

Review

# Review of Launch Vehicle Engine PHM Technology and Analysis Methods Research

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**Abstract:** The reliability and safety of launch vehicle launch missions might be effectively increased thanks to the fault prediction and health management (PHM) technology of engines, which could also improve with problem diagnostics and decrease the cost of operation and maintenance overhaul. This paper combines the equipment characteristics and the current state of safeguarding for large, complex space systems, introduces the intelligent launch vehicle engine PHM technology methods that are being gradually implemented in space systems, and discusses and compares fault detection and health assessment techniques. Subsequently, analysis of the measurement signals from a rocket engine was performed using an example, and it was shown that the established comprehensive health assessment structure, which is based on the fault prediction algorithm method and the fuzzy comprehensive assessment method, could successfully realize the effectiveness of the rocket engine system health assessment, which had an outstanding application value.

**Keywords:** intelligent launch vehicle engine; PHM technology; fault detection; health management



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## 1. Introduction

Launch vehicle high-density launches have been commonplace in recent years, although flight mishaps, even complete flight failures, have also occasionally happened. The technical crew discovered that the flight outcomes could be greatly enhanced and the mission would be more trustworthy if the arrow had the capability to diagnose flaws and fly autonomously, that is, make the launch vehicle intelligent and smarter and make autonomous changes when faults emerge. As the brains behind clever launch vehicle propulsion, launch vehicle engines must operate with great reliability in order for space launch operations to go off without a hitch. There is no assurance that the hardware operating margin in the design and production of the engine will be sufficient as it is a highly coupled nonlinear complex system with operating circumstances that are close to the physical limits of materials [1]. The launch vehicle's engine, however, is an incredibly sensitive and prone component of the launch vehicle system failure due to its high technical complexity and difficulty in balancing the requirements of large thrust, high specific impulse, high thrust-to-weight ratio, long operation in the harsh environment of space, and the ability to withstand significant vibration and shock loads. In order to increase the dependability and safety of next space launch missions, research into Prognostics and Health Management (PHM) technologies for the new generation of intelligent launch vehicle engine systems has become necessary [2–6].

In an effort to minimize the impact of engine failures, the U.S. has been trying to put engine PHM systems on launch vehicles and test stands for launch vehicle engines since the 1970s. The engine PHM system [7], which consists of hardware including sensors and operators and has a fault diagnosis algorithm at its core, could identify engine abnormalities, diagnose faults, and predict an engine's health state. With considerable improvements in

sensors [8] and their fault diagnoses [9,10], fault diagnostic algorithms, operators [4,5], and health management over the years, PHM technology for launch vehicle engines has reached its complete development, enabling quicker, more precise, and more thorough diagnosis of engine issues [11]. The health management system promptly identified engine failure in numerous Space Shuttle and Falcon-9 launch vehicle operations, allowing fault-tolerant control to successfully continue the launch mission. Hence, the likelihood of launch failure due to engine failure would be somewhat reduced by the application of defect identification algorithms for engine health management [12].

The maintenance strategy and research idea have advanced significantly in recent years due to the rapid advancement of technology. PHM technology is evolving from a conventional sensor-based diagnosis to an intelligent system-based prediction, from passive after-the-fact maintenance to precise condition-based maintenance, and from straightforward in-flight monitoring and condition monitoring of avionics equipment to thorough diagnosis and condition management encompassing all important parts of the entire aircraft system. The management system for launch vehicle engines is attempting to develop and moving toward automation, intelligence, and integration.

We contribute in the following two ways. Prior to categorizing and summarizing the PHM strategies developed for intelligent launch vehicle engines, we list each technique's benefits and drawbacks. On the basis of examples, we also examine the research gaps in signal analysis and signal processing-based techniques for launch vehicle engine health management systems, and we provide sound ideas and recommendations for future research directions which could serve as a guide for engineering practice in this area.

## 2. Intelligent Launch Vehicle Engine Fault Detection

### 2.1. Launch Vehicle Engine Failure Analysis

There are few examples of launch vehicle failures due to the high complexity of launch vehicles and the harsh operating environment. According to past historical data, launch vehicle engines were once sensitive and prone to failures in launch vehicles, and the occurrence and development of failures were rapid and destructive [13]. It has been reported that liquid launch vehicle engine failures in the United States account for more than 60% of launch vehicle failures. European "Ariane" launch vehicles have been launched a total of 36 times, of which five launch failures were caused by engine failures. China has had 10 launch failures since 2009, 8 of which were caused by engine failures. In addition to this, engine failure will also seriously affect the safety of the engine test [14].

The main causes of liquid launch vehicle engine failures, such as propellant leakage, propulsion system component failure, excess material, and unstable combustion, are inadequate thrust and early shutdown. The Space Shuttle Main Engine (SSME), which served as a representative of liquid launch vehicle engines in the United States' study of the failure mechanism in the late 1980s, served as the basis for a number of subsequent studies on the health monitoring of launch vehicle power systems. In order to identify the most significant failures, the U.S. collected and processed the SSME failure history data, created a failure mode and impact analysis table, and classified the failure levels in accordance with expert experience recommendations in 1987 [3]. As shown in Table 1, with a high percentage of faults occurring in high-pressure oxidant turbines and fuel turbopumps, 17 fault modes of engines like the SSME were discovered in 1990 and used as the foundation for creating a database of SSME fault modes [5,15].

**Table 1.** Examples of engine failure modes.

Component	Failure Mode	Possible Causes	Possible Effects
Heat Exchanger (HEX)	Coil fracture/leakage	① Coil weld or parent material fracture due to fatigue, ② loss of channel/bracket supports, ③ damage due to impact from fragmented liner, turning vanes, or channels, ④ tube wall wear at support points, ⑤ tube damage during HPOTP removal and installation, and ⑥ coil collapse.	Mixing of GOX with fuel-rich hot gas stream could result in ignition, detonation, and burning. Burning would result in coil, HGM liner or HPOTP turbine, or main injector burn-through causing loss of engine. Fuel-rich hot gas could enter the downstream side of the coil and combine with oxygen from the bypass system, causing a fire in the discharge line that supplies the POGO accumulator and the vehicle oxygen pressurization system.
High Pressure Fuel Turbopump (HPFTP)	Structural Failure of Turbine Blades	① Rotor blade cracks, ② loss of blade dampers, ③ excessive tip rubbing, ④ tip seal failure, ⑤ housing pilot lip failure, ⑥ housing retaining lug failure, ⑦ nozzle failure, ⑧ impact from macroscopic contaminant, ⑨ disk fir-tree yielding or fracture, and ⑩ excessive rubbing of platform seals.	Multiple blade failures resulting in immediate loss of turbine power and rotor imbalance. Rotor imbalance results in excessive vibration which would cause more rubbing and additional component failures. Extensive turbine damage could result from impact and overtemperature. Possible burst of pump inlet due to pressure surge. Possible HPFTP seizure could result in LOX-rich shutdown with subsequent main injector or fuel preburner injector post damage/erosion.
	Loss of support or position control.	① Bearing failure (ball/cage failure, loss of coolant corrosion, contamination, race, failures, ② fracture/distortion of bearing carrier or excessive loss of bolt preload, ③ excessive loss of bearing retaining nut preload, ④ excessive clearance at pump interstage seals, ⑤ failure or excessive wear of bearing preload spring, ⑥ pump slinger pin failure, and ⑦ stud failure or loss of preload.	Reduced speed, flow and pump output pressure, and increased vibration levels. Possible turbine blade failure or disintegration of rotating assembly.
High Pressure Oxidizer Turbopump (HPOTP)	Turbine Blade structural failure.	① Blade cracks, ② rotor blade tip rubbing, ③ honeycomb retainer failure, ④ impact, ⑤ inadequate cooling flow, ⑥ loss of damper function, ⑦ operation to resonance, ⑧ fir-tree yielding and fracture, and ⑨ nozzle failure.	Loss of turbine blades, leading to multiple blade failure and rotor unbalance, with subsequent rubbing and ultimate rotating assembly disintegration.
	Loss of Axial Balancing Force	① Damage to balance piston orifices from contamination, and ② loss of bolt preload causing rubbing in the balance piston region.	Excessive shaft axial displacement resulting in internal rubbing of rotating components. Disintegration of rotating parts will occur at high speeds.
	Failure to Transient Torque	① Failure of shaft or impeller splines, ② curvic coupling failure, ③ loss of turbine tie-bolt preload, ④ loss of preburner tie-bolt preload, ⑤ main impeller retainer nut/lock failure, ⑥ turbine disc failure, and ⑦ shaft failure.	Turbine unload and overspeed with probable blade failure and/or disk burst, rubbing, and rotor unbalance. Turbine burst may cause shrapnel damage to other parts of the engine, resulting in ultimate rotating assembly disintegration, fire, or explosion.
Low Pressure Fuel Turbopump (LPFTP)	Fuel leakage fast liftoff seal.	① Contamination, ② damaged scaling surfaces on liftoff seal or shaft, ③ binding within liftoff seal, ④ leakage past static seal at liftoff seal to manifold interface, and ⑤ damage due to failure to liftoff.	Fuel flow into the turbine and through the MCC and nozzle with the possible result of open-air fire/detonation.

Table 1. Cont.

Component	Failure Mode	Possible Causes	Possible Effects
Low Pressure Oxidizer Turbopump	Loss of Support and Position Control	① High rotor axial thrust loads; ② pump/turbine end bearing failure due to wear, spalling, pitting, cage wear/failure, corrosion, loss of coolant or contamination. ③ Loss of support bolt preload; ④ loss of pump/turbine end bearing inner and outer race retaining nut preload due to nut failure, lock failure, or vibration. ⑤ turbine end bearing preload spring wear/failure; ⑥ excessive fretting at bearing journals; and ⑦ excessive rotor radial loads.	Potential contact between rotor and stationary components due to excessive rotor movement; rubbing in oxygen environment can cause LPOTP fire or explosion.
Nozzle Assembly	External Rupture	① Structural failure of the steer horn, feedlines, mixer, diffuser, forward and aft manifold, and ② tube failure and jacket fatigue.	Overpressurization due to leakage external to the nozzle and into the aft compartment. Fragmentation may cause damage to adjacent engines. Sudden loss of fuel causes LOX-rich operation.
Fuel Valve	Internal Leakage	① Damage/failure of seal, ball, or bellows, and ② contamination.	① Fire due to leakage, and ② open-air detonation and overpressure condition.
Fuel Preburner	Non-uniformity of Fuel Flow in the Injector Element.	① Contamination in the fuel annulus, and ② slippage of LOX post support pins.	Local high mixtures and recirculation of gases around the elements' periphery due to non-uniformity which, in turn, cause local erosion of the injection element tip, the injector faceplate, the combustion zone liner or injector baffle. Erosion through the liner may result in burn-through of the structural wall.
Chamber Coolant Valve Actuator	Sequence Valve Leaks Passing Early Control Pressurant Downstream	Damaged sequence valve and valve seals.	The control pressurant closes the purge sequence PAV early with the result of terminating preburner shutdown purges, HPOTP intermediate seal purge, and pogo shutdown charge. Loss of pogo shutdown charge during MECO, at zero 6 condition and minimum NPSP, will result in cavitation/overspeed of HPOTP and/or LPOTP.

## 2.2. Fault Detection Methods

While the measurable parameters are consistently evaluated and verified, measurable parameters relating to failure causes and failure processes need to be determined. The ultimate objective is to maximize the sensing of system operational health, to reduce unnecessary corrective maintenance, to warn of and predict approaching failures, and to foresee aberrant situations.

### 2.2.1. Mathematical Modeling

The fault prediction technology method based on a mathematical model is the most mature and widespread technology with the development of PHM technology, and its applications are the most extensive. The basic principle of the mathematical model for engine fault diagnosis is to consider the output of the engine mathematical model as a standard state and then determine the deviation of the actual engine operating condition from the standard state by various indicators. If the deviation is too large, the engine operating conditions in this state will be considered abnormal. This method can reflect the system's operating condition and fault situation. At present, the application is more mature based on the static modeling of the system structure and dynamic modeling based on the object state estimation, physical parameter estimation, and time series and other signals.

The classical mathematical models are filter theory, diagnostic observer, parity equation, and parameter estimation [4]. For example, Cha J used the extended Kalman filter and the traceless Kalman filter to consider the model of launch vehicle engine [16], which combined with the redline method successfully predicted the fault at the moment of engine start, but the real-time performance was not enough. Furthermore, the ARMA model is the most classical model in the field of aerospace fault detection. In Figure 1 below [17], Xue has achieved real-time detection of launch vehicle engines based on the ARMA model, which is extremely effective for the detection of launch vehicles at steady state. The benefits

of model-based engine fault detection are the ability to gain insight into the mechanics of the engine system and the ability to predict faults in real time. The higher the accuracy of modeling, the higher the accuracy of fault diagnosis. Nevertheless, the disadvantage is that it depends on modeling accuracy and hardware redundancy, and is suitable for small systems with clear input and output. For systems with unclear inputs and outputs, large changes in operating conditions and strong randomness, it is extremely difficult to establish their mathematical models and is not suitable for mathematical model-based methods. For complex systems such as launch vehicle engines, it has been challenging to establish an accurate model.

### 2.2.2. Signal Processing

The signal processing-based approach is to provide fault diagnosis using a certain measurement signal of the launch vehicle. When the signal processing-based method is employed for fault diagnosis, the features of the measurement signal are extracted and combined with prior knowledge to make a prediction decision based on symptom analysis. Typical signals consist of vibration, velocity, current, and magnetic flux. Certain features of the signal, such as correlation functions, higher-order statistics, spectra and autoregressive sliding average processes may be directly used for analysis, effectively avoiding the difficulty of establishing a mathematical model of the research object.

The time domain could be used as the object of signal analysis, including mean, standard deviation, phase, slope, amplitude, peak, and root mean square, or the frequency domain and spectrum. The redline system is one of the simplest and most basic signal-based fault detection methods [18]. The system for anomaly and failure detection (SAFD) proposed in the 1980s, the accelerometer safety shutdown system (FASCOS) developed in the late 20th century [19], and the turbopump vibration monitoring system [20] are all based on the redline method. The System for Anomaly and Failure Detection (SAFD) in Figure 1 is an advanced redline system developed by Rock Dain for SSME real-time anomaly detection, which could provide real-time monitoring of 22 engine measurement parameters in the steady-state segment of SSME. The algorithm uses a statistical approach for generating limits for the parameters based on mean and standard deviation. It calculates a running average of the last five samples for each parameter and compares this running average to the limits. If three of these parameters exceed the threshold at the same time, the engine is determined to be operating abnormally [18]. The adaptation data refer to the result of the adjustment calculation, which needs to be prepared before the test run, and is used for the generation of the initial detection threshold in the steady state period. Running Average refers to the average value of sensor measurement values at five moments before the current moment. On the basis of the SAFD algorithm, the researchers added the training of N1factor and N2factor to realize the abnormal detection of the engine steady state [21]. Nevertheless, since the shortcomings of the redline system with high misdiagnosis and leakage rate, more methods have been gradually developed, including the Adaptive Threshold Algorithm (ATA) for measuring steady-state processes, Adaptive Correlation Algorithm (ACA), Adaptive Weighted Sum Square Algorithm (AWSSA), Envelop Algorithm (EA) for measuring transient processes, and Adaptive Correlative Safety Band (ACSB). In this regard, the Short Time Fourier Transform (STFT), Wavelet Transform (WT) [22], Hilbert–Huang Transform (HHT) and Wigner–Ville Distribution (WVD) are the most popular time–frequency methods. In recent years, new data processing methods have emerged, which typically include Principal Component Analysis (PCA) [23], Independent Component Analysis (ICA), and so on [24]. Ji investigates the feature normalization process in sparse filtering and introduces an intelligent fault diagnosis method for acoustic signal processing based on parallel sparse filtering [25], which effectively achieves high diagnostic accuracy for mechanical fault classification. The flow chart of the proposed method is displayed in Figure 2. The signal processing-based method is independent of any model and is much faster, but the detection accuracy of the method is highly dependent on the



statistical accuracy of the data and is not suitable for handling smooth signals, which can easily lead to false alarms.

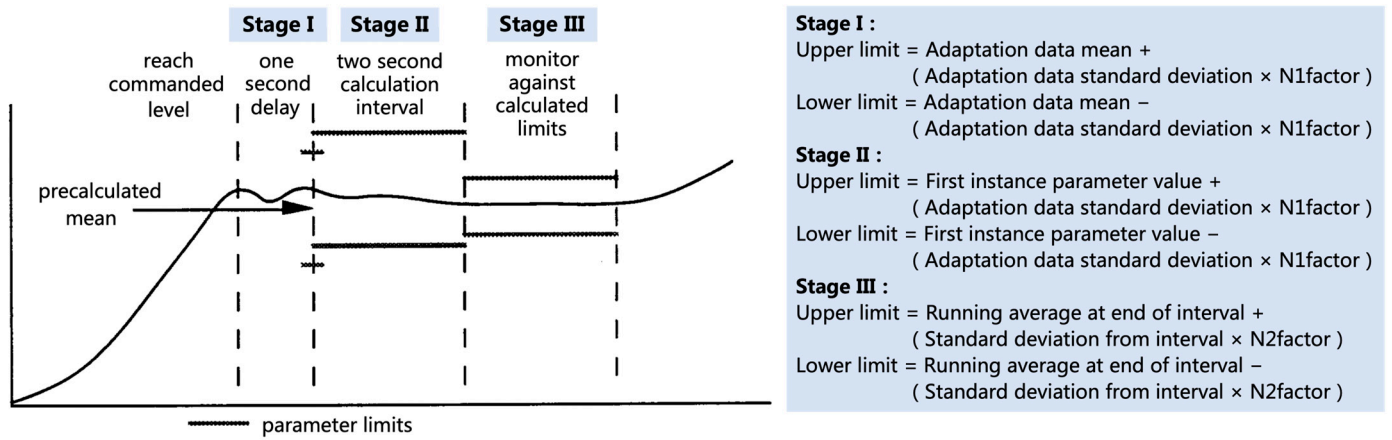


Figure 1. System for Anomaly and Failure Detection [21].

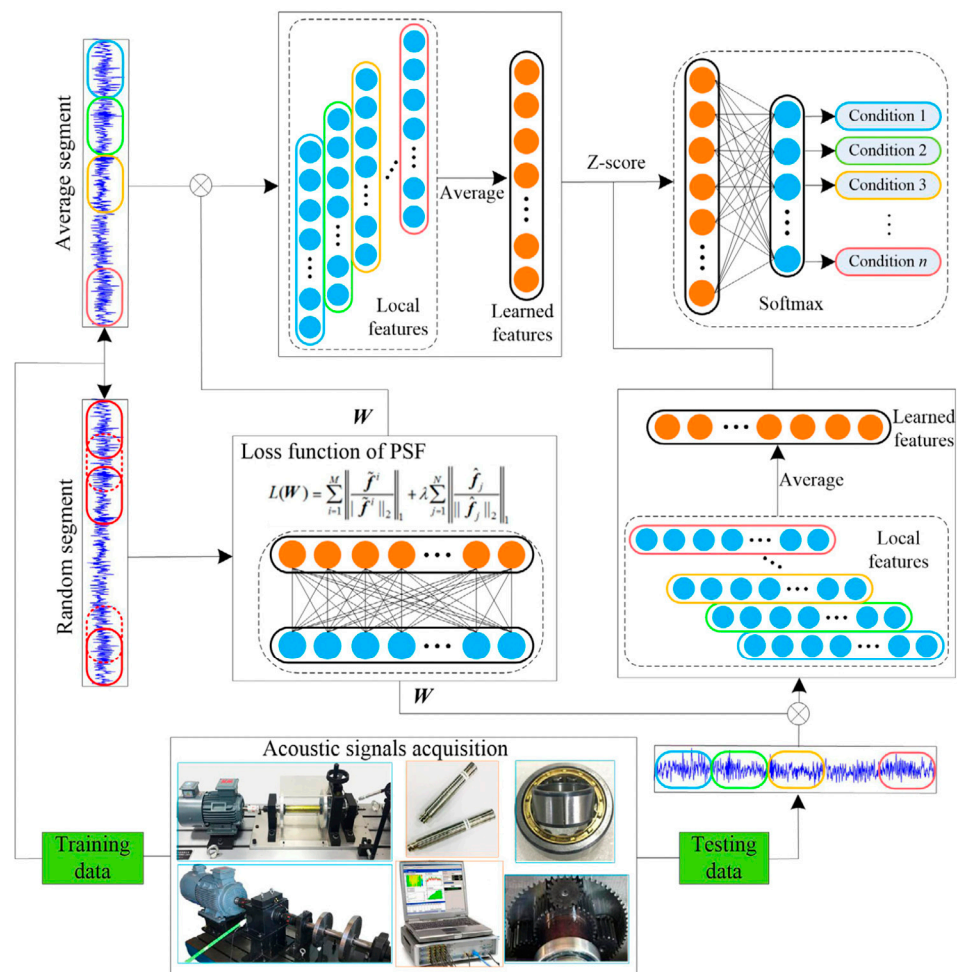


Figure 2. Acoustic signal processing defect diagnostic method using parallel sparse filtering. The detailed procedure is presented as follows: input matrix construction, network training, local feature mapping, obtain learning features, and fault classification.

### 2.2.3. Knowledge Learning

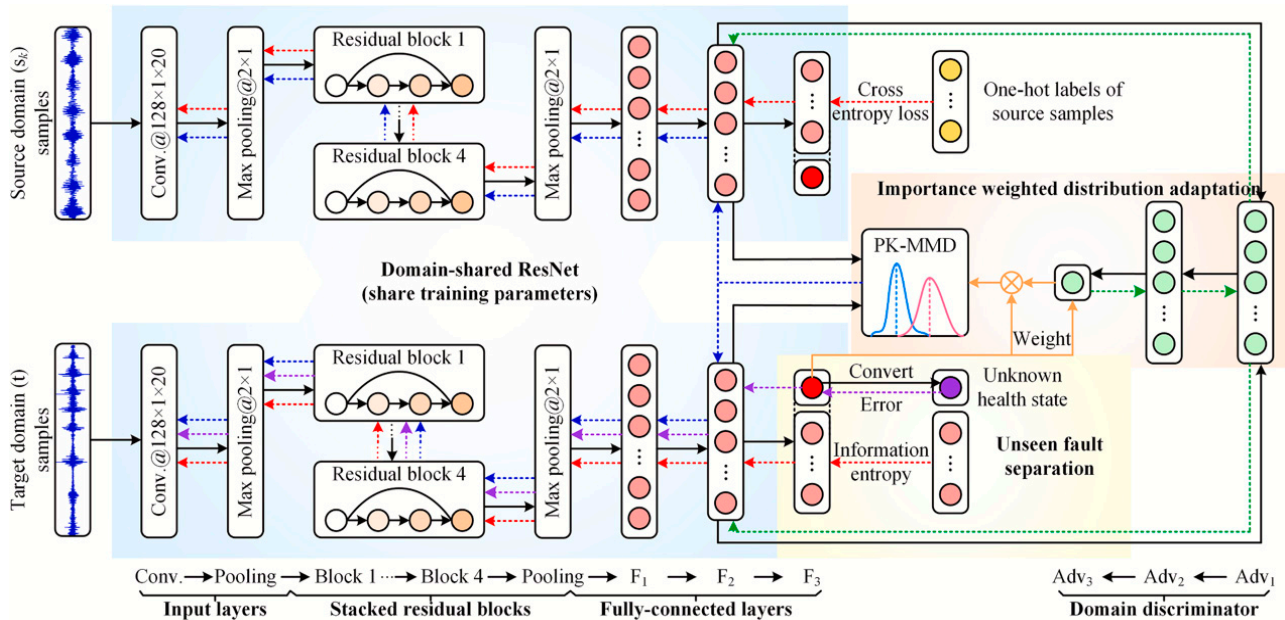
For a launch vehicle engine, which is an extremely complex system with no apparent system model or signal symptoms, a progressive learning mechanism is necessary to automate the fault detection. When analysis is performed based on knowledge, the input and output are compared and classified in a consistent manner, while machine learning (ML) is used for training and learning to convert large amounts of data into knowledge for making fault diagnosis and decisions. The characteristic that can be learned intelligently from a large amount of data is what distinguishes knowledge-based fault detection from signal-based and model-based [26], and for this reason, knowledge-based methods are also referred to as data-driven fault diagnosis and prediction. Generally, knowledge-based fault detection can be divided into qualitative and quantitative methods.

Qualitative methods involve Fault Trees (FT), Signed Directed Graph (SDG), and Expert Systems (ES) [27]. One of the most typical approaches is the ES-based approach. An expert system is a rule-based system that embodies human expertise and was initially developed in the 1980s [28]. Because of its ability to reason under uncertainty, expert system-based fault detection received a lot of attention in the 1990s. Nevertheless, it also has weaknesses such as a more restrictive system nature and poor generality. In addition, by combining production rules and minimal reduction of fault trees, the failure modes of the system could be effectively extracted, and an optimized inference engine is constructed based on the failure modes for logical reasoning using forward inference patterns [29].

Quantitative knowledge-based fault detection methods include statistical prediction techniques such as the Principal Component Analysis (PCA), Partial Least Squares (PLS), Bayesian Classifier and the currently popular Support Vector Machine (SVM) analysis method [30]. In this regard, SVM applied to fault detection has the superiority of being able to overcome the situation of small samples and limited features and provide maximum analysis and prediction of the data [31]. Non-statistical analysis methods such as Neural Networks (NN) and Fuzzy Logic (FL) are also included in quantitative knowledge fault detection. With the powerful ability in nonlinearity and adaptive learning capability, Neural Networks-based fault detection is widely used and has turned out to be one of the most mature non-statistical fault diagnosis tools [32,33]. The Neural Network-based fault prediction method is trained to learn based on the historical data provided, and then the constructed network structure is constructed to achieve the required accuracy for prediction, which is appropriate for the intelligent prediction of complex systems. As the launch vehicle engine system has nonlinear and complex features, it will become an essential tool for its diagnosis. Zhao introduced a new semi-supervised GNN approach that utilizes a combination of tagged and untagged information for device fault diagnosis [34]. Additionally, this paper proposed two cross-domain aero engine fault diagnosis methods, one-stage-transfer-learning ELM (OSTL-ELM) and two-stage-transfer-learning ELM (TSTL-ELM) [35], which had a fast training speed and a good real-time diagnosis. As shown in Figure 3, Yang [36] proposed a framework called multi-source transfer learning network (MSTLN) to aggregate and transfer diagnostic knowledge from multiple source machines by combining multiple distributed adaptive subnetworks and multi-source diagnostic knowledge fusion modules. This approach could reduce the misdiagnosis rate and obtain improved transfer performance for unbalanced target samples. Additionally, to diagnose multiple fault types at the same time, an ensemble model based on multiple machine learning methods was established [37].

In addition, Neural Network-based fault detection also suffers from “black box” characteristics that are not reasonably interpretable, long machine learning time, large computational power requirements, and the need for a larger number of labeled sample data. Fuzzy Logic (FL) is a method of dividing feature spaces into fuzzy sets and reasoning by using fuzzy rules that essentially provide approximate human reasoning. Zheng [38] and Lyu [39] thoroughly investigate the robust stability as well as the reliable control problems of several types of fuzzy systems for T-S fuzzy systems. Simultaneously, fuzzy clustering contributes to fuzzy modeling. Huang [40] investigated a new fault diagnosis

method based on fuzzy clustering for fast knowledge modeling. Palade [41] combined fuzzy clustering with fault diagnosis models for coarse data modeling. Fuzzy prediction possesses advantages in dealing with complex systems such as uncertainty, nonlinearity, and having linguistic features to describe human knowledge, but it also has fault detection which is devoid of temporal parameters and lacks time control.



**Figure 3.** Multi-source domain transfer learning network structure. The architecture of the PDA-Subnet for the source domain  $s_k$  and the target domain  $t$  (the dotted lines represent the error backpropagation).

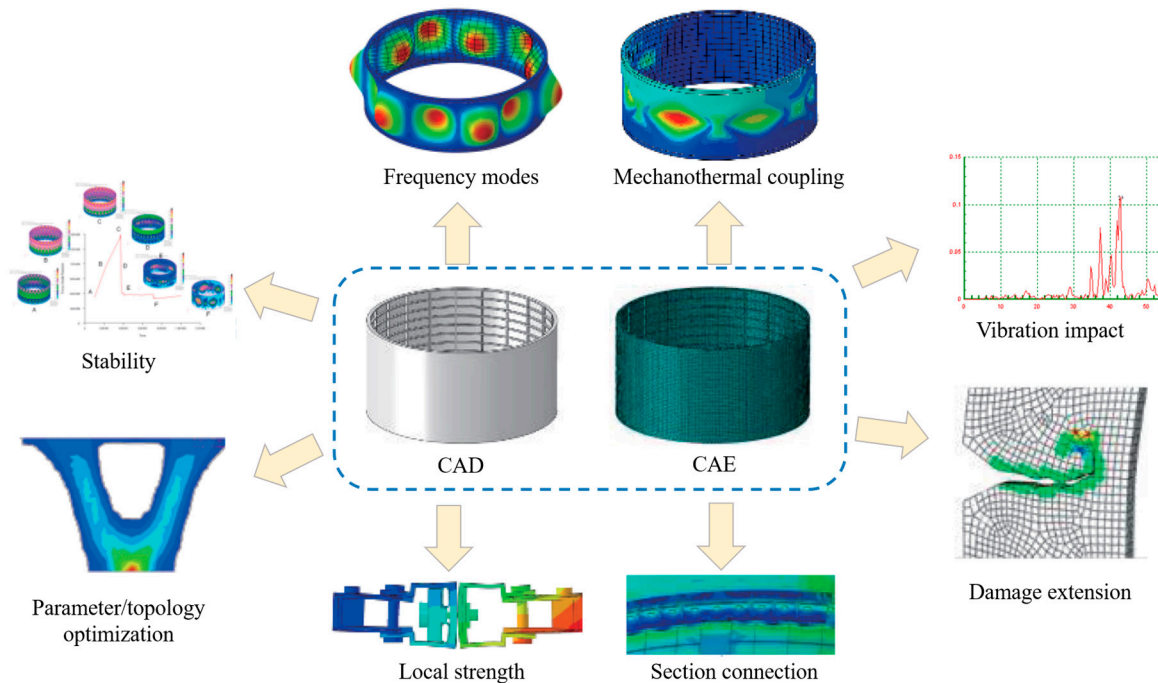
#### 2.2.4. Digital Twin

Recently, the development of digital twin has shown an explosive trend, which has been widely used in satellite communication, smart city construction, aircrafts, vehicles, ships, and other fields [42]. Undoubtedly, there is also a very broad development prospect in the field of aerospace. NASA proposed as early as 2010 that it would successfully apply digital twin to simulation-based systems engineering in 2027 as one of NASA's top technologies for the next three decades [43].

The digital twin-based PHM approach refers to the combination of the original PHM technology with digital twin technology [44]. On the basis of building a digital twin, physical and virtual devices are interactively fused using the twin data to drive the fusion of physical and virtual devices, giving full play to the role of simulation data and virtual models, in order to achieve early prediction and accurate positioning of faults. To begin with, the physical information system achieves data acquisition and transmission to the virtual model through sensors and communication networks. The virtual model, driven by twin data, achieves synchronous simulation operation with the physical entity and simulates possible faults to achieve fault location. Meanwhile, a repair solution is obtained with the aid of a historical fault library. Eventually, the solution is run on the virtual model and the physical entity successively to verify the feasibility of the solution. Currently, there are a few case studies for launch vehicle engines, but with the progress of sensing technology, digital twin-based fault detection has been gradually applied to launch vehicle structure manufacturing [45], test launch [46], and other fields, which provide theoretical reference for intelligent fault diagnosis of engines based on digital twin. The following Figure 4 shows the schematic diagram of a digital twin-based structure design technology. Tao Fei established a five-dimensional digital twin model of complex equipment, and proposed that PHM supported by digital twin will bring a dynamic physical and



virtual equipment real-time interaction of fault observation mode, a fault analysis mode, a maintenance decision mode and an autonomous precise service of PHM function execution mode [47].



**Figure 4.** Schematic diagram of digital twin based structural design technology [45]. The CAD/CAE integrated platform forms a virtual model mapping from CAD to CAE while designing the scheme, retains all data in the CAE model and conducts multidisciplinary simulation analysis, and in turn transmits design improvement information to CAD to form a closed loop.

### 2.2.5. Hybrid Fault Detection

Mathematical model-based, signal processing-based, and knowledge-learning-based fault detection methods each have their own advantages and limitations of applicability. Mathematical model-based approaches could use a small amount of data but require a clearly visible model that can represent the inputs and outputs. Signal processing-based is without a model, but it requires a certain feature for fault diagnosis, and its diagnostic performance decreases when the input is unknown. Knowledge-based fault diagnosis has the advantage for complex and huge system analysis, but it relies on a high amount of historical data and a lot of learning training, and it requires high computational power. On the one hand, in order to give full play to the advantages of each diagnostic method, they are often mixed together, which is called a hybrid fault diagnosis method. On the other hand, in the design of complex PHM systems for intelligent launch vehicle engines, fault diagnosis algorithms are often determined based on system design objectives, such as time sensitivity, detection accuracy, coverage of faults, coverage of operating conditions, etc. If there are too many system design objectives, a single algorithm is often difficult to meet the requirements, and it is also necessary to integrate multiple methods to complete the diagnosis and prediction of faults in parallel [48–50]. For instance, Brotherton T has developed techniques that couple neural nets with automated rule extractors to form systems that have good statistical performance, easy system explanation and validation, potential new data insights and new rule discovery, novelty detection, and real-time performance [51]. Additionally, they apply these techniques to data sets collected from operating engines. Sergei Nikolaev proposed a methodology for building hybrid models of gas turbine power plants for solving the task of prescriptive and predictive plant health analytics [52].

### 3. Intelligent Launch Vehicle Engine Health Management

#### 3.1. Health Assessment

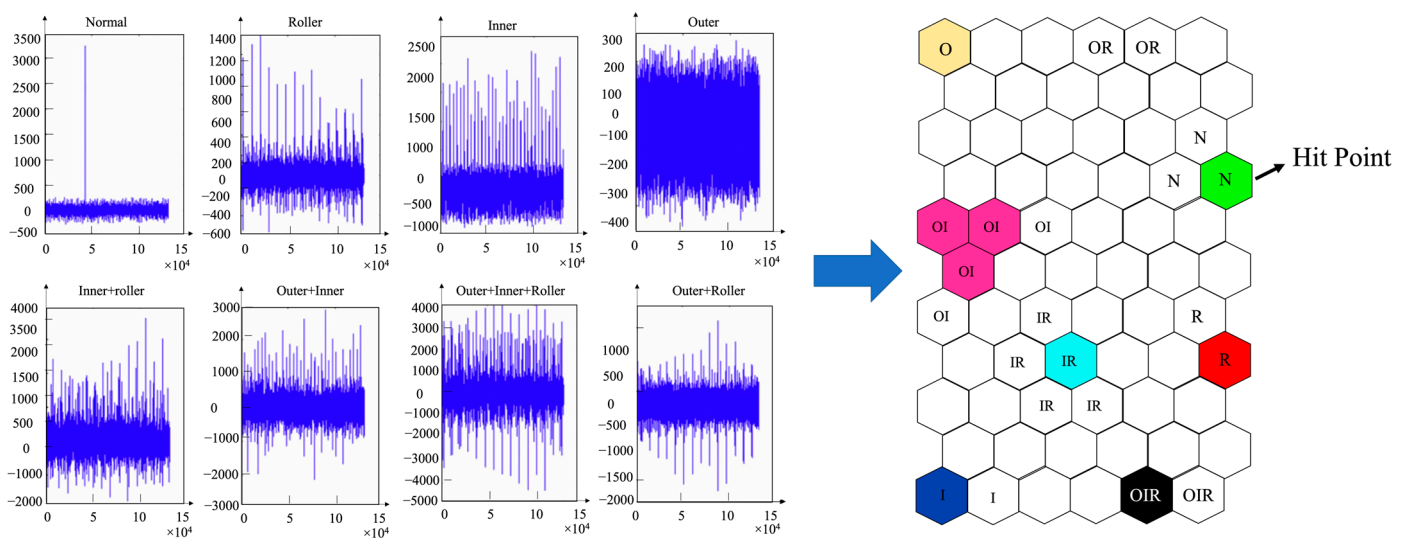
Health assessment is considered as one of the crucial PHM techniques to evaluate the current health of the system or the health of its critical components [53]. The health assessment of launch vehicle engines is to evaluate the health degradation of the engine based on the monitoring information, providing fault diagnosis conclusions with confidence levels. By combining the health history, operating status, and operational load characteristics of the system, an evaluation model reflecting the current performance is established to accurately grasp the operating status of the launch vehicle engine, so that various performance degradation processes of the system or its key components may be discovered in a timely manner [54,55]. Furthermore, the health assessment of the launch vehicle motor helps to provide a reference for the maintenance personnel to make maintenance decisions, improve maintenance efficiency, and increase the efficiency of mobilizing components or maintenance resources.

The foundation of the launch vehicle engine health assessment is condition monitoring data, so the primary issue of health assessment is how to effectively select the characteristic parameters that could indicate the engine operation for condition monitoring [56]. Theoretically, more information provides a more comprehensive and accurate reflection of the system's operating status, and can effectively carry out the subsequent fault isolation and fault location work. However, too many measurement points can easily introduce sensor measurement errors, while a cascading system will gradually superimpose the errors of each node, affecting the final assessment accuracy. Therefore, in order to fully reflect the operating status of launch vehicle engines, it is necessary to select parameter sets that are suitable for measurement and recording to maximize the information of the measured data and reduce the redundant information of the characteristic parameter sets. To follow the above principles, the selection of feature parameters includes the following two ideas. The first one is to convert the selection of test points into an objective optimization problem with constraints from the testability point of view [57–59], using algorithms such as greedy algorithms, or heuristic search algorithms, such as particle swarm algorithms and ant colony algorithms to find the best. Afterwards, the information collected from the optimized test points is used to form the final set of feature parameters. The second type is to select some of the main features from the existing feature parameter set from the feature selection perspective [60–62], which usually uses algorithms, such as Principal Component Analysis, popular learning algorithms, or compressed self-coding to determine the final set of feature parameters. After the feature parameter set is determined, a health index could be constructed based on the feature parameter set to visually represent the performance state of the system. The health index is generally normalized to the interval 0–1, which could be analyzed qualitatively or quantitatively to determine the health status of the system.

##### 3.1.1. Quantitative Methods

From the quantitative point of view, the change process of the health index reflects the performance degradation course of the launch vehicle engine. Therefore, the performance change trend could be predicted by algorithms or models. For instance, Liao introduced a method [63] for the bearing health assessment by applying the fast Fourier transform (FFT) to extract the vibration signal as a feature vector representing the health status of the bearing. The feature vectors are then transformed using a Neural Network technique, self-organizing mapping (SOM), to derive health maps for different bearing failure modes, and the evaluation model is shown in Figure 5. The left image shows a plot of the vibration data acquired while the machine is operating in a normal condition, as well as plots of seven different combinations of the failure modes identified. The right map shows eight areas which are labeled by 'N', 'RF', 'IF', 'OF', 'OR', 'OI', 'IR', and 'OIR', indicating the normal status, roller defect, inner-race defect, outer-race defect, outer-race and roller defect, outer-race and inner-race defect, inner-race and roller-defect, and outer-race and inner-race

and roller defect, respectively. The input vector of a specific bearing defect was represented by the Best Matching Unit (BMU) on the map indicated by a “Hit Point”. By looking at the area pointed by the “Hit Point”, the failure mode of the bearing was determined [64]. Yang Feng [65] introduced a dynamic smoothing algorithm to predict the health state of a system by using a health index. Hamed Zeinoddini Meyman [66] proposed a strategy of using technology class parameters together as feature parameters, and then, using an artificial Neural Network algorithm to construct a health index to monitor the health state of the system throughout its life cycle by solving the health index. Professor Michael Pecht [67] of the University of Maryland selected the set of feature parameters to construct the circuit health index from a fault diagnosis perspective, and used the features extracted from the circuit response to reflect the performance state of the key components of the circuit, and analyzed the circuit health state with improved particle filtering algorithm to evaluate and predict the circuit health state in an adaptive manner. Liu Kaibo [68] from Georgia Institute of Technology proposed a method to integrate feature parameters collected by multiple sensors to construct a health index to characterize system performance. Furthermore, the process from feature parameter selection, feature preprocessing, feature fusion to health index construction was discussed in detail. Taking an engine system as an example, it was verified that the health index model based on multi-sensor data fusion could more accurately characterize the system performance degradation history. Liang Zhou combined simulation techniques with deep learning methods to construct a deep digital twin model which could intuitively reflect the engine health condition in real-time [69].

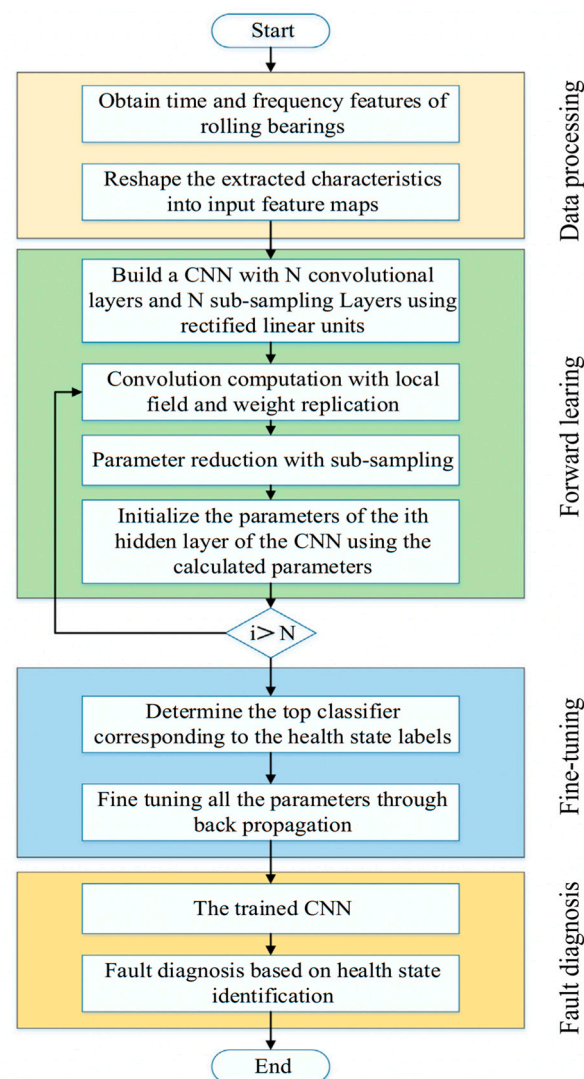


**Figure 5.** Vibration signals for bearing defects and health map for different bearing failure modes.

### 3.1.2. Qualitative Methods

From the qualitative point of view, the health index could be divided into different health levels, each of which represents a performance state of the system. Maintenance personnel are able to make maintenance decisions corresponding to the different health levels. Professor Michael Pecht [70] proposes to construct a health index for each key sub-circuit utilizing the system circuit characteristics to address the performance degradation of electronic systems. The multiple subhealth indices then synthesized into an overall health index. Through classifying the health index into different levels to evaluate the current performance state of the system circuit, it could be possible to evaluate the current performance state of the electronic system through the constructed health index by monitoring only a few key nodes in the circuit. Maryam Khoddam et al. [71] used scoring and weighting to construct a health index of the system and classified the health index into three levels of health, performance degradation, and risk to characterize the performance status of high-voltage circuit breakers. Prasanna Tamilselvan [72] addressed

the problem of multi-sensor data fusion and feature extraction using deep belief networks, starting from the trouble-shooting problem of complex systems, such as engine systems and power transformers. They used BP Neural Network to fuse the extracted features into health indices, and then classified the health indices into different health classes to further investigate the fault states of the systems. Lu Chen [73] worked on the health status problem of engine rolling bearings, and the method flow is shown in Figure 6. In the first place, the collected rolling bearing data from intact to faulty are distributed into different health classes, and then the convolutional Neural Network is used to extract features from the bearing data. The extracted features are classified into the corresponding health classes according to the classifier, which could complete the fault state diagnosis of rolling bearings in engines.

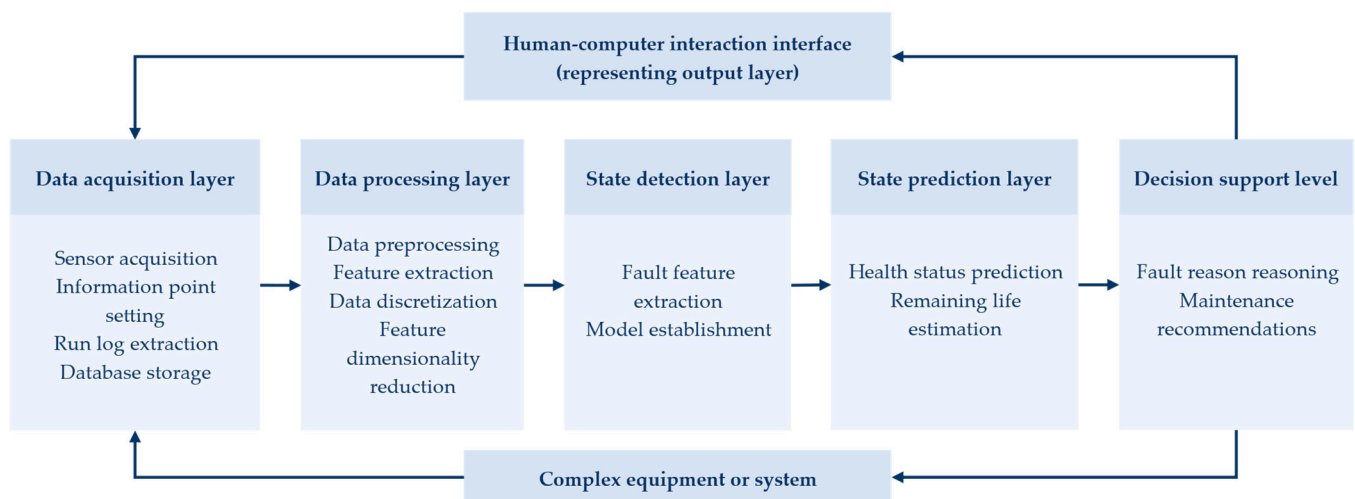


**Figure 6.** Health assessment process for engine rolling bearings.

As a summary, for intelligent launch vehicle engine systems with high complexity and integration, monitoring all components or functions of the system one by one leads to serious waste of resources and the burden of cost. Comparatively, building an overall system health state model with the method based on feature parameter fusion provides an effective description of the performance degradation history of the engine, and such an approach has a high assessment accuracy, which can improve the safety and maintenance efficiency of the system [72,74,75].

### 3.2. Health Management

The health management system [76] is to collect data through sensor integration, obtain relevant characteristic quantity information with the support of data mining methods such as the Fourier transform and classification clustering, constantly monitor the internal state and external environment, and perform timely fault diagnosis and prediction to guarantee system reliability and safety and maximize economic benefits. The Open Systems Architecture for Condition-Based Maintenance (OSA-CBM) [77], which was led by Boeing and developed through multiple organizations from industry, military, commercial manufacturing, sensor technology, and other fields, was a typical hierarchical converged PHM architecture. Its framework architecture diagram is shown in Figure 7. Presently numerous equipment health management systems were designed and implemented with this model as their architecture. The OSA-CBM-based equipment health management system not only focused on equipment condition monitoring and maintenance, but also emphasized intelligent and information-based equipment management, which is a strong guideline for the design of an engine system health management system for intelligent launch vehicles.



**Figure 7.** OSA-CBM framework architecture diagram.

#### 3.2.1. Design Requirements

The principal purpose of the health management system on launch vehicle engines [1] are as follows. On the one hand, it could improve engine safety by providing early real-time monitoring of operating conditions which might develop into critical system failures. On the other hand, it may minimize failure isolation time for failed components to become linearly replaceable units through the automatic reasoning of the data to assist in reducing maintenance assurance costs and termination tasks. The autonomous logistics approach introduced in the Joint Strike Fighter is an example of the application of airborne diagnostics and predictive health management capabilities [78]. The aim is to abandon timed engine inspections and instead rely on situational health assessments.

The function of the health management system on a launch vehicle engine is to acquire data, monitor and evaluate the current engine condition, and to forecast the in-future condition of the engine. Subtle changes in a few combinations of measured parameters may predict the early symptoms of a failure process. These changes in parameter characteristics are often hard to detect by simply observing whether the parameter values are out of bounds, since the parameter values usually remain within the normal range. The analysis of trends in parameter values over time under a particular operating condition has the capability to help detect shifts in data, anomalies in the rate of change of data, and anomalous distributions of data. The operation of the fuel and lubrication systems, as well as the rotational functions, can all be measured in order to examine these trends.



### 3.2.2. Operation Process

The health management system of intelligent launch vehicle engines includes a large number of different devices and components. Health status management of such a complex system requires the completion of multi-level health information collection of components, equipment, subsystems, and systems. Additionally, the collected information is used to realize health management applications such as system-wide condition monitoring, fault diagnosis, health status assessment, and maintenance-assisted decision-making. The business process of an intelligent launch vehicle engine health management system is shown in Figure 8 [79], which mainly covers system health information collection, a health management database, and health management application. The data and knowledge support for health management business, such as fault diagnosis and health status assessment are based on the system’s multi-level health information collection and health business knowledge, which in turn provides operation and maintenance guarantee services for launch vehicle motor system equipment.

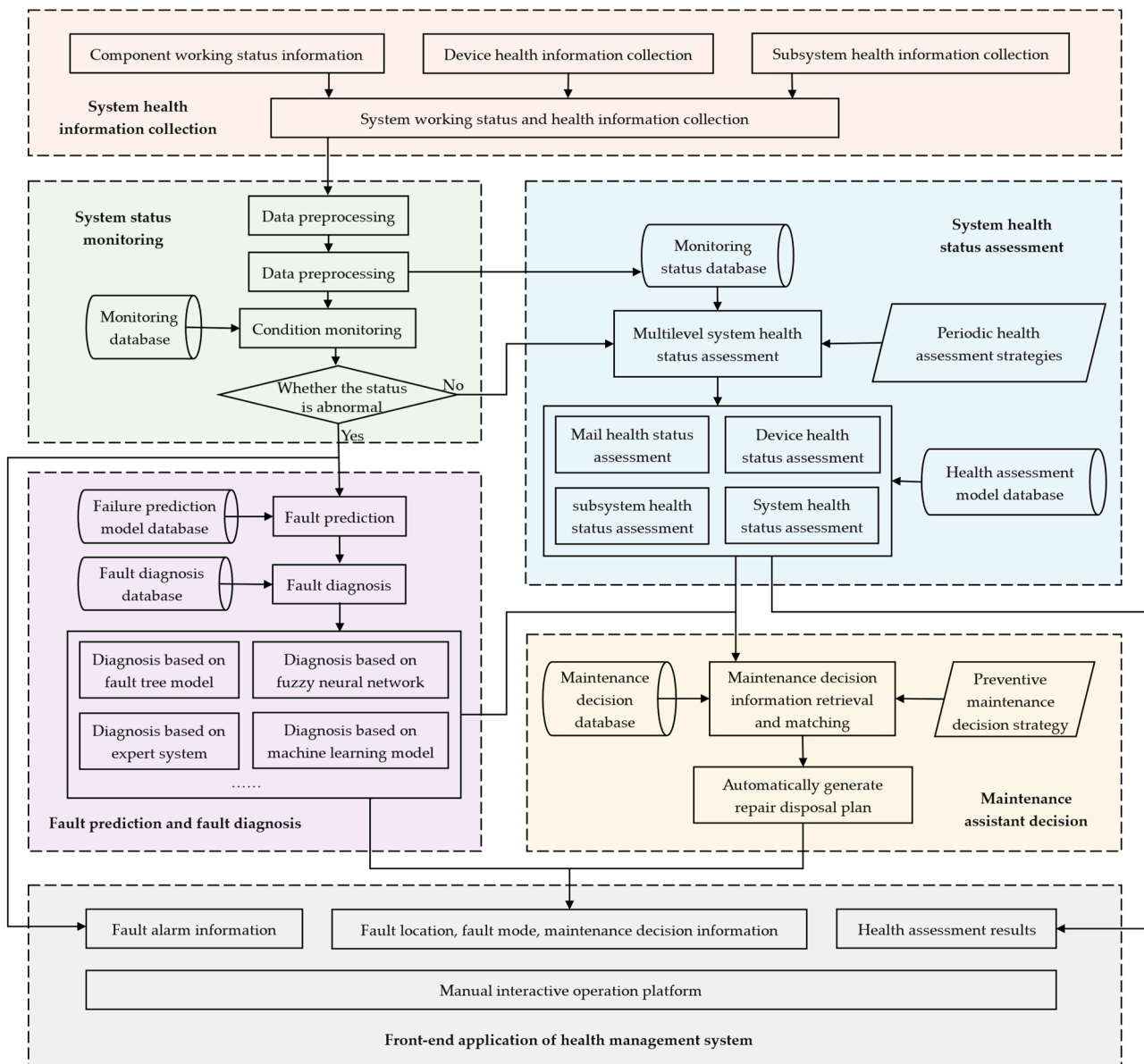


Figure 8. The intelligent launch vehicle health management business process.

### 4. A Multilayered and Multifactorial Health Assessment Method for Launch Vehicle Engine under Vibration Conditions

#### 4.1. Instance Overview

During launch vehicle flight, vibration signals cover low, medium, and high frequencies, which seriously affect the normal operation of equipment structures and electrical systems of several launch vehicle systems, resulting in a decrease in the reliability and safety of the rocket. Mechanical vibrations could provide high information content and are very sensitive to mechanical hardware failures and the external environment. Traditional launch vehicle health management is stratified and analyzed from the hardware perspective, but it could hardly monitor all internal components and there are missed alarms. This part performed an example analysis of launch vehicle engine measurement signals based on vibration signals and establishes a comprehensive health assessment structure based on a fault prediction algorithm and a fuzzy integrated assessment method. In this way, the engine health level could be evaluated and the internal health of the engine could be analyzed more comprehensively. The work and conclusions of this chapter were obtained by the authors through experiments.

#### 4.2. Instance Scheme

The instance scheme of the vibration signal-based evaluation method is shown in Figure 9.

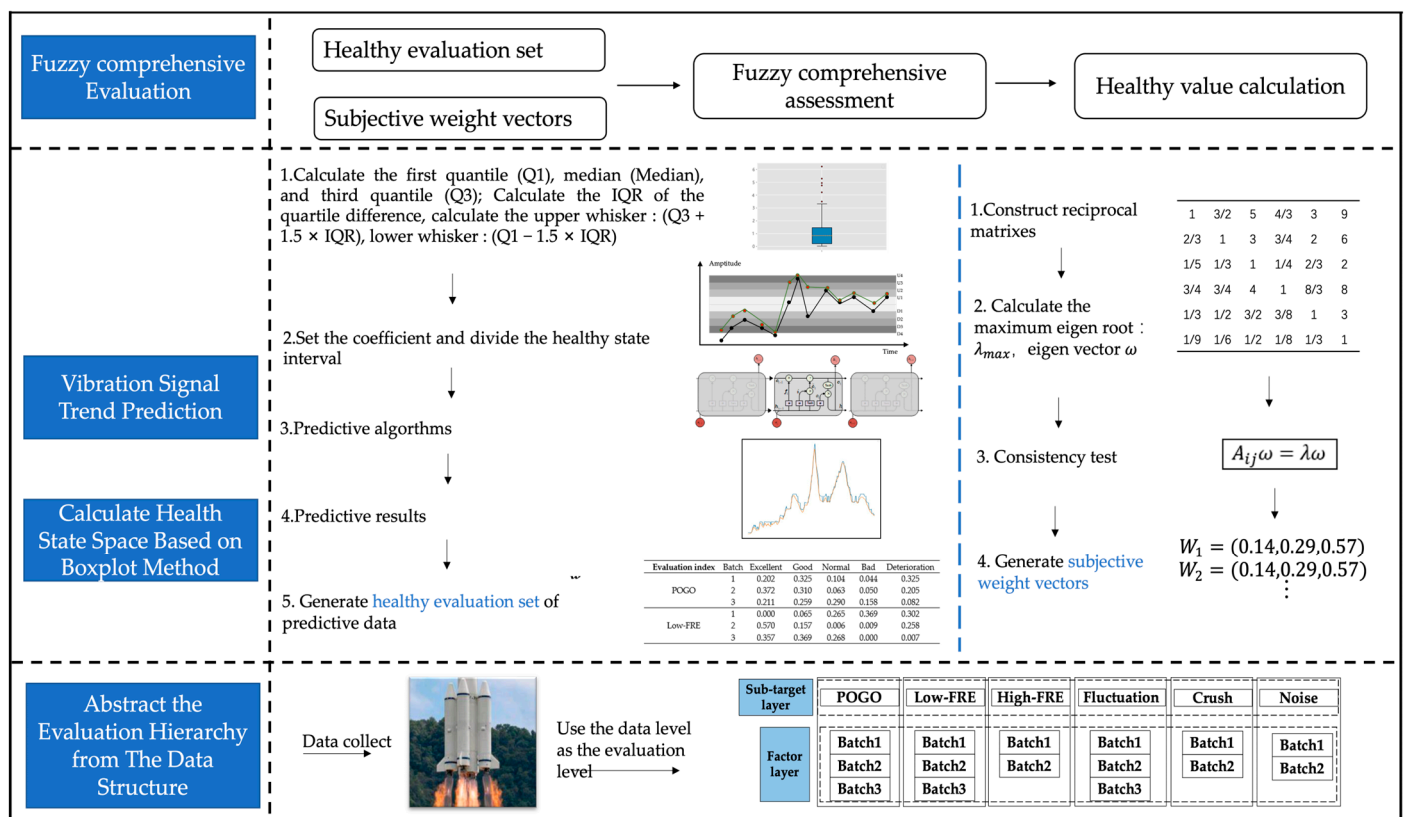


Figure 9. The overall scheme of the instance.

Firstly, through the state space partitioning method based on the box-line diagram, we are able to obtain the upper and lower limit values of the state waveform. Secondly, the predicted waveform is obtained by the prediction algorithm LSTM and compared with the state space of health values to determine the probability of evaluation distribution, which is set as the evaluation set. After that, the weight relationship between each layer of data on health effects is calculated by the hierarchical analysis method (AHP) and set as the weight

set. Finally, the evaluation set  $V$  and the weight set  $W$  are used as the input of the fuzzy comprehensive evaluation method (FCE) to calculate the overall system health values.

4.3. Instance Steps

1. LSTM prediction error indicators (RMSE) was shown in Table 2.

Table 2. Prediction results using RMSE as an error indicator.

Batch	POGO			Low-FRE			High-FRE		Fluctuation			Shock		Noise	
	1	2	3	1	2	3	1	2	1	2	3	1	2	1	2
RMSE	0.002	0.003	12.061	0.005	0.0827	0.007	0.125	0.593	3.362	2.669	1.722	514.729	5.730	18.948	6.347

2. The results of the limit value calculation based on the box-line diagram method were shown in Table 3.

Table 3. Boxplot analysis results.

Evaluation Index	Q1	Q3	IQR	Upper Whisker	Lower Whisker
POGO	0.00033	0.00192	0.00159	0.004311	-0.002059
	0.00043	0.00197	0.00155	0.004293	-0.001894
	7.23250	20.64000	13.40750	40.75125	-12.878750
Low-FRE	0.34259	0.51403	0.24975	0.888657	-0.032034
	0.03875	0.08733	0.04858	0.160188	-0.034113
	0.01493	0.03593	0.02100	0.06743	-0.016573
High-FRE	0.19508	1.46291	1.26783	3.364663	-1.706674
	0.41815	1.09954	0.68138	2.121609	-0.603918
Fluctuation	0.89775	3.10775	2.21000	6.42275	-2.417250
	0.32800	0.83250	0.50450	1.58925	-0.428750
	1.65750	5.71250	4.05500	11.795	-4.425000
Shock	1458.52925	2113.22075	654.69150	3095.258	476.492000
	2.08061	12.68086	10.60025	28.58124	-13.819764
Noise	103.58910	119.63305	16.04395	143.699	79.523175
	120.62943	126.47000	5.84058	135.2309	111.868563

3. The evaluation index is thus set to  $M = \{\text{excellent, good, normal, bad, deterioration}\}$ . The coefficient of the specified IQR is divided into five intervals, and the score of each category is  $F = (1.0, 0.8, 0.6, 0.4, 0.1)$ . The probabilities of statistical prediction data in the intervals of health states are presented in the following Table 4.

Table 4. The valuation probability of waveform predicted by LSTM method.

Evaluation Index	Batch	Excellent	Good	Normal	Bad	Deterioration
POGO	1	0.937	0.012	0.003	0.013	0.035
	2	0.849	0.05	0.038	0.028	0.035
	3	0.972	0.013	0.015	0.000	0.000
Low-FRE	1	1.000	0.000	0.000	0.000	0.000
	2	0.975	0.003	0.003	0.006	0.013
	3	1.000	0.000	0.000	0.000	0.000
High-FRE	1	1.000	0.000	0.000	0.000	0.000
	2	0.936	0.028	0.019	0.013	0.004
Fluctuation	1	0.965	0.003	0.013	0.013	0.006
	2	0.934	0.009	0.009	0.006	0.042
	3	0.991	0.003	0.006	0.000	0.000
Shock	1	0.000	0.000	0.000	0.464	0.536
	2	0.95	0.05	0.000	0.000	0.000
Noise	1	1.000	0.000	0.000	0.000	0.000
	2	0.982	0.018	0.000	0.000	0.000

4. The set of weights is shown below:

Effect of weighting of six types of vibration data:

$$W = (0.33, 0.20, 0.07, 0.27, 0.10, 0.03)$$

$$\text{Batch weight of POGO vibration: } W_1 = (0.14, 0.29, 0.57);$$

$$\text{Batch weight of low frequency vibration: } W_2 = (0.14, 0.29, 0.57);$$

$$\text{Batch weight of high frequency vibration: } W_3 = (0.33, 0.67);$$

$$\text{Batch weight of fluctuation vibration: } W_4 = (0.14, 0.29, 0.57);$$

$$\text{Batch weight of shock vibration: } W_5 = (0.33, 0.67);$$

$$\text{Batch weight of noise vibration: } W_6 = (0.33, 0.67).$$

5. The fuzzy integrated assessment result is:  $Score = V \cdot W \cdot F$ .

After normalization, the health of the data predicted by LSTM is assessed as 95.939. Similarly, the error in the evaluation of the forecast and actual data is 0.0043%.

## 5. Discussion

With an emphasis on the PHM technology used in intelligent launch vehicle engines, this article analyzes the typical failure types, failure detection approaches, health assessment and management systems of launch vehicle engines. By studying instances of launch vehicle engines, it also evaluates the technical foundation of the subject and suggests present flaws and prospective future development directions in the field of intelligent launch vehicle engine PHM.

It is vitally necessary to carry out in-depth research on cutting-edge technologies including engine multi-source information fusion, multi-algorithm parallel decision making, and full-arrow measurement information fusion in the hopes of improving the efficacy of defect diagnosis. On the same hand, the PHM area for intelligent launch vehicle engines currently has a number of shortcomings. On the other hand, in addition to the pertinent theories and methods that still need to be investigated, it is urgent to clarify the objectives and focus on the implementation of engineering research on the currently available, relatively mature fault detection and diagnosis methods and technologies in order to apply them to the development test and actual operation of liquid launch vehicle engines.

The three primary directions of future research in the field of PHM for intelligent launch vehicle engines are synthesis, intelligence, and practicality. Intelligent launch vehicle engine fault detection combines advanced artificial intelligence techniques such as knowledge engineering, pattern recognition, expert systems, Neural Networks, and qualitative reasoning in order to solve issues. A real-time online automated intelligent launch vehicle engine health monitoring system will be able to be created and achieved in order to enable intelligent launch vehicle flying successfully.

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