




Article

Compact Resolutions and Analyticity

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Abstract: We consider the large class \mathfrak{G} of locally convex spaces that includes, among others, the classes of (DF) -spaces and (LF) -spaces. For a space E in class \mathfrak{G} we have characterized that a subspace Y of $(E, \sigma(E, E'))$, endowed with the induced topology, is analytic if and only if Y has a $\sigma(E, E')$ -compact resolution and is contained in a $\sigma(E, E')$ -separable subset of E . This result is applied to reprove a known important result (due to Cascales and Orihuela) about weak metrizable sets in spaces of class \mathfrak{G} . The mentioned characterization follows from the following analogous result: The space $C(X)$ of continuous real-valued functions on a completely regular Hausdorff space X endowed with a topology ξ stronger or equal than the pointwise topology τ_p of $C(X)$ is analytic iff $(C(X), \xi)$ is separable and is covered by a compact resolution.

Keywords: compact resolution; analytic space; locally convex space; weak metrizable; $C_p(X)$ -spaces

MSC: 46A50, 46E10, 54H05



Citation: López-Alfonso, S.; López-Pellicer, M.; Moll-López, S. Compact Resolutions and Analyticity. *Mathematics* **2024**, *12*, 318. <https://doi.org/10.3390/math12020318>

Academic Editor: Rekha Srivastava

Received: 28 November 2023

Revised: 11 January 2024

Accepted: 17 January 2024

Published: 18 January 2024

Correction Statement: This article has been republished with a minor change. The change does not affect the scientific content of the article and further details are available within the backmatter of the website version of this article.



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1. Introduction

A family $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$ of sets covering a set X is called a *resolution* of X if $A_\alpha \subset A_\beta$ whenever $\alpha \leq \beta$, $\alpha, \beta \in \mathbb{N}^{\mathbb{N}}$. A locally convex topological vector space E belongs to class \mathfrak{G} if there is a resolution $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$ in $(E', \sigma(E', E))$ such that each sequence in any A_α is equicontinuous [1], and the resolution $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$ is called a \mathfrak{G} -representation of E' .

The class \mathfrak{G} is stable by taking subspaces, Hausdorff quotients, countable direct sums, and products. It contains “almost all” important classes of locally convex spaces, including (LF) -spaces and (DF) -spaces, hence it is indeed a very large class. We recall that this class \mathfrak{G} of locally convex space was introduced in [1] motivated by particular results for (LF) -spaces and (DF) -spaces and common properties of the topological dual of each space of these two classes.

An interesting result from [1] states that a compact set K is Talagrand compact if and only if it is homeomorphic to a subset of a locally convex space in class \mathfrak{G} . Therefore, dealing with Talagrand compact sets, one may ask when (weakly) compact sets in a locally convex space in class \mathfrak{G} are (weakly) metrizable. Both questions were answered in [1,2], respectively, see also [3] (and references there). Additionally, in the theory of locally convex spaces working with compact sets of a locally convex space E raise the questions about metrizable and weakly angelicity of compact subsets of E . In [1] and references therein, a list of positive results concerning both questions is provided, with (LF) -spaces and (DF) -spaces included in the list. For the spaces in class \mathfrak{G} , both above-mentioned problems have positive answers.

Nevertheless, as was proved in [4], the space $C_p(X)$ of continuous real-valued maps on a completely regular Hausdorff space X , endowed with the pointwise topology belongs to class \mathfrak{G} if and only if $C_p(X)$ is metrizable.

All topological spaces are assumed to be completely regular. A topological space X is *web-bounding* [5] (Note 3) if there is a family $\{A_\alpha : \alpha \in \Omega\}$ of subsets of X for some non-empty $\Omega \subset \mathbb{N}^{\mathbb{N}}$ whose union X_0 is dense in X and such that if $\alpha = (n_k) \in \Omega$ and $x_k \in C_{n_1, n_2, \dots, n_k} := \bigcup \{A_\beta : \beta = (m_k) \in \Omega, m_j = n_j, j = 1, \dots, k\}$, then $(x_k)_k$ is functionally bounded. If the same holds for $X = X_0$, we call X *strongly web-bounding*. The family $\{A_\alpha : \alpha \in \Omega\}$ is called, respectively, a web-bounding representation or a strongly web-bounding representation of X .

A topological space X is called a *Lindelöf Σ -space* [6] (or a K -countably determined space [7]) if there is an upper semi-continuous compact-valued map from a non-empty subset $\Omega \subset \mathbb{N}^{\mathbb{N}}$ covering X . If the same holds for $\Omega = \mathbb{N}^{\mathbb{N}}$, then X is called *K -analytic*. X is *quasi-Suslin* if there exists a set-valued map T from $\mathbb{N}^{\mathbb{N}}$ into X covering X which is quasi-Suslin, i.e., if $\alpha_n \rightarrow \alpha$ in $\mathbb{N}^{\mathbb{N}}$ and $x_n \in T(\alpha_n)$, then $(x_n)_n$ has a cluster point in $T(\alpha)$, see [8].

A topological space X is *analytic* if it is a continuous image of the space $\mathbb{N}^{\mathbb{N}}$. Note that analytic $\Rightarrow K$ -analytic \Leftrightarrow Lindelöf \wedge quasi-Suslin, and K -analytic \Rightarrow Lindelöf Σ . Every K -analytic space has a compact resolution, see [9], or [10], and every angelic space with a compact resolution is K -analytic, see [10] (Corollary 1.1).

Recall that topological spaces containing dense quasi-Suslin spaces are web-bounding [5]. Hence, every space containing a dense σ -compact space is web-bounding, in particular, separable spaces are web-bounding. Applying [1] (Theorem 1, Note 4) we have that a metrizable space is web-bounding if and only if it is separable. Additional information concerning K -analytic properties on spaces $C^b(X)$ and properties of weakly compact sets in $C(X)$ are developed in [11,12].

2. Main Results

The following theorems are the main results of this paper that provide two natural characterizations of analyticity. Theorem 1 characterizes when a non-empty subset Y of a locally convex space E in class \mathfrak{G} is $\sigma(E, E')$ -analytic and Theorem 3 characterizes when non-empty set $Y \subset C_p(X)$ is analytic, being X a web-bounding space. Although spaces $C_p(X)$ of continuous real-valued maps on X endowed with the pointwise topology τ_p do not belong to class \mathfrak{G} for uncountable spaces X (as we have mentioned above), the argument used in the proof of Theorem 3 applies to show the general Theorem 1.

Theorem 1. *A subset Y of a locally convex space E in class \mathfrak{G} is $\sigma(E, E')$ -analytic if and only if Y has a $\sigma(E, E')$ -compact resolution and is contained in a $\sigma(E, E')$ -separable subset.*

Consequently, a locally convex space E in class \mathfrak{G} is weakly analytic if and only if E is separable and admits a $\sigma(E, E')$ -compact resolution. Note that the latter condition is equivalent to say that E is weakly K -analytic (since E is angelic by [1] (Theorem 11) and we apply [10] (Corollary 1.1)).

We prove that $C_p(X)$ is analytic if and only if $C_p(X)$ has a compact resolution and is separable, see Corollary 2.

Since every analytic compact set is metrizable [1] (Theorem 15), Theorem 1 yields the following result from [2].

Corollary 1 (Cascales-Orihuela). *A $\sigma(E, E')$ -compact set Y in a locally convex space E in class \mathfrak{G} is $\sigma(E, E')$ -metrizable if and only if Y is contained in a $\sigma(E, E')$ -separable subset of E .*

Moreover, we provide a short proof of the following another interesting result of this type due to Cascales and Orihuela [1].

Theorem 2 (Cascales-Orihuela). *A precompact set K in a locally convex space E in class \mathfrak{G} is metrizable.*

The following result uses some ideas from [1].

Theorem 3. Let X be a web-bounding space. A non-empty set $Y \subset C_p(X)$ is analytic if and only if Y has a compact resolution and is contained in a separable subset of $C_p(X)$.

3. Examples

Example 1. In $\mathbb{R}^{\mathbb{N}}$ endowed with the product topology, let E be the subspace of $\mathbb{R}^{\mathbb{N}}$ formed by the vectors with a finite number of non-null components. Every non-void closed subset Y of E is $\sigma(E, E')$ -analytic.

Proof. It is clear that the countable product $\mathbb{R}^{\mathbb{N}}$ belongs to class \mathfrak{G} , hence E is also in class \mathfrak{G} . Let y be an element of Y . For each $\alpha = (\alpha_i : i \in \mathbb{N}) \in \mathbb{N}^{\mathbb{N}}$ let

$$A_\alpha := \{y\} \cup \{(n_i : i \in \mathbb{N}) \in Y, n_i = 0 \text{ if } i > \alpha_i\}.$$

The family $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$ is a compact resolution of Y . Moreover Y is separable, because the topology of $\mathbb{R}^{\mathbb{N}}$ has a countable base. By Theorem 1, Y is $\sigma(E, E')$ -analytic. \square

Theorem 2 is Theorem 2 in [1], where the authors provide a picture of possible applications of this Theorem, with detailed proofs concerning that:

- the inductive limits of increasing sequences of metrizable locally convex spaces;
- the generalized inductive limits

$$E[\mathcal{T}] = \varinjlim (E_n[\mathcal{T}_n], A_n)$$

of sequences of pairs $\{(E_n[\mathcal{T}_n], A_n) : n = 1, 2, \dots\}$, where every A_n is \mathcal{T}_n -metrizable and every $E_n[\mathcal{T}_n]$ is locally convex;

- the locally convex (DF)-spaces;
- and the locally convex dual metric spaces;

are in class \mathfrak{G} , hence, its precompact spaces are metrizable:

Example 2. Let X be the set \mathbb{N} of natural number endowed with the discrete topology. A non-empty set $Y \subset C_p(X)$ is analytic if and only if Y has a compact resolution.

Proof. The space X admits a compact resolution that it is a strongly web-bounding representation of X , hence the space X is strongly web-bounding. If Y has a compact resolution then the Theorem 3 implies that Y is analytic, because the isomorphism between $\mathbb{R}^{\mathbb{N}}$ and $C_p(X)$ implies that $C_p(X)$ has a countable base. The converse is obvious because analytic $\Rightarrow K$ -analytic and every K -analytic space admits a compact resolution. \square

4. Proofs

We need the following result [9].

Proposition 1 (Talagrand). Let (X, ξ) be a regular space which admits a stronger topology ϑ such that (X, ϑ) is a Lindelöf Σ -space. Then $d(X, \vartheta) \leq \omega(X, \xi)$, where $d(X)$ and $\omega(X)$ denote the density and the weight of X , respectively.

4.1. Proof of Theorem 3

Let us prove Theorem 3.

It is obvious that if Y is analytic then Y is separable and K -analytic, so Theorem 3 holds.

To prove the converse of the statement of this theorem it is enough to show that Y admits a weaker metrizable topology because then, by [1] (Theorem 15), the space Y is analytic.

Firstly we are going to check that to prove this converse we may suppose the additional condition that X is a strongly web-bounding space.

In fact, let X be a web-bounding space and suppose that there is a web-bounding representation $\{A_\alpha : \alpha \in \Omega\}$ of X whose union X_0 is dense in X . Then the restriction

map $\phi : C_p(X) \rightarrow C_p(X_0)$ defined by $\phi(f) := f|_{X_0}$ is an injective continuous linear map. Let $Y \subset C_p(X)$ be a subset with a compact resolution contained in a separable subset $L \subset C_p(X)$. Then for $\phi(Y)$ the assumptions are satisfied, so $\phi(Y)$ is analytic in the induced topology from $C_p(X_0)$ and consequently $\phi(Y)$ admits a weaker metrizable topology \mathcal{T} . Then $\{\phi^{-1}(A) : A \in \mathcal{T}\}$ is a weaker metrizable topology on Y . Therefore we may assume that X is strongly web-bounding.

Hence, to finish the proof of Theorem 3 it is enough to prove the following Proposition.

Proposition 2. *Let X be a strongly web-bounding space and let Y be a non-empty subset of $C_p(X)$ such that Y has a compact resolution and is contained in a separable subset of $C_p(X)$. Then Y admits a weaker metrizable topology (hence, as was said before, Y is analytic).*

Proof. Let vX be the real-compactification of X . Since X is strongly web-bounding, we apply [3] (Theorem 9.15) to deduce that vX is Lindelöf Σ -space.

As a help to the reader we split the proof in two parts.

Step 1. Assume that Y is a subset of $C_p(vX)$, Y has a compact resolution and it is contained in a separable subset $L \subset C_p(vX)$. Now we prove that L (and also Y) admits a weaker metrizable topology. Let D be a countable dense subset of L . Let \mathcal{T}_D and \mathcal{T}_L be the weakest topologies on vX that make continuous the functions of D and L , respectively. By density $f(x) = f(y)$ for each $f \in D$ implies $f(x) = f(y)$ for each $f \in L$, hence the topological quotients $(\widehat{vX}, \widehat{\mathcal{T}}_D)$ and $(\widehat{vX}, \widehat{\mathcal{T}}_L)$ of (vX, \mathcal{T}_D) and (vX, \mathcal{T}_L) respect to the relations $x \sim y$ if $f(x) = f(y)$ for all f of D and $x \sim y$ if $f(x) = f(y)$ for all f of L , respectively, are algebraically identical and we denote by $\varphi : vX \rightarrow \widehat{vX}$ is the quotient map.

If we define the map $F : (vX, \mathcal{T}_D) \rightarrow \mathbb{R}^D$ by $F(z) = \{f(z) : f \in D\}$, $z \in vX$, then clearly F is continuous and $x \sim y$ if and only $F(x) = F(y)$. $(\widehat{vX}, \widehat{\mathcal{T}}_D)$ is homeomorphic to a subspace of \mathbb{R}^D and consequently $(\widehat{vX}, \widehat{\mathcal{T}}_D)$ is metrizable and separable. On the other hand $(\widehat{vX}, \widehat{\mathcal{T}}_L)$ is a Lindelöf Σ -space, since it is a continuous image of the Lindelöf Σ -space vX . It follows from Proposition 1 that the space $(\widehat{vX}, \widehat{\mathcal{T}}_L)$ is separable.

Let $S = \{x_n : n \in \mathbb{N}\}$ be a countable subset of vX such that the set $\varphi(S)$ is $\widehat{\mathcal{T}}_L$ dense in \widehat{vX} . For each $f \in L$ let \widehat{f} be the element of $C_p(\widehat{vX})$ such that $f = \widehat{f}\varphi$. Let $f, g \in L$ be such that $f|_S = g|_S$. Then, from $\widehat{f}\varphi|_S = \widehat{g}\varphi|_S$ it follows that $\widehat{f}|_{\varphi(S)} = \widehat{g}|_{\varphi(S)}$ and the density condition implies that $\widehat{f} = \widehat{g}$. Therefore $f = \widehat{f}\varphi = \widehat{g}\varphi = g$. Consequently, if f and g are two different elements of L there exists $m \in \mathbb{N}$ such that $f(x_m) \neq g(x_m)$. This means that the weaker topology on L defined by the topology of the pointwise convergence on S is metrizable.

Step 2. Let $Y \subset C_p(X)$ be equipped with a compact resolution and let L be a separable set in $C_p(X)$ containing Y . Let $\psi : C_p(X) \rightarrow C_p(vX)$ be defined by $\psi(f) = f^v$ where f^v is the unique continuous extension of f to the whole vX . Since ψ is continuous on each countable set, see [13] (Theorem 4.6(3)), $\psi(Y)$ has a resolution of countably compact sets. On the other hand, the space $C_p(vX)$ is angelic, see [5] (Theorem 3), so every countably compact set in $C_p(vX)$ is compact. Hence, $\psi(Y)$ has a compact resolution.

Let $\{f_n : n \in \mathbb{N}\}$ be a dense subset of L . Take any $\epsilon > 0$, any $f^v \in \psi(L)$ and let $U = \{u_1, u_2, \dots, u_p\}$ be an arbitrary finite subset of vX . Then there is $f \in L$ with $\psi(f) = f^v$ and by [13] (Theorem 4.6(1)) for each $u_i \in U$ there exists $x_i \in X$ such that $f(x_i) = f^v(u_i)$ and $f_n(x_i) = f_n^v(u_i)$ for each $n \in \mathbb{N}$. Choose $m \in \mathbb{N}$ such that $|f_m(x_i) - f(x_i)| < \epsilon$ for each $1 \leq i \leq p$. Hence,

$$|f_m^v(u_i) - f^v(u_i)| = |f_m(x_i) - f(x_i)| < \epsilon$$

for each $1 \leq i \leq p$. This shows that $\{f_n^v : n \in \mathbb{N}\}$ is a dense subset of $\psi(L)$, so that $\psi(L)$ is separable. By Step 1 we derive that $\psi(Y)$ is analytic in $C_p(vX)$. The continuity of the surjection $\psi^{-1} : C_p(vX) \rightarrow C_p(X)$ implies that $\psi^{-1}(\psi(Y)) = Y$ is also analytic. \square

For a completely regular topological space X , Tkachuk proved in [14] that $C_p(X)$ is K -analytic if and only if it has a compact resolution. If X is a separable metric space, then

$C_p(X)$ is analytic if and only if it admits a resolution consisting of bounded sets, see [15] (Corollary 2.5) and [16] (Proposition 1).

From the proof of Proposition 2 follows immediately the following claim that enables to get in Corollary 2 the following variant for analyticity of $C_p(X)$ for arbitrary X .

Claim 1. *Let X be a topological space such that its real compactification vX is Lindelöf Σ -space and let Y be a non-empty subset of $C_p(X)$ such that Y has a compact resolution and is contained in a separable subset of $C_p(X)$. Then, Y admits a weaker metrizable topology (hence, as was said before, Y is analytic).*

Corollary 2. *Let ξ be a topology on $C(X)$ which is stronger or equal than the pointwise topology τ_p of $C(X)$. Then $(C(X), \xi)$ is analytic if and only if $(C(X), \xi)$ is separable and has a ξ -compact resolution.*

Proof. It is enough to prove this Corollary when $\xi = \tau_p$, because a submetrizable topological space is analytic if and only if it admits a compact resolution (see [1] (Theorem 15)). Assume that $C_p(X)$ is separable and has a compact resolution. Then by [17] (Corollary 23) the space vX is a Lindelöf Σ -space. Now, Claim 1 for $Y = C_p(X)$ implies that $C_p(X)$ is analytic. The converse is clear. \square

Hence, a separable space $C_p(X)$ admits a compact resolution if and only if it is analytic, or, equivalently, there is an upper semi-continuous compact-valued map from $\mathbb{N}^{\mathbb{N}}$ covering $C_p(X)$ if and only if $C_p(X)$ is a continuous image of $\mathbb{N}^{\mathbb{N}}$.

The following example shows that Corollary 2 does not work in general for the weak*-dual $L_p(X)$ of $C_p(X)$.

Example 3. *Corollary 2 fails for the weak*-dual $L_p([0, 1]^{\mathbb{R}})$ of $C_p([0, 1]^{\mathbb{R}})$.*

Proof. It is well known that the space $[0, 1]^{\mathbb{R}}$ endowed with the product topology is K-analytic separable but not analytic. Consequently $L_p([0, 1]^{\mathbb{R}})$ is K-analytic and separable by [6] (Proposition 0.5.14). $L_p([0, 1]^{\mathbb{R}})$ is not analytic, since $[0, 1]^{\mathbb{R}}$ is a closed subspace of $L_p([0, 1]^{\mathbb{R}})$ and each closed subspace of an analytic space is analytic. \square

4.2. Proofs of Theorems 1 and 2

We are ready to prove Theorem 1.

Proof. Note that $(E', \sigma(E', E))$ is strongly web-bounding. Indeed, let $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$ be a \mathfrak{G} -representation of E' . Then if $\alpha = (n_k) \in \mathbb{N}^{\mathbb{N}}$ and $x_k \in C_{n_1, n_2, \dots, n_k}$, $k \in \mathbb{N}$, there exists for each $k \in \mathbb{N}$ a $\beta_k \in \mathbb{N}^{\mathbb{N}}$ such that $x_k \in A_{\beta_k}$ and $(\beta_{k1}, \beta_{k2}, \dots, \beta_{kk}) = (n_1, n_2, \dots, n_k)$. From these equalities for $k \in \mathbb{N}$ it follows that there exists $\gamma \in \mathbb{N}^{\mathbb{N}}$ with $\beta_k \leq \gamma$. Hence, $x_k \in A_\gamma$ for all $k \in \mathbb{N}$, yielding equicontinuity of $(x_k)_k$, so $(x_k)_k$ is functionally bounded. Finally, as $(E, \sigma(E, E'))$ is contained in $C_p(E', \sigma(E', E))$ the proof follows applying Theorem 3. \square

We complete the paper with a short and elementary proof of Theorem 2. It is enough to make the proof for a compact subset K of E , because the completion of a locally convex space E in class \mathfrak{G} belongs to class \mathfrak{G} and the closure in the completion of a precompact subset of E is a compact subset.

Proof. Let $\{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$ be a \mathfrak{G} -representation of E' . By τ we denote the topology of E and let K be a compact of E . We say that a subset M of E' is K^0 -separated if $(a + K^0) \cap M = \{a\}$, for each $a \in M$. By Zorn's lemma there exists a maximal K^0 -separated subset M_1 of E' and the maximal condition implies that $M_1 + K^0 = E'$.

Note that M_1 is countable. Indeed, otherwise, since $E' = \{A_\alpha : \alpha \in \mathbb{N}^{\mathbb{N}}\}$ and $A_\alpha \subset A_\beta$ whenever $\alpha \leq \beta$, for $\alpha, \beta \in \mathbb{N}^{\mathbb{N}}$, we determine a sequence $\alpha = (n_k) \in \mathbb{N}^{\mathbb{N}}$ such that each C_{n_1, n_2, \dots, n_k} , $k \in \mathbb{N}$, contains an uncountable subset of M_1 and then by a very easy standard

argument we obtain countable infinite subset P of M_1 and $\gamma \in \mathbb{N}^{\mathbb{N}}$ such that $P \subset A_\gamma$, see [3,10,18].

Since E belongs to \mathfrak{O} , P is equicontinuous, so, by Grothendieck theorem of polar topologies ([19] (Chapter IV, §21.7)) P is precompact in the topology of uniform convergence on the τ -precompact subsets of E . Therefore there exists a finite set $\{a_i : 1 \leq i \leq k\} \subset P$ such that $P \subset \bigcup\{a_i + K^0 : 1 \leq i \leq k\}$. Clearly there exists $1 \leq j \leq k$ such that the set $(a_j + K^0) \cap P$ is infinite, contradicting the hypothesis that $M_1 (\supset P)$ is K^0 -separated.

Let M_n be a maximal subset of E' that it is $n^{-1}K^0$ -separated, for each $n \in \mathbb{N}$. The set $M_0 := \bigcup\{M_n : n \in \mathbb{N}\}$ is countable. Let τ_{M_0} be the weakest topology on K that makes continuous the functions of M_0 . If $x \neq y$ are two points of K then there exist $g \in E'$ and $n \in \mathbb{N}$ such that $|g(x) - g(y)| > 3n^{-1}$. Since $E' = M_n + n^{-1}K^0$, there exists $f \in M_n (\subset M_0)$ such that $g \in f + n^{-1}K^0$. Hence,

$$|f(x) - f(y)| = |g(x) - g(y) - g(x) + f(x) + g(y) - f(y)| > 3n^{-1} - 2n^{-1} = n^{-1}.$$

Therefore (K, τ_{M_0}) is metrizable, so K is metrizable. \square

5. Conclusions

For a locally convex space E in class \mathfrak{O} , we have characterized that a subset Y of $(E, \sigma(E, E'))$, endowed with the induced topology, is $\sigma(E, E')$ -analytic if and only if Y has a $\sigma(E, E')$ -compact resolution and is contained in a $\sigma(E, E')$ -separable subset of E . If X is a web-bounding space, then we have obtained that a non-empty subset Y of $C_p(X)$ provided with the induced topology is analytic if and only if Y has a compact resolution and is contained in a separable subset of $C_p(X)$. Moreover, for a topology ζ on $C(X)$ which is stronger or equal to the pointwise topology τ_p of $C(X)$ we obtain that $(C(X), \zeta)$ is analytic if and only if $(C(X), \zeta)$ is separable and has a ζ -compact resolution. This last result suggests for future work to characterize the locally convex spaces E in class \mathfrak{O} that are analytic, being ζ a topology stronger than the weak topology $\sigma(E, E')$.

Another direction of future research is to obtain similar characterizations for spaces in class \mathfrak{O} and for spaces $C_p(X)$ replacing analytic by weaker properties like to be K -analytic or quasi-Suslin.

Author Contributions: The authors S.L.-A., M.L.-P. and S.M.-L. contributed equally to this work. All authors have read and agreed to the published version of the manuscript.

Funding: This research was funded in part by grant PGC2018-094431-B-I00 of Ministry of Science, Innovation and Universities of Spain.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Data are contained within the article.

Conflicts of Interest: The authors declare no conflicts of interest.

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