



## Article

# Effects of Relative Density and Grading on the Particle Breakage and Fractal Dimension of Granular Materials

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**Abstract:** Particle breakage was reported to have great influence on the mechanical property of granular materials. However, limited studies were conducted to quantify the detailed effects of relative density and initial grading on the particle breakage behaviour of granular materials under different confining pressures. In this study, a series of monotonic drained triaxial tests were performed on isotropically consolidated granular materials with four different initial gradings and relative densities. It is observed that particle breakage increases as the confining pressure or relative density increases, whereas it decreases with the increasing coefficient of uniformity. Due to particle breakage, the grading curves of granular materials after triaxial tests can be simulated by a power-law function with fractal dimension. As the confining pressure increases, the fractal dimension approaches the limit of granular materials, i.e., 2.6. A unique normalized relation between the particle breakage extent and confining pressure by considering relative density and grading index was found.

**Keywords:** granular materials; fractal dimension; particle breakage; relative density; grading curve



**Citation:** Yang, G.; Chen, Z.; Sun, Y.; Jiang, Y. Effects of Relative Density and Grading on the Particle Breakage and Fractal Dimension of Granular Materials. *Fractal Fract.* **2022**, *6*, 347. <https://doi.org/10.3390/fractalfract6070347>

Academic Editor: Norbert Herencsar

Received: 28 March 2022

Accepted: 20 June 2022

Published: 22 June 2022

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## 1. Introduction

Owing to the convenience of lower procurement cost, good compaction ability and high shear strength, granular materials have been widely used in hydraulic engineering, civil engineering and transport engineering, among others [1,2].

Due to the development of the rolling equipment technology, various types and grades of granular materials have been used for engineering construction, where the compactness of granular materials has been significantly improved, which effectively reduced the deformation of engineering facilities, e.g., a rockfill dam [3]. In a rockfill dam, granular materials often account for 60–90% of the total filling volume. Therefore, the strength and deformation of rockfill has an important impact on the stability and deformation analysis. However, upon external loading, significant particle breakage of granular materials can occur, which would have an important impact on the stability and deformation of the engineering facilities during both construction and operating periods. In particular, the grading curve changed evidently before and after loading [4]. Such degradation behaviour of granular materials was found to depend not only on stress history [5,6], but also on grain size distribution curve [7,8], parent rock type, particle size [9,10], particle shape [11,12] and relative density [13,14]. For example, particle shape has a significant influence on the particle breakage, which increases with the increasing in shape index sphericity, aspect ratio, convexity and overall regularity [12]. The critical state parameters ( $M$ ,  $\phi_{cs}$ ,  $e_{\Gamma}$ , and  $\lambda_c$ ) decrease with increasing aspect ratio, sphericity and convexity [8].

The relative density and grading curve are important control indicators at engineering site, e.g., the relative density of sand should be at least 0.70 [15]. It was also found to have a great influence on the shear strength and deformation of granular materials. The greater the relative density, the greater the initial elastic modulus and peak friction angle and

the smaller the volume strain [16,17]. However, previous studies mainly focused on the effect of strength and deformation of granular materials [18,19]. The fundamental physical properties that trigger particle breakage were not investigated in depth. For example, at large relative density, the stress–strain relationship usually exhibited strain softening. The stress–strain curve exhibited strain hardening with the decrease of relative density. The effect of initial void ratio on the stress–strain relationship decreases with increasing confining pressure [20,21]. With the increase in confining pressure, the difference of initial void decreases and reaches essentially the same value [20]. The residual strength of rockfill at different relative density was observed to be the same [22]. The critical state parameters ( $M$  and  $\lambda_c$ ) are less affected by relative density and particle grading [7,13]. However, the critical state parameters ( $e_T$ ) decrease with increasing relative density. The relative breakage index decreases with increasing relative density [14]. Extensive research has also been carried out on the impact of granular materials particle gradation [23–25]. For example, model parameters varied linearly with the coefficient of uniformity. The larger the coefficient of uniformity, the smaller the peak intensity and the larger volumetric strain.

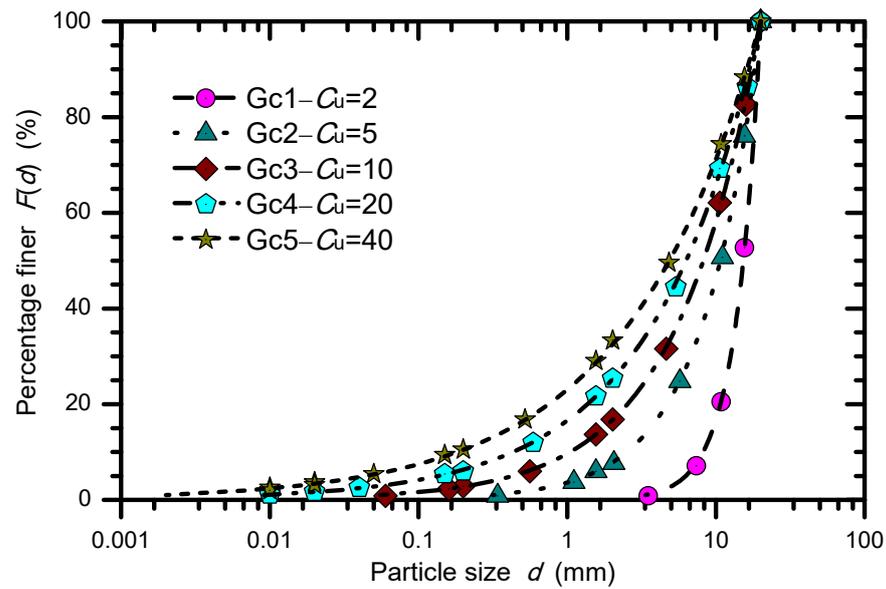
In this study, a comprehensive study on the effect of relative density and initial grading on the particle breakage behavior of granular materials will be carried out, by using drained triaxial tests. An attempt is also made to propose a unique normalized relation between the particle breakage extent, applied pressure, relative density and grading index. The research results play an important role in understanding and mastering the gradation change law and strength characteristics of granular materials before and after loading, and provide an important reference for the stable design parameters of the structure.

## 2. Laboratory Tests

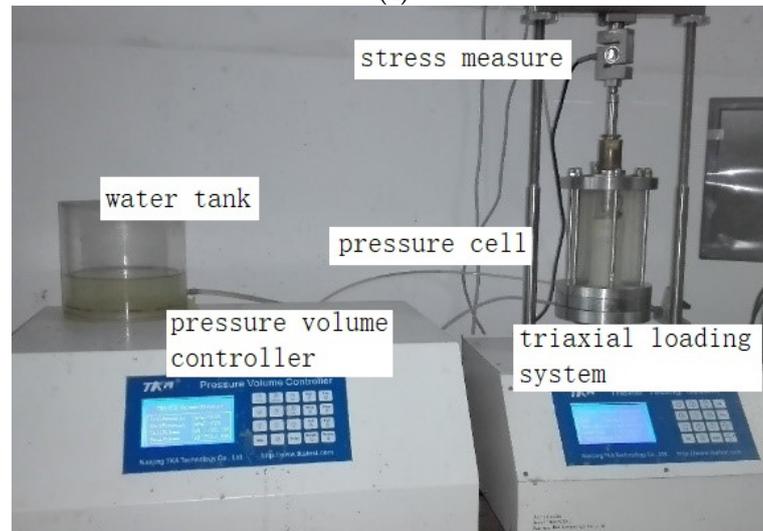
The granular materials, as shown in Figure 1, were collected from a quarry near Nanjing, China. Aggregates were derived from the parent of sandstone rock. The grain size distribution of the material and triaxial apparatus are shown in Figure 2. The dry density, coefficient of uniformity ( $C_u$ ) and curvature coefficient ( $C_c$ ) are listed in Table 1.



Figure 1. Test materials.



(a)



(b)

**Figure 2.** (a) Grading curve; (b) Triaxial apparatus. Grain size distribution and triaxial apparatus for tested granular materials.

**Table 1.** The basic characteristics of grading curves.

	Gc1	Gc2	Gc3	Gc4	Gc5
Coefficient of uniformity	2	5	10	20	40
Curvature coefficient	1.17	1.44	1.68	1.97	2.30
Maximum dry density (g/cm <sup>3</sup> )	1.71	1.78	1.94	2.12	2.23
Minimum dry density (g/cm <sup>3</sup> )	1.47	1.57	1.68	1.78	1.79

The experimental program comprised a consolidated drained triaxial shear test with an initial sample size of a 100 mm diameter and a 200 mm height. The specimen preparation and loading process were carried out step by step with reference to the specification of soil test (SL237-1999) [26]. Aggregates were weighed separately and mixed together before being split into five equal portions. Each portion was then compacted inside a split cylindrical mould. The monotonic shearing rate of displacement was determined

to be 0.6 mm/min. Before shearing, the sample was saturated by allowing water to pass through the base of the triaxial cell under a back pressure of 50 kPa until Skempton's B-value exceeded 0.95. In order to analyse the particle breakage, four different relative densities (i.e.,  $R_d = 0.6, 0.7, 0.8$  and  $0.9$  of grading curve Gc3) and five kinds of grading curves (i.e., Gc1, Gc2, Gc3, Gc4 and Gc5 in  $R_d = 0.9$ ) were selected under four values of confining pressures (i.e.,  $\sigma_3 = 0.2, 0.4, 0.6$  and  $0.8$  MPa). A load cell and pore-pressure sensor were used to measure the deviator load and drainage volume, respectively, through the electronic display system. All the tests were conducted up to a maximum axial strain of 25%.

### 3. Analysis of Test Results

#### 3.1. Particle Breakage under Different Relative Density

The particle size distribution of natural granular materials in this study can be described by using the Talbot grading curve [27]. Blasting granular materials can meet the Talbot grading curve by adjusting the blasting parameters, and subsequently it will represent fractal characteristics and be easier to achieve the maximum dry density. The proportion of particle mass can be calculated by using the following formula:

$$\frac{W(\delta > d)}{W_0} = 1 - F(d) \quad (1)$$

$$F(d) = \left( \frac{d}{d_M} \right)^{3-D} \quad (2)$$

where  $d$  is the diameter of the particle,  $W(\delta > d)$  is the mass of particle with diameter larger than  $d$ ,  $W_0$  is the total mass of granular materials,  $d_M$  is the particle maximum diameter,  $F(d)$  is the mass ratio of granular materials with diameter less than  $d$  and  $D$  is the fractal dimension.

The stress–strain behaviour of granular materials tested at different  $R_d$  of 0.6, 0.7, 0.8 and 0.9 is shown in Figure 3, respectively. The peak shear stress increases (such as 2.42, 2.43, 2.53 and 2.78 MPa) with the increase in  $R_d$ , for a given effective confining pressure ( $\sigma_3 = 0.6$  MPa). However, the residual shear stresses remain approximately the same for the different  $R_d$  for a given confining pressure. The stress–strain behaviour of granular materials transforms from a strain hardening type to a strain softening type for all the tested specimens. As the shear strain further increases, the residual shear stresses corresponding to the rockfill with different  $R_d$  become stable. All the granular materials tested in this study exhibit characteristics similar to those observed by Lade [28] for sands. This can be attributed to the observation that the greater the relative density, the greater the interlocking between the particles; and the greater the loading, the greater was the peak strength of the specimen under the same confining pressure. As the load increased, particle breakage occurred, the interlocking force between particles would decrease and the shear strength would decrease.

Furthermore, a general compression followed by the dilatancy can be observed in all granular materials tested at low confining pressures. Granular materials gradually became more compressive with the increasing confining pressure. The larger the relative density was, the dilatancy became much more pronounced. The volumetric strain became stable as the strain increased, whereas it decreased as the relative density increased. This can be attributed to the enhanced sliding rather than rotation between aggregates when the compressive pressure increases.

At the monotonic loading test, the grading curve of granular materials changed due to the occurrence of particle breakage. Thus, the fractal dimensions of each grading curve changed after each test. Figure 4 shows the fractal dimension obtained under different confining pressures after monotonic loading. There is a good linear relationship between  $\ln F(d)$  and  $\ln(d/d_M)$  after loading under different confining pressures. The values of  $R^2$  are larger than 0.97, and the Root mean squared Error (Re) is smaller than 0.23. The fractal

dimension increases with confining pressure during of particle breakage. For example, the fractal dimension increases from 2.33 ( $\sigma_3 = 0.2$  MPa) to 2.37 ( $\sigma_3 = 0.8$  MPa) when relative density  $Rd = 0.6$ . However, relative density has little influences on the fractal dimension, especially under higher relative density. For example, the fractal dimensions are almost the same for  $Rd = 0.8$  and  $0.9$ .

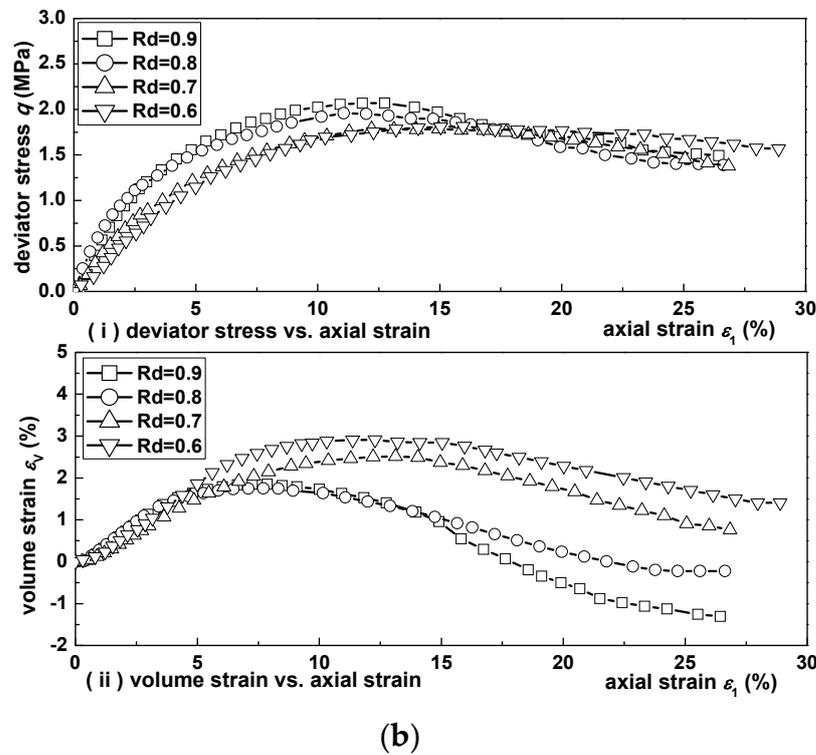
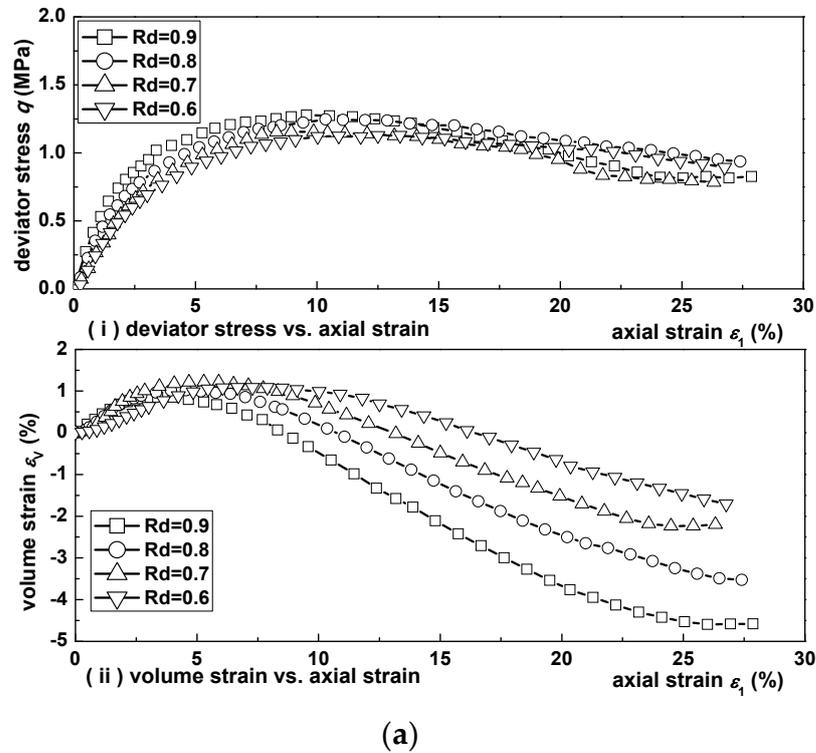
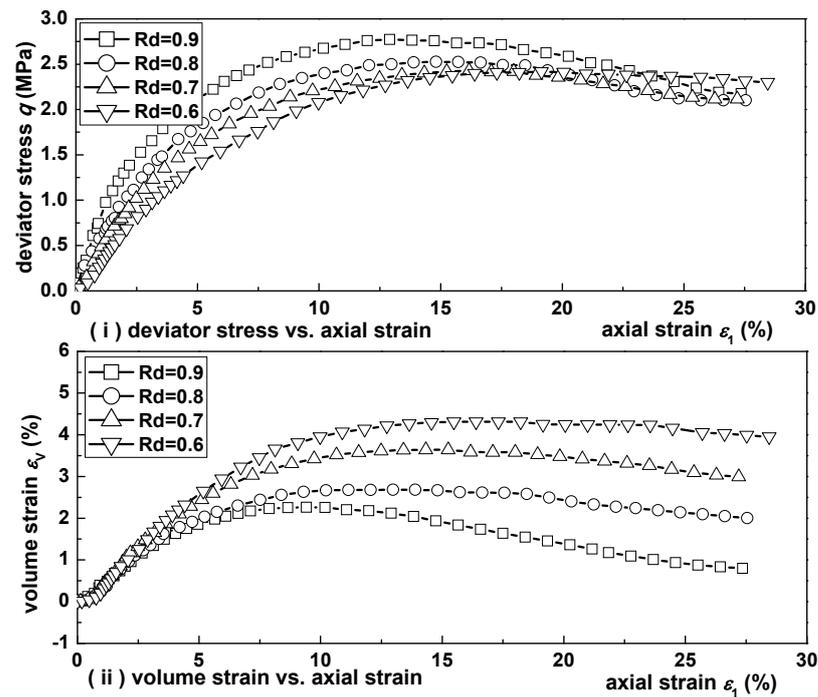
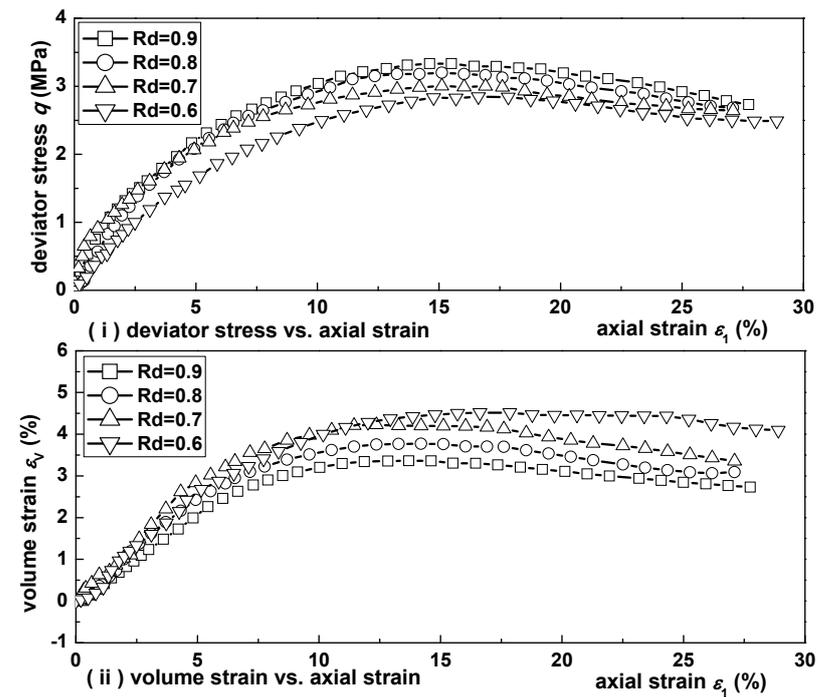


Figure 3. Cont.

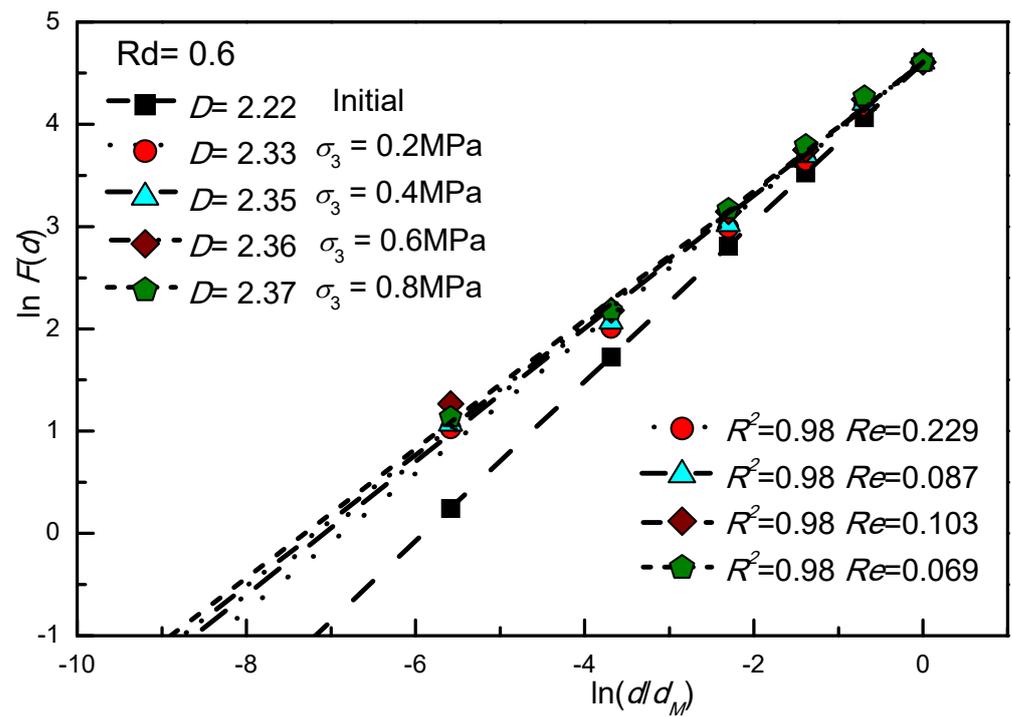


(c)

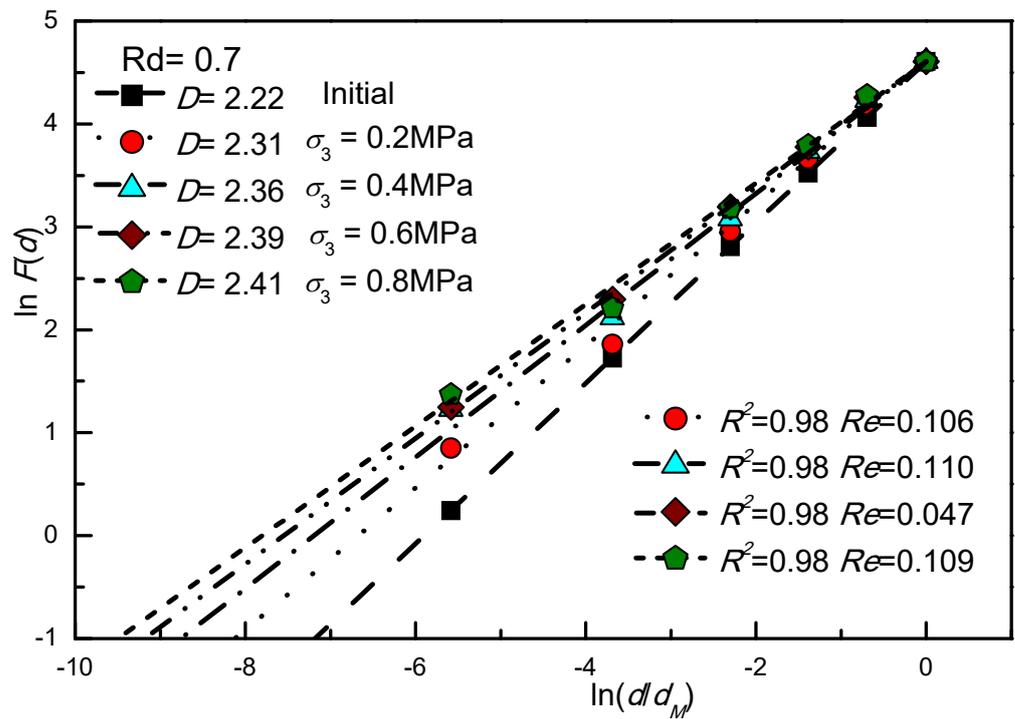


(d)

**Figure 3.** (a) confining pressure of 0.2 MPa; (b) confining pressure of 0.4 MPa; (c) confining pressure of 0.6 MPa; (d) confining pressure of 0.8 MPa. Stress–strain behaviour of granular materials under different confining pressures.

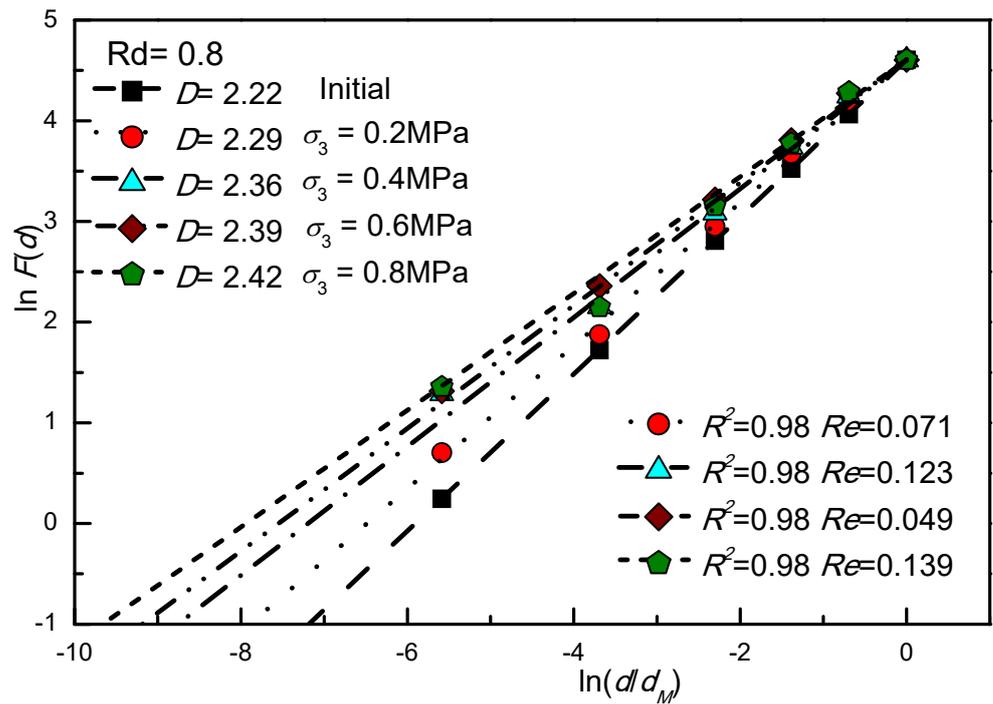


(a)

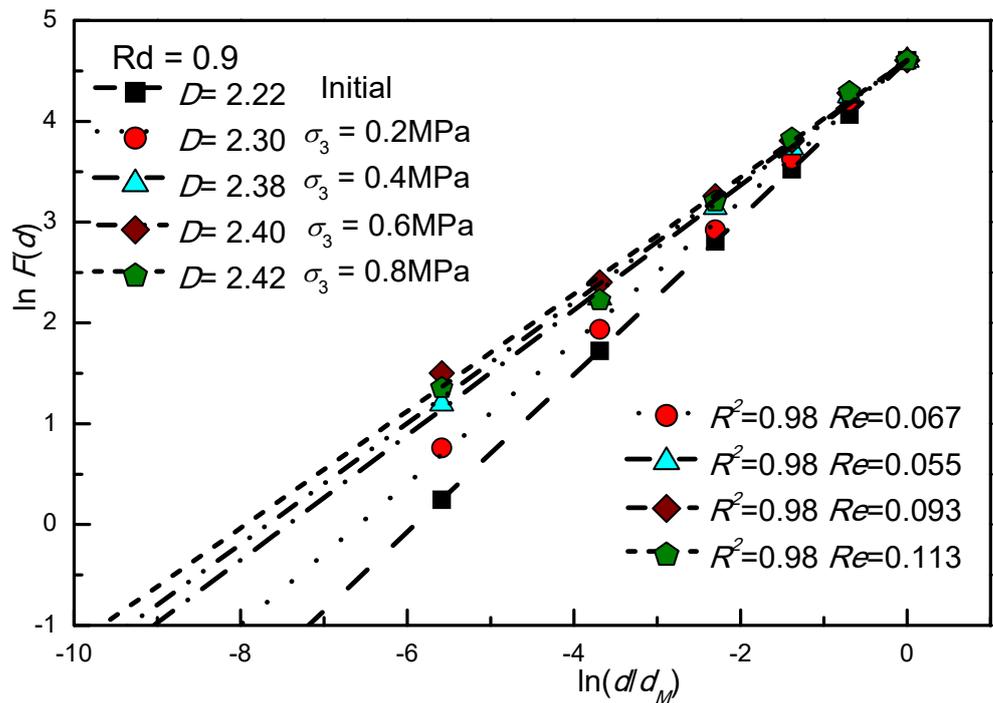


(b)

Figure 4. Cont.



(c)



(d)

Figure 4. (a) Rd = 0.6; (b) Rd = 0.7; (c) Rd = 0.8; (d) Rd = 0.9. Grading curves of different relative densities.

The extent of particle breakage during monotonic drained shearing is further assessed. In this study, the breakage ratio  $B_g$  presented by Marsal [29] is used for analysis, which can be expressed as the following:

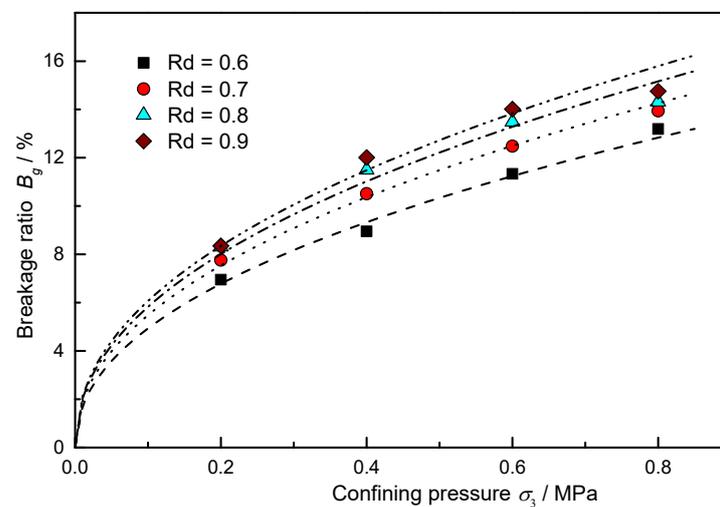
$$B_g = \sum |P_{test} - P_{ini}| \quad (3)$$

where  $P_{test}$  is percentage by mass of particles after the test, and  $P_{ini}$  is percentage by mass of particles before the test.

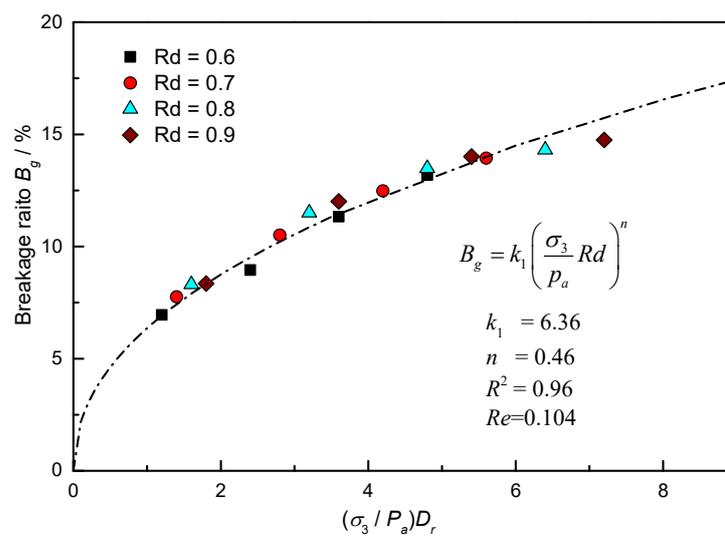
The evolution of breakage ratio at different confining pressures is shown in Figure 5. According to the results, breakage ratio increases with an increase in confining pressure. The relationship between breakage ratio and confining pressure can be simulated by using a power function. The larger the relative density is, the greater the interlocking force between the particles is. Moreover, at the same axial strain level, the larger the shear stress is, the larger the particle breakage ratio is. With the increase of the relative density, breakage ratio increases. A power function is found to be able to fit well the relationship between breakage ratio, relative density and confining pressure, such that:

$$B_g = k_1 \left( \frac{\sigma_3}{p_a} Rd \right)^n \quad (4)$$

where  $k_1$  and  $n$  are model parameters, determined to be 6.36 and 0.46, respectively;  $p_a$  (= 101 kPa) is the atmospheric pressure.



(a)



(b)

**Figure 5.** (a) Breakage ratio vs. confining pressure; (b) Breakage ratio vs. normalized confining pressure. Particle breakage of different relative densities.

3.2. Particle Breakage under Different Grading Curve

Figure 6 shows the fractal dimension under different confining pressures after monotonic loading. There is also a good linear relationship between  $\ln F(d)$  and  $\ln(d/d_M)$  after loading at different confining pressures. Compared with those under different relative densities, the initial fractal dimension has great influences on the final fractal dimensions obtained under different confining pressures, as shown in Figure 7. It can also be observed that under low confining pressures, granular materials can undergo significant particle breakage. The smaller the fractal dimension is, the more significant the particle breakage extent will be. The larger the fractal dimension is, the greater the content of fine particles, the smaller the particle crushing rate during shearing and the smaller the effect of confining pressure on particle crushing. As the confining pressure increases, it tends to the final limit fractal dimension 2.6 [30].

The evolution of the breakage ratio at different confining pressures is shown in Figure 8. Unlike the relationship between the relative density and particle breakage ratio in direct proportion, the coefficient of uniformity is inversely proportional to the particle breakage ratio. The greater the coefficient of uniformity, the smaller is the particle breakage ratio. A normalized power function is also found to fit well the relationship between breakage ratio, relative density, uniform coefficient and confining pressure. The expression is shown as follows:

$$B_g = k_2 \left( \frac{\sigma_3}{p_a C_u} R_d \right)^n \tag{5}$$

where  $k_2$  and  $n$  are model parameters, determined to be 19.1 and 0.46, respectively.

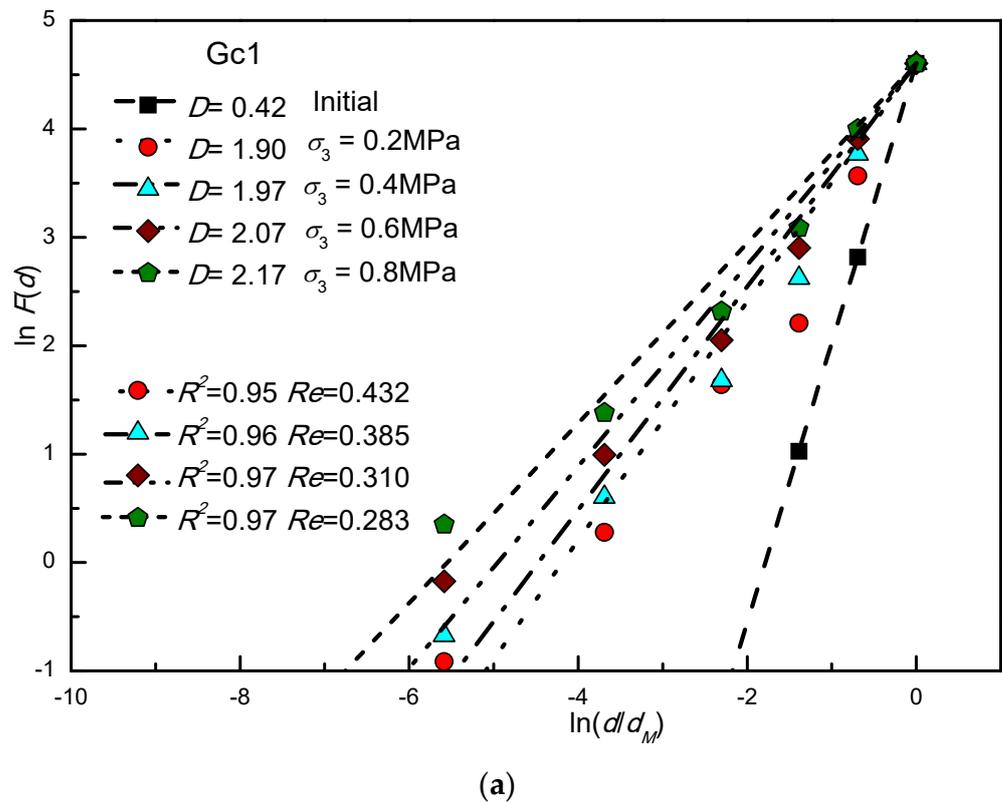
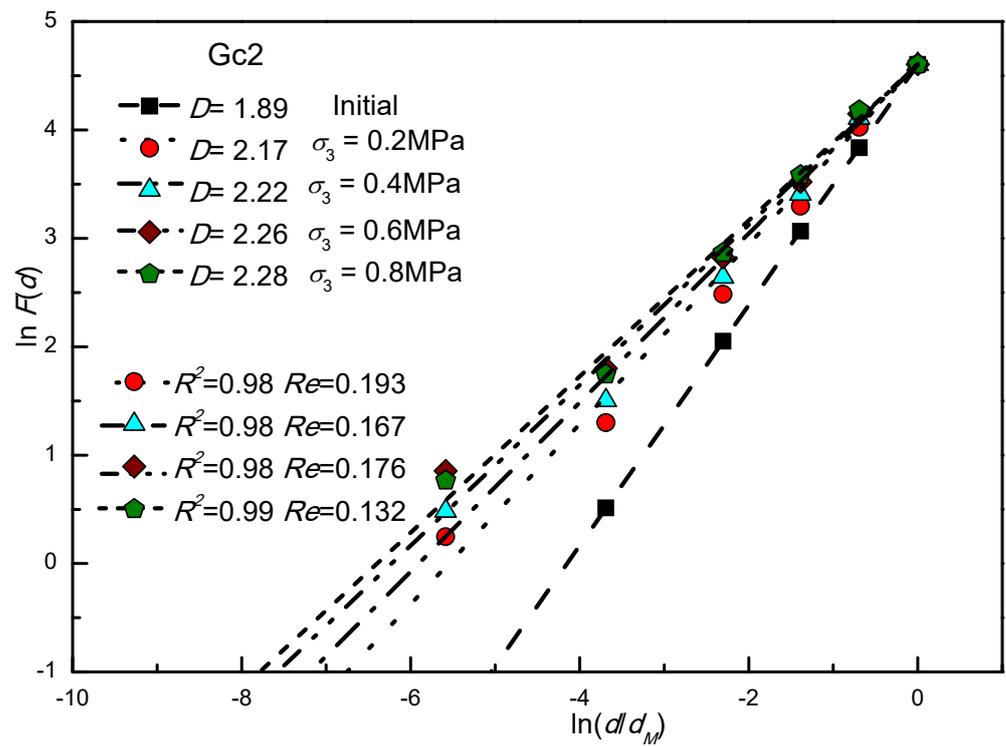
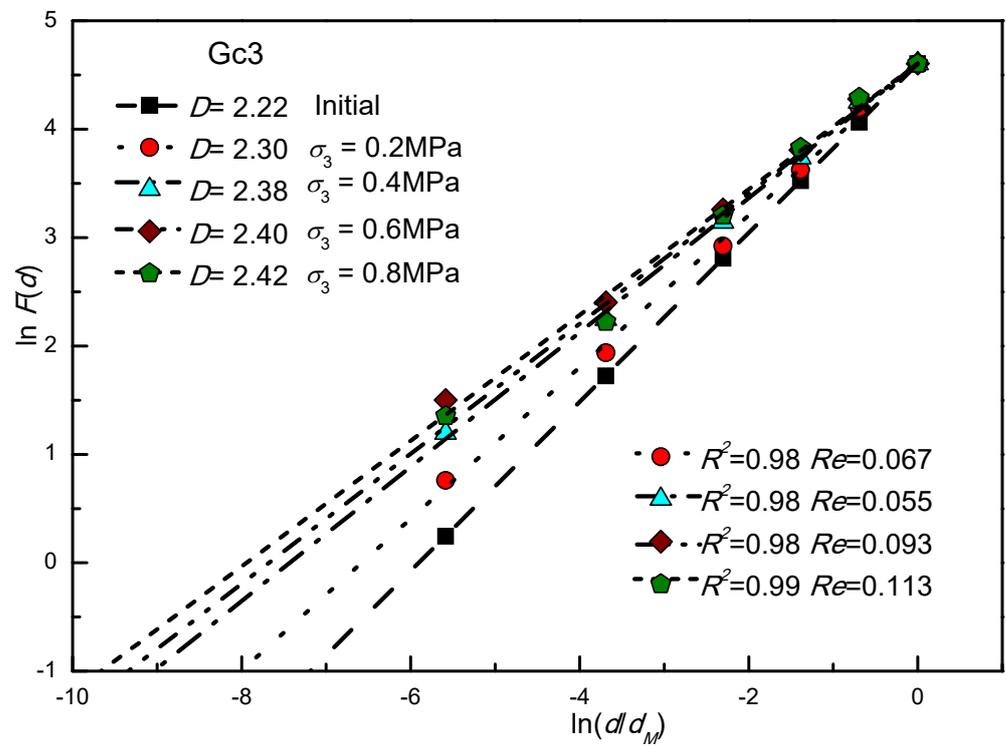


Figure 6. Cont.

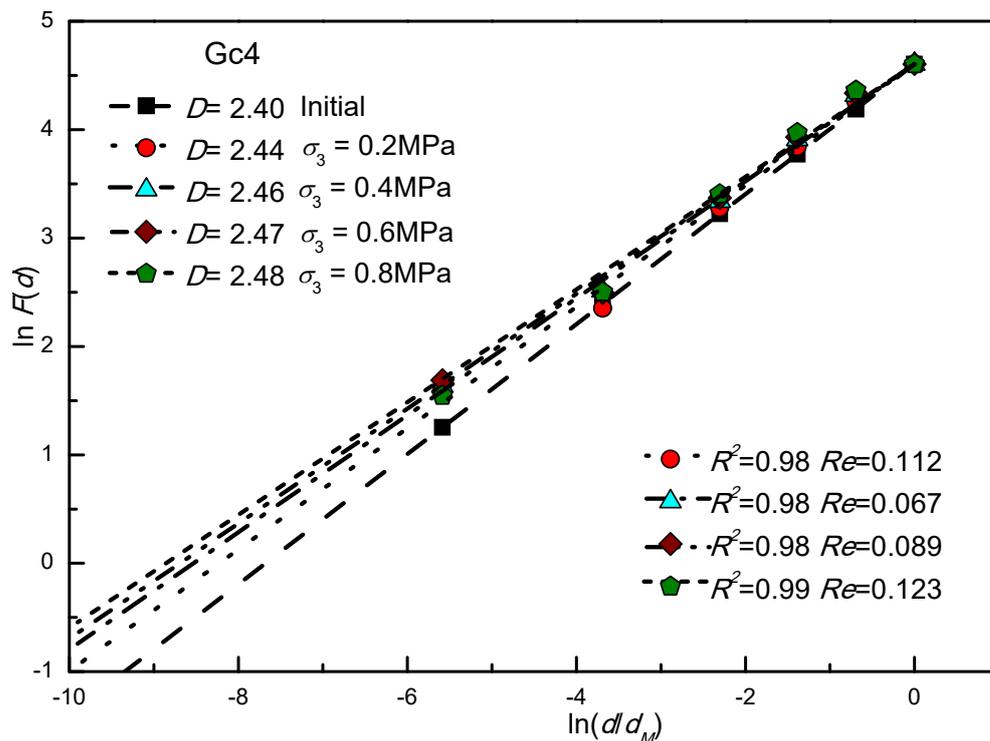


(b)

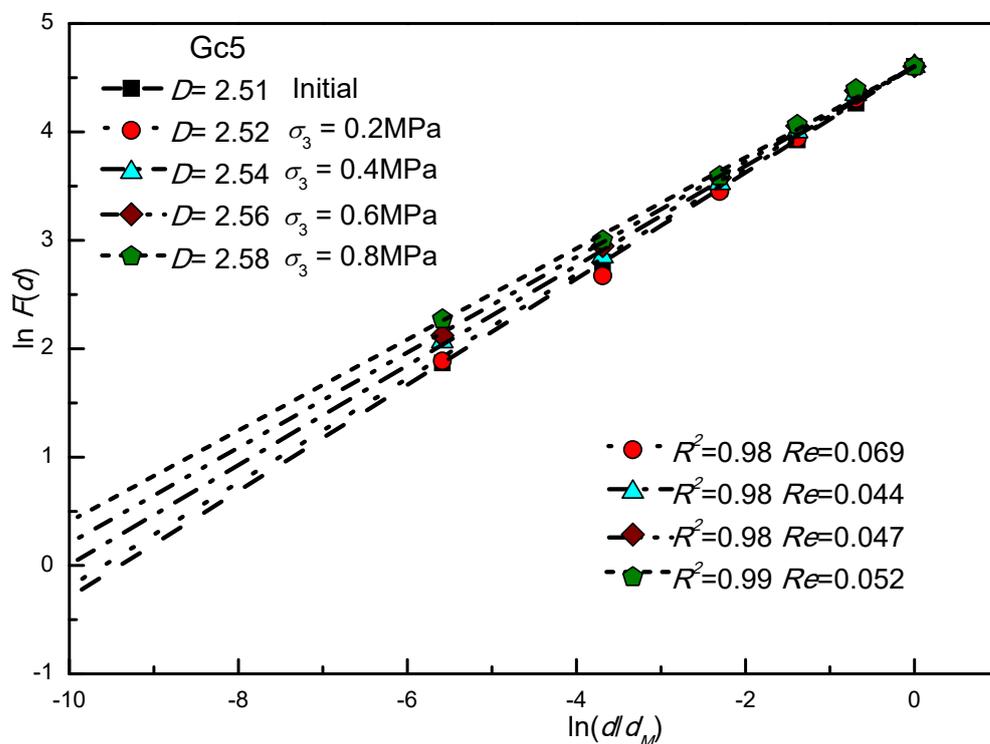


(c)

Figure 6. Cont.



(d)



(e)

Figure 6. (a) Grading curves of Gc1; (b) Grading curves of Gc2; (c) Grading curves of Gc3; (d) Grading curves of Gc4; (e) Grading curves of Gc5. Grading curves of different grading curves.

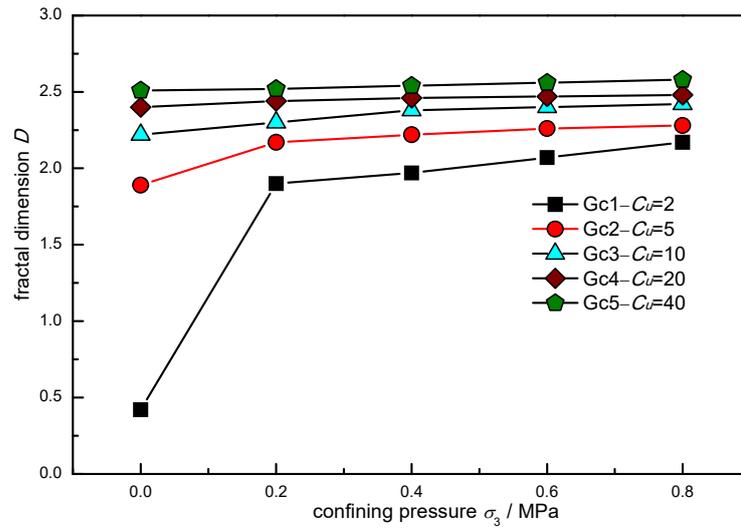
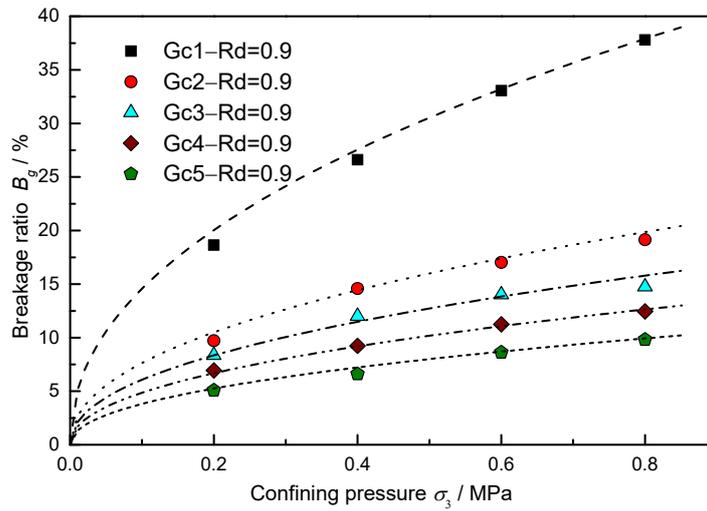
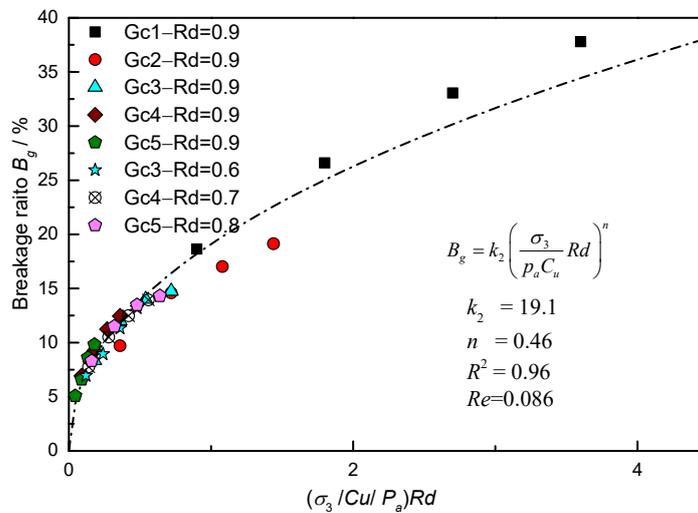


Figure 7. Fractal dimension of different grading curves.



(a)



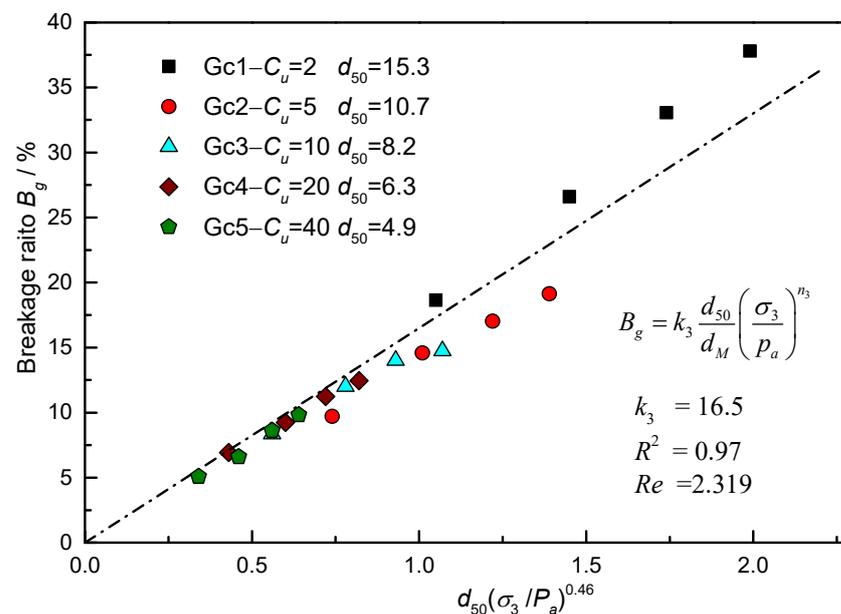
(b)

Figure 8. (a) Breakage ratio vs. confining pressure; (b) Breakage ratio vs. normalized confining pressure. Particle breakage of different grading curves.

Median diameter  $d_{50}$  is an important soil grading curve index. The larger the median diameter, the higher the shear strength and the more obvious is the shear expansion effect [31]. Figure 9 shows the relationship between the particle breakage ratio with  $d_{50}$  under different confining pressures after monotonic loading. The greater  $d_{50}$ , the greater is the particle breakage ratio. According to previous research, the confining pressure effect can be expressed by a power function. A normalized power function is also found to fit well the relationship between breakage ratio,  $d_{50}$  and confining pressure. The expression is shown as follows:

$$B_g = k_3 \frac{d_{50}}{d_M} \left( \frac{\sigma_3}{p_a} \right)^{n_3} \quad (6)$$

where  $k_2$  and  $n_3$  are model parameters, determined to be 16.5 and 0.46 (which is the same as the previous value of the coefficient of uniformity).



**Figure 9.** Breakage ratio vs. median diameter under different confining pressures.

The particle breakage is related to the relative density, uniform coefficient and stress level of the granular materials. Figure 10 shows the percentage increment of particle weight,  $\Delta F$ , under different confining pressures.

$$\Delta F = P_{test} - P_{ini} \quad (7)$$

During the shear process, the percentage increment in the range of 5–10 mm is found to be almost the same under different confining pressures except the grading curve Gc1. However, the percentage increment in the range of 10–20 mm shows considerable increase with an increase in the confining pressure, relative density and decrease in coefficient of uniformity. Compared with particle percentage increment, particle breakage mainly occurs in large particles during shear tests, indicating that the particles in the range of 5–10 mm exhibit limited breakage, because the large particles can break into middle and small particles. However, further mechanisms should be analysed, combined with discrete element model (DEM) simulations.

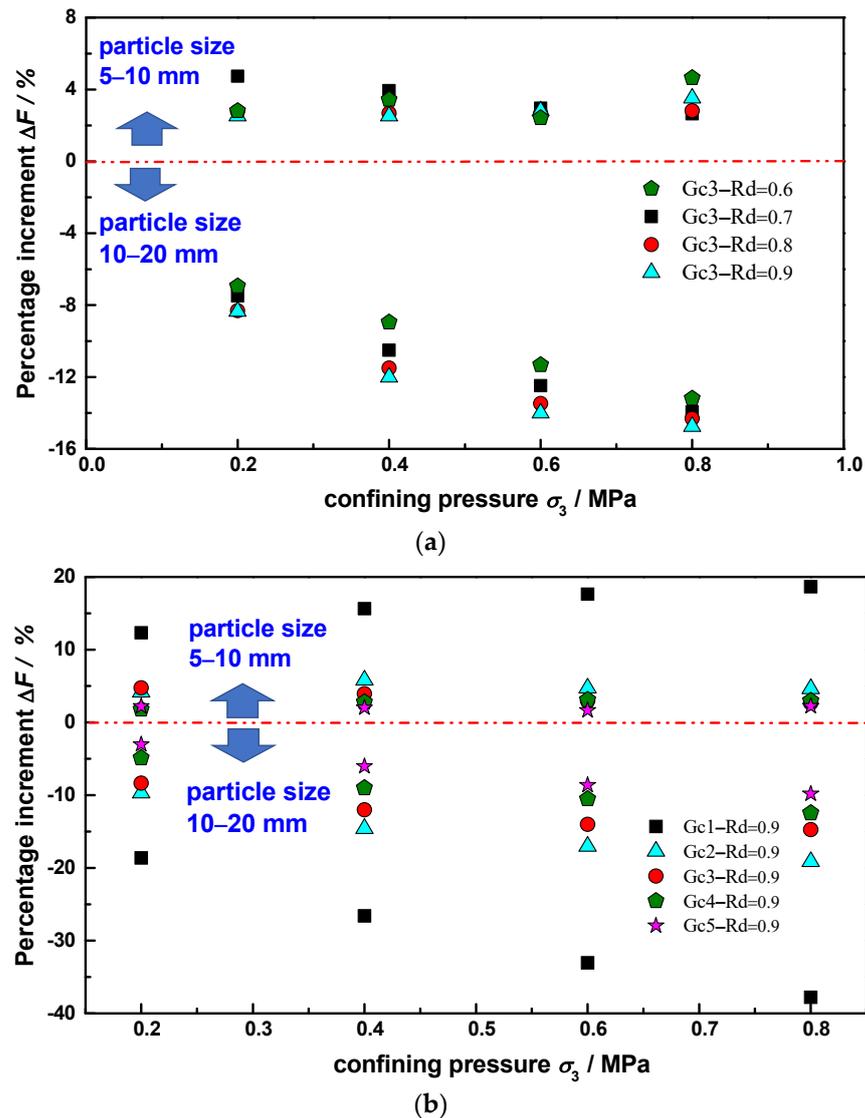


Figure 10. (a) Different relative density; (b) different grading curves. Particle percentage increment ( $\Delta F > 0$ , particle percentage increasing).

#### 4. Conclusions

In this study, the influence of relative density and grading curve on the particle breakage of granular material was investigated. A series of consolidated drained triaxial tests were performed on granular materials subjected to monotonic loading. The major findings of this study are summarized below:

- (1) The particle size distribution exhibited good fractal characteristics after monotonic loading of rockfill at different confining pressures. The fractal dimension increased with the increase in confining pressure. The coefficient of uniformity exhibited a greater effect on the fractal dimension than relative density.
- (2) During the shearing process, the main occurrence of breakage was found to be in large particles. The extent of particle breakage increased with the increase of confining pressure and relative density, whereas it decreased with an increase in the coefficient of uniformity, which can be well described by a normalized power function. The relationship between the breakage ratio and the median diameter can be described by a linear function.
- (3) The conclusions are mainly based on the results after the test. In fact, fractal dimension and particle breakage change with axial loading. Future research should focus on the

results during the shear test. The relationship between fractal dimension and particle breakage with shear modulus and volume strain should be investigated.

**Author Contributions:** Conceptualization, G.Y. and Y.J.; methodology, G.Y.; formal analysis, Y.S. and Y.J.; writing—original draft preparation, G.Y. and Z.C.; writing—review and editing, Y.S. and Z.C.; funding acquisition, G.Y. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the National Natural Science Foundation of China (Grant No. 51479059).

**Informed Consent Statement:** Informed consent was obtained from all subjects involved in the study. Written informed consent has been obtained from the patient(s) to publish this paper.

**Data Availability Statement:** All data, models, and code generated or used during the study appear in the submitted article.

**Acknowledgments:** The authors are indebted to the anonymous reviewers for their valuable comments and remarks that helped to improve the presentation and quality of the manuscript. The study was supported by the National Natural Science Foundation of China (Grant No. 51479059). This support is gratefully acknowledged.

**Conflicts of Interest:** The authors declare no conflict of interest.

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