

Article

On a New Generalization of Banach Contraction Principle with Application

Hüseyin Işık ^{1,2,*} , Babak Mohammadi ^{3,*}, Mohammad Reza Haddadi ⁴ and Vahid Parvaneh ⁵ ¹ Nonlinear Analysis Research Group, Ton Duc Thang University, Ho Chi Minh City 700000, Vietnam² Faculty of Mathematics and Statistics, Ton Duc Thang University, Ho Chi Minh City 700000, Vietnam³ Department of Mathematics, Marand Branch, Islamic Azad University, Marand, Iran⁴ Department of Mathematics, Ayatollah Boroujerdi University, Boroujerd, Iran; haddadi@abru.ac.ir⁵ Department of Mathematics, Gilan-E-Gharb Branch, Islamic Azad University, Gilan-E-Gharb, Iran; zam.dalahoo@gmail.com

* Correspondence: huseyin.isik@tdtu.edu.vn (H.I.); bmohammadi@marandiau.ac.ir (B.M.)

Received: 10 August 2019; Accepted: 29 August 2019; Published: 18 September 2019



Abstract: The main purpose of the current work is to present firstly a new generalization of Caristi's fixed point result and secondly the Banach contraction principle. An example and an application is given to show the usability of our results.

Keywords: Banach contraction principle; Caristi fixed point; lower semi-continuous function; integral equation

1. Introduction and Preliminaries

Metric fixed point theory plays a crucial role in the field of functional analysis. It was first introduced by the great Polish mathematician Banach [1]. Over the years, due to its significance and application in different fields of science, a lot of generalizations have been done in different directions by several authors see, for example, [2–17] and references therein. Assuredly, the Caristi's fixed point theorem [18] is the most valuable generalization of this principle.

For any nonempty set Λ , set:

$$\Xi = \{ \varrho : \Lambda \rightarrow \mathbb{R} : \varrho \text{ is a lower semi-continuous and bounded below function} \}.$$

Theorem 1. [18] Let (Λ, d) be a complete metric space and $\Gamma : \Lambda \rightarrow \Lambda$ be a self-map. If there exists $\varrho \in \Xi$ such that:

$$d(\eta, \Gamma\eta) \leq \varrho(\eta) - \varrho(\Gamma\eta)$$

for all $\eta \in \Lambda$. Then Γ has a fixed point.

Recently, Du [19] established a direct proof of Caristi's fixed point theorem without using Zorn's lemma. In the next section we introduce a new generalization of Caristi's fixed point theorem and provide the proof without using Zorn's lemma.

2. A Generalization of Caristi's Fixed Point Theorem

Let Ω be the collection of functions $\vartheta : \mathbb{R} \rightarrow (0, \infty)$ satisfying the following conditions:

(Ω_1) ϑ is strictly increasing and continuous;

(Ω_2) For every sequence $\{\alpha_n\} \subseteq \mathbb{R}^+$, $\lim_{n \rightarrow \infty} \alpha_n = 0$ if and only if $\lim_{n \rightarrow \infty} \vartheta(\alpha_n) = 1$;

(Ω_3) For every $\alpha, \beta \in \mathbb{R}$, $\vartheta(\alpha + \beta) \leq \vartheta(\alpha)\vartheta(\beta)$;

Obviously, for a function ϑ , satisfying (Ω_2) , $\vartheta(\alpha) = 1$ iff $\alpha = 0$.

Example 1. $\vartheta_1(t) = 1 + \tanh t \in \Omega$, $\vartheta_2(t) = e^t$,

$$\vartheta_3(t) = \begin{cases} 1 + \ln(1 + t), & \text{if } t \in [0, \infty), \\ e^t, & \text{if } t \in (-\infty, 0], \end{cases}$$

are some elements in Ω .

Theorem 2. Let (Λ, d) be a complete metric space and $\Gamma : \Lambda \rightarrow \Lambda$ be a self-map. If there exist $\varrho \in \Xi$ and $\vartheta \in \Omega$ such that:

$$\vartheta(d(\eta, \Gamma\eta)) \leq \frac{\vartheta(\varrho(\eta))}{\vartheta(\varrho(\Gamma\eta))}, \tag{1}$$

for all $\eta \in \Lambda$, then Γ has a fixed point.

Proof. For any $\eta \in \Lambda$, define:

$$Y\eta = \{ \mu \in \Lambda : \vartheta(d(\eta, \mu)) \leq \frac{\vartheta(\varrho(\eta))}{\vartheta(\varrho(\mu))} \}.$$

Obviously, $Y\eta \neq \emptyset$ for any $\eta \in \Lambda$, since $\eta \in Y\eta$. Let us firstly show that for any $\mu \in Y\eta$, we have $\varrho(\mu) \leq \varrho(\eta)$ and $Y\mu \subseteq Y\eta$. Suppose $\mu \in Y\eta$. Then,

$$1 \leq \vartheta(d(\eta, \mu)) \leq \frac{\vartheta(\varrho(\eta))}{\vartheta(\varrho(\mu))}, \tag{2}$$

which implies $\vartheta(\varrho(\mu)) \leq \vartheta(\varrho(\eta))$ and since ϑ is strictly increasing, we get $\varrho(\mu) \leq \varrho(\eta)$. Now let $\zeta \in Y\mu$. Then:

$$1 \leq \vartheta(d(\mu, \zeta)) \leq \frac{\vartheta(\varrho(\mu))}{\vartheta(\varrho(\zeta))}. \tag{3}$$

From Equation (2) and Equation (3), we get:

$$\begin{aligned} \vartheta(d(\eta, \zeta)) &\leq \vartheta(d(\eta, \mu) + d(\mu, \zeta)) \\ &\leq \vartheta(d(\eta, \mu))\vartheta(d(\mu, \zeta)) \\ &\leq \frac{\vartheta(\varrho(\eta))}{\vartheta(\varrho(\mu))} \frac{\vartheta(\varrho(\mu))}{\vartheta(\varrho(\zeta))} \\ &= \frac{\vartheta(\varrho(\eta))}{\vartheta(\varrho(\zeta))}. \end{aligned}$$

Therefore, $\zeta \in Y\eta$. Thus, $Y\mu \subseteq Y\eta$. Choose a point $\eta_1 \in \Lambda$ and construct a sequence $\{\eta_n\}$ in Λ in the following way: For any η_n there exists $\eta_{n+1} \in Y\eta_n$ such that:

$$\varrho(\eta_{n+1}) \leq \inf_{\zeta \in Y\eta_n} \varrho(\zeta) + \frac{1}{n}.$$

Since $\eta_{n+1} \in Y\eta_n$, we get $\varrho(\eta_{n+1}) \leq \varrho(\eta_n)$, for all $n \in \mathbb{N}$. Thus, the sequence $\{\varrho(\eta_n)\}$ is non-increasing. Since ϱ is bounded below, there exists $L \in \mathbb{R}$ such that $\lim \varrho(\eta_n) = L$. For any $n, m \in \mathbb{N}$ with $n < m$,

$$\begin{aligned}
 \vartheta(d(\eta_n, \eta_m)) &\leq \vartheta\left(\sum_{i=n}^{m-1} d(\eta_i, \eta_{i+1})\right) \\
 &\leq \prod_{i=n}^{m-1} \vartheta(d(\eta_i, \eta_{i+1})) \\
 &\leq \prod_{i=n}^{m-1} \frac{\vartheta(\varrho(\eta_i))}{\vartheta(\varrho(\eta_{i+1}))} \\
 &= \frac{\vartheta(\varrho(\eta_n))}{\vartheta(\varrho(\eta_m))} \\
 &\leq \frac{\vartheta(\varrho(\eta_n))}{\vartheta(L)}.
 \end{aligned}
 \tag{4}$$

Therefore, from continuity of ϑ and by taking the limit in both sides of Equation (4), we obtain that $\lim_{n,m \rightarrow \infty} \vartheta(d(\eta_n, \eta_m)) = 1$. Therefore, $\vartheta(\lim_{n,m \rightarrow \infty} d(\eta_n, \eta_m)) = 1$, which gives us $\lim_{n,m \rightarrow \infty} d(\eta_n, \eta_m) = 0$. Thus, we proved that $\{\eta_n\}$ is a Cauchy sequence. Completeness of Λ ensures that there exists $v \in \Lambda$ such that $\eta_n \rightarrow v$ as $n \rightarrow \infty$. We claim that v is a fixed point of Γ . Taking the limit in both sides of Equation (4) as $m \rightarrow \infty$, we obtain:

$$\vartheta(d(\eta_n, v)) \leq \frac{\vartheta(\varrho(\eta_n))}{\vartheta(\varrho(v))}.$$

This gives us $v \in Y\eta_n$, for all $n \in \mathbb{N}$ and so $v \in \bigcap_{n=1}^{\infty} Y\eta_n$. Also, for any $w \in \bigcap_{n=1}^{\infty} Y\eta_n$, we have:

$$\vartheta(d(\eta_n, w)) \leq \frac{\vartheta(\varrho(\eta_n))}{\vartheta(\varrho(w))} \leq \frac{\vartheta(\varrho(\eta_n))}{\inf_{\zeta \in Y\eta_n} \vartheta(\varrho(\zeta))} \leq \frac{\vartheta(\varrho(\eta_n))\vartheta(\frac{1}{n})}{\vartheta(\varrho(\eta_{n+1}))}.$$
(5)

Taking the limit in both sides of Equation (5) as $n \rightarrow \infty$, we obtain $\vartheta(d(v, w)) \leq 1$ and so $d(v, w) = 0$. Thus, $w = v$. Therefore, $\bigcap_{n=1}^{\infty} Y\eta_n = \{v\}$. On the other hand, $v \in \bigcap_{n=1}^{\infty} Y\eta_n$ implies $Yv \subseteq \bigcap_{n=1}^{\infty} Y\eta_n = \{v\}$. Thus $Yv = \{v\}$. Furthermore, from Equation (1), we have $\Gamma v \in Yv = \{v\}$. Therefore, $\Gamma v = v$. The proof is completed. \square

Note that taking $\vartheta(t) = e^t$, Theorem (2) reduces to Caristi’s fixed point theorem. Thus, Theorem (2) is a generalization of Caristi’s theorem.

Theorem 3. Let (Λ, d) be a complete metric space and $\Gamma : \Lambda \rightarrow \Lambda$ be a self-map. If there exist $\varrho \in \Xi$ and $\vartheta \in \Omega$ such that:

$$\vartheta(\xi(d(\eta, \Gamma\eta))) \leq \frac{\vartheta(\varrho(\eta))}{\vartheta(\varrho(\Gamma\eta))},$$
(6)

for all $\eta \in \Lambda$, where $\xi : [0, \infty) \rightarrow [0, \infty)$ is a continuous, non-decreasing, and concave downward function such that $\xi^{-1}(\{0\}) = \{0\}$, then Γ has a fixed point.

Proof. Define a function:

$$d'(\eta, \mu) = \xi(d(\eta, \mu))$$

for all $\eta, \mu \in \Lambda$. Then it is easy to check that (Λ, d') is a complete metric space and the conditions of Theorem (2) holds for (Λ, d') . Thus, by Theorem (2), Γ has a fixed point. \square

3. A Generalization of Banach’s Fixed Point Theorem

In this section, we introduce a generalization of Banach contraction principle via a different approach from Caristi’s result.

Theorem 4. Let (Λ, d) be a complete metric space and $\Gamma : \Lambda \rightarrow \Lambda$ be a continuous self-map. If there exists a function $\varrho : [0, \infty) \rightarrow [0, \infty)$ such that $\lim_{t \rightarrow 0^+} \varrho(t) = 0$, $\varrho(0) = 0$ and:

$$d(\Gamma\eta, \Gamma\mu) \leq \varrho(d(\eta, \mu)) - \varrho(d(\Gamma\eta, \Gamma\mu)), \tag{7}$$

for all $\eta, \mu \in \Lambda$, then Γ has a unique fixed point.

Proof. Consider an arbitrary element $\eta_0 \in \Lambda$. Construct a sequence $\{\eta_n\}$ in Λ with $\eta_{n+1} = \Gamma(\eta_n)$, for all $n \in \mathbb{N} \cup \{0\}$. Using Equation (7) for $\eta = \eta_n$ and $\mu = \eta_{n+1}$, we have:

$$\begin{aligned} 0 \leq d(\eta_n, \eta_{n+1}) &= d(\Gamma\eta_{n-1}, \Gamma\eta_n) \leq \varrho(d(\eta_{n-1}, \eta_n)) - \varrho(d(\Gamma\eta_{n-1}, \Gamma\eta_n)) \\ &= \varrho(d(\eta_{n-1}, \eta_n)) - \varrho(d(\eta_n, \eta_{n+1})). \end{aligned} \tag{8}$$

Thus, the sequence $\{\varrho(d(\eta_n, \eta_{n+1}))\}$ is nonincreasing. Since ϱ is bounded below, there exists $L \in \mathbb{R}^+$ such that $\lim_{n \rightarrow \infty} \varrho(d(\eta_n, \eta_{n+1})) = L$. For any $n, m \in \mathbb{N}$ with $n < m$,

$$\begin{aligned} d(\eta_n, \eta_m) &\leq \sum_{i=n}^{m-1} d(\eta_i, \eta_{i+1}) \\ &\leq \sum_{i=n}^{m-1} (\varrho(d(\eta_{i-1}, \eta_i)) - \varrho(d(\eta_i, \eta_{i+1}))) \\ &= \varrho(d(\eta_{n-1}, \eta_n)) - \varrho(d(\eta_{m-1}, \eta_m)) \\ &\leq \varrho(d(\eta_n, \eta_{n+1})) - L. \end{aligned} \tag{9}$$

Taking the limit in both sides of Equation (9), we obtain $\lim_{n, m \rightarrow \infty} d(\eta_n, \eta_m) = 0$. Thus, we proved that $\{\eta_n\}$ is a Cauchy sequence. Completeness of Λ ensures that there exists $\zeta \in \Lambda$ such that $\eta_n \rightarrow \zeta$ as $n \rightarrow \infty$. We claim that ζ is a fixed point of Γ . We have:

$$\begin{aligned} d(\zeta, \Gamma\zeta) &= \lim_{n \rightarrow \infty} d(\eta_{n+1}, \Gamma\zeta) = \lim_{n \rightarrow \infty} d(\Gamma\eta_n, \Gamma\zeta) \\ &\leq \lim_{n \rightarrow \infty} \varrho(d(\eta_n, \zeta)) - \varrho(d(\Gamma\eta_n, \Gamma\zeta)) \\ &\leq \lim_{n \rightarrow \infty} \varrho(d(\eta_n, \zeta)) = 0. \end{aligned}$$

The proof is completed. \square

Remark 1. Note that Theorem 4 is a generalization of the Banach contraction principle. If $\Gamma : \Lambda \rightarrow \Lambda$ is a Banach contraction, there exists $k \in [0, 1)$ such that $d(\Gamma\eta, \Gamma\mu) \leq kd(\eta, \mu)$, for all $\eta, \mu \in \Lambda$. Hence:

$$d(\Gamma\eta, \Gamma\mu) \leq kd(\eta, \mu) \leq \frac{k}{1 + k - \sqrt{k}}d(\eta, \mu),$$

for all $\eta \in \Lambda$. Consequently,

$$kd(\Gamma\eta, \Gamma\mu) + (1 - \sqrt{k})d(\Gamma\eta, \Gamma\mu) \leq kd(\eta, \mu)$$

and so,

$$(1 - \sqrt{k})d(\Gamma\eta, \Gamma\mu) \leq kd(\eta, \mu) - kd(\Gamma\eta, \Gamma\mu).$$

Therefore,

$$d(\Gamma\eta, \Gamma\mu) \leq \frac{k}{1 - \sqrt{k}}d(\eta, \mu) - \frac{k}{1 - \sqrt{k}}d(\Gamma\eta, \Gamma\mu).$$

Taking $\varrho(t) = \frac{k}{1 - \sqrt{k}}t$, we have $d(\Gamma\eta, \Gamma\mu) \leq \varrho(d(\eta, \mu)) - \varrho(d(\Gamma\eta, \Gamma\mu))$, for all $\eta, \mu \in \Lambda$.

Choosing $\varrho(t) = te^t$, for all $t \geq 0$, we deduce the following corollary.

Corollary 1. Let (Λ, d) be a complete metric space and $\Gamma : \Lambda \rightarrow \Lambda$ be a continuous self-map. Let:

$$\frac{d(\Gamma\eta, \Gamma\mu)(1 + e^{d(\Gamma\eta, \Gamma\mu)})}{d(\eta, \mu)e^{d(\eta, \mu)}} \leq 1, \tag{10}$$

for all $\eta, \mu \in \Lambda$ with $\eta \neq \mu$. Then Γ has a unique fixed point.

Example 2. Let $\Lambda = \{\kappa_j = \frac{i(j+1)}{2} : j = 1, 2, \dots\}$, $d(\eta, \mu) = |\eta - \mu|$ and:

$$\Gamma\eta = \begin{cases} \kappa_1, & \eta = \kappa_1, \\ \kappa_{j-1}, & \eta = \kappa_j, j \geq 2. \end{cases}$$

We need only check the following two cases:

Case 1: $\eta = \kappa_j, j \geq 2$ and $\mu = \kappa_1$.

$$d(\Gamma\eta, \Gamma\mu) = |\kappa_{j-1} - 1|$$

and $d(\eta, \mu) = |\kappa_j - 1|$. Then,

$$\begin{aligned} \frac{d(\Gamma\eta, \Gamma\mu)(1 + e^{d(\Gamma\eta, \Gamma\mu)})}{d(\eta, \mu)e^{d(\eta, \mu)}} &= \frac{(\kappa_{j-1} - 1)(1 + e^{\kappa_{j-1}-1})}{(\kappa_j - 1)e^{\kappa_j-1}} \\ &= \frac{(\frac{i(j-1)}{2} - 1)(1 + e^{\frac{i(j-1)}{2}-1})}{(\frac{i(j+1)}{2} - 1)e^{\frac{i(j+1)}{2}-1}} \\ &\leq \frac{2(e^{\frac{i(j-1)}{2}-1})}{e^{\frac{i(j+1)}{2}-1}} \\ &< 2e^{-j} \leq 1. \end{aligned}$$

Case 2: $\eta = \kappa_j, \mu = \kappa_l, j > l$. So,

$$d(\Gamma\eta, \Gamma\mu) = |\kappa_{j-1} - \kappa_{l-1}|$$

and $d(\eta, \mu) = |\kappa_j - \kappa_l|$. Then,

$$\begin{aligned} \frac{d(\Gamma\eta, \Gamma\mu)(1 + e^{d(\Gamma\eta, \Gamma\mu)})}{d(\eta, \mu)e^{d(\eta, \mu)}} &= \frac{(\kappa_{j-1} - \kappa_{l-1})(1 + e^{\kappa_{j-1}-\kappa_{l-1}})}{(\kappa_j - \kappa_l)e^{\kappa_j-\kappa_l}} \\ &= \frac{(\frac{i(j-1)}{2} - \frac{l(l-1)}{2})(1 + e^{\frac{i(j-1)}{2} - \frac{l(l-1)}{2}})}{(\frac{i(j+1)}{2} - \frac{l(l+1)}{2})e^{\frac{i(j+1)}{2} - \frac{l(l+1)}{2}}} \\ &\leq \frac{j+l-1}{j+l+1} \frac{2(e^{\frac{i(j-1)}{2} - \frac{l(l-1)}{2}})}{e^{\frac{i(j+1)}{2} - \frac{l(l+1)}{2}}} \\ &< 2e^{-(j-l)} \leq 2e^{-1} \leq 1. \end{aligned}$$

So, by Corollary 1, Γ has a unique fixed point. Here $\Gamma\kappa_1 = \kappa_1$.

Note that Γ is not a Banach contraction. Since,

$$\begin{aligned} \sup \frac{d(\Gamma\kappa_j, \Gamma\kappa_1)}{d(\kappa_j, \kappa_1)} &= \sup \frac{\kappa_{j-1} - 1}{\kappa_j - 1} \\ &= \sup \frac{\frac{j(j-1)}{2} - 1}{\frac{j(j+1)}{2} - 1} = 1. \end{aligned}$$

4. Application to Integral Equations

Take $\mathcal{I} = [0, \mathcal{T}]$. Let $\Lambda = \mathcal{C}(\mathcal{I}, \mathbb{R})$ be the set of all real valued continuous functions with domain \mathcal{I} . Define:

$$d(\eta, \mu) = \sup_{t \in \mathcal{I}} (|\eta(t) - \mu(t)|) = \|\eta - \mu\|.$$

Consider the integral equation:

$$\eta(t) = p(t) + \int_0^{\mathcal{T}} \mathcal{G}(t,s)\mathcal{K}(s,\eta(s))ds, \quad t \in [0, \mathcal{T}] \tag{11}$$

Assume that the following conditions hold:

- (A) $p : \mathcal{I} \rightarrow \mathbb{R}$ and $\mathcal{K} : \mathcal{I} \times \mathbb{R} \rightarrow \mathbb{R}$ are continuous;
- (B) $\mathcal{G} : \mathcal{I} \times \mathcal{I} \rightarrow \mathbb{R}$ is continuous and measurable at $s \in \mathcal{I}$ for all $t \in \mathcal{I}$;
- (C) $\mathcal{G}(t,s) \geq 0$ for all $t,s \in \mathcal{I}$ and $\int_0^{\mathcal{T}} \mathcal{G}(t,s)ds \leq 1$ for all $t \in \mathcal{I}$;
- (D) For each $t \in \mathcal{I}$ and for all $\eta, \mu \in \Lambda$.

$$|\mathcal{K}(t,\eta(t)) - \mathcal{K}(t,\mu(t))| \leq \frac{-1 + \sqrt{1 + 4(\eta(t) - \mu(t))^2}}{2}$$

Theorem 5. Under the assumptions (A)–(D), the integral Equation (7) has a solution in Λ .

Proof. Define $Y : \Lambda \rightarrow \Lambda$ as:

$$Y\eta(t) = p(t) + \int_0^{\mathcal{T}} \mathcal{G}(t,s)\mathcal{K}(s,\eta(s))ds, \quad t \in [0, \mathcal{T}].$$

We have:

$$\begin{aligned} |Y\eta(t) - Y\mu(t)| &= \left| \int_0^{\mathcal{T}} \mathcal{G}(t,s)(\mathcal{K}(s,\eta(s)) - \mathcal{K}(s,\mu(s)))ds \right| \\ &\leq \int_0^{\mathcal{T}} \mathcal{G}(t,s)|\mathcal{K}(s,\eta(s)) - \mathcal{K}(s,\mu(s))|ds \\ &\leq \int_0^{\mathcal{T}} \mathcal{G}(t,s)\left(\frac{-1 + \sqrt{1 + 4(\eta(s) - \mu(s))^2}}{2}\right)ds \\ &\leq \int_0^{\mathcal{T}} \mathcal{G}(t,s)\left(\frac{-1 + \sqrt{1 + 4\|\eta - \mu\|^2}}{2}\right)ds \\ &= \frac{-1 + \sqrt{1 + 4\|\eta - \mu\|^2}}{2} \\ &= \frac{-1 + \sqrt{1 + 4[d(\eta, \mu)]^2}}{2} \end{aligned}$$

for every $t \in [0, 1]$. Take sup to find that:

$$\begin{aligned} d(Y\eta, Y\mu) &= ||Y\eta - Y\mu|| \\ &\leq \frac{-1 + \sqrt{1 + 4[d(\eta, \mu)]^2}}{2}. \end{aligned}$$

From the above inequality, we obtain:

$$(1 + 2d(Y\eta, Y\mu))^2 \leq 1 + 4[d(\eta, \mu)]^2.$$

This is equivalent to:

$$d(Y\eta, Y\mu) + [d(Y\eta, Y\mu)]^2 \leq [d(\eta, \mu)]^2.$$

Therefore,

$$d(Y\eta, Y\mu) \leq [d(\eta, \mu)]^2 - [d(Y\eta, Y\mu)]^2.$$

Taking $\varrho(t) = t^2$, we get:

$$d(Y\eta, Y\mu) \leq \varrho(d(\eta, \mu)) - \varrho(d(Y\eta, Y\mu)),$$

for all $\eta, \mu \in \Lambda$, which is Equation (7). Therefore, by Theorem 4, Y has a fixed point. Hence there is a solution for Equation (11). \square

5. Conclusions

In this paper, we introduced a new generalization of the Banach contraction principle. The new contraction will be a powerful tool for the existence solution of integral equations, differential equations, and also the fractional integro-differential equations. We think that the multi-valued version of this new contraction can be considered by researchers. The new multi-valued contraction will be a powerful tool for the existence solution of Volterra-integral inclusions.

Author Contributions: H.I. analyzed and prepared/edited the manuscript, B.M. analyzed and prepared/edited the manuscript, V.P. analyzed and prepared the manuscript, M.R.H. analyzed and prepared the manuscript.

Funding: This research received no external funding.

Conflicts of Interest: The authors declare that they have no competing interests regarding the publication of this paper.

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