



# Analysis of the Impact of Positional Accuracy When Using a **Block of Pixels for Thematic Accuracy Assessment**

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Abstract: Pixels, blocks (i.e., grouping of pixels), and polygons are the fundamental choices for use as assessment units for validating per-pixel image classification. Previous research conducted by the authors of this paper focused on the analysis of the impact of positional accuracy when using a single pixel for thematic accuracy assessment. The research described here provided a similar analysis, but the blocks of contiguous pixels were chosen as the assessment unit for thematic validation. The goal of this analysis was to assess the impact of positional errors on the thematic assessment. Factors including the size of a block, labeling threshold, landscape characteristics, spatial scale, and classification schemes were also considered. The results demonstrated that using blocks as an assessment unit reduced the thematic errors caused by positional errors to under 10% for most global land-cover mapping projects and most remote-sensing applications achieving a half-pixel registration. The larger the block size, the more the positional error was reduced. However, there are practical limitations to the size of the block. More classes in a classification scheme and higher heterogeneity increased the positional effect. The choice of labeling threshold depends on the spatial scale and landscape characteristics to balance the number of abandoned units and positional impact. This research suggests using the block of pixels as an assessment unit in the thematic accuracy assessment in future applications.

**Keywords:** accuracy assessment; block; land cover mapping; 3 × 3 pixels; positional errors; labeling threshold

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## 1. Introduction

Land cover is a fundamental variable to depict the earth's physical surface, which has been extensively used in ecological, agricultural, and environmental modeling [1]. The use of these models has played an essential role in policymaking, such as in urban planning and food security [2,3]. Therefore, there is a high demand for detailed, consistent, and reliable land-cover data to ensure their scientific value [4].

Multiple global land-cover datasets have been released with a spatial scale of 1 km [5,6] to 30 m [7]. Land-cover mapping has mostly been accomplished using remote-sensing image classification and then published with accuracy information, including thematic and positional accuracy [8]. For example, the thematic accuracy of GLC 2000 is 68.6%, and its positional accuracy is 300–465 m [5,9]. Thematic accuracy is achieved by comparing the classification map with the reference data through a sampling design [10,11]. The results of the comparison are reflected in an error matrix in which a number at the ith row and *jth* column indicates how many samples are classified as label i but belong to reference label j [8,12]. Accuracy measures such as overall accuracy (OA), kappa coefficient (Kappa), user's accuracy (Ua), and producer's accuracy (Pa) can be estimated from the error matrix [10]. The theory and workflow of the accuracy assessment process have been well developed for almost four decades [8,10,13–17]. The purpose of validation is to measure the uncertainties in the land-cover dataset and then provide map users with

reliable accuracy information. Unfortunately, implementing the validation also introduces many uncertainties, such as choosing the assessment unit, sampling errors, inaccessible samples, and positional errors [18,19]. These uncertainties would significantly deteriorate the derived thematic accuracies and subsequently reduce the usefulness and applicability of the land-cover products [14,20].

Pixels are the fundamental units of a digital remote-sensing image. Therefore, many per-pixel classification algorithms have been developed, such as support vector machine or random forest [21,22]. Traditionally, photo interpreters employed a concept known as the minimum mapping unit (mmu), which provided a minimum size constraint of an area to be mapped as a distinct map class. Any area smaller than the minimum mapping unit was lumped into the surrounding map class. The concept of an mmu has been applied to some digital mapping projects, but not to others. Mostly, it is applied indirectly by either smoothing the resulting map using some filtering process (e.g., majority filter) or, more recently, by using an object-based classification approach [23–25]. Historically, a single pixel has been used as the majority choice of a validation assessment unit. Since the map is in pixels, the selection of a single pixel as the validation assessment unit seems to make logical sense.

However, the use of a single pixel has been criticized due to the severe impact of positional errors [8,26] on the resulting thematic accuracy. The rationale is that positional errors result in comparing a label from a classification map with its reference label at the wrong location [27]. Gu and Congalton [26] found that the thematic errors in *OA* and *Kappa* averaged over 10% when the positional error was half of the pixel dimension, even though a half-pixel has become the accepted standard reported by most moderate-resolution remote-sensing applications. The thematic error exceeds 30% if the positional error reaches one pixel in dimension [28]. This issue is exacerbated in heterogeneous landscapes where several categorical labels occur simultaneously [14,29].

Developing advanced geometric correction algorithms is one way to reduce the positional effect [30,31]. However, positional errors cannot be removed entirely from a remote-sensing image because of many factors such as the terrain effect and the absence of an accurate digital elevation model (DEM) [32]. Therefore, utilizing a coarser assessment unit such as a block of pixels (e.g., 3 by 3 pixels) or a polygon has been proposed to diminish the positional effect in remote-sensing accuracy assessment [8,33–35]. This solution is based on the principle that pixels within the coarser unit are free of positional error if the coarser unit is greater than the positional error [36]. However, it must be noted that there is a trade-off when using a block of pixels instead of a single pixel. The map that was assessed with a block of pixels was revised based on the thresholds and labeling rules selected to determine the block label. Therefore, the map being assessed was not the original map, but rather a "hypothetical" thematic map that differs from the realized one. Yet, it must also be understood that the thematic accuracy of the original map evaluated using a single pixel is also not representative of the original map because of the positional error that has been introduced locating those single pixels. There is a balance between using a single pixel and accepting potentially large positional errors or using a block of pixels to control the positional error and therefore assessing a map that is not the original pixel map. Gu and Congalton [26] previously showed the impact of positional error on thematic accuracy when using a single pixel for the validation sample. This paper provided the analysis when using a block.

The research presented here focused on analyzing the choice of blocks of pixels as assessment units for per-pixel classification for the following reasons. First, the utilization of a polygon as an assessment unit is similar to using objects (i.e., polygons) to validate the object-based classification, which was partially solved by [37]. Second, sampling blocks is as simple as choosing single-pixels, while the sampling design for polygons is complicated and unsolved [34,38]. This implies that if using a block as an assessment unit can reduce the positional effect, it would be more practical than sampling polygons for validating per-pixel classification. A recent review showed that 11.3% of remote-sensing mapping

research chose a block as an assessment unit [25]. However, intuitively, blocks may reduce but cannot remove the entire positional effect. Therefore, the amount of uncertainty caused by positional errors when performing a block-based accuracy assessment is still unknown and should be investigated.

Several potential factors exist if choosing the block as an assessment unit. First, how to label a block becomes essential. A block may consist of pixels belonging to multiple labels. Many rules have been developed based on the composition to determine the block's label, such as the majority rule [33], fuzzy weight rule [39], and sub-component rule [40]. This research concentrated on the majority rule because it is mainly used due to its simplicity and practicability. Second, a labeling threshold could also be included to decide whether this block would be removed from the samples or not [26]. For example, a block may comprise 65% of forest and 35% of grassland. If the dominant class's percentage is lower than a pre-determined labeling threshold (e.g., 75%), this sampled block would be removed and not included in the assessment. As a result, the sample size used in the accuracy assessment (i.e., the error matrix) would be reduced. In an extreme scenario, the labeling threshold could be set to 100%, which would result in all sampling blocks being homogeneous [41]. Although Plourde and Congalton [33] investigated the impact of homogeneous placement on thematic accuracy, systematically examining the effect of this labeling process, including labeling thresholds, has not been well studied. Third, a block with more significant size (5 by 5 pixels vs. 3 by 3 pixels) would inflate the thematic accuracy because a misclassified pixel is not reflected fully by the aggregated block [33,42]. There is a trade-off in choosing the block size as compared with the positional error. As a result, quantifying and visualizing the balance point between these two factors becomes critical. In addition, other factors including landscape characteristics, spatial scale, and classification scheme also affect the choice of block size as an assessment unit.

Gu and Congalton [26] conducted preliminary research to examine the positional effect on thematic accuracy assessment using a single pixel as the assessment unit. The study reported here extended this analysis to block-based validation. Therefore, the primary purpose of this research was to: (1) evaluate the use of a block as the assessment unit to reduce the positional effect in remote-sensing classification accuracy assessment, (2) provide insights into how the factors of the labeling process, landscape characteristics, spatial scale, and classification schemes impact the accuracy results, and (3) facilitate the consideration of blocks as units for future classification accuracy assessment.

# 2. Methods

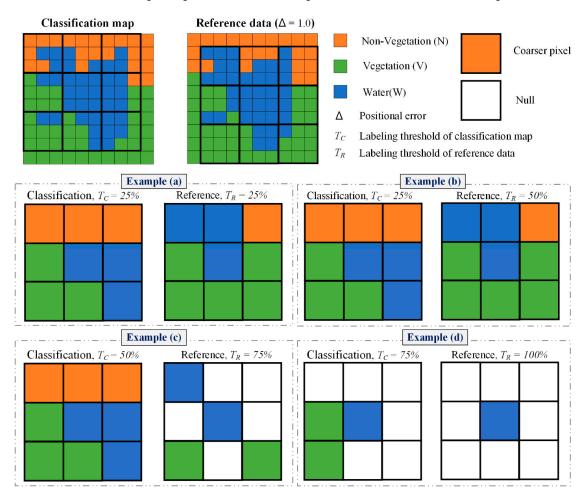
The methods used in this study were adapted from Gu and Congalton [26]. The major difference between these two analyses is that there was no labeling process to determine a sampling pixel's label from the classification map when using a single pixel as an assessment unit. However, the labeling process is mandatory for block-based validation. This difference between these two studies is described below.

## 2.1. Reference Data Collection with Positional Errors

Suppose a classification map was created from a machine-learning algorithm based on remote-sensing images at the spatial resolution of S. The classification map was comprised of N pixels, and the classification scheme had C classes labeled as  $1, 2, \ldots, c$ . Each pixel in the classification map was assigned a unique label. Reference data such as higher resolution remote sensing images were collected to perform the validation.

Furthermore, the reference data were not seamlessly geo-registered with the classification map. The positional errors between the classification map and reference data usually have various issues resulting from scaling, rotation, translation, and scan skewing, and they are distributed unevenly across the image [29,43]. This research assumed positional errors appeared in the form of translation only and were distributed equally within a small neighborhood, which was simplified by Dai and Khorram [43] and Chen, et al. [44]. Therefore, a location (x, y) in the classification map matches the location  $(x + \Delta, y + \Delta)$  in

the reference data. Delta ( $\Delta$ ) is the relative distance in pixels, as most studies report how many pixels' accuracy, such as half a pixel, have been achieved [45,46]. Figure 1 shows an example of positional errors of 1 pixel between a classification map and its reference data.



**Figure 1.** An example of using  $3 \times 3$  pixel blocks as assessment units to validate the classification map where the positional error is 1 pixel. In this case, the classification map has three classes: non-vegetation, vegetation, and water. The majority rule with 4 combinations of labeling thresholds ( $T_C$ ,  $T_R$ ) were applied to each block to determine its label. As the labeling threshold gets higher, more blocks were abandoned (Null).

## 2.2. Determine the Size and Label of a Block as an Assessment Unit

Using a block as an assessment unit was designed to reduce the positional effect. A block comprises  $BS \times BS$  pixels where BS is the edge length of a block. For example, a typical block size is  $3 \times 3$  pixels. The classification map was then divided into numerous blocks. A sample of n blocks was randomly chosen for validation. It is worth noting that although the assessment unit becomes a block, the positional error between the classification map and the reference data is still  $\Delta$ . Multiple classes may exist within a block in the classification map. The same situation applies to a block in the reference data. A block's classification label  $(c_{(x,y)})$  or reference label  $(r_{(x+\Delta,y+\Delta)})$  was determined by the following Equations (1) and (2).

$$c_{(x, y)} \text{ or } r_{(x+\Delta, y+\Delta)} = \begin{cases} l, & l \in L \neq \emptyset \\ Null, & L = \emptyset \end{cases}$$
 (1)

$$L = \underset{l \in C}{\operatorname{argmax}} P(l) \cap \{l \in C : P(l) > T\}$$

$$= \{l \in C : P(i) < P(l) \text{ for all } i \in C\} \cap \{l \in C : P(l) > T\}$$

$$(2)$$

In Equation (1),  $c_{(x, y)}$  represents a block label in the classification map while  $r_{(x+\Delta, y+\Delta)}$  denotes the block label in the reference data. The process to determine  $c_{(x, y)}$  is shown below, and the same workflow can be applied to  $r_{(x+\Delta, y+\Delta)}$ . The label for  $c_{(x, y)}$  was designated to a unique label l only if set L is not empty; otherwise, it was assigned as a label Null. Equation (2) returns the set L. The formula argmax P(l) yields the single

dominant label l if its proportion P(l) is higher than any other P(i) for all  $i \in C$ , which is simply the majority rule. Meanwhile, the P(l) should be higher than a predefined labeling threshold, T, where T controls the homogeneity of this block. An empty set L results when either there was no single dominant label, or the proportion of the dominant label is less than T. The higher the T, the more homogeneous the block, reducing the possibility of a wrong label assigned from a heterogeneous block caused by positional errors. Unfortunately, a higher T also makes L prone to be empty, decreasing the number of blocks in the sampling population. Therefore, this research also recorded the number of abandoned blocks (AB) if either  $c_{(x,y)} = Null$  or  $r_{(x+\Delta,y+\Delta)} = Null$ . The abandoned proportion of blocks (APB) was calculated by dividing AB by the total number of sampling blocks (n) in the classification map. It is noteworthy that the labeling threshold  $(T_C)$  defined for a block in the classification map is not necessarily the same as a block in the reference data  $(T_R)$ . For example,  $T_C$  could be 0.25 while  $T_R$  can be set to 0.75, which implies that a more homogeneous reference block was expected.

Figure 1 presents an example of this process for performing the block-based accuracy assessment. The block size shown here is  $3 \times 3$  pixels, and the positional error is 1 pixel. The classification map has three classes: non-vegetation, vegetation, and water. Four examples of labeling thresholds ( $T_C$ ,  $T_R$ ) using the majority rule were displayed to determine the resulting coarser pixel's label in the classification or reference map. In example (a) ( $T_C = 25\%$ ,  $T_R = 25\%$ ), the coarser pixel's label was determined if the dominant class within the block was greater than 25%. As the labeling threshold grew higher (e.g.,  $T_C = 75\%$ ,  $T_R = 100\%$ ), more blocks were abandoned (Null) because the dominant class was below the threshold.

#### 2.3. Thematic Accuracy Assessment and Positional Effect Analysis

The samples resulting from the approach described in Section 2.2 were then used to generate an error matrix (Table 1). Overall accuracy (OA) and Kappa were the most common accuracy measures derived from the error matrix [8,10,14,16]. Quantity disagreement (QD) and allocation disagreement (AD) were added to the family of accuracy measures because the scientific value of Kappa for remote-sensing accuracy assessment was questioned recently [47–49]. Theoretically, the addition of AD and QD equals 1 minus OA [49]. Additionally, the existence of positional errors would introduce errors in AD but no errors in QD [26]. Therefore, the errors in AD would be same as ones in OA. Therefore, this research calculated OA for each error matrix.

		Class 1	Class 2	 Class C	Sample Total	Population Total	
	Class 1	$n_{11}$	n <sub>12</sub>	 $n_{1C}$	$n_{1+}$	$N_1$	
	Class 2	n <sub>21</sub>	n <sub>22</sub>	 n <sub>2C</sub>	n <sub>2+</sub>	$N_2$	
Classification				 			
	Class C	n <sub>C1</sub>	n <sub>C2</sub>	 $n_{\rm CC}$	n <sub>C+</sub>	$N_{C}$	
		n <sub>+1</sub>	n <sub>+2</sub>	 $n_{+C}$	n	N	
Accuracy measures	$OA = \frac{\sum n_{jj}}{N}$	× 100%					

**Table 1.** Error matrix for thematic accuracy assessment.

The *OA* was transformed into the thematic error in *OA* (*OA-error*) calculated by Equation (3). *OA-error* equals the absolute difference between *OA* without positional errors and the counterpart with positional errors.

$$OA\text{-}error = |OA_{\Delta=0, T_C=t1, T_R=t2} - OA_{\Delta\neq 0, T_C=t1, T_R=t2}|$$
 (3)

In Equation (3),  $OA_{\Delta \neq 0, T_C = t1, T_R = t2}$  represents an OA without positional errors while  $OA_{\Delta = 0, T_C = t1, T_R = t2}$  is the OA with positional errors when the labeling threshold  $T_C$  is t1 and  $T_R$  is t2. OA-error is a function of  $\Delta$ ,  $T_C$  and  $T_R$ . The effectiveness of using the block as an assessment unit highly depends on OA-error and the Abandoned Proportion of Blocks (APB).

## 3. Study Area and Experiment

## 3.1. Study Area

The study area encompasses most of North America (Figure 2). Twelve study sites of varied landscape characteristics were selected. These study sites were chosen using the following procedure. First, a fishnet of 18 square grids (each 720 km  $\times$  720 km) covering the conterminous United States was generated. Second, the landscape shape index (LSI) indicating the entire landscape's overall geometric complexity was measured for each grid based on the NLCD 2015 product using a level II classification scheme (Table 2) by Fragstats v4.2 [50]. The level II classification scheme was merged from the original NLCD 2015 classification scheme (Table 2). Unfortunately, Fragstats v4.2 is 32-bit software, which caused issues when attempting to analyze a grid if its size was over the maximum memory of 4.29 GB during the processing. Therefore, we applied nearest neighboring sampling to resample each study site to the spatial resolution of 180 m for calculating the LSI. Third, we kept only one study site if any two study sites exhibited similar LSI values. For example, the grid between study sites 4 and 5 in Figure 2 was excluded from the study sites because its LSI was close to the LSI of grid 4. Finally, a total of twelve study sites were chosen (Table 3).

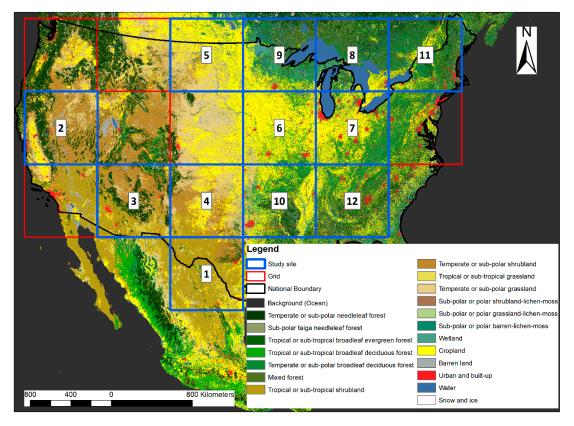


Figure 2. Locations of twelve study sites.

<b>Table 2.</b> Two levels of the classification scheme creating	from the original NLCD 2015 classification scheme.

Level I	Class Name	Level II	Class Name	Original Level	Original Classification Scheme
	Forest	1	Needleleaf	1	Temperate or sub-polar needleleaf forest
			Needlelear	2	Sub-polar taiga needleleaf forest
1				3	Tropical or sub-tropical broadleaf evergreen forest
1		2	Broadleaf	4	Tropical or sub-tropical broadleaf deciduous forest
				5	Temperate or sub-polar broadleaf deciduous forest
		3	Mixed	6	Mixed forest
2	Shrub	4	C1 1	7	Tropical or sub-tropical shrubland
2		4	Shrub	8	Temperate or sub-polar shrubland
	Herbaceous	_		9	Tropical or sub-tropical grassland
		5	Grassland	10	Temperate or sub-polar grassland
3				11	Sub-polar or polar shrubland-lichen-moss
		6	Lichen-moss	12	Sub-polar or polar grassland-lichen-moss
				13	Sub-polar or polar barren-lichen-moss
4	Wetland	7	Wetland	14	Wetland
5	Cropland	8	Cropland	15	Cropland
	Urban/Bare	9	Barren lands	16	Barren lands
6		10	Urban	17	Urban
	Water	4.4	¥47 .	18	Water
7		11	Water	0	Background (Ocean)
		12	Snow and Ice	19	Snow and Ice

**Table 3.** Landscape shape index (LSI) values of the twelve study sites.

Level -		Landscape Shape Index (LSI)										
	Site #1	Site #2	Site #3	Site #4	Site #5	Site #6	Site #7	Site #8	Site #9	Site #10	Site #11	Site #12
I	302.0	353.7	377.4	439.9	461.7	456.0	483.2	352.2	508.9	589.4	465.2	685.8
II	310.3	365.9	393.5	448.0	465.2	485.8	557.6	650.1	688.3	707.3	860.7	938.1

# 3.2. Classification Maps

The classification map of each study site was obtained from the NLCD 2015. The original classification scheme of NLCD 2015 is presented in Table 2. Some classes like the sub-polar taiga needle-leaf forest only appear in the north while other ones (e.g., Tropical or sub-tropical broadleaf evergreen forest) occur in the tropics, making the classification scheme between study sites highly inconsistent. The existence of this issue would make it challenging to compare the results among study sites. Therefore, a new level (level II) classification scheme was generated in this study (Table 2) to overcome this issue. In addition, level I was merged from level II to study how the classification scheme impacts the positional effect. Level I has 7 classes, while Level II has 12 categories. It is noteworthy that part of study site 11 was labeled background but is the ocean. The background (ocean) was treated as water during the merging process.

For each study site and for both two classification schemes (Levels I and II), a series of classification maps of different spatial resolutions were generated to study the impact of scale on the positional effect. The original spatial resolution of NLCD 2015 is 30 m. We upscaled the NCLD 2015 to create classification maps at the spatial scales of 150, 300, 600, and 900 m, respectively, by moving a window over the NLCD 2015 where the size of the window varied from  $5 \times 5$ ,  $10 \times 10$ ,  $20 \times 20$ , to  $30 \times 30$  pixels. The label of a coarser pixel (window) in the upscaled classification map was determined by the dominant class using the majority rule. If two or more dominant classes existed within the window, the coarser pixel was labeled "unclassified".

# 3.3. Reference Data with Positional Errors

For each study site, the NLCD 2015 product of two classification scheme levels at a spatial resolution of 30 m was used as reference data. It is worth noting that before the

injection of positional errors, the accuracy between a classification map (e.g., 150 m and 12 classes) and reference data was 100%. It is appropriate to use the NLCD data for both the classification and the reference data since the goal of this research was to study only positional error.

The positional errors were simulated between each pair of classification map and reference data. The positional error  $\Delta$  was varied from 0 to 1 pixel in increments of 0.1 pixels because most of the land cover projects have achieved registration accuracy at a sub-pixel level.

## 3.4. Accuracy Assessment and Analysis

The block sizes (*BS*) of  $3 \times 3$  and  $5 \times 5$  pixels were analyzed in this study. A range of labeling thresholds ( $T_C$ ) from 0%, 25%, 50%, 75% to 100% were selected to determine the label of a block in the classification map, and the same threshold values were applied to define  $T_R$ . As a result, there were 25 combinations of labeling thresholds for ( $T_C$ ,  $T_R$ ).

This research was interested in the thematical errors caused by the positional errors only. Therefore, all coarser pixels except those labeled as "unclassified" in the classification map were included in the thematic accuracy assessment. An error matrix was constructed as shown in Table 1, and *OA-error* and *APB* were calculated from the matrix for each pair of classification maps and reference data for each study site.

#### 4. Results

Figure 3 shows the mean and standard deviation of *OA-error* and *APB* for the twelve study sites at the four spatial scales when the classification map consisted of seven classes (Level I) using a block size of  $3 \times 3$  or  $5 \times 5$  pixels. Figure 3 was divided into 60 subfigures composed of four rows and 15 columns. The entire figure could not fit on one page and, therefore, was split into two pages by columns. The first two rows show the results of applying a block size of  $3 \times 3$  pixels, while the last two rows display the results of the block size of  $5 \times 5$  pixels. Each column presents the outcome of a pair of ( $T_C$ ,  $T_R$ ). There should have been 25 combinations (columns) of ( $T_C$ ,  $T_R$ ). However, for example, ( $T_C = 0.25$ ,  $T_R = 0.50$ ) generated almost the same results as ( $T_C = 0.50$ ,  $T_R = 0.25$ ) because, in this research, the classification map and its reference data were both generated from NLCD 2015. Therefore, only results of when  $T_R \ge T_C$  were shown. Each line in a sub-figure represents a scale.

In the sub-figure ( $T_C = 0$ ,  $T_R = 0$ ) of the first row of Figure 3, the mean value of *OA-error* grows as the positional error increases, and so does the standard deviation of *OA-error*. For example, the mean and standard deviation of *OA-error* were 5.08% and 1.53 at a positional error of 0.5 pixels when the scale was 150 m. The two values became 9.59% and 2.88 when the positional error reached 1 pixel.

As shown in the first row of sub-figures in Figure 3, the OA-errors exhibited similar values when both  $T_C$  and  $T_R$  were no more than 0.25. As either  $T_C$  or  $T_R$  grew, OA-error dropped. There was a significant reduction in OA-error if either  $T_C$  or  $T_R$  attained 0.5. Almost no positional effect existed when either threshold achieved 0.75 or over. In addition, the mean values of OA-error at various scales were very close regardless of the labeling threshold.

The second row of sub-figures in Figure 3 reflects APB under multiple choices of  $(T_C, T_R)$ . APB was less than 0.2% when either  $T_C$  or  $T_R$  was no greater than 0.25. As either  $T_C$  or  $T_R$  increased, APB increased. When  $T_C=0$  and  $T_R=0.5$ , the average APB varied between 5.84% and 11.63%, and the standard deviation fluctuated between 3.18% and 6.36%. When  $T_C$  or  $T_R$  reached 0.75, the average APB ranged from 30.81% to 46.73%. The average APB varied from 72.09% to 94.11% when either  $T_C$  or  $T_R$  reached 1.0. Most mean values of APB were relatively stable regardless of the positional errors, but when  $(T_C, T_R)$  was either (0.5, 0.5) or (0.75, 0.75), the average lines displayed an increasing trend. Finally, a higher spatial scale (e.g., 150 m) generated lower APB regardless of  $T_C$  or  $T_R$ .

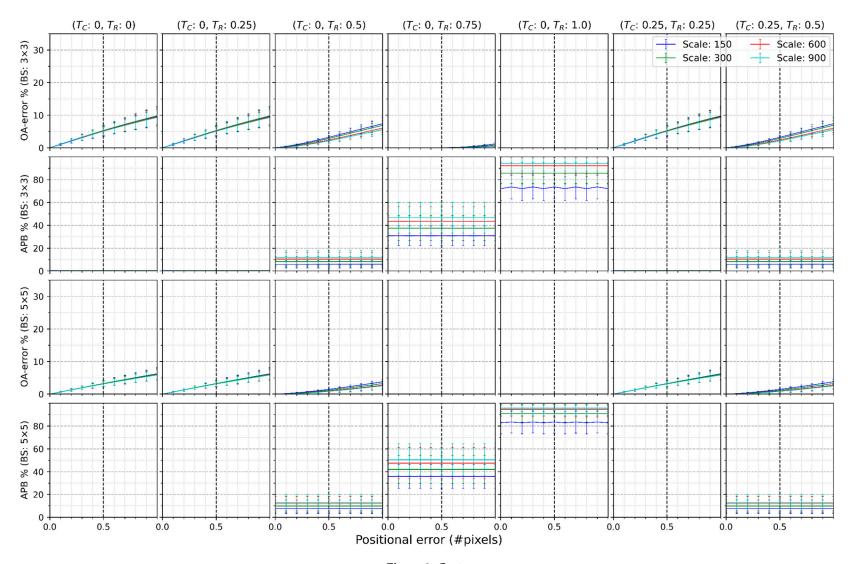
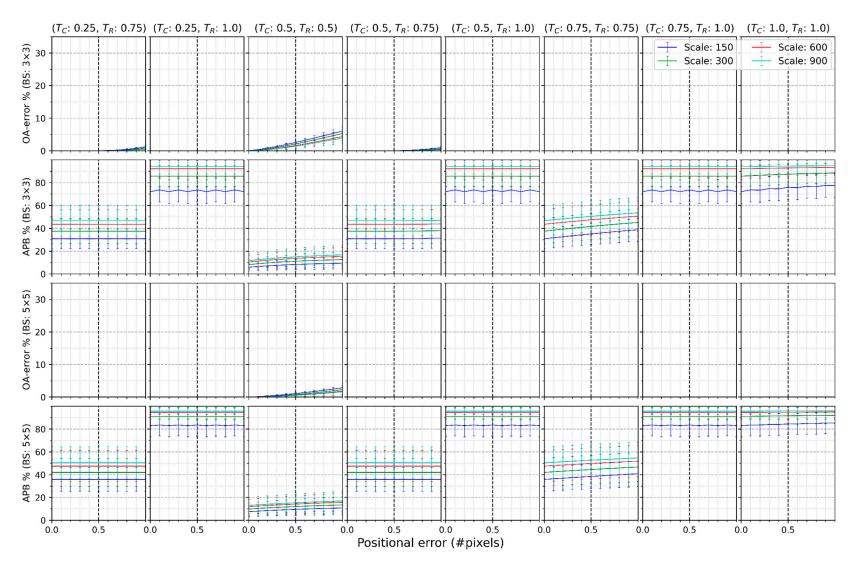


Figure 3. Cont.



**Figure 3.** Mean and standard deviation of *OA-error* and *APB* of twelve study sites at different scales when the classification consists of seven classes using a block size of  $3 \times 3$  or  $5 \times 5$  pixels.

The third and fourth rows of sub-figures of Figure 3 present OA-error and APB, respectively, using a block size of  $5 \times 5$  pixels. Compared to the results in the block size of  $3 \times 3$  pixels, a greater block size further reduced the positional effect but slightly increased APB compared with the  $3 \times 3$  pixel block.

The results presented in Figure 3 are also reflected in Figure 4. However, the classification scheme used in Figure 4 shows the results of the Level II (12 classes) analysis. The classification scheme with 12 classes presented higher *OA-error* and *APB* compared with seven-classes results shown in Figure 3.

Figure 5 presents the mean and standard deviation of *OA-error* and *APB* at the four scales for each study site when the classification scheme consisted of seven classes (Level I). Each curve represents a study site in each sub-figure.

The upper-left sub-figure of Figure 5 describes the OA-errors resulting from positional errors ranging from 0 to 1.0 pixels when both  $T_C$  and  $T_R$  equal 0. The highest OA-error approximated  $7.87 \pm 0.50\%$  and  $14.41 \pm 1.11\%$  at the positional error of 0.5 and 1.0 pixels, respectively, for study site 12. A study site with a smaller LSI caused a lower positional effect than one with a larger LSI. For example, the line of study site #3 with an LSI of 377.4 was below the line of study site #4 with an LSI of 439.9. However, this did not hold for all study sites. For instance, study site #11 with an LSI of 465.2 was below study site 2 with an LSI of 353.7.

No significant difference in OA-errors was observed when neither threshold surpassed 0.25. OA-errors decreased as either  $T_C$  or  $T_R$  increased from 0.5 to 1.0. For example, there was almost no positional effect when either threshold exceeded 0.75.

The second row of sub-figures in Figure 5 reflects the APB of each study site under multiple choices of  $(T_C, T_R)$ . The values of average APB approximated 0 if neither  $T_C$  nor  $T_R$  was over 0.25. APB lines were, on average, between 19.92% and 3.03%, between 25.39% and 63.50%, and between 71.13% and 96.08% when either threshold reached 0.5, 0.75, and 1.0, respectively.

Compared to the first two rows of Figure 5, using a block size of  $5 \times 5$  pixels further decreased the positional effect but slightly increased the *APB* versus the results of  $3 \times 3$  pixels (see rows 3 and 4). For example, all study sites' lines were under 5% at the positional errors of 0.5 when  $T_C = 0$  and  $T_R = 0$  using  $5 \times 5$  pixel blocks, while most were above 5% using  $3 \times 3$  pixels.

The patterns found in Figure 6 are similar to that of Figure 5. The only difference is that each line in Figure 6 is higher than the corresponding one in Figure 5 because the classification scheme consists of more classes (12 instead of seven).

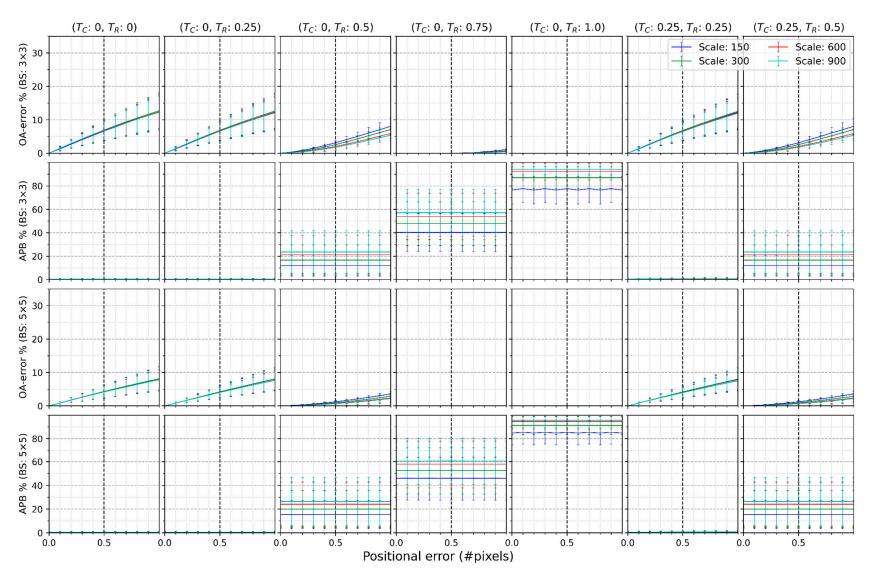
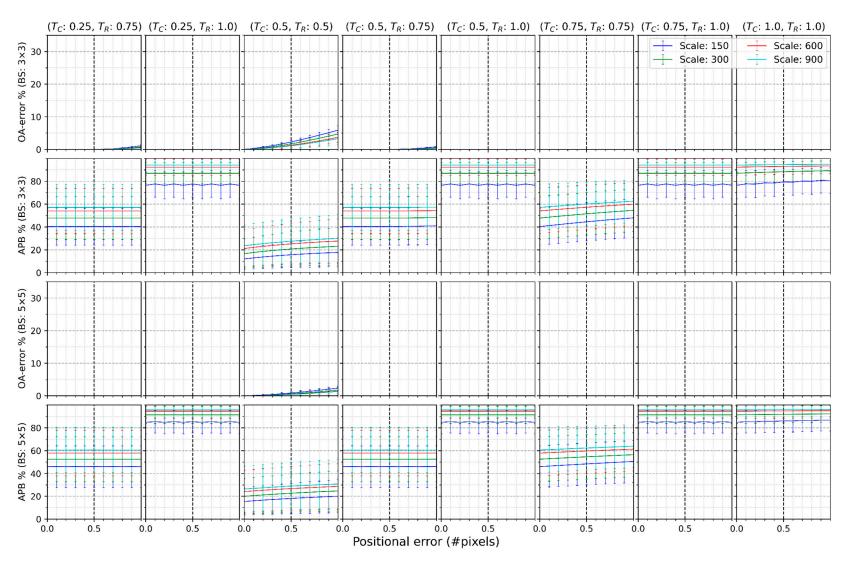


Figure 4. Cont.



**Figure 4.** Mean and standard deviation of *OA-error* and *APB* of twelve study sites at different scales when the classification consists of 12 classes using a block size of  $3 \times 3$  or  $5 \times 5$  pixels.

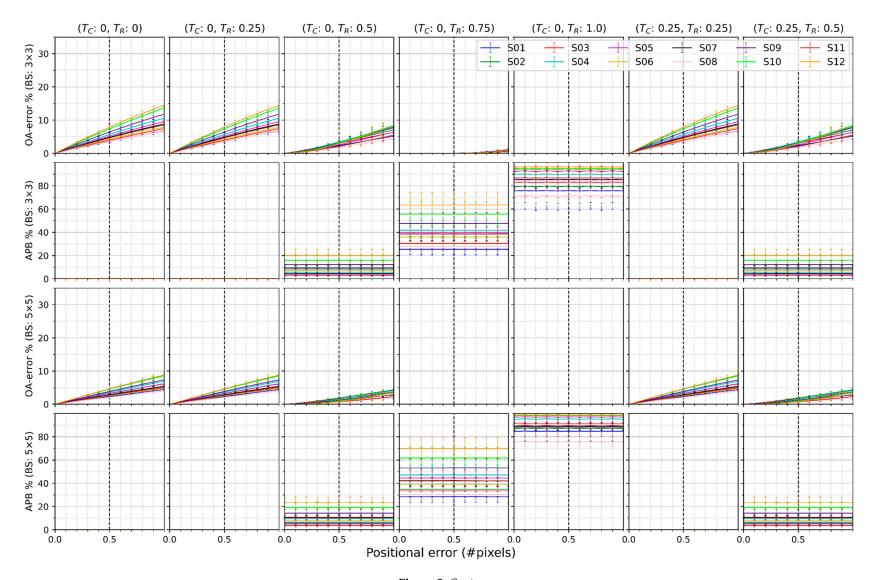
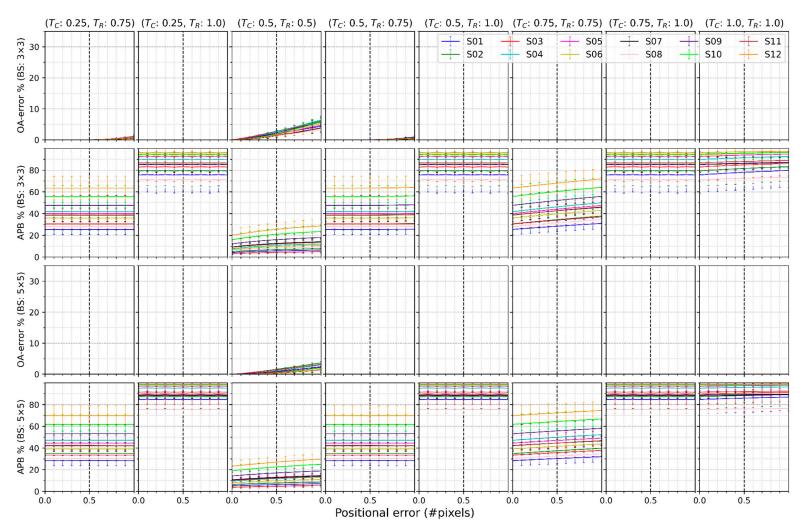


Figure 5. Cont.



**Figure 5.** Mean and standard deviation of *OA-error* and *APB* of four spatial scales at different study sites where the classification scheme consists of seven classes using block size of  $3 \times 3$  or  $5 \times 5$  pixels.

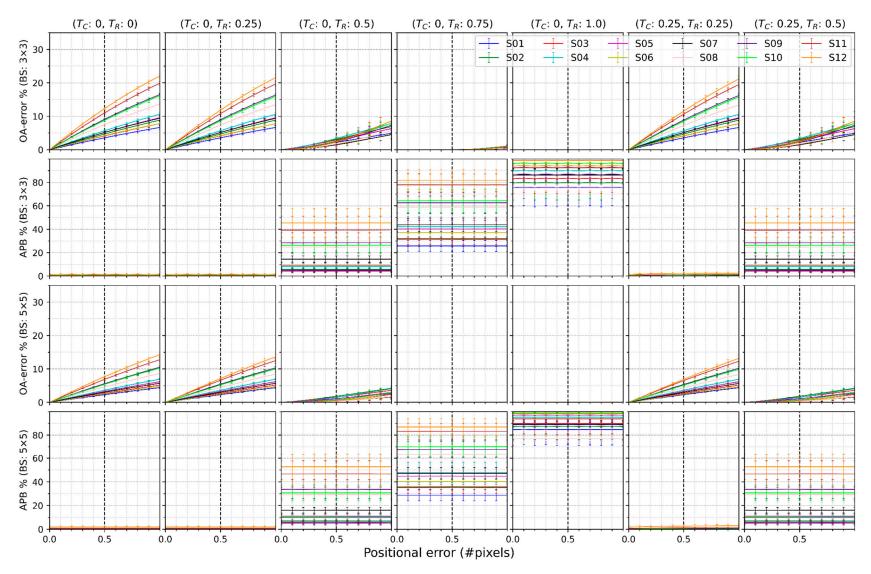
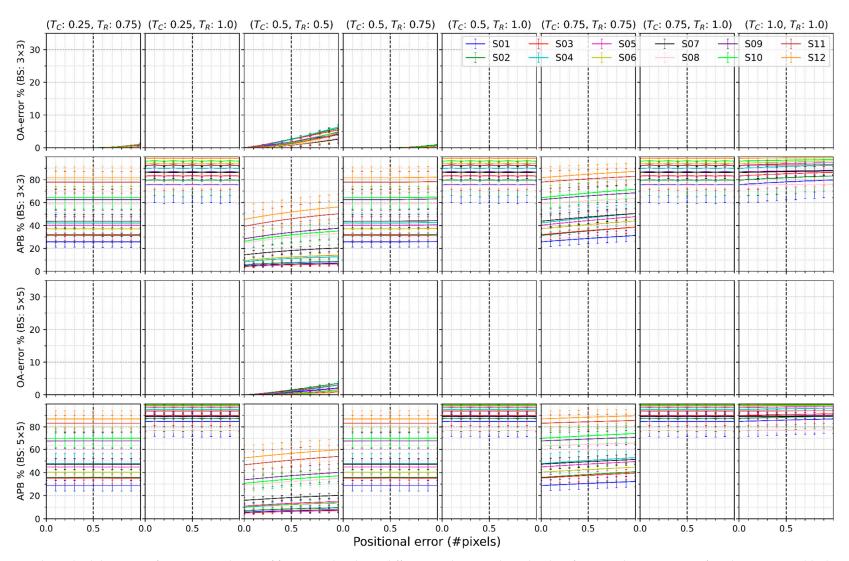


Figure 6. Cont.



**Figure 6.** Mean and standard deviation of *OA-error* and *APB* of four spatial scales at different study sites where the classification scheme consists of 12 classes using a block size of  $3 \times 3$  or  $5 \times 5$  pixels.

Most remote-sensing applications reported that a half-pixel registration was achieved. Therefore, within each sub-figure, a vertical line marking 0.5 pixels was emphasized. Figure 3 shows that the *OA-error* was  $5.08 \pm 1.52\%$  if neither  $T_C$  nor  $T_R$  was more significant than 0.25 using  $3 \times 3$  pixel blocks. The *OA-error* reduced to under 5% if either threshold reached 0.5, but the APB varied between 5.88  $\pm$  3.2% (results of the scale of 150) and  $11.62 \pm 6.29\%$  (results of the scale 900). There was nearly no positional effect when either threshold reached or exceeded 0.75, but the APB was between 30.01% and 46.68% and over 60%, respectively. Using  $5 \times 5$  pixel blocks slightly reduced *OA-error* but increased the APB. For example, the OA-error was  $3.23 \pm 0.99\%$  when  $(T_C, T_R)$  was equal to (0, 0.25). Figure 5 displays that *OA-error* of most study sites was below 5%, except study sites 4, 5, 9, 10, and 12 when both  $T_C$  and  $T_R$  were less than 0.25. The *OA-error* of all study sites was under 5%, and they abandoned  $3.01 \pm 0.93\%$  to  $19.87 \pm 5.43\%$  if any threshold reached 0.5. OA-error approximated 0, but APB varied between 25.39% and 63.51% and over 755.88% if the threshold attained 0.75 and 1.0, respectively. The results in Figures 4 and 6 show more OA-error but also an increase in APB because of more classes, and the trend is the same in Figures 3 and 5.

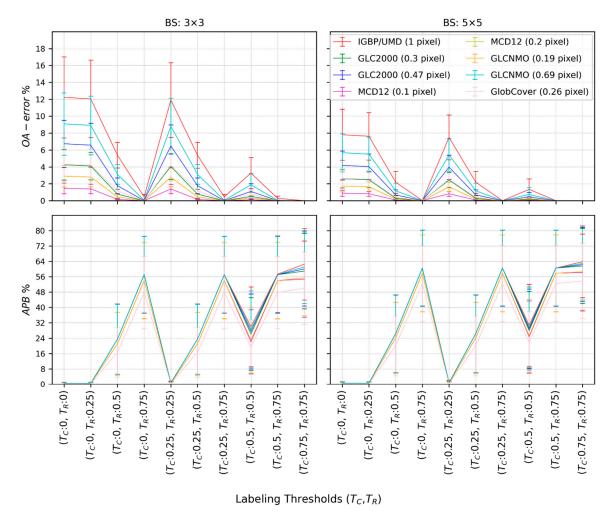
#### 5. Discussion

Land-cover maps derived from remote-sensing classification contain classification errors because of the uncertainties such as imperfect training data or classification algorithms [51,52]. These maps were validated by thematic accuracy assessment and then presented as error matrices [53]. Unfortunately, the validation itself includes uncertainties that also affect the thematic accuracies. Choosing the assessment units is one of the sources of uncertainty. Pixels, blocks, or polygons are three options of assessment units [34]. This research focused on a block-based accuracy assessment for validating per-pixel classification. The analysis was performed to evaluate the impact of positional errors on thematic accuracy assessment when using a block of pixels with varying labeling rules as the assessment unit. This study aimed to answer the following questions. First, what is the remaining positional effect utilizing a block as the assessment unit combined with multiple labeling rules? Second, how do these results differ when altering the spatial pattern of landscape, the classification scheme, and the spatial scale of classification map? The results were examined in terms of *OA-error* and *APB*.

The results showed that the labeling threshold had a significant impact on the OA-error and APB. The effect depends on the highest value between  $T_C$  and  $T_R$  (Figure 3: ( $T_C = 0.25$ ,  $T_R = 0.25$ ) vs. ( $T_C = 0.25$ ,  $T_R = 0.75$ )). As a result, we defined a  $T_{max} = \max(T_C, T_R)$ to explain the effect of the labeling threshold. If  $T_{max}$  reaches 0.75 or 1.0, OA-error almost disappears. Still, APB exceeded 25% and 60%, respectively, across all the figures, which implies that many blocks were abandoned from the sampling population. This abandonment of samples offers another set of issues that must be considered when conducting a remotesensing accuracy assessment. In addition, there was no significant difference in OA-error if  $T_{max}$  was no more than 0.25 because, within a 3  $\times$  3 or 5  $\times$  5 pixel block, the minimum percentage of the majority class was 22.2% or 16% for the classification scheme consisting of seven classes. The minimum proportion became 11.1% or 12% for the classification scheme comprised of 12 categories. There is a rare chance that classes within a block are randomly selected from the classification scheme. Therefore, the following analysis focused on  $T_{max}$ in two groups:  $T_{max} \leq 0.25$  and  $T_{max} = 0.5$ . For convenience, we also defined a symbol like OA-error<sub> $\Delta=0.5$ </sub>,  $T_{max}=0.25$ , BS=3 to indicate the remaining OA-error when a block-based validation was performed where the block size was 3  $\times$  3 pixels,  $T_{max}$  was 0.25, and the positional error was 0.5 pixels. The same idea was applied to APB.

During remote-sensing accuracy assessment, the source of positional error derives from the geo-registration of the remote sensing images and the GPS error, if the reference is collected from a field survey [54]. Most land cover projects reported the thematic and positional accuracy together [55–57]. The positional errors of IGBP, UMD, GLC 2000, MCD12, GLCNMO, and GlobCover are 1 pixel, 1 pixel, 0.3–0.47 pixels, 0.1–0.2 pixels,

0.19-0.69 pixels, and 0.26 pixels relative to their spatial resolutions of 1000 m, 1000 m, 1000 m, 500 m, 1000/500 m, and 300 m, respectively (Table 7 of Ref. [26]). Using singlepixel as an assessment unit created 31.92%, 31.92%, and 15.06%-18.92%, 5.50%-10.41%, 10.41%–27.37% and 13.56% of OA-error [26]. If utilizing positional error, spatial resolution, and classification scheme of these global land-cover datasets and comparing their results to the best match the results of our research (Figures 4 and 6), the remaining positional effect in block-based accuracy assessment is shown in Figure 7. The average OA-error<sub> $T_{max} \le 0.25$ </sub>, BS=3was 12.22%, 12.22%, 4.25% to 6.73%, 1.46% to 2.89%, 2.89% to 9.08%, and 4.28%. This implies that using 3  $\times$  3 pixel blocks with  $T_{max} \le 0.25$  effectively reduced the positional effect but the remaining *OA-error* in IGBP or UMD was still above 10%. Utilizing  $T_{max}$  of 0.5 would decrease all OA-error<sub> $T_{max}=0.5$ </sub>, BS=3 under 10%, but remove 16.62  $\pm$  12.90% to  $23.56 \pm 18.21\%$  of blocks from the sampling populations. The high variance was derived from the varied landscape characteristics among study sites (Figure 6), which means that for IGBP or UMD, the strategy highly depends on the spatial characteristics. If limiting APB under 10%, then the LSI should be less than 377.4 to apply  $T_{max}$  of 0.5. If the APB were widened to under 20%, then the LSI should be beneath 483.2. Employing a block size of  $5 \times 5$  pixels may be another option, but for global land-cover mapping using MODIS at the scale of 1 km, determining whether sampling  $5 \times 5$  km is practical or not needs further research. This research highly suggests the global land-cover mapping derived from medium-resolution images (150 m to 1 km) take  $3 \times 3$  pixels as an assessment unit into account for validation.



**Figure 7.** The remaining *OA-error* and *APB* in block-based validation of global land-cover datasets according to their spatial resolution, classification scheme, and positional errors.

The results also showed that OA- $error_{T_{max} \le 0.25, BS = 3}$  caused by a half-pixel positional error was  $5.08 \pm 1.52\%$  and  $6.91 \pm 3.19\%$ , respectively, for classification schemes of 7 and 12 classes. This is a significant reduction compared with the range from 12.05% to 17.97% of OA-error reported by Gu and Congalton [26] using a single-pixel as an assessment unit. This indicates that for most classification applications with less than 12 classes,  $3 \times 3$  pixel blocks with a simple majority rule would result in OA-errors under 10%.

Collecting reference samples is very expensive in remote-sensing accuracy assessment. On the one hand, we want to make sure the resultant accuracy measures are reliable, minimizing the positional effect. On the other hand, we require the implementation to be practical, minimizing the labor in the field. Using  $5 \times 5$  pixels could further reduce positional errors by an average of about 2%. However, increasing the size of the sample block may increase the amount of effort required to collect the reference data in the field.

This paper found that the larger the number of map classes and the more heterogeneous the landscape characteristics, the greater the *OA-errors*, which is consistent with previous research [26]. The underlying reason is that either of these factors (higher number of map classes and more heterogeneous landscape) generates a higher possibility that a block may be moved to a position incorporating other classes that alter the label of this block. This research also discovered that a study site with a larger LSI does not always produce a higher positional effect. LSI is incomplete in that it only calculates the total length of edge normalized by the minimum total length of edge within a landscape without considering the contagion index [58]. Future research could explore which landscape indexes are best to analyze the positional effect. Across all sub-figures presented here, the average *OA-error* lines caused by spatial scale (Figures 3 and 4) approximated each other, but a coarser scale abandoned more blocks from the sampling population. This abandonment results from the fact that a larger block has a higher possibility of heterogeneous labels.

This research was a controlled experiment where the thematic errors in the error matrix were caused by the positional errors only, which is distinct from previous studies that included classification error or sampling error while analyzing the positional effect [28,33,34]. Combining multiple sources of errors would make the results difficult to explain. However, this research also has several limitations. First, this study covered the spatial scales ranging from 150 m to 900 m. As the spatial resolution of the image grew higher, especially the images acquired from unmanned aerial vehicles (UAV) [59], the positional error in these images was much greater than 1 pixel because of GPS error or the absence of a high-precision digitalelevation model (DEM) [60]. What labeling threshold can be used to remove the OA-errors remains unknown. However, for high-resolution image classification, a segmentation is usually performed beforehand [61]. What relationship exists between object size and positional error needs further research. Second, this research comprises two classification schemes with only 7 and 12 classes. This is limited by the classification scheme of NLCD 2015. Third, this research proved that block-based assessment is more reliable than single-pixel-based accuracy assessment from the viewpoint of positional errors. This creates further research for other block-based accuracy assessment. For example, how to effectively sample the blocks becomes essential. Previous research has shown that stratified sampling was preferred over simple random and systematic sampling [16]. What kind of information such as the block's categorical label, proportion, or structure is more effective to stratify the sampling population is also crucial. Finally, when assessing the accuracy of a map using a block of pixels to compensate for positional error, the original map is modified by the thresholds and labeling rules used to determine the sample label. There is a trade-off here between using a block to assess the thematic accuracy of a modified map or using a single pixel to assess the original map while incorporating substantial positional error into the thematic accuracy. This paper, in combination with Gu and Congalton [26], presented the advantages and limitations of using each approach. The next step in this analysis needing further research is the comparison of the original pixel maps with the maps assessed here that resulted from the thresholds and labeling rules selected. This analysis would produce metadata demonstrating the difference between the realized thematic map and the "hypothetical" map produced using the thresholds

for labeling the blocks. The magnitude of differences here would be highly dependent on whether a minimum mapping unit was selected for the original mapping project that was larger than a single pixel or if the single pixel classification map was retained. The user of the map could then decide whether to use a single pixel for the assessment unit and retain all the thematic error caused by positional error or to use a block of pixels for the assessment unit to compensate for positional error, but understand that the map being assessed is not the original pixel map. The results presented here are a compilation of 52,800 error matrices (12 study sites  $\times$  4 scales  $\times$  2 classification schemes  $\times$  2 block sizes  $\times$  11 positional errors  $\times$  25 thresholds = 52,800) and such a comparison was beyond the scope of this paper.

#### 6. Conclusions

Choosing the assessment unit is a critical step in the thematic accuracy assessment of remote-sensing classification. This research focused on analyzing blocks as assessment units for validating per-pixel classification using medium-spatial-resolution images. The study was based on the uncertainties caused by positional errors. Block size, labeling threshold, landscape characteristics, classification scheme, and spatial scales were regarded as factors to impact the choice of blocks as the assessment unit. This research has the following conclusions:

- (1) Labeling thresholds greater or equal to 0.75 are not a good choice for determining a block's label. A labeling threshold equal to 0.5 applies to a higher spatial scale with lower heterogeneity. A labeling threshold less than 0.25 is appropriate for most remote sensing applications.
- (2) Blocks with the size of  $5 \times 5$  pixels remove, on average, around 2% more positional error compared with a  $3 \times 3$  pixel block. However, it may not be practical to collect reference samples of this size if the reference data are collected by field survey.
- (3) The OA-errors in most land cover mapping projects except IGBP and UMD can be reduced to under 10% if using  $3 \times 3$  pixel blocks with the labeling threshold being less than 0.25.
- (4) The OA-error in most remote-sensing applications achieving half-pixel registration is under 10% if using a 3  $\times$  3 pixel block with labeling threshold being less than 0.25.
- (5) More chasses in a classification scheme or higher heterogeneity increase the positional effect.
- (6) Further research can focus on how to sample blocks based on the proportion or structure of the blocks.

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