



# Proceedings A Micromechanical Binary Counter with MEMS-Based Digital-to-Analog Converter <sup>+</sup>

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- + Presented at the Eurosensors 2018 Conference, Graz, Austria, 9–12 September 2018.

Published: 21 November 2018

**Abstract:** Autonomous sensors are of interest in all cases where a continuous power source is not available or difficult to realize. Besides harvesting of electrical energy for a complex storage system, it is of interest to directly store an event in a non-electrical storage, but in a way that allows a later electrical read-out. Therefore, a miniaturized micromechanical binary counter is presented, which enables counting of threshold events, such as exceeding temperature limits or high mechanical shocks. An electro-mechanical digital-to-analog converter integrated in the binary counter is demonstrated as an option for monolithic electrical read-out of the mechanically stored information.

**Keywords:** passive microsystem; mechanical digital-to-analog converter; binary counter; passive sensor; MEMS-mechanism, MEMS, MEMS-DAC

#### 1. Introduction

For the maintenance of manufacturing plants, macroscopic elapsed-hour counters often roughly indicate upcoming service requirements. Usually the maintenance intervals are based on empiric data, not covering individual machine and component-specific wear.

More focused maintenance routines can be achieved by the surveillance of the critical components using autonomous sensors. This allows to register unusual operating conditions, such as vibrations, shocks or the violation of temperature limits. However, the integration of such sensors is often limited by the need of sufficient electrical energy. Since constant environmental energy flow is needed for effective energy harvesting, these systems are not suitable for applications with unstable conditions. As an alternative to energy harvesting, new sensor concepts focus on systems where no electrical energy is needed at all for sensing and storing of the measurement results. In many cases, only the violations of off-limit conditions with corresponding high signal amplitudes are of interest. Therefore, energy can be absorbed directly from these intense signals to trigger a micromechanical system, which senses and saves the measurement results non-electrically.

In [1] we presented the basic idea of a 2-bit micromechanical binary counter realized in surface MEMS. Using a ratcheting mechanism, mechanical impulses at the system's entrance are converted into mechanically saved binary counts. In this contribution, we present an optimized version of the counter, featuring a MEMS-based digital-to-analog converter, that allows to convert mechanically saved binary code into an analog voltage. The concept presented, allows to mechanically count any physical threshold violation without the need of electrical energy. For

an electronical read-out of the mechanical storage, the saved binary code is converted into an analog voltage, making the system suitable for the connection to RFID interfaces.

#### 2. System Design

## 2.1. Binary Counting Mechanism

The micromechanical binary counter is based on a two-dimensional mechanism, allowing its fabrication in surface MEMS technology. Figure 1 shows a 1-bit system with the main mechanical components. By connecting multiple of these bi-stable elements (referred to as *bits*) in a serial arrangement, an n-bit mechanical counter can be created. Each bit can be set in two stable states, namely a low (0) and a high (1) state. The low state is defined as the state whereas the *guiding springs* at the *bit-element* are deflected and the *ratchet* is hooked to the *bit-element*.



Figure 1. SEM image of a 1-bit mechanical binary counter which is set in state high (1).

To increment the counter, a mechanical impulse at the system's *entrance*, such as a mechanical shock is needed. The least significant bit (LSB) connected directly to the entrance (bit 0) is then provoked to change its state from low (0) to high (1). If, for a next impulse, the LSB changes its state again from high to low, the subsequent bit in the serial arrangement is triggered to change its state, too. Similar to a shifting register, impulses at the system's entrance are shifted from the LSB through the serial arrangement to the most significant bit allowing a mechanical count on a binary basis.

For a better understanding of the mechanical transition process from one state to the next one, a schematic description of the transition from 0-0 to 1-0 for a 2-bit counter is provided in Figure 2.



**Figure 2.** Schematic explanation showing the mechanical state transition when applying a mechanical impulse at the entrance: (**a**) transition from 0-0 to 0-1; (**b**) transition from 0-1 to 1-0.

#### 2.2. MEMS-Based Digital-to-Analog Converter

MEMS-based digital-to-analog converters (DAC) are known for converting electrical binary code into a mechanical displacement or force [2,3]. The inverse conversion from a mechanical binary signal to an analog electrical signal is presented in this contribution.

The working principle of the cross-domain DAC is illustrated in Figure 3. Piezoresistive strain gauges with different resistance values are used as transducers. The strain gauges are positioned at the guiding springs of each bit. Depending on the bit-state, the correlating strain gauge can be either strained or relaxed.



Figure 3. Schematic of the MEMS digital-to-analog converter using a quarter Wheatstone bridge.

To eliminate cross-sensitivity to temperature changes, the strain gauges are arranged in a quarter Wheatstone bridge. The basic resistances of each strain gauge strictly depend on the associated bit position *i*, which can be determined by:

$$R_i = 2^i R_0, \ (i = 0, 1, 2, ...).$$
(1)

Note that the value of the reference resistors within the Wheatstone bridge is the combined resistance value of all strain gauges that are connected in series.

Assuming that the maximum deformations  $\varepsilon_t$  and the gauge factor k of all strain gauges are identical, the magnitude of the resistance change  $\Delta R_i$  depends only on the bit-position i, due to the associated basic resistance:

$$\Delta R_i \approx 2^t R_0 k \varepsilon_t. \tag{2}$$

The ratio of the bridge voltage  $U_{out}$  to the input voltage  $U_{in}$  and therefore the digital-toanalog conversion law for the modified Wheatstone bridge can be easily determined by:

$$\frac{U_{\text{out}}}{U_{\text{in}}} \approx a \sum_{i=0}^{n} \frac{\overline{z}_i \, 2^i \, \Delta R_0}{2^i \, R_0}, \quad \begin{cases} a = 0.25, & \text{for quater bridge} \\ a = 0.5, & \text{for half bridge} \\ a = 1, & \text{for full bridge} \end{cases}$$
(3)

Here  $\overline{z}_i$  takes the Boolean status 0 or 1 of the respective bit. Since for the binary counter, strained guiding springs indicate the low state, the value for *z* needs to be negated first. This means that if the counter is set to the maximum binary count of 11...1, none of the guiding springs are strained, therefore  $\overline{z}_i = 0, \forall i \in \mathbb{N}$  and  $U_{out}$  becomes zero. For the 2-bit DAC as shown in Figure 2, the status table is shown in Table 1.

<b>Binary Count</b>		Status of Guiding Spring		<b>Resistance</b> Change		Voltage Ratio
Bit 1	Bit 0	Bit 1	Bit 0	$\Delta R_1$	$\Delta R_0$	$U_{ m out}/U_{ m in}$
0	0	deflected	deflected	$2\Delta R_0$	$\Delta R_0$	$3 \cdot \frac{\Delta R_0}{4 \cdot 3 R_0}$
0	1	deflected	relaxed	$2\Delta R_0$	0	$2 \cdot \frac{\Delta R_0}{4 \cdot 3R_0}$
1	0	relaxed	deflected	0	$\Delta R_0$	$1 \cdot \frac{\Delta R_0}{4 \cdot 3R_0}$
1	1	relaxed	relaxed	0	0	$0 \cdot \frac{\Delta R_0}{4 \cdot 3R_0}$

**Table 1.** Status table according to Equation (2) for a 2-bit digital-to-analog converter arranged in a quarter Wheatstone bridge.

#### 3. Experiment

The microsystem, as shown in Figure 4a, was fabricated utilizing a standard silicon-oninsulator substrate (SOI). For the realization of the piezo resistive strain gauges, P-doped polycrystalline silicon with a gauge factor of 15.8 were deposited by LPCVD.

For the electrical characterization, the output of the MEMS-DAC was connected to an instrumentation amplifier with an amplification factor of 100. The amplified signal was then analyzed using an oscilloscope. After adjusting the initial offset with the instrumentation amplifier, the counter was successively incremented manually with a tungsten needle clamped to a piezo linear stage.

Figure 4b shows the measured digital-to-analog conversion without amplification. The modelled values are obtained from Equations (2) and (3), assuming a deformation of  $\varepsilon_t = 0.03\%$  and a basic resistance of  $R_0 = 196 \text{ k}\Omega$ . The variation shown between the model and the measurement results at count 0 and 2 are assumed to be based on a variation of the total strain at the guiding springs of bit 0 and bit 1.



**Figure 4.** MEMS-DAC: (a) SEM image of a fabricated 2-bit binary counter with MEMS-DAC realized with strain gauges in a quarter bridge; (b) Measurement results of the DAC.

#### 4. Summary and Conclusions

We presented a micromechanical binary counter which is suitable for counting physical threshold events by applying mechanical impulses at the entrance of the system. For the first time, we present a MEMS-based digital-to-analog converter integrated in a mechanical binary counter that allows to convert mechanically saved binary code into an analog voltage. With first experimental results the proof of principle for this concept of a cross-domain DAC was demonstrated.

Acknowledgments: The microsystems have been fabricated at the Institute of Micro- and Nanotechnologies (IMN MacroNano<sup>®</sup>) at Technische Universität Ilmenau. The authors thank all colleagues at the cleanroom who contributed to this work and those who contributed with fruitful discussions.

Conflicts of Interest: The authors declare no conflict of interest.

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