

## Article

# Study on the Repair of Irregular and Deep Cracks Induced by Thermal Shock Using Al-Cu-O Reactions in Al<sub>2</sub>O<sub>3</sub> Ceramics

Fuhai Bao <sup>1</sup>, Seiji Yamashita <sup>2,\*</sup> and Hideki Kita <sup>1</sup>

<sup>1</sup> Department of Chemical Systems Engineering, Graduate School of Engineering, Nagoya University, Furo-cho, Chikusa-ku, Nagoya 464-8601, Japan; bao.fuhai.u3@s.mail.nagoya-u.ac.jp (F.B.); kita.hideki@material.nagoya-u.ac.jp (H.K.)

<sup>2</sup> Department of Materials Process Engineering, Graduate School of Engineering, Nagoya University, Furo-cho, Chikusa-ku, Nagoya 464-8601, Japan

\* Correspondence: yamashita.seiji@material.nagoya-u.ac.jp; Tel.: +81-52-789-3096

**Abstract:** The irregular and deep cracks induced by thermal shock in Al<sub>2</sub>O<sub>3</sub> ceramics were repaired by applying Cu powder layer on their surface and heating at 1200 °C under an atmosphere of air. The Al-Cu-O liquid phase formed at 1200 °C by the reaction of molten Cu, oxygen, and Al<sub>2</sub>O<sub>3</sub> phases penetrate deep into the narrow cracks, and the precipitation phases of Cu<sub>2</sub>O and CuAlO<sub>2</sub> densely fill the crack interior. Our observation and analysis of the filled cracks and the surrounding areas of the repaired cracks, as well as the microstructural analysis results obtained through SEM-EDS and TEM observation, suggested the aforementioned crack repair mechanism. The bending strength of the coated surface after repairing the cracks is 301.8 MPa ( $\Delta T = 300$  °C), which is twice as strong as the specimen after thermal shock and 10% higher than the original strength of the base material.

**Keywords:** alumina; copper; oxygen; reaction; repair; strength; thermal shock



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## 1. Introduction

Alumina (Al<sub>2</sub>O<sub>3</sub>) ceramics exhibit excellent properties such as a high Young's modulus, high hardness, a high melting point, wear resistance, heat resistance, and also cost-effectiveness compared to other ceramics, which have facilitated their widespread application [1–3]. Regardless of the application, once a crack appears in a ceramic material, its structural integrity is severely compromised. The concept of repairing structural defects (cracks and pores) in addition to improving toughness is an emerging approach for dramatically improving the performance and reliability of ceramic components and devices [4,5]. In studies of surface and internal defects in structural ceramic materials, reducing the size of surface defects promotes greater material stability under stress and contributes more to strength recovery than reducing the internal defects [6]. Numerous studies indicate that effective crack repair is essential for significantly enhancing strength, thermal shock resistance, and advancing ceramic processing technology [7,8]. Recent previous works about crack healing in Al<sub>2</sub>O<sub>3</sub>-based ceramics are shown in Table 1.

T. Osada et al. subjected machined alumina containing 20 vol.% SiC whiskers to various heat treatments, investigating the effects of crack healing temperature and duration on local fracture stress. Heating to 1673 K for 10 h fully healed machining-induced cracks, preventing fracture from these cracks [9]. Some other studies are summarized in Table 1; these studies often focus on cracks in Al<sub>2</sub>O<sub>3</sub> ceramics generated by mechanical methods, such as Vickers indentation. These cracks are typically linear, with a small number of cracks and a simple structure [10–14]. One such study by T. K. Gupta et al. investigating thermally shocked alumina found that it regains its strength when annealed. The cracks begin to heal at 1600 °C, and the strength is restored to 95% of the original value when the annealing temperature is kept at 1700 °C for 50 min [15]. However, the study has practical issues because of the high processing temperatures and the use of grain growth

and re-sintering of  $\text{Al}_2\text{O}_3$ . A representative example of research on repairing deep cracks is a study by M. C. Chu et al., who introduced 2.5 mm cracks in a bent specimen via Vickers indentation and subsequent bridge loading and then infiltrated these cracks with silica glass at 1500 °C under capillary pressure. Under these conditions, the cracks in alumina ceramics were completely filled and regained the original strength of the processed samples. Furthermore, the repaired cracks exhibited significantly greater strength than the alumina matrix, demonstrating the enhanced reliability of the alumina components [16]. These studies report methods of improving the strength and reliability of  $\text{Al}_2\text{O}_3$ , but the cracks they target are single, linear cracks, and very few studies have performed crack repairs on a large number of deep defects with complex geometries, such as those that occur in actual-use environments. The concept of additive selection in conventional crack repair research has focused on reactivity with atmospheric oxygen, as shown in Table 1. In order to repair more numerous and complex cracks, it is considered important to select materials focusing not only on reactivity with atmospheric oxygen but also on reactivity with the base metal  $\text{Al}_2\text{O}_3$ . Therefore, we focused on Al-Cu-O reactions, which have good reactivity with  $\text{Al}_2\text{O}_3$  at a temperature of about 1000 °C and is used for bonding  $\text{Al}_2\text{O}_3$  ceramics.

**Table 1.** Previous research about repairing cracks in  $\text{Al}_2\text{O}_3$  based ceramics.

Materials	Crack Generation	Crack Length ( $\mu\text{m}$ )	Reference No.
$\text{Al}_2\text{O}_3/15\text{vol}\%\text{SiC}$	Vickers indentation	~100	[9]
$\text{Al}_2\text{O}_3/\text{SiC}_w/\text{TiSi}_2$	Vickers indentation	150~500	[10]
$\text{Si}_3\text{N}_4/\text{SiC}_w$	Vickers indentation	200~1200	[11]
$\text{Al}_2\text{O}_3/\text{Ti}$	Vickers indentation	~80	[13]
$\text{Al}_2\text{O}_3/\text{Ti}_2\text{AlC}$	Vickers indentation	~20	[14]
$\text{Al}_2\text{O}_3$	Thermal shock	-	[15]
$\text{Al}_2\text{O}_3/\text{Silica glass}$	Vickers indentation and bridge loading	2500	[16]

Y. Yoshino et al. subjected joints of copper eutectic material bonded to alumina to deoxidation and reoxidation under atmospheres with varying oxygen potentials. The bonding strength changed reversibly with interfacial oxygen concentration, revealing a critical oxygen concentration below which bonding strength did not increase, emphasizing the role of oxygen and eutectic reactions [17]. Additionally, Y. Yoshino et al. also stated that oxygen in Cu plays a crucial role in enhancing the wettability of copper on alumina. Thermodynamic considerations incorporating XPS data from the interface suggested  $\text{CuAlO}_2$  as the reaction phase and discussed changes in peel strength related to the stability of  $\text{CuAlO}_2$  and oxygen at the interface [18]. The results of interfacial structures and strength relationships show the presence of an extremely thin (about 5 nm) reaction phase in the bonded state; TEM contrast shows the characteristics of an amorphous phase, and the XPS data obtained from the interface are in good agreement with the data from standard  $\text{CuAlO}_2$  [19]. F. Moulla et al. used XRD microanalysis and Raman spectroscopy to examine the structure of the Cu- $\text{Al}_2\text{O}_3$  interface. The material was annealed at 1150 °C for three days to expand the interactional zone [20,21]. The analyses of these studies revealed a complex interactional zone at the Cu- $\text{Al}_2\text{O}_3$  interface, mainly consisting of a  $\text{CuAlO}_2$  crystalline layer, with a small amount of spinel  $\text{CuAl}_2\text{O}_4$  [22–24]. Additionally, dendritic  $\text{Cu}_2\text{O}$  structures were identified in the initial metal region [25,26].

In other applications utilizing copper–alumina reactions, X. Zhou et al. proposed using copper encapsulated within alumina spherical shells as high-temperature latent heat storage materials. By sealing copper beads within alumina shells through rapid atmospheric heating, they demonstrated that the internal state remained unoxidized even after maintaining a temperature of 1100 °C for 1000 h in the atmosphere, proving good performance as a heat storage material. The authors successfully showed that securely bonding the encapsulated part exposed to the atmosphere through copper–oxygen–alumina reactions could prevent internal oxygen supply, allowing copper to stably bond with

alumina even upon melting [27,28]. F. Bao et al. reported that applying copper to high-porosity, low-strength alumina bulk produced via water stabilization and heating it in the atmosphere resulted in copper infiltration into the pores and reactions with alumina, significantly improving its strength [29].

As noted, most studies on crack repair in  $\text{Al}_2\text{O}_3$  ceramics focus on cracks induced via Vickers indentation or repairs conducted at high temperatures. Furthermore, some studies have demonstrated that the reaction between copper and alumina in an oxygen-rich environment plays a crucial role in enhancing strength. However, there is no research on the repair of thermally shocked  $\text{Al}_2\text{O}_3$  with deep, irregular, and widely spreading cracks by using Al-Cu-O reactions.

Therefore, the purpose of this study is to repair the irregular and deep cracks induced by thermal shock in  $\text{Al}_2\text{O}_3$  ceramics by using Al-Cu-O reactions. Crack depth and residual strength were varied according to thermal shock conditions to evaluate the effect of crack repair by Al-Cu-O reactions on strength recovery. Additionally, the mechanisms underlying the penetration and filling of the cracks were investigated by analyzing the internal and interface structure of the repaired cracks through TEM observation and evaluation.

## 2. Experimental Procedure

### 2.1. Preparation of Specimen

Sintered  $\text{Al}_2\text{O}_3$  plates with a relative density of 99% and a size of  $30 \times 40 \times 5$  mm were obtained via slip-casting and sintered at  $1550^\circ\text{C}$  for 1 h. These plates are processed into specimens with dimensions of  $3 \times 4 \times 40$  mm for bending strength tests, in accordance with JIS R1601 [30]. First, to investigate the conditions that would cause microscopic cracks to form in the sintered  $\text{Al}_2\text{O}_3$  due to thermal shock, one to three samples were placed in a  $\text{Si}_3\text{N}_4$  cylinder and heated to target temperatures ( $T_f$ ) in a furnace at a rate of  $10^\circ\text{C}/\text{min}$  and held at their target temperatures for 30 min. The target temperatures ( $T_f$ ) were set at 25, 125, 225, 275, 325, 375, 425, 475, and  $525^\circ\text{C}$ . The samples were then rapidly quenched in water ( $T_w$ ) at  $25^\circ\text{C}$ . The rapid cooling temperature difference  $\Delta T$  was defined as  $\Delta T = T_f - T_w$ , and its values were set at 0, 100, 200, 250, 300, 350, 400, 450, and  $500^\circ\text{C}$ . Residual strength was measured by the four-point bending method after drying at  $120^\circ\text{C}$  for 1 h, and then, the critical value of  $\Delta T$  was determined by the change in residual strength. The residual strength measured by the four-point bending method after drying showed sharp decreases, with the critical  $\Delta T$  determined to be  $250^\circ\text{C}$ , indicating that  $\Delta T$  values exceeding  $250^\circ\text{C}$  result in the formation of fine cracks in the  $\text{Al}_2\text{O}_3$  due to thermal shock.

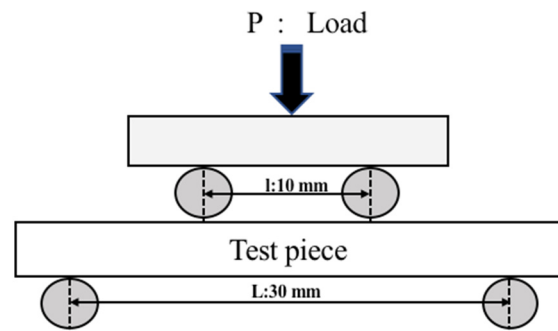
Based on the above experiment, to introduce cracks into the sintered  $\text{Al}_2\text{O}_3$  specimens, the specimens were heated to 325, 525, and  $725^\circ\text{C}$  in air, respectively, and then quenched in water at  $25^\circ\text{C}$ . Five samples were tested for each temperature condition. The quenched specimens were then completely dried at  $120^\circ\text{C}$  for 1 h and cooled down in air.

Copper (Cu) paste, composed of a 2:1 mixture by weight of Cu powder (CU-114111; particle size:  $-200$  mesh, 99.8%, Niraco Co., Ltd, Tokyo, Japan) and a 1 wt.% CMC (Carboxymethyl cellulose) aqueous solution, was prepared.

The prepared copper paste was applied to the top surface of the  $\text{Al}_2\text{O}_3$  specimens that had been previously quenched in water to induce microcracks, as aforementioned, then dried and heat-treated in an atmospheric furnace (FT-105(FM), Full-Tech, Osaka, Japan) with a temperature increase rate of  $10^\circ\text{C}/\text{min}$  to  $1200^\circ\text{C}$ , followed by a 1 h holding time. Subsequently, Cu- $\text{Al}_2\text{O}_3$  composite materials were prepared.

### 2.2. Evaluation Method

A four-point bending test was conducted in accordance with JIS R1601 [30]. Figure 1 presents a conceptual diagram of the four-point bending test; the maximum load at the specimen's fracture is denoted as  $P$ , with the distance between the external supports ( $L$ ) set to 30 mm, the distance between internal supports ( $l$ ) set to 10 mm, and a crosshead speed set to  $0.5$  mm/min.



**Figure 1.** Conceptual diagram of the four-point bending test.

After removing the excess CuO, the specimen was polished to the same thickness as the original Al<sub>2</sub>O<sub>3</sub> specimen. The specimen was then used to measure the four-point bending strength of both the coated surface and the opposite side. The bending strength of the coated surface is measured with the coated side facing down, while the opposite side is measured with the coated side facing up. After heat treatment, the samples were polished using a cross-section polisher (CP) (SM-09010, JEOL Ltd., Tokyo, Japan). The microstructure and composition of the specimens were evaluated using a field-emission scanning electron microscope (FE-SEM) (SU8230, HITACHI, Tokyo, Japan) and a Super-X energy-dispersive X-ray analyzer (EMAX Evolution X-Max 150, FEI Co., Ltd., Tokyo, Japan). X-ray diffraction (XRD) analysis (Ultima IV, Rigaku, Tokyo, Japan) was employed for phase identification, and the target used was a copper (Cu) X-ray tube, which had a wavelength of Cu K $\alpha$ , which is about 1.5406 Å.

To further observe and analyze the filled crack and the surrounding area of the repaired cracks, the sample for TEM observation was prepared using an FIB system (FB-2000A, Hitachi High-Technologies Corporation, Tokyo, Japan) and FIB-SEM system (Nova200, FEI Co., Ltd., Tokyo, Japan) with a liquid Ga ion source and an accelerating voltage of 30 kV. And to protect the top surface of the sample, a carbon film and a tungsten film were coated with FIB, and then, a small piece of the sample was extracted via FIB microsampling. The small piece extracted was then thinned via FIB processing to a thickness that could be observed with a FE-TEM (Talos F200X, FEI Co., Ltd., Tokyo, Japan); the accelerating voltage was 200 kV, and the acceptance angles were HAADF: 59–200 mrad.

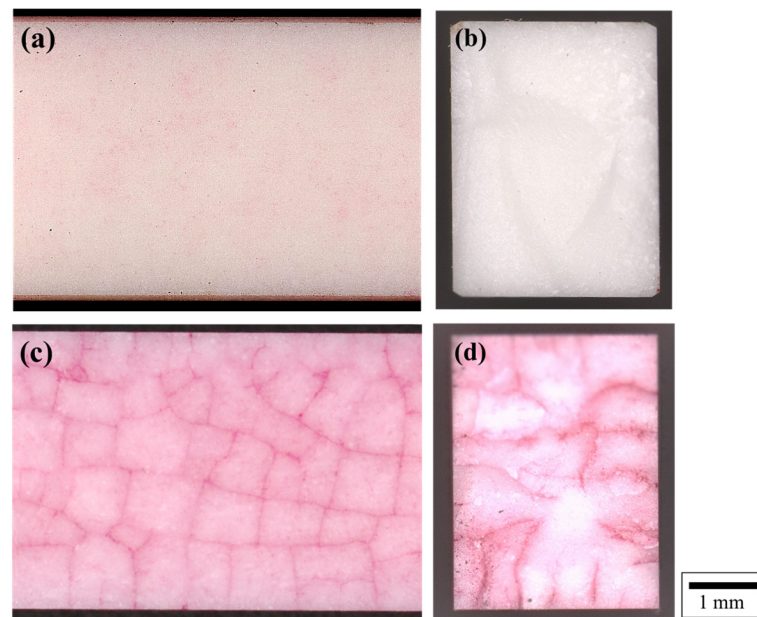
### 3. Results and Discussion

#### 3.1. Crack Formation After Thermal Shock

##### 3.1.1. Cracks Pattern Appeared on the Surface of the Quenched Al<sub>2</sub>O<sub>3</sub>

Figure 2 shows optical microscope images of the Al<sub>2</sub>O<sub>3</sub> sample stained with red ink before and after thermal shock. As seen in Figure 2a,b, the red ink remains only slightly on the surface and does not stain the interior of the Al<sub>2</sub>O<sub>3</sub> sample, indicating that the Al<sub>2</sub>O<sub>3</sub> sample before thermal shock treatment has only slight cracks on the surface. After heating to 325 °C and rapidly cooling in water at 25 °C ( $\Delta T = 300$  °C), as shown in Figure 2c,d, many cracks appear on the surface of the Al<sub>2</sub>O<sub>3</sub> specimen, visualized through the use of infiltrating red ink. It can be seen that there are many irregular and widely spreading cracks on the surface of the sample in Figure 2c, and from the cross-sectional surface in Figure 2d, it can also be seen that there are cracks with a depth of about 2 mm that are widely distributed. Thus, it can be seen that the defects introduced by thermal shock are deeper, are more complex, and have a larger number of cracks in the network than those introduced via Vickers indentation.

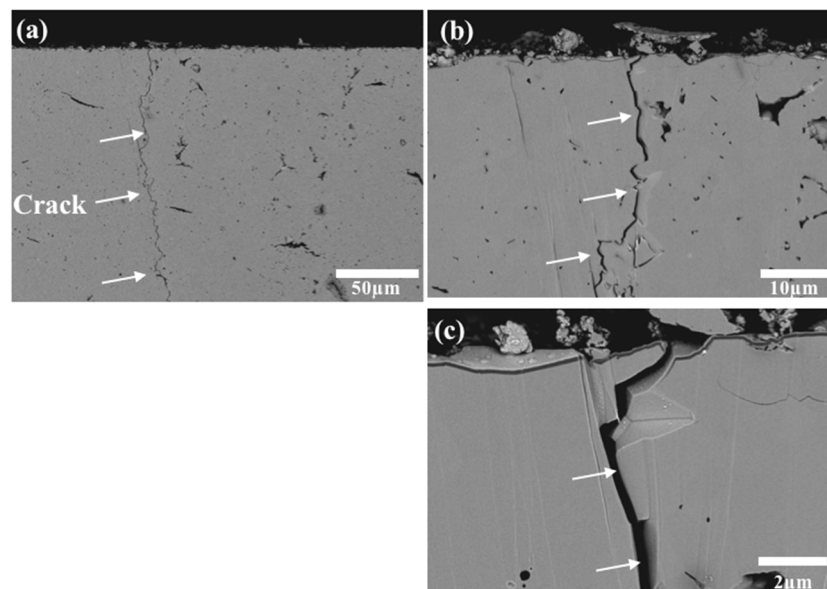




**Figure 2.** Optical microscopic images of  $\text{Al}_2\text{O}_3$  specimen stained with red ink (a,b) before and (c,d) after thermal shock ( $\Delta T = 300\text{ }^\circ\text{C}$ ); (a,c)  $4 \times 40\text{ mm}$  surface and (b,d) cross-sectional face.

### 3.1.2. Microstructure and Cracks Extending in $\text{Al}_2\text{O}_3$ After Thermal Shock

Figure 3 shows an SEM image of the cross-sectional microstructure of an  $\text{Al}_2\text{O}_3$  specimen. Figure 3a shows a large number of cracks introduced by thermal shock. Upon magnification, as shown in Figure 3b,c, different shapes and sizes of elongated and curved cracks are observed, whose depth is at least  $200\text{ }\mu\text{m}$  and whose width is about  $1\text{ }\mu\text{m}$ , and the narrow cracks seem to extend in a curved pattern.

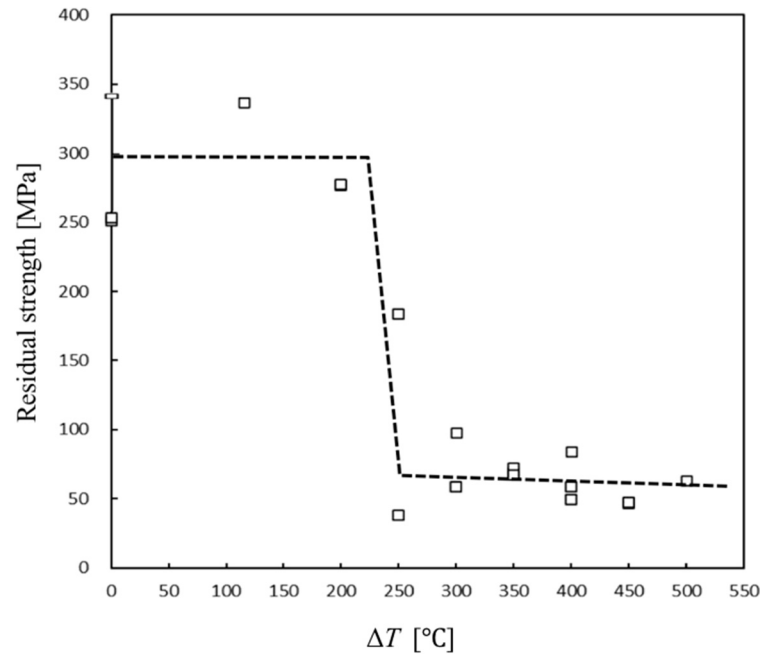


**Figure 3.** Cracks observed on the cross-sectional surfaces of the  $\text{Al}_2\text{O}_3$  specimens that were heat-treated at  $525\text{ }^\circ\text{C}$  for 30 min in air and quenched in water at  $25\text{ }^\circ\text{C}$  (a–c). The white arrow indicates the region where cracks have formed due to thermal shock.

### 3.1.3. Determination of Thermal Shock Temperature for Crack Introduction: Residual Strength Test Results After Quenching at Different $\Delta T$

Figure 4 shows the residual strength of  $\text{Al}_2\text{O}_3$  at varying temperature differences ( $\Delta T$ ) and identifies the conditions that induce cracks. The results indicate significant variation

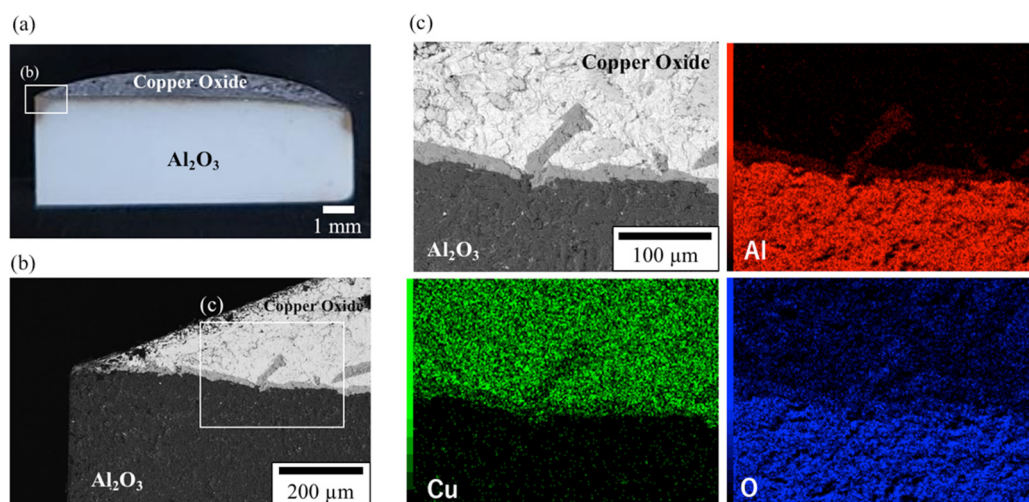
in residual strength with  $\Delta T$ . When the critical  $\Delta T$  surpasses 250 °C, residual strength sharply decreases to approximately one-fifth of its original value. Based on these results, temperature differences ( $\Delta T$ ) of 300, 500, and 700 °C were selected as crack-inducing conditions.



**Figure 4.** Residual strength of sintered  $\text{Al}_2\text{O}_3$  under different thermal shock conditions.

### 3.2. Microstructure of Sintered $\text{Al}_2\text{O}_3$ Samples Not Subjected to Thermal Shock After Heat Treatment at 1200 °C for 1 h Following Cu Pasting

Figure 5 presents the interface microstructure of  $\text{Al}_2\text{O}_3$  specimens without thermal shock, following heating at 1200 °C for 1 h with a Cu coating. Figure 5a shows the Cu on the surface is also oxidized to CuO and remains on the surface. In the interface of the  $\text{Al}_2\text{O}_3$  and CuO layers in Figure 5b,c, the dark-gray area is considered to be composite oxide of Cu and Al, approximately 100  $\mu\text{m}$  in length, extending diagonally from the interface into the Cu side without penetrating into the  $\text{Al}_2\text{O}_3$  side. The results suggest that the reaction between Cu and the dense  $\text{Al}_2\text{O}_3$  occurs only on the surface; Cu does not penetrate into the dense  $\text{Al}_2\text{O}_3$ .

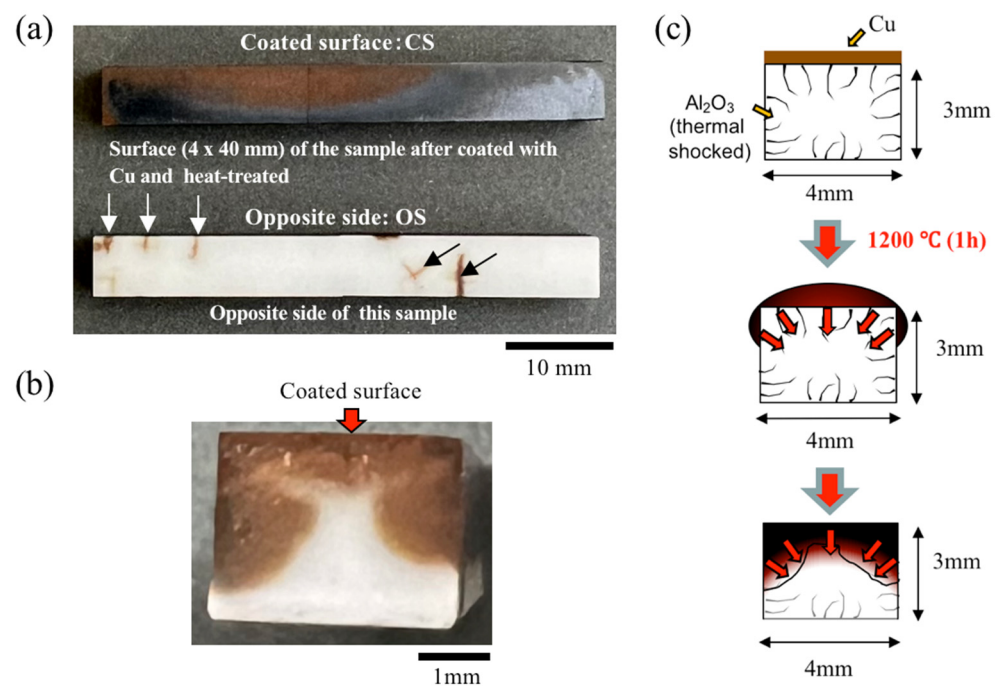


**Figure 5.** Cross-sectional surfaces of  $\text{Al}_2\text{O}_3$  specimens without thermal shock, following heating at 1200 °C for 1 h with a Cu coating (a–c).

### 3.3. Strength After Thermal Shock Followed by Cu Application and Heat Treatment

#### 3.3.1. Low-Magnification Images of the Surface and Cross-Sectional Structure of a Sample Coated with Cu Paste Exclusively on the Upper Surface

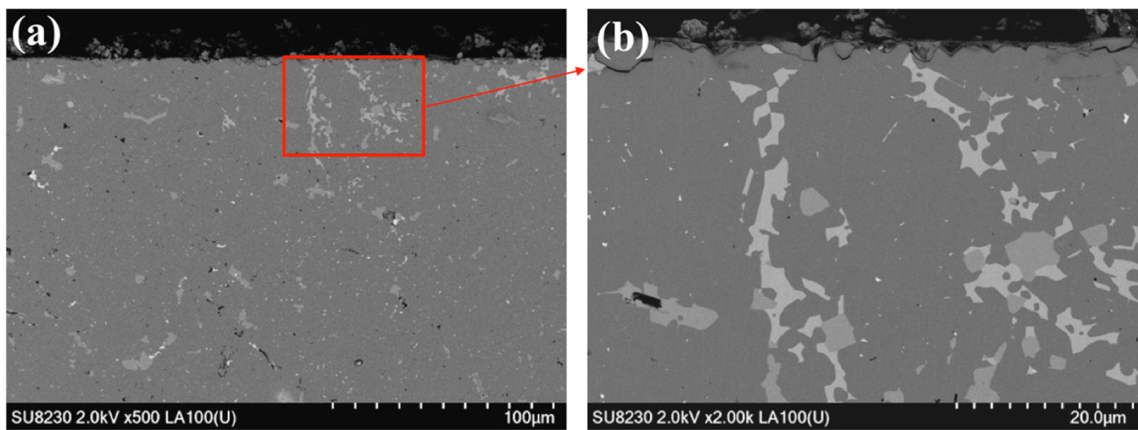
Figure 6a shows the appearance of the coating and opposite surfaces of the  $\text{Al}_2\text{O}_3$  specimen subjected to thermal shock ( $\Delta T = 500\text{ }^\circ\text{C}$ ) after heating at  $1200\text{ }^\circ\text{C}$  and a schematic of the penetration mechanism during heating. The coating surface (CS) was black due to copper oxide precipitation, and the cross-sectional image in Figure 6b confirmed the wetting spread to the sides of the  $\text{Al}_2\text{O}_3$  specimen and the penetration of copper oxide into the interior of the specimen. On the other hand, on the opposite surface (OS), copper oxide precipitation was not observed in most of the areas, but it was observed to penetrate only the cracks. This may be due to the fact that the copper powder layer that existed on the CS before heating turned into the liquid phase during melting, thus wetting and spreading to the side surface and penetrating into the cracks generated by the thermal shock by capillary force as shown in Figure 6c.



**Figure 6.** (a) The coated surface and opposite side of the  $\text{Al}_2\text{O}_3$  specimens subjected to thermal shock ( $\Delta T = 500\text{ }^\circ\text{C}$ ) after heating at  $1200\text{ }^\circ\text{C}$  for 1 h. The arrow points to the crack. (b) Cross-sectional surfaces of the specimens. (c) A schematic of the mechanism of Cu penetrating the  $\text{Al}_2\text{O}_3$  specimen.

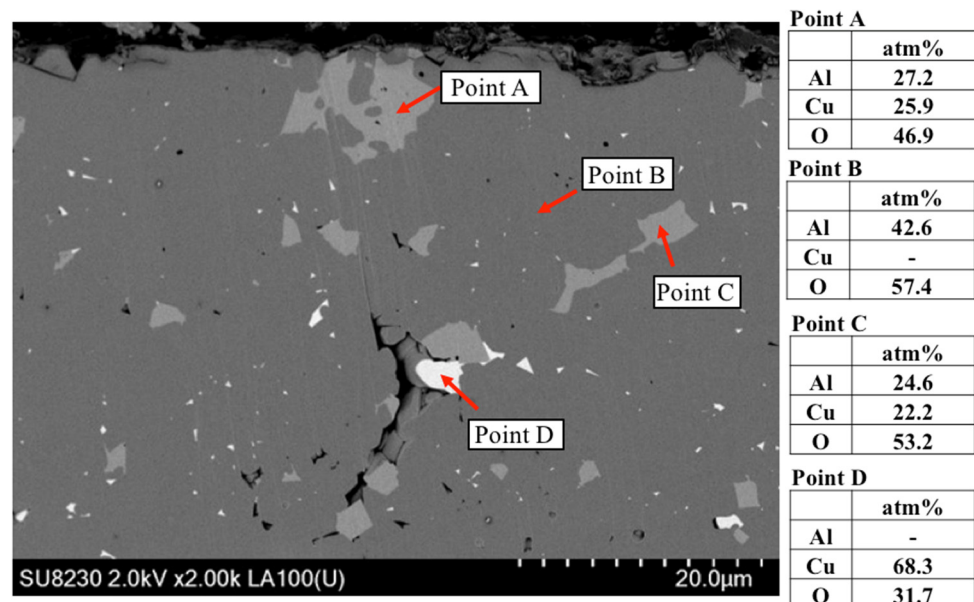
#### 3.3.2. SEM Results of the Cross-Sectional Microstructure of a Sample Heat-Treated with Cu at $1200\text{ }^\circ\text{C}$ for 1 h in Air

Figure 7 shows the SEM results of the cross-sectional microstructure of  $\text{Al}_2\text{O}_3$  specimens subjected to thermal shock ( $\Delta T = 500\text{ }^\circ\text{C}$ ) after heating at  $1200\text{ }^\circ\text{C}$  for 1 h. Deep inside the  $\text{Al}_2\text{O}_3$  sample, areas of contrast different from  $\text{Al}_2\text{O}_3$  were observed, suggesting that the molten Cu penetrated through the cracks in  $\text{Al}_2\text{O}_3$ . Furthermore, it was confirmed that the inside of the  $\text{Al}_2\text{O}_3$  cracks near the surface of the sample was completely sealed and densified by precipitates. In addition, it can be seen that the deep and complex cracks caused by thermal shock are filled to the full with precipitates. Crack repair using Cu-A-O reactions is considered to be more effective for repairing complex cracks than conventional methods using composites with dispersed non-oxide or metal particles, because it can penetrate and repair only the cracked area.



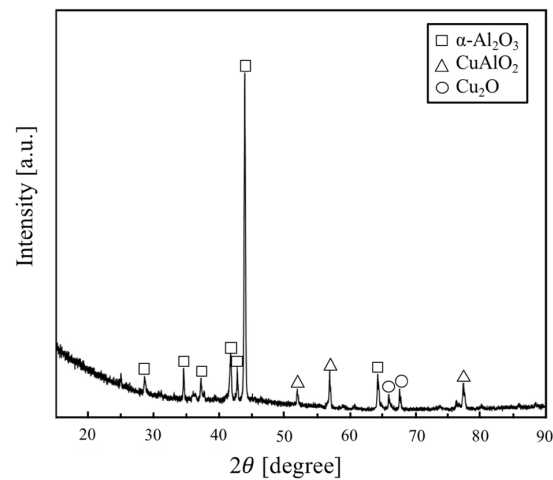
**Figure 7.** Cross-section of  $\text{Al}_2\text{O}_3$  specimens subjected to thermal shock ( $\Delta T = 500\text{ }^\circ\text{C}$ ) after heating at  $1200\text{ }^\circ\text{C}$  for 1 h (a,b).

Figure 8 presents the types of constituent phases in each section estimated from the EDX results. The darkest-gray area, corresponding to EDX analysis point B and constituting the majority of the image, is presumed to be  $\text{Al}_2\text{O}_3$ . The light-gray area near the surface (analysis point A) and slightly darker-gray areas in the middle layer (analysis point C) correspond to compounds containing Cu, Al, and O. The lighter-colored areas contain a higher ratio of Cu, while the darker areas contain more Al. Cu and O are detected in the white area (analysis point D) and are presumed to be  $\text{Cu}_2\text{O}$ . Figure 9 presents the XRD patterns of the  $\text{Al}_2\text{O}_3$  specimens subjected to thermal shock ( $\Delta T = 500\text{ }^\circ\text{C}$ ) and heated at  $1200\text{ }^\circ\text{C}$  for 1 h. After the four-point bending test, the samples were cut and the reddish-brown area ( $3 \times 4\text{ mm}$  surface) shown in Figure 6b was analyzed. No Cu was observed inside, and the phase  $\alpha\text{-Al}_2\text{O}_3$ ,  $\text{Cu}_2\text{O}$ , and  $\text{CuAlO}_2$  were found to be consistent with the EDX results in Figure 8.



**Figure 8.** Various contrasts observed in  $\text{Al}_2\text{O}_3$  specimens subjected to thermal shock ( $\Delta T = 500\text{ }^\circ\text{C}$ ) after heating at  $1200\text{ }^\circ\text{C}$  for 1 h.

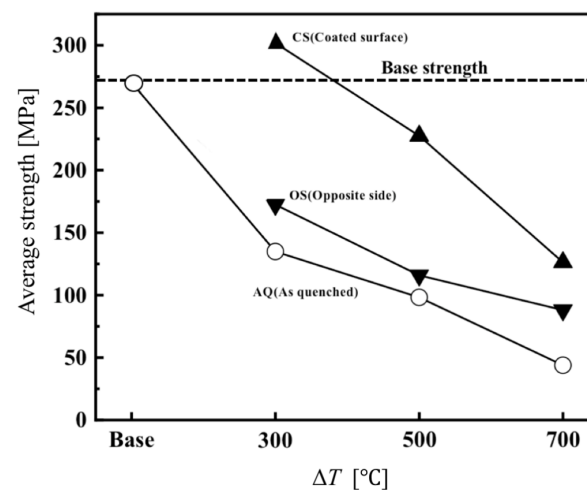




**Figure 9.** XRD patterns of  $\text{Al}_2\text{O}_3$  specimens subjected to thermal shock ( $\Delta T = 500\text{ }^\circ\text{C}$ ) after heating at  $1200\text{ }^\circ\text{C}$  for 1 h with Cu.

### 3.3.3. Strength of Samples Subjected to Various Treatment Conditions

Figure 10 shows the results of the average four-point bending strength of  $\text{Al}_2\text{O}_3$  subjected to thermal shock at different  $\Delta T$ , both before and after heating with Cu at  $1200\text{ }^\circ\text{C}$  for 1 h. In the four-point bending test, tensile stress was applied to water-quenched samples (AQ) and to both the coated surface (CS) and the opposite surface (OS). The average strength of the untreated  $\text{Al}_2\text{O}_3$  was  $274.8\text{ MPa}$ . After introducing cracks into the sintered  $\text{Al}_2\text{O}_3$  sample, the average strength was  $134.9\text{ MPa}$  ( $\Delta T = 300\text{ }^\circ\text{C}$ ),  $98.2\text{ MPa}$  ( $\Delta T = 500\text{ }^\circ\text{C}$ ), and  $43.9\text{ MPa}$  ( $\Delta T = 700\text{ }^\circ\text{C}$ ). In contrast, after heat treatment at  $1200\text{ }^\circ\text{C}$  for 1 h with the Cu coating, the average strength of the coated surface was  $301.8\text{ MPa}$  ( $\Delta T = 300\text{ }^\circ\text{C}$ ),  $227.5\text{ MPa}$  ( $\Delta T = 500\text{ }^\circ\text{C}$ ), and  $126.3\text{ MPa}$  ( $\Delta T = 700\text{ }^\circ\text{C}$ ), demonstrating a clear strength recovery effect compared to the base material. The strength of the material treated on the surface opposite the coating under the same conditions was  $172.4\text{ MPa}$  ( $\Delta T = 300\text{ }^\circ\text{C}$ ),  $115.9\text{ MPa}$  ( $\Delta T = 500\text{ }^\circ\text{C}$ ), and  $88\text{ MPa}$  ( $\Delta T = 700\text{ }^\circ\text{C}$ ). Compared with the strength recovery of the coated surface, the strength recovery of the opposite side is not much. This may be because the amount of melted Cu that penetrated the opposite surface was much smaller than that of the coated surface, as shown in Figure 5, and therefore, the amount of crack repair was insufficient.

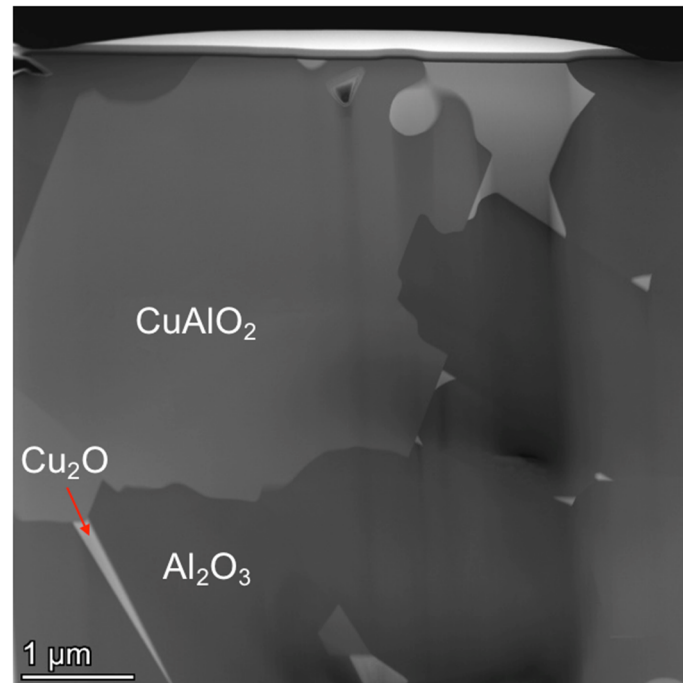


**Figure 10.** Average four-point bending strength of  $\text{Al}_2\text{O}_3$  subjected to thermal shock at different  $\Delta T$  before and after heating with Cu at  $1200\text{ }^\circ\text{C}$  for 1 h. The dashed line represents the baseline strength of untreated  $\text{Al}_2\text{O}_3$ .



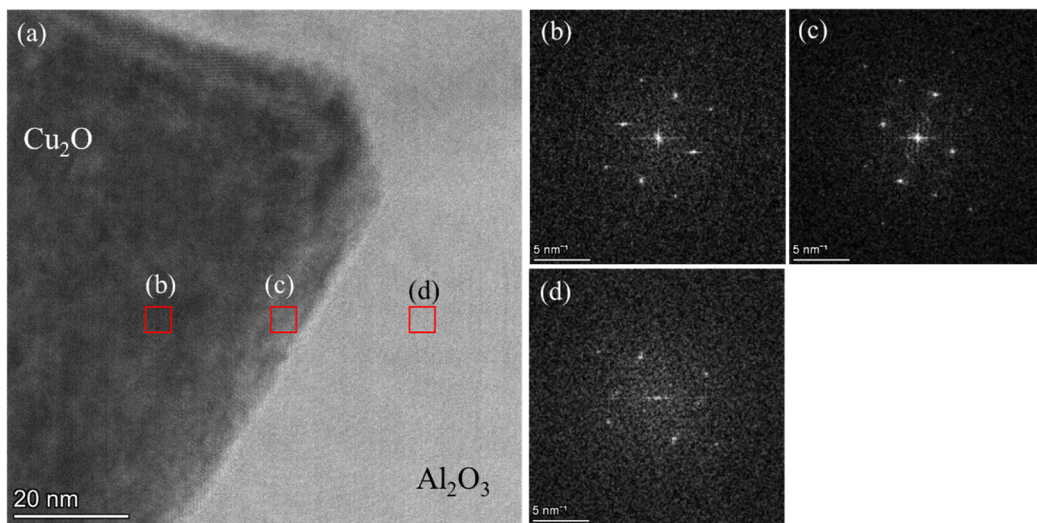
### 3.3.4. TEM Results of the $\text{Al}_2\text{O}_3$ and $\text{CuAlO}_2$ Interface

Figure 11 shows an HAADF-STEM image of the cross-sectional microstructure of a sample following thermal shock. There are obvious dark ( $\text{Al}_2\text{O}_3$ ), very dark-gray ( $\text{CuAlO}_2$ ), and light-gray ( $\text{Cu}_2\text{O}$ ) areas. It can be seen that there are clear interfaces between the three phases and no gaps in the crack, and  $\text{Cu}_2\text{O}$  exists in extremely narrow cracks, so Cu is considered to have penetrated into the tiny crack tips in  $\text{Al}_2\text{O}_3$  with a width less than 10 nm and filled the narrow cracks.

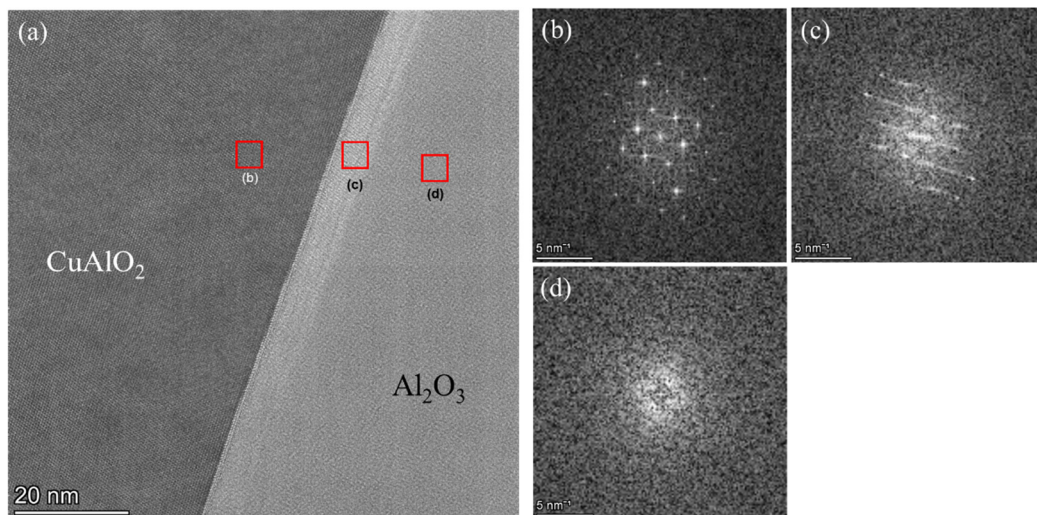


**Figure 11.** HAADF-STEM image of the cross-section of the specimen after thermal shock ( $\Delta T = 500\text{ }^\circ\text{C}$ ). The red arrows represents the  $\text{Cu}_2\text{O}$  region.

Figures 12 and 13 show TEM images of the  $\text{Cu}_2\text{O}$ - $\text{Al}_2\text{O}_3$  and  $\text{CuAlO}_2$ - $\text{Al}_2\text{O}_3$  interfaces and FFT diffraction patterns. The  $\text{Cu}_2\text{O}$ - $\text{Al}_2\text{O}_3$  interface does not show any reaction layer or crystalline disorder, and the FFT diffraction pattern shows a clean interface. On the other hand, at the  $\text{CuAlO}_2$ - $\text{Al}_2\text{O}_3$  interface, crystalline disorder on the  $\text{Al}_2\text{O}_3$  side near the interface and overlap with the  $\text{CuAlO}_2$  phase are observed in the FFT diffraction patterns. The  $\text{Cu}_2\text{O}$ - $\text{Al}_2\text{O}_3$  phase diagram (Figure 14) shows that a Cu-Al-O liquid phase is formed at  $1200\text{ }^\circ\text{C}$  with a little dissolved  $\text{Al}_2\text{O}_3$  and that the  $\text{CuAlO}_2$  phase precipitates when the dissolution concentration of  $\text{Al}_2\text{O}_3$  is approximately above 7 wt% at lower temperatures. Therefore, the disorder of the crystal phase at the  $\text{CuAlO}_2$ - $\text{Al}_2\text{O}_3$  interface observed via TEM is caused by dissolution from the  $\text{Al}_2\text{O}_3$  grain into the liquid phase. The above suggests that the penetration of Cu into the  $\text{Al}_2\text{O}_3$  cracks was accomplished by the reaction of molten Cu at high temperatures with oxygen, which further dissolved  $\text{Al}_2\text{O}_3$  to form the Cu-Al-O liquid phase and penetrated into the cracks without gaps to the depth of the cracks. The penetrated Cu-Al-O liquid phase is considered to have formed a composite structure by the precipitation of  $\text{Cu}_2\text{O}$  or  $\text{CuAlO}_2$ , depending on the composition of the liquid phase, when the temperature decreases. The penetration of the Cu-Al-O liquid phase into the  $\text{Al}_2\text{O}_3$  cracks as described above is suggested to be the reason for the strength recovery.

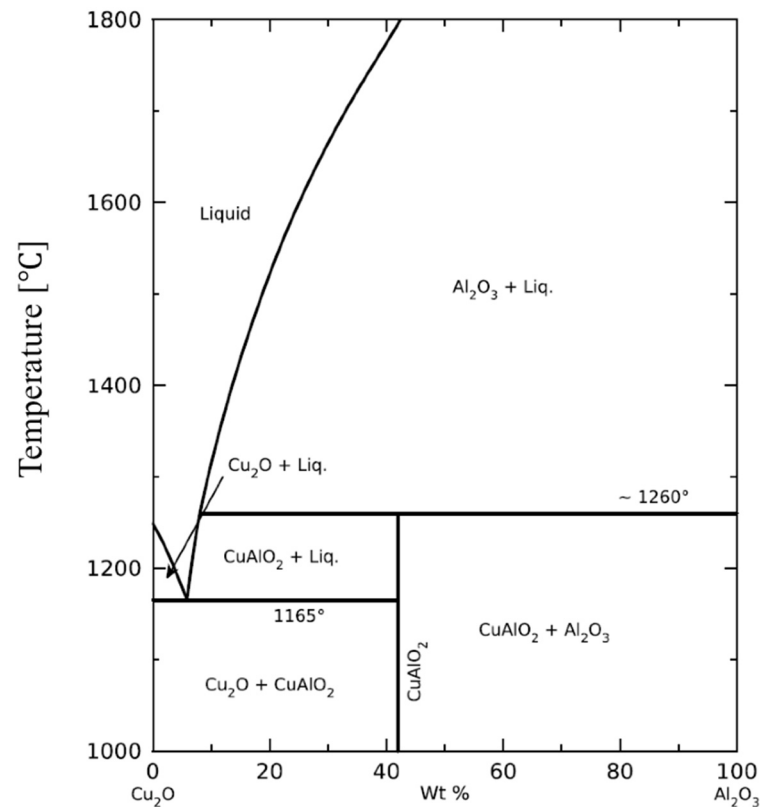


**Figure 12.** FFT diffraction patterns of (b) the  $\text{Cu}_2\text{O}$  phase, (c) the  $\text{Cu}_2\text{O}$  phase near the interface, and (d) the  $\text{Al}_2\text{O}_3$  phase in (a) a TEM image of the  $\text{Cu}_2\text{O}$ - $\text{Al}_2\text{O}_3$  interface.



**Figure 13.** FFT diffraction patterns of (b) the  $\text{CuAlO}_2$  phase, (c) the  $\text{Al}_2\text{O}_3$  phase near the crystal interface, and (d) the  $\text{Al}_2\text{O}_3$  phase in (a) a TEM image of the  $\text{CuAlO}_2$ - $\text{Al}_2\text{O}_3$  interface.

The microstructure and formation mechanism of the crack repair by Al-Cu-O reactions at room temperature was observed and considered as above. The microstructure and bending strength at high temperatures are thus considered to be similar to those at room temperature because the Cu compound filling the cracks is stable at temperatures not exceeding 1165 °C, according to the  $\text{Cu}_2\text{O}$ - $\text{Al}_2\text{O}_3$  phase diagram. On the other hand, it is known that at temperatures above 1165 °C, a liquid phase is formed again inside the sample, but on the sample surface, the  $\text{Cu}_2\text{O}$  and  $\text{CuAlO}_2$  formed react with  $\text{O}_2$  in the atmosphere at high temperatures to form  $\text{CuO}$  and  $\text{CuAl}_2\text{O}_4$ , which are stable at high temperature [28]. Therefore, the cracks on the sample surface are considered to remain repaired even at temperatures above 1165 °C, and the bending strength will not change significantly. Based on the above, cracks repaired using Al-Cu-O reactions are expected to have excellent stability not only at room temperature but also under an atmospheric atmosphere.



**Figure 14.** Phase diagram of the Cu<sub>2</sub>O-Al<sub>2</sub>O<sub>3</sub> system [31].

### 3.4. Relationship Between Different Crack Lengths and Bending Strengths

Crack length measurements for the calculation of bending strength are determined using Formulas (1)–(3). The fracture toughness,  $K_{IC}$ , is determined as  $2.5 \text{ MPa}\cdot\text{m}^{1/2}$  [32,33].

$$\sigma = \frac{6M}{b^2} \left( M = \frac{P_s}{4} \right) \quad (1)$$

$$K_{IC} = \sigma \sqrt{\pi a} F(a/b) \quad (2)$$

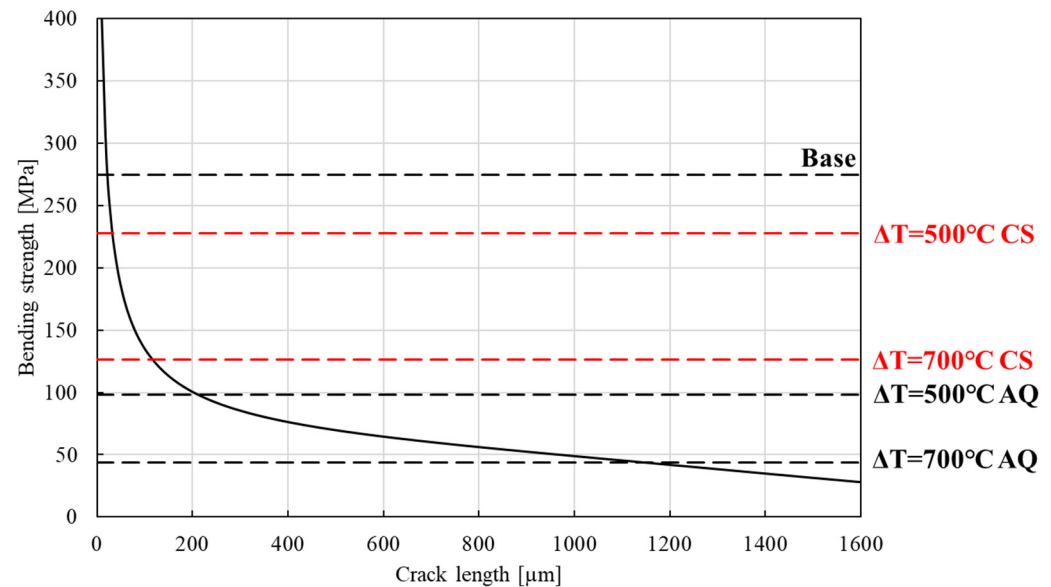
$$F(a/b) = 1.107 - 2.210 \times (a/b) + 7.71 \times (a/b)^2 - 13.55 \times (a/b)^3 + 14.25 \times (a/b)^4 \quad (3)$$

Here,  $a$  is the length and  $b$  is the thickness of the specimen, respectively.

Additionally, the calculation is based on the condition that the filled part inside the crack is firmly bonded with the surrounding alumina. As shown in Figures 7 and 11, there are no gaps, and it can be seen that they are firmly bonded together through the reaction.

Figure 15 shows the results of the relationship between different crack lengths and bending strengths of specimens. As the crack length increases, the strength shows a decreasing trend. The strength of Al<sub>2</sub>O<sub>3</sub> without thermal shock is 275 MPa (Figure 10). If it is applied to Figure 15, and the crack length is estimated, it can be read as approximately 20  $\mu\text{m}$ . Considering the damage caused by processes such as grinding, this value can be considered to be within a reasonable range. When the bending lengths are 98.2 and 43.9 MPa, which are the residual strengths after thermal shock of  $\Delta T = 500$  at 700 °C, the calculated crack lengths would be approximately 210 and 1180  $\mu\text{m}$ , respectively. Applying the bending strength of the specimen heat-treated at 1200 °C with Cu after thermal shock ( $\Delta T = 500$  °C) to Figure 15, the crack length is estimated to be about 32  $\mu\text{m}$ , which is almost the same as that of base Al<sub>2</sub>O<sub>3</sub>, indicating that almost all defects caused by thermal shock can be repaired under the same conditions. In the case of  $\Delta T = 700$  °C, after heat treatment at 1200 °C with Cu, the crack length was estimated to be about 117  $\mu\text{m}$ . Almost all of the deep cracks caused by thermal shock at  $\Delta T = 700$  °C were repaired, but critical defects

remained and strength recovery was insufficient. From the above, it can be concluded that crack repair in  $\text{Al}_2\text{O}_3$  using Al-Cu-O reactions is a technique capable of repairing not only simple defects of a few hundred micrometers introduced by conventional Vickers indentation but also deeper, more complex defects in greater numbers.



**Figure 15.** Relationship between different crack lengths and bending strengths.

#### 4. Conclusions

The objective of this study is to repair, using Al-Cu-O reactions, irregular and deep cracks in  $\text{Al}_2\text{O}_3$  ceramics induced by thermal shock. Additionally, it presents the use of molten copper in an oxygen-rich environment for healing alumina. The internal structure of microcracks was observed in detail through transmission electron microscopy (TEM). The results are summarized as follows:

(1) Deep and irregular cracks of more than several hundred  $\mu\text{m}$  introduced by thermal shock were found to be repaired via the permeation phenomenon of the Al-Cu-O liquid phase generated by Al-Cu-O reactions and by precipitates such as  $\text{Cu}_2\text{O}$  and  $\text{CuAlO}_2$  formed during cooling.

(2) The  $\text{Al}_2\text{O}_3$  specimens subjected to thermal shock treatment at  $\Delta T = 500^\circ\text{C}$  and  $700^\circ\text{C}$  (average bending strength: 98.2 and 43.9 MPa) recovered their strength to 227.5 and 126.3 MPa after treatment at  $1200^\circ\text{C}$  for 1h with Cu coating, respectively. Particularly, the bending strength of the coated surface recovered to 301.8 MPa, which is twice as strong as the specimen (134.9 MPa,  $\Delta T = 300^\circ\text{C}$ ) after thermal shock and 10% higher than the original strength of the base material.

(3) The TEM observations and FFT diffraction patterns showed that the complex and narrow cracks of about 20 nm caused by thermal shock were densely filled without gaps, and the interface with the precipitates was clear, which was an important factor in strength recovery.

(4) The discussion using the equation relating crack length and bending strength assuming a single crack indicates that approximately 210  $\mu\text{m}$  of cracks were completely repaired in the specimens heat-treated after thermal shock at  $\Delta T = 500^\circ\text{C}$ .

(5) It can be concluded that crack repair in  $\text{Al}_2\text{O}_3$  using Al-Cu-O reactions is a technique capable of repairing not only simple defects of a few hundred micrometers introduced by conventional Vickers indentation but also deeper, more complex defects in greater numbers.

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