhole), and 85.17% (collapse), and the Kappa coefficient was 85.34%. The results indicate that most of the damages of the total Kappa coefficient was 85.34%. The results indicate that most of the damages of the total areas had been correctly classified. areas had been correctly classified.

Table 3. Confusion matrix between classification result and ground truth (area:  $m^2$ ).

<span id="page-9-1"></span>

## **4. Discussion**

### *4.1. Rationality of the Proposed Method*

The automatic classification method based on the high-resolution imagery and topographic data, which can reflect the characteristics of the surface material, coverage, and terrain, is a promising approach for monitoring various surface changes in ecology [\[83\]](#page-15-23), mountain disaster [\[84\]](#page-15-24), and geomorphology [\[85\]](#page-15-25). OBIA, an automatic classification method, has been proven to be efficient in mining image information and terrain data [\[86\]](#page-16-0). The surface coverage of terrace area is characterised by regular stepped distribution due to its stepped terrain, but terrace damages characterised as irregular micro-landforms (such as collapse and sinkhole) break this regularity. On the basis of our understanding of the actual characteristics of degraded terraces, we proposed a hypothesis that the damaged and the non-damaged parts can be distinguished using topographic, spectral, and textural features. Based on the hypothesis, our method was implemented using terrain indexes, GLCM textures, and spectral response during terrace damages extraction. The results of our study confirmed that the object-oriented method can effectively extract terrace damages with a Kappa coefficient of 85.34%. Not only the spatial distribution of the terrace damages but also the different types of damages can be classified with high accuracy.

The research on monitoring terrace degradation has shown that DEM via UAV photogrammetry can effectively map terrace damages, contributing to flow simulation and calculation of erosion amount [\[46\]](#page-14-17). Unlike DEM, DSM includes vegetation elevation information. However, the production of DEMs by removing the vegetation point from DSM is time-consuming and not suitable for the Loess Plateau with broken terrain [\[47](#page-14-18)[–49\]](#page-14-20). In addition, the study area is mainly covered by grassland. Therefore, the selection of experimental data is reasonable, and this study realised the extraction of terrace damages based on DSMs and images without DEMs.

## *4.2. Spatial Distribution of Terrace Damages*

The research on the spatial distribution of terrace damages needs high-precision surface data or field investigation to obtain the micro-geomorphic change on terraced slope [\[46\]](#page-14-17). Therefore, the literature regarding terrace damages was mostly conducted at the slope scale [\[41](#page-14-12)[,46\]](#page-14-17). Factors that could affect the damage distribution of terraces include the abandonment age, vegetation cover, and topography of slopes where terraces are located [\[9](#page-13-8)[,40](#page-14-11)[,41\]](#page-14-12).

Our research was implemented on a horizontal terrace in a slope-scale area. The results depict two obvious characteristics for the spatial distribution of terrace damages on the studied slope. Firstly, the number and area of the terrace damages on the terraces with low ridges and narrow fields in the north are significantly less than those with high ridges and wide fields (Figure [7\)](#page-9-0). Terracing could reduce the outflow volume by intercepting runoff, altering the path of runoff and decreasing the hydrological connectivity, thus encouraging infiltration [\[86](#page-16-0)[,87\]](#page-16-1). Compared with narrow terraces, wide terraces have more infiltration water and can more easily form sinkholes. Consequently, more water volume increases the gravity of the terrace, hence the high likelihood of collapse. Our finding is consistent with the research on rice terrace research, which showed that too much water by irrigation would cause a collapse [\[88\]](#page-16-2). Secondly, the damage of terraces tends to be continuously distributed along the slope indicated by the red arrow in Figure [7,](#page-9-0) reflecting the influence of the water output of the upper step on the downer step. In the slope-scale area, the climate, soil, vegetation cover, and abandoned time conditions are consistent. Our study indicated that the occurrence of terrace damages is related to the morphological characteristics of terraces, including the height of the steps, the width of the field surface, and the number of steps.

#### *4.3. Potential Application*

Terrace damage extraction is the basis for knowing the spatial distribution and temporal evolution of terrace damages. Targeted measures for terrace management and construction can also be determined on the basis of the type, degree, and impact mechanism of the terrace damages. This study is a meaningful work for finding the precise location, size, shape, and spatial patterns of terrace damages.

The proposed method has been implemented via experiment on horizontal terraces whose area accounts for 54% of the terraces on the Loess Plateau of China [\[89\]](#page-16-3). Firstly, although this study area is small, the terraces have different field widths and ridge heights. It indicated the effectiveness of this method is not affected by the shape and size of terraces. Secondly, the method can extract collapse and sinkhole damages, which are two typical manifestations of terrace failure in the Loess Plateau of China [\[90\]](#page-16-4). Thirdly, good extraction results can be obtained based on DSMs and images. It is related to the fact that the study area is basically covered with grass. If there are many trees in the study area, the use of DEMs is recommended to eliminate the impact of vegetation on a real terrain [\[49\]](#page-14-20). Therefore, the proposed method has application potential in the damage extraction of horizontal terraces covered grasslands or bare lands. When the study area includes terracing area and non-terracing area, a two-step scheme can be adopted: firstly, extracting the terrace area via the terrace extraction method [\[52](#page-14-23)[,66\]](#page-15-7), and secondly, using the proposed method for extracting damages in the terrace area.

The specific classification features and rules of this research method are difficult to be directly applied to terraces with different topographic features, such as slope terraces and reverse slope terraces, which is primarily a challenging task, and thus needs further exploration. However, the object-oriented method can flexibly change the classification rules by changing the input classification features according to the actual terrace shape and terrain. In addition, the object-based method is a semi-automatic method by a supervised classifier; unsupervised classifiers may help to realise fully automatic classification. In general, we are optimistic about applying our method in terrace damages extraction.

## **5. Conclusions**

Artificial terraces are common around the world, and they are of great importance in food production, water and soil conservation, and ecological protection. The problem of terrace damages caused by the lack of manual maintenance has drawn increasing attention, but an automatic mapping method is still lacking. Recent technological development of UAV photogrammetry and the good performance of OBIA for high-resolution image processing tasks have application potential in terrace damage extraction. In this study, we explored the potential of the OBIA framework based on UAV photogrammetry for terrace damage mapping. We conducted experiments on an area of the Loess Plateau, China by using aerial imagery with 3 cm resolution and DSM from UAV photogrammetry. The feature selection, segmentation parametric optimisation, and classification tasks were optimised by considering the specific task objectives. Our results indicate that the proposed method can obtain acceptable and accurate classification results. However, the OBIA framework for terrace damage mapping can still be improved. Other types of data sources, such as LiDAR data, may help to enhance the OBIA framework. Unsupervised classifiers may be introduced to simplify the whole process. This suggested approach will require several in-depth studies in the future.

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**Data Availability Statement:** Data from this research will be available upon request to the authors.

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## **Appendix A**

The detailed feature correlation matrix used for segmentation in this study.



<span id="page-12-0"></span>

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