

Article

Sufficient Conditions of 6-Cycles Make Planar Graphs DP-4-Colorable

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Abstract: In simple graphs, DP-coloring is a generalization of list coloring and thus many results of DP-coloring generalize those of list coloring. Xu and Wu proved that every planar graph without 5-cycles adjacent simultaneously to 3-cycles and 4-cycles is 4-choosable. Later, Sittitrai and Nakprasit showed that if a planar graph has no pairwise adjacent 3-, 4-, and 5-cycles, then it is DP-4-colorable, which is a generalization of the result of Xu and Wu. In this paper, we extend the results on 3-, 4-, 5-, and 6-cycles by showing that every planar graph without 6-cycles simultaneously adjacent to 3-cycles, 4-cycles, and 5-cycles is DP-4-colorable, which is also a generalization of previous studies as follows: every planar graph G is DP-4-colorable if G has no 6-cycles adjacent to i -cycles where $i \in \{3, 4, 5\}$.

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1. Introduction

The concept of list coloring was introduced independently by Vizing [1] and Erdős et al. [2]. A k -list assignment L of a graph G assigns for each vertex v in G a list $L(v)$ of k colors. An L -coloring is a proper coloring c such that $c(v) \in L(v)$ for each v in $V(G)$. A graph G is L -colorable if G has an L -coloring. If G is L -colorable for any k -list assignment L , then G is said to be k -choosable.

DP-coloring is a generalization of list coloring. Dvořák and Postle [3] introduced the concept of DP-coloring and they called it correspondence coloring. Later on, it is called DP-coloring by Bernshteyn et al. [4].

Assume L is an assignment of a graph G . H is a cover of G if it admits all the following properties:

- (i) Its vertex set $V(H)$ is $\bigcup_{v \in V(G)} (\{v\} \times L(v)) = \{(v, c) : v \in V(G), c \in L(v)\}$;
- (ii) $H[\{v\} \times L(v)]$ is a complete graph for every $v \in V(G)$;
- (iii) The set $E_H(\{u\} \times L(u), \{v\} \times L(v))$ is a matching (empty matching is allowable) for each $uv \in E(G)$.
- (iv) If $uv \notin E(G)$, then there are no edges of H connect $\{u\} \times L(u)$ and $\{v\} \times L(v)$.

An independent set in a cover H of a graph G with size $|V(G)|$ is called an (H, L) -coloring of G . If every cover H with any k -assignment L of a graph G admits an (H, L) -coloring for G , then we say that G is DP- k -colorable. The minimum k in which a graph G is DP- k -colorable is called the DP-chromatic number of G and denoted by $\chi_{DP}(G)$.

If edges on H are defined to match exactly identical colors between $L(u)$ and $L(v)$ for each $uv \in E(G)$, then G admits an (H, L) -coloring is equivalent to G is L -colorable. Consequently, DP-coloring is a generalization of list coloring. Furthermore, this implies that $\chi_{DP}(G) \geq \chi_l(G)$.

Dvořák and Postle [3] proved that for every planar graph G , $\chi_{DP}(G) \leq 5$, which extends a seminal result by Thomassen [5] on list coloring. Meanwhile, Voigt [6] constructed an example of a non-4-choosable planar graph (and thus, not DP-4-colorable). It motivates the investigation to obtain sufficient conditions for being DP-4-colorable of planar graphs. Kim and Ozeki [7] proved that every planar graph is DP-4-colorable if it does not contain k -cycles for each $k = 3, 4, 5, 6$. Kim et al. [8] proved that every planar graph is DP-4-colorable if it contains neither 7-cycles nor butterflies. In [9], Kim and Yu proved that every planar graph is DP-4-colorable if it does not contain triangles adjacent to 4-cycles, which extends the result on 3- and 4-cycles. In 2019, Liu and Li [10] improved the previous result of Kim and Yu [9] by relaxing the condition of one triangle into two triangles. Chen et al. [11] showed that every planar graph that contains no 4-cycles adjacent to k -cycles where $k = 5, 6$ is DP-4-colorable. Liu et al. [12] extended the result of Kim and Ozeki [7] on 3-, 5-, and 6-cycles by proving that every planar graph contains no k -cycles adjacent to triangles is DP-4-colorable. Xu and Wu [13] proved that every planar graph, which contains no 5-cycles adjacent simultaneously to 3-cycles and 4-cycles is 4-choosable. Recently, Sittitrai and Nakprasit [14] showed that every planar graph that contains no pairwise adjacent 3-, 4-, and 5-cycle is DP-4-colorable which generalizes the result of Xu and Wu [13].

In this work, the results on 3-, 4-, 5-, and 6-cycles are extended by the result on Theorem 1, which generalizes the aforementioned results by Chen et al. [11] and Liu et al. [12].

Theorem 1. *Every planar graph without 6-cycles simultaneously adjacent to 3-cycles, 4-cycles, and 5-cycles is DP-4-colorable.*

Then we have the following two Corollaries. Moreover, some results on [11,12] are some part of Corollary 1 for $i = 4$ and $i = 3$, respectively.

Corollary 1. *Every planar graph without 6-cycles adjacent to i -cycles is DP-4-colorable for each $i \in \{3, 4, 5\}$.*

Corollary 2. *Every planar graph without 6-cycles simultaneously adjacent to i -cycles and j -cycles is DP-4-colorable for each $i, j \in \{3, 4, 5\}$ and $i \neq j$.*

2. Preliminaries

First, some notations and definitions are introduced in this section. Let G be a plane graph. The vertex set, edge set, and face set of the graph G are denoted, respectively, by $V(G)$, $E(G)$, and $F(G)$. We use $B(f)$ to denote the boundary of a face f . Two faces f and g are adjacent if $B(f)$ and $B(g)$ are adjacent. A *wheel* W_n is a graph of n vertices formed by connecting all vertices of an $(n - 1)$ -cycle (these vertices are called *external vertices*) to a single vertex (*hub*). A k -vertex, k^+ -vertex, and k^- -vertex is a vertex of degree k , at least k , and at most k , respectively. Similar notation is applied to cycles and faces.

Note that some faces may appear several times in the order. If a face is incident to at least two 5^+ -vertices (respectively, exactly one 5^+ -vertex, no 5^+ -vertices), it is called *rich* (*semi-rich*, *poor*, respectively).

A semi-rich 5-face is a *proper semi-rich* 5-face if each incident edge with two endpoints of degree 4 is on the boundary of a 3-face, otherwise it is called an *improper semi-rich* 5-face.

A bounded face is an *extreme* face if it has a vertex incident to the unbounded face. An *inner* face is a bounded face but is not an extreme face.

An edge uv is a *chord* in an embedding cycle C if $u, v \in V(C)$ but uv is not in $E(C)$. If a chord is inside C , then it is called an *internal chord*, otherwise it is called an *external chord*. A graph $C(m, n)$ is obtained from a cycle $x_1x_2 \dots x_{m+n-2}$ with an internal chord x_1x_m . For example, cycles $uvvw$ and $vwxyz$ form $C(3, 5)$. A graph $C(l, m, n)$ is obtained from a cycle $x_1x_2 \dots x_{l+m+n-4}$ with internal chords x_1x_l and x_1x_{l+m-2} . The previous definition can be extended similarly to a graph $C(m, n, p, q)$. The graphs $int(C)$ and $ext(C)$ are induced

by vertices inside and outside a cycle C , respectively. A *separating cycle* C is a cycle with non-empty $int(C)$ and $ext(C)$.

Let \mathcal{A} denote the family of planar graphs without 6-cycle simultaneously adjacent 3-, 4-, and 5-cycle.

To prove that every planar graph without 6-cycles simultaneously adjacent to 3-cycles, 4-cycles, and 5-cycles is DP-4-colorable, we prove a stronger result as follows.

Theorem 2. *If $G \in \mathcal{A}$ with a precolored 3-cycle, then the precoloring can be extended to be a DP-4-coloring of G .*

3. Structures

Let G be a minimal counterexample to Theorem 2 with respect to the order $|V(G)|$. Then, (i) $G \in \mathcal{A}$ and (ii) G is a minimal graph with a precoloring of a 3-cycle that cannot be extended to be a DP-4-coloring in G . Some tools in [14] are used to deal with graphs satisfying (ii). We assume that G contains a 3-cycle since every planar graph without 3-cycles is DP-4-colorable [9].

Thus we let C_0 be a 3-cycle in G that is precolored.

Lemma 1 (Lemma 3.1 in [14]). *G has no separating 3-cycles (See the proof in Lemma A1).*

It follows from Lemma 1 that we may assume C_0 to be the boundary of the unbounded face of G .

Lemma 2 (Lemma 3.3 in [14]). *Each vertex in $int(C_0)$ has degree at least four (See the proof in Lemma A3).*

Lemma 3. *The following statements hold.*

- (i) *A bounded 6⁻-face has its boundary as a cycle.*
- (ii) *If a bounded k_1 -face f and a bounded k_2 -face g with $k_1 + k_2 \leq 8$ are adjacent, then $B(f) \cup B(g) = C(k_1, k_2)$.*
- (iii) *Let a bounded 3-face f and a bounded 4-face g be adjacent. If f or g is adjacent to a bounded 3-face h , then $B(f) \cup B(g) \cup B(h)$ is a 6-cycle with two internal chords.*

Proof.

- (i) Clearly, a boundary of a 5⁻-face is a cycle. Consider a bounded 6-face f . A boundary closed walk is in a form of $uvwxywu$ if $B(f)$ is not a cycle. By Lemma 2, u or x has degree at least 4. It follows that uvw or xyw is a separating 3-cycle, contrary to Lemma 1.
- (ii) It suffices to show that $B(f)$ and $B(g)$ share exactly two vertices.
 - If $B(f) = uvw, B(g) = vwx$ and $u = x$, then f or g is the unbounded face, a contradiction.
 - If $B(f) = uvw, B(g) = vwxy$ and $u = x$ or y , then $d(w) = 2$ or $d(v) = 2$, which contradicts Lemma 2.
 - If $B(f) = uvw, B(g) = vwxyz$ and $u = x$ or z , then $d(w) = 2$ or $d(v) = 2$, which contradicts Lemma 2.
 - If $B(f) = uvw, B(g) = vwxyz$ and $u = y$, then vyz or wxy is a separating 3-cycle, which contradicts Lemma 1.
 - If $B(f) = stuv, B(g) = uvwx$ and $s = w$, then $d(v) = 2$, which contradicts Lemma 2.
 - If $B(f) = stuv, B(g) = uvwx$ and $s = x$, then utx or vwx is a separating 3-cycle, which contradicts Lemma 1. The remaining cases are similar.
- (iii) Lemma 3 (ii) yields that $B(f) \cup B(g)$ is a 5-cycle with one chord. Similar to the proof of Lemma 3 (ii), one can show that $B(h)$ and $B(f) \cup B(g)$ share exactly two vertices. This yields a desired result.

□

Lemma 4. *If C is a 6-cycle and has a triangular chord, then C has only one chord. Moreover, every 6-cycle has at most one internal chord.*

Proof. Let C be a 6-cycle $tuvwxyz$ and let tv be its triangular chord. Suppose to the contrary that C has at least two chords. Since C is adjacent to a 3-cycle tuv and a 5-cycle $uvwxyz$, it suffices to show that C is adjacent to a 4-cycle. By symmetry, we assume another chord e of C is ux, uy, tx, ty , or xz .

If $e = ux$, then C is adjacent to a 4-cycle $tuxv$.

If $e = uy$, then C is adjacent to a 4-cycle $uvxy$.

If $e = tx$, then C is adjacent to a 4-cycle $tuvx$.

If $e = ty$, then C is adjacent to a 4-cycle $vxyt$.

If $e = xz$, then C is adjacent to a 4-cycle $tvxz$.

Thus, C has exactly one chord. Note that C has a triangular chord if C has at least two internal chords. It follows that every 6-cycle has at most one internal chord. \square

A *cluster* in a plane graph G is a subgraph of G consisting of 3-cycles from a minimal set of bounded 3-faces such that they are not adjacent to other bounded 3-faces outside the set. A k -*cluster* is formed by k bounded 3-faces. An *adjacent face* of an i -cluster H_i is a face that is adjacent to some bounded 3-face in H_i . Since $G \in \mathcal{A}$, one can observe that every cluster in G is a 4^- -cluster where a 4-cluster is isomorphic to W_5 .

Lemma 5. *The following statements hold.*

- (i) *If a 4-face f is adjacent to an inner 3-face g , then f is not adjacent to other inner 3-faces and f is not adjacent to any 4-faces.*
- (ii) *If an inner 3-face f is adjacent to a 5-face g , then f and g are not adjacent to any 4-faces.*
- (iii) *Every adjacent face of a 2-cluster is a 6^+ -face or the unbounded 3-face D .*
- (iv) *Every adjacent face of a 3^+ -cluster is a 7^+ -face or the unbounded 3-face D .*

Proof.

- (i) Let f be a 4-face adjacent to an inner 3-face g and another face h . Suppose to the contrary that h is an inner 3-face or a 4-face. If h is an inner 3-face, then $B(f) \cup B(g) \cup B(h)$ is a 6-cycle with two internal chords by Lemma 3 (iii), contrary to Lemma 4. If h is a 4-face, then Lemma 3 (ii) yields a 6-cycle from $B(f) \cup B(h)$, which is adjacent to a 5-cycle from $B(f) \cup B(g)$, a 4-cycle from $B(f)$, and a 3-cycle from $B(g)$, contrary to $G \in \mathcal{A}$.
- (ii) Let an inner 3-face f and a 5-face g be adjacent. Lemma 3 (ii) yields that $B(f) \cup B(g)$ contains a 6-cycle. Thus, f or g is not adjacent to any 4-faces since $G \in \mathcal{A}$.
- (iii) Let f and g be bounded 3-faces in a 2-cluster H_2 and let h be a bounded face adjacent to f . By the definition, h is not a bounded 3-face. If h is a 4-face, then Lemma 3 (iii) yields that $B(f) \cup B(h) \cup B(g)$ contains a 6-cycle with two internal chords, contrary to Lemma 4. If h is a 5-face, then it follows from Lemmas 3 (i) and (ii) that a 6-cycle from $B(f) \cup B(h)$ is adjacent to a 5-cycle from $B(h)$, a 4-cycle from $B(f) \cup B(g)$, and 3-cycle from $B(f)$, contrary to $G \in \mathcal{A}$. Thus, h is a 6^+ -face or the unbounded face.
- (iv) Let f_1, f_2 , and f_3 be the bounded 3-faces of 3^+ -cluster H_3 in a consecutive order. By similar arguments as in the proof of (iii), it follows that H_3 cannot be adjacent to a bounded 5^- -face. Let H_3 be adjacent to a 6-face f_4 . By Lemma 3 (ii) and an argument similar to its proof, one can show that H_3 is a 5-cycle with two chords. Since $B(f_4)$ is a 6-cycle by Lemma 3 (i), we have a 6-cycle adjacent to a 3-, a 4-, and a 5-cycle in H_3 , contrary to $G \in \mathcal{A}$.

If H_3 is adjacent to a 6-face f_4 , then by Lemma 3 (ii), a 6-cycle $B(f_4)$ is adjacent to a 3-, a 4-, and a 5-cycle, which are in H_3 , contrary to $G \in \mathcal{A}$.

□

For Corollary 3 (i), it is proved by the fact that every 5^+ -vertex is not adjacent to four consecutive bounded 3-faces. Thus, each 5^+ -vertex has at least two 4^+ -faces. For Corollary 3 (ii), it is proved by Lemmas 5 (iii) and (iv) that each 3-face in H_2^+ is not adjacent to a 5-face. Thus, each 5^+ -vertex has at least three 4^+ -faces.

Corollary 3. *Let v be a k -vertex in G where $v \notin V(C_0)$ and $k \geq 5$. It follows that:*

- (i) v is incident to at most $k - 2$ bounded 3-faces;
- (ii) v is incident to at most $k - 3$ bounded 3-faces, if v has an incident 5-face.

Proof. If v is incident to $k - 1$ bounded 3-faces, then there are four consecutive bounded faces forming a 4-cluster that is not a wheel, contrary to $G \in \mathcal{A}$. This proves (i). It follows from Lemmas 5 (iii) and (iv) that each 3-face in a 2^+ -cluster is not adjacent to a 5-face. Thus, each 5^+ -vertex incident to a 5-face must be incident to at least three 4^+ -faces. This proves (ii). □

Lemma 6 (Lemma 3.6 in [14]). *$C(l_1, \dots, l_k)$ is defined to be a cycle $C = x_1 \dots x_m$ with k internal chords such that x_1 is their common endpoint and $V(C) \cap V(C_0) = \emptyset$. Suppose x_2 or x_m is not the endpoint of any chords in C . If $d(x_1) \leq k + 3$, then some $i \in \{2, 3, \dots, m\}$ satisfies $d(x_i) \geq 5$ (See the proof in Lemma A4).*

Lemma 7. *Let a 4-vertex v be incident to bounded faces f_1, \dots, f_4 in cyclic order and let $F = B(f_1) \cup B(f_2)$, where $V(F) \cap V(C_0) = \emptyset$. If $(d(f_1), d(f_2)) = (3, 3)$ or $(3, 5)$, then there is a vertex $w \in V(F) - \{v\}$ such that $d(w) \geq 5$.*

Proof. If $(d(f_1), d(f_2)) = (3, 3)$, it follows from Lemma 3 (ii) that $F = C(3, 3)$. Moreover, F has exactly one chord, otherwise there is a separating 3-cycle, which contradicts Lemma 1.

If $(d(f_1), d(f_2)) = (3, 5)$, it follows from Lemma 3 (ii) that $F = C(3, 5)$. Moreover, F has exactly one chord by Lemma 4.

The proof is complete by Lemma 6. □

Lemma 8. *Let v be a 5-vertex with incident bounded faces f_1, \dots, f_5 in a cyclic order. Let $F = B_1 \cup B_2 \cup B_3$ where B_i denote $B(f_i)$ and $V(F) \cap V(C_0) = \emptyset$. If $(d(f_1), d(f_2), d(f_3)) = (5, 3, 5)$, then there exists $w \in V(F) - \{v\}$ such that $d(w) \geq 5$.*

Proof. Let $B_1 = x_1x_2x_3x_4x_5$, $B_2 = x_1x_5x_6$, and $B_3 = x_1x_6x_7x_8x_9$, where $x_1 = v$. It follows from Lemma 3 (ii) that $B_1 \cup B_2$ is a $C(3, 5)$ and $B_2 \cup B_3$ is a $C(3, 5)$. Suppose to the contrary that F is not a $C(5, 3, 5)$. Then, there is $i \in \{2, 3, 4\}$ and $j \in \{7, 8, 9\}$ such that $x_i = x_j$. If $i = 2$, then a 6-cycle $x_1x_5x_6x_7x_8x_9$ has a triangular chord x_1x_6 and a chord x_1x_j , contrary to Lemma 4. If $i = 3$, then a 6-cycle $x_1x_5x_6x_7x_8x_9$ has a triangular chord x_1x_6 and a chord x_5x_j , contrary to Lemma 4.

Suppose that $i = 3$. Note that a 6-cycle $C = x_1x_5x_6x_7x_8x_9$ is adjacent to a 3-cycle $x_1x_5x_6$ and a 5-cycle $x_1x_6x_7x_8x_9$. It suffices to show that C is adjacent to a 4-cycle to get a contradiction. If $x_3 = x_7$, then C is adjacent to a 4-cycle $x_1x_2x_7x_6$. If $x_3 = x_8$, then C is adjacent to a 4-cycle $x_1x_2x_8x_9$. If $x_3 = x_9$, then C is adjacent to a 4-cycle $x_1x_5x_4x_9$.

Thus, $F = C(5, 3, 5)$. By Lemma 6, it remains to show that x_2 or x_m is not an endpoint to a chord in C , say $x_1x_2 \dots x_9$. Suppose C has a chord $e = x_2x_i$, otherwise the desired condition is obtained. If $x_2x_9 \in E(G)$, then we have separating 3-cycle $x_1x_2x_9$, contrary to Lemma 1. By Lemma 4, we have $i \notin \{4, 5, 6\}$. Then, $x_i = x_7$ or x_8 . By Lemma 4, x_9 is not adjacent to x_6 or x_7 . Thus, a chord of C' cannot have x_9 as its endpoint. □

Corollary 4. Let v be a 4-vertex incident to bounded faces f_1, \dots, f_4 in cyclic order, where f_1 is an inner 5-face, f_2 is an inner 3-face, f_3 is an inner 5-face, and f_4 is an arbitrary face. If f_3 is a poor 5-face, then f_1 is a rich 5-face or an improper semi-rich 5-face.

Proof. Let $B_1 = x_1x_2x_3x_4x_5$, $B_2 = x_1x_5x_6$, and $B_3 = x_1x_6x_7x_8x_9$, where $x_1 = v$. Let f_3 be a poor 5-face. Then, x_1, x_6, x_7, x_8 , and x_9 are 4-vertices. By Lemma 7, x_5 is a 5^+ -vertex. If x_2, x_3 , or x_4 is a 5^+ -vertex, then f_1 is a rich 5-face. Now suppose that x_2, x_3 , and x_4 are 4-vertices. If f_4 is not a 3-face, then f_1 is an improper semi-rich 5-face. If f_4 is a 3-face, then x_2 is a 5^+ -vertex by considering f_1 and f_4 into Lemma 7, a contradiction. \square

4. Discharging Process

In this section, we use the discharging procedure to get a contradiction and complete the proof of Theorem 2.

For each vertex and bounded face $x \in V(G) \cup F(G)$, let an initial charge of x be $\mu(x) = d(x) - 4$ and let $\mu(D) = d(D) + 4 = 7$ where D is the unbounded face. By Euler’s Formula, $\sum_{x \in V \cup F} \mu(x) = 0$. Let $\mu^*(x)$ be the charge after the discharge procedure of $x \in V \cup F$. To get a contradiction, we prove that $\mu^*(x) \geq 0$ for each $x \in V(G) \cup F(G)$ and $\mu^*(D) > 0$.

Let $w(x \rightarrow f)$ be the transferred charge from x to a face f where x is a vertex or a face.

The discharging rules:

(R1) Let v be a 5-vertex where $v \notin V(C_0)$ and f be an incident 3-face of v .

$$w(v \rightarrow f) = \begin{cases} \frac{1}{2}, & \text{if } v \text{ is incident to some 5-faces,} \\ \frac{1}{7}, & \text{if } v \text{ is not incident to any 5-faces and} \\ & f \text{ is not adjacent to any incident 3-faces of } v, \\ \frac{3}{7}, & \text{if } v \text{ is not incident to any 5-faces and} \\ & f \text{ is adjacent to exactly one incident 3-face of } v. \end{cases}$$

(R2) Let v be a 6^+ -vertex where $v \notin V(C_0)$ and f be an incident 3-face of v .

$$w(v \rightarrow f) = \begin{cases} \frac{2}{3}, & \text{if } v \text{ is incident to some 5-faces,} \\ \frac{1}{2}, & \text{if } v \text{ is not incident to any 5-faces.} \end{cases}$$

Let g be a k -face with k incident vertices, say v_1, v_2, \dots, v_k in cyclic order, and with k adjacent faces, say f_1, f_2, \dots, f_k in cyclic order. Let f_i be incident to v_i and v_{i+1} (i is taken modulo k).

(R3) Let g be a 4-face.

$$w(g \rightarrow f_i) = \frac{1}{3} \text{ if } f_i \text{ is an inner 3-face.}$$

(R4) Let g be a 5-face.

$$w(g \rightarrow f_i) = \frac{1}{5} \text{ if } f_i \text{ is a 4-face.}$$

- Let g be an inner poor 5-face.

$$w(g \rightarrow f_i) = \frac{1}{5} \text{ if } f_i \text{ is an inner 3-face.}$$

- Let g be an inner proper semi-rich 5-face.

$$w(g \rightarrow f_i) = \frac{1}{3} \text{ if } f_i \text{ is an inner 3-face where both } v_i \text{ and } v_{i+1} \text{ are 4-vertices.}$$

- Let g be an inner rich 5-face or an inner improper semi-rich 5-face.

$$w(g \rightarrow f_i) = \begin{cases} \frac{1}{6}, & \text{if } f_i \text{ is an inner 3-face where exactly one of } v_i \text{ and } v_{i+1} \text{ is a 4-vertex.} \\ \frac{1}{3}, & \text{if } f_i \text{ is an inner 3-face where both } v_i \text{ and } v_{i+1} \text{ are 4-vertices.} \end{cases}$$

- Let g be an extreme 5-face.

$$w(g \rightarrow f_i) = \frac{2}{3} \text{ if } f_i \text{ is an inner 3-face.}$$

(R5) Let g be a k -face where $k \geq 6$.

$$w(g \rightarrow f_i) = \begin{cases} \theta(f_i) + \chi(f_{i+1})\theta(f_{i+1}) + \chi(f_{i-1})\theta(f_{i-1}), & \text{if } f_i \text{ is a } 4^- \text{-face,} \\ 0, & \text{otherwise.} \end{cases} \quad \text{where}$$

$$\chi(f_i) = \begin{cases} \frac{1}{2}, & \text{if } f_i \text{ is not a } 4^- \text{-face,} \\ 0, & \text{otherwise.} \end{cases}$$

and $\theta(f_i) = \frac{d(g)-4}{d(g)}$ for each $i \in \{1, 2, \dots, n\}$.

(R6) The unbounded face D incident to a vertex v receives charge $\mu(v)$ from v but gives 1 to each of its intersecting 3-faces and 5-faces.

It follows from (R6) that $\mu^*(v) = 0$ for every $v \in V(C_0)$. By this, we consider only a vertex v such that $v \notin V(C_0)$.

CASE 1: v is a 5-vertex.

- v is incident to some 5-faces.
Then, v has at most two incident 3-faces by Corollary 3. Thus, $\mu^*(v) \geq \mu(v) - 2 \times \frac{1}{2} = 0$ by (R1).
- v is not incident to any 5-faces.
It follows from Corollary 3 that v is incident to at most three 3-faces. Then, v has at most two incident 3-faces, which are adjacent to exactly one incident 3-face of v . Thus, $\mu^*(v) \geq \mu(v) - 2 \times \frac{3}{7} - \frac{1}{7} = 0$ by (R1).

CASE 2: v is a 6^+ -vertex.

- v is incident to some 5-faces.
It follows from Corollary 3 that v is incident to not more than $d(v) - 3$ of 3-faces. Thus, $\mu^*(v) \geq \mu(v) - (d(v) - 3) \times \frac{2}{3} = (d(v) - 4) - (\frac{2d(v)}{3} - 2) = \frac{d(v)}{3} - 2 \geq 0$ by (R2) and $d(v) \geq 6$.
- v is not incident to any 5-faces.
It follows from Corollary 3 that v is incident to at most $d(v) - 2$ 3-faces. Thus, $\mu^*(v) \geq \mu(v) - (d(v) - 2) \times \frac{1}{2} = (d(v) - 4) - (\frac{d(v)}{2} - 1) = \frac{d(v)}{2} - 3 \geq 0$ by (R2) and $d(v) \geq 6$.
For a 3-face in an i -cluster H_i , we consider the total of charges in the same cluster. That is $\mu(H_i) = -i$ and we show that $\mu^*(H_i) \geq 0$ instead.

CASE 3: f is a 3-face in an i -cluster, say H_i where $|V(H_i) \cap V(C_0)| \geq 1$.

- If $|V(H_1) \cap V(C_0)| \geq 1$, then $\mu^*(H_1) \geq \mu(H_1) + 1 = 0$ by (R6).
- If $|V(H_2) \cap V(C_0)| = 1$, then each adjacent face of H_2 is a 6^+ -face by Lemma 5 (iii). Thus, $\mu^*(H_2) \geq \mu(H_2) + 1 + 4 \times \frac{1}{3} > 0$ by (R5) and (R6).
- If $|V(H_2) \cap V(C_0)| \geq 2$, then each 3-face in H_2 is an extreme 3-face. Thus, $\mu^*(H_2) \geq \mu(H_2) + 2 \times 1 = 0$ by (R6).
- If $|V(H_3) \cap V(C_0)| = 1$, then each adjacent face of H_3 is a 7^+ -face by Lemma 5 (iv). Thus, $\mu^*(H_3) \geq \mu(H_3) + 1 + 5 \times \frac{3}{7} > 0$ by (R5) and (R6).
- If $|V(H_3) \cap V(C_0)| = 2$, then H_3 is adjacent to at least four 7^+ -faces by Lemma 5 (iv). Moreover, there are at least two extreme 3-faces in H_3 . Thus, $\mu^*(H_3) \geq \mu(H_3) + 2 + 4 \times \frac{3}{7} > 0$ by (R5) and (R6).
- If $|V(H_3) \cap V(C_0)| = 3$, then each 3-face in H_3 is an extreme 3-face. Thus, $\mu^*(H_3) \geq \mu(H_3) + 3 \times 1 = 0$ by (R6).
- If $|V(H_4) \cap V(C_0)| = 1$, then there are two extreme 3-faces in H_4 and each adjacent face of H_4 is a 7^+ -face by Lemma 5 (iv). If each vertex in $V(H_4) - V(C_0)$ is a 4-vertex, we have $\mu^*(H_4) \geq \mu(H_4) + 2 + 2 \times \frac{9}{14} + 2 \times \frac{6}{7} > 0$ by (R5) and (R6). Otherwise, there is a vertex in $V(H_4) - V(C_0)$, which is not a 4-vertex, then we have $\mu^*(H_4) \geq \mu(H_4) + 2 + 6 \times \frac{3}{7} > 0$ by (R1), (R2), (R5), and (R6).
- If $|V(H_4) \cap V(C_0)| = 2$, then there are at least three extreme 3-faces in H_4 . Moreover, H_3 is adjacent to at least three 7^+ -faces by Lemma 5 (iv). Thus, $\mu^*(H_4) \geq \mu(H_4) + 3 \times 1 + 3 \times \frac{3}{7} > 0$ by (R5) and (R6).
- If $|V(H_4) \cap V(C_0)| = 3$, then each 3-face in H_4 is an extreme 3-face. Thus, $\mu^*(H_4) \geq \mu(H_4) + 4 \times 1 = 0$ by (R6).

CASE 4: f is an inner 3-face in a 1-cluster.

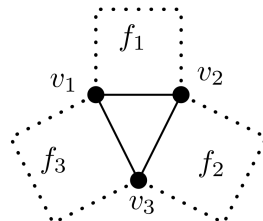
Let v_1, v_2, v_3 be three incident vertices in cyclic order and f_1, f_2, f_3 be three adjacent faces in cyclic order. Moreover, let f_i be incident to v_i and v_{i+1} (i is taken modulo 3) (See Figure 1).

Subcase 4.1: f is not adjacent to any 5-faces.

Thus, $\mu^*(f) \geq \mu(f) + 3 \times \frac{1}{3} = 0$ by (R3) and (R5).

Next, we consider that f is adjacent to some 5-faces in Subcases 4.2 to 4.5. It follows from Lemma 5 (ii) and the assumption of Case 4 that f is not adjacent to a 4⁻-faces.

Subcase 4.2: An inner 3-face f is adjacent to some extreme 5-faces.



CASE 4

Figure 1. The configuration in CASE 4.

WLOG, let f_1 be an extreme 5-face. Then, $w(f_1 \rightarrow f) = \frac{2}{3}$ by (R4).

- f_i is not an inner 5-face where $i = 2$ or 3.
Then, f_i is an extreme 5-face or a 6⁺-face. Thus, $w(f_i \rightarrow f) \geq \frac{1}{3}$ by (R4) and (R5). Therefore, $\mu^*(f) \geq \mu(f) + \frac{2}{3} + \frac{1}{3} = 0$.
- f_2 and f_3 are inner 5-faces.
 - If v_i is a 5⁺-vertex for some $i \in \{1, 2, 3\}$, then $w(v_i \rightarrow f) = \frac{1}{2}$ by (R1) and (R2). Thus, $\mu^*(f) \geq \mu(f) + \frac{2}{3} + \frac{1}{2} > 0$.
 - If v_i is a 4-vertex for each $i \in \{1, 2, 3\}$, then $w(f_2 \rightarrow f) \geq \frac{1}{5}$ and $w(f_3 \rightarrow f) \geq \frac{1}{5}$ by (R4). Thus, $\mu^*(f) \geq \mu(f) + \frac{2}{3} + 2 \times \frac{1}{5} > 0$.

We now consider the cases that each adjacent 5-face of f is not an extreme 5-face.

Subcase 4.3: f is a poor 3-face.

It follows from Lemma 7 that f_i is not a poor 5-face for each $i \in \{1, 2, 3\}$. Thus, $\mu^*(f) \geq \mu(f) + 3 \times \frac{1}{3} = 0$ by (R4) and (R5).

Subcase 4.4: f is a semi-rich 3-face.

Let v_1 be a 5⁺-vertex. By symmetry, we only consider two following cases.

- f_2 is a poor 5-face.
Then $w(f_2 \rightarrow f) \geq \frac{1}{5}$ by (R4). Note that if f_i is an improper semi-rich 5-face, a rich 5-face, or a 6⁺-face where $i \in \{1, 3\}$, then $w(f_i \rightarrow f) \geq \frac{1}{6}$ by (R4) and (R5).
 - If f_i is a 5-face for $i = 1$ or 3, then f_i is an improper semi-rich 5-face or a rich 5-face by Corollary 4. It follows that $w(v_1 \rightarrow f) \geq \frac{1}{2}$ by (R1) and (R2). Thus, $\mu^*(f) \geq \mu(f) + 2 \times \frac{1}{6} + \frac{1}{5} + \frac{1}{2} > 0$.
 - If f_1 and f_3 are 6⁺-faces, then $w(v_1 \rightarrow f) \geq \frac{1}{7}$ by (R1) and (R2). Thus, $\mu^*(f) \geq \mu(f) + 2 \times \frac{1}{3} + \frac{1}{5} + \frac{1}{7} > 0$.
- f_2 is a 5⁺-face but not a poor 5-face.
Then $w(f_2 \rightarrow f) \geq \frac{1}{3}$ by (R4) and (R5). If f_1 and f_3 are 6⁺-faces, then $\mu^*(f) \geq \mu(f) + 3 \times \frac{1}{3} = 0$ by (R5). If v_1 is a 6⁺-vertex and f_1 or f_3 is a 5-face, then $\mu^*(f) \geq \mu(f) + \frac{1}{3} + \frac{1}{3} = 0$ by (R2). Thus, it remains to check the case that f_1 or f_3 is a 5-face and v_1 is a 5-vertex. Note that $w(v_1 \rightarrow f) \geq \frac{1}{2}$ by (R1).
 - If f_1 and f_3 are 5-faces, then f_i is a rich 5-face for $i = 1$ or 3 by Lemma 8. It follows that $w(f_i \rightarrow f) = \frac{1}{6}$ by (R4). Thus, $\mu^*(f) \geq \mu(f) + \frac{1}{3} + \frac{1}{2} + \frac{1}{6} = 0$.

- If f_1 is a 5-face and f_3 is a 6^+ -face, then $w(f_3 \rightarrow f) \geq \frac{1}{3}$ by (R5). Thus, $\mu^*(f) \geq \mu(f) + 2 \times \frac{1}{3} + \frac{1}{2} = 0$.

Subcase 4.5: f is an inner rich 3-face.

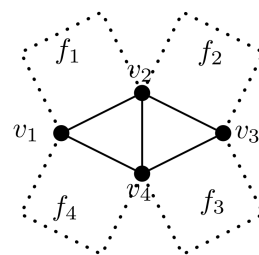
Let v_1 and v_2 be 5^+ -vertices. Recall that f_1, f_2 , and f_3 are inner 5^+ -faces and at least one of them is a 5-face. By symmetry, we only consider two following cases.

- f_1 is a 5-face or f_2 and f_3 are 5-faces.
That makes v_1 and v_2 incident to some 5-faces. Then, $w(v_1 \rightarrow f) \geq \frac{1}{2}$ and $w(v_2 \rightarrow f) \geq \frac{1}{2}$ by (R1) and (R2). Thus, $\mu^*(f) \geq \mu(f) + 2 \times \frac{1}{2} = 0$.
- f_1 is a 6^+ -face and either f_2 or f_3 is a 6^+ -face.
WLOG, let f_2 be a 5-face. That makes v_2 incident to some 5-faces. Then $w(f_1 \rightarrow f) \geq \frac{1}{3}$ and $w(f_3 \rightarrow f) \geq \frac{1}{3}$ by (R5) and $w(v_2 \rightarrow f) \geq \frac{1}{2}$ by (R1) and (R2). Thus, $\mu^*(f) \geq \mu(f) + 2 \times \frac{1}{3} + \frac{1}{2} > 0$.

CASE 5: f is a 3-face in a 2-cluster H_2 where $|V(H_2) \cap V(D)| = 0$.

Let H_2 be a 4-cycle $v_1v_2v_3v_4$ with a chord v_1v_3 . Let f_1, f_2, f_3, f_4 be four adjacent faces of H_2 in cyclic order. Moreover, let f_i be incident to v_i and v_{i+1} (i is taken modulo 4) (See Figure 2). It follows from Lemma 5 (iii) that f_1, f_2, f_3 , and f_4 are 6^+ -faces. By symmetry, we only consider two following cases.

- v_1 and v_3 are 4-vertices.
Then $w(f_i \rightarrow H_2) \geq \frac{1}{2}$ for $i \in \{1, 2, 3, 4\}$ by (R5). Thus, $\mu^*(H_2) \geq \mu(H_2) + 4 \times \frac{1}{2} = 0$.
- v_1 is a 5^+ -vertex and v_3 is a 4^+ -vertex.
Then $w(v_1 \rightarrow H_2) \geq 2 \times \frac{3}{7}$ by (R1) and (R2), and $w(f_i \rightarrow H_2) \geq \frac{1}{3}$ for $i \in \{1, 2, 3, 4\}$ by (R5). Thus, $\mu^*(H_2) \geq \mu(H_2) + 4 \times \frac{1}{3} + 2 \times \frac{3}{7} > 0$.



CASE 5

Figure 2. The configuration in CASE 5.

CASE 6: f is a 3-face in a 3-cluster H_3 where $|V(H_3) \cap V(D)| = 0$.

Let H_3 be a 5-cycle $v_1v_2v_3v_4v_5$ with two chords v_1v_3 and v_1v_4 . Let f_1, f_2, f_3, f_4, f_5 be five adjacent faces of H_3 in cyclic order. Moreover, let f_i be incident to v_i and v_{i+1} (i is taken modulo 5). Note that f_1 and f_5 may be the same face (See Figure 3). It follows from Lemma 5 (iv) that f_1, f_2, f_3, f_4 , and f_5 are 7^+ -faces. By symmetry, we only consider the two following cases.

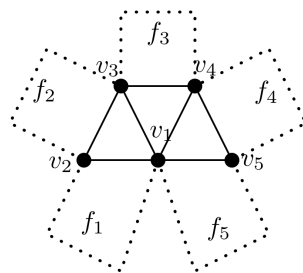
- v_3 and v_4 are 4-vertices.
Then $w(f_1 \rightarrow H_3) \geq \frac{3}{7}$ and $w(f_5 \rightarrow H_3) \geq \frac{3}{7}$ by (R5), $w(f_2 \rightarrow H_3) \geq \frac{9}{14}$ and $w(f_4 \rightarrow H_3) \geq \frac{9}{14}$ by (R5), and $w(f_3 \rightarrow H_3) = \frac{6}{7}$ by (R5). Thus, $\mu^*(H_3) \geq \mu(H_3) + 2 \times \frac{3}{7} + 2 \times \frac{9}{14} + \frac{6}{7} = 0$.
- v_3 is a 5^+ -vertex and v_4 is a 4^+ -vertex.
Then $w(v_3 \rightarrow H_3) \geq 2 \times \frac{3}{7}$ by (R1) and (R2), and $w(f_i \rightarrow H_3) \geq \frac{3}{7}$ for $i \in \{1, 2, 3, 4, 5\}$ by (R5). Thus, $\mu^*(H_3) \geq \mu(H_3) + 7 \times \frac{3}{7} = 0$.

CASE 7: f is a 3-face in a 4-cluster H_4 where $|V(H_4) \cap V(D)| = 0$.

Let H_4 be the wheel W_5 where v_5 is a hub and v_1, v_2, v_3 , and v_4 are external vertices in cyclic order. Let f_1, f_2, f_3, f_4 be four adjacent faces of H_4 in cyclic order. Moreover, let f_i be incident to v_i and v_{i+1} (i is taken modulo 4) (See Figure 4). By Lemma 5 (iv), f_1, f_2, f_3 ,

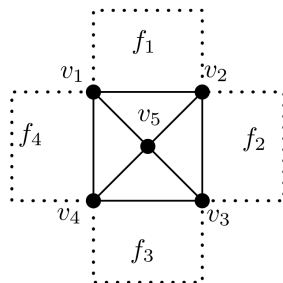
and f_4 are 7^+ -faces. Moreover, at least two vertices in $\{v_1, v_2, v_3, v_4\}$ are 5^+ -vertices by Lemma 7. By symmetry, we only consider the three following cases.

- v_1 and v_2 are 5^+ -vertices and v_3 and v_4 are 4-vertices.
Then $w(f_1 \rightarrow H_4) \geq \frac{3}{7}$, $w(f_2 \rightarrow H_4) \geq \frac{9}{14}$, $w(f_4 \rightarrow H_4) \geq \frac{9}{14}$, $w(f_3 \rightarrow H_4) = \frac{6}{7}$ by (R5), $w(v_1 \rightarrow H_4) \geq 2 \times \frac{3}{7}$ and $w(v_2 \rightarrow H_4) \geq 2 \times \frac{3}{7}$ by (R1) and (R2). Thus, $\mu^*(H_4) \geq \mu(H_4) + 2 \times \frac{9}{14} + \frac{6}{7} + 5 \times \frac{3}{7} > 0$.
- v_1 and v_3 are 5^+ -vertices and v_2 and v_4 are 4-vertices.
Then $w(f_i \rightarrow H_4) \geq \frac{9}{14}$ for $i \in \{1, 2, 3, 4\}$ by (R5), and $w(v_1 \rightarrow H_4) \geq 2 \times \frac{3}{7}$ and $w(v_3 \rightarrow H_4) \geq 2 \times \frac{3}{7}$ by (R1) and (R2). Thus, $\mu^*(H_4) \geq \mu(H_4) + 4 \times \frac{9}{14} + 4 \times \frac{3}{7} > 0$.
- $v_1, v_2,$ and v_3 are 5^+ -vertices and v_4 is a 4^+ -vertex.
Then $w(f_i \rightarrow H_4) \geq \frac{3}{7}$ for $i \in \{1, 2, 3, 4\}$ by (R5) and $w(v_i \rightarrow H_4) \geq 2 \times \frac{3}{7}$ for $i \in \{1, 2, 3\}$ by (R1) and (R2). Thus, $\mu^*(H_4) \geq \mu(H_4) + 10 \times \frac{3}{7} > 0$.



CASE 6

Figure 3. The configuration in CASE 6.



CASE 7

Figure 4. The configuration in CASE 7.

CASE 8: f is a 4-face adjacent to an inner 3-face, say h .

Since h is an inner 3-face, we have $|B(f) \cap B(D)| \leq 2$ where D is the unbounded 3-face. Consequently, there are at least two adjacent faces of f , which are not h and D . Moreover, they are 5^+ -faces by Lemma 5 (i). Thus $\mu^*(f) \geq \mu(f) - \frac{1}{3} + 2 \times \frac{1}{5} > 0$ by (R3), (R4), and (R5).

CASE 9: f is a 5-face.

- Let f be adjacent to some 4-faces.
Then f is not adjacent to any 3-faces by Lemma 5 (ii). Thus, $\mu^*(f) \geq \mu(f) - 5 \times \frac{1}{5} = 0$ by (R4).
- Let f be an inner poor 5-face.
Then $\mu^*(f) \geq \mu(f) - 5 \times \frac{1}{5} = 0$ by (R4).
- Let f be an inner semi-rich 5-face.
 - If f is a proper semi-rich 5-face, then $B(f)$ has three edges with two 4-endpoints. Thus, $\mu^*(f) \geq \mu(f) - 3 \times \frac{1}{3} = 0$ by (R4).
 - If f an improper semi-rich 5-face, then $B(f)$ has at most two edges with two 4-

endpoints and at most two edges with exactly one 5^+ -endpoint. Thus, $\mu^*(f) \geq \mu(f) - 2 \times \frac{1}{3} - 2 \times \frac{1}{6} = 0$ by (R4).

- Let f be an inner rich 5-face.
Then f has at least two incident 5^+ -vertices.
If two incident 5^+ -vertices are not adjacent in $B(f)$, then $B(f)$ has at most one edge with two 4-endpoints. Thus, $\mu^*(f) \geq \mu(f) - \frac{1}{3} - 4 \times \frac{1}{6} = 0$ by (R4). It remains to consider the case that f has exactly two incident 5^+ -vertices and they are adjacent in $B(f)$. Then $B(f)$ has two edges with two 4-endpoints and two edges with exactly one 5^+ -endpoint. Thus, $\mu^*(f) \geq \mu(f) - 2 \times \frac{1}{3} - 2 \times \frac{1}{6} = 0$ by (R4).
- Let f be an extreme 5-face.
Then f has at most an adjacent inner 3-face. Thus, $\mu^*(f) \geq \mu(f) + 1 - 3 \times \frac{2}{3} = 0$ by (R4) and (R6).

CASE 10: f is an m -face where $m \geq 6$.

Then, by (R5) we have $w(f \rightarrow f_i) \leq (1 - 2\chi(f_i))\theta(f_i) + \chi(f_{i+1})\theta(f_{i+1}) + \chi(f_{i-1})\theta(f_{i-1})$.

$$\begin{aligned} \mu^*(f) &= \mu(f) - \sum_{i=1}^m w(f \rightarrow f_i) \\ &\geq \mu(f) - \sum_{i=1}^m ((1 - 2\chi(f_i))\theta(f_i) + \chi(f_{i+1})\theta(f_{i+1}) + \chi(f_{i-1})\theta(f_{i-1})) \\ &= \mu(f) - \sum_{i=1}^m (\theta(f_i) - 2\chi(f_i)\theta(f_i) + 2\chi(f_i)\theta(f_i)) \\ &= m - 4 - m\left(\frac{m-4}{m}\right) \\ &= 0. \end{aligned}$$

CASE 11: The unbounded face D .

Let the number of intersecting 3-faces and 5-faces of D be denoted by f' . Let $E(C_0, V(G) - C_0)$ denote the set of edges between $V(G) - C_0$ and C_0 where this set has size $e(C_0, V(G) - C_0)$. Then by (R6),

$$\begin{aligned} \mu^*(D) &= 3 + 4 + \sum_{v \in C_0} (d(v) - 4) - f' \\ &= 1 + \sum_{v \in C_0} (d(v) - 2) - f' \\ &= 1 + e(C_0, V(G) - C_0) - f'. \end{aligned}$$

So we may consider that D sends charge 1 to each edge $e \in E(C_0, V(G) - C_0)$. So each intersecting 3-face and 5-face contains at least two edges in $E(C_0, V(G) - C_0)$. It follows that $e(C_0, V(G) - C_0) - f' \geq 0$. Thus, $\mu^*(D) > 0$.

This completes the proof.

5. Conclusions

We prove that every planar graph without 6-cycles simultaneously adjacent to 3-cycles, 4-cycles, and 5-cycles is DP-4-colorable. This result is a special case of two following open problems.

1. Every planar graph without i -cycles simultaneously adjacent to j -cycles, k -cycles, and l -cycles is DP-4-colorable for $\{i, j, k, l\} = \{3, 4, 5, 6\}$.
2. Every planar graph without 3-, 4-, 5-, and 6-cycles that are pairwise adjacent is DP-4-colorable.

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Appendix A

Lemma A1 ([14]). *G has no separating 3-cycles.*

Proof. Suppose to the contrary that G contains C_0 , which is a separating 3-cycle. Consider a 3-cycle C , which is precolored. Note that C and C_0 may be different. By symmetry, one may assume $V(C) \subseteq V(C_0) \cup \text{int}(C_0)$. By minimality, a precoloring can be extended from C to $V(C_0) \cup \text{int}(C_0)$. After C_0 is colored, one can extend the coloring of C_0 to $\text{ext}(C_0)$. In this way, we obtain a DP-4-coloring of G , a contradiction. \square

To prove Lemmas A3 and A4, Ref. [14] gave the definition of residual list assignment and Lemma A2 as follows.

Let G be a graph with a list assignment L and let H be its cover. Let F be an induced subgraph of G and $G' = G - F$. A restriction of L on G' is a list assignment, say L' such that $L'(u) = L(u)$ for every vertex u in G' .

If a graph $H' = H[\{\{v\} \times L(v) : v \in V(G')\}]$, then we say H' is a restriction of H on G' . Assume G' has an (H', L') -coloring such that I' is an independent set in H' with $|I'| = |V(G)| - |V(F)|$.

Define a residual list assignment L^* of F to be

$$L^*(x) = L(x) - \bigcup_{ux \in E(G)} \{c' \in L(x) : (u, c)(x, c') \in E(H) \text{ and } (u, c) \in I'\}$$

for every $x \in V(F)$.

Define residual cover H^* to be $H[\{\{x\} \times L^*(x) : x \in V(F)\}]$.

Lemma A2. *Let I' be an (H', L') -coloring of G' . It follows that a residual cover H^* becomes a cover of F with a list assignment L^* . Additionally, F is (H^*, L^*) -colorable implies G is (H, L) -colorable.*

Proof. The first part follows immediately from the definitions of a cover and a residual cover.

Suppose that F is (H^*, L^*) -colorable. Consequently, H^* has an independent set I^* with the size $|I^*| = |F|$. The definition of residual cover implies that no edges connect between H^* and I' . Furthermore, I' and I^* are disjoint. Put them together, we have $I = I' \cup I^*$ is an independent set of H such that $|I| = (|V(G)| - |V(F)|) + |V(F)| = |V(G)|$. So we can conclude that G is (H, L) -colorable as desired. \square

Lemma A3 ([14]). *Each vertex in $\text{int}(C_0)$ has degree of at least four.*

Proof. Suppose otherwise that G has a vertex v of degree less than 4. Let L be a 4-assignment in G and H be a cover of G in which G has no (H, L) -coloring. By minimality, we have $G' = G - x$ with an (H', L') -coloring where L' (respectively, H') is a restriction of L (respectively, H) on G' . Thus, there is an independent set I' with $|I'| = |G'|$ in H' . Let L^* be a residual list assignment. Since $d(x) \leq 3$ and $|L(v)| = 4$, it follows that $|L^*(v)| \geq 1$. It is obvious that $\{(v, c)\}$ with $c \in L^*(v)$ is an independent set in $G[\{v\}]$. It follows that $G[\{v\}]$ is (H^*, L^*) -colorable. Lemma A2 yields that G is (H, L) -colorable. This contradiction completes the proof. \square

Lemma A4. *Assume $C(l_1, \dots, l_k)$ is a cycle $C = v_1 \dots v_m$ with k internal chords that share an endpoint v_1 with $V(C) \cap V(C_0) = \emptyset$. Suppose v_m is not an endpoint of a chords in C . If $d(v_1) \leq k + 3$, then there exists $v_i \in V(C) - \{v_1\}$ such that $d(v_i) \geq 5$.*

Proof. Let v_m be not an endpoint of a chord in C . Suppose otherwise that $d(v_i) \leq 4$ for each $v_i \in V(C) - \{v_1\}$. Assume G has a 4-assignment L with a cover H in which G has no (H, L) -coloring. By minimality, $G' = G - \{v_1, \dots, v_m\}$ has an (H', L') -coloring where L' (respectively, H') is a restriction of L (respectively, H) in G' . Thus an independent set I' in H' with $|I'| = |G'|$ exists.

Let L^* be a residual list assignment on F . From $|L(v)| = 4$ for every $v \in V(G)$, it follows that $|L^*(v_1)| \geq 3$ and $|L^*(v)| \geq 3$ for each vertex $v \in V(C)$ such that v_1v is an edge whereas $|L^*(v_i)| \geq 2$ for each remaining vertex v_i in $V(C)$. Assume H^* is a residual cover of F . Recall that v_m is not an endpoint of a chord in C . It follows that there exists a color c in $L^*(v_1)$ with $|L^*(v_m) - \{c' : (v_1, c)(v_m, c') \in E(H^*)\}| \geq 2$. Greedily coloring v_2, v_3, \dots, v_m sequentially, we have an independent set I^* where its size $|I^*| = m = |F|$. It follows that F is (H^*, L^*) -colorable. By Lemma A2, we have G is (H, L) -colorable, which is a contradiction. \square

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