

Article

# Modelling Actual Evapotranspiration Seasonal Variability by Meteorological Data-Based Models

Mirka Mobilia <sup>1,\*</sup> , Marius Schmidt <sup>2</sup> and Antonia Longobardi <sup>1</sup> <sup>1</sup> Department of Civil Engineering, University of Salerno, 84084 Fisciano, Italy; alongobardi@unisa.it<sup>2</sup> Agrosphere Institute (IBG-3), Institute of Bio- and Geosciences of the Research Center Jülich, 52425 Jülich, Germany; ma.schmidt@fz-juelich.de

\* Correspondence: mmobilia@unisa.it; Tel.: +39-089-963-409

Received: 15 June 2020; Accepted: 30 July 2020; Published: 2 August 2020



**Abstract:** This study aims at illustrating a methodology for predicting monthly scale actual evapotranspiration losses only based on meteorological data, which mimics the evapotranspiration intra-annual dynamic. For this purpose, micrometeorological data at the Rollesbroich and Bondone mountain sites, which are energy-limited systems, and the Sister site, a water-limited system, have been analyzed. Based on an observed intra-annual transition between dry and wet states governed by a threshold value of net radiation at each site, an approach that couples meteorological data-based potential evapotranspiration and actual evapotranspiration relationships has been proposed and validated against eddy covariance measurements, and further compared to two well-known actual evapotranspiration prediction models, namely the advection-aridity and the antecedent precipitation index models. The threshold approach improves the intra-annual actual evapotranspiration variability prediction, particularly during the wet state periods, and especially concerning the Sister site, where errors are almost four times smaller compared to the basic models. To further improve the prediction within the dry state periods, a calibration of the Priestley-Taylor advection coefficient was necessary. This led to an error reduction of about 80% in the case of the Sister site, of about 30% in the case of Rollesbroich, and close to 60% in the case of Bondone Mountain. For cases with a lack of measured data of net radiation and soil heat fluxes, which are essential for the implementation of the models, an application derived from empirical relationships is discussed. In addition, the study assessed whether this variation from meteorological data worsened the prediction performances of the models.

**Keywords:** evapotranspiration; Priestley-Taylor model; advection-aridity model; antecedent precipitation index model; Penman equation; oceanic climate; mediterranean climate; eddy covariance fluxes

## 1. Introduction

Evapotranspiration (ET) is a major component in the water balance of hydrological systems [1,2]. It is a critical parameter in hydrological applications and uncertainties in its assessment propagate through the hydrological soil–water balance [3]. Distinctions can be made between potential evapotranspiration (PET) and actual evapotranspiration (AET). Potential evapotranspiration measures the ability of the atmosphere to remove water from the surface through the processes of evaporation and transpiration when no limitation or control on water supply exists. Actual evapotranspiration is the quantity of water actually removed by evaporation and transpiration from a surface if the total amount of water is limited. Long-term ET measurements are complex and costly to obtain and, even if some observational data exist, methods to assess ET fluxes such as the eddy covariance approach, chambers, sap flow systems, and weighing lysimeters, are time-consuming and labour-intensive [4].

For these reasons, over the last decade, many different approaches for indirect ET modeling have been proposed and classified according to the mechanisms they mimic.

The methods for PET estimation can be divided into radiation-based, temperature-based, mass transfer-based, and combination methods [5]. The combination-based methods combine energetic drivers such as net radiation and air temperature with atmospheric drivers including the vapor pressure deficit and the wind speed. According to these methods, the energy balance and aerodynamic processes can be integrated to predict the evaporation fluxes. This class of models has been originally theorized by Penman who developed the first combination equation to calculate potential evapotranspiration from an open water surface [6,7]. Various derivations of the Penman equation have taken place over time, including that suggested by Monteith [8]. The radiation-based approaches mainly depend on the available energy and neglect the contribution of the aerodynamic component. According to these approaches, evapotranspiration could be investigated accounting for the variability of radiation. The Priestley–Taylor (PT) [9] model was among the first to introduce a method attributable to this group. The temperature-based models consider air temperature as representative of the available energy for evapotranspiration, so it becomes a key factor able to substantially influence the ET process. Some of the most well-known models falling within this class are the Hargreaves method [10] and Thornthwaite method [11]. Mass transfer-based models are based on the concept of eddy motion transfer of water vapor from an evaporating surface to the atmosphere. These methods are among the oldest ones and adopt Dalton's law [12,13].

The methods for calculating AET can be divided into four categories that partially overlap with the ones proposed for PET assessment. The four classes include the surface energy balance approaches, combination models, complementary methods, and radiation-based models [14]. The energy balance approaches consist of solving the energy balance equation at the surface where the evapotranspiration results from the difference between the net radiation to the surface and losses due to the sensible heat flux and ground heat flux. The surface energy fluxes could be estimated by coupling in-situ observations with remote sensing imagery, since the former are generally able to give only localized estimates and are subject to instrument failure. Among these approaches are the Surface Energy Balance System (SEBS) [15], the Surface Energy Balance Index (SEBI) [16], and the Surface Energy Balance Algorithm for Land (SEBAL) [17]. The combination-based methods for AET estimates derive from the Penman model. Although it was originally used to predict potential evapotranspiration, adaptations of this model for prediction of actual evapotranspiration have been introduced in the scientific literature and among these the PM1 and PM2 (Penman-Monteith 1 and Penman-Monteith 2) models [18] or MPM (modified Penman-Monteith) model [19] can be listed. The radiation-based methods include a number of models resulting from the PT model. It has been modified over time by several authors who developed advanced formulations of this model in order to translate the Priestley–Taylor estimates of PET into AET rates. Some adaptations of the Priestley–Taylor model include the PT-JPL (PT-Jet Propulsion Laboratory) model [20], the MPT (modified-PT) model [21], and the API (Antecedent Precipitation Index) model [22]. The complementary approaches [23] are based on the complementary feedback between actual and potential evaporation. According to this mechanism, when limited water availability dries the ambient air, AET decreases and the energy that would have been consumed by actual evapotranspiration becomes sensible heat. This warms the atmospheric boundary layer resulting in an increase in PET. A number of different models based on the complementary relationship (CR) have been developed, including the advection-aridity (AA) model [24–26], the Granger and Gray (GG) model [27,28], the CRAE model [29,30], and the modified advection-aridity (MAA) model [31,32].

The change in evapotranspiration rates is a result of the limited energy and water availability conditions of the considered areas [33–35] since, as said above, actual ET is governed by the amount of available energy while potential ET occurs when the supply of water for this process is non-limiting. Under the Budyko framework, AET is dominated by either precipitation (P) or potential evapotranspiration (PET). AET rates approach precipitation values in the case of a dry

climate (i.e., limited water availability conditions), and potential evapotranspiration values are instead similar to precipitation values in the case of a wet climate (i.e., energy-limited conditions). Beyond a long-term characterization, the dry and wet climate conditions can also alternate within the year, resulting in intra-annual ET variability. However, in many situations, the transition between water- and energy-limited conditions is not clear, and there is still a need in the relevant literature to find feasible ways to define the water and energy states in order to capture and properly model the dynamics of evapotranspiration [36]. As water- and energy-limited systems respond differently to climate change, the identification of the transitioning mechanisms is also important to reveal the dynamics of a catchment in response to climate variability [37,38]. Additionally, it should be taken into account that the mechanism of ET variability is quite complex, in particular when the systems are water-limited and, in these cases, particular attention should be paid to the hydrological prediction [35].

The current and recent past specific literature is rich in a large number of approaches which aim at finding the most appropriate method for simulating evapotranspiration fluxes, by comparing predictions from PET and AET models with values of evapotranspiration losses measured from micrometeorological stations. The overall results of the comparative studies, regardless of the number of observational sites (in some instances very large), suggested that there is not a single approach able to outperform other modeling methods for a particular biome [14,20,39,40]).

Within this context, three datasets of eddy covariance observations at the experimental sites of Rollesbroich (Germany), Sister (Oregon), and Bondone mountain (Italy) have been collected and investigated with the aim to provide an effective approach for the prediction of the intra-annual ET variability through the use of different model to represent different periods of the year (wet and dry state periods) without claiming to find very general rules for specific biomes for the ET assessment. The experimental sites, belonging to the TERENO (<http://teodoor.icg.kfa-juelich.de/overview-en>) and FLUXNET (<http://fluxnet.fluxdata.org/>) global platforms, feature different climate conditions (temperate Oceanic and Mediterranean) and vegetation covers (grassland and forest), and they result in systems with different limiting factors (energy-limited and water-limited systems). In a first step, a comparison between potential evapotranspiration (modelled by using Penman equation) and actual evapotranspiration, indirectly derived by eddy covariance data, has been performed for the case studies in order to detect when the one approaches the other and, consequently, to characterize the intra-annual ET dynamics. The net radiation has been identified as the meteorological variable able to predict the transition between dry and wet periods and between dominant evapotranspiration mechanisms (AET and PET). In a second step, also based on the findings related to the transition mechanism between potential and actual evapotranspiration, a monthly scale assessment of meteorological data-based models to predict ET has been proposed. Penman formulation has been considered for the assessment of potential evapotranspiration, while the AA and API models have been selected among actual evapotranspiration approaches. The choice of these models has been driven by a number of reasons, among which are the low computational effort in their application, the use of only meteorological data as input variables, the reliability in prediction, and the consolidated use in the scientific literature. The AA and the API methods have been evaluated and compared to a third proposed approach, named a threshold approach, which couples the AET and PET modelling, according to the seasonal ET dynamics. In addition, a calibration of the AET models has also been reported in order to improve the prediction during the dry periods. The calibration doesn't aim at offering the possibility for a modelling approach generalization, since the present work is not focused on finding a very general rules to be applied for one or the other specific biome, but it seeks to improve the reliability of the seasonal evapotranspiration modelling. Finally, the impact on the threshold model performances of the use of empirical relationships to derive net radiation and soil heat fluxes, if not measured in situ, has been tested.

In summary, taking advantage of a good quality dataset, the current research aims are as follows:

- (i) the characterization of the actual evapotranspiration dynamic at the seasonal scale for both energy and water limited systems, by illustrating a methodology able to identify dry (water-limited) and wet (energy-limited) states transition only based on meteorological data;
- (ii) explain how embedding the switching between dry and wet dominant states into empirical actual evapotranspiration models could lead to an improvement in the wet periods' prediction of actual evapotranspiration, especially in the case of water availability limited sites.
- (iii) the assessment of the model errors due to the use of empirically-estimated input variables.

## 2. Materials and Methods

### 2.1. Sites and Data Description

The site “us-me2” (doi:10.18140/FLX/1440079) (Figure 1a) is located near Sister in Central Oregon (4.4523° N, 121.5574° W). The climate is Mediterranean with mean annual precipitation and air temperature, respectively, of 478 mm and 6.9 °C. The observation period used for the analysis ranges from November 2006 to January 2010. The land biome is almost exclusively composed of ponderosa pine trees (*Pinus ponderosa* Doug. Ex *P. Laws*) with a few scattered incense cedars (*Calocedrus decurrens* Florin). Soils at the site are sandy (69%/24%/7% sand/silt/clay at 0–0.2 m depth and 66%/27%/7% at 0.2–0.5 m depth, and 54%/35%/11% at 0.5–1.0 m depth). According to the literature, the rooting depth (RD) for this king of tree is about 1.2 m while the available water storage capacity (AWSC) of predominantly sandy soil is 83 mm/m [41], resulting in a soil water storage (SWS) of 99.6 mm. Within the site, eddy-covariance measurements have been collected using a three-dimensional sonic anemometer and an open-path infrared gas analyzer, additional measurements include atmospheric temperature, relative humidity, and precipitation. The data are collected above the canopy at the towertop level of 33 meters. A more detailed description of the site, instrumentation, data collection and prediction of the flux footprint can be found in [42]. The grassland test site “de-rur” (doi:10.18140/FLX/1440215) is located in Rollesbroich, Western Germany (Figure 1b), in the low mountain range of the Eifel region (50.6219° N, 6.3041° E). The climate is Oceanic and during the observation period, April 2012 to July 2016, annual mean precipitation and air temperature are approximately 930 mm and 8.4 °C. The vegetation cover is grassland including meadow foxtail (*Alopecurus pratensis*), perennial rye grass (*Lolium perenne*), rough meadow grass (*Poa trivialis*), and common sorrel (*Rumex acetosa*). Soils are dominated by (stagnic) Cambisols and Stagnosols on Devonian shales with occasional sandstone inclusions that are covered by a periglacial solifluction clay-silt layer. The literature [41,43] suggests a rooting depth of 0.456 m and an available water storage capacity of 200 mm/m for a SWS of 91.2 mm. Within the site, an eddy covariance tower with a sonic anemometer and an infrared gas analyzer, has been installed since 2011 and latent and sensible heat fluxes have been obtained by the EC technique. The data are collected at 2.6 m above the surface. The EC footprint varies strongly over time as shown in [44]. A more detailed description of the site and the measurement facilities is provided in [45]. The site “it-mbo” (doi:10.18140/FLX/1440170) (Figure 1c) is located near Trento, northern Italy (46.01468° N, 11.04583° E). The site is featured by Oceanic climate with mean annual precipitation of 864 mm and a mean air temperature of 5.1 °C. The period of analysis used for the present research extends from January 2003 to January 2008. The vegetation cover type is mainly permanent alpine meadow with *Festuca rubra*, *Nardus stricta* and *Trifolium*. The soil texture is sandy-loam. As suggested by the literature [41,43], RD is about 0.209 m while AWSC is 125 mm/m, with a soil water storage of 26.1 mm. Since August 2002, an EC system was operational. It consists of an open-path infrared gas analyzer and an ultrasonic anemometer; along with EC flux measurements, the main meteorological variables are measured at a height of 2.5 m. Detailed information about this are provided by [46].





**Figure 1.** Experimental sites location. Sister site (a), Rollesbroich site (b), Bondone Mountain site (c).

The global platforms guarantee quality assessed (QA) and quality controlled (QC) data for each site. The QA/QC procedure consists in a control check over single variables which allow monitoring of data integrity, correctness, and completeness. In addition, the fluxes from the networks are “energy balance corrected”, which means that the original data have been multiplied by an energy balance closure correction factor calculated for each day from the daily averages of the measured Net Radiation, Soil Heat Flux, Latent Heat, and Sensible Heat. Detailed information about the data-processing is available on the websites of the flux networks. The specific sites selection used in the current study appears critical regarding two main points. Firstly, forests (FOR) and grasslands (GRA) represent some of the most widespread vegetation types covering, respectively, about 40% and 30% of land area in the world [47,48]. Secondly, even though located at almost the same latitudes, the sites substantially differ in terms of climate regimes. In the Mediterranean climate, a clear seasonal cycle with maximum rainfall in winter and minimum in summer can be found, while in the Oceanic climate zone the precipitation is evenly distributed throughout the year. Additionally, these sites have been preferred to others with similar characteristics since they offer a better data quality standard with longer periods of observation and low frequency in the occurrence of missing data. The data used for the current study are air temperature ( $^{\circ}\text{C}$ ), relative humidity (%), wind speed (m/s), the total net radiation ( $\text{Mj m}^{-2} \text{d}^{-1}$ ) and the latent heat flux ( $\text{Wm}^{-2}$ ) “LH”. The actual evapotranspiration has been calculated from the latent heat flux using the procedure suggested by FAO [49]:

$$\text{AET} = \frac{\text{LH}}{\lambda} \quad (1)$$

where AET represents the actual evapotranspiration flux (mm) and  $\lambda$  represents the latent heat of vaporization ( $\text{MJ kg}^{-1}$ ).

Since eddy covariance measurements inevitably include missing data or low-quality data, a standard gap-filling procedure was first applied according to [50]. The used method involves replacing the missing values with the average values, under similar meteorological conditions, within a time-window of  $\pm 7$  days. As reported in [51,52], the days with a rate of missing data higher than 80% should be excluded by the analysis. Since the data are recorded every 30 min, the rate of missing

data per day represents the ratio between the number of measurements with a 30-min time step, collected during the considered day, and the number of 30-min intervals in the same day. The studied sites exhibit percentages of missing data significantly lower than the limits reported in the scientific literature. Indeed, for the whole observation periods, they amount to 5.9% for de-rur, 5.1% for us-me2, and 0.01% for it-mbo. A summary is provided in Table 1.

**Table 1.** Missing values for the three sites.

Name	Record Period	Number of Days (-)	Number of 30 min Intervals (-)	Missing LE (%)
de-rur	2012 to 2016	1614	77472.0	5.9
us-me2	2006 to 2010	1188	57024.0	5.1
it-mbo	2003 to 2008	1829	87744.0	0.01

## 2.2. Models Selection for Potential and Actual Evapotranspiration Assessment

In the current work, Penman formulation has been considered for the assessment of potential evapotranspiration, while the AA and API models have been selected among actual evapotranspiration empirical relationships. According to the literature, the Penman model, belonging to the category of the combined methods, is the most commonly used method to derive potential evapotranspiration [53], so, it has been selected since it represents a well-consolidated model. The AA and API models respectively belong to the complementary and radiation-based categories. Even if these classes of methods may appear to be an old-fashioned approach, a continuous and rising interest has appeared in their application in the scientific literature [14]. Indeed, they are accessible to less experienced users and require only basic meteorological data, provided, free of charge, by open access archives. In addition, these models are also foreseen for forecasting purposes. They have been proven to predict, with a good accuracy, the variations in evapotranspiration fluxes using the projections of future climate scenarios [54–56]. On the contrary, combination-based and energy-balance approaches, although very accurate, require significant computational efforts and technical expertise for their implementation. Moreover, detailed input parameters are needed to run these models. Finally, the AA and API models were proven to result in a very good fit to the observations [57–59].

### 2.2.1. Penman Model

The Penman equation  $PET_{PM}$ , used for estimating potential evapotranspiration, can be written as follows:

$$PET_{PM} = \frac{1}{\lambda} \left[ \frac{\Delta}{\Delta + \gamma} (R_n - G_{soil}) \right] + \left[ \frac{\gamma}{\gamma + \Delta} E_A \right] \quad (2)$$

In this formula, evapotranspiration is calculated by weighing the evaporation rate due to net radiation and the evaporation rate due to mass transfer. In Equation (2),  $R_n$  represents the net radiation ( $MJ m^{-2} d^{-1}$ );  $G_{soil}$  represents the soil heat-flux density at the soil surface ( $MJ m^{-2} d^{-1}$ ), measured using SHF (soil heat flux) sensors at the surface; and  $\Delta$  is the slope of the saturation vapor pressure–temperature curve ( $kPa \text{ } ^\circ C^{-1}$ ) given by the formula [49]:

$$\Delta = \frac{4098 \left[ 0.6108 \exp\left(\frac{17.27 \cdot T_{mean}}{T_{mean} + 237.3}\right) \right]}{(237.3 + T_{mean})^2} \quad (3)$$

where  $T_{mean}$  represents the average temperature between maximum and minimum values during the day ( $^\circ C$ ),  $\gamma$  represents the psychrometric constant ( $kPa \text{ } ^\circ C^{-1}$ ),  $\lambda$  represents the latent heat of vaporization ( $MJ kg^{-1}$ ).  $E_A$  represents the drying power of the air, which is expressed as [49]

$$E_A = 2.6(1 + 0.54 \cdot u)(e_s - e_a) \quad (4)$$

In Equation (4),  $u$  represents the wind speed ( $\text{ms}^{-1}$ ),  $2.6(1 + 0.54 \cdot u)$  is the wind function,  $e_s$  represents the saturation vapor pressure (kPa),  $e_a$  represents the vapor pressure (kPa).

### 2.2.2. AA Model

The Advection-aridity (AA) model is an approach commonly used for the assessment of actual evapotranspiration. It is a complementary relationship (CR) model since it is based on Bouchet's [23] hypothesis which states that a complementary feedback mechanism exists between actual and potential evapotranspiration. The rationale behind this concept is that, under conditions of constant energy input to a given land surface-atmosphere system, water availability becomes limited and actual evapotranspiration decreases below the potential one. The energy that becomes available due to the reduction of actual ET is used to raise the temperature of the air by means of the sensible heat flux, consequently the air is dried and this results in the increase in potential ET in equal amount of the reduction of AET. In the opposite case (increase in water availability), the reverse process occurs, and actual ET increases as potential one decreases. The evapotranspiration occurring when AET equals PET is referred to as the wet environment evapotranspiration. According to [60], the formulation of AA model is

$$\text{AET}_{\text{AA}} = (2\alpha - 1) \frac{1}{\lambda} \left[ \frac{\Delta}{\Delta + \gamma} (R_n - G_{\text{soil}}) \right] - \left[ \frac{\gamma}{\gamma + \Delta} E_A \right] \quad (5)$$

where  $\alpha$  is the PT coefficient set at 1.26.

The equation combines an aerodynamic term with an energy component based on net incoming radiation. In particular, the second term of this model represents the effects of large-scale advection in the mass transfer of water vapor represented by the aerodynamic vapor transfer term  $E_A$ .

### 2.2.3. API Model

The second model selected for AET prediction in present analysis is the API model. In [22], the Priestley-Taylor model [9] has been modified for the estimation of non-potential evapotranspiration, resulting in the following equation:

$$\text{AET}_{\text{API}} = \frac{1}{\lambda} \cdot \alpha_{\text{API}} \left[ \frac{\Delta}{\Delta + \gamma} (R_n - G_{\text{soil}}) \right] \quad (6)$$

The dimensionless coefficient,  $\alpha_{\text{API}}$ , is expressed as a threshold function of the of soil moisture. As surface soil moisture is not routinely available, API index [61] has been used to mimic soil moisture conditions:

$$\text{API} = \sum_{t=-1}^{-i} P_t k^{-t} \quad (7)$$

where  $i$  represents the considered number of antecedent days,  $k$  represents the soil decay constant, and  $P_t$  represents the rainfall during day  $t$ .

Given a threshold value of the API equal to 20 mm [22,62,63], if the current API value is lower than or equal to the threshold API, then

$$\alpha_{\text{API}} = 0.123(\text{API}) - 0.0029(\text{API})^2 - 0.0000056(\text{API})^3 \quad (8)$$

If the current API value is larger than the threshold API, then

$$\alpha_{\text{API}} = 1.26 \quad (9)$$

assuming that for an over-saturated system (i.e.,  $\text{API} > 20$  mm), AET rates are no longer dependent on the soil water content, but they are a constant percentage of PET.

### 2.3. Net Radiation and Soil Heat-Flux Derived from Empirical Formulas

Where measurements of  $R_n$  and  $G_{soil}$  are not available, these variables can be estimated from empirical relationships suggested by [49]. The net radiation can be derived as the difference between the incoming net shortwave radiation ( $R_{ns}$ ) and the outgoing net longwave radiation ( $R_{nl}$ ):

$$R_n = R_{ns} - R_{nl} \quad (10)$$

where:

$$\begin{cases} R_{ns} = (1 - \alpha)R_s \\ R_{nl} = \sigma \left[ \frac{T_{max}^4 + T_{min}^4}{2} \right] (0.34 - 0.14 \sqrt{e_a}) \left( 1.35 \frac{R_s}{R_{so}} - 0.35 \right) \end{cases} \quad (11)$$

and

$$\begin{cases} R_s = (a_s + b_s \frac{n}{N}) R_a \\ R_a = \frac{12(60)}{\pi} G_{sc} d_r [(\omega_2 - \omega_1) \sin \varphi \sin \delta + \cos \varphi \cos \delta (\sin \omega_2 - \sin \omega_1)] \\ R_{so} = (0.75 + 2 \cdot 10^{-5} z) R_a \end{cases} \quad (12)$$

In the previous equations,  $\alpha$  is albedo or canopy reflection coefficient;  $R_s$  is the incoming solar radiation;  $\sigma$  is the Stefan-Boltzmann constant;  $T_{max,K}$  and  $T_{min,K}$  are, respectively, the maximum and minimum absolute temperatures during the 24 h period;  $R_{so}$  is the clear-sky solar radiation;  $a_s$  and  $b_s$  are regression constants, expressing the fraction of extraterrestrial radiation reaching the earth on overcast days;  $n$  is the actual duration of sunshine;  $N$  is the maximum possible duration of sunshine or daylight hours;  $R_a$  is the extraterrestrial radiation in the hour;  $G_{sc}$  is the solar constant;  $d_r$  represents the inverse relative Earth–Sun distance;  $\omega_1$  and  $\omega_2$ , respectively, are the solar time angle at the beginning and end of the period;  $\varphi$  is the latitude;  $\delta$  is the solar declination;  $z$  is the station elevation above sea level.

Soil heat fluxes can be empirically derived as follows:

$$G_{soil} = c_s \frac{T_i - T_{i-1}}{\Delta t} \Delta z \quad (13)$$

$c_s$  represents the soil heat capacity,  $T_i$  is the air temperature at time  $i$ ,  $T_{i-1}$  is the air temperature at time  $i - 1$ ,  $\Delta t$  is the length of time interval,  $\Delta z$  is the effective soil depth.

### 2.4. Models Evaluation

Modelled AET fluxes are in the following compared to the observed AET fluxes from the EC measurements, for the purpose of model evaluation. The comparison helps to test the ability of the selected models to predict actual evapotranspiration fluxes but, even more importantly, it helps to detect the limitations of each approach for each of the cases study and their relevant properties. A monthly scale fitting analysis has been performed, illustrating the specific findings for the whole period of observation as well as for the wet and dry periods, as later better described. Three goodness-of-fit statistics have been accounted for. The normalized root mean square error (RMSEd) as a measure of error magnitude, the index of agreement (d) as a measure of patterns agreement, and the correlation coefficient (r) as a measure of correlation between modelled and measured variables, are estimated as follows:

$$RMSE(mm) = \left[ \frac{1}{n} \sum_{i=1}^n (AET_{mod,i} - AET_{obs,i})^2 \right]^{\frac{1}{2}} \quad (14)$$

$$RMSEd (-) = \frac{RMSE (mm)}{AET_{obs}} \quad (15)$$



$$d(-) = 1 - \frac{\sum_{i=1}^n |AET_{mod,i} - AET_{obs,i}|}{\sum_{i=1}^n (|AET_{mod,i} - \overline{AET}_{obs,i}| + |AET_{obs,i} - \overline{AET}_{obs,i}|)} \quad (16)$$

$$r(-) = \frac{Cov(AET_{mod}, AET_{obs})}{\sigma(AET_{mod})\sigma(AET_{obs})} \quad (17)$$

where  $n$  represents the length of the monthly sample,  $AET_{mod,i}$  and  $AET_{obs,i}$ , respectively represent the monthly values of the modeled and the observed (i.e., derived from EC fluxes) fluxes,  $\sigma$  is the standard deviation, and  $cov$  the covariance function.

### 3. Modelling Results and Discussion

#### 3.1. Transitioning Between Dry and Wet States: Implication for a Threshold Approach

Monthly patterns of precipitation  $P$  and potential evapotranspiration  $PET_{PM}$  (by Penman model) are illustrated in Figure 2 for the three experimental sites. Monthly precipitation patterns clearly indicate a strong seasonality in the case of us-me2, typical of a Mediterranean climate condition, and a rather uniform precipitation distribution in the cases of de-rur and it-mbo, typical of a temperate oceanic climate condition. Computed on the whole span of available observations, mean annual precipitation and mean annual potential evapotranspiration are approximately 930 mm and 729 mm for the Rollesbroich site, 478 mm and 1247 mm for the Sister site, and 864 mm and 646 for Bondone Mountain. They return, respectively, a Budyko Dryness Index  $I = P/PET_{PM}$  [33] of approximately 1.3, 0.4, and 1.3. The energy-limited scheme is thus applicable to the Rollesbroich and Bondone Mountain sites and the water-limited scheme to the Sister site. A comparison between actual evapotranspiration ( $AET_{ec}$ ) derived by eddy covariance observations and  $PET_{PM}$  potential evapotranspiration illustrates how, for the three experimental sites, periods where actual rates approach potential ones can be detected. These correspond to the wetter period of the year, between the months of November and February. Actual evapotranspiration rates are lower than  $PET$  during the remaining periods, the drier periods, more evidently in the case of the us-me2 site where the gap between actual and potential evapotranspiration processes is significantly different during the water-limited period (Figure 2). The Budyko Dryness Index has been computed at the monthly time scale [33] and illustrated in Figure 2. Even though attention should be paid in interpreting Budyko's  $I$  index on short spatial and temporal scales, as the Budyko's framework assumes that soil water storage and the macro-climate are at steady state, the seasonality of the  $I$  index describes the evapotranspiration intra-annual variability and illustrates a seasonal transition between dry and wet states, that is, between water- and energy-limited conditions [33].

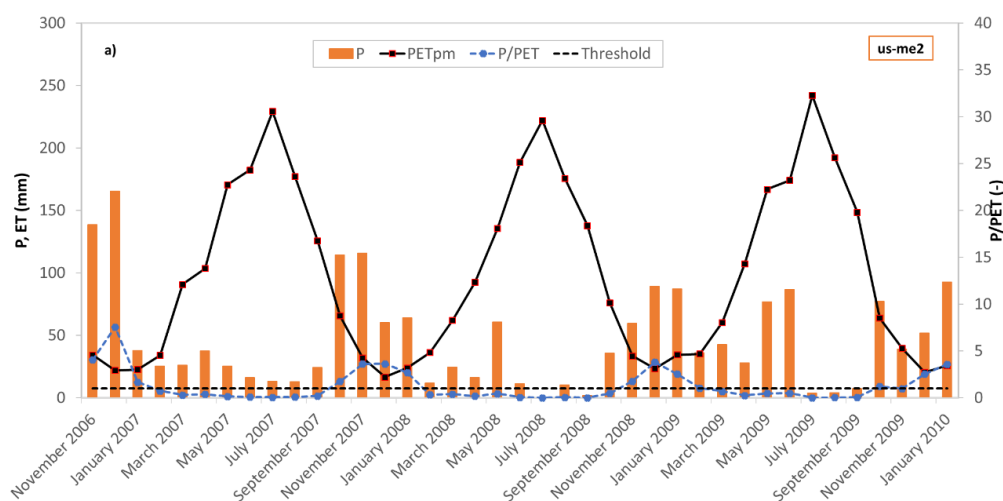
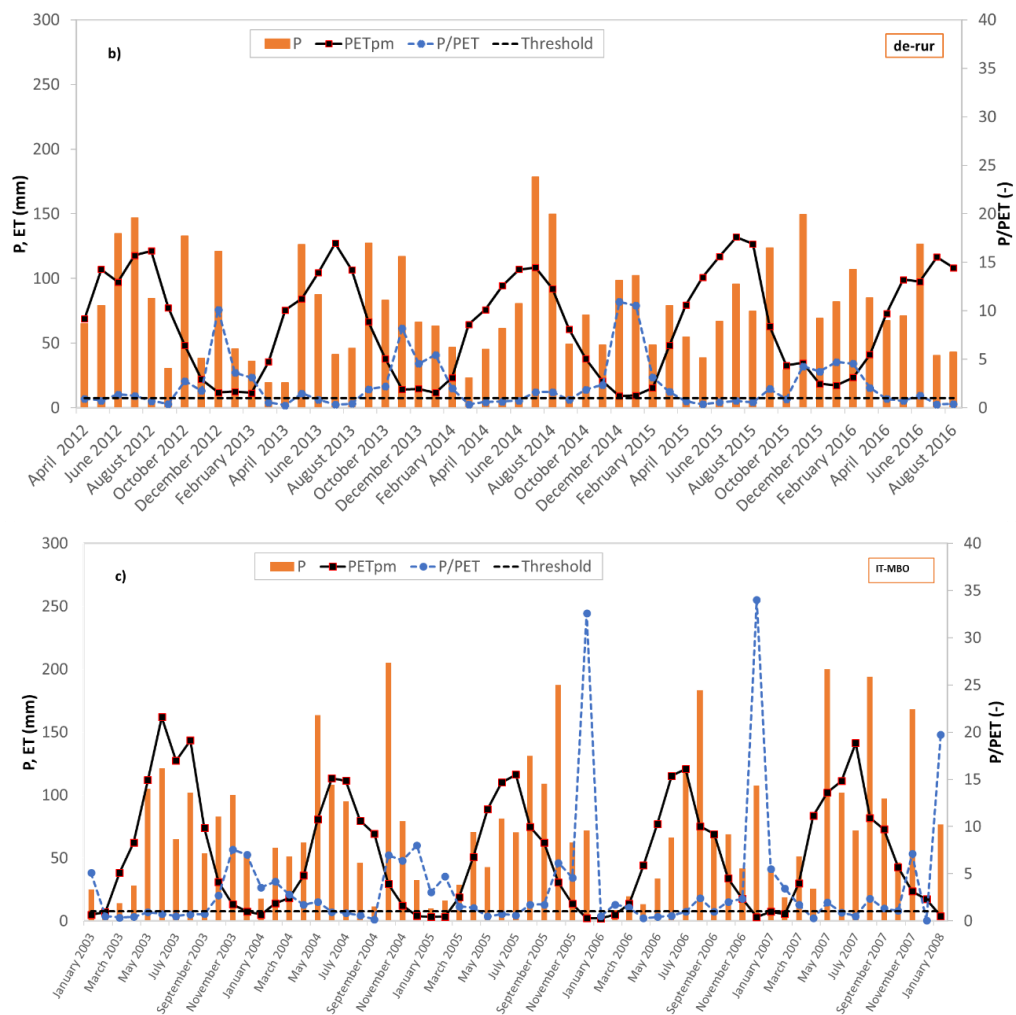
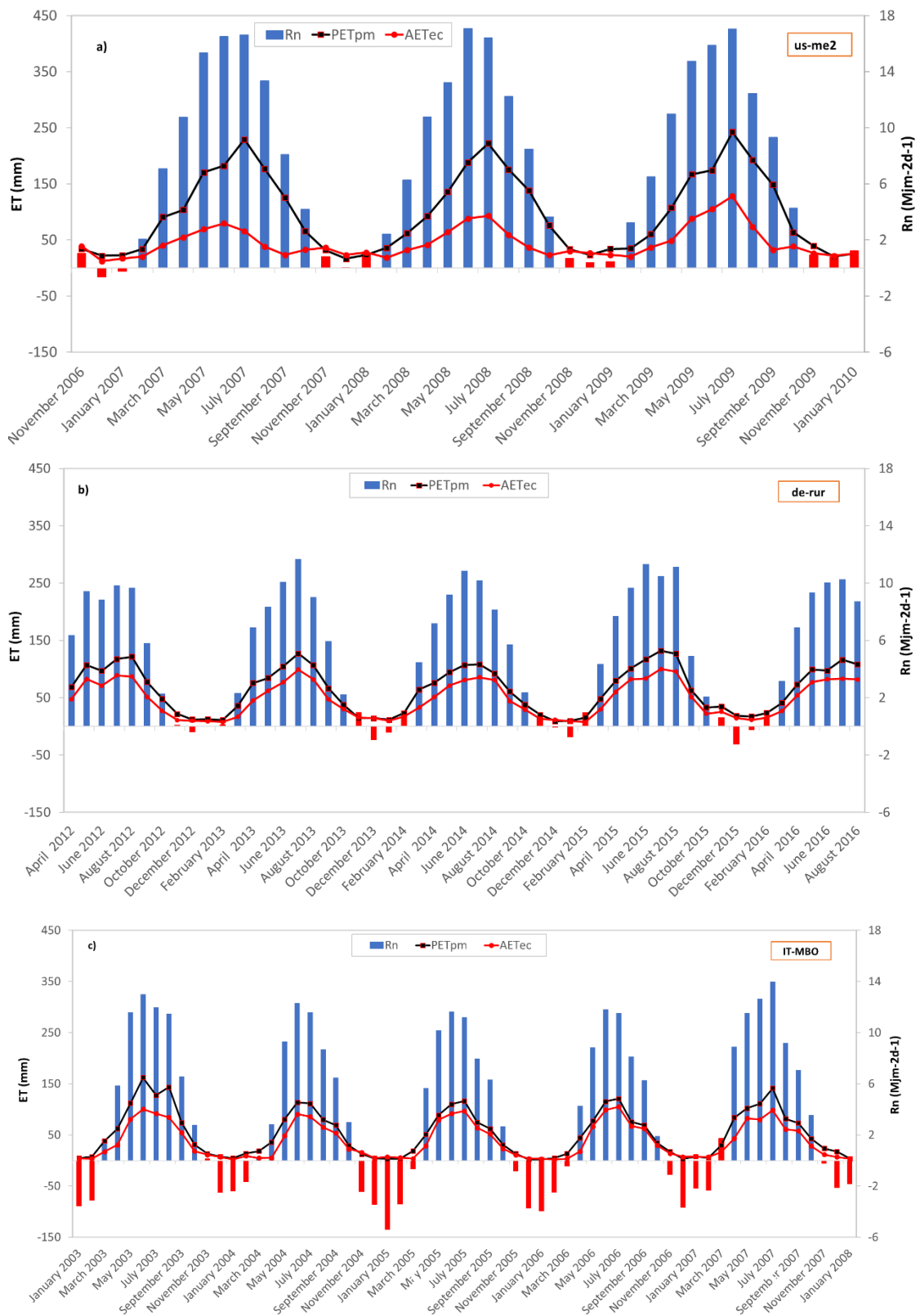


Figure 2. Cont.



**Figure 2.** Monthly rainfall, Penman potential evapotranspiration and Budyko Index  $I = P/PET$  at Sister site (a), Rollesbroich site (b) and Bondone Mountain (c) for the period under investigation. The threshold of  $R_n$  has been set at  $2 \text{ MJ/m}^2\text{d}^{-1}$ .

$I$  is generally smaller than the threshold limit of  $P/PET_{PM} = 1$  from March to September for de-rur, from February to October for us-me2 and from March/April to September for it-mbo. During these periods, the systems are assumed as water-limited and, according to the Budyko framework, actual evapotranspiration rates are controlled by precipitation amounts under these conditions. Extraordinary rainfall events (i.e., not consistent with the average behavior) that occurred in July and August of 2014 at the Rollesbroich meteorological station, are the reason why  $I$  index is larger than 1 within these months. From November to February in the case of de-rur, from November to January in the case of us-me2, and from November to February/March for it-mbo, index  $I$  is instead larger than 1. The transition between dry (water-limited) and wet (energy-limited) dominant states has been found to mainly relate to the seasonal pattern of  $R_n$  (Figure 3). In fact, for the three experimental sites, when  $R_n$  value is below  $2 \text{ MJ/m}^2\text{d}^{-1}$ , actual evapotranspiration, computed at a monthly scale, approaches the potential one.  $R_n$  values below this threshold are represented with red bars in Figure 3 and identify the wet energy-limited states.



**Figure 3.** Monthly actual evapotranspiration, Penman potential evapotranspiration, and net radiation at the Sister site (a), the Rollesbroich site (b), and Bondone mountain (c) for the period under investigation. Red bars indicate net radiation values below the net radiation threshold.

From Figure 3, it appears that the wet state periods have different lengths for the different sites. For us-me2 the wet state periods last three months, compared to four and five months, respectively, for de-rur and it-mbo. The different duration of the periods where the energy limited scheme is applied can be justified by the soil water storage capacity of the specific sites. Indeed, us-me2 has the highest SWS (99.6 mm) which provides a stronger resistance to water vapor flow from the soil surface to the atmosphere. This is the reason why the Sister site, longer appears water-stressed. Congruently the longer energy limited period for the Bondone Mountain site (it-mbo) is explained by the very small soil water storage capacity.

Provided the illustrated findings related to the temporal transition from dry to wet states, a threshold approach is suggested to more realistically mimic the intra-annual actual evapotranspiration, which couples the Penman (PM) formulation, representing AET during the wet periods (when  $R_n < 2 \text{ MJ/m}^2\text{d}^{-1}$ ), and either the AA (namely PM/AA) or the API (namely PM/API) approaches, representing AET during the dry periods. As previously reported, the transition between states, which is from PM to API (or AA) is provided by the net radiation values. If  $R_n$  is the current monthly net radiation and  $R_{nT}$  is the mentioned net radiation threshold ( $2 \text{ MJ/m}^2\text{d}^{-1}$ ), the threshold approach results in the following expression:

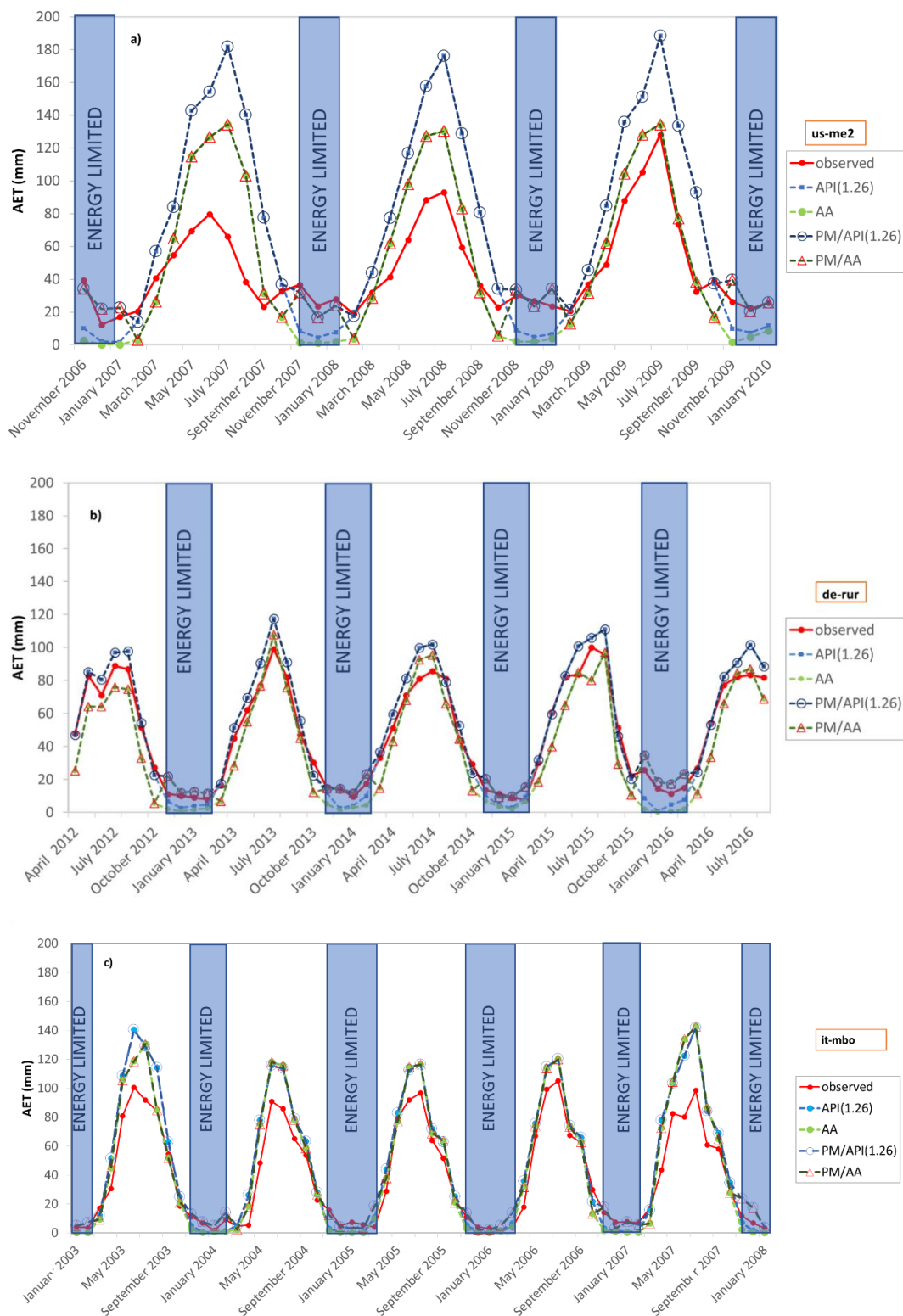
$$\begin{aligned} \text{if } R_n < R_{nT} \rightarrow \rightarrow & \text{AET}_{\text{PM/AA}} = \text{AET}_{\text{PM/API}} = \text{PET}_{\text{PM}} \\ & = \frac{1}{\lambda} \left[ \frac{\Delta}{\Delta + \gamma} (R_n - G_{\text{soil}}) \right] + \left[ \frac{\gamma}{\gamma + \Delta} E_A \right] \\ \text{if } R_n > R_{nT} \rightarrow \rightarrow & \left\{ \begin{array}{l} \text{AET}_{\text{PM/API}} = \text{AET}_{\text{API}} = \frac{1}{\lambda} \alpha_{\text{API}} \left[ \frac{\Delta}{\Delta + \gamma} (R_n - G_{\text{soil}}) \right] \\ \text{or} \\ \text{AET}_{\text{PM/AA}} = \text{AET}_{\text{AA}} = (2 - 1) \frac{1}{\lambda} \left[ \frac{\Delta}{\Delta + \gamma} (R_n - G_{\text{soil}}) \right] - \left[ \frac{\gamma}{\gamma + \Delta} E_A \right] \end{array} \right. \end{aligned} \quad (18)$$

### 3.2. Monthly AET Models Evaluation

According to the methods and findings reported, meteorological data-based methods previously discussed have been applied and compared in this study:

- (i) the AA, or advection-aridity, method as described by Equation (5);
- (ii) the API, or the antecedent precipitation index, method as described by Equation (6);
- (iii) the threshold PM/API and PM/AA models as described by Equation (18);

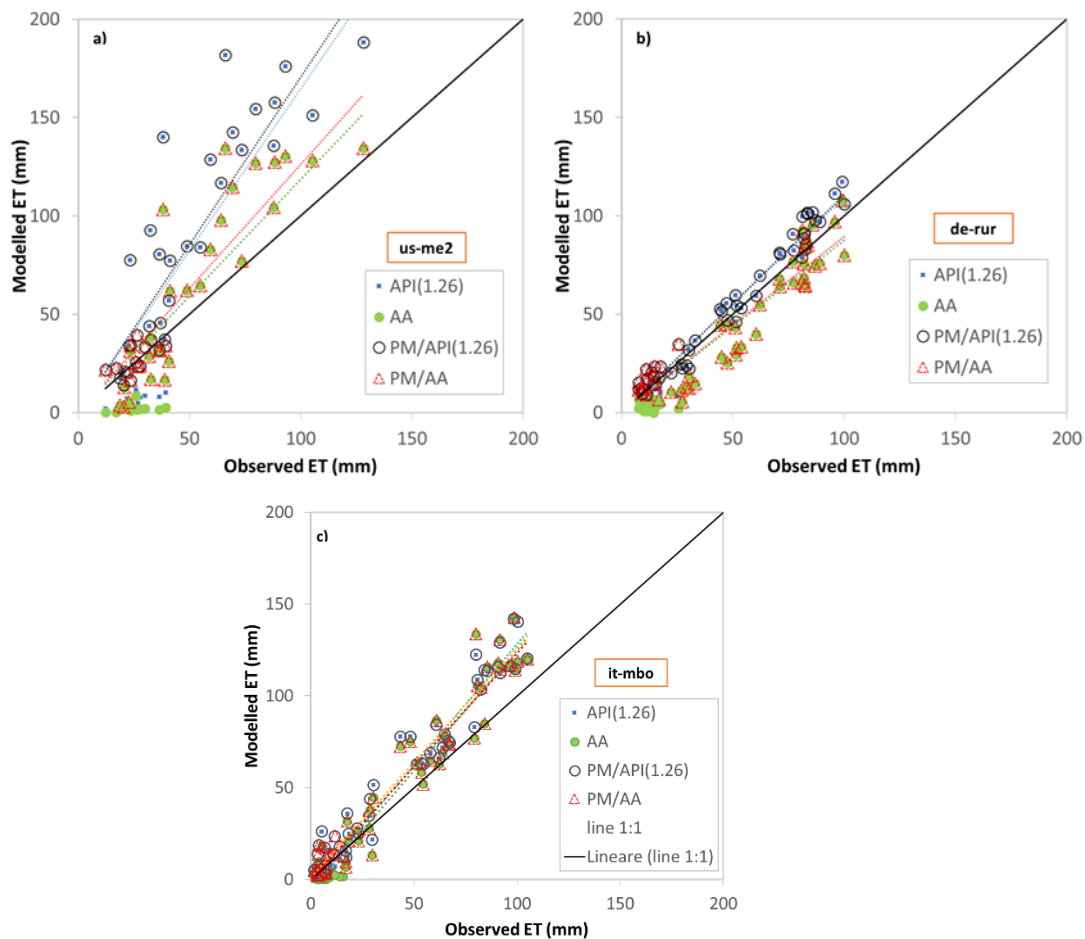
At this stage, a value of the Priestley-Taylor coefficient  $\alpha$  equal to 1.26 has been considered in the model evaluation, and the symbolisms API(1.26) and PM/API(1.26) are used to indicate these circumstances. At a first visual inspection, it is possible to observe how, in the cases of the de-rur and it-mbo sites, there is generally a good correspondence between observed and modelled values. An important discrepancy exists instead in the case of the us-me2 site where the API and AA models tend to overestimate (substantially in the case of the API approach) the observed AET values, especially during the dry states (Figure 4), confirming the difficulties in modelling water-limited systems [35]. In the case of the threshold approaches PM/AA and PM/API(1.26), underestimation during the wet periods appears reduced while large overestimation still persists during the dry periods, especially for the methods accounting for the API approach.



**Figure 4.** Monthly patterns for AET computed by the empirical and threshold approaches at the Sister site (a), the Rollesbroich site (b), and Bondone mountain (c). The energy limited period has been highlighted where the threshold approaches have a larger fitting. (AA = advection-aridity method; API (1.26) = API corrected potential evapotranspiration, with  $\alpha = 1.26$ ; PM/AA = Penman/advection-aridity threshold model; PM/API(1.26) = Penman/API corrected potential evapotranspiration threshold model, with  $\alpha = 1.26$ ).



The scatter plots in Figure 5 depict almost the same behavior. A significant scatter exists in the case of the us-me2 site (Figure 5a), which indicates overall a poor agreement between observations and models and large prediction errors. Underestimated observations during the wet state periods (blue and green solid circles on the horizontal axis) disappear when the threshold approach is applied. The same findings, but with a negligible scatter, are found in the cases of the de-rur and it-mbo sites (Figure 5b).



**Figure 5.** Scatter plots of observed and modelled ET values at the Sister site (a), the Rollesbroich site (b), and Bondone mountain (c). (AA = advection-aridity method; API (1.26) = API corrected potential evapotranspiration, with  $\alpha = 1.26$ ; PM/AA = Penman/advection-aridity threshold model; PM/API(1.26) = Penman/API corrected potential evapotranspiration threshold model, with  $\alpha = 1.26$ ).

An annual actual evapotranspiration quantitative assessment of the three meteorological data-based methods is reported in Table 2. It is confirmed that, compared to the AETec, which is assumed to be the observed variable, a quantitative large overestimation on the long-term is observed in the case of the Sister site while congruent results are found in the cases of the Rollesbroich site and Bondone Mountain.

**Table 2.** Annual actual evapotranspiration losses from the EC flux data (AETec) and from the compared meteorological data-based approach models (AA = advection-aridity method; API (1.26) = API corrected potential evapotranspiration, with  $\alpha = 1.26$ ; PM/AA = Penman/advection-aridity threshold model; PM/API(1.26) = Penman/API corrected potential evapotranspiration threshold model, with  $\alpha = 1.26$ ; PM/API<sub>CAL</sub> = Penman/API corrected potential evapotranspiration calibrated threshold model).

<b>(a) us-me2</b>						
	<b>AETec (mm)</b>	<b>AA (mm)</b>	<b>API(1.26) (mm)</b>	<b>PM/AA (mm)</b>	<b>PM/API(1.26) (mm)</b>	<b>PM/API<sub>CAL</sub> (mm)</b>
Total	562.19	606.75	891.52	681.25	952.69	574.87
<b>(b) de-rur</b>						
Total	536.15	416.34	551.44	472.76	595.33	574.39
<b>(c) it-mbo</b>						
Total	471.22	543.04	574.75	578.04	605.70	488.49

To quantify the model performances in predicting AET water losses, the goodness-of-fit indices evaluation is illustrated in Table 3. Over the whole period of observation, the best performing model results the API approach for de-rur and the AA method for us-me2 and it-mbo. Over the full record of observation, the use of the threshold approach to mimic intra-annual ET variability only improves RMSEd by 15% in the case of the us-me2 site, of about 10% in the case of the de-rur site and close to 8% in the case of it-mbo. The pattern agreement index d and the correlation coefficients r also show a moderate improvement for both experimental sites.

**Table 3.** Goodness-of-fit indices for the four applied models. Errors are computed on monthly AET time series for the whole period of observation (AA = the advection-aridity method; API (1.26) = the API corrected potential evapotranspiration with  $\alpha = 1.26$ ; PM/AA = the Penman/advection-aridity threshold model; PM/API(1.26) = the Penman/API corrected potential evapotranspiration threshold model with  $\alpha = 1.26$ ; PM/API<sub>CAL</sub> = Penman/API corrected potential evapotranspiration calibrated threshold model).

<b>Whole Period of Observation</b>					
	<b>RMSE (mm)</b>	<b>RMSEd (-)</b>	<b>d(-)</b>	<b>r(-)</b>	<b>EQ.</b>
<b>(a) us-me2</b>					
AA	27.04	0.60	0.66	0.90	(5)
API (1.26)	49.02	1.08	0.51	0.85	(6)
PM/AA	23.69	0.52	0.71	0.90	(18)
PM/API(1.26)	44.98	0.99	0.54	0.89	
PM/API <sub>CAL(0.71)</sub>	13.40	0.30	0.78	0.89	(18) + $\alpha_{CAL}$
<b>(b) de-rur</b>					
	<b>RMSE (mm)</b>	<b>RMSEd (-)</b>	<b>d(-)</b>	<b>r(-)</b>	<b>EQ.</b>
AA	12.91	0.27	0.81	0.97	(5)
API (1.26)	8.89	0.19	0.88	0.99	(6)
PM/AA	11.83	0.25	0.83	0.94	(18)
PM/API(1.26)	8.32	0.17	0.89	0.99	
PM/API <sub>CAL(1.22)</sub>	6.64	0.14	0.90	0.99	(18) + $\alpha_{CAL}$
<b>(c) it-mbo</b>					
	<b>RMSE (mm)</b>	<b>RMSEd (-)</b>	<b>d(-)</b>	<b>r(-)</b>	<b>EQ.</b>
AA	16.04	0.41	0.84	0.97	(5)
API (1.26)	16.87	0.44	0.83	0.98	(6)
PM/AA	15.12	0.38	0.84	0.97	(18)
PM/API(1.26)	16.80	0.43	0.83	0.98	
PM/API <sub>CAL(0.99)</sub>	7.53	0.19	0.91	0.98	(18) + $\alpha_{CAL}$

Model prediction capabilities do not appear to significantly improve on the long-term scale. In the case of the Sister site, this is probably due to the short length of the wet period (about three months over the year) for which the threshold approach produces a correction. In the case of the Rollesbroich site and Bondone Mountain, the general agreement showed by the basic AA and API model with observed data might be the cause. Differences in terms of performances are more evident when the goodness-of-fit indices are computed separately for the wet and dry periods (Table 4). During the wet period PM/API and PM/AA actually represent the same model (the PM) and for this reason they are featured by the same errors.

**Table 4.** Goodness-of-fit indices for the four applied models. Errors are computed on monthly AET time series for the wet state period (AA = the advection-aridity method; API (1.26) = the API corrected potential evapotranspiration with  $\alpha = 1.26$ ; PM/AA = the Penman/advection-aridity threshold model; PM/API(1.26) = the Penman/API corrected potential evapotranspiration threshold model with  $\alpha = 1.26$ ; PM/API<sub>CAL</sub> = Penman/API corrected potential evapotranspiration calibrated threshold model).

Wet State Period					
	RMSE (mm)	RMSEd (-)	d(-)	r(-)	EQ.
<b>(a) us-me2</b>					
AA	24.45	0.94	0.84	0.16	(5)
API (1.26)	19.71	0.76	0.87	0.71	(6)
PM/AA = PM/API(1.26) = PM/API <sub>CAL</sub> (0.71)	6.78	0.26	0.96	0.55	(18); (18) + $\alpha_{CAL}$
<b>(b) de-rur</b>					
AA	12.82	0.73	0.38	0.79	(5)
API (1.26)	6.98	0.40	0.66	0.88	(6)
PM/AA = PM/API(1.26) = PM/API <sub>CAL</sub> (1.22)	5.21	0.30	0.67	0.82	(18); (18) + $\alpha_{CAL}$
<b>(c) it-mbo</b>					
AA	6.87	1.02	0.81	0.06	(5)
API (1.26)	6.09	0.91	0.82	0.26	(6)
PM/AA = PM/API(1.26) = PM/API <sub>CAL</sub> (0.99)	5.58	0.83	0.87	0.56	(18); (18) + $\alpha_{CAL}$

Large performance improvements are associated with the threshold model (Equation (18)). In the case of the de-rur site, the normalized RMSEd reduces by about 34%, whereas the agreement index *d* and the correlation coefficient increased, respectively, by about 70% and 3%. In the case of the us-me2 site, improvements are even more evident with normalized RMSEd, which reduced by about four times, as well as with the increase in the correlation coefficient. A moderate improvement is also detected for the pattern agreement index of about 14%. In the case of the Bondone Mountain site, the RMSEd decreases by about 20% while the index of agreement moved from 0.81 to 0.87. A clear improvement is achieved for *r* which increases by more than 100%. The performances of the models are still moderate in the case of the dry state period (Table 5). During this period there is of course no benefit from using the threshold approach (PM/AA and PM/API goodness-of-fit indices in Table 5 are the same of the AA and API respectively). AA still appears the best performing in the case of us-me2 and it-mbo whereas better prediction is achieved with the API approach in the case of the de-rur site.

**Table 5.** Goodness-of-fit indices for the four applied models. Errors are computed on monthly AET time series for the dry state period (AA = the advection-aridity method; API (1.26) = the API corrected potential evapotranspiration with  $\alpha = 1.26$ ; PM/AA = the Penman/advection-aridity threshold model; PM/API(1.26) = the Penman/API corrected potential evapotranspiration threshold model with  $\alpha = 1.26$ ; PM/API<sub>CAL</sub> = Penman/API corrected potential evapotranspiration calibrated threshold model).

Dry State Period					
	RMSE (mm)	RMSEd (-)	d(-)	r(-)	EQ.
<b>(a) us-me2</b>					
AA	28.11	0.52	0.81	0.88	(5)
API (1.26)	53.87	1.00	0.66	0.87	(6)
PM/AA	28.11	0.52	0.81	0.88	(18)
PM/API(1.26)	53.87	1.00	0.66	0.87	(18)
PM/API <sub>CAL</sub> (0.71)	15.46	0.29	0.88	0.86	(18) + $\alpha_{CAL}$
<b>(b) de-rur</b>					
AA	12.99	0.18	0.68	0.91	(5)
API (1.26)	10.20	0.14	0.76	0.96	(6)
PM/AA	12.99	0.18	0.68	0.91	(14)
PM/API(1.26)	10.20	0.14	0.76	0.96	(14)
PM/API <sub>CAL</sub> (1.22)	7.55	0.10	0.81	0.96	(18) + $\alpha_{CAL}$
<b>(c) it-mbo</b>					
AA	19.60	0.34	0.89	0.95	(5)
API (1.26)	20.84	0.36	0.87	0.96	(6)
PM/AA	19.60	0.34	0.89	0.95	(14)
PM/API(1.26)	20.84	0.36	0.87	0.96	(14)
PM/API <sub>CAL</sub> (1.22)	8.49	0.15	0.94	0.96	(18) + $\alpha_{CAL}$

### 3.3. Models Estimates Using Rn and G<sub>soil</sub> from Empirical Formulas

The empirical formulas used in case of lack of measured data to compute the net radiation and soil heat flux, return average errors in the region of 2.80 mm for Rn and 0.32 mm for G<sub>soil</sub>. Overall, the modelled Rn fluxes tend to overestimate the observed ones as clearly deduced from Figure 6. The mechanism of the switch between dry and wet dominant states remains unchanged, with the only difference being that net radiation threshold is estimated to be around 4 MJ/m<sup>2</sup>d<sup>-1</sup>. Rn<sub>T</sub> doubles in value compared to the previous case, probably due to the estimation error committed by the used empirical relationships.

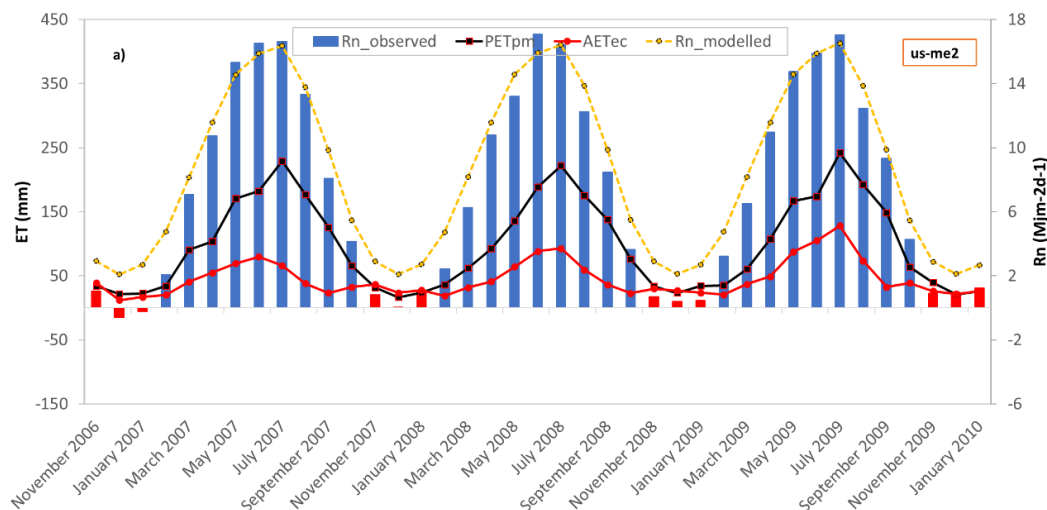
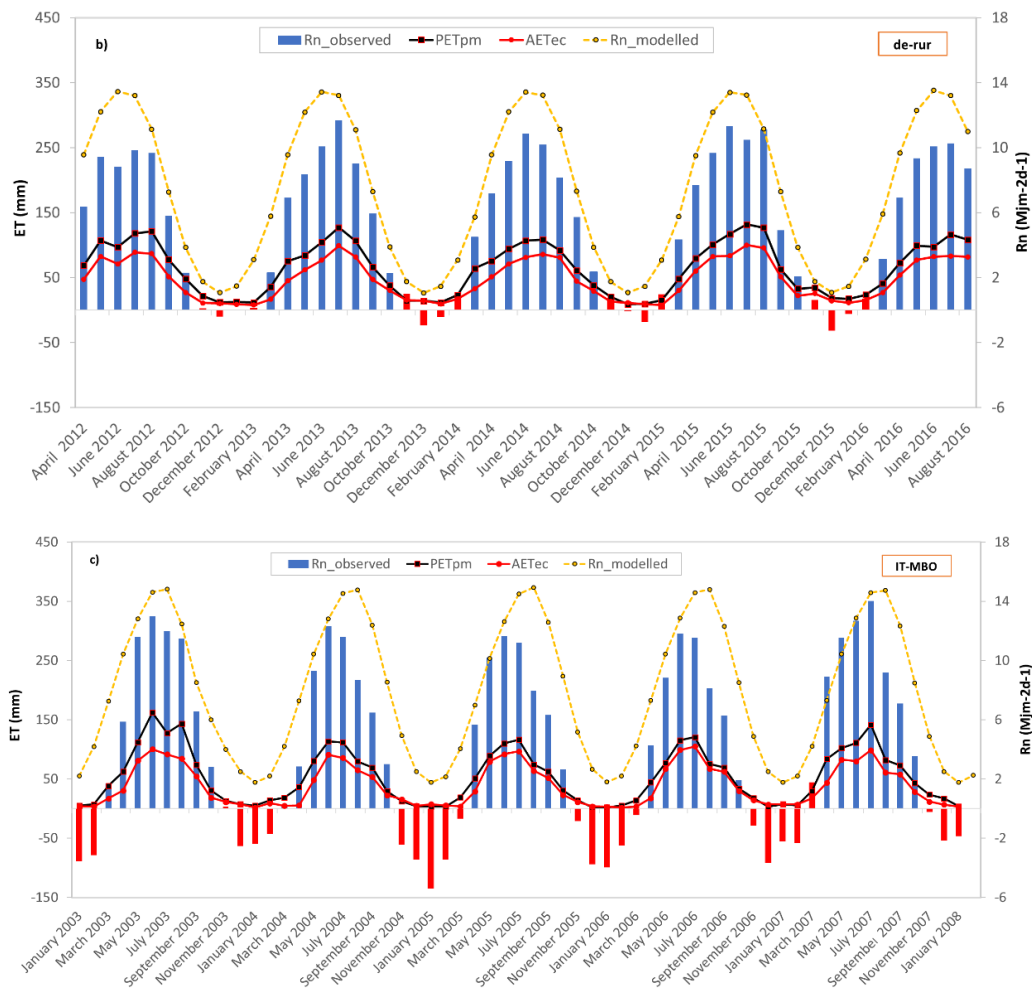


Figure 6. Cont.



**Figure 6.** Monthly actual evapotranspiration, Penman potential evapotranspiration and observed and modelled net radiation at Sister site (a), Rollesbroich site (b) and Bondone mountain (c) for the period under investigation. Red bars indicate net radiation values below the net radiation threshold.

The results of the models evaluation suggest that the AA method is confirmed to be the best-performing approach. Over the full period of observation, the use of a threshold approach improves at most of 7% the ET prediction. During the dry state periods there is no benefit in using the threshold approaches while the benefit is more evident during the wet state periods where the RMSE decreases up to a maximum of 67% (Table 6).

**Table 6.** RMSE (mm) the four applied models using Rn and G derived from empirical relationships. Errors are computed on monthly AET time series for the dry state period (AA = the advection-aridity method; API (1.26) = the API corrected potential evapotranspiration with  $\alpha = 1.26$ ; PM/AA = the Penman/advection-aridity threshold model; PM/API(1.26) = the Penman/API corrected potential evapotranspiration threshold model with  $\alpha = 1.26$ ; PM/API<sub>CAL</sub> = Penman/API corrected potential evapotranspiration calibrated threshold model).

	Whole Period of Observation	Wet State Period	Dry State Period
(a) us-me2			
AA	27.72	19.68	30.63
API (1.26)	49.21	9.92	58.78
PM/AA	25.76	6.78	30.63
PM/API(1.26)	49.05	6.78	58.78



Table 6. Cont.

	Whole Period of Observation	Wet State Period	Dry State Period
<b>(b) de-rur</b>			
AA	21.23	9.14	27.47
API (1.26)	26.70	8.10	35.33
PM/AA	20.78	5.21	27.47
PM/API(1.26)	26.71	5.21	35.33
<b>(c) it-mbo</b>			
AA	38.36	12.36	47.64
API (1.26)	37.31	16.91	45.40
PM/AA	36.41	5.58	47.64
PM/API(1.26)	36.56	5.58	45.40

### 3.4. AET Models Calibration

The threshold approach has significantly improved the monthly scale prediction of evaporative demands during the wet state periods, in particular for the Mediterranean forested Sister site water-limited system. Overestimation still persists during the dry periods of limited water availability. To further improve the prediction during this specific time window and, after all, to further improve the description of the intra-annual variability of ET on the long-term scale, a calibration of the Priestley-Taylor coefficient has been necessary. Many authors in the past have argued about the need for the calibration of the  $\alpha$  coefficient in the formulation of PT in order to improve ET prediction [64,65]. Although the original value of  $\alpha$  proposed by Mawdsley and Ali [22] is 1.26, a moderate range of variability has been reported for such a coefficient in the relevant literature. McNaughton and Black [66] suggested the use of  $\alpha = 1.05$ ; Davies and Allen [67] proposed a coefficient of 1.27, while Morton [68] proposed a coefficient of approximately 1.32 (similar to the 1.31 value proposed by Hobbins et al. [69]). De Bruin and Keijman [70] further argued that the variation of  $\alpha$  lies between 1.15 and 1.42. A wider range of variability, between 1.07 and 2.20, was predicted by Cristea et al. [71]. Fundamentally, no clear tendency in terms of climate and vegetation cover appears from the literature. To follow the intra-annual evapotranspiration dynamic, a monthly scale calibration of the value of the Priestley-Taylor coefficient,  $\alpha$ , is proposed in the current study using the eddy covariance data (AET<sub>ec</sub>) as a constraint. The calibration involved the API method. A calibration of  $\alpha$  from the AA method is not foreseen as besides the Priestley-Taylor coefficient, the AA model is also potentially affected by the calibration of the wind function [72–74]. Furthermore, the calibration only refers to the dry water-limited periods as it is aimed to improve the prediction during this specific span of time. With reference to the API method, assuming that, at the monthly scale  $AET_{API} = AET_{EC}$  (i.e., that the actual evapotranspiration losses computed by the API method as described by Equation (6) during the  $i$ -th month equals the ET losses computed from the observed EC fluxes during the same  $i$ -th month):

$$AET_{API,i} = \frac{1}{\lambda} \cdot \alpha_i \left[ \frac{\Delta}{\Delta + \gamma} (R_n - G_{soil}) \right] = AET_{ec,i} \quad (19)$$

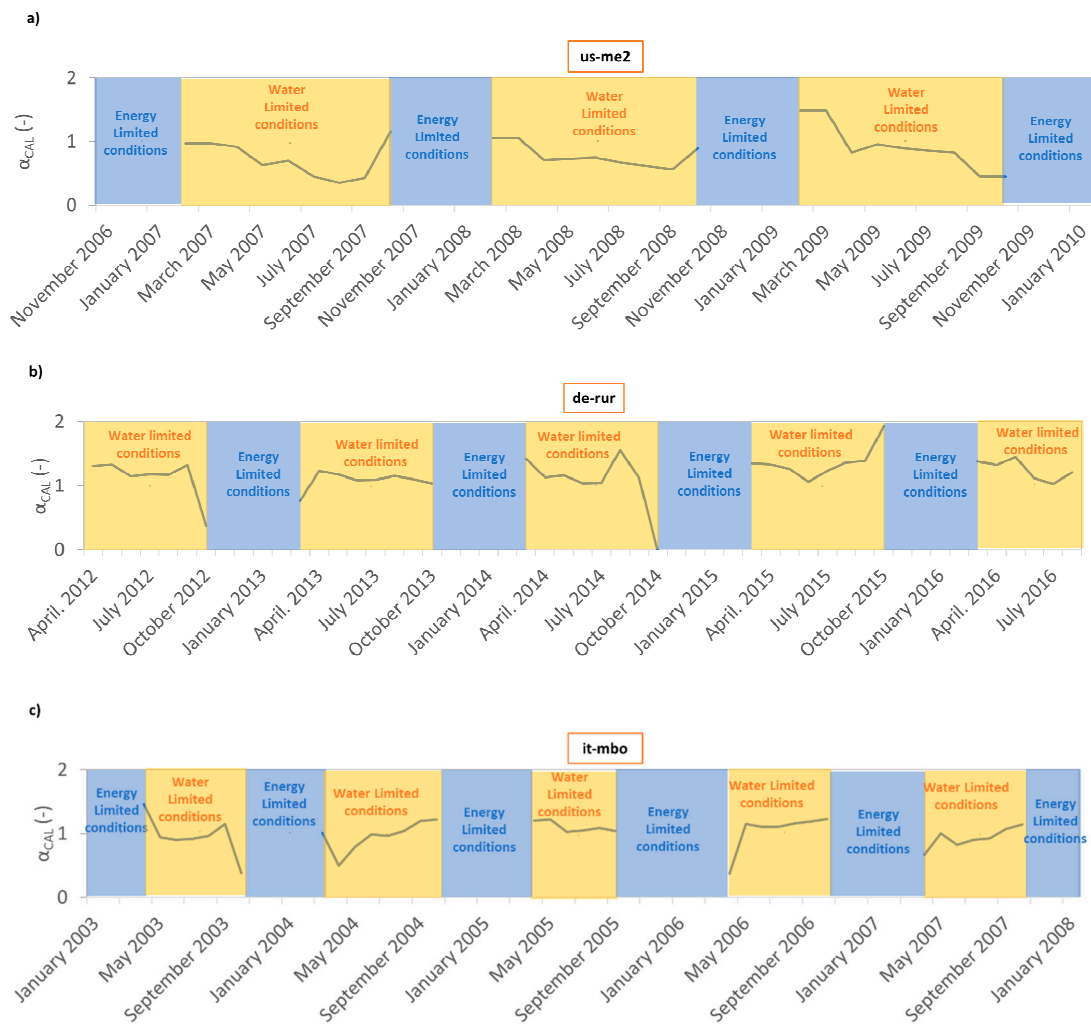
the  $\alpha$  coefficient can be computed, at the monthly scale, reversing Equation (19) to derive

$$\alpha_i = \alpha_{i,CAL} = \lambda \cdot AET_{ec,i} \cdot \frac{\Delta + \gamma}{\Delta} \cdot \frac{1}{R_n - G_{soil}} \quad (20)$$

where the AET<sub>ec</sub> losses are assumed to correspond to the observed eddy correlation values, and “ $i$ ” represents the monthly index.

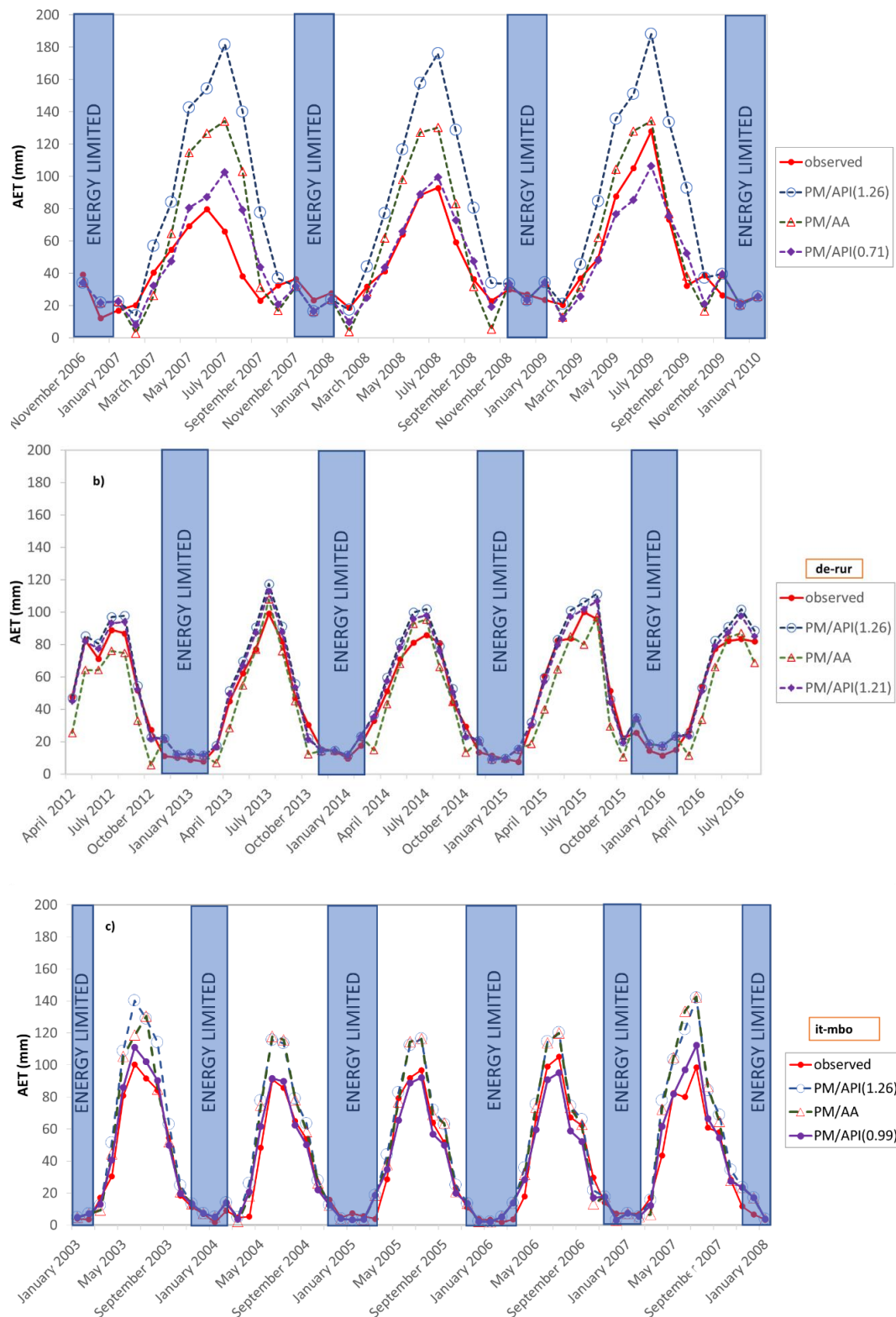
The monthly patterns for the calibrated Priestley-Taylor coefficient during the dry state periods show a relatively stationary value for the  $\alpha_{CAL}$  coefficient for the three experimental sites, a condition that makes the assumption of an average constant parameter rather reliable (Figure 7). An average

value of  $\alpha_{CAL}$  approximatively equal to 1.22 for de-rur, 0.71 for us-me2, and 0.99 for it-mbo has been found. The similarity between the conventional value of  $\alpha = 1.26$  and  $\alpha_{CAL} = 1.22$  for the case of the Rollesbroich site is probably due to the relatively small prediction errors exhibited by the basic model API. In the case of the us-me2 and it-mbo sites, the calibrated  $\alpha$  estimate is consistent with a recent investigation [75], which reports about the calibration of the Priestley-Taylor coefficient under a gradient of climate and land cover conditions.

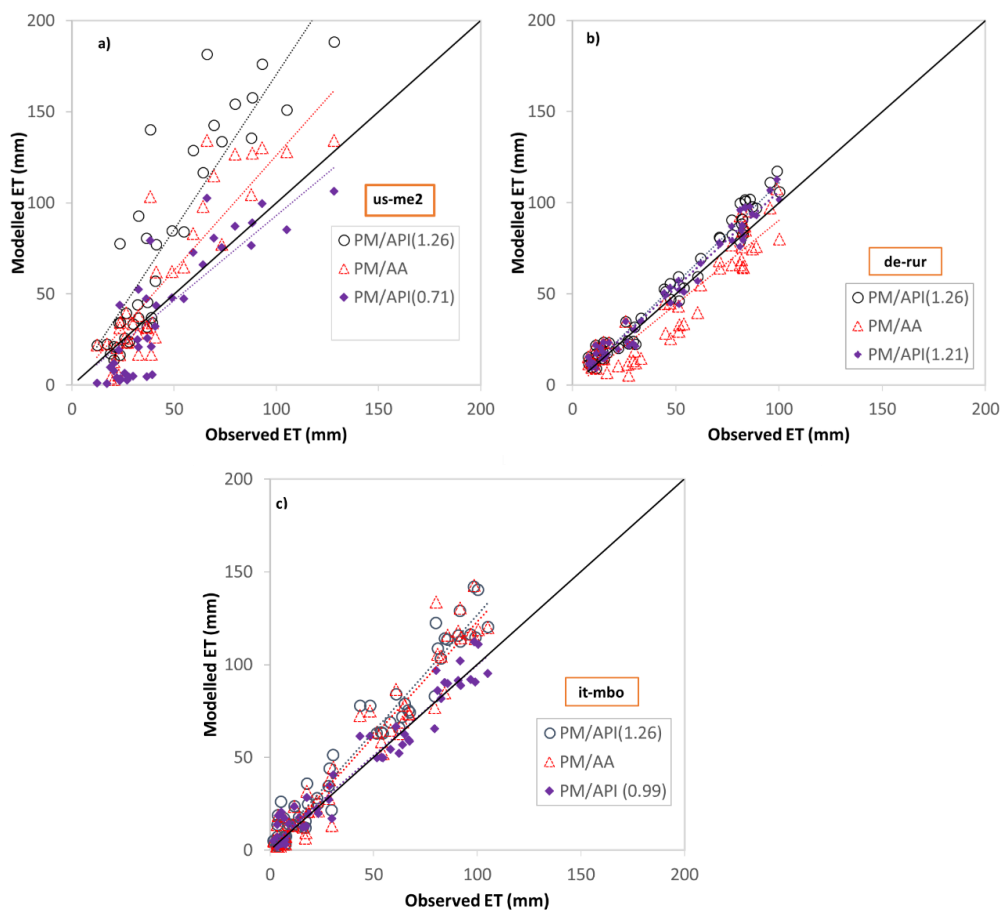


**Figure 7.** Monthly patterns for the calibrated  $\alpha_{CAL}$  coefficient at us-me2 (a), de-rur (b) and it-mbo during water limited periods.

The accuracy of the AET values prediction provided by the calibrated API models, with  $\alpha_{CAL} = 1.22$  for the Rollesbroich site,  $\alpha_{CAL} = 0.71$  for the Sister site, and  $\alpha_{CAL} = 0.71$  for the Bondone Mountain site has been tested and compared to those resulting from the non-calibrated models by using both a visual inspection (Figures 8 and 9) and the quantification of goodness-of-fit indices (Tables 3–5).



**Figure 8.** Monthly patterns for AET computed by the empirical and threshold approaches at Sister site (a), Rollesbroich site (b), and Bondone mountain (c). The energy limited period has been highlighted where the threshold approaches have a larger fitting. (PM/AA = Penman/advection-aridity threshold model; PM/API(1.26) = Penman/API corrected potential evapotranspiration threshold model, with  $\alpha = 1.26$ ; PM/API( $\alpha_{CAL}$ ) = Penman/API corrected potential evapotranspiration calibrated threshold model).



**Figure 9.** Scatter plots of observed and modelled ET values at Sister site (a), Rollesbroich site (b) and Bondone mountain (c). (PM/AA = Penman/advection-aridity threshold model; PM/API(1.26) = Penman/API corrected potential evapotranspiration threshold model, with  $\alpha = 1.26$ ; PM/API( $\alpha_{CAL}$ ) = Penman/API corrected potential evapotranspiration calibrated threshold model).

Clearly because of the calibration, as evident from the patterns illustrated in Figure 8 and the quantification provided in Table 2, monthly actual evapotranspiration losses provided by the PM/API<sub>CAL</sub> approach appear to be more consistent with the EC measurements. From the scatter plots illustrated in Figure 9, it is also evident how the large scatter that characterizes the prediction for the Sister sites by the non-calibrated approaches (Figure 5) is removed from the calibration of  $\alpha$ . These circumstances are also supported by the goodness-of-fit assessment. Both for the whole period of observation and the dry state periods, the calibrated threshold approach (PM/API<sub>CAL</sub>), represents a significant improvement compared to the remaining evaluated approaches (Tables 3 and 5). Error magnitudes are reduced by about 80% for the Sister site, about 30% in the case of the Rollesbroich site, and 60% for Bondone Mountain.

#### 4. Conclusions

The aim of this study was to propose a meteorological data-based monthly scale model able to predict the intra-annual variability of actual evapotranspiration losses, marking the temporal transition between dry and wet dominant states which would drive the transition from one model to another for AET assessment. The research has been carried out with the support of AET flux observations over multi-year periods for three EC stations located in Rollesbroich (Germany), Sister (Oregon), and Trento (Italy). The literature review suggested that there is not a single approach able to outperform other modeling methods for a particular biome and, without claiming to find very general rules for specific biomes for the ET assessment, the current study explain how, in some circumstances, switching

between AET models for a single site in different seasons might help improve evapotranspiration losses assessment. The transition from dry to wet state conditions occurs for a threshold value of net radiation of  $2 \text{ MJ/m}^2\text{d}^{-1}$ . The ability of basic AA and API approaches to model intra-annual evapotranspiration has been evaluated and compared to the performances of a proposed threshold approach, which couples PET and basic AET relationships. Large performance improvements are associated with the threshold model in the case of the wet states period assessment. The RMSEd reduction was about 34% in the case of de-rur site, about 20% for Bondone mountain, and for the Sister site, the normalized RMSE reduced about four times. The large overestimation that still persists during the dry state periods has been improved by a calibration of  $\alpha$ -coefficient. In this case, for both the whole period of observation and the dry periods, the calibrated threshold approach (PM/API<sub>CAL</sub>), returns promising results. Error magnitudes are reduced by about 80% for the Sister site, about 30% in the case of the Rollesbroich site, and about 60% for Bondone Mountain. Poor improvement was detected for the whole period of observation by the threshold approach in the absence of the  $\alpha$ -coefficient calibration. In the case of the Rollesbroich site and Bondone Mountain site, it might be seen in the general agreement showed by the basic AA and API models with observed data. The implementation of the threshold approach requires the use of net radiation and soil heat fluxes as input parameters. If measured data of  $R_n$  and  $G_{\text{soil}}$  are not available at the experimental site, they can be estimated using empirical formulas. The use of empirical formulas to predict these variables affects neither the switch mechanism nor the detection of the wet and dry state periods, it only impacts the value of the net radiation threshold. Indeed,  $R_{nT}$  increases from about 2 to  $4 \text{ MJ/m}^2\text{d}^{-1}$ , probably due to the overestimation produced by the empirical relationships. However, an improvement in the assessment of  $R_n$  from climate data would be important to propose an approach fully based on climate data. An extension of this analysis to more experimental sites, featured by different climate characteristics and land covers, would help foster and strengthen the relevant findings, but is very unlikely to find general rules. Potentially, equitant systems, those systems that straddle the energy–water limited area and for which the switching between the two states is more marked, could more evidently benefit from the proposed findings.

**Author Contributions:** Conceptualization, A.L.; Methodology, A.L., M.S.; Validation, M.M.; Formal analysis, M.M.; investigation, A.L. and M.M.; Data curation, M.S.; Writing—original draft preparation, A.L. and M.M.; Writing—review and editing, A.L., M.M., M.S.; Supervision, A.L. and M.S. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research received no external funding.

**Acknowledgments:** This work used eddy covariance data acquired and shared by the FLUXNET community, including these networks: AmeriFlux, AfriFlux, AsiaFlux, CarboAfrica, CarboEuropeIP, CarboItaly, CarboMont, ChinaFlux, Fluxnet-Canada, GreenGrass, ICOS, KoFlux, LBA, NECC, OzFlux-TERN, TCOS-Siberia, and USCCC. The ERA-Interim reanalysis data are provided by ECMWF and processed by LSCE. The FLUXNET eddy covariance data processing and harmonization was carried out by the European Fluxes Database Cluster, AmeriFlux Management Project, and Fluxdata project of FLUXNET, with the support of CDIAC and ICOS Ecosystem Thematic Center, and the OzFlux, ChinaFlux and AsiaFlux offices. The authors also gratefully acknowledge the support of TERENO, funded by the Helmholtz Association, and the SFB-TR32 “Pattern in Soil–Vegetation–Atmosphere Systems: Monitoring, Modeling and Data Assimilation”, funded by the Deutsche Forschungsgemeinschaft (DFG). The Metolius AmeriFlux research was supported by the Office of Science (BER), U.S. Department of Energy, Grant No. DE-FG02-06ER64318. The research was supported by the U.S. Department of Energy’s Office of Science (Grant No. DE-FG02-06ER64318 and DE-AC02-05CH11231 for the AmeriFlux core site) and the US Department of Energy (Grant DE-SC0012194) and Agriculture and Food Research Initiative of the US Department of Agriculture National Institute of Food and Agriculture (Grants 2013-67003-20652, 2014-67003-22065, and 2014-35100-22066) for our North American Carbon Program studies, “Carbon cycle dynamics within Oregon’s urban-suburban-forested-agricultural landscapes”.

**Conflicts of Interest:** The authors declare no conflict of interest.

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