

# Identification of Phytoplankton Blooms under the Index of Inherent Optical Properties (IOP Index) <sup>†</sup>

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**Abstract:** Phytoplankton blooms are sporadic events in time and isolated in space. This complex phenomenon is produced by a variety of both natural and anthropogenic causes. Early detection of this phenomenon, as well as the classification of a water body under conditions of bloom or non-bloom, remains an unresolved problem. This research proposes the use of Inherent Optical Properties (IOPs) in optically complex waters to detect the bloom or non-bloom state of the phytoplankton community. An IOP index is calculated from the absorption coefficients of the colored dissolved organic matter (CDOM), the phytoplankton ( $\phi$ ) and the detritus ( $d$ ), using the wavelength ( $\lambda$ ) 443 nm. The effectiveness of this index is tested in five bloom events in different places and with different characteristics from Mexican seas: (1) Dzilam (Caribbean Sea, Atlantic Ocean) a diatom bloom (*Rhizosolenia hebetata*); (2) Holbox (Caribbean Sea, Atlantic Ocean) a mixed bloom of dinoflagellates (*Scrippsiella* sp.) and diatoms (*Chaetoceros* sp.); (3) Campeche Bay in the Gulf of Mexico (Atlantic Ocean) a bloom of dinoflagellates (*Karenia brevis*); (4) Upper Gulf of California (UGC) (Pacific Ocean) a diatoms bloom (*Planktoniella sol*) and (5) Todos Santos Bay, Ensenada (Pacific Ocean) a dinoflagellates bloom (*Lingulodinium polyedrum*). The diversity of sites shows that the IOP index is a suitable method to determine the bloom conditions.

**Keywords:** absorption coefficients; phytoplankton; detritus; CDOM; water quality; monitoring

## 1. Introduction

Phytoplankton blooms are sporadic events in time and isolated in space [1]. This complex phenomenon is produced by a variety of both natural and anthropogenic causes [2]. The availability of light and nutrients is a key factor for its development [3]. This factor is illustrated during the spring–summer period. At the beginning of this period, the seasonal increase in daily irradiation eliminates the light limitation, and the end of the thermal stratification supposes a supply of nutrients

thanks to the turbulent and convective mixing processes, which allows the phytoplankton to grow rapidly [4]. However, phytoplankton blooms are not only limited to this period.

A bloom is the rapid growth of one or more species leading to an increase in the species' biomass [5]. Different adjectives have been used to characterize the degree of negative impact of these blooms according to their characteristics and those of the causative species, such as toxic, noxious or harmful [6].

Identifying phytoplankton blooms has been the target of several researches [7–10]. Some research has focused on detecting changes in chlorophyll *a* fluorescence, changes in the composition of plankton species [9], or increases in nutrient levels [11]. Measuring the intensity of blooms has also been the subject of several researches, such as continuous measurements of fluorescence and chlorophyll *a* [12] deviations in normal biomass variations [13], the ratio of two in situ optical measurements such as chlorophyll fluorescence (Chl *F*) and optical particulate backscattering ( $b_{bp}$ ) [14], or satellite indices, such as the Maximum Chlorophyll Index (MCI) of the MERIS sensor [15].

Defining under which conditions an increase in phytoplankton biomass can be considered as a bloom is important to avoid the arbitrary use of the term bloom [4,7,16]. This research proposes the use of Inherent Optical Properties (IOPs), specifically the absorption coefficient, as an indicator that a phytoplankton community has passed into a bloom condition.

The absorption coefficient  $a(\lambda)$  characterizes light absorption properties in the aquatic environment. Light absorption in natural waters is attributable essentially to four components: water, colored dissolved organic matter, photosynthetic biota and inorganic particles [17]. Thus,  $a(\lambda)$  can be expressed as:

$$a(\lambda) = a_w(\lambda) + a_{cdom}(\lambda) + a_p(\lambda) \quad (1)$$

where the subscripts *w*, *cdom* and *p* represent water, colored dissolved organic matter (CDOM) and particulate matter, respectively. This particulate material consists of phytoplankton ( $\phi$ ) and detritus (non-algal particles) (*d*), thus,  $a_p(\lambda) = a_\phi(\lambda) + a_d(\lambda)$  [18].

Seawater components present a typical spectrum of light absorption, which means that they absorb light with a preference for certain wavelengths in the visible (400 to 700 nm) or ultraviolet (250 to 400 nm) [17]. Optically pure water  $a_w(\lambda)$  absorbs light with a preference for red in the electromagnetic spectrum of 750 to 800 nm. Phytoplankton has an absorption spectrum  $a_\phi(\lambda)$  characterized by two peaks located in the 440 and 675 nm spectrum, which are related to chlorophyll *a* absorption. Detritus  $a_d(\lambda)$  and CDOM  $a_{cdom}(\lambda)$  absorb with an exponential increase towards shorter wavelengths, with the most significant absorption towards the UV spectrum between 250 and 400 nm [19]. In optically complex waters, such as coastal and inland waters, the optical properties are determined by the combination of these water components in varying proportions [20].

The authors of [19] developed the IOP index with the objective of identifying phytoplankton blooms. This index is calculated from the absorption coefficients of the colored dissolved organic matter (CDOM), the phytoplankton ( $\phi$ ) and the detritus (*d*), using the wavelength ( $\lambda$ ) 443 nm, and the relationship with chlorophyll *a* concentration and phytoplankton abundance is analyzed.

This research proposes the use of Inherent Optical Properties (IOPs) in optically complex waters to detect the bloom or non-bloom state of the phytoplankton community, as well as detecting whether it is an active or a decaying bloom. The objective is to test the effectiveness of the IOP index in bloom events in different coastal areas with distinctive characteristics.

## 2. Materials and Methods

### 2.1. Study Area

The study areas are well-known coastal areas of Mexico with distinctive characteristics where bloom events have been observed recurrently (Figure 1). These areas are as follows:

Area 1 is three coastal areas in the Yucatán Peninsula: Dzilam de Bravo (Dzilam for short) in the Yucatan state (Figure 1a), Holbox in the Quintana Roo state (Figure 1b), and Campeche Bay in the Campeche state (Figure 1c). This Peninsula is a karstic region, characterized by minimal soil cover

and rapid infiltration of rain water, with the consequent high vulnerability of aquifer pollution [21,22]. The rainy season occurs from June through December with minimal rainfall occurring during the rest of the year. The unconfined Yucatán aquifer has submarine groundwater discharges (SGD) that can threaten coastal ecosystems [22,23]. SGD has been linked to eutrophication and harmful algal blooms [23]. According to [24], the Yucatán coastal aquifer is a triple porosity system, where the flow of groundwater takes place mainly through interconnected cave systems and fractures, and drains inland catchment mainly through coastal springs. In recent years, intense coastal development is taking place within the Caribbean, due to tourism, which increases the risk of aquifer pollution. This development is particularly fast on the eastern coast of the Yucatan Peninsula (Quintana Roo state). Both Yucatán and Quintana Roo state coastal waters are influenced by waters of the Caribbean Sea and the Gulf of Mexico [25]. Campeche state coastal water is influenced by the current system of Yucatan/Lazo/Florida [26]. This region has a predominantly cyclonic circulation [27], caused by the wind effort [28], and by an upwelling on the north coast of the Yucatan Peninsula [29].

Area 2 is the Upper Gulf of California (UGC). The Gulf of California is a semi-enclosed sea in the Eastern Pacific. The UGC is located in the Northern Gulf of California, where the Sonora and Baja California state coasts intersect at a 60° angle [30]. It is considered as one of the most biologically productive marine regions [31,32], with peak chlorophyll *a* concentrations of 18.2 mg m<sup>-3</sup> and averages of 1.8 mg m<sup>-3</sup> between 1997 and 2007 in coastal waters near the delta [33]. This high productivity is due to a complex mix of factors, including coastal upwelling, wind-driven mixing, extreme tidal mixing and turbulence, thermohaline circulation, coastal-trapped waves, regular sediment resuspension, and, to a lesser extent, agricultural runoff, released nutrients from erosion of ancient Colorado River Delta sediments and groundwater discharges [31,34]. After the construction of the Hoover and Glen Canyon dams in the USA in 1935 and 1964, the Colorado river only discharges variable and insignificant surface water-flows occasionally into the Gulf of California [34].

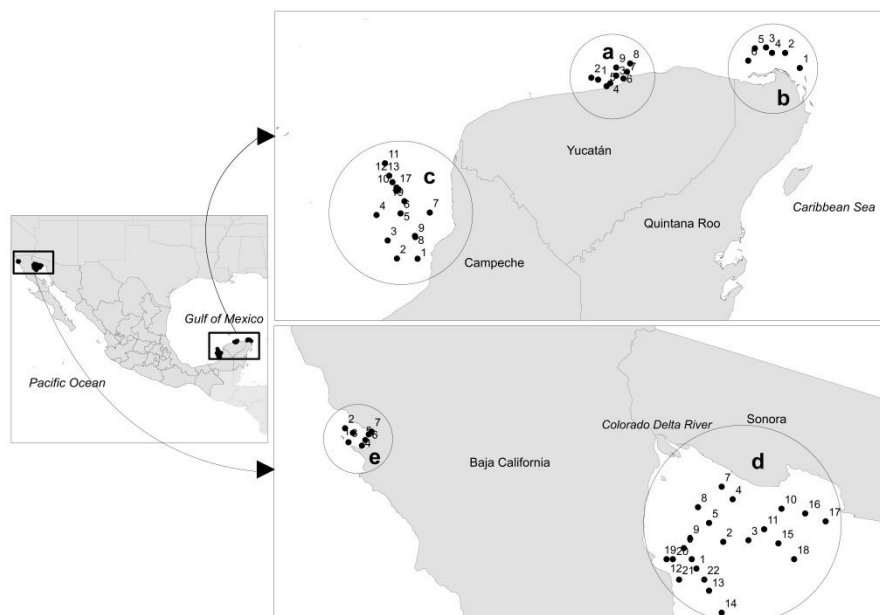
Area 3, Todos Santos Bay (TSB), is a semi-enclosed bay, adjacent to the Pacific Ocean, within the upwelling zone of the Baja California peninsula (Mexico). This area is influenced by the California Current System (CCS), which produces coastal upwelling along the coast of the Baja California peninsula. This is a phenomenon with a marked seasonality caused by the prevailing winds from the northwest, which tend to be more intense during the spring and summer months [35–37]. Two water masses integrate the CCS, the California Current (CC), a year-round equatorward surface flow, which transports Subarctic Water (SAW), characterized by low salinity, and the California Undercurrent (CU), a poleward subsurface (100–400 m) flow that transports Equatorial Subsurface Water (ESsW), characterized by relatively high salinity, high nutrient concentration, and low dissolved oxygen content, according to a previous description [38]. SAW is mainly important during winter and spring, while ESsW appears at the end of summer and autumn [39]. In addition to the described seasonal variability, the El Niño-Southern Oscillation (ENSO) induces oceanographic changes in the region off Baja California at an interannual scale [39]. Altogether, these factors control primary productivity which is characteristically high [35,40]. Dinoflagellate algal bloom (DAB) events in this area have increased considerably in extension and frequency over the past two decades [41].

## 2.2. Collection of Samples

Water samples were taken in Mexico coastal waters at the stations shown in Figure 1. Samples were taken in four field campaigns, two in 2011 and two in 2017, during reported bloom events.

Dzilam (Yucatán) and Holbox (Quintana Roo) samples were collected between 27 and 30 August 2011 (nine and six samples respectively). All the Dzilam and Holbox stations were sampled at the surface (1.5 m); stations were selected based on reports of fishermen on fish mortality and patches of discolored water. Campeche Bay (Campeche) samples were collected between 22 and 24 September 2011 (19 samples). Campeche Bay was also sampled at the surface (1.5 m), except for stations number 13 and 16 which were sampled at 15 m. The campaign was conducted in response to a phytoplankton bloom reported by various local, state and federal public health institutions in Campeche. The Todos Santos Bay (TSB) in Ensenada (Baja California) was sampled on 2 June 2017 (seven samples) during

the second week of a bloom event that lasted three weeks. This event was characterized by the bioluminescence observed during all the nights that lasted. TSB was also sampled at the surface (0.5 m). Stations 5, 6 and 7 were taken on the reddish patch that distinguished itself from the rest of the bay water.



**Figure 1.** Sampling stations. (a) Dzilam de Bravo (Yucatan); (b) Holbox (Quintana Roo); (c) Campeche Bay (Campeche); (d) Upper Gulf of California (Baja California and Sonora) and (e) Todos Santos Bay (Baja California).

These data were collected in small vessels where the samples were taken manually and stored in Nalgene dark bottles of high density polyethylene (HDPE) until processing in the laboratory. For the CDOM, samples were collected in amber glass bottles and refrigerated until laboratory processing.

Sampling of the Upper Gulf of California (UGC), was carried out from 23 February to 3 March 2017, on the research vessel “Tecolutla” of the Mexican Navy during the oceanographic cruise “Vaquita Marina 2017” (22 samples). Samples were taken with Niskin bottles attached to a rosette, and immediately processed in the vessel’s laboratory. Sampling depth was at the chlorophyll maximum fluorescence (10–40 m). The chlorophyll maximum was measured with an ECO FLNTU fluorimeter coupled to a CTD SB 19 Plus. During the oceanographic cruise, color patches were detected in the water; on this basis, it was decided to take samples.

In each study area, the samples were collected inside and outside the patches with evidence of a bloom, in order to be able to capture the variability that exists in a parcel of water, and to better define the baseline or mean of each campaign.

### 2.3. Absorption Coefficients Determination

The CDOM samples were filtered using a 0.2- $\mu\text{m}$  pore membrane filter (Nuclepore™) and processed according to the methodology of [42]. The CDOM absorption coefficient,  $a_{cdom}(\lambda)$ , was measured in the wavelength range of 250 to 800 nm in a 10-cm long quartz cuvette using Milli-Q water as reference.

The particulate matter absorption coefficient was determined using the methodology of [42]. A volume of seawater of 0.5 to 2 L, depending on the particle load, was filtered from water stored in Nalgene bottles, with Whatman GF/F glass fiber filters 25 mm in diameter and 0.7  $\mu\text{m}$  in pore size. The particulate matter absorption coefficient, ( $a_p(\lambda)$ ), was measured in the wavelength range of 400

to 800 nm. Then, the filters are immersed in methanol to depigment the filter and obtain the detritus coefficient absorption,  $a_d(\lambda)$ . The phytoplankton absorption coefficient,  $a_p(\lambda)$ , was calculated by subtracting  $a_d(\lambda)$  from  $a_p(\lambda)$ .

The 2011 samples were read with a Perkin-Elmer Lambda 18 spectrophotometer, and the 2017 samples were read with a Cary 100 UV-Visible spectrophotometer.

A non-parametric one-way analysis of variance (Kruskal–Wallis) was performed to statistically assess variations in the absorption coefficients. The water absorption coefficient of phytoplankton, detritus and CDOM for each sampling area was compared.

#### 2.4. IOP Index Determination

The IOP index was determined according to [19] following the next steps. Firstly, the absorption coefficients ( $a_{cdom}(443)$ ,  $a_d(443)$ ,  $a_p(443)$ ) were standardized, and a principal component analysis (PCA) was performed to explore associations between the sampled stations. Then, samples were classified as bloom or non-bloom using a factorial analysis [43]. Finally, the IOP index was calculated based on the first standardized empirical orthogonal function (SEOF<sub>1</sub>) [19] according to Equation (2).

$$IOP_{index} = -1[(b_{1,1} * Z a_{phy,443}) + (b_{1,2} * Z a_{CDOM,443}) + (b_{1,3} * Z a_{d,443})] \quad (2)$$

The coefficients  $b_{1,1}$ ,  $b_{1,2}$  y  $b_{1,3}$  are the eigenvalues resulting from the PCA, while  $a_{phy,443}$ ,  $a_{CDOM,443}$  and  $a_{d,443}$  are the values obtained from the Pearson correlation matrix between the absorption coefficients. To describe the stages of a phytoplankton bloom [19], the values of the IOP index were interpreted as follows: (1) values in the interval (-1,1) show an average value and represent non-bloom conditions; (2) values in the interval (1,2) are above the average and represent decaying bloom conditions; and (3) values higher than 2 are anomalous and indicate active bloom conditions.

#### 2.5 Phytoplankton Characterization

The blue/red ratio ( $B/R$ ) is an index that allows to characterize the dominant phytoplankton size [19,44–47]. It is calculated as expressed in Equation (3):

$$B/R = \frac{a_{phy,443}(440)}{a_{phy,443}(675)} \quad (3)$$

If the  $B/R$  is >3.0, dominance of picophytoplankton (<2 μm) is implied. If the ratio is <2.5, dominance of microphytoplankton (>20 μm) is implied. Ratios between 2.5 and 3.0 indicate that there is no dominance of a particular group and it is identified as mixed bloom.

Some representative samples of each sampling were analyzed by microscopy to identify the main blooming species and/or genus. Samples were preserved in 125-mL bottles in a neutral lugol solution with a sodium acetate base in a 1:100 ratio. The samples were stored in dark and cold conditions until their identification. The Dzilam, Holbox, and Campeche samples were identified at the Florida Fish and Wildlife Conservation Commission (FWC). Phytoplankton identification was performed using an inverted Olympus IX71 microscope following a modified method of Utermöhl [48]. In the case of the UGC and TSB samples, the same method was performed using phase contrast microscopy with a microscope Bausch and Lomb; [49–52] were used as taxonomic references.

For Dzilam, Holbox and Campeche, the chlorophyll *a* concentration was determined fluorometrically on methanol extracts following the method of [53], using a Turner Designs 10-AU field fluorimeter.

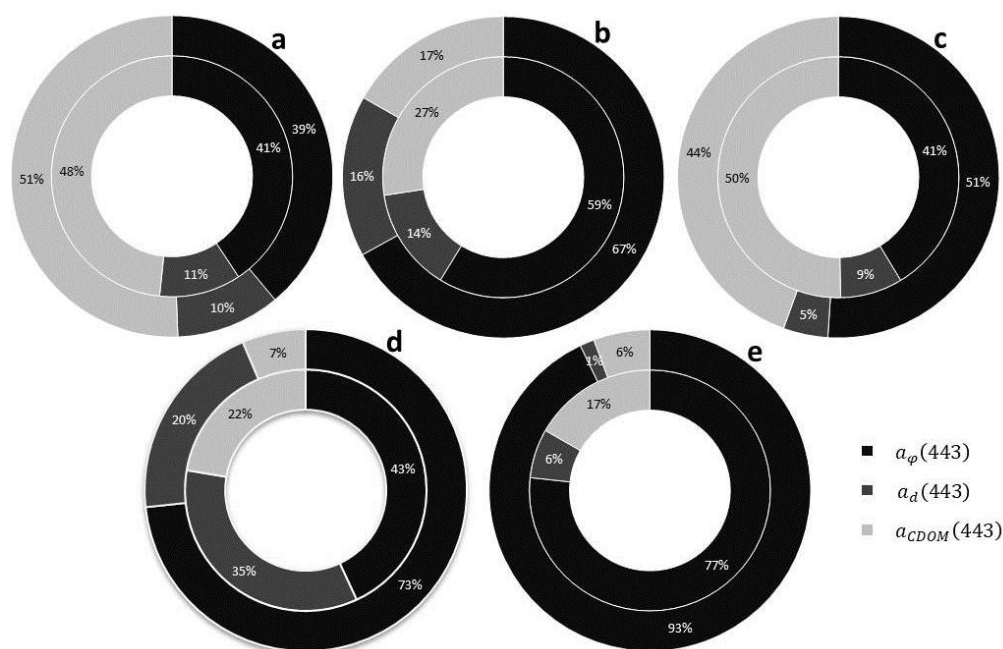
### 3. Results and Discussion

In Table 1, we summarized the main characteristics of each bloom event. For each sampling campaign, we studied the contribution of each water component absorption coefficient (colored dissolved organic matter ( $a_{cdom}(443)$ ), phytoplankton ( $a_p(443)$ ) and detritus ( $a_d(443)$ ) to the

global absorption coefficient  $a(443)$  at 443 nm. In Figure 2, the inner circumference shows the average contribution of each absorption coefficient to  $a(443)$  for each sampling campaign.

**Table 1.** Characterization of bloom events. The absorption coefficient that contributes most to the Inherent Optical Properties (IOPs) is underlined for each sampling campaign.

Blooms	# Samples	Samples in Active Bloom	Dominant Phytoplakton Species	Dominant Population Size	Proportion of IOP at the Station with Bloom (%)		
					$a_{\varphi}(443)$	$a_d(443)$	$a_{cdom}(443)$
1 Dzilam	9	1	<i>Rhizosolenia hebetata</i>	Microphytoplankton	39	10	51
2 Holbox	6	1	<i>Scrippsiella</i> sp; <i>Chaetoceros</i> sp; <i>Rhizosolenia hebetata</i>	Mixed community	67	16	17
3 Campeche	19	4	<i>Karenia brevis</i>	Microphytoplankton	51	5	44
4 UGC	23	1	<i>Planktoniella sol</i>	Picophytoplankton	73	20	7
5 TSB	7	1	<i>Lingulodinium polyedrum</i>	Microphytoplankton	93	1	6

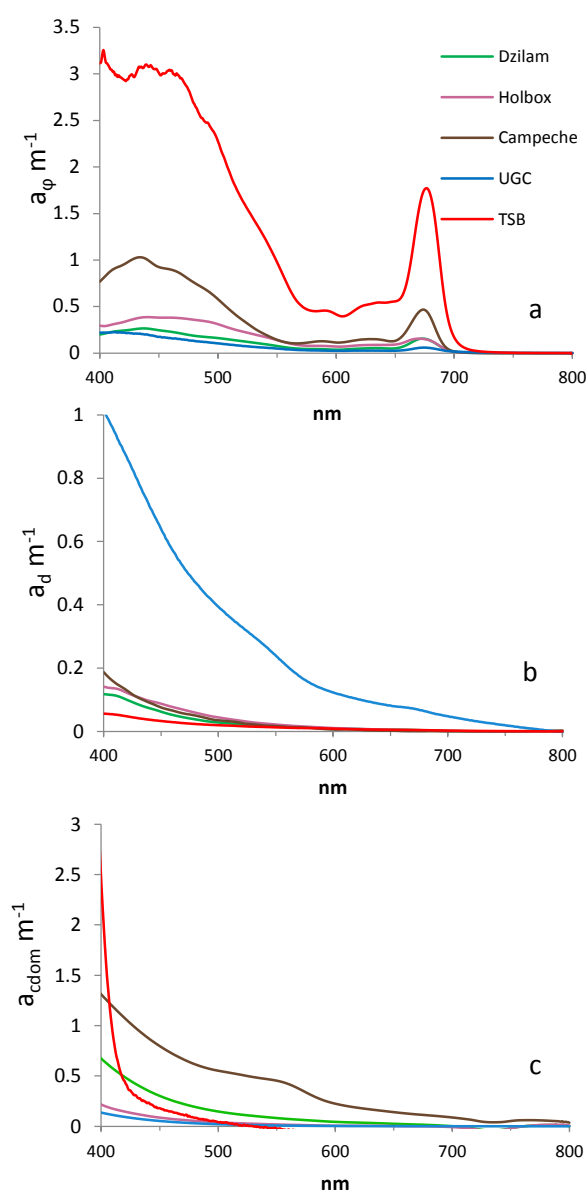


**Figure 2.** Contribution of each absorption coefficient ( $a_{\varphi}(443)$ ,  $a_d(443)$  and  $a_{cdom}(443)$ ) to  $a(443)$  for each sampling area. The inner circumference shows the average contribution of each absorption coefficient to  $a(443)$  for each sampling campaign. The outer circumference represents the average value of sampling points classified as active bloom according to the IOP index. (a) Dzilam de Bravo (b) Holbox; (c) Campeche Bay; (d) Upper Gulf of California; (e) Todos Santos Bay.

In Dzilam, colored dissolved organic matter (CDOM) was the major contributor to  $a(443)$ .  $a_{cdom}(443)$  represented 48% of total absorption, followed by  $a_{\varphi}(443)$  with 41% and  $a_d(443)$  with 11% (Figure 2a). In Holbox, phytoplankton was the major contributor to  $a(443)$ .  $a_{\varphi}(443)$  represented 59% of water absorption, followed by  $a_{cdom}(443)$  with 27% and  $a_d(443)$  with 14% (Figure 2b). In Campeche Bay, as in Dzilam, the dominant absorption component was CDOM;  $a_{cdom}(443)$  was 50%, followed very closely by phytoplankton  $a_{\varphi}(443)$  with 41% of  $a(443)$ , and a minor contribution of detritus ( $a_d(443)$  was 9%) (Figure 2c). In the Upper Gulf of California, the highest contribution was from phytoplankton ( $a_{\varphi}(443)$  was 43%), followed by detritus ( $a_d(443)$  was 35% of  $a(443)$ ), and CDOM ( $a_{cdom}(443)$  was 22%) (Figure 2d). In Todos Santos Bay (TSB), as in Holbox, phytoplankton represented the highest absorption percentage ( $a_{\varphi}(443)$  was 77%).

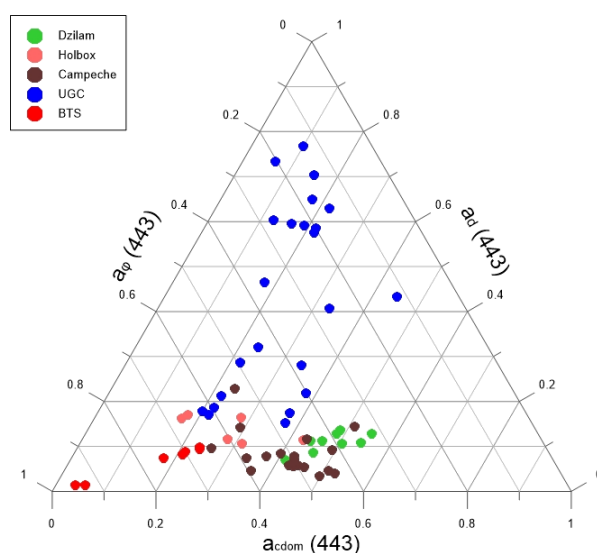
However, in TSB, the contribution of CDOM and detritus is characteristically low (17% and 6% respectively).

In Figure 3, the phytoplankton, detritus and CDOM absorption spectrum of all sampling campaigns are compared. The phytoplankton absorption coefficient,  $a_{\phi}(\lambda)$ , was significantly higher in TSB than in other sampling areas ( $p < 0.05$  for  $a_{\phi}$  (443)). No significant differences were observed between Dzilam and Campeche Bay ( $p > 0.05$  for  $a_{\phi}$  (443)). The lowest  $a_{\phi}(\lambda)$  values were observed in the UGC. The detritus absorption coefficient,  $a_d(\lambda)$ , was significantly higher in the UGC than in all the other studied areas ( $p < 0.05$  for  $a_d$ (443)). No significant differences were observed between the Yucatan Peninsula areas (Dzilam, Holbox and Campeche), nor with TSB ( $p > 0.05$  for  $a_d$ (443)). The CDOM absorption coefficient,  $a_{cdom}(\lambda)$ , was significantly higher in Dzilam and Campeche Bay than in other areas ( $p < 0.05$  for  $a_d$ (443)).



**Figure 3.** Absorption coefficients ( $a(\lambda)$ ): (a) phytoplankton; (b) detritus and (c) colored dissolved organic matter (CDOM) of sampling points in active bloom for each sampling campaign (Dzilam, Holbox, Campeche Bay, Upper Gulf of California (UGC) and Todos Santos Bay (TSB)).

Figure 4 represents the absorption spectrum of each seawater component (phytoplankton, detritus and colored dissolved organic matter) for all the sampling points (Dzilam, Holbox, Campeche Bay, Upper Gulf of California and Todos Santos Bay). This graphical representation allowed us to compare the different study areas. In general terms, the most important components were phytoplankton ( $a_{\phi}(443)$ ) and CDOM ( $a_{cdom}(443)$ ). In this graph, we observed the significantly higher importance of detritus in the UGC. This detritus contribution is much more important near de Colorado River and decreases southward.

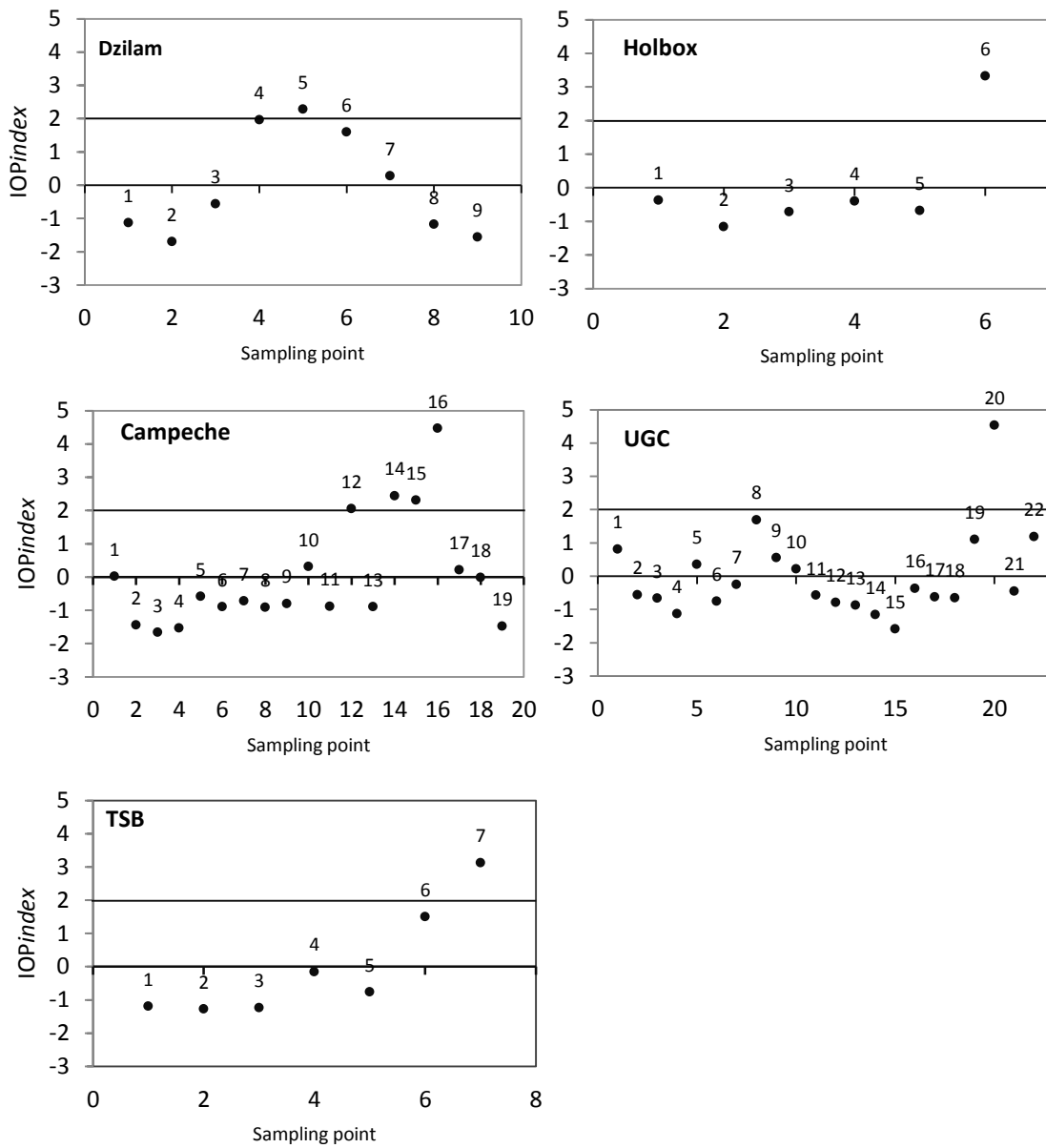


**Figure 4.** Triangular diagram used to classify sampling points according to the contribution to  $a(443)$  of each component: phytoplankton ( $a_{\phi}(443)$ ), colored dissolved organic matter ( $a_{cdom}(443)$ ) and detritus ( $a_d(443)$ ).

The IOP index was calculated from the absorption coefficients for each sampling area and sampling point. The IOP index results are represented graphically in Figure 5. In Figure 2, the outer circumference represents the average value of sampling points classified as active bloom according to the IOP index. In Dzilam, sampling points 4 and 6 had a value in the interval (1,2), meaning that they were above the sampling area average and in decaying bloom conditions. However, only sampling point 5 was above two and in active bloom conditions. In Figure 2a, we observed that the contribution of each absorption coefficient to  $a(443)$  in sampling point 5 is similar to the sampling campaign average. In Holbox, only sampling point 6 was above an IOP index value of two (Figure 5), and thus in active bloom conditions. In Figure 2b, we observed a higher contribution of phytoplankton to  $a(443)$  than the average value of the sampling campaign ( $a_{\phi}(443)$  of 67% in sampling point 6 compared with 59% average value). The lower average contribution of phytoplankton when considering all sampling points was related with a higher CDOM contribution in non-bloom conditions. In Campeche Bay, sampling points 12, 14, 15 and 16 were in active bloom conditions (Figure 5). Sampling point 16 showed the highest anomaly; this sample was collected at 15 m depth. In Figure 2c, as in Holbox, we observed a higher contribution of phytoplankton to  $a(443)$  than the average value of the sampling campaign ( $a_{\phi}(443)$  of 51% in sampling point 16 compared with 41% average value). The lower average contribution of phytoplankton was also related with a higher CDOM contribution in non-bloom conditions. In the Upper Gulf of California (UGC), sampling points 8, 19 and 22 were in decaying bloom conditions (IOP index value higher than one and lower than two), while sampling station 20 was in active bloom conditions according to the IOP index (Figure 5). In Figure 2d, we can observe that, as in Holbox and Campeche Bay, the contribution of phytoplankton to  $a(443)$  was higher than the average ( $a_{\phi}(443)$  of 73% in sampling point 20 compared with 43% average value). In Todos Santos Bay, sampling point 6 was under decaying bloom conditions, while sampling point 7 was in active bloom conditions. As in Holbox and



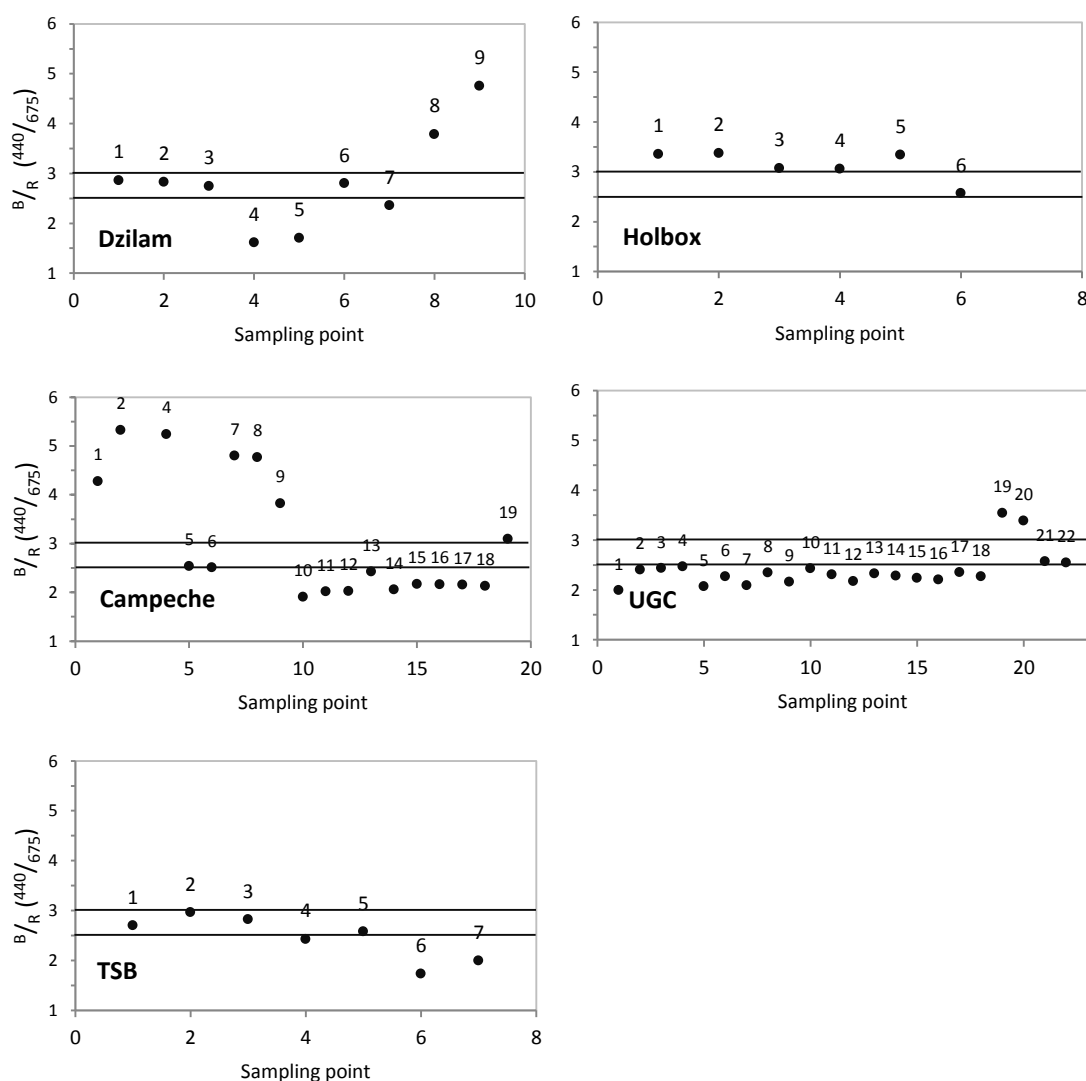
Campeche Bay, we noticed a higher contribution of phytoplankton to  $a(443)$  than the average value of the sampling campaign ( $a_{\varphi}(443)$  of 93% in sampling point 7 compared with 77% average value). The contribution of  $a_{cdom}(443)$  and  $a_q(443)$  was even lower than average.



**Figure 5.** IOP index results for each sampling campaign and sampling point. From top to bottom and from left to right: Dzilam, Holbox, Campeche Bay, Upper Gulf of California (UGC) and Todos Santos Bay (TSB).

In order to characterize the phytoplankton community, the blue/red ratio (B/R) is graphically represented in Figure 6. B/R values higher than 3 reveal a community dominated by picophytoplankton; B/R values lower than 2.5 reveal microphytoplankton (>20  $\mu\text{m}$ ) dominance; and B/R values between 2.5 and 3.0 indicate mixed community. In Dzilam, microphytoplankton dominated in the active bloom sampling point 5 ( $B/R = 1.71$ ) (Figure 6). According to the microscope taxonomic analysis, the dominant species was the diatom *Rhizosolenia hebetata*. In Holbox, the  $B/R$  ratio in active bloom point 6 was 2.57 (Figure 6), thus a mixed picophytoplankton and microphytoplankton community was observed. This was corroborated by microscope taxonomic analysis that identified the dinoflagellate *Scrippsiella* sp., and the diatoms *Chaetoceros* sp. and

*Rhizosolenia hebetata*. In Campeche Bay,  $B/R$  was lower than 2.5 in all active bloom condition points (Figure 6), so microphytoplankton was dominant. The dinoflagellate *Karenia brevis* was identified by microscopy as the dominant specie. In the UGC,  $B/R$  was below 2.5 in nearly all the sampling stations (Figure 6). However, in sampling point 15,  $B/R$  was 2.59, indicating a mixed community. The diatom *Planktoniella sol* was identified by microscopy. In Todos Santos Bay,  $B/R$  was below 2.5 in sampling point 7 (active bloom conditions) (Figure 6), thus indicating microphytoplankton dominance. The most abundant species in this point was the dinoflagellate *Lingulodinium polyedrum*.



**Figure 6.** Blue/red ratio (B/R) index for each sampling campaign and sampling point. From top to bottom and from left to right: Dzilam, Holbox, Campeche Bay, Upper Gulf of California (UGC) and Todos Santos Bay (TSB).

Dzilam (Yucatan), Holbox (Quintana Roo), and Campeche Bay (Campeche) (Figure 1a–c) are located in the karstic Yucatan Peninsula [54]. This region is characterized by rapid rain water infiltration into the groundwater system, and nearly no surface runoff [21,25]. Due to its hydrological characteristics, the lowest absorption coefficient is the detritus one ( $a_d(443)$  is 11%, 14% and 9% respectively in each area) (Figure 3), as there is no relevant detritus source, no river runoff (the nearest one is located in south Campeche, far from the sampling area located in north Campeche). The climate of the region is characterized by three seasons associated with rainfall patterns: the dry season (March to May), the rainy season (June to October) and the northern wind season [55]. In this region,

submarine groundwater discharges (SGDs) play a significant role in driving the nutrient stoichiometry (N:Si:P ratio) in receiving waters, which is a key factor in phytoplankton assemblages. SGDs are an important source of nitrogen, particularly  $\text{NO}_3^-$ , during the wet season (June to October), the high N:P ratio in SGDs can drive phosphorus limitation in the nearshore environment [23]. SGDs are also rich in silica, which can lead to diatom growth. Several studies have concurred that low salinity groundwater is an important source of nutrients in the Yucatan, specifically  $\text{NO}_3^-$  and silica, and have linked SGD to harmful algal blooms [23]. According to [55], the HAB events in the state of Yucatan have been reported almost every year since 2001, covering an approximate area of 6000 km<sup>2</sup>.

Our sampling was developed during the large-scale pelagic HAB event of August–December, 2011. This event started in Dzilam and tended to move westward along the northern Yucatan coast [54]. In Dzilam, the dominance of the diatom *Rhizosolenia hebetata* can be explained by the input of silica from nearby springs (cenotes). The authors of [56] observed the the maximum chlorophyll *a* concentrations on 8 and 30 August. Our sampling was performed on 27 August. So, the degradation of phytoplankton cells from the previous peak may explain the high contribution of the CDOM absorption coefficient (48% on average). The sampling point identified as being in active bloom conditions according to the IOP index had significantly higher chlorophyll *a* levels, 12.5 mg m<sup>-3</sup>, which indicates non-bloom conditions—3.1 mg m<sup>-3</sup> on average.

In Holbox, the diatoms *Chaetoceros* sp. and *Rhizosolenia hebetata* were also dominant, but dinoflagellates of *Scrippsiella* sp. were also abundant. Both *Chaetoceros* sp. and *Scrippsiella* sp. were also observed in Dzilam during this HAB event according to [56]. The characteristic springs (cenotes) of the Quintana Roo state could have supplied the silica needed for this sustained diatom bloom. Also in this sampling campaign, the sampling point identified as being in active bloom conditions according to the IOP index had significantly higher chlorophyll *a* levels, 12.5 mg m<sup>-3</sup>, which indicates non-bloom conditions—2.2 mg m<sup>-3</sup> on average.

In Campeche Bay, the blooming species was identified as the dinoflagellate *Karenia brevis*. Again, in this sampling campaign, the sampling point in active bloom conditions according to the IOP index had significantly higher chlorophyll *a* levels, 33.2 mg m<sup>-3</sup>, than sampling points in non-bloom conditions, 7.0 mg m<sup>-3</sup> on average. The CDOM absorption coefficient,  $a_{cdom}(443)$ , was as high as in Dzilam (higher than in all the other study areas) (Figure 3). Our sampling was performed on 22 September 2011. So, the high CDOM values could be explained by the degradation of accumulated phytoplankton cells during August and September. This region is influenced by the current system of Yucatan/Lazo/Florida [26]. It is important to note that even under very high CDOM values, the IOP index was able to distinguish an active phytoplankton bloom.

The Upper Gulf of California (UGC) and Colorado River Delta (CRD) area, is a region of sediment re-suspension characterized by high detritus levels, low light extinction coefficient values ( $-0.05 \text{ m}^{-1}$ ) and high sedimentary loads (maximum values of 8 g/L) [30]. So, we expected the highest detritus absorption coefficient ( $a_d(\lambda)$ ) to be observed. It is remarkable that, also under very high detritus levels, the IOP index was able to distinguish an active phytoplankton bloom.

In Todos Santos Bay (TSB), the most abundant species during our study was the dinoflagellate *Lingulodinium polyedrum*. The authors of [41] have reported an increase in dinoflagellate algal blooms (DABs), with *Lingulodinium polyedrum* as the dominant species, over the past few years in coastal areas off Baja California. Our sampling was developed on 2 June 2017, that is late spring, when *L. polyedrum* blooms usually occur in this area [41]. This blooms have been related with increases in irradiance, daylight hours, temperatures between 17 and 23 °C, stratification of the water column and formation of a seasonal surface thermocline [57]. These blooms are favoured by the convergence of surface currents and winds, which induce the transport of cells that tend to concentrate near the surface and toward the coast [41,58]. This bloom presented the highest phytoplankton absorption coefficient ( $a_p(\lambda)$ ) observed in our study (Figure 3).

#### 4. Conclusions

The selected study areas have allowed us to apply the IOP index within the wide variability of optically complex coastal waters. Within this variability, we found areas with dominance of detritus

or CDOM, despite the samplings being developed in areas with observed phytoplankton blooms. The IOP index was able to discern sampling points in active bloom conditions from points in decaying bloom conditions. In the Yucatan region, the IOP index distinguished points in active bloom from points with high CDOM due to phytoplankton cell degradation from previous bloom. Also, the IOP index has been proved useful to distinguish phytoplankton blooms from the natural variability of one area. In the case of the UGC, typical high detritus levels produce a high absorption coefficient, which is not related with phytoplankton blooms. The IOP index was able to identify points in active bloom conditions from points with high detritus load.

To be able to distinguish a phytoplankton bloom from natural variability, regular monitoring is important. The inherent optical properties play a key role for correctly identifying phytoplankton blooms, but are highly variable in complex coastal waters. Different coastal areas have different baseline values that should be defined, thus enabling the detection of anomalous events. Thus, the measurement of absorption coefficients should be considered in programs monitoring coastal waters. The use of remote sensing can help to define IOPs from satellite reflectances,  $R_{rs}(\lambda)$ , and to build a baseline at a lower cost. Further research is needed to test whether contrasting in situ IOP measurements at baseline, calculated by remote sensing using the IOP index, are also able to correctly identify active phytoplankton blooms.

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